Mental operations in rhythm: motor-to-sensory transformation mediates imagined singing

Yanzhu Li[1,2], Huan Luo[3] & Xing Tian[1,2]*

[1] New York University Shanghai, Shanghai, China

[2] NYU-ECNU Institute of Brain and Cognitive Science at NYU Shanghai, Shanghai, China

[3] Peking University, Beijing, China

* Corresponding author

E-mail: xing.tian@nyu.edu

Abstract

What enables our mental activities for thinking verbally or humming in our mind? We hypothesized that the interaction between motor and sensory systems induces speech and melodic mental representations, and this motor-to-sensory transformation forms the neural basis that enables our verbal thinking and covert singing. Analogous with the neural entrainment to auditory stimuli, participants imagined singing lyrics of well-known songs rhythmically while their neural electromagnetic signals were recorded using magnetoencephalography (MEG). We found that when participants imagined singing the same song in similar durations across trials, the delta frequency band (1-3 Hz, similar to the rhythm of the songs) showed more consistent phase coherence across trials. This neural phase tracking of imagined singing was observed in a frontal-parietal-temporal network – the proposed motor-to-sensory transformation pathway, including the inferior frontal gyrus (IFG), insula, premotor, intra-parietal sulcus (IPS), the temporal-parietal junction (TPJ), primary auditory cortex (HG), and superior temporal gyrus and sulcus (STG & STS). These results suggest that neural responses can entrain the rhythm of mental activity. Moreover, the theta band (4-8 Hz) phase coherence was localized in the auditory cortices. The mu (9-12 Hz) and beta (17-20 Hz) bands were observed in the right-lateralized sensorimotor systems that were consistent with the singing context. The gamma band was broadly manifested in the observed network. The coherent activation in the motor-to-sensory transformation network as well as the frequency-specific activation in the motor,

somatosensory, and auditory cortices mediate the internal construction of perceptual

representations and form the foundation of neural computations for mental operations.

Introduction

'What is this paper about?' You are probably asking this question in your mind. We think in a verbal form all the time in our everyday lives. Verbal thinking is one of the common mental operations that manifest as inner speech – a type of mental imagery induced by covert speaking [1-3]. Another related common mental phenomenon is the 'earworm' – a piece of music that repeats in someone's mind or the involuntary action of humming a melody. What enables these mental operations that take the form of speech and singing?

Neural evidence suggests that modality-specific cortical processes mediate covert operations of mental functions. For example, previous studies have demonstrated that mental imagery is mediated by neural activity in modality-specific cortices, such as the motor system for motor imagery [4, 5], sensory systems for visual imagery [6, 7] and auditory imagery [8, 9].

Recently, the internal forward model has been proposed to link the motor and sensory systems [10]. The presupposition of the model is a *motor-to-sensory transformation* -- a copy of motor command, termed as efference copy, is internally

sent to sensory regions to estimate the perceptual consequence of actions [11-13].

This motor-to-sensory transformation has been demonstrated in speech production,

learning and control [14-18], and has been extended to speech imagery [3, 19-26].

This motor-to-sensory transformation has been suggested to be in a frontal-parietal-

temporal network. Specifically, it has been assumed that the motor system in the

frontal lobe simulates the motor action, while the sensory systems in the parietal and

temporal lobes estimate the possible perceptual changes caused by the action [3, 22,

25]. Would the continuous simulation and estimation in the motor-to-sensory

transformation network mediate the mental operations of covert singing and inner

speech during verbal thinking [27]?

Thinking verbally and singing covertly are similar to speech because they all

unfold over time. The analysis of time-series information in speech perception has

been investigated using a neural entrainment paradigm. It has been demonstrated that

neural responses can be temporally aligned to the frequency of acoustic features, such

as speech envelopes [28, 29]. The neural responses can also entrain perceptual and

cognitive constructs, such as syllabic information [30], music beats [31, 32], syntactic

structures [33], and language formality structures [34]. That is, the frequency of

neural responses can mirror the rate of internal representation derived from external

stimulations. Would neural responses track the representations that are constructed

without external stimulation, such as covert singing and inner speech during verbal

thinking?

This study aims to use a rhythmic entrainment paradigm to investigate the

neural mechanisms that mediate mental operations such as inner speech and covert

singing. This 'entrainment' is in a broader sense, as suggested by [35]. We

implemented a natural and rhythmic setting in which participants imagined singing

lyrics of well-known songs by imitating the same songs heard before the imagery

tasks (Fig 1A). A color change of the fixation cued the onset of imagery. The offset of

imagery was indicated by a button-press by participants. Unlike the frequency-

tracking of passive listening to an external stimulus that has a consistent rate across

trials, the production rate in the active imagery task inevitably has temporal variance

across trials. We used two approaches to deal with the temporal variation. First, the

purpose of a musical context was to reduce the large temporal variability during

imagery – participants would imagine singing at a more consistent rate compared to

saying the same lyrics. Second, we took advantage of the remaining temporal

variation among trials in imagery (Fig 1B and 1C and 1D). The variation in the

duration of performing imagery tasks correlated with the temporal consistency of

neural responses across trials. If the neural responses tracked the rate of mental

operations, the phase coherence of neural responses would be different between two

groups of imagery trials that have different durations (Fig 1E). According to our

hypothesis that the motor-to-sensory transformation neural network mediates inner

speech and covert singing, we predicted that the different degrees of neural tracking to

the rate of mental operations would be observed in specific areas in the frontal,

parietal, and temporal regions (Fig 1F), where the core computations for motor

simulations and perceptual estimations in motor-to-sensory transformation have been

indicated [3, 22, 25].

