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2	No effect of monetary reward in a visual working memory task
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12 ABSTRACT

13 Previous work has shown that humans distribute their visual working memory (VWM) resources flexibly across items: the higher the importance of an item, the better it is 14 15 remembered. A related, but much less studied question is whether people also have control over the *total* amount of VWM resource allocated to a task. Here, we approach this question by 16 testing whether increasing monetary incentives results in better overall VWM performance. In 17 two experiments, subjects performed a delayed-estimation task on the Amazon Turk platform. 18 In both experiments, four groups of subjects received a bonus payment based on their 19 performance, with the maximum bonus ranging from \$0 to \$10 between groups. We found no 20 effect of the amount of bonus on intrinsic motivation or on VWM performance in either 21 experiment. These results suggest that resource allocation in visual working memory is 22 23 insensitive to monetary reward, which has implications for resource-rational theories of VWM.

24

25 **INTRODUCTION**

A central question in research on human visual working memory (VWM) is how much 26 27 flexibility exists in how the system distributes its resource across encoded items (Luck & Vogel, 28 2013; Ma, Husain, & Bays, 2014). The answer to this question partly depends on how one conceptualizes the nature of VWM resource. One class of models postulates that VWM consists 29 30 of a small number of "slots" that each provide an indivisible amount of encoding resource (e.g., (Awh, Barton, & Vogel, 2007; Cowan, 2001; Luck & Vogel, 1997; Rouder et al., 2008; Zhang 31 32 & Luck, 2008)). Since the number of slots is typically assumed to be very small (3 to 4), these models allow for virtually no flexibility in resource allocation. A competing class of models 33 34 conceptualizes VWM as a continuous resource (e.g., (Bays & Husain, 2008; Fougnie, Suchow,

& Alvarez, 2012; Keshvari, van den Berg, & Ma, 2013; Shaw, 1980; van den Berg, Shin, Chou,
George, & Ma, 2012; Wilken & Ma, 2004)), sometimes in combination with a limit on the
number of encoded items (Sims, Jacobs, & Knill, 2012; van den Berg, Awh, & Ma, 2014).
Since a continuous resource can be divided into arbitrarily small packages, these models allow
for a high degree of flexibility in resource allocation.

Several recent studies have found evidence for flexibility in VWM resource allocation. 40 41 First, it has been found in multiple experiments that when one item in a stimulus array is more 42 likely to be selected for test than other items, subjects remember this item with better precision (Bays, 2014; Bays, Gorgoraptis, Wee, Marshall, & Husain, 2011; Emrich, Lockhart, & Al-43 Aidroos, 2017; Gorgoraptis, Catalao, Bays, & Husain, 2011; Yoo, Klyszejko, Curtis, & Ma, 44 2018; Zokaei, Gorgoraptis, Bahrami, Bays, & Husain, 2011). In addition, it has been reported 45 that subjects can make a tradeoff between the number of items in VWM and the quality with 46 47 which they are encoded (Fougnie, Cormiea, Kanabar, & Alvarez, 2016; however see Zhang & Luck, 2011). The kind of flexibility found in these studies typically improves task performance 48 49 compared to what can be achieved using a fixed allocation strategy, which suggests that the allocation is driven by a rational policy. 50

We recently formalized this suggestion by modeling VWM as a rational system that 51 balances the amount of invested resource against expected task performance: the more there is 52 at stake, the more resource is allocated for encoding (van den Berg & Ma, 2018). This 53 "resource-rational" interpretation of VWM predicts two kinds of flexibility in the allocation of 54 VWM resource. First, items of unequal importance are assigned unequal amounts of encoding 55 resource, which is consistent with the findings cited above. Second, tasks of unequal importance 56 57 are assigned unequal amounts of *total* resource: the higher the incentive to perform well on a task, the more VWM resource a subject should be willing to invest. In support of the second 58 kind of flexibility, it has been found that subjects who are encouraged to "try to remember all 59 items" in a change detection task have higher estimated numbers of slots than subjects who are 60 told to "just do your best" or to "focus on a subset" (Bengson & Luck, 2016). Moreover, in one 61 62 of our own studies, we observed that the estimated total amount of invested VWM resource in delayed-estimation tasks often varies non-monotonically with set size, in a way that can be 63 64 explained by a resource-rational model (van den Berg & Ma, 2018). Finally, it has been reported that cueing can increase net VWM capacity (Myers, Chekroud, Stokes, & Nobre, 2018). 65

