Computational discovery of hidden breaks in 28S rRNA across eukaryotes and consequences for

RNA Integrity Numbers.

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Abstract.

In some eukaryotes, a 'hidden break' has been described in which the 28S ribosomal RNA molecule is

cleaved into two subparts. The break is common in protostome animals but has also been reported in

some vertebrates and non-metazoan eukaryotes. We present a new computational approach to determine

the presence of the hidden break in 28S rRNAs using mapping of RNA-Seq data. We find an homologous

break is present across protostomes although has been lost in some taxa. We show that rare breaks in

vertebrates are not homologous to the protostome break. A break is found in just 3 out of 307 species of

non-animal eukaryotes studied but these are located in the same position as the protostome break

suggesting a striking instance of convergent evolution. RNA Integrity Numbers (RIN) rely on intact 28s

rRNA and will be consistently underestimated in the great majority of animal species with a break.

Introduction.

Ribosomes are made up of up to 80 ribosomal proteins and three (in prokaryotes) or four (in eukaryotes)

structural ribosomal RNAs named according to their sizes: in eukaryotes these are the 5S (~120

nucleotides), the 5.8S (~150 nucleotides), the 18S (~1800 nucleotides) and the 28S (~4000 to 5000

nucleotides). The 5.8S, 18S and 28S rRNAs are initially transcribed as a single RNA operon (the 5S is at a

separate locus in eukaryotes). The 18S and 5.8S are separated by the Internal Transcribed Spacer 1 (ITS1)

and 5.8S and 28S are separated by ITS2. The initial transcript is cleaved into three functional RNAs by

removing ITS1 and ITS2 (Fig. 1A).

In some species this picture is complicated by observations of a 'hidden break' in the 28S ribosomal RNA.

In organisms with a hidden break, the 28S rRNA molecule itself is cleaved into two approximately equal

sized molecules of ~2000 nucleotides each (Fig. 1A) (Winnebeck et al., 2010). These two RNAs (the 5'

28Sα and the 3' 28Sβ) are nevertheless intimately linked by inter-molecular hydrogen bonding within the

large subunit of the ribosome, just as the 28S and 5.8S rRNAs as well as different regions of the intact

28S rRNA are in species without a break.

The hidden break, first described in pupae of the silkmoth *Hyalophora cecropia* (Applebaum et al., 1966)

manifests itself experimentally when total RNA is extracted and separated according to size using gel

electrophoresis. If the RNA is first denatured by heating in order to separate hydrogen bonded stretches

of RNAs before electrophoresis, the hydrogen bonded 28Sα and 28Sβ subunits separate. These two

molecules, being approximately the same size, then migrate on a gel at the same rate as each other and,

coincidentally, at the same rate as the almost identically sized 18S rRNA molecule. The effect is that, rather

than observing two distinct ribosomal RNA bands on the gel of ~2000 and ~4000 nucleotides, a single

(and more intense) band composed of three different molecules each approximately 2kb long (18S,

28Sα and 28Sβ) is seen (McCarthy et al., 2015).

This behaviour of rRNA molecules in species with a hidden break can have interesting and potentially

detrimental practical consequences. The integrity of 18S and 28S rRNA molecules, as evidenced by the

presence of 2kb and 4-5kb bands/peaks following electrophoresis, is widely used as an indication that a

total RNA sample is not degraded. This is an important quality control step for experiments that will use

the total RNA sample for subsequent experiments such as transcriptome sequencing, Northern blotting

or rtPCR. The requirement to observe two rRNA peaks in an intact RNA sample has been formalised as

an important part of the RIN (RNA Integrity Number, Schroeder et al. 2006). For RNA samples extracted

from species possessing the hidden break, if conditions allow the separation of the two 28S subunits, a

single rRNA band/peak will be observed. The absence of two distinct rRNA peaks will give the impression

of a degraded sample with a low RIN, even when the RNA is not degraded.