Fig 1. Rhythmic entrainment of imagined singing and hypothesis of neural phase tracking. A) Experimental paradigm. According to the color of visual fixation, participants listened to the first sentence of three well-known songs, followed by imagined singing of the song they just heard (the Alphabet Song is used for illustration). Participants pressed a button to indicate the end of their imagery. B) Reaction time (RT) of imagined singing for the three songs. The red dashed lines indicate the duration of the three songs. The duration of imagined singing was longer than the preceding auditory stimuli. Each blue dot indicates an individual RT. C) Distribution of imagined singing RT. The z-scores of RT followed a normal distribution, with about half of the trials within two standard deviations. D) Grouping of imagined singing trials. Twenty-four trials of each song were sorted in ascending order based on their z-scores and separated into two groups. The twelve trials that were close to the mean RT were selected for the *center-group*, whereas the other twelve trials that were further away from the mean RT were included in the *dispersed-group*. E) Hypothesis about neural phase coherence across trials of imagined singing. Schematic display of two trials in each group. The short bars indicate the beginning and end of a trial. The wave lines represent neural oscillations. The trials in the *dispersed-group* have different durations, thus, the temporal variance was large. The phase of neural oscillation that corresponded to the construction of syllabic representation during imagined singing did not align across trials. On the other hand, the temporal variance was small across trials in the *center-group*, hence, the phase of neural oscillation is more coherent across trials. F) Hypothesis about phase coherence in the motor-to-sensory transformation network during imagined singing. The motor-to-sensory transformation was assumed to manifest in a frontal-parietal-temporal network, including the inferior frontal gyrus (IFG), insular cortex (INS), premotor, and supplementary motor area (SMA) in the frontal lobe for simulating articulation;

somatosensory areas (SI, SII), supramarginal gyrus (SMG) and its adjacent parietal operculum (OP), angular gyrus (AG) and temporal-parietal junction (TPJ) in the parietal lobe for estimating somatosensory consequence; as well as the superior temporal gyrus and sulcus (STG & STS) with a possibility of extension to the Heschl's gyrus (HG) in the temporal lobe for estimating auditory consequence. The more consistent phase coherence at the delta band (1-3 Hz) – the rate of imagined singing – was predicted to be observed in the motor-to-sensory transformation network.

Results

The reaction time in the imagery condition suggested that the duration of imagined singing was longer than the duration of the auditory stimuli (Fig 1B) [one sample t-test; for song 1, $t(15) = 6.04$, $p < 0.001$; for song 2, $t(15) = 2.64$, $p = 0.02$; for song 3, $t(15) = 2.82$, $p = 0.01$]. A repeat measures one-way ANOVA did not reveal differences, in the increase of duration, among imagined singing of the three songs [$F(2) = 2.17$, $p = 0.126$]. This suggested that the reduced speed in the imagery condition, presumably caused by the imagery task and motor responses of button pressing, was consistent during imagery of all songs. The distribution of RT (Fig 1C) followed a normal distribution [chi-square goodness-of-fit test, $\chi^2(4) = 2.30$, $p = 0.68$] and revealed that about half of the trials fall within two (plus and minus) standard deviations. Two groups of trials, based on the variation from the mean of RT, were formed for further analysis of the imagined singing MEG responses. For imagery of each song, 12 trials that were close to the mean RT were included in the *center-group*, whereas the 12 trials that were farther away from the mean RT were included in the *dispersed-group* (Fig 1D).

We first examined the MEG responses in the temporal domain. The responses that were time-locked to the onset of auditory stimuli (event-related responses)

revealed a clear peak and topography of M100 auditory response (Fig 2A). Moreover,

in the imagery condition, a topographic pattern that was similar to the M100 auditory

response was observed around a similar latency (Fig 1B), even though no external

auditory stimulus was presented in the imagery condition. Both M100 responses

demonstrated a canonical auditory response topography in which a dipole pattern over

the temporal lobe region in each hemisphere was observed [e.g., 36]. This response

topography contrasted with earlier visual responses in MEG that showed more

posterior dipole patterns [e.g., 37, 38]. Therefore, the M100 responses in both the

listening and imagery conditions were less likely to be induced by the fixation color

changes. This similar event-related auditory response in the listening and imagery

conditions was consistent with our previous findings (Tian & Poeppel, 2010) and

suggested that auditory cortices were activated during the imagery condition.

**Fig 2. MEG results of temporal responses and neural tracking in the delta band
(1-3 Hz).** A) Waveforms and topographies in the listening condition (the Alphabet
Song). The vertical, dotted line at time 0 indicates the onset of the auditory stimuli.
Each black line represents the waveform responses from a sensor. The red bold line