In the present study, we examine whether the total amount of allocated VWM resource
is affected by monetary reward. We performed two experiments in which subjects earned a
performance-contingent monetary bonus on top of a base payment. When encoding is costly, a

69 rational observer should adjust its total amount of invested VWM resource to the amount of 70 performance-contingent bonus: the higher the potential bonus, the more effort should be put 71 into the task. In both experiments, we found no evidence for such an effect. In opposition to the 72 prediction following from a resource-rational theory of VWM (van den Berg & Ma, 2018), the

- 73 present results suggest that VWM resource allocation is insensitive to monetary reward.
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75 EXPERIMENT 1

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77 Data and code availability

All data, Matlab analysis scripts to reproduce figures of results, and JASP files with statistical
analyses are available at https://osf.io/mwz27/.

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81 **Recruitment**

Subjects were recruited on the Amazon Mechanical Turk platform, where the experiment was 82 83 posted as a "Human Intelligence Task". The experiment was visible only to subjects who were located in the USA, had not participated in the experiment before, and had an approval rate of 84 95% or higher. A total of 355 subjects signed up, of which 156 were disqualified due to failing 85 the post-instruction quiz (see below). The remaining 199 subjects were randomly assigned to 86 four groups (n=49, 47, 47, 46) that differed in the total amount of bonus they could earn by 87 performing well (\$0, \$2, \$6, \$10). Besides the bonus, subjects received a \$1 base payment. The 88 experiment was approved by the Institutional Review Board of New York University. 89

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91 Stimuli and task

On each trial, the subject was presented with 1, 2, 4, 6, or 8 Gabor patches, which were placed 92 along an invisible circle around a central fixation point (Fig. 1A). We refer to the number of 93 presented items as the set size, which varied from trial to trial in a pseudo-random manner. The 94 orientation of each patch was drawn independently from a uniform distribution over all possible 95 96 orientations. The stimulus appeared for 50 milliseconds and was followed by an empty screen with a duration of 1 second (memory period). Thereafter, a randomly oriented Gabor patch 97 98 appeared at one of the previous stimulus locations, whose initial orientation was randomly drawn and could be adjusted through mouse movement. The task was to match the orientation 99 100 of this probe stimulus with the remembered orientation at that location. After submitting the response, the error between the correct orientation and the reported orientation, ε , was converted 101 102 into an integer score between 0 and 10, with more points assigned for smaller errors (see

103 Appendix for a visualization of the scoring function). Feedback was provided after each trial

by showing the obtained score and two lines that corresponded to the correct and responded

105 orientations.

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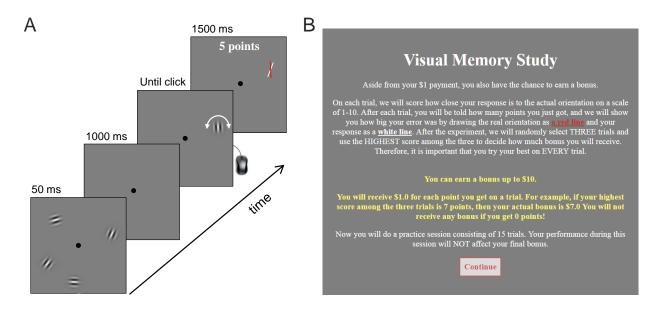


Figure 1 | Experimental procedure. (A) Illustration of a single trial in Experiment 1 (not to scale). Subjects were briefly presented with 1, 2, 4, 6, or 8 Gabor patches, which they had to keep in memory during the delay period. Thereafter, a randomly oriented Gabor patch would appear at one of the previous stimulus locations. The task was to match the orientation of this stimulus with the remembered orientation of the stimulus that had appeared earlier at this location. The procedure in Experiment 2 was the same, except that no feedback was shown. (B) Instructions provided to the subjects in Experiment 1.