Figure 1. The hidden break and how to diagnose it. A) After post-transcriptional processing, the eukaryotic pre-rRNA molecule is cleaved to produce the 18S, 5.8S and 28S subunits. In some species, the 28S subunit gets cleaved into two subunits, a phenomenon known as the hidden break. B) The domestic silkmoth (*Bombyx mori*, Lepidoptera) is one of the few species with a characterised hidden break region (Fujiwara & Ishikawa (1986), photo by Paolo Mazzei, www.leps.it) C) RNA-Seq reads of *B. mori* mapped onto its 28S rRNA sequence. The hidden break is revealed as a drop in the read coverage and the number of spanning read pairs in the middle of the 28S sequence. The break is located between two highly conserved flanking regions (vertical lines).

Since its description in the silkmoth, the 28S hidden break has been found in numerous other species, although it is by no means universal. The most significant systematic attempts to catalogue the hidden break using gel electrophoresis date to the 1970s when Ishikawa published a series of papers (summarised in ref. Ishikawa, 1977a). Ishikawa observed the break in several arthropods and one or two representatives of other protostome phyla (Annelida, Mollusca, Rotifera, Platyhelminthes, Phoronida/Brachiopoda and Ectoprocta). The hidden break was not found in members of Deuterostomia (Chordata and Echinodermata) (Ishikawa, 1973) but was also absent from two species of nematodes (Ishikawa, 1977b) and from a species of chaetognath (Ishikawa, 1975b) (then thought to be deuterostome relatives but now known to be protostomes). The hidden break was recorded as ambiguous in non-bilaterian Cnidaria and absent in Porifera (Ishikawa 1975a, Ishikawa 1975b). The essence of Ishikawa's work was to show that the hidden break was a characteristic of the Protostomia although, surprisingly, a hidden break was also described in several unicellular eukaryotes: *Euglena*, *Acanthamoeba* and *Tetrahymena* (Ishikawa, 1975b) as well as

in plant chloroplasts (Bieri et al., 2017) The precise location of the hidden break is not revealed by

electrophoresis.

Despite Ishikawa's work and other sporadic publications describing the presence of the hidden break in

different taxa (Mertz et al. 1991, Karlstedt et al. 1992, Winnebeck et al. 2010, Haçarız & Sayers 2013,

McCarthy 2015, DeLeo 2018), it is still currently unclear which groups of organisms possess the hidden

break, whether the feature is homologous in those species that have a split 28S and has been lost in those

that lack it, or whether a hidden break has evolved more than once. Finally, it is not known what the

functional consequences of the hidden break might be.

Here we describe a new computational method to diagnose the presence of a hidden break in the many

taxa for which large-scale RNA sequence data are available. Rather than requiring fresh material to be

available for extracting total RNA to be run on an electrophoresis gel, our method is predicated on the

expectation that, in taxa with a break, few if any RNA-Seq reads will map to the region that becomes

excised from the initial 28S rRNA when this is broken to form the 28Sα and 28Sβ (Fig. 1A). Similarly, when

paired end sequences are available, we expect there to be few if any pairs of reads spanning the region

of the break, as the two sides of the break are on separate molecules.

We have tested our method by detecting known instances of the hidden break and have expanded our

investigation to members of all metazoan phyla for which suitable RNA-Seq data currently exist. We also

use our method to examine reported instances of the hidden break outside of the protostomes to establish

whether there is evidence that these patchily distributed observations reveal hidden breaks that are

homologous to the break commonly observed in the protostome animals. Finally, we have expanded our

search to a diversity of eukaryotes: to verify the described instances of a break; to determine where in the

molecule this exists; and to search for novel instances of a hidden break.

Results and Discussion.

Testing the method using known examples with and without the break.

To date, the presence of the hidden break has been established experimentally using electrophoresis of

total RNA (McCarthy et al., 2015). A comparison of untreated and heat denatured total RNA shows the

conversion of the large 28S band into two smaller bands running coincident with the 18S band: in effect

the 28S band disappears (McCarthy et al., 2015). Our computational method relies, instead, on the

expectation that RNA sequencing reads derived from RNA extracted from organisms possessing a 28S

rRNA molecule that has been split by a deletion will show two characteristics. First, there will be an obvious

absence of reads mapped to the genomic rRNA location precisely at the position of the split; second, if

paired end sequencing data are available, there should be no read pairs spanning from one side of the split to the other as these are separate molecules.

The position of the split has been mapped experimentally in very few species, including the silkworm *Bombyx mori* (Fujiwara & Ishikawa, 1986) (Fig 1B). Our method makes the accurate mapping of the position of the split a simple process which is of importance: if we are to be confident that this character is homologous between species it is necessary to show that the break occurs in an homologous region of the molecule. The procedure for mapping reads and counting spanning reads are described in Materials and Methods. Figure 1C shows an example of the pattern of read depth and numbers of spanning reads that we observe in an organism in which the split is known to exist (*Bombyx*). We indicate in addition the position of the break, which is known experimentally in *Bombyx mori*. This experimentally mapped break is surrounded by highly conserved stretches of nucleotides allowing us to identify the homologous region of the gene across eukaryotes.

Expanding to most metazoan phyla.

The hidden break has been characterised in a number of animal species and has largely been considered to be specific to the protostomes. We have used our new method to expand the search for a potential hidden break to members of all animal phyla for which we have found suitable RNA-Seq data. In total we have examined 331 metazoan species including members of all but 4 animal phyla (Onychophora, Loricifera, Micrognathozoa and Gnathostomulida). The majority of the species analysed came from the Arthropoda (169) and Mollusca (31). We have also analysed 31 chordates, 28 echinoderms, as well as 2 hemichordates and 7 xenacoelomorphs. We have also examined data from 20 non-bilaterian species (14 cnidarians species, 3 ctenophores, 1 placozoan and 2 poriferans)

For the most part our results confirm those of Ishikawa; in almost all protostomes providing unambiguous results (17 out of the 19 protostomian phyla) we observe the existence of the hidden break. Some representative examples are shown in Fig. 2A. In each observation of the break we find it is bounded by the same conserved sequence regions of the 28S molecule. Our ability to map the break means we have been able to show that, across the protostomes, it is present in the same position in the molecule and we conclude that this is an homologous character throughout this major group of animals. This is the first time that this finding is supported by such a large taxon sampling and across such a breadth of taxa.

Unlike previous findings (Ishikawa, 1975b), we have found evidence for the hidden break in a chaetognath; at the time of Ishikawa's work members of this phylum were thought to be relatives of the deuterostomes but are now known to be protostomes (Papillon et al., 2004).

Figure 2. The hidden break is found in the protostome animals. A) Six examples of applying our method in different metazoan species. Evidence for a hidden break was found in most molluscs and annelids, including the orthonectid *I. linei*. The very fast evolving rhombozoan *D. japonica* is one of the few examples where the break was secondarily lost. The Nematoda appear to be a special case, with the break present in the enoplean species, e.g. *R. culicivorax*, but not in the Chromadorea, e.g. *D. viviparus* or the model *C. elegans* (not shown). B) The phylogenetic distribution of hidden break across metazoan phyla shows that it is a protostome synapomorphy, with occasional secondary losses.

Also noteworthy is the presence of this protostomian character in the orthonectid *Intoshia linei* although not in the dicyemid *Dicyema japonica*. While these two highly simplified animals were initially classified together within the phylum Mesozoa as a group intermediate between Protozoa and Metazoa, the dicyemids and orthonectids are now known to be separate lineages and both to be taxa within the protostomian Lophotrochozoa (Schiffer et al., 2018). The presence of the hidden break in *Intoshia* fits with this protostomian affiliation and the break has presumably been lost in *Dicyema*.

We also find evidence for the absence of the hidden break in a number of other protostomian clades (Fig 2). We confirm, for example, Ishikawa's work showing that there is no break in certain nematodes (Ishikawa, 1977b), or in the in the aphid *Acyrthosiphon pisum* (Ogino et al., 1990). We are also able to show for the first time that there is no break in the 28S rRNA of the priapulid *Priapulus caudatus* or in 2 species of tardigrades. Interestingly, in most of these cases, expanding our sampling to relatives of species without the break uncovers taxa that possess a hidden break. We find the break in the enoplean nematodes *Romanomermis* and *Trichinella* and also in the sister group of the nematodes - the Nematomorpha. The same is true of priapulids as we find the break in a second priapulid - *Halicryptus spinulosus*. While the pea aphid lacks a break (Ogino et al., 1990), we have shown the break is present in

171   157 other species of arthropod. The break is also known to be absent in *Thrips tabaci* (Macharia et al.,
172   2015) yet we find evidence for a hidden break in four other closely related members of the thrips.
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We find that almost every protostome species where we demonstrate the absence of the hidden break
has relatives possessing it, showing that the lack of a break is a derived character - the break existed in
an ancestor and has been lost. The exceptions to this rule are the tardigrades and dicyemids for which we
currently have limited data. While absence of a break is infrequent in our sample of protostomes, losses
have nevertheless occurred repeatedly suggesting that loss is easily achieved and relatively easily
tolerated.
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Figure 3. Vertebrate hidden breaks are not homologous to protostome breaks. A) Using the mouse 28S rRNA sequence as a reference, we show that the break regions recorded in rRNA extracted from naked mole-rat and Tuco-tuco testes are not homologous to the protostome hidden break. In both species the break is 5' of the two conserved markers we found surrounding the break in protostomes. **B)** The break recorded in cyprinid fish is not homologous to the protostome break; here we found the break is (blue arrows) 3' of the two conserved markers we found surrounding the break in

Non Protostomian Metazoans.

We next applied our method to the two clades of deuterostomes: the Xenambulacraria (Philippe et al., 2019) (29 echinoderms, 2 hemichordates and 7 xenacoelomorphs) and the Chordata (2 urochordates and 29 vertebrates). We show that the break found in protostomes does not exist in any of the sampled species. There are, nevertheless, two special case previously described in chordates: hystricomorph rodents, where species of the genus *Ctenomys* (the south american Tuctuc) and the naked mole-rat (*Heterocephalus glaber*) are found to possess the hidden break (Melen 1999, Azpurua 2013). We show, however, that the hidden break found in these two rodents is not homologous to the protostome hidden break. We were unable to retrieve 28S sequences for these two species, however by using the mouse 28S sequence as reference, we managed to locate these two breaks, as well as the two conserved markers that enclose the protostome break. As it can be seen in fig. 3A, the two recorded rodent breaks do not fall between these markers.

In more detail, the *Ctenomys* hidden break corresponds to nucleotides 1930-1950 in mouse 28S, while the naked mole-rat hidden break falls between nucleotides 1880-2020 of the mouse 28S. The two conserved satellites of

the protostome hidden break lie in the positions 2149 and 2264 of the same mouse 28S sequence. The

rodent hidden break site is located nearly 300bp upstream of the conserved protostome site. The hidden

break has also been reported in cyprinid fish (Leipoldt & Engel, 1983) and we have analysed two members

of this clade. Our results show that the break in cyprinids is also in a different location that of protostomes

and does not match the break described in rodents (Fig 3B).

We next looked at non-bilaterian animals to determine whether they possess the hidden break. Ishikawa's

work had been inconclusive in this regard - at least in the Cnidaria (Ishikawa, 1975a) - leaving open the

possibility that the break found across Protostomia is a primitive character that had been lost in the

deuterostomes. In a total of 20 non-bilaterian species (2 sponges, 14 cnidarians, 3 ctenophores, and the

placozoan *Trichoplax adhaerens*) we find no evidence for the existence of a hidden break (Fig 2B). The

distribution of the break in the animal kingdom points to the hidden break having appeared in the lineage

leading to the common ancestor of the protostomes.

Convergent evolution of the hidden break outside Metazoa?

Alongside the animals, there have been reports of a hidden 28S break in a small number of distantly related

species of eukaryotes, the ciliate *Tetrahymena* (Bostock et al., 1971), the amoebozoan *Acanthamoeba*

(Stevens & Pachler, 1972) and the desert truffle *Tirmania nivea* (Navarro-Ródenas et al., 2018). We have

investigated these taxa using our pipeline (Fig. 4A) to define the position of their breaks. We have further

extended our analyses of non-animal eukaryotes to over 300 non-metazoan eukaryotes, including 119

plants, 64 fungi and 124 other taxa (Fig. 4B). The hidden break described in plant chloroplast 23S rRNAs

(as opposed to nuclear 28S rRNA) does not appear to be located in the same region of the molecule

although the evolutionary distance makes alignment difficult (Bieri et al., 2017).

In *Acanthamoeba*, gel electrophoresis of heat denatured LSU rRNA shows that it separates into fragments

corresponding to molecular weights of 0.88×10^6 and 0.60×10^6 daltons (Stevens & Pachler, 1972).

Our results provide some support for this, as we find a break in the molecule that would divide it into

fragments of 2320 and 1890 nucleotides (a ratio of 0.88:0.71). As suggested by the unequal sizes, the

break is in a different position to the protostome 28S break. Surprisingly, the same is not true of the other

two described instances of non-animal hidden breaks. We find a break as predicted in both *Tetrahymena*

(Bostock et al., 1971) and the desert truffle *Tirmania* (Navarro-Ródenas et al., 2018) and in both cases the

break is positioned in the same region of the 28S rRNA as the protostome hidden break. Our analysis of

over 300 other eukaryotes (albeit heavily biased towards plants and fungi) found only one other clear

instance of a break; this was in the unicellular opisthokont *Capsaspora owczarzaki* and, as we observed

in *Tetrahymena* and *Tirmania*, the break was in the same position as the protostomian break.

Figure 4. Distribution of the hidden break across non-metazoan eukaryotes. A) Four non-metazoan eukaryotes show evidence
of a break. In three of these, (*T. nivea*, *C. owczarzaki*, *T. thermophila*) our analysis shows a hidden break that in a homologous
position to the protostome one. In *A. castellanii*, the drop in read coverage is observed 3' of the two conserved markers we found
surrounding the break in protostomes. This occurrence of hidden break has previously been characterised experimentally (see
main text). B) The phylogenetic distribution of hidden break across Eukaryota suggests that the trait evolved convergently in the
protostome ancestor and in a few taxa outside the Metazoa.

Conclusions

We present a computational approach that enabled us to perform a thorough and taxonomically broad
examination of the 28S rRNA molecules of the animals. Our results strongly support Ishikawa's
observation of a hidden break that evolved in the common ancestor of the protostomes. We have searched
for the hidden break in members of almost all protostomian phyla and have demonstrated its existence in
a homologous region of the molecule in almost all cases - the exceptions being the tardigrades and
dicyemids/rhombozoans. By expanding beyond the published observations of occasional absences of the
break in protostomes we show that in almost all cases of a species lacking the break, we find its existence
in sister taxa, implying that the absence is a derived state rather than a primitive absence. We interpret
the observed lack of the hidden break in tardigrades and dicyemids as due to loss of the character in
these lineages (at least in those few we have been able to sample).

We have also examined other instances of a break previously recorded in non-protostome animals (in two
groups of rodents and cyprinid fish) and have been able to show in each case that, while experiments
have suggested that they may share a mechanism with the introduction of protostomian break, they occur

in non-homologous regions of the molecule and this, together with their phylogenetic distribution, shows

that they are convergently evolved instances of a 28S break.

The large evolutionary distance between the non-metazoan eukaryotes in which we have confirmed or

discovered LSU hidden breaks as well as the lack of a break in most sampled eukaryotic taxa suggests

these too are cases of convergent evolution. This conclusion makes the fact that the three, presumably

convergently evolved, breaks known in *Tetrahymena*, *Tirmania* and *Capsaspora* all fall within the same

region of the molecule as the break in protostomes particularly notable and strongly suggestive both of a

common mechanism and, probably, a common functional reasons for the evolution of these breaks.

However, what the function of the break might be is still unknown.

Our findings have important ramifications for the use of RNA Integrity Numbers or RIN (Schroeder et al.

2006). The RIN, relying as it does on evidence for the integrity of the distinct 28S rRNA molecule, will tend

to produce artificially low values for the great majority of protostomes in cases where denaturation of the

RNA is possible. This source of error means that experimenters need to be careful in interpreting RIN when

evaluating RNA samples from the more than 95% of animal species that are protostomes (Chapman,

2009). The scale of this potential problem suggests that an alternative to the standard RIN that takes into

account the protostomian hidden break would be a valuable development for many researchers.

The emergence of a break between two regions of the large subunit ribosomal RNA is already known to

have occurred previously in eukaryotic evolution. The 5.8S rRNA, in addition to the 28S rRNA, forms part

of the large subunit of the ribosome (Henras et al., 2015). As discussed, the eukaryotic 5.8S and 28S

rRNAs are initially transcribed as a single molecule and are subsequently separated by the excision of the

intervening Internal Transcribed Spacer (ITS2). A separate 5.8S rRNA does not, however, exist in bacteria

where a sequence homologous to the eukaryotic 5.8S forms an uninterrupted part of the 23S rRNA (the

homolog of the eukaryote 28S) (Henras et al., 2015). The separation of 5.8S and 28S and the evolution of

the ITS2 seem to be close counterparts of the protostomian separation of 28S α and 28S β. We propose

that the rapidly-evolving, excised spacer sequence that lies between 28S α and 28S β. should be

considered as a third, protostome-specific, Internal Transcribed Spacer - the ITS3.

Materials and Methods

General method

Our method to identify the hidden break computationally rests on the assumption that, in species with the hidden break, RNA-Seq datasets will contain very few reads, in particular paired-end reads, in the hidden break region but will have normal levels of coverage on its flanking regions (28Sα and 28Sβ). We established a computational pipeline to determine the existence of the hidden break in representative species of as many phyla as possible in a semi-automated fashion.

Identifying the hidden break region

In a first step we tried to identify conserved sequences that could be used as flanking markers for the hidden break region described in protostomes (Ishikawa, 1976), to help us determine potential homology with breaks in other organisms. We aligned 28S sequences from *B. mori*, which has a characterised break region, and other species, with and without a described hidden break, using mafft (Katoh et al. 2002). We identified two conserved 20-mers (5'--3' and 5'-CGAAAGGGAATCGGGTTTAA-3') closely flanking the hidden break region. These two 20-mers can be used as markers to identify the hidden break region characterised in protostomes when looking at other species.

Establishing a computational pipeline to search for the hidden break

We used Python v3.7.0 to establish a semi-automated pipeline that proceeds from read mapping to results visualization (as figures of read coverage). Firstly, our pipeline employs kallisto v0.44 (Bray et al., 2016) to map paired RNA-Seq reads against the 28S sequence from the respective species. To ensure that kallisto pseudoalignments are not influencing the analysis (Wu et al., 2018) we also tested the slower STAR (Dobin et al., 2013) and bwa (Li & Durbin, 2009) aligners and found no notable differences in the results (data not shown). Next, we use the mapped reads to calculate read coverage for each position in the 28S sequence using BEDtools v2.27.1 (Quinlan & Hall, 2010). Finally, the pipeline uses Python's 'matplotlib' library to produce plots of the depth of read mapping to along the 28S rRNA molecule. We then visually inspected these plots. A species was considered to have the hidden break if there was an obvious drop in the read coverage between the two conserved flanking 20-mers. The method was tested in data from *Bombyx mori*, which has an experimentally identified and characterised hidden break.

Data collection and large-scale application of the pipeline

We retrieved all available entries (as of May 2019) from the SILVA database (Quast et al., 2013) in a single FASTA file comprising 633,348 eukaryotic 28S sequences. We filtered these data to obtain a set of sequences that could be analysed with our method by removing all duplicates, (i.e. entries coming from

the same species) and discarding all sequences shorter than 2,000bp. After these filtering steps we
retained 28S sequences from 12,460 species.

We next searched for RNA-Seq data for each of these species on the SRA database using eutils. We set
a threshold requirement of at least 1 Gigabase of RNA-Seq data and imposed an upper limit of 4
Gigabases for our analysis. We retrieved data within these size boundaries from 1,024 species.
A list of all retrieved species and the corresponding data sets and can be found under:
https://github.com/pnatsi/hiddenbreak/tree/master/data/suitable_species.tsv.

To achieve maximum representation of animal phyla in our results, we manually added 40 species
(highlighted in bold, Supp. Table 1) to those emerging from the semi-automated pipeline. The 28S
sequences for those species were retrieved from NCBI and RNAcentral databases, and the paired RNA-
Seq data from the SRA database. For these species only we also calculated the proportion of read pairs
spanning each residue of 28S using 'samtools' v1.9 (option 'view -F 12', Li et al. 2009). This metric was
highly correlated to the read coverage and was not applied to the rest of the species.

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Competing Interests

The authors declare no competing interests.

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