represents the root-mean-square (RMS) waveform across all sensors. Topographies are plotted every 333ms from -1000ms to 4000ms. A clear auditory onset event-related response (M100, the single topography in the upper row) was observed. B) Waveforms and topographies in the imagery condition. Similar depicting form as in A). The vertical dotted line at time 0 indicates the onset of imagined singing. No repetitive patterns in topographies across the period. A similar event-related response in the range of M100 latency, as in the listening condition, was observed (the single topography in the upper row). C) Phase-coherence results in the listening condition. Neural entrainment in the delta band was observed in the HG and its adjacent aSTG, aSTS, and pINS. D) Phase coherence results in the imagery condition. More consistent neural entrainment in the delta band was observed in the proposed motor-to-sensory network, including frontal areas (IFG, aINS and premotor), parietal areas (intraparietal sulcus (IPS) and TPJ), and temporal areas (HG, aSTG, and m&pSTS). These observed cortical areas were consistent with the predicted frontal-parietal-temporal regions in Fig 1F. E) Results of direct comparison between the listening and imagery conditions (Listening > Imagery). Greater phase coherence in listening than imagery was observed in bilateral HG and adjunct pINS. F) Results of direct comparison between the listening and imagery conditions (Imagery > Listening). Greater phase coherence in listening than imagery was observed in premotor areas and IPS. G) Results of conjunction analysis between the listening and imagery conditions. Overlapped significant phase coherence was observed in the bilateral primary auditory cortex, left pINS, and aSTG.

No repetitive topographic patterns were observed in the time course of listening or imagery (Fig 1A and 1B), suggesting that tracking of the acoustic stream or the rate of imagery was not by the response magnitude. These results are consistent with previous studies that showed the absence of power coherence in speech tracking [29]. The lack of effects in response magnitude was probably due to repetition suppression [39]. Repetitions of similar processes decreased the response magnitude. Moreover, the temporal variance in imagery could further reduce the magnitude of evoked responses. Therefore, we further investigated the timing information and neural tracking of the induced responses in the spectral domain.

The MEG responses in the listening condition showed the neural tracking of rhythm in the songs. The phase-coherence analysis revealed that the significant

differences ($p_{corr(FDR)} < 0.05$) between the ITC of the *within-group* and *between-group*

were localized mostly in the primary auditory cortex (HG) and its adjacent areas,

including the left anterior superior temporal gyrus (aSTG) and sulcus (aSTS), and

bilateral posterior insula (pINS) (Fig 2C). (See S1 Fig and S1 Table for precise

anatomical locations.) These results suggest that auditory systems can reliably follow

the rhythm of acoustic signals and demonstrate the validity and accuracy of source

localization based on phase coherence.

For the imagery condition, the comparison between the ITC in the *center-group*

and *dispersed-group* revealed three significant clusters ($p_{corr(FDR)} < 0.05$) in the

frontal, parietal and temporal regions (Fig 2D). Specifically, in the frontal region,

more consistent phase coherence was observed in the inferior frontal gyrus (IFG),

insular cortex, and premotor cortex (PreM). In the parietal region, the differences

were in the bilateral intra-parietal sulcus (IPS) and temporal-parietal junction (TPJ).

In the temporal region, more consistent phase coherence was localized in the bilateral

HG, left aSTG, and right middle and posterior superior temporal sulcus (m&pSTS).

These phase coherence results during imagined singing were observed in the proposed

core computational regions of motor-to-sensory transformation (Fig 1F). These results

suggest that neural responses track the dynamics of mental operations in the motor-

based prediction pathway [3, 22, 23, 25].

The reliability of the neural entrainment in listening and imagery was further

tested using an extended period of 6s data epochs (S2 Fig). The results were

consistent with those obtained using 4s epochs (Fig 2C). The entrainment was

localized at the bilateral primary auditory cortex, aSTG, and INS in the listening

condition, whereas localization of phase coherence differences in the imagery

condition was observed in the proposed frontal-parietal-temporal network, similar to

the results in Fig 2D. Moreover, the analysis of four 3s consecutive time bins revealed

that the entrainment to imagery required time to be established, as the phase

coherence differences became more stable in the later time bins (S3 Fig).

The direct comparison between listening and imagery revealed stronger phase

coherence in the HG and pINS in the listening condition than that in the imagery

condition (Fig 2E), whereas greater phase coherence in the premotor areas and IPS in

the imagery condition than that in the listening condition (Fig 2F). These results were

consistent with common observations that perception has a more robust neural

activation than imagery and additional frontal and parietal areas are engaged in speech

imagery [e.g., 22]. More importantly, in the conjunction analysis, the significant

phase coherence in listening and imagery conditions overlapped over the primary

auditory cortex and extended to the posterior part of the insular cortex and in the

anterior part of STG (Fig 2G). These overlaps suggest the motor-to-sensory

transformation during imagery can induce similar auditory representation as in

perception [21].

To further explore the functional specificity of dynamic processing in the motor-

to-sensory pathway, we performed the phase-coherence analysis in a broad range of

frequency bands (Fig 3). We found that in the 4-7 Hz (the theta band), only the

primary auditory cortex and anterior part of STG and STS in the left hemisphere

1 showed significant phase coherence. This focused theta band activation in the

2 auditory cortices, contrasted with the collaborative frontal-parietal-temporal network

3 activation of the entire motor-to-sensory pathway in the delta band (Fig 1), was

4 consistent with the specific role of the theta band in auditory and speech processing

5 [e.g., 40, 41].

Fig 3. Localization results of phase coherence in the imagery condition in the theta (4-8 Hz), mu (9-12 Hz), low-beta (13-16 Hz), mid-beta (17-20 Hz), high-beta (21-28 Hz), and low-gamma (30-48 Hz) bands. A) The phase coherence in the theta band was observed in the left primary auditory cortex, STG, and STS. B) The phase

coherence in the mu band was observed over the sensorimotor cortices in the right hemisphere, as well as in the inferior parts of the subcentral gyrus, and the opercular part of the inferior frontal gyrus in the left hemisphere. C) Only a small patch of subcentral gyrus, inferior frontal gyrus, and mSTG was observed in the low-beta band. D) The phase coherence in the mid-beta band was observed in similar and broader right sensorimotor cortices as in the mu band in B). In the left hemisphere, the premotor cortex was observed in addition to the observed areas in the mu band. E) In the high-beta band, the phase coherence was observed in pSTG and pSTS in the right hemisphere and a small patch of aSTG in the left hemisphere. F) Similar and broader networks, as observed in the neural tracking of the delta band in Fig 2D, were observed in the low-gamma band.

A broad sensorimotor network in the right hemisphere was observed in 8-12 Hz (the alpha band, or the 'mu' rhythm) and 16-20 Hz (the mid-beta band). These frequency bands also showed higher phase coherence in the inferior parts of subcentral gyrus and opercular part of the inferior frontal gyrus in the left hemisphere; The 16-20 Hz also extended to the premotor cortex. The specific involvement of sensory and motor systems in the mu and beta bands were consistent with the alpha-beta synchronization in motor control and motor imagery [e.g., 42, 43], suggesting the specific dynamics for the motor simulation and somatosensory estimation in the motor-to-sensory transformation [3, 22, 25]. The right hemisphere dominance was consistent with imagery in a singing context [e.g., 44, 45, 46].

In the low-gamma band, greater synchronization was observed in the *center-group* than that in the *dispersed-group* over the entire motor and sensory systems that showed tracking of imagery in the delta band (Fig 2D). These results are consistent with the view of local computations for the gamma band [47, 48]. The distinctive neural involvement in the tracking rate of the delta, as well as in the theta, mu, beta, and gamma bands, collaboratively indicates the extent of the motor-to-sensory

transformation network and the specific functional dynamics of each frequency

component in this network.

Discussion

We investigated the function and dynamics of neural networks that mediate the

mental operations of inner speech and covert singing. With a rhythmic entrainment

imagined singing paradigm, we found that frontal-parietal-temporal regions in the

proposed motor-to-sensory network collaboratively synchronize at the rate of mental

operations. Moreover, a double dissociation of operating frequencies and anatomical

locations was found in the motor, somatosensory and auditory cortices that

distinctively relate to inner speech and covert singing. These results suggest that

neural responses can entrain the rhythm of mental activity and the synchronized

neural activity in the motor-to-sensory transformation network mediates mental

operations.

Neural dynamics are crucial for understanding the computations that mediate

cognitive functions [49, 50]. However, probing dynamics is difficult, especially the

ones that mediate mental operations without external stimulations. The active nature

of mental tasks inevitably causes temporal variations that undermine the foundations

of methods for probing dynamics. For example, the phase coherence analysis in the

neural entrainment approach is well established for speech perception. However, the

same analysis cannot be directly applied to investigate the neural tracking of speech

imagery -- the within-group would have too much temporal variance across trials and

hence the phase coherence would be too small to detect. In this study, we overcame

this obstacle by taking advantage of the temporal variance -- separating trials based on

behavioral timing (Fig 1D). This methodological advance along with the use of

naturalistic sounds and source localization, makes it possible to investigate the

dynamics in neural networks that mediate mental operations.

Using the functional constraints of phase coherence, the observed frontal-

parietal-temporal network during imagined singing (Fig 2D) was consistent with the

proposed motor-to-sensory transformation network. The observed IFG, premotor and

insular cortices in the frontal region during imagined singing have been demonstrated

in articulatory preparation during overt speech [30, 51, 52] and covert speech [53, 54].

The responses in these frontal cortices were also consistent with findings in speech

imagery, reflecting the function of motor simulation [25]. In the parietal region, the

observation of IPS and TPJ, an area closed to SMG, PO and Angular Gyrus (AG), has

also been suggested for sensorimotor integration and goal-directed prediction-based

speech feedback control [55-58]. The activation of similar parietal areas of TPJ, SMG,

PO, and adjacent IPS was also observed during speech imagery, suggesting the

possible functions for estimating somatosensory consequences of actions [22, 25].

The observations in the temporal region further support the motor-to-sensory

transformation in covert singing and inner speech in verbal thinking. The similar

evoked M100 responses in both listening and imagery conditions (Fig 2A and 2B)

suggested that auditory representations can be established in both bottom-up and top-

down manners. These auditory representations in imagery were probably induced by

different processes from those mediating omission responses [e.g., 59], because the

regular occurrence of an active task was required in this imagery study. Moreover,

overlapped phase coherence results were observed in the temporal regions of the

primary and secondary auditory cortices between the listening and imagery conditions

(Fig 2G). The STG was commonly observed during musical imagery [60] and the

activation of auditory imagery has been shown to extend to the HG [8]. The

observation of HG during imagined singing in this study was consistent with the

hypothesis that high task demand drives auditory estimation down to the primary

sensory area [21]. The rSTS was only observed in imagined singing but not in

listening conditions, which is consistent with previous findings [25], suggesting a

possible specific functional role of STS in auditory imagery. The additional frontal

(premotor) and parietal (TPJ, IPS) activations in imagined singing suggested that

auditory representations, similar to the representations established in perception, can

be constructed via the motor-to-sensory transformation pathway [3, 22, 25].

The observations of neural structures that operate at separate frequency bands are

consistent with our hypothesis regarding the algorithms and neural implementations in

the motor-to-sensory transformation. The phase coherence and its distribution during

imagery are distinct from previous observations in speech perception [e.g. 29, 40, 41,

61, 62-64], suggesting unique motor-to-sensory transformation during mental

operations. Specifically, first, using songs with a rhythm in the delta range, a complete

frontal-parietal-temporal network was revealed in the corresponding low-frequency

band during the imagined singing tasks (Fig 2D). These results provide functional

evidence suggesting the extent of the motor-to-sensory transformation pathway,

consistent with findings in neuroimaging studies [65-67]. More importantly, the

neural phase tracking in the delta band suggested that the fluctuation of the

excitability in the network temporally aligned with the unfolding of mental

operations.

Second, phase coherence at the theta band was localized at temporal auditory

regions (Fig 3A). These results are consistent with the view that theta oscillations

actively mediate the auditory and speech processes [40, 41]. In the context of motor-

to-sensory transformation, the precise localization of the theta band suggested that

theta oscillations could mediate specific computations for constructing speech-like

representations.

Third, the mu and beta bands have indicated neural rhythmic signatures for both

motor control and imagery [e.g., 42, 43]. In this study, we observed a broad

sensorimotor network operating in the mu and beta rhythms during the imagined

singing tasks (Fig 3B and 3D). These results further suggested that computations

could be executed at these rhythms to internally simulate action during imagery [3,

12, 20, 22, 25]. Such motor simulations presumably generate an efference copy that is

used to emulate the somatosensory consequences of actions for estimating the status

of motor effectors [3, 22, 25].

The hemispheric lateralization suggests the possible commonalities and

distinctions between inner speech and covert singing. The bilateral observations in the

delta band supported neural entrainment to the common rhythmicity in both types of

mental operations. The lateralized theta band in the left auditory cortices was consistent with speech-weighted processing [68]. Whereas, the right-hemispheric dominance in the mu and beta bands over the sensorimotor cortices suggested the potential construction of melodic and tonal information in the singing context [e.g., 44, 45, 46]. The switching from right to left hemisphere is consistent with the observations that the contents of auditory information determine the hemispheric lateralization –the right-lateralized processing of the humming tones is switched to the left lateralization when the tones are superimposed on syllabic contents [69].

Fourth, the gamma band was observed over the entire motor and sensory systems. This result indicated the implementation of local computations in modality-specific regions [47, 48]. Together, the motor-to-sensory transformation network possesses distinct dynamics for mediating mental operations. The frontal-parietal-temporal network entrains the rhythm of metal operations. This low-frequency entrainment is suggested to facilitate communication and coordination between cortical areas for specific tasks via traveling waves [70-72]. Moreover, via cross-frequency coupling [47], specific frequencies (theta for auditory, mu and beta for sensorimotor) may modulate the gamma band to achieve the computations of motor simulation as well as somatosensory and auditory estimation in the motor-to-sensory transformation in the imagery tasks [48, 68]. Future studies are necessary to investigate these hypotheses regarding the connectivity across frequencies and cortical regions so that the functional contributions of the proposed model can be thoroughly examined.

This study also provides hints about the possible functions of neural oscillations on perception. Many studies have demonstrated that neural oscillations can entrain speech signals [28, 29]. However, it is still in debate whether the entrainment is driven by the stimuli features or is modulated by top-down factors on intrinsic oscillations [35, 73]. Previous neural entrainment experiments have used external stimuli and investigated how neural oscillations track physical features. The perceptual constructs are thus derived from the external stimuli features. It is hard, if not impossible, to separate perception from stimuli, and hence the debate cannot be resolved. Our results of imagined singing without external stimulation suggest that the phase of neural oscillations can align with internal mental operations. That is, internally constructed representations can modulate the phase of neural oscillations during rhythmic mental imagery. These results support the view that top-down factors modulates intrinsic neural oscillations.

Our results may impact practical and clinical domains. The motor-to-sensory transformation network for imagined speech may implicate novel strategies for building a brain-computer interface (BCI). Previously, direct BCIs mostly focus on the motor system [74]. Our findings of synchronized neural activity across motor and sensory domains during mental imagery suggest possible updates for decoding algorithms from a system-level and multi-modal perspective, which is hinted in a recent advance [75]. Moreover, our results offer insights into the functional and anatomical foundations of auditory hallucinations. We have previously hypothesized that from a cognitive perspective, auditory hallucinations may be caused by incorrect

source monitoring of internally self-induced auditory representations [3, 12]. These results of synchronized neural activity in the frontal-parietal-temporal network suggest the possible neural pathways for the internal generation of auditory representation. These results are consistent with the neural modulation treatment for auditory hallucinations, which targets the motor-to-sensory transformation network with electric stimulation [76].

Using a rhythmic entrainment imagined singing paradigm, we observed that the neural phase was modulated at the rate of inner speech and covert singing. The synchronized activity spanned across dedicated frontal-parietal-temporal regions at multiple frequency bands, which is evidence of the motor-to-sensory transformation. The coherent activation in the motor-to-sensory transformation network mediated the internal construction of perceptual representations and formed the neural computational foundation for mental operations.

Materials and methods

Ethics statement

The study was approved by the New York University Institutional Review Board (IRB# 10–7107) and conducted in conformity with the 45 Code of Federal Regulations (CFR) part 46 and the principles of the Belmont Report.

Participants: Sixteen volunteers (7 males, mean age = 25 years, range from 19 to 32 years) participated in this experiment and received monetary compensation. All

participants were right-handed, native English speakers and without a history of

neurological disorders. This experiment was approved by the Institutional Review

Board (IRB) at New York University.

The number of participants was pre-determined based on our previous studies that

investigated similar speech imagery using MEG [21, 24]. Moreover, the number of 16

participants is in the upper range of the total participants in previous MEG studies that

investigated neural entrainment [e.g., 33, 34, 41, 77]. The effect size that is required

to have 80% power at an alpha level of 0.05 for a sample size of 16 is Cohen's $d =$

0.99. As the standard deviation estimated in our study was 0.07, the absolute effect

size in the measure (phase coherence) was 0.07. The post-hoc effect size from our

data in all sensors was $d = 1.50$ ($d_z = 2.32$). The absolute effect size is 0.09. In the

clustering analysis using in this study, we used a pre-cluster threshold of 0.001 in the

paired t-test. Consider the sample size of 16, the minimal effect size is $d_z = 1.02$. The

post-hoc effect size from the data in Heschl's gyrus that was independently defined by

cortical parcellation (S1 Table and S1 Fig), was $d = 1.21$ ($d_z = 1.89$). The absolute

effect size is 0.11.

Materials: Female vocals were recorded singing the first sentence of four well-

known songs (Alphabet Song: 6.24 s, 16 syllables, 2.56 syllables/sec; Itsy Bitsy

Spider: 7.38 s, 23 syllables, 3.12 syllables/sec; Take Me out to the Ball Game: 5.42 s,

13 syllables, 2.40 syllables/sec; and Twinkle Twinkle Little Star: 6.10s, 14 syllables,

2.30 syllables/sec). Arguably, the faster the songs were, the more difficult it would be

to sing them at a similar speed across trials (e.g., more temporal variance). Therefore,

we recorded the songs at a normal comfortable and intermediate speed (about 3 Hz of

syllabic rate) to minimize the temporal variance and hence maximize the statistical

power of neural entrainment in the imagery conditions. All songs were recorded with

a sampling rate of 44.1 kHz. During the experiment, stimuli were normalized and

delivered at about 70 dB SPL via plastic air tubes connected to foam earpieces (E-A-

R Tone Gold 3A Insert earphones, Aearo Technologies Auditory Systems).

Procedure: A fixation cross was presented in the center of the screen throughout the

experiment. Color changes of the fixation were used as cues for the listening and

imagery tasks to avoid contrast changes (onset and offset of the fixation) that could

induce large visual responses. Participants were asked to listen to one of the four

songs when the color of fixation was red (the listening condition). The fixation

changed to yellow after the auditory stimulus offset. After 1.5s, the fixation changed

to purple, and participants were required to imagine singing the song they just heard

(the imagery condition). They were asked to covertly reproduce the song using the

same rhythm and speed as the preceding auditory stimuli. Participants pressed a

button to indicate the completion of imagery. Reaction time was recorded between the

onset of the visual cue for imagery and when the button was pressed to indicate the

completion of imagery. After the button-press, the fixation turned yellow and stayed

on screen for 1.5s-2.5s (with an increment of 0.333s) until the next trial began.

Participants were required to refrain from any overt movement and vocalization

during imagined singing. A video camera and a microphone were used to monitor any

overt movement and vocalization throughout the experiment.

Four blocks were included in this experiment, with 24 trials in each block (6 trials per

song in each block, 24 trials per song in total). The presentation order was

randomized. Participants were familiarized with the experimental procedure before

the experiment.

Behavioral analysis: The song, 'Twinkle Twinkle Little Star,' was used for a

research question independent from this study. Therefore, only three songs were used

for further analysis. The reaction time (RT) was quantified as the duration between

the onset of visual cue for imagery and participants' bottom-press that indicated the

end of imagery. The mean RT was obtained for the imagery of each song. The RT

data were further transformed into z-scores. The distribution of z-scores was obtained

and averaged across the three songs. The RT z-scores of 24 trials were ranked from

shortest to longest for each song and averaged across the three songs. Two groups

were formed based on RT ranking: the *center-group* consisted of the 12 trials closest

to the mean RT, whereas the *dispersed-group* comprised of the other 12 trials that

were farther away from the mean RT. Because the separation of trials was defined by

the differences between individual trial RT and the mean RT in the imagery condition,

the RT differences among trials in the *center-group* were much smaller than those

among the trials in the *dispersed-group* (Fig 1D). Similar durations indicated that

trials in the *center-group* were more likely imagined in a similar temporal manner.

These temporal differences between groups of trials were used in the phase coherence

analysis of MEG to investigate our hypothesis of neural entrainment to imagery.

MEG recording: Neuromagnetic signals were measured using a 157-channel whole-head axial gradiometer system (KIT, Kanazawa, Japan). Five electromagnetic coils were attached to each participant's head to monitor the head position during MEG recording. The locations of the coils were determined with respect to three anatomical landmarks (nasion, left and right preauricular points) on the scalp using 3D digitizer software (Source Signal Imaging, Inc.) and digitizing hardware (Polhemus, Inc.). The coils were localized to the MEG sensors at the beginning and the end of the experiment. The MEG data were acquired with a sampling frequency of 1000 Hz, filtered online between 1 Hz and 200 Hz, with a notch at 60 Hz.