107 108

109 **Procedure**

At the start of the experiment, subjects received written instructions about the task and about 110 how their performance would be scored (Fig. 1B). Next, they were informed about the bonus 111 payment. For a subject in the condition with a maximum bonus of \$10, the text in this screen 112 would read "You will receive \$1 for each point you get on a trial. For example, if your highest 113 score among the three trials is 7 points, then your actual bonus is \$7. You will not receive any 114 bonus if you get 0 points!". Thereafter, they performed 15 practice trials that were identical to 115 116 trials in the actual experiment. After finishing these trials, a multiple-choice quiz was presented with three questions to test the subject's understanding of the task and the potential bonus 117 payment. Subjects who failed on at least one of these questions were disqualified from the 118

experiment. The remaining subjects performed 250 trials of the delayed-estimated task with the

120 five set sizes pseudo-randomly intermixed. To check if subjects were paying attention, we asked

them at three points in the experiment to press the space bar within 4 seconds. Subjects who at

least once failed to do this were presumably not paying attention and were therefore excludedfrom the analyses.

124

125 Results

Data from 10 subjects were excluded from the analyses because they failed to respond to at 126 least one of the three attention-checking questions. Of the remaining 189 subjects, another 35 127 were excluded because they had response error distributions that did not significantly differ 128 from a uniform distribution, as assessed by a Kolmogorov-Smirnov test with a significance 129 level of 0.05. For the remaining 154 subjects, we computed the circular variance of the response 130 error distribution at each set size (Fig. 2A, left). We performed a Bayesian Repeated-Measures 131 ANOVA (JASP Team, 2018; Rouder, Morey, Speckman, & Province, 2012) on these measures, 132 with set size as a within-subjects factor and bonus size as a between-subjects factor. The results 133 134 indicated extremely strong evidence for a main effect of set size $(BF_{incl}=\infty)$, but evidence against a main effect of bonus size BFincl=0.0481. 135

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137 Discussion

The results of Experiment 1 showed no evidence of an effect of performance-contingent reward 138 on VWM performance. One possible explanation of this null result is that resource allocation 139 in VWM is insensitive to monetary reward. However, there are at least two factors in the 140 experimental design that may have interfered with the reward manipulation. First, subjects 141 received trial-to-trial feedback. Being constantly confronted with their own performance may 142 143 have motivated them to perform as well as possible regardless of the amount of bonus they could earn. Second, since the bonus was mentioned only at the beginning of the experiment, 144 subjects may have performed the task without having the bonus strongly on their minds. To 145 address these potential confounds, we ran a second experiment in which subjects did not receive 146 147 trial-to-trial feedback and were reminded regularly of the bonus.

¹ BF_{incl} quantifies how likely the data are under the models that include a main or interaction effect relative to how likely they are under models that do not include this effect. For example, BF_{incl}=0.048 for a main effect of bonus size indicates that the data are 1/0.048=~20.8 times more likely under the models that do not include this main effect compared to models that do include it.

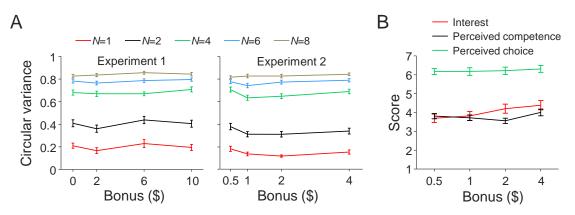


Figure 2 | Effect of bonus on VWM performance and motivation scores. (A) Subject-averaged circular variance of the estimation error distribution as a function of the amount of potential bonus in Experiments 1 (left) and 2 (right). (B) Intrinsic Motivation Inventory scores as a function of the amount of potential bonus, split by item category. Error bars indicate 1 s.e.m.

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151 EXPERIMENT 2

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153 **Recruitment**

A new cohort of subjects was recruited on the Amazon Mechanical Turk platform. The 154 experiment was visible only to subjects who were located in the USA, had not participated in 155 the experiment before, and had an approval rate of 95% or higher. A total of 241 subjects signed 156 up, of whom 41 were disqualified due to failing the post-instruction quiz. The remaining 200 157 subjects were randomly assigned to four groups (n=52, 48, 50, 50) that again differed in the 158 159 amount of potential bonus payment. The base payment was \$5 and the potential bonus amounts were \$0.50, \$1, \$2, and \$4. The experiment was approved by the Institutional Review Board of 160 161 New York University.

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163 Stimuli and procedure

The stimuli and procedure for Experiment 2 were identical to Experiment 1, except for the 164 165 following differences. First, subjects were reminded of the bonus four times in the instruction screen (compared to only once in Experiment 1) and during the task itself the following message 166 appeared after every 50 trials: "You have completed X% of the Experiment. Remember that you 167 have the chance to earn a \$Y bonus!", where X and Y were determined by the number of 168 completed trials and the amount of bonus, respectively. Second, no performance feedback was 169 given, neither during practice nor during the actual experiment. Third, the length of the practice 170 phase was reduced to 10 trials, but three "walk-through trials" were added at the start in which 171 subjects were fully guided with additional written instructions. Lastly, after the experiment, 172

subjects filled out 20 questions from the Intrinsic Motivation Inventory (McAuley, Duncan, &
Tammen, 1989; Ryan, 1982) which related to their "Interest", "Perceived choice", and
"Perceived competence" in the task. They rated these items on a Likert scale from 1 ("not at all
true") to 7 ("very true"). The full questionnaire can be found at https://osf.io/mwz27.

177

178 **Results**

Data from 27 subjects were excluded because they failed to respond to one of the attention-179 checking questions (9 subjects) or had a response error distribution that did not significantly 180 181 differ from a uniform distribution according to a Kolmogorov-Smirnov test (18 subjects). We performed the same statistical analyses as in Experiment 1 on the data from the remaining 173 182 183 subjects (Fig. 2A, right). Again, we found extremely strong evidence for a main effect of set size $(BF_{incl}=\infty)$ and evidence *against* a main effect of bonus size $(BF_{incl}=0.34)$. Hence, it seems 184 185 unlikely that the absence of an effect in Experiment 1 was due to subjects being unaware of the potential bonus payment or due to presence of trial-to-trial feedback. 186

187 Next, we assessed whether bonus size affected the subjects' scores on the intrinsic motivation inventory questions (Fig. 2B). Using Bayesian one-way ANOVAs, we found that 188 189 there was no effect in any of the three categories: $BF_{10}=0.275$ for mean "interest" scores, BF₁₀=0.174 for mean "perceived competence" scores, and BF₁₀=0.034 for mean "perceived 190 choice" scores. Nevertheless, we noticed that there was considerable variation in the intrinsic 191 motivation scores across subjects, especially in the "Interest" and "Perceived competence" 192 categories (Fig. 3A). Therefore, we next tested if there was an effect of motivation scores on 193 194 VWM performance. To this end, we grouped subjects from Experiment 2 into "low motivation" and "high motivation" subgroups by using a median split on each of the three categories of the 195 196 Intrinsic Motivation Inventory (Fig. 3B). To examine whether scores in any of the three categories is predictive of VWM performance, we performed a repeated-measures Bayesian 197 ANOVA with set size as within-subjects factor and motivation score ("low" and "high") as a 198 between-subjects factor. All three tests provided evidence for the null hypothesis that there was 199 200 no performance difference between subjects in the low and high motivation subgroups (Interest: BF_{incl}=0.12; Perceived competence: BF_{incl}=0.21; Perceived choice: BF_{incl}=0.21). 201

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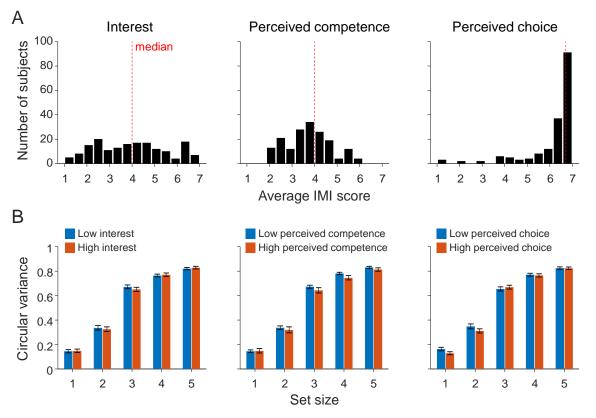


Figure 3 | Comparison of VWM performance between subjects with low and high scores on the Intrinsic Motivation Inventory (IMI). (A) Distribution of average IMI scores, split by question category. (B) Circular variance of the response error plotted separately for subjects with below-median and above-median scores on the IMI questionnaire.

