

1 **REPLICCAR II Study: Data Quality Audit in the Paulista Cardiovascular Surgery**

2 Registry

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16 **Abstract**

17 **Background:** Electronic health records databases are important sources of data for research
18 and health practice. The aim of this study was to assess the quality of the data in
19 REPLICCAR II, the Brazilian cardiovascular surgery database based in São Paulo State.

20 **Study Design:** The REPLICCAR II database contains data from 9 institutions in São Paulo,
21 with more than 700 variables. We audited data entry at 6 months (n=107 records) and 1 year
22 (n=2229 records) after the start of data collection. We present a modified Aggregate Data
23 Quality Score (ADQ) for 30 variables in this analysis.

24 **Results:** The agreement between the data independently entered by a database operator
25 and a researcher was good for categorical data (Cohen κ = 0.70, 95%CI 0.59, 0.83). For
26 continuous data, the intraclass coefficient was high for all variables, with only 2 of 15
27 continuous variables having an ICC of less than 0.90. In an indirect audit, 74% of the
28 selected variables (n = 23) showed a good ADQ score, regarding completeness and
29 reliability.

30 **Conclusions:** Data entry in the REPLICCAR II database is satisfactory and can provide
31 accurate and reliable data for research in cardiovascular surgery in Brazil.

32

33 **Keywords:** Cardiac Surgery - Database - Quality Improvement

34

35 Abbreviations: ADQ = Aggregate Data Quality Score; CABG = coronary artery bypass graft;
36 ESTS = European Society of Thoracic Surgeons; ICC = Intra Class Correlation Coefficient.

37

38 **Introduction**

39 The very foundation in Healthcare Science of its clinical studies, trials, and follow-ups
40 is the quality of the data collected. Despite the lack of consensus regarding a standardized
41 method to measure healthcare data quality, it is of utmost importance to establish the
42 confidence and validity of the outcome. Hence, the research design, the variable selection
43 and the gathering of the data are pivotal points to assert the reliability of the conclusion
44 achieved¹.

45 As we may all know, observational studies are subject to bias, confounding, and a
46 badly established retrospective registry. Publications like Zhang et al., 2014, Salati et al.,
47 2016, and Dreyer et al., 2016 reported various approaches on how to devise data validation
48 tools aimed at guaranteeing the quality of the results needed for decision making²⁻⁵. In the
49 same way, the developing of reliable databases in healthcare is essential, because they will
50 not only be used as the basis for much academic research, but also to evaluate and derive
51 guidelines, leading to the improvement of healthcare decision making⁶⁻¹⁰. In the
52 Cardiovascular and Thoracic Surgery field, the initiatives taken by the STS and European
53 Society of Thoracic Surgeons (ESTS) Databases should, thus, be emphasized, because they
54 both have aimed, since their inception, at gathering not only massive but also reliable data.
55 This has direct implications for clinical outcomes, especially regarding mortality. The classical
56 paradigm between volume and successful outcomes in cardiovascular surgery is currently
57 being questioned regarding low-volume and quality emphasis programs¹¹⁻¹⁸, with some

58 showing that just adhering to a quality improvement initiative could already impact mortality
59 rates¹⁹.

60 The development of the Paulista Registry of Cardiovascular Surgery (REPLICCAR II),
61 a multicenter prospective cohort study coordinated by the Instituto do Coração do Estado de
62 São Paulo (InCor) aimed at evaluating morbidity and mortality predictors in patients
63 undergoing coronary artery bypass graft (CABG) surgery and constitutes a definite example
64 of the concept. Data collection and analysis were performed according to its guidelines set by
65 professionals from different areas forming an interface between research and clinical
66 practice. The adoption of quality-oriented data analysis then becomes imperative to assure
67 the validity of its outcomes with the intent of enhancing its prospective clinical impact⁶.

68 The aim of the present study was to present the results of direct and indirect audits of
69 the data quality of the registries included in the REPLICCAR II database after 6 months and
70 1 year.