MEG analysis:

Raw data was noise-reduced offline using the continuously adjusted least square method [78] in the MEG160 software (MEG Laboratory 2.001 M, Yokogawa Corporation, Eagle Technology Corporation, Kanazawa Institute of Technology). We used independent component analysis (ICA) to reject artifacts caused by eye movement and cardiac activity. Epochs were extracted for trials in the listening and imagery conditions, with each epoch of 6000ms in duration (including 2000ms pre-stimulus and 4000ms post-stimulus period). For the listening condition, 24 trials of each song were grouped and formed three *within-groups*. Furthermore, eight trials were randomly sampled from 24 trials of each song and yielded a new group of 24 trials (*between-group*). This sampling procedure was conducted three times to form three *between-groups*. The sampling was without replacement such that each trial was used only once in the three *between-groups*.

For imagery conditions, MEG trials were separated into *center-groups* and *dispersed-groups* for each song according to RT z-scores (see above behavioral analysis). The group separation in the imagery condition was different from the creation of groups in the listening condition. The comparison between the *center-group* vs. the *dispersed group* overcame difficulties that were induced by the unique, active nature of imagery tasks. Unlike the fixed duration and dynamics in every listening trial, imagery performance varied from trial to trial. Based on established phase coherence calculations using all trials, the imagery would reduce the statistical power that was already smaller than perception. The split-group analysis was an extension of the phase coherence analysis by adapting to the unique requirement of imagery. Another option was to use an intrinsic baseline (rest), but this could introduce additional confounding factors. Whereas trials in the *dispersed-group* had identical procedures and tasks. The only difference between trials in the *dispersed-group* and *center-group* was the response timing that reflects the measure of interest (the dynamic processes during imagery). Therefore, the *dispersed-group* was a better-controlled baseline. Using the temporal differences, while maintaining everything else consistent between imagery groups, can precisely reveal the dynamics of interest in the mental imagery tasks using the analysis of phase coherence across trials.

Fast-Fourier-transform (FFT) was applied to each trial in a group with a 500ms time window in steps of 200ms, yielding 19 time points in each 4s-long trial epoch. The phase values were extracted at each time point and frequency (1-48 Hz with a spectral resolution of 1 Hz). The inter-trial phase coherence (ITC) was calculated as

Eq. 1 [29]. In Eq. 1, θ_j (t, f) represents the phase value at time point t and frequency f in the jth trial. N represents the total number of trials in a group. The ITC values were obtained for each of the *within-groups* and *between-groups* in the listening condition, and each of the *center-groups* and *dispersed-groups* in the imagery condition.

$$ITC(t,f) = \left(\frac{\sum_{j=1}^{N}\cos\theta_j(t,f)}{N}\right)^2 + \left(\frac{\sum_{j=1}^{N}\sin\theta_j(t,f)}{N}\right)^2 \qquad \text{Eq. 1}$$

The ITC characterized the consistency of the temporal (phase) neural responses across trials. If the phase responses were identical across trials, the ITC value would be 1. To investigate the neural entrainment to the rhythms in the external stimuli and the mental operations, the ITC values were first averaged in the delta band (1-3 Hz). According to our hypothesis that neural responses track the rhythm in acoustic signals and imagery at the syllabic rate of 2-3 Hz, similar durations among trials in the *center-group* should yield higher ITC values than those from trials in the *dispersed-group* in the imagery condition and higher ITC values in the *within-group* than *between-group* in the listening condition.

Next, the ITC values were averaged over time (0-4 s) and across the three songs to yield a single value in every MEG channel for the *within-group* and *between-group* in the listening condition, and the *center-group* and *dispersed-group* in the imagery condition. For the *between-group* in the listening condition, the random grouping procedure was repeated 100 times and yielded 100 ITC values. The 50th

percentile of ITC was chosen as a baseline to compare with the ITC of the *within-*

group in the next cluster-based analysis.

Distributed source localization of ITC was obtained by using the Brainstorm

software [79]. The cortical surface was reconstructed from individual structural MRI

using Freesurfer (Martinos Center for Biomedical Imaging, Massachusetts General

Hospital, MA). Current sources were represented by 15,002 vertices. The overlapping

spheres method was used to compute the individual forward model [79]. The inverse

solution was calculated by approximating the spatiotemporal activity distribution that

best explained the ITC value. Dynamic statistical parametric mapping (dSPM) [80]

was calculated using the noise covariance matrix estimated with the 1000ms pre-

stimulus period. To compute and visualize the group results, each participant's

cortical surface was inflated and flattened [81] and morphed to a grand average

surface [82]. Source data were spatially smoothed using a Gaussian smoothing

function 'SurStatSmooth' in the SurStat toolbox [83] with a 3mm of FWHM (Full

width at half maximum).

The non-parametric cluster-based permutation test [84] was used to assess

significant differences between groups in the source space [85]. For the listening

condition, the ITC values of the *within-group* were compared with the baseline ITC

values of the *between-group*. For the imagery condition, the ITC values of the

center-group were compared with the *dispersed-group*. The empirical statistics were

first obtained by a two-tailed paired t-test with two or more adjacent significant

vertices with a pre-cluster threshold of alpha = 0.001. Next, a null distribution was

formed by randomly shuffling the group labels 1000 times. Cluster-level, FDR

corrected results were obtained by comparing the empirical statistics with the null

distribution (cluster threshold of alpha=0.05 for both listening and hearing

conditions).