204 205

206 **Discussion**

207 The aim of Experiment 2 was to test whether the null effect from Experiment 1 persists if we remove trial-by-trial feedback and remind subjects more often of the potential bonus. We found 208 209 that this was not the case: again, there was no effect of monetary reward on VWM performance. 210 This further strengthens the hypotheses that VWM resource allocation is independent of 211 monetary reward. We also found that intrinsic motivation does not depend on the amount of 212 monetary reward. This suggests that our current results are limited to the domain of *external* motivation and leave open the possibility that VWM resource allocation may be sensitive to 213 manipulations of *intrinsic* motivation. 214

215

216 GENERAL DISCUSSION

In two experiments, we found no evidence that VWM resource allocation depends on performance-contingent monetary reward. We consider multiple possible explanations for this finding. First, it may be that VWM uses a fixed amount of resource, independent of the task at hand. However, this explanation contradicts previous evidence suggesting that the amount of

allocated resource depends on task instructions (Bengson & Luck, 2016), set size (van den Berg 221 222 & Ma, 2018), and cueing condition (Myers et al., 2018). Moreover, this kind of rigidity would stand in stark contrast to the flexibility with which VWM resource is divided among items 223 within a trial when items have varying importance (Bays, 2014; Bays et al., 2011; Emrich et 224 al., 2017; Gorgoraptis et al., 2011; Yoo et al., 2018; Zokaei et al., 2011). A second possible 225 explanation for the null effects is that the bonuses may have been too small to cause an effect. 226 227 We believe this to be unlikely too, especially in Experiment 1, where the bonus could increase 228 the earnings in one of the groups by a factor 11 (\$10 bonus in addition to \$1 base payment). 229 Third, subjects might not have had the bonus strongly enough on their minds when performing the task. While this explanation could be plausible in Experiment 1 - where subjects were 230 231 informed about the bonus only at the very beginning of the experiment – it seems implausible in Experiment 2, where they were regularly reminded of it. Fourth, it may be that bonus 232 233 manipulations are only effective when they are administered on a trial-by-trial basis, as suggested by an earlier study on the relation between task preparation and reward (Shen & 234 235 Chun, 2011). Fifth, we may inadvertently have biased our subject sample to "over-performers", by only recruiting subjects who had a high approval rate on the Amazon Turk. The desire to 236 237 maintain a high approval rate may have worked as a strong incentive for these subjects to perform well, regardless of the amount of performance-related bonus they could earn. 238

Altogether, the currently available evidence on the relation between motivation and 239 VWM performance remains slim and mixed, which would make any strong conclusion 240 premature. One important direction for future research would be to use a within-subject design 241 that test effects of trial-by-trial variations in monetary reward. Another interesting direction 242 would be to test for effects of intrinsic motivation on VWM performance, for example by 243 "gamifying" the experiment (Hamari, Koivisto, & Sarsa, 2014). Finally, it would be worthwhile 244 to examine whether subjects recruited on the Amazon Mechanical Turk platform are generally 245 "over-performers", because this would have important implications for studies that examine 246 247 effects of motivation on human behavior.

248

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343 APPENDIX

344 Scoring functions

345 In both experiments, subjects received points on each trial based on the accuracy of their

estimate. In Experiment 1, errors were mapped to scores through the function $s = 10 \cdot e^{-\frac{\varepsilon^2}{800}}$, where ε is the error in degree. The score was rounded to the nearest integer to obtain the number of points (Fig A1, black). In Experiment 2, a highly similar function was used (Fig. A1, red).

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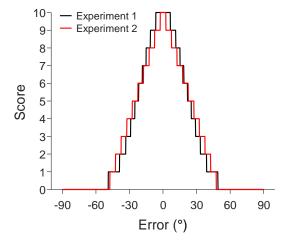


Figure A1 | Functions used to map an estimation error to a score in Experiments 1 and 2.

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