71

72 **Material and Methods**

73 Data source and collection

74 This project included 9 institutions in the State of São Paulo: (i) Instituto do Coração do
75 Hospital das Clínicas da Faculdade de Medicina da USP (InCor), (ii) Hospital Beneficência
76 Portuguesa de São Paulo (Hospital BP), (iii) Hospital TotalCor, (iv) Hospital de Base de São
77 José do Rio Preto (HBSJRP), (v) Hospital Albert Einstein da Sociedade Beneficente Israelita
78 Brasileira (HIAE), (vi) Instituto Dante Pazzanese de Cardiologia (IDP), (vii) Santa Casa de
79 Misericórdia de São Paulo (SCSP), (viii) Santa Casa de Misericórdia de Marília, and (ix)
80 Hospital de Clínicas da Universidade Estadual de Campinas (HC-UNICAMP). The study thus
81 strived to analyze public and private reference hospitals linked to institutions like
82 philanthropic organizations and universities.

83 REPLICCAR II includes more than 700 variables, among which are factors related to
84 general facts about the patients, their pre-, intra-, and postoperative assessments and their
85 30-day follow-up. Data for this study began being collected in August 2017, with each

86 participant center responsible for mobilizing a team for the task, as well as being free to
87 designate the person responsible, usually a medical resident. All researchers responsible for
88 the gathering were previously trained on how to fill out the forms correctly.

89 Data gathering was performed by using the online platform REDCap-HCFMUSP
90 (Vanderbilt, Tennessee, EUA/<https://redcap.hc.fm.usp.br/>), accessible from any computer
91 with an internet connection, with access restricted to selected researchers. The data are
92 stored in real time at a safe server at the University of São Paulo Medical School. This
93 project was approved by this institution's ethics committee, under the protocol number
94 2016/15163-0. Funding was provided by FAPESP (PPSUS).

95

96 Direct audit

97 A direct audit was carried out after 6 months of data collection; 7% (107 records) of the data
98 collected at each center until February 2018 was randomly selected with STATA 13.1
99 software (StataCorp, Texas, USA), and for re-collection as performed by experienced
100 independent investigators (auditors) within the team, who visited each center for this task.
101 The auditors, with full access to each center's own previously available database, re-
102 collected these data, under two fundamental conditions: (i) that they were blinded to the
103 original record and (ii) that each one did not re-collect the same data they had originally
104 input. The original and the re-collected data then underwent statistical analysis to check for
105 accuracy in data collection.

106

107 Indirect audit

108 After 1 year of data collection, due to the amount of data and the lack of financial and human
109 resources, a direct audit was impractical. Thus, an indirect audit was performed. This time, all
110 the 2229 records were analyzed, and 30 variables related to pre-, intra-, and postoperative
111 factors were selected. These data then underwent statistical analysis.

112 .

113 Statistical analysis

114 For the direct audit, the data were analyzed using the program STATA version 13.1
115 (StataCorp, Texas, USA). Cohen's *kappa* coefficient (κ) was taken for categorical variables to
116 estimate the change in agreement occurring simply at random between raters and the
117 observed variables.

118 *Kappa coefficient* (κ) was reported as⁷: (i) fair, when between 0.21 and 0.40; (ii) moderate,
119 when between 0.41 and 0.60; (iii) substantial, when between 0.61 and 0.80; and (iv) almost
120 perfect, when between 0.81 and 1.00⁷. The Intra Class Correlation Coefficient (ICC) was
121 determined for continuous data variables by the assumptions of raters with similar
122 characteristics and for evaluating rater-based clinical assessment methods (2-way Random-
123 Effects Model for reliability of agreement). ICC varies between 0 and 1, with the first one
124 suggesting no agreement, whereas the second one suggests perfect agreement. Values
125 lower than 0.50 are indicative of poor reliability. Those between 0.5 and 0.75 were
126 considered moderate, those between 0.75 and 0.9 good, and those higher than 0.90 were
127 considered of excellent reliability⁸.

