1 Genomic characterization and computational phenotyping of nitrogen-fixing

2 bacteria isolated from Colombian sugarcane fields

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- 4 Luz K. Medina-Cordoba^{a,b}, Aroon T. Chande^{a,b,c}, Lavanya Rishishwar^{a,b,c}, Leonard W.
- 5 Mayer^{b,c}, Lina C. Valderrama-Aguirre^{b,d}, Augusto Valderrama-Aguirre^{b,e,f}, John Christian
- 6 Gaby^{a,g}, Joel E. Kostka^{a,b}# and I. King Jordan^{a,b,c}#
- 7
- ⁸ ^aSchool of Biological Sciences, Georgia Institute of Technology, Atlanta, Georgia, USA
- 9 ^bPanAmerican Bioinformatics Institute, Cali, Valle del Cauca, Colombia
- ¹⁰ ^cApplied Bioinformatics Laboratory, Atlanta, Georgia, USA
- ¹¹ ^dLaboratory of Microorganismal Production (Bioinoculums), Department of Field
- 12 Research in Sugarcane, INCAUCA S.A.S., Cali, Valle del Cauca, Colombia
- ¹³ ^eBiomedical Research Institute (COL0082529), Cali, Valle del Cauca, Colombia
- ¹⁴ ^fUniversidad Santiago de Cali, Cali, Colombia.
- ¹⁵ ⁹ Faculty of Chemistry, Biotechnology and Food Science, Norwegian University of Life
- 16 Sciences, Ås, Norway
- 17 Running Head: Computational phenotyping of nitrogen-fixing bacteria
- 18
- 19 #Address computational correspondence to king.jordan@biology.gatech.edu
- ²⁰ *Present address: 950 Atlantic Dr NW, Atlanta, GA 30332
- 21
- #Address microbiology correspondence to joel.kostka@biology.gatech.edu
- ²³ *Present address: 310 Ferst Dr NW, Atlanta, GA 30332
- 24
- 25 **KEYWORDS:** biofertilizer, nitrogen fixation, plant growth promoter, genome
- sequencing, computational phenotyping

27 **ABSTRACT** Previous studies have shown that the sugarcane microbiome harbors diverse 28 plant growth promoting (PGP) microorganisms, including nitrogen-fixing bacteria, and the 29 objective of this study was to design a genome-enabled approach to prioritize sugarcane associated nitrogen-fixing bacteria according to their potential as biofertilizers. Using a 30 systematic high throughput approach, 22 pure cultures of nitrogen-fixing bacteria were isolated 31 and tested for diazotrophic potential by PCR amplification of nitrogenase (*nifH*) genes, common 32 33 molecular markers for nitrogen fixation capacity. Genome sequencing confirmed the presence of intact nitrogenase *nifH* genes and operons in the genomes of 18 of the isolates. Isolate 34 genomes also encoded operons for phosphate solubilization, siderophore production operons, 35 and other PGP phenotypes. Klebsiella pneumoniae strains comprised 14 of the 22 nitrogen-36 fixing isolates, and four others were members of closely related genera to Klebsiella. A 37 38 computational phenotyping approach was developed to rapidly screen for strains that have high 39 potential for nitrogen fixation and other PGP phenotypes while showing low risk for virulence and antibiotic resistance. The majority of sugarcane isolates were below a genotypic and 40 41 phenotypic threshold, showing uniformly low predicted virulence and antibiotic resistance 42 compared to clinical isolates. Six prioritized strains were experimentally evaluated for PGP phenotypes: nitrogen fixation, phosphate solubilization, and the production of siderophores, 43 gibberellic acid and indole acetic acid. Results from the biochemical assays were consistent 44 45 with the computational phenotype predictions for these isolates. Our results indicate that 46 computational phenotyping is a promising tool for the assessment of benefits and risks associated with bacteria commonly detected in agricultural ecosystems. 47

IMPORTANCE A genome-enabled approach was developed for the prioritization of 48 native bacterial isolates with the potential to serve as biofertilizers for sugarcane fields 49 in Colombia's Cauca Valley. The approach is based on computational phenotyping, 50 which entails predictions related to traits of interest based on bioinformatic analysis of 51 52 whole genome sequences. Bioinformatic predictions of the presence of plant growth 53 promoting traits were validated with experimental assays and more extensive genome comparisons, thereby demonstrating the utility of computational phenotyping for 54 assessing the benefits and risks posed by bacterial isolates that can be used as 55 56 biofertilizers. The quantitative approach to computational phenotyping developed here for the discovery of biofertilizers has the potential for use with a broad range of 57 applications in environmental and industrial microbiology, food safety, water quality, and 58 antibiotic resistance studies. 59

60 INTRODUCTION

61	The human population is expected to double in size within the next 50 years,
62	which will in turn lead to a massive increase in the global demand for food (1). Given
63	the scarcity of arable land worldwide, an increase in agricultural production of this
64	magnitude will require vast increases in cropping intensity and yield (2). It has been
65	estimated that as much as 90% of the increase in global crop production will need to
66	come from increased yield alone (3). At the same time, climate change and other
67	environmental challenges will necessitate the development of agricultural practices that
68	are more ecologically friendly and sustainable.
69	Chemical fertilizers that provide critical macronutrients to crops – such as
70	nitrogen (N), phosphorus (P), potassium (K), and sulfur (S) – are widely used to
71	maximize agricultural yield (4). The application of chemical fertilizers represents a
72	major cost for agricultural companies and also contributes to environmental damage, in
73	the form of eutrophication, hypoxia, harmful algal blooms, and air pollution through the
74	formation of microparticles (5). Biological fertilizers (biofertilizers) are comprised of
75	microbial inoculants that promote plant growth, thereby representing an alternative or
76	complementary approach for increasing crop yield, which is more sustainable and
77	environmentally friendly. Biofertilizers augment plant growth through nutrient
78	acquisition, hormone production, and by boosting immunity to pathogens (6).
70	

Sugarcane is a tall, perennial grass cultivated in tropical and warm temperate
regions around the world, which is capable of producing high concentrations of sugar
(sucrose) and diverse byproducts (7). Sugarcane is consistently ranked as one of the
top ten planted crops in the world (8). Sugarcane agriculture plays a vital role in the

economy of Colombia by supporting the production of food products and biofuel
(ethanol). The long-term goals of this work are to develop more effective and
sustainable sugarcane cropping practices in Colombia by simultaneously (i) increasing
crop yield, and (ii) decreasing the reliance on chemical fertilizers via the discovery,
characterization, and application of endemic (native) biofertilizers to Colombian
sugarcane fields.

Most sugarcane companies in Colombia currently use commercially available 89 biofertilizers, consisting primarily of nitrogen-fixing bacteria, which were discovered and 90 isolated from other countries (primarily Brazil), with limited success. We hypothesized 91 92 that indigenous bacteria should be better adapted to the local environment and thereby serve as more effective biofertilizers for Colombian sugarcane. The use of indigenous 93 bacteria as biofertilizers should also mitigate potential threats to the environment posed 94 by non-native, and potentially invasive, species of bacteria. Finally, indigenous bacteria 95 96 represent a renewable resource that agronomists can continually develop through isolation and cultivation of local strains. 97

98 The advent of next-generation sequencing technologies has catalyzed the development of genome-enabled approaches to harness plant microbiomes in 99 sustainable agriculture (9, 10). The objective of this study was to use genome analysis 100 101 to predict the local bacterial isolates that have the greatest potential for plant growth promotion while representing the lowest risk for virulence and antibiotic resistance. 102 103 Putative biofertilizer strains were isolated and cultivated from Colombian sugarcane 104 fields, and computational phenotyping was employed to predict their potential utility as biofertilizers. We then performed a laboratory evaluation of the predicted plant growth 105

promoting properties of the prioritized bacterial biofertilizer isolates, with the aim of

validating our computational phenotyping approach.

108

109 **RESULTS**

110 Initial genome characterization of putative nitrogen-fixing bacteria. A 111 systematic cultivation approach, incorporating seven carbon substrates in nitrogen-free 112 media (Fig. S1), was employed to isolate putative nitrogen-fixing bacteria from four 113 different sugarcane plant compartments, and isolates were screened for nitrogen fixation potential through PCR amplification of *nifH* genes. This initial screening 114 115 procedure yielded several hundred clonal isolates of putative nitrogen-fixing bacteria, and Ribosomal Intergenic Spacer Analysis (RISA) was subsequently used to identify the 116 (presumably) genetically unique strains from the larger set of clonal isolates. A total of 117 22 potentially unique strains of putative nitrogen-fixing bacteria were isolated in this way 118 and selected for genome sequence analysis. 119

Genome sequencing and assembly summary statistics for the 22 isolates are shown in Table 1. Isolate genomes were sequenced to an average of 67x coverage (range: 50x - 88x) and genome sizes range from 4.5Mb to 6.1Mb. GC content varies from 41.82% - 66.69%, with a distinct mode at ~57%. The genome assemblies are robust with a range of 24 - 294 contigs \geq 500bp in length and averages of N50=310,166bp and L50=8.4. Genome sequence assemblies, along with their functional annotations, can all be found using the NCBI BioProject PRJNA418312.

Individual BioSample, Genbank Accession, and Assembly Accession numbers for the22 isolates are shown in Table S1.

129 Comparative genomic analysis. Average nucleotide identity (ANI; Fig. 1) and 130 16S rRNA gene sequence analysis (Fig. S2) were employed in the taxonomic assignment of nitrogen-fixing isolates and the results of both approaches were highly 131 132 concordant (Table 2), with ANI yielding superior resolution to 16S rRNA gene sequence analysis. A total of eight different species and seven different genera were identified 133 134 among the 22 isolates characterized. Analysis of *nifH* gene sequences also gave similar results; however, four of the isolates were not found to encode *nifH* genes, 135 despite their (apparent) ability to grow on nitrogen-free media and the positive nifH PCR 136 results. This could be due to false-positives in the original PCR analysis for the 137 presence of *nifH* genes, or to changes in the composition of (possibly mixed) bacterial 138 cultures during subsequent growth steps after the initial isolation on nitrogen-free 139 140 media.

The majority of isolates, 14 of 22, were characterized as *Klebsiella pneumoniae*, 141 consistent with previous studies showing that K. pneumoniae strains are capable of 142 fixing nitrogen (11); in fact, the canonical *nif* operons were defined in the K. pneumoniae 143 type strain 342 genome sequence (12). K. pneumoniae is also known to be an 144 145 opportunistic pathogen that can cause disease in immunocompromised human hosts (13), which raises obvious safety concerns regarding its application to crops as part of a 146 147 biofertilizer inoculum. We performed a comparative sequence analysis between the 148 endophytic nitrogen-fixing K. pneumoniae type strain 342, which is capable of infecting the mouse urinary tract and lung (14), and five of the isolates identified as K. 149

150 pneumoniae here. All genomes were shown to contain the *nif* cluster, which contains five functionally related *nif* operons involved in nitrogen fixation (Fig. 2). In contrast, the 151 four most critical pathogenicity islands implicated in the virulence of K. pneumoniae 342 152 were all missing in the environmental K. pneumoniae isolates characterized here (PAI 153 1-4 in Fig. 2A). The absence of pathogenicity islands in the genome of the endophytic 154 nitrogen-fixer K. michiganensis Kd70 was associated with an inability to infect the 155 urinary tract in mice (15). Our results indicate that nitrogen-fixing K. pneumoniae 156 157 environmental isolates from Colombian sugarcane fields do not pose a health risk 158 compared to clinical and environmental isolates that have previously been associated with pathogenicity. We explore this possibility in more detail in the following section on 159 computational phenotyping. 160

The *nifH* genes from the *Klebsiella* isolates characterized here form two distinct phylogenetic clusters (Fig. 3). This finding is consistent with previous results showing multiple clades of *nifH* among *Klebsiella* genome sequences (16-18) and underscores the potential functional diversity, with respect to nitrogen fixation, for the sugarcane isolates.

166 **Computational phenotyping.** Computational phenotyping, also referred to as 167 reverse genomics, was used to evaluate the potential of the bacterial isolates 168 characterized here to serve as biofertilizers for Colombian sugarcane fields. For the 169 purpose of this study, computational phenotyping entails the prediction of specific 170 organismal phenotypes, or biochemical capacities, based on the analysis of functionally 171 annotated genome sequences (19). The goal of the computational phenotyping 172 performed here was to identify isolates that show the highest predicted capacity for

plant growth promotion while presenting the lowest risk to human populations.

174 Accordingly, bacterial isolate genome sequences were screened for gene features that 175 correspond to the desirable (positive) characteristics of (i) nitrogen fixation and (ii) plant growth promotion and the disadvantageous (negative) characteristics of (iii) virulence 176 and (iv) antimicrobial resistance. Genome sequences were scored and ranked 177 178 according to the combined presence or absence of these four categories of gene features as described in the Materials and Methods. To compute genome scores, the 179 180 presence of nitrogenase and plant growth promoting genes contribute positive values, 181 whereas the presence of virulence factors and predicted antibiotic resistance yield negative values. Scores for each of the four specific phenotypic categories were 182 normalized and combined to yield a single composite score for each bacterial isolate 183 genome. The highest scoring isolates are predicted as best candidates to be included 184 as part of a sugarcane biofertilizer inoculum (Figure 4; Table S2). The predicted 185 186 biochemical capacities of the highest scoring isolates were subsequently experimentally validated. 187

Isolates are ranked according to their composite genome scores, with a value of 188 189 10.87 observed as the highest potential for biofertilizer production (Figure 4). Individual gene and phenotype scores are color coded for each genome, and the four functional-190 specific categories are shown separately. The *nif* gene presence/absence profiles were 191 found to be highly similar for all but four of the bacterial isolates characterized here, 192 193 those which are not members of the *Klebsiella* genus, or closely related species, and do not encode any *nif* genes. The four non-nitrogen fixing isolates represent bacterial 194 species that are commonly found in soil (20-23), but they are not predicted to be viable 195

196 biofertilizers. The Kosakonia radicincitans genome encodes the largest number of nif genes (*n*=17) observed for any of the Colombian sugarcane isolates. This is consistent 197 with previous studies showing that isolates of this species are capable of fixing nitrogen 198 (24). The 14 characterized K. pneumoniae genomes all contain 16 out of 21 nif genes, 199 including the core *nifD* and *nifK* genes, which encode the heterotetramer core of the 200 201 nitrogenase enzyme, and the *nifH* gene, which encodes the dinitrogenase reductase 202 subunit (25). These genomes also all encode the nitrogenase master regulators *nifA* and nifL. The missing nif genes for the K. pneumoniae isolates correspond to 203 204 accessory structural and regulatory proteins that are not critical for nitrogen fixation. Accordingly, all of K. pneumoniae isolate genomes are predicted to encode the capacity 205 for nitrogen fixation, consistent with previous results (14, 26). The single Raoultella 206 207 ornithinolytica isolate characterized here also contains the same 16 nif genes; 208 Raoultella species have previously been isolated from sugarcane (27) and have also 209 been demonstrated to fix nitrogen (28). Initially, a total of 29 canonical bacterial plant growth promoting genes were 210 mined from the literature, 25 of which were found to be present in at least one of the 211 212 bacterial isolate genome sequences characterized here. These 25 plant growth promoting genes were organized into six distinct functional categories: phosphate 213 214 solubilization, indolic acetic acid (IAA) production, siderophore production, 1-

aminocyclopropane-1-carboxylate (ACC) deaminase, acetoin butanediol synthesis, and
peroxidases (Table S3). For the purposes of visualization (Fig. 4), each functional
category is deemed to be present in an isolate genome sequence if all required genes
for that function can be found, but the weighted scoring for these categories is based on

219 individual gene counts as described in the Materials and Methods. The R. ornithinolytica isolate shows the highest predicted capacity for plant growth promotion, 220 with 5 of the 6 functional categories found to be fully present. The majority of K. 221 pneumoniae isolates also show similar, but not identical, plant growth promoting gene 222 presence/absence profiles, with 3 or 4 functional categories present. The capacity for 223 224 siderophore production is predicted to vary among K. pneumoniae isolates. The K. radicincitans genome also encodes 4 functional categories of plant growth promoting 225 226 genes, but differs from the K. pneumoniae isolates with respect to absence of 227 phosphate solubilization genes and the presence of acetoin butanediol synthesis genes. Three of the four species found to lack *nif* genes also do not score present for any of the 228 plant growth promoting gene categories, further underscoring their predicted lack of 229 utility as biofertilizers. 230

Initially, a total of ~2,500 virulence factor genes were mined from the Virulence 231 232 Factor Database (VFDB) (29), 44 of which were found to be present in at least one of the bacterial isolate genome sequences characterized here. These 44 virulence factors 233 were organized into six distinct functional categories related to virulence and toxicity: 234 235 adherence, invasion, capsules, endotoxins, exotoxins, and siderophores. The weighted scores for these categories were computed based on individual gene presence/absence 236 237 patterns (Fig. 4). In contrast to the K. pneumoniae clinical isolates which have previously been characterized as opportunistic pathogens, the K. pneumoniae 238 environmental isolates showed uniformly low virulence scores. The virulence factor 239 genes found among the K. pneumoniae environmental isolates correspond to 240 adherence proteins, capsules, and siderophores. As shown in Fig. 2, genomes of 241

environmental isolates lack coding capacity for important invasion and toxin proteins, 242 including the Type IV secretion system, which are found in clinical K. pneumoniae 243 isolates. The *R. ornithinolytica* and *K. radicincitans* isolates, both of which show high 244 scores for nitrogen fixation and plant growth promotion, gave higher virulence scores in 245 comparison to the environmental K. pneumoniae isolates. Whereas Bacillus pumilus 246 247 had the lowest virulence score for any of the isolates, the remaining three non-nitrogen fixing isolates had the highest virulence scores and were shown to encode well-known 248 virulence factors, such as Type IV, hemolysin, and fimbria secretion systems. 249

250 The predicted antibiotic resistance phenotypes for all characterized isolates were fairly similar across the 20 classes of antimicrobial compounds for which predictions 251 252 were made. The majority of the K. pneumoniae genomes, along with the relatively high scoring R. ornithinolytica and K. radicincitans isolate genomes, indicated predicted 253 254 susceptibility to 10 of the 20 classes of antimicrobial compounds, intermediate 255 susceptibility for 2-4, and predicted resistance to 5-8. The highest level of predicted antibiotic resistance was seen for Serratia marcescens, with resistance predicted for 8 256 compounds and intermediate susceptibility predicted for 4. 257

Computational phenotyping scores for the four categories were normalized and combined into a final score, with respect to their potential as biofertilizers (Fig. 4). Most of the top positions are occupied by *K. pneumoniae* isolates, with the exception of the second-ranked *R. ornithinolytica* and the third-ranked *K. radicincitans*. The results of a similar analysis of four additional plant associated *Klebsiella* genomes are shown in Fig. S3.

Virulence comparison. The results described in the previous section indicate 264 that the majority of the K. pneumoniae strains isolated from Colombian sugarcane fields 265 have the highest overall potential as biofertilizers, including a low predicted potential for 266 virulence. Nevertheless, the fact that strains of K. pneumoniae have previously been 267 characterized as opportunistic pathogens (30) raises concerns when considering the 268 269 use of *K. pneumoniae* as part of a bioinoculum that will be applied to sugarcane fields. With this in mind, we performed a broader comparison of the predicted virulence profiles 270 for Colombian sugarcane isolates along with a collection of 28 clinical isolates of K. 271 272 pneumoniae and several other closely related species (See Table S5 for isolate accession numbers). For this comparison, the same virulence factor scoring scheme 273 described in the previous section was applied to all 50 genome sequences (Fig. 5). 274 275 Perhaps most importantly, a very clear distinction was observed in the virulence score distribution, whereby all 28 clinical strains show a substantially higher predicted 276 277 virulence (from 4.45 to 2.11) in comparison to the environmental isolates (1.55 to 0.00). Furthermore, the three environmental isolates that show the highest predicted virulence 278 correspond to species with low predicted capacity for both nitrogen fixation and plant 279 280 growth promotion; as such, these isolates would not be considered as potential biofertilizers. In particular, the K. pneumoniae environmental isolates showed uniformly 281 282 low predicted virulence compared to clinical isolates of the same species. Thus, the 283 results support, in principle, the use of the environmental K. pneumoniae isolates as biofertilizers for Colombian sugarcane fields. 284

285 **Experimental validation of prioritized isolates**. The top six scoring isolates 286 from the computational phenotyping were subjected to a series of cultivation-based

phenotypic assays in order to validate their predicted biochemical activities: (i)
acetylene reduction (a proxy for nitrogen fixation), (ii) phosphate solubilization, (iii)
siderophore production, (iv) gibberellic acid production, and (v) indole acetic acid
production.

Nitrogen fixation activity, as determined by acetylene reduction to ethylene, was 291 292 observed in all six isolates, three of which had higher levels in comparison to the positive control (Fig. 6A). All six of the isolates showed high levels of phosphate 293 solubilization (Fig. 6B & C) and siderophore production (Fig. 6D & E) compared to the 294 295 respective negative controls. All six isolates showed the ability to produce gