Secondary Motor Cortex Transforms Spatial Information into Planned Action During Navigation

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4 Abstract

5 Fluid navigation requires constant updating of planned movements to adapt to evolving 6 obstacles and goals. A neural substrate for navigation demands spatial and environmental 7 information and the ability to effect actions through efferents. Secondary motor cortex is a prime 8 candidate for this role given its interconnectivity with association cortices that encode spatial 9 relationships and its projection to primary motor cortex. Here we report that secondary motor 10 cortex neurons robustly encode both planned and current left/right turning actions across 11 multiple turn locations in a multi-route navigational task. Comparisons within a common 12 statistical framework reveal that secondary motor cortex neurons differentiate contextual factors 13 including environmental position, route, action sequence, orientation, and choice availability. 14 Despite significant modulation by context, action planning and execution are the dominant output 15 signals of secondary motor cortex neurons. These results identify secondary motor cortex as a 16 structure integrating environmental context toward the updating of planned movements.

17 Introduction

18 Navigation is a cognitive process necessitating neural encoding of complex spatial relationships 19 between an organism and its environment. This information must then be used to generate 20 specific action plans. Often, obstacles and threats or rewards exist such as unpassable terrain, 21 predators, food, or social opportunities. Under these circumstances, multiple features of spatial 22 context must be integrated in order to define the appropriate action to take, creating incentives 23 for complete representations of environmental features. Finally, fast, uninterrupted movement to 24 a goal also demands that upcoming actions be planned in advance of their execution, but as 25 most environments are filled with ever-changing obstacles, barriers, and even goals, this 26 process must also be capable of being dynamically updated.

A large body of research describes how sensory information related to self-motion, boundaries, and landmarks is transformed, through neural circuitry, to help form a cognitive map. Sensory information, initially encoded in egocentric reference frames¹⁻⁵, is converted into representations of position and orientation relative to environmental boundaries and landmarks⁶⁻¹⁵. The latter forms of information are described by a frame of reference largely independent of the animal itself – an allocentric frame of reference. Together, they form a distributed network serving to
synchronize the organism's internal representations to the environment.

3 However, spatial cognition must ultimately be transformed into a motor output. Specific features 4 of cortico-hippocampal connectivity point to retrosplenial and posterior parietal cortex as 5 intermediaries in this encoding process. Retrosplenial (RSP) and posterior parietal (PPC) 6 cortices are interconnected with hippocampus, subiculum, and perirhinal, postrhinal, and 7 entorhinal cortices¹⁶⁻²⁴. These two regions contain neurons representing information in multiple egocentric and allocentric reference frames9, 25-33 and boast dense projections to secondary 8 motor cortex (M2)³⁴⁻³⁶. In turn, M2 projects strongly to primary motor cortex and brainstem and 9 spinal regions involved in motor control^{37,38} and could therefore be a structure important to the 10 11 transition of integrated spatial information into action as part of the larger navigational 12 process^{35,39}.

13 M2 has been the subject of much experimental work aimed at determining critical 14 neurophysiological components of decision-making processes, rule implementation, and action planning and execution as instructed by single modality sensory cues⁴⁰⁻⁴⁷. Anatomically, M2 in 15 16 the rat is a subregion of prefrontal cortex that, historically, has been referred to by a number of 17 different names including: shoulder region, anterolateral motor cortex, medial precentral cortex. 18 Fr2, medial agranular cortex, premotor cortex, dorsomedial prefrontal cortex, and frontal 19 orienting field. With respect to navigation, published work indicates that M2 neuron firing predicts upcoming navigational choices from a single environmental location⁴¹ and that neighboring 20 21 prefrontal cortex sub-regions encode information related to rules for navigation as well as 22 anticipated effort and reward associated with a route⁴⁸⁻⁶². Yet, whether M2 neuron firing 23 dynamics are consistent with a role transitioning a broader and spatially distributed set of 24 organism-environment spatial relationships into planned actions remains largely an open 25 question. The answer has critical importance for developing systems neuroscience models of 26 the navigational process.

In the present work, we examined M2 neural activity patterns in the context of a large 'triple-T' maze. Rats repeatedly traversed specific routes to and from multiple goal locations. The chosen environment and task structure spatially and temporally distanced individual actions from surrounding actions and goal locations. This enabled evaluation of distinctions in firing associated with action planning versus execution as well as the influence of multiple spatial variables.

1 We find that M2 neurons most robustly discriminate left versus right turning actions and do so 2 reliably across all turns. Across M2 neurons, activity differentiating turn type is most often 3 maximal near the peak of a turn, but, for many neurons, peak firing occurs well before the action 4 is actually executed. While holding action type constant, M2 neuron populations were also found 5 to represent multiple spatial and directional features that varied across turn locations. Such 6 encoding took the form of reliable modulation in the intensity of turn-related spiking activity, 7 demonstrating that M2 ensembles simultaneously encode current and planned actions as well 8 as the navigation-relevant context of those actions. M2 neuron firing also varied according to the 9 presence or absence of choice at a turn, suggesting a more complex role in the action 10 preparation process than simple categorical left/right choice. Together these findings implicate 11 M2 as a structure capable of transforming spatial, directional, and decision-making context into 12 the actions that define navigational behavior.

13 Results

14 Rats were trained on a triple 'T' track maze (Figure 1A) to traverse eight three-turn 'internal' 15 routes of the same total length. All eight internal routes shared a single start location but led to 16 eight different goal locations where food reward (1/2 piece Cheerios cereal) was delivered. 17 Animals then returned to the start location via the pathways of their choice available along the 18 maze perimeter. Animals were allowed to move freely in all areas except across the internal 19 route spaces where backtracking was prevented. Correction was rarely necessary during 20 recordings as animals were extensively trained to reach a criterion of 80% uninterrupted route 21 traversals within individual sessions. The animals' ability to traverse the routes uninterrupted 22 persisted after surgical implantation of the recording headstage. The rats averaged 64% route 23 traversals per recording session categorized as smooth, uninterrupted running (Figure 1B). 24 Henceforth we will refer to these route traversals as "clean" runs. Animals averaged a velocity 25 of 42cm/s during clean runs. Animals traversed routes according to one of three separate reward 26 schedules, "high-low", "visit-all-8", or "visit-all-4" (see methods section for further detail). In the 27 analyses to be presented, data from these different reward schedules were pooled.

Single unit activity was recorded from 73 left hemisphere and 230 right hemisphere M2 neurons (303 total) from seven rats recorded under the aforementioned conditions (Supplemental Table 1). Electrode tracks and endpoints are depicted in Figure 1C with example histological data in Supplemental Figure 1. To avoid biasing assessment of the population distribution of neural firing responses, all cleanly clustered neurons were included in analyses, regardless of firing

1 rate. As such, the distribution of firing activity found is skewed towards lower rates consistent

2 with a log normal distribution as described in other cortical regions⁶³ (Supplemental Figure 2).

3 M2 Robustly Encodes Actions across Contexts

4 Action encoding is prevalent in M2 across all turn locations and contexts. Firing rate maps of 5 clean run traversals from three sample neurons are shown in Figure 1D. To quantify these 6 findings, we defined a turn space around each track turn from 20cm before to 10cm after the 7 turn apex (Figure 1E). This space was the maximal possible that prevented overlap with adjacent 8 turn spaces. We required at least 8 clean runs through each grouping analyzed (mean number 9 of clean runs = 32 ± 14). Fifty-seven percent (168/296) of all M2 neurons had significantly different 10 mean firing rates for the first internal path turn (Figure 1A, turn labeled 1, two-sided Mann-11 Whitney U test, α <0.05, n = 32±14 traversals).

12 In order for an empirically decoded action signal to be actionable, the information must be 13 accessible to a downstream reader. Consistency in the action code despite variability in the 14 actions' contexts (e.g., environmental locations) would enable downstream motor cortex to 15 decode actions without simultaneously decoding context. For example, if a neuron fires strongly 16 for a specific action at one location, but lower at another, downstream neurons capable of driving 17 execution of the action effectively receive no information concerning the intended action unless 18 the animal's location is concurrently transmitted. To truly encode an action itself, there must be 19 a reliable action-encoding signal that dominates that of concurrent context.

20 Such reliability in encoding of left/right turning action was observed in M2 neuron populations. 21 Action encoding was extremely consistent across turn locations and contexts as nearly as many 22 neurons, 51% (154/303) of all M2 neurons, significantly discriminated turn direction even when 23 the data was pooled across all turn locations (two-sided Mann-Whitney U test, $\alpha < 0.05$, n = 32±11 24 traversals, sample sizes matched to first turn sample sizes; 203/303 for two-sided Mann-Whitney 25 U test α <0.05, n=131±52 traversals, full samples). This population value is not significantly 26 reduced from the single turn discriminability (Figure 1F, chi-squared goodness of fit, p=0.15, 27 n=599 neurons, χ^2 =2.12, d.f.=1, odds ratio=0.79). The M2 neural population as a whole showed 28 no laterality preference for brain hemisphere with turn encoding neurons displaying higher mean 29 firing rates equally for ipsilateral and contralateral turns, respectively (102 contralateral preferring 30 neurons of 203 significant turn-encoding neurons, chi-squared goodness of fit to binomial 31 distribution, p=0.94, n=203 neurons, χ^2 =0.005, d.f.=1, odds ratio=0.99).

To assess the quality of M2 neurons' turn discrimination, we adopted *choice probability*^{64,65} as a measure of effect size. Choice probability (CP) is simply the probability that an observer can correctly identify an outcome given a single sample from one of two distributions. It is equivalent to the area under the curve of the receiver operator characteristic^{66,67}. Due to the structure of our maze and the task, sample sizes varied widely across turn locations. This measure is invariant to sample size and therefore is a particularly useful method for comparing our results.

7 CP confirms that M2 neurons' encoding of turn directions is both widespread and reliable. To 8 summarize population discrimination quality, we have adopted easily interpretable CP 9 benchmarks of two-thirds (66%) for general discrimination and 90% for a high discrimination 10 threshold. The second and third example neurons shown in Figure 1D-E have CP near these 11 thresholds as examples of the average firing that leads to these levels of discrimination. On 12 average, over half (54%) of the M2 neurons have CP exceeding 66% at any given left/right turn 13 location, including 14% of neurons classifying at a rate exceeding 90% (Figure 1G). This is 14 significantly higher than the CP of the same neurons with a random shuffling of turn direction 15 identities (two-sided Kolmogorov-Smirnov test, p<0.0001 n=606 D=0.82). No neuron in the 16 shuffled distribution discriminates above 66% (Figure 1G, max 60%). There is also no significant 17 contextual effect elevating or suppressing turn discriminability at certain locations as all locations 18 show statistically indistinguishable distributions of CP for left versus right turning (Figure 1H, 19 two-sided Kruskal-Wallis test, p=0.115, χ^2 =10.24, d.f.=6).

For turn discrimination information to be accessible to downstream neurons without also passing on context, the turn choice must be encoded the same regardless of any other variable. To assess this, we pooled individual neurons' data across all turn locations. The CP remain comparable to the location specific values, with the population counts of neurons exceeding the 66% and 90% benchmarks of discriminability only dropping from 54% to 45% and from 14% to 11% of the population, respectively (Figure 1GI).

26 The Time Course of M2 Action Discrimination Involves Planning and Execution

To further delve into the time course of action discrimination we applied the CP method to each 1cm bin surrounding the first internal turn (Figure 1A, turn labeled 1). This particular 'T' intersection has a long approach straightaway before the turn and allows for an extended examination into left/right action discrimination. A space from 40cm before the turn apex to 15cm afterwards was analyzed. 1 M2 as a whole discriminates the upcoming action and continues to discriminate through action 2 execution. M2 neuron sub-populations have peak CP values throughout the investigated epoch 3 (Figure 2A), and a minimum of 15% of the M2 neuron population significantly differentiates the turn outcome at each time point (Figure 2B). This number increases and peaks at the turn apex 4 5 with over 50% of individual M2 neurons significantly discriminating the action. The count then 6 decreases through the end of the turn. The high reliability (>90% discrimination) neural 7 population followed this same pattern with neuron counts ramping to the turn apex and 8 decreasing afterwards.

9 M2 planning and action execution could either be carried out by the same neurons representing 10 the entire period or many neurons representing the action at specific times with respect to the 11 action. In spite of the complete temporal coverage as a population, CP values for individual 12 neurons do not stay high for the entire period. Instead, peak CP values typically extend for a 13 limited span of less than 20cm for any given neuron (Figure 2C) and the locations of the peak 14 CP values vary. Given this pattern, many neurons discriminate most accurately well before the 15 turn apex and do not significantly discriminate actions at the peak of the turn (Figure 1E middle). 16 M2 thus contains discriminating neurons spread throughout the time sequence of planning and 17 executing an action, and reliable discrimination through time requires an evolving ensemble of 18 neurons.

19 Widespread Representations of Spatial Context in M2

20 While action encoding in M2 neuron populations is clearly strong and reliable irrespective of turn 21 location, differences between turn contexts hint that action outcome is not the sole variable 22 represented in M2 neural activity during navigation. Considering the extensive reciprocal 23 connectivity between M2 and both PPC and RSP, two associative cortices bearing significant 24 spatial modulation in firing, we reasoned that M2 neurons could be integrating multiple spatial 25 features of the environmental context in generating action-specific firing. To examine this 26 possibility, four navigationally-relevant spatial features were tested for their impact on M2 turn-27 related activity - environmental/allocentric location, orientation, route being traversed, and 28 progression within a route (1st, 2nd, or 3rd turn). Each of these variables is known to have a 29 strong impact on the RSP, PPC or both^{9, 26, 28, 33}.

To assess the impact of context (e.g., the effect of place on turn-related firing), we controlled for action (left/right turn) by calculating CP for like actions (e.g., left turns versus left turns). For some contextual variables, more than two conditions needed to be examined for discriminability. 1 Therefore, we applied pairwise CP tests for each combination of distributions (e.g., left turn 2 associated firing rates for each turn location against each other turn location). We present 3 minimum, mean, and maximum CP values from these comparisons to aid in the comprehension 4 and comparison due to the necessary differences in analyses.

5 Encoding of all four spatial features is widespread but with less impact on M2 activity than left 6 versus right turning action (Figure 3A). The distributions of CP for the spatial factors in M2 are 7 all significantly higher than chance but significantly lower than the pooled action discrimination 8 (one-sided Kolmogorov-Smirnov test, p<0.0001, n=606, D>0.16 for all tests). The variability 9 within individual pairwise tests can also be examined. While minimum CP for contextual 10 variables are closer to values obtained for randomized data, minimum CP for action remain far 11 greater than chance. Maximum CP for contextual variables are far above chance levels for the 12 full population, but, even taking this perspective, many more neurons exhibit high CP for action 13 discrimination. In fact, nearly no neurons reach our high discrimination threshold for any of the 14 spatial context variables (Figure 3B). These results indicate that as a whole, M2 neuron sub-15 populations do accurately encode spatial and directional information, but that encoding of action 16 (turn type) is much stronger.

17 Given the widespread occurrence of different forms of spatial and directional information, we 18 considered that the distribution of types of information and its co-occurrence with action 19 discrimination may be important. Correlations of neurons' CP across factors is shown in Figure 20 3C. Correlations between action encoding and each spatial factor are positive but relatively 21 weak. The same is true for correlations between spatial factors except for the correlation 22 between progress and orientation, which is quite high. This means that neurons that robustly 23 encode action also tend to be neurons that encode context. Position rate maps of the routes 24 taken are shown for an example neuron highlighting examples of conjunctive encoding in Figure 25 3D. Figure 3E shows the calculated mean CP for each neuron and Figure 3F highlights the mean 26 individual firing rate vectors and separation of activity rates for different conditions. These 27 neurons are typical examples of neurons with complex firing patterns that encode multiple 28 factors to varying degrees.

29 A Widespread but Limited Effect of Choice in M2 Action Representations

Previous work has considered a role for M2 in orienting decisions³⁹⁻⁴⁴. If the primary function of this region lies in decision-making as opposed to action planning and execution, the ability to discriminate left versus right turning action may disappear at locations where no left versus right

1 choice exists. In our navigational task, some turns are forced (no alternative path, 'L') while 2 others demand choice ('T'). Therefore, we grouped all turn locations based on action (left or right 3 turn) and choice context (forced vs choice). We then evaluated action discrimination at choice 4 locations and forced-turn locations separately. There is no apparent effect on action 5 discrimination CP due to choice context (Figure 4A). The CP distributions for forced and choice 6 contexts did not significantly differ from each other nor from the pooled CP distribution (two-7 sided Kolmogorov-Smirnov test, p>0.27 n=606 D<0.09 for all tests). This result is inconsistent 8 with lower action discrimination during forced-turn contexts and casts doubt on decision-making 9 as the primary function of the region. Instead, the current data are consistent with M2 as a signal 10 for upcoming and current actions.

Although action discrimination does not depend on there being a choice at all, the M2 population does discriminate between forced and choice contexts for the same action. The discriminability is similar to that of spatial factors (Figure 4BC). The position rate maps for an example action discriminating neuron with very strong modulation by choice context is highlighted in Figure 4DE. This neuron has high CP for left versus right action (higher activity for left turns) and, at the same time, fires more strongly for both left and right turns when those turns occur at locations where a left or right turn could be made (Figure 4F).

18 Discussion

19 Prior work has considered, in detail, the firing correlates of M2 neurons to actions, action planning, and sensory integration in two-alternative, forced-choice settings³⁹⁻⁴⁴. In the present 20 21 work, the task design and maze structure enabled us, for the first time, to consider these 22 previously-identified M2 neuron correlates as they relate to the problem of navigation and 23 integration of multiple complex spatial relationships between organisms and their 24 environments. The ability of animals to execute fast, uninterrupted traversals of the eight 25 internal and two external routes under these circumstances enabled us to examine a 26 hypothesized role of M2 in the transformation of spatial cognition into action during navigation. 27 M2 ensemble activity consistently encoded the left versus right turning action of the animal in a 28 manner largely independent of the spatial context of any given turn site and independent of 29 whether a choice among actions was demanded. However, action-discriminating activity itself 30 was significantly modulated in magnitude by multiple spatial and directional variables informing 31 turn choice at any given maze intersection. The results complement recent work outside the 32 realm of navigation that have examined this dorsolateral prefrontal cortex sub-region and its

1 PPC inputs as they relate to decision-making and point to the M2 as a key structure in

2 translating more complex forms of spatial knowledge into action.

3 Large sub-populations of M2 neurons exhibited strong discrimination of left versus right turn 4 actions and, in largest part, did so irrespective of spatial context given by several variables 5 including turn location, the specific route chosen, heading direction, and route progress (i.e., 6 turn number in a series associated with a particular route). The strength of action tuning was 7 much higher than that for spatial and directional variables even when taking into account the 8 larger number of possible variable states. That is, even when focusing in on the most 9 prominent pairwise rate distinctions according to a variable such as turn location, rate 10 distinctions for left versus right turns remain stronger across the M2 population.

11 By providing ample space and time between the execution of different turns and by analyzing 12 only uninterrupted route traversals, we were also able to assess whether M2 activity dynamics 13 are more consistent with encoding of current and planned action as opposed to a categorical 14 decision-making process. In a decision-making process, one would expect essentially all 15 neurons discriminating action to exhibit synchronous ramping of discriminatory activity prior to 16 the action execution. As a population, discriminatory activity in M2 does ramp to a peak near 17 the point of maximal angular velocity. However, locations of peak discrimination points across 18 individual neurons varied widely in their proximity to the turn apex. For many neurons, peak 19 discrimination of turn type not only preceded the turn apex, but also failed to discriminate turn 20 type at the apex itself. Thus, in the context of navigation, M2 dynamics are more consistent 21 with encoding transitions between action planning and execution rather than a deliberative 22 process. The equal strength of predictive tuning at turn sites devoid of a decision (forced left or 23 right) provides a second argument in favor of a relatively pure action planning and action 24 execution role. An integrated process transitioning action plans to execution would have 25 obvious utility in generating the fluid transitions between actions in a sequence that compose 26 the animals' fast, uninterrupted trajectories in the present task. Notably, disruptions in the 27 learning of actions as part of a sequence are found subsequent to M2 lesions⁶⁸. In this role, M2 may drive actions directly through corticospinal efferents³⁸ or indirectly through intermediary 28 structures such as primary motor cortex^{37,52,69-71}. Recent data suggest that interactions 29 30 between M2, primary motor cortex, ventromedial thalamus, and cerebellum may also play a 31 key role⁶⁹⁻⁷⁴.

1 While action discrimination was found to be relatively context free, spatial and directional 2 context significantly modulate activity rates for any single turn type. By separately examining 3 the activity vectors surrounding all left turns and all right turns, we found that the location and 4 directional heading of a turn relative to the full environmental space were both strong factors 5 modulating the intensity of turn-related activity. Both the specific route taken by the animal and 6 the position of a turn site within that route were also potent in modulating turn-related activity. 7 The status of a turn site as 'forced' (only one turn type possible) versus choice (left or right turn 8 available) proved a smaller, but not insignificant, contextual factor in modulation of M2 firing.

PPC and RSC are both major sources of afferents to the M2 sub-region of prefrontal cortex³⁴⁻³⁶ 9 10 and have been studied extensively with respect to the forms by which they map the spatial 11 location and directional orientation of the animal relative to the environment. PPC neurons 12 robustly encode the location of the animal within a route space irrespective of the location of 13 the route in the larger space of the environment⁹. Such encoding is not epiphenomenal to the 14 tendency for a minority of PPC neurons to map specific turn types^{9,27,75}. Instead, turn type 15 specific firing for this minority population of PPC neurons is strongly modulated by the location 16 of a turn in the environment or within a sequence^{9,25,75} such that PPC generates distinct ensemble patterns for all route locations^{9,35}. While some RSC neurons generate similar spatial 17 18 firing patterns for a single route placed in different regions of the larger environment, RSC 19 ensembles generate distinct firing patterns for the same route in two different locations^{28,31}. 20 Together then, RSC and PPC together provide complementary information concerning position 21 in a route and position in the environment. Both structures also contain small populations of 22 head direction cells^{26,28,76,77} and discrimination of two routes taken through the same location has been reported for both PPC and RSC ensembles^{33,78}. Thus, PPC and RSC stand as two 23 24 likely sources of modulation of M2 neurons according to the environmental location of a turn. 25 the environment-referenced set of head orientations of the animal as it proceeds through a 26 turn, the shape of the full route taken by the animal, and the ordering of turns within a route.

Our use of CP analysis to characterize tuning of M2 neurons to left/right turning actions and to spatial and orientational variables leads us to conclude that both actions and the context in which they occur are encoded in M2 populations. This raises the question of whether M2 functions to encode the context of actions, encodes only upcoming and current actions, or both. Here, distinct differences in the strength of encoding of different variables perhaps offers an answer. Under essentially all circumstances, modulation of single neuron and ensemble

1 activity rates distinguishing actions is clearly greater than that for the spatial and choice 2 variables considered. Given this circumstance, a downstream target that can directly mediate 3 actions could, through degeneration, produce the same activity patterns related to left or right 4 turning in response to largely overlapping input patterns for different spatial contexts. In this 5 way, a pure action signal could be derived despite the variability in patterning of M2 neurons 6 according to spatial context. A second way to accomplish the same outcome, one that cannot 7 be addressed here, is through specificity of projections. M2 neurons that strongly discriminate 8 left/right turning actions without discriminating context could be biased in their efferent 9 connectivity. An argument against this model is that tuning for action and spatial context in M2 is positively correlated. Nevertheless, recent work⁷⁹ does show that M2 populations segregate 10 11 in lateralization of their action tuning according to whether they project intracortically or to 12 brainstem targets. Projection specificity of M2 neurons with context-independent encoding of 13 action will be a question of importance in future work aimed at determining how M2 signals are 14 interpreted by its efferent targets.

15 M2 efferents reach many brain regions and so one feature of M2 function could be to supply a 16 conjunctive efference-copy that reflects the full context of actions and the spatial and choice 17 context in which they occur. Action tuning observed in RSC and PPC, for example, could 18 reflect the efferents of M2 neurons to these regions. We conclude, however, that M2's role is best understood as a part of a sensory and spatial associative cortex network that outputs 19 20 preparatory motor actions. This view aligns closely with that posited in prior work emphasizing experiments wherein spatial context was not considered and emphasizes M2's situated place 21 22 in the PPC and RSP cortical network. In this respect, it follows that M2 tuning to spatial context 23 may be a remnant of an integration process in which spatial information is transformed into an 24 appropriate motor plan.

25 We conclude that M2 ensemble activity discriminates, in a manner largely independent of 26 spatial context, the specific motor acts associated with navigation constrained to paths. We 27 also conclude that such activity can contribute to both the planning and execution of action. 28 The implication of M2 in contributing to action planning in navigation is consistent with prior 29 work emphasizing the role of this particular sub-region of prefrontal cortex in generating activity 30 patterns predictive of upcoming choices during delay periods preceding a single choice point⁴¹. 31 It is also consistent with work demonstrating that M2 is required for action planning⁷³ cued by single modality sensory cues of multiple types⁸⁰. The present work demonstrates that such 32

1 activity is distributed across a broad expanse of space and time prior to peak turning behavior 2 and can therefore manifest as a continuous process during active and uninterrupted navigation 3 through a series of left/right turn choices. This distinguishes the present finding from prior work 4 examining M2 activity during delay periods absent locomotion⁴¹ and, furthermore, dissociates 5 action type from reward location as the relevant variable predicted by M2 during delay periods 6 of navigation tasks. Finally, the ramping of discriminatory activity to a peak at the height of 7 turns is suggestive of a network process internal to M2 that continually mediates transitions 8 from planned to executed actions.