To test the possible differences between listening and imagery, we directly

compared the activations in the listening and imagery conditions. The ITC values in

the *within-group* of the listening condition were compared with the ITC values in the

center-group of imagery condition using the same non-parametric cluster-based

permutation test. Moreover, to test the possible common cortical regions that

mediate both listening and imagery, we conducted a conjunction analysis. The

cortical regions that had significant main effects in both listening and imagery

conditions were identified and depicted on the cortical surface.

To further explore the functional specificity of dynamic processing in the motor-

to-sensory pathway, we tested the phase coherence across trials in five more

frequency bands -- the theta (4-7 Hz), alpha (8-12 Hz), low-beta (13-16 Hz), mid-

beta (17-20 Hz), high-beta (21-28 Hz), and low gramma (30-48 Hz) bands. Similar

procedures were implemented as those used in the investigation in the delta band,

except the phase values were extracted at corresponding frequencies. The phase

coherence in each frequency band was obtained using Eq.1. The same distributed

source localization and non-parametric cluster-based permutation tests were applied

to each frequency band.

To further test the reliability of the results, we used a long epoch in the phase coherence analysis. The duration of imagery across trials and among participants could vary over a wide range. The imagery durations in some trials, especially imagery of the shortest song, could be shorter than the length of epochs if long epochs were extracted. To be conservative, we first chose a stringent threshold of 4s. It is possible that more data points may balance the reduction of statistical power that is due to the variance of imagery durations across trials. Therefore, we applied FFT on 10s-long epochs (2s of pre-stimulus and 8s post-stimulus) and applied the same phase coherence analysis on the 6s post-stimulus data.

To probe the evolution of phase coherence across time, we performed an analysis on shorter, consecutive time bins. The 6s post-stimulus data were binned into four 3s-long time bins, with 2s overlaps between two consecutive time bins (0-3s, 1-4s, 2-5s, and 3-6s). The data in each time bin was subject to the same phase coherence analysis, followed by the same distributed source localization and non-parametric cluster-based permutation tests.

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Supporting information

S1 Fig. Cortical parcellation of a grand average surface across 16 participants.
Parcellation superimposed on the inflated average cortical surface. Cortical areas
related to this study were labeled with numbers on the left hemisphere. Refer to the
S1. Table for the anatomical names for labels. The numeric labels were consistent
with the ones used in [86].

S2 Fig. Phase coherence results using 6s-long epochs. The results for (A) the
listening condition and (B) the imagery condition were consistent with the results
using 4s-long epochs in Fig 2C and 2D.

S3 Fig. Evolution of phase coherence in four 3s time-bins. The results were more
reliably toward the end of trials and were consistent with the results in Fig 2D.

S1 Table. Cortical parcellation and labels, used in S1 Fig.

Index	Short name	Long name
4	G_and_S_subcentral	Subcentral gyrus (central operculum) and sulci
12	G_front_inf-Opercular	Opercular part of the inferior frontal gyrus
13	G_front_inf-Orbital	Orbital part of the inferior frontal gyrus
14	G_front_inf-Triangul	Triangular part of the inferior frontal gyrus
15	G_front_middle	Middle frontal gyrus (F2)
17	G_Ins_lg_and_S_cent_ins	Long insular gyrus and central sulcus of the insula
18	G_insular_short	Short insular gyri
19	G_occipital_middle	Middle occipital gyrus (O2, lateral occipital gyrus)
25	G_pariet_inf-Angular	Angular gyrus
26	G_pariet_inf-Supramar	Supramarginal gyrus
28	G_postcentral	Postcentral gyrus
29	G_precentral	Precentral gyrus
33	G_temp_sup-G_T_transv	Anterior transverse temporal gyrus (of Heschl)
34	G_temp_sup-Lateral	Lateral aspect of the superior temporal gyrus
35	G_temp_sup-Plan_polar	Planum polare of the superior temporal gyrus
36	G_temp_sup-Plan_tempo	Planum temporale or temporal plane of the superior temporal gyrus
38	G_temporal_middle	Middle temporal gyrus (T2)
39	Lat_Fis-ant-Horizont	Horizontal ramus of the anterior segment of the lateral sulcus (or fissure)
40	Lat_Fis-ant-Vertical	Vertical ramus of the anterior segment of the lateral sulcus (or fissure)
41	Lat_Fis-post	Posterior ramus (or segment) of the lateral sulcus (or fissure)
45	S_central	Central sulcus (Rolando's fissure)
47	S_circular_insula_ant	Anterior segment of the circular sulcus of the insula
48	S_circular_insula_inf	Inferior segment of the circular sulcus of the insula
49	S_circular_insula_sup	Superior segment of the circular sulcus of the insula
52	S_front_inf	Inferior frontal sulcus
55	S_interm_prim-Jensen	Sulcus intermedius primus (of Jensen)
56	S_intrapariet_and_P_trans	Intraparietal sulcus (interparietal sulcus) and transverse parietal sulci
59	S_occipital_ant	Anterior occipital sulcus and preoccipital notch (temporo-occipital incisure)
67	S_postcentral	Postcentral sulcus
68	S_precentral-inf-part	Inferior part of the precentral sulcus
69	S_precentral-sup-part	Superior part of the precentral sulcus
72	S_temporal_inf	Inferior temporal sulcus
73	S_temporal_sup	Superior temporal sulcus (parallel sulcus)
74	S_temporal_transverse	Transverse temporal sulcus