128 For the indirect audit, we focused on the analysis of the completeness and reliability
129 of all the data included in REDCap. In this way, we adapted the methodology suggested by
130 Salati et al, implemented by the REPLICAR II responsible team as follows³:

131 **Completeness (COM)** = $(1 - [\text{'null values'}/\text{total expected values}]) \times 100$

132 **Reliability (REL)** = $(1 - [\text{'inconsistent values'}/\text{total expected values}]) \times 100$

133 **Rescaled COM** = COM of the Unit – (average COM of all the examined Units/standard
134 deviation of all the examined Units)

135 **Rescaled REL** = REL of the Unit – (average REL of all the examined Units/standard
136 deviation of all the examined Units)

137 **Aggregate Data Quality Score (ADQ) = Rescaled COM + Rescaled REL**

138 The ADQ value illustrates the final score for both completeness and reliability. Thus,
139 the closer it gets to zero, the closer the data will be to the expected average, showing the
140 quality of data in a simplified way.

141

142 **Results**

143 Direct auditing

144 A total of 107 random records for direct data analysis collected in the initial 6 months
145 of the REPLICCAR II Study (10% of the total sample) were audited. Table 1 summarizes the
146 data of the direct audit of the variables selected for analysis. The observed inter-rater
147 agreement occurring by chance (randomly) had an average κ of 0.70, with a standard error of
148 0.06 (95%CI 0.59-0.83). The analysis of each variable was mostly substantial ($n = 4$) to
149 almost perfect ($n = 3$) κ coefficient, whereas 2 variables had a moderate κ coefficient.

Table 1. Direct Audit: Inter-Rater Agreement and Estimated κ coefficient of categorical variables. REPLICCAR II, 2019.

| Variables | Inter-Rater Agreement (%) | Estimated κ (SE) |
|-----------------------------------|---------------------------|-------------------------|
| Family history CHD | 91.7 | 0.62 (0.10) |
| <i>Diabetes mellitus</i> | 96.3 | 0.93 (0.09) |
| <i>Diabetes treatment</i> | 87.3 | 0.76 (0.11) |
| Dyslipidemia | 88.8 | 0.78 (0.09) |
| Renal Failure | 92.1 | 0.42 (0.09) |
| Dialysis | 100 | 1.00 (0.10) |
| Hypertension | 97.3 | 0.86 (0.09) |
| Intra operative blood transfusion | 91.67 | 0.75 (0.10) |
| Complications post op | 75 | 0.47 (0.09) |

CHD: Coronary Heart Disease.

150 Table 2 presents the Intraclass Correlation Coefficient, analyzing the acceptable
151 reliability of the direct audited variables. Preoperative hemoglobin had an average ICC of
152 0.70, but it later became clear that there were many different admissions in laboratory
153 examinations, hence promoting disagreement about this situation.

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Table 2. Direct Audit: Intraclass Correlation Coefficient (ICC) of numerical variables with, two-way random effects model. REPLICCAR II, 2019.

| Variables | ICC (Average) | 95% CI |
|-------------------------------------|------------------|---------------|
| Pre-op | | |
| Age | 0.86 | 0.80 – 0.91 |
| Height (cm) | 0.98 | 0.96 – 0.98 |
| Weight (kg) | 0.99 | 0.98 – 0.99 |
| Preoperative Hemoglobin (mg/dL) | 0.70 | -3.7 – 0.98 |
| Preoperative Glucose (mg/dL) | 0.99 | 0.98 – 1.00 |
| Preoperative Ejection Fraction (%) | 0.99 | 0.98 – 0.99 |
| Intra-op | | |
| Lowest Intraop Hematocrit (%) | 0.98 | 0.96 – 0.99 |
| Highest Intraop Glucose (mg/dL) | 0.97 | 0.96 – 0.98 |
| Intraop Perfusion time (min) | 0.99 | 0.98 – 0.99 |
| Intraop Anoxia time (min) | 0.99 | 0.996 – 0.998 |
| Post-op | | |
| Postoperative Ejection Fraction (%) | 0.94 | 0.80 - 0.98 |
| Postoperative Glucose (mg/dL) | 1.00 | 0.997- 0.99 |
| Postoperative Hematocrit (%) | 0.98 | 0.95 – 0.99 |

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159 In this sample, the data for glycated hemoglobin, total bilirubin, and albumin levels
 160 were insufficient for analysis, but these variables are not mandatory in the registry.

161 Indirect auditing

162 After 1 year of data collection, an indirect audit was conducted, regarding
 163 completeness and reliability for 30 variables selected as relevant for risk analysis for CABG
 164 mortality.

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173 Table 3. Aggregate Data Quality (ADQ) composite of completeness and reliability of REPLICCAR II
 174 database, 2019.

| Variables | COM(%) | Rescaled COM | REL (%) | Rescaled REL | ADQ |
|-----------|--------|-----------------|---------|-----------------|-----|
|-----------|--------|-----------------|---------|-----------------|-----|

| Pre-Op | | | | | |
|---|-------------|-------|-------|-------|-------|
| Age (years) | 97.25 | 0.54 | 99.81 | -0.50 | 0.03 |
| BMI (kg/cm ²) | 96.71 | 0.51 | 98.55 | -5.29 | -4.78 |
| Family history CHD | 97.90 | 0.56 | 100 | 0.23 | 0.80 |
| <i>Diabetes mellitus</i> | 98.06 | 0.57 | 100 | 0.23 | 0.80 |
| <i>Diabetes</i> treatment | 95.99 | 0.48 | 100 | 0.23 | 0.72 |
| Dyslipidemia | 97.45 | 0.54 | 100 | 0.23 | 0.78 |
| Renal Failure | 97.80 | 0.56 | 100 | 0.23 | 0.79 |
| Dialysis | 97.93 | 0.56 | 100 | 0.23 | 0.80 |
| Hypertension | 97.93 | 0.56 | 100 | 0.23 | 0.80 |
| Rheumatic Disease | 96.35 | 0.50 | 100 | 0.23 | 0.73 |
| Hemoglobin (mg/dL) | 92.70 | 0.35 | 100 | 0.23 | 0.59 |
| Hematocrit (%) | 92.47 | 0.34 | 100 | 0.23 | 0.58 |
| Total Albumin (g/L) | 21.77 | -2.51 | 100 | 0.23 | -2.28 |
| Total Bilirubin (mg/dL) | 14.02 | -2.82 | 100 | 0.23 | -2.59 |
| Glucose (mg/dL) | 60.50 | -0.95 | 100 | 0.23 | -0.71 |
| HbA1c | 41.73 | -1.70 | 100 | 0.23 | -1.47 |
| Ejection fraction (%) | 75.13 | -0.36 | 100 | 0.23 | -0.12 |
| Creatinine (mg/dL) | 92.89 | 0.36 | 100 | 0.23 | 0.59 |
| Intra-Op | | | | | |
| Hemoglobin (smaller) | 96.87 | 0.52 | 100 | 0.23 | 0.75 |
| Hematócrito (smaller) | 96.90 | 0.52 | 100 | 0.23 | 0.75 |
| Glucose (higher) | 96.61 | 0.51 | 100 | 0.23 | 0.74 |
| Blood transfusion | 95.93 | 0.48 | 100 | 0.23 | 0.72 |
| Post- Op | | | | | |
| Ejection fraction (%) | 22.32 | -2.49 | 100 | 0.23 | -2.25 |
| Glucose (mg/dL) | 73.87 | -0.41 | 100 | 0.23 | -0.17 |
| Creatinine (mg/dL) | 94.93 | 0.44 | 100 | 0.23 | 0.68 |
| Hemoglobin (mg/dL) | 90.02 | 0.24 | 100 | 0.23 | 0.48 |
| Hematocrit (%) | 90.05 | 0.24 | 100 | 0.23 | 0.48 |
| Post Op Complications | 95.70 | 0.47 | 100 | 0.23 | 0.71 |
| Post Op duration [†] | 95.28 | 0.46 | 100 | 0.23 | 0.69 |
| Hospitalization total (days) [†] | 95.28 | 0.46 | 99.94 | -0.01 | 0.44 |
| Mortality (OUTCOME) | 94.93 | 0.44 | 99.81 | -0.50 | -0.06 |
| Média | 83.98 | | 99.94 | | |
| Standard Deviation | 24,79399493 | | 0.26 | | |