9 Materials and Methods

10 Subjects

11 Subjects were 7 adult male Sprague-Dawley rats. From these rats, a total of 303 neurons were 12 recorded (73 left, 230 right; for per animal counts, see Supplemental Table 1). Rats were housed 13 individually and kept on a 12-h light/dark cycle. Prior to experimentation, animals were 14 habituated to the colony room and handled for 1–2 weeks. During training and experimentation, 15 rats were food-restricted with weights maintained at 85–95% of their free-feeding weight. Water 16 was available continuously. Rats were required to reach a minimum weight of 350g (5-10 17 months of age) before surgery and subsequent experimentation. All experimental protocols 18 adhered to AALAC guidelines and were approved by the IACUCs through either the UCSD 19 Animal Care Program or the Scripps Research Institute.

20 Apparatus

Behavioral tasks were conducted using a 'triple-T' track maze. The track (Figure 1A, left panel;
8-cm-wide pathways, overall 1.6m × 1.25m in length and width, painted black) stood 20cm high
in the middle of a large recording room. The track edges were only 2cm in height, allowing an
unobstructed view of the environment's boundaries and associated distal visual cues.

25 Behavior

Rats were habituated to the 'triple-T' maze during two 30-minute periods of free exploration. Animals were then trained to run in an uninterrupted fashion from the start location to one of eight potential goal sites distributed near the perimeter of the full maze (Figure 1A, yellow lines). These eight 'internal' routes consisted of straight sections interleaved with three left or right turns prior to a full stop at a goal location. The animal must then travel along the perimeter of the maze to the start location to begin a new trial. The 'perimeter' routes selected were typically those

12 of 27

1 yielding the shortest distance to the start site. Internal route lengths were 140cm in total length, 2 with turns at 51cm, 87cm and 118cm. Perimeter routes varied considerably in length according 3 to the distances between goal locations and the start site. If warranted, reward (a half piece of 4 Cheerios cereal) was made available at the goal sites. Over 1-2 weeks, animals were trained 5 by approximation to make route traversals between goal sites. Over at least two additional 6 weeks, animals were trained by simple trial and error to a criterion of 80% ballistic (uninterrupted) 7 route traversals. Animals were surgically implanted only after this level of task performance had 8 been achieved.

9 Reward Schedules

10 Multiple reward schedules were used across the set of animals. For the visit-all-8 reward 11 paradigm, the animal was rewarded at all eight goal locations, but needed to visit all locations 12 before rewards were reset at all reward locations. For the visit-all-4 reward paradigm, only the 13 far four routes and reward locations were included. For these animals, the other four routes were 14 blocked and the animals never had access to those goal sites. In the high-low reward paradigm, 15 two locations out of the eight were randomly chosen to be rewarded for each recording. One 16 location contained one half Cheerio reward and the other one guarter. After 20 minutes, two 17 more randomly selected locations were chosen to be rewarded in the same high-low fashion 18 and the recording continued for 20 more minutes. Under the high-low paradigm, rats primarily 19 visited the high-reward locations, effectively limiting sampling to three internal routes.

20 Surgery

Rats were surgically implanted with stereotrode or tetrode arrays (twisted sets of two 25µm tungsten wires or four 12.5µm nichrome wires) inserted into custom-built microdrives (four to eight arrays per microdrive). Rats were implanted unilaterally or bilaterally with one microdrive per hemisphere into M2. Rats were anesthetized with isoflurane and positioned in a stereotaxic device (Kopf Instruments). Following craniotomy and resection of dura mater, microdrives were implanted relative to bregma, centered at (A/P 2.5mm, M/L ±1.2mm, D/V -0.5mm).

27 Recordings

After a one-week recovery from surgery, animals were retrained for at least one week before beginning recordings. This was to ensure adequate behavior and running ability with the new weight of the implant. All recordings were from animals that were well trained on the task. Electrodes were moved ventrally in 40-80 µm increments between recordings to maximize the number of distinct units collected. Omnetics connectors were connected to the microdrives for

1 all animals. One of two recording systems was used for data collection. In one, utilized for five 2 animals, each Omnetics connector was connected to a single amplifying headstage (1X gain, 3 NB Labs). A tether led to a bank of amplifiers (Lynx-8, Neurolynx) and then fed into an acquisition 4 computer running the AD system (courtesy of Loren Frank and Matt Wilson, MIT). Signals were 5 filtered at 0.6 to 6kHz, amplified between 1,000 to 20,000X, and digitized at 32kHz. For the other 6 two animals, each microdrive had two electrical interface boards connected to a single amplifying 7 headstage (20X, Triangle Biosystems). A tether led to a set of preamplifiers (50X) and a high 8 pass filter (>150Hz). Signals then fed into the acquisition computer running Plexon SortClient 9 software and were filtered at 0.45–9kHz, further amplified 1–15X, and digitized at 40kHz. Total 10 amplification regardless of system was a total of 1,000-20,000X). Single unit action potential 11 waveforms were isolated in either XClust (courtesy of Loren Frank and Matt Wilson, MIT) or 12 Plexon OfflineSorter software. Waveform parameters used were peak height, peak valley, 13 energy, and principal components. Waveform clusters appearing to overlap with the amplitude 14 threshold set for collection were discarded to avoid collection of neurons with partial spiking data. Waveform amplitudes were monitored to ensure systematic fluctuation over time did not produce 15 16 confounds in isolating single units. Recordings lasted approximately 30-60 minutes. No neurons 17 were excluded from analysis, even if activity was minimal.

18 Position Tracking

19 Animal position was tracked using a camera attached to the ceiling above the recording room 20 floor. Either Dragon Tracker hardware (AD recording system) or Plexon's CinePlex Studio 21 software was used to detect LED lights fitted to the animal's surgical implant before each 22 recording. Light positions were captured at 60Hz. Accurately identifying and defining the actions of a freely navigating animal for analysis can be difficult due to the wide variation of movements. 23 24 To control for such variation in analyzing action potential firing rates, we filtered the animal 25 tracking data for smooth, uninterrupted traversals of entire routes. This procedure permitted us 26 to examine action potential firing rate data associated with stereotypical movements (forward 27 running, turning) through all sections of all routes. The defined routes were the eight internal 28 routes toward potential reward sites or perimeter routes leading to the internal entrance (Figure 29 1B). As perimeter routes from the four goal locations nearest the start point were excessively short, the perimeter routes used for analysis were the two two-turn routes returning the animal 30 31 from the first four internal route end-points to the start point. Each clean run was linearized by 32 mapping tracking locations to the nearest 1cm bin of a template of the traversed routes.

1 Histology

Animals were perfused with 4% paraformaldehyde under deep anesthesia. Brains were removed
and sliced into 50µm sections and NissI-stained to reveal the final depth of electrode wires in
M2. Microdrive depth monitored across recordings and final electrode depth as observed in
histology were compatible in all animals reported and a schematic of fiber tracks is shown in
Figure 1C.

7 Identification of Fluid, Efficient Route Traversals

8 To identify uninterrupted runs for individual routes, a multistep process using custom MATLAB 9 graphical user interfaces was used. First, a user defines starting and ending gates for each route. 10 Then the program finds all runs crossing these locations with sustained running speeds of 3cm/s 11 or greater throughout. Finally, a researcher uses the interface to verify that all such identified 12 runs did not contain either obvious interruptions in locomotion or significant alterations from the 13 stereotyped path seen across multiple runs. The results of this process can be seen in Figure 14 1B. By this method, stalled track traversals, reward periods, and other position data captured 15 between runs are not conflated with data with controlled action and spatial values.

16 Positional Firing Rate Maps

To characterize the firing activity of the M2 neurons, we calculated individual neurons' positional firing rates by dividing the total number of spikes of each neuron at each location by the total occupancy time at each location. Only position samples included in identified ballistic route traversals were used in such calculations and rate vectors for each route were generated separately. Positional firing maps were smoothed using a 2D convolution with a Gaussian filter with a standard deviation of 2cm that also accounts for bins with no occupancy⁸¹.

23 For each recording, custom MATLAB software is utilized to generate a spatial template matching 24 the average trajectory of the animal along each route in the horizontal (2D) plane. This approach 25 ensures the best possible matching of animal behavior and positions taken across recordings 26 and trials. The beginnings and ends of each straight run and turn peaks are marked and equally 27 sized bins are interpolated between marked locations. After establishing the template, each 28 tracking position sample is mapped to the nearest template bin. Firing rates for each bin of each 29 route are calculated by summing the number of spikes in each template bin and dividing by the 30 total amount of time the bin was occupied. Mean firing rates are calculated by summing mean 31 rates at each location and dividing by the number of runs.

1 Peri-event Mean Firing Rates

Peri-event mean firing rates were used to calculate choice probabilities at turns. For the defined linear space surrounding positions peak turning behavior occurs, firing rates for all occupied bins of linearized positional rate vectors were averaged for each trial. Bin sizes were 1cm and the length of the pre-turn and post-turn spaces were 20cm and 10cm respectively. This approach allowed us to make direct comparisons of activity rates for all pre-turn and post-turn spaces across turn types (left versus right) and for the same turn type at different maze locations.

8 Choice Probability

9 Choice probability (CP) is a metric coined by Britten et al.⁶⁴ It is the probability that an observer 10 can correctly identify an outcome given a single sample from one of two distributions. It is 11 equivalent to the area under the curve of the receiver operator characteristic⁶⁶⁻⁶⁷. It can be 12 calculated from the U statistic of the Mann Whitney U test of two distributions,

13 choice probability_{U1} =
$$\frac{U_1}{n_1 n_2}$$

where n_1 and n_2 are the number of samples in distributions 1 and 2, respectively. The difference of the value of this metric from chance (50%) is symmetric but depends on the order of the magnitudes of the medians of the two distributions. For our purposes, the higher magnitude of the distributions was not important, only the separation. Because of this, the maximum choice probability,

19 choice probability =
$$max\{choice \ probability_{U_1}, choice \ probability_{U_2}\},\$$

20 was always selected.

21 For all peri-event action choice probabilities (based on spike rates for left versus right turns). 22 peri-event mean firing rates were calculated from the distributions of routes with left and right 23 actions. For the time course analysis, choice probabilities were computed from firing rates of a 24 particular bin instead of mean values across bins. To control for the effect of noisier data at this 25 fine granularity and multiple comparisons, the significance level for the time course test was 26 established by a bootstrapping procedure. We shuffled the left/right action identities of the same 27 runs analyzed for each bin and neuron to create 1000 shuffled left/right datasets for each bin 28 and for each neuron. Choice probabilities from this shuffled distribution were calculated and used 29 to establish a p < 0.05% criterion for choice probability values.

1 For contextual choice probabilities (e.g., the effect of place on turn-related firing), we always 2 controlled for action (left/right turn) by comparing only like actions (e.g., left turns versus left 3 turns). For many contextual factors, more than two conditions needed to be examined for 4 discriminability. To preserve the ease of interpretation, we decided upon pairwise choice 5 probability calculations for each combination of distributions. For progress within a route, this 6 consisted of 12 comparisons: 1st, 2nd, and 3rd turns of the 8 internal routes. For location, we 7 controlled for route progress and therefore had up to 26 comparisons: between four 3rd turn 8 locations (6 combinations), and between two 2nd turn locations (1 combination) for each of left 9 and right turns. For route, we controlled for location and progress by analyzing only the 1st turn 10 common to all 8 internal paths. We therefore had 12 comparisons: 6 from four left routes and 6 11 from 4 right routes. For orientation we had 12 comparisons as there were four possible 12 trajectories for lefts and rights (north-going to west-going, north to east, south to west, south to 13 east). Finally, for choice, we only had two comparisons, forced versus choice, for lefts and rights 14 that occurred at locations where the animal could or could not execute more than one type of 15 turn. We present minimum, mean, and maximum values from these comparisons to aid in the 16 comprehension and comparison due to the necessary differences in analyses.

17 Statistical tests

18 Nonparametric tests were used throughout to avoid assumptions of normality in the data. The Mann Whitney U test was used to evaluate the statistical significance of peri-event mean firing 19 20 rates between left and right actions. Fisher's exact test was used to assess the quantities of 21 significant neurons for the first turn alone (common to all 8 internal paths) and for all turns pooled 22 (all internal and perimeter turns). A Chi-Squared Goodness of Fit test against an unbiased 23 binomial distribution was used to assess deviation from chance (50%) for laterality preference 24 of M2 neurons. The Kruskal-Wallis test was utilized to evaluate whether action choice probabilities from separate locations were from different distributions. The Kolmogorov-Smirnov 25 26 test was used to assess if pairwise distributions were significantly different for CP distributions. 27 No statistical methods were used to predetermine sample sizes. However, based on similar 28 sample sizes reported in previous publications, we believe we have adequate power (0.8) or 29 greater to detect significant effects.

30 Data and code availability

The data that support the findings of this study and code used for analysis are available from the corresponding author upon reasonable request.

1 Acknowledgements

- 2 This work was supported by the National Science Foundation (IOS-1149718).
- 3 The authors wish to thank the following people for technical assistance, discussion of the data,
- 4 and editing of the original manuscript: Kara Papaefthimiou, Ari Kappel, Belinda La, Cody
- 5 Walters, Emily Pham, Jinho Chung, Natalie Tongprasearth, Emily Tao, Scott Ragland, Eran
- 6 Mukamel, Laleh Quinn, Lara Rangel, Andrea Chiba, Andrew Alexander, Laura Shelley, and Alex
- 7 Johnson.
- 8 The authors declare no competing financial interest.

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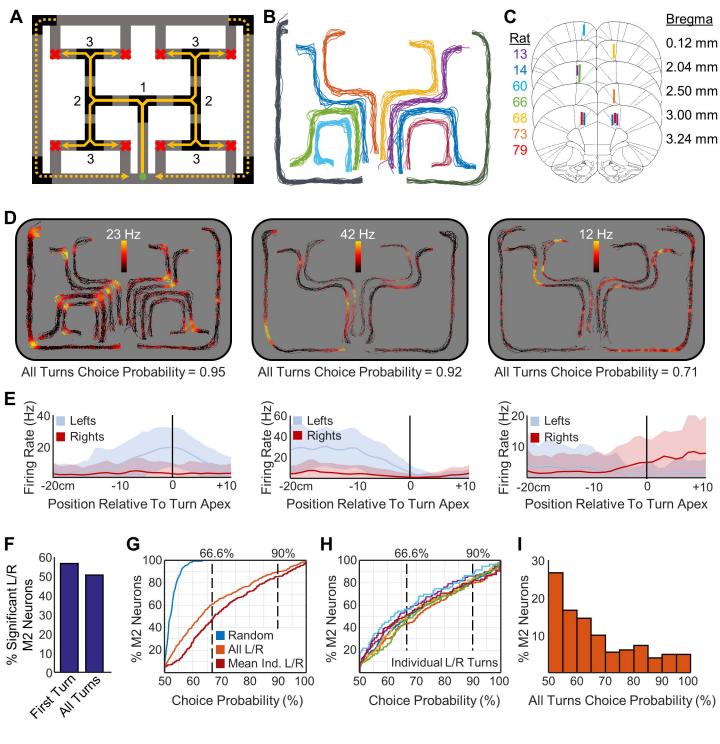
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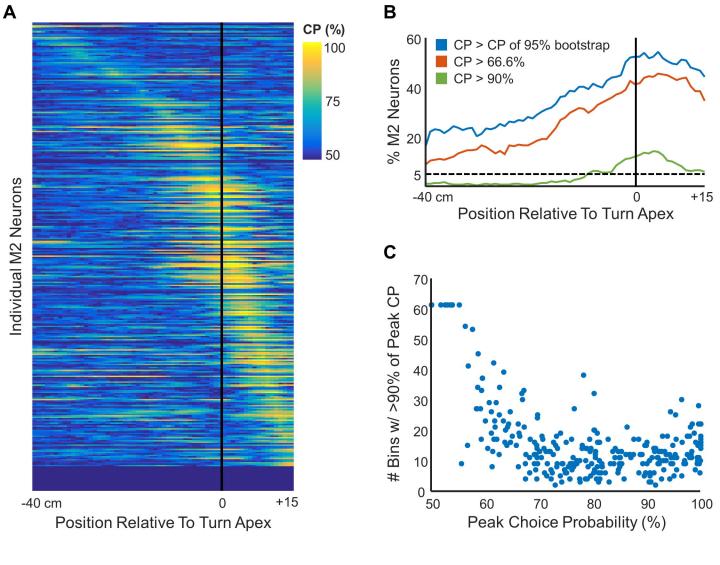
Figure 1: M2 robustly encodes action across space. A) Schematic of triple 'T' maze and route-running task. Animals made runs along each of up to eight partially overlapping internal routes (solid yellow lines) on the 160cm × 125cm track apparatus, leading from a start site (green circle) to any of four goal sites (red 'x'). Numbers indicate the index of the turn in the progress of an internal route. From each goal site, the animal returned to the start via either of two return paths (dashed yellow lines). For some task setups, only the routes leading to the top four goal locations were used. B) Behavioral tracking of identified clean runs. Shown are all clean runs from one recording session in the visit-all-8 reward setup. Each color shows a separate route. Routes are minimally translated and stretched for visualization purposes. C) Electrode placement and recording ranges in M2 (seven rats). Lines track dorsal-ventral recording depths across recordings. D) Example neuron positional firing rate maps. Shown are the firing rates color-mapped as a function of route and track position. As in Figure 1B, routes are minimally translated and stretched from the actual track location to separate each map for visualization purposes. Under each rate map is the choice probability (CP) for left and right actions, pooled across all locations. E) Linearized perievent firing rate maps. Mean (line) and s.d. (shaded) of the individual linear firing rate values surrounding each of left and right turns, shown in blue and red, respectively, for the corresponding neurons in **D**. **F**) Percentage of M2 neurons significantly discriminating left/right actions for the first internal turn versus all turn locations combined. G) Cumulative density functions (CDFs) of the entire M2 populations' all-turn-locations-combined left/right turn CPs (orange), mean action CPs of individual locations (maroon), and shuffled action CPs (blue). H) CDFs of the entire M2 populations' action CPs of individual locations. Each color is a different spatial location on the maze. I) Probability density function of the action CPs for all turns pooled across locations.

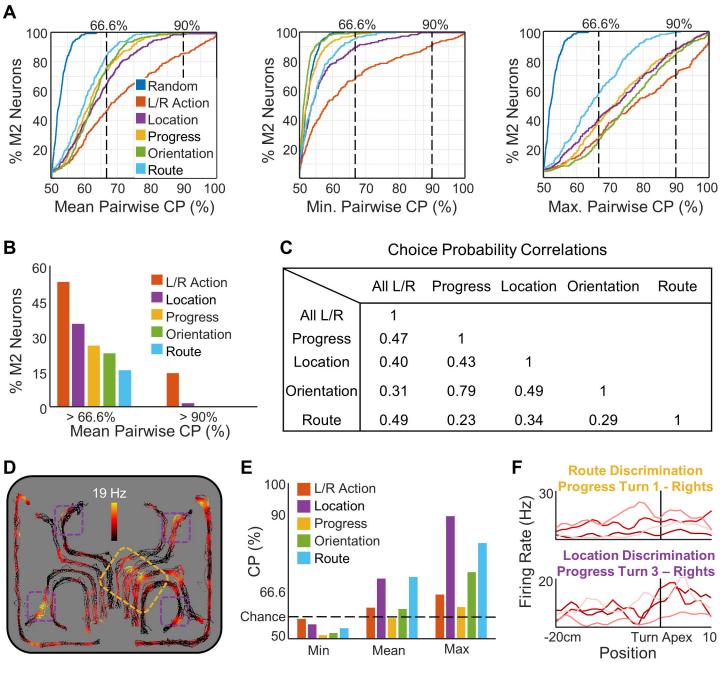
Figure 2: Temporal dynamics of M2 action encoding. A) Choice probabilities of individual neurons (rows) across space (columns) in the perievent window surrounding the first left/right turn on the internal routes (Figure 1A, labeled 1). Neurons sorted by the location of their max choice probability. Black line indicates turn apex. **B)** M2 action encoding strength as a function of time. Shown are percentages of neurons encoding the action at each spatial distance from the turn apex. Blue trace is the percentage above a 95% criteria of a bootstrapped distribution. Dotted black line is the expected chance value. Orange and green traces are percentages of neurons at the chosen thresholds of 66% and 90%, respectively. **C)** Extent of decoding period. Number of spatial bins within 10% of the maximum choice probability for each individual neuron during the time course analysis. Each dot represents one neuron.

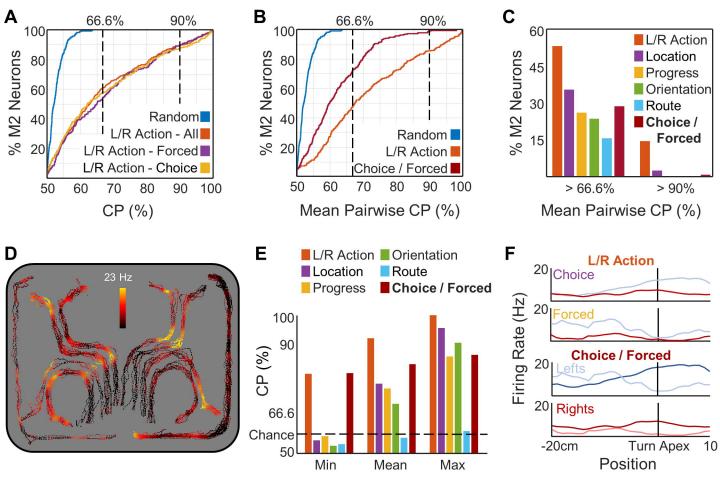
Figure 3: Spatial context discrimination in M2. Color labels are consistent across all of Figure 3. A) Cumulative density functions (CDFs) for the entire M2 populations' CPs under different spatial conditions. Also included for comparison are CDFs of action CPs from individual locations and shuffled action CPs as in Figure 1G. Separate graphs are the mean pairwise CPs (left), minimum pairwise CPs (middle), and maximum pairwise CPs (right). B) Percentages of M2 neurons with mean pairwise CPs above our thresholds for each condition. C) Choice probability correlation table. Listed are the Pearson R correlation coefficients for the mean pairwise CPs of each pair of conditions graphed in A. D) Example neuron positional firing rate map color-mapped as a function of route and track position. The yellow box highlights an example of route encoding, while the purple boxes highlight location encoding. As in Figure 1B, routes are minimally translated and stretched from the actual track location to separate each map for visualization purposes. E) CPs from each of the three plots in A for the corresponding example neuron shown in **D**. **F**) Linear perievent rate maps for the highlighted examples in **D**. Examples of route discrimination from the yellow box in D (top) and location discrimination from the purple boxes in D (bottom). Only one action (right turns) shown since CPs controlled for action and would compare each action condition separately.

Figure 4: Choice context does not effect action encoding discrimination. A) Cumulative density functions (CDFs) for the entire M2 populations' action CPs under choice (gold) and forced (purple) turn contexts. Also included for comparison are CDFs of action CPs from individual locations (orange) and shuffled action CPs (blue). B) CDF of mean pairwise CPs of choice context (maroon) with comparison CDFs of individual location action CPs (orange) and shuffled action CPs (blue). C) Threshold values of choice context as compared to the spatial contexts. D) Example neuron positional firing rate map highlighting encoding of choice context. Shown are the firing rates color-mapped as a function of route and track position. As in Figure 1B, routes are minimally translated and stretched from the actual track location to separate each map for visualization purposes. E) CPs from each of the three plots in Figure 3A for the example neuron shown above. F) Linear perievent rate maps. Perievent plots showing the mean firing rates for the action values (top) and the choice contexts (bottom) of the example neuron shown above. All comparisons for CPs were done on data being presented in the same plot.



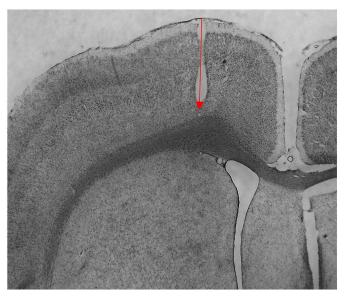




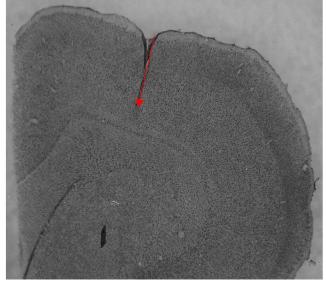


Rat	L Hemisphere	R Hemisphere
13	2	19
14	23	12
60	8	-
66	32	-
68	-	90
73	-	84
79	8	25

Supplemental Table 1: Neuron counts. Listed in each row is the identifier index and neuron counts by hemisphere for each rat in the study.

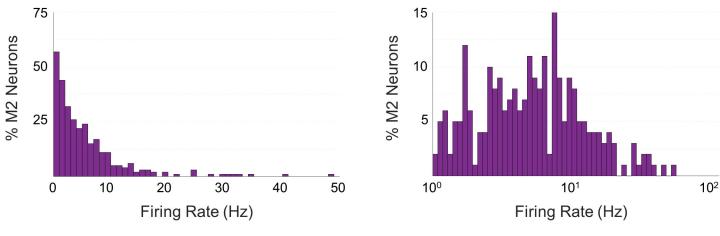








Supplemental Figure 1: Histology. Recordings of M2 neurons (N = 303) were obtained from nine bundles in seven animals. Numbers of total recorded neurons are included in Supplemental Table 1. Example histology photos. Red arrows depict tracks left by the bundles and their approximate endpoints.



Supplemental Figure 2: Firing rate distributions. Mean firing rate histograms for the 303 recorded M2 neurons in both linear (left) and log-linear scales (right).