† Calculated fields.

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176 Table 3 provides completeness and reliability of the variables included for the current

177 evaluation and the ADQ of the study. Among the variables with less than 90% completeness

178 (COM) and low ADQ score in the preoperative period were (i) total bilirubin (14.02%), (ii) total

179 albumin (21.77%), (iii) *HbA1c* (41.73%), (iv) glucose (60.50%), and (v) ejection fraction

180 (75.13%). In the postoperative period, there were only 2 variables in this condition: (i)

181 ejection fraction (22.32%) and (ii) glucose (73.87%). The ADQ score for BMI on the other

182 hand, was -4.7 but was related to the reliability. The remaining variables had more than 90%
183 completeness and reliability.

184 Figure 1. ADQ scale for the variables analyzed in the indirect audit. REPLICCAR II, 2019.



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187 Figure 1 shows that 74% of the records (n = 23) had an acceptable ADQ score,
188 considering that the positive values had an ADQ score larger than the sample mean, which
189 can be considered as good data quality. The values under the first quartile were considered
190 relevant for review.

191 We propose criteria and definitions (Table 4) for some variables in the REDCap tool,
192 including the BMI variable, with ranges for weight and height. The investigators will then
193 receive an alert for each data imputation when the data are out of these determined values,
194 thus, guaranteeing better reliability, data consistency, and acceptable ranges. The other
195 variables with low completeness are not mandatory but reflect our reality.

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201 Table 4. Rules applied to the RedCAP tools after the indirect audit considering better criteria
202 and definitions to improve data quality in REPLICCAR II Study, 2019.

| Field Label | Choices, Calculations, OR Slider Labels | Validation Type | Validation Min | Validation Max | Branching Logic (Show field only if...) |
|---------------------------------|--|-----------------|----------------|----------------|---|
| Age | round (datediff([dob], [surgdt], "y", "dmy")) | number | | | |
| Gender | 1, Male 2, Female | | | | |
| Admission date | | date_dmy | 01/08/17 | | |
| Height (cm) | | number | 120 | 250 | |
| Weight (Kg) | | number | 30 | 300 | |
| BMI | round ([weightkg]*10000/([heightcm]*[heightcm])) | | | | |
| Family History CHD | 1, Yes 2, No | | | | |
| Diabetes mellitus | 1, Yes 2, No 3, Unknown | | | | |
| Diabetes Control | 0, No control 1, Diet 2, Oral 3, Insulin 4, Others subcutaneous 5, Others not subcutaneous 6, Unknown | autocomplete | | | [diabetes] = '1' |
| Dislipidemia | 1, Yes 2, No 3, Unknown | | | | |
| Renal Failure | 1, Chronic 2, Acute 3, No 4, Unknown | | | | |
| Dialysis | 1, Yes 2, No | | | | |
| Hypertension | 1, Yes 2, No 3, Unknown | | | | |
| Rheumatic Disease | 1, Yes 2, No 3, Unknown | | | | |
| Hemoglobin (mg/dL) | | number | 5 | 20 | |
| Hematocrit (%) | | number | 15 | 70 | |
| Last creatinin (mg/dL) | | number | 0.1 | 30 | |
| Creatinin clearance | round (if([sex]=1,((140-[age])*[weight]/(72*[creatin])), if([sex]=2,((140-[age])*[weight]*0.85/(72*[creatin])), "NaN"))) | number | | | |
| Total Albumin (g/L) | | number | 1 | 10 | |
| Total Bilirrubin (mg/dL) | | number | 0.1 | 10 | |
| Glucose (mg/dL) | | number | 20 | 500 | |
| HbA1c | | number | 1 | 20 | |
| Lowest Intraop Hemaglobin | | number | 1 | 50 | |
| Lowest Intraop Hematocrit (%) | | number | 1 | 99.99 | |
| Highest Intraop Glucose (mg/dL) | | number | 40 | 500 | |
| ICU stay (hours) | round (sum([icuduration], [icudhrs], "h", "dmy", true)) | number | | | [icuvist] = '1' |
| Mortality | 1, Yes 2, No | | | | [icuvist]= '2' |
| Date of death | | date_dmy | | | [mtpd]= '1' |
| Survival (days) | round (datediff([surgdt],[mtdate], "d", "dmy")) | number | | | |
| Hospitalization total (days) | round (datediff([admitdt],[dischdt],"d","dmy",true)) | number | | | |
| Post Op duration (days) | round (datediff([surgdt],[dischdt],"d","dmy",true)) | number | | | |
| Date of Birth | | date_dmy | | | |
| Surgery Date | | date_dmy | | | |
| Hospital Discharge Date | | date_dmy | | | |
| Date of Death | | date_dmy | | | [mtpd]= '1' |

BMI: Body Mass Index; CHD: Coronary Heart Disease; creatin: last creatinin; ICU: Intensive Care Unit; icuvist: Entrance in ICU after Surgery; dob: date of birth; admitdt: admission date; surgdt: surgery date; dischdt: discharge date; mtdate: date of death; dmy: date/month/year.

203

204 In summary, the REPLICCAR II study had satisfactory concordance and correlation in

205 the first stage. However, the results of the indirect analysis were essential to develop

206 methods for data confidence and quality improvement.

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208

209 **Discussion**

210 The outcome variable (operative death) had 85% completeness and 92% reliability. Among
211 the inconsistencies related to mortality, we verified that the cases of intraoperative death
212 were negligible for the variable operative death. To rectify such in reliability, we inserted in
213 the system a script that considers the cases of surgery without admission to the intensive
214 care unit at the immediate postoperative period to count as death on the day of surgery.
215 “Mortality in Hospital” or “30-Day Vital Status” had 96% completeness and 99.7% reliability.

216 Patients with unknown or incomplete 30-day mortality status could potentially
217 introduce bias. However, “in-hospital mortality” completeness was almost fully recorded, and
218 represents the vast majority of 30-day deaths. The use of simulations suggests that any
219 errors committed by the false assumption that a patient with missing or unknown mortality
220 status is alive have negligible influence on hospital mortality results, compared with random
221 sampling error¹⁴; however, that doesn’t mean the registry is completely biased or inconsistent
222 with reality.

223 The STS established a conceptual framework of quality measurement in Adult
224 Cardiac Surgery with a comprehensive methodology for assessment of adult cardiac surgery
225 quality of care. Among the quality indicators are the possibility of temporal evaluation (pre-,
226 intra- and postoperative) and consideration for structures, processes, and outcomes. The
227 quality scores should be interpretable and actionable by providers. The design of
228 comprehensive quality measures involves clinical, health policy, and statistical
229 considerations⁹. Due to several limitations, there is a high demand for the development of
230 data quality analysis tools in healthcare. The use of these tools to assure completeness,
231 reliability, and accuracy are essential to the validity in observational research, considering
232 that those studies already have possible bias.

233 Lauricella et al, 2018⁶, published a data quality analysis on a similar initiative of
234 developing such a database. The Paulista Lung Cancer Registry (PLCR), also developed by
235 InCor, cannot be directly compared with REPLICCAR II, because the parameters are
236 different. However, we can analyze some parameters, such as the COM. Within their 511
237 analyzed records, 21 of 105 variables (20%) had a COM < 0.90%. In our study, 7 of the 30

238 variables (23.33%) had the same results. The work by Salati et al, 2011¹, showed that 5 of
239 15 variables (33.33%) selected for the completeness study had COM < 90%. This way, we
240 can consider that, in this parameter, the REPLICCAR II study showed excellent results.
241 Considering that recent works are proposing this parameter as one of the most accessible for
242 initial data quality studies¹, our study is within this trend.

243 In analyzing the direct audit results, it is important to remember that ICC considers
244 the fact that close numerical values might be concordant even though they are different. This
245 has important implications in a clinical study because different values within a close range
246 (normal variance) will show good ICC values. Considering that different researchers (or even
247 the auditor) may collect exam values from different dates for the same subject, these values
248 may show good ICC results in the statistical analysis⁶. We can say that our ICC had good
249 values, with only 2 of 15 variables (13.33%) showing an ICC of less than 0.90. Our lowest
250 ICC value was 0.70 for preoperative hemoglobin. In Lauricella et al, 2018, the same values
251 were found in 5 of their 12 numerical variables (41.66%), and their lowest ICC value was
252 0.51 for time from first symptom. The comparison, however, cannot be applied directly
253 between our groups, because completely different parameters were studied in each work.

254 However, we must remember that these results do not present improvement
255 strategies for the quality of the records because we cannot pinpoint the data collection error
256 solely based on these parameters. Newly proposed parameters, such as the ADQ, may
257 provide faster, more practical and low-cost analysis of generic data quality. Another
258 evaluation that could be performed was the ADQ by each center, which could then be used
259 to guide the centers about the strengths and weaknesses of their study variables and thus
260 help them improve the quality of their data.

261 In the United States, there has been much discussion about the applicability of Big
262 Data analysis to Healthcare. There is still great reluctance to adhere to the adoption of
263 electronic systems in clinical practice, usually remaining restricted to administrative and
264 financial analyzes¹¹. In 2009, the Health Information Technology for Economic and Clinical
265 Health Act (HITECH) invested between US\$ 14b and US\$ 27b in the adoption of electronic

266 systems in hospitals⁹. Consequently, the generation of massive data presents its own
267 analysis challenges, especially when it comes to information security. In the United States, it
268 is estimated that in 2011 there were already 150 exabytes of health-related information¹².
269 Such reality clearly fits Big Data systems, already well established in different industries and
270 with clearly effective results, like Google's search engine, Amazon's buying suggestions, and
271 even in election campaigns¹³.

272 In Brazil, the Ministry of Health, through the Research Program of Sistema Único de
273 Saúde (PPSUS), is an example of not only the potential of applying technology and
274 databases in healthcare, but also the relevance of initiatives like REPLICCAR II. In São
275 Paulo, Brazil's largest city, there is a large concentration of big centers, researchers, skilled
276 labor, and a massive convergence of people from various regions of the country who come to
277 seek care in its hospitals. Thus, although it is a registry from the State of São Paulo, the
278 diversity of the served population allows for greater data refinement. In the end, with
279 investment and the management of a single project, we can obtain applicable analysis to
280 different subpopulations, as recommended by the PPSUS Technical Guidelines, 5th edition,
281 of 2014. This work also points to the importance of changing the health sciences data
282 paradigm. By providing a qualified database, such as the STS or ESTS, the personal cost of
283 exposing your data comes back in the long term by providing reliable community data, thus
284 allowing the elaboration of larger and safer guidelines.

285 For decades, cardiac surgeons have collected and analyzed data systematically to
286 continually improve outcomes in quality of care⁹. Health information technology (IT) has the
287 potential to improve individuals' health and provide better clinical performance, better
288 healthcare quality, lower costs, and greater involvement of patients in their own health
289 services⁹. The growing development of Big Data and therefore its use in healthcare science
290 seems inevitable, and many initiatives are showing a prospective future in this area.
291 However, if we cannot ensure the quality of the data in the analysis¹⁵, we will not succeed in
292 deriving trustworthy and, ultimately, valid conclusions, regardless of the analytical model we
293 may use¹⁶.

294 Among the benefits of artificial intelligence (AI) are (i) better knowledge of the general
295 population, (ii) the comparison of patient samples in each institution, (iii) the evaluation of
296 association and risk with predictive instruments for outcomes of interest, (iv) the elaboration
297 of risk scores, (v) better planned strategies for the improvement of quality and safety in
298 healthcare, and (vi) protocols based on reality and the available resources for each
299 population subgroup.

300

301 **Limitations**

302 1. As expected from such a pioneering project, there were many challenges regarding the
303 education of the professionals engaged in the collection of data and to ensure REPLICCAR
304 data quality, as shown by the unexpected discrepancies in our results. Considering solely the
305 analysis made (κ coefficient and ICC), we cannot point out the causes of these errors.

306 2. We found satisfactory concordance and ICC, but these results only show the capacity of
307 the investigators to collect data in the first phase of the study (6 months after beginning).
308 Considering that most centers designated their medical residents for the collection of data,
309 we cannot ensure the long-term adherence of the centers and professionals to our
310 proposition, because it is expected that a short- to medium-term rotation of these
311 professionals will occur.

312 3. The work was limited due to its financial and human resources. Thus, a direct audit or a
313 more restricted follow-up of the centers was impracticable. Nonetheless, faced with this, our
314 team looked further for new perspectives in the data quality analysis, such as the ADQ, thus
315 contributing to the development of this area.

316 4. This work points out that there is still a long way to go before developing a Brazilian
317 national database comparable to the STS or the ESTS databases. We still cannot assure the
318 adherence of professionals, researchers, healthcare centers, as well as the government to
319 the promotion and adoption of electronic registries; nonetheless, the development of a
320 consensus for a broad database is growing.

321

322 This work shows the seriousness and commitment to this project, already concerned not only
323 with its development and implementation, but also the quality of its data.

324

325 **Conclusion**

326 The reliability and completeness of medical records are essential to the validity and
327 reliability of the results obtained. Indirect auditing gave clear directions for data improvement,
328 without the need to recollect a sample to evaluate concordance.

329 The best strategies based on our experience to improve data quality in a way the
330 information can be reviewed in the moment the investigator is filling data, are periodical
331 reports with detailed feedback and, above all, to maintain a sound scientific partnership with
332 regular meetings to integrate well with working groups in each institution.

333 Findings of a discrepancy between the data only reinforces the need for quality-
334 oriented statistical studies, because it directly influences the validity, the analysis, and
335 conclusions performed in research. In places where such studies and their application are
336 still underdeveloped, like in Brazil, studies in this field become even more indispensable.
337 Focus on data quality is a sure factor that ultimately leads to a more efficient and safer
338 healthcare system, and it will surely play an increasing major role in its development. The
339 main objective of the present work was to implement improvement actions in a way that
340 guarantees safety and validity to the results, as well as allowing feedback on REPLICCAR II
341 itself. As an STS-based database, this project could provide the basis for a wider and reliable
342 quality-focused program, with the prospect of a positive impact on clinical outcomes.

343 Our experience reinforces the importance of training, incentives, and standardization
344 of the staff who collect the data and fill out the forms, which brings greater benefits and
345 substantially lower costs than the direct auditing with the still traditional Raters Agreement
346 Analysis. The latter demands more investigators to collect the data at each institution,
347 extensive data analysis periods, and results related to the understanding of concepts and
348 criteria. The indirect auditing was more practical in elaborating strategies for data quality
349 improvement. ADQ considers the completeness and reliability of each variable in the study

350 and shows the best parameters of data quality in prospective observational studies. It is
351 therefore expected that it will attract more attention in studies yet to come, although there is
352 still a lot of room for research in parameters for measuring data quality in the healthcare
353 sciences.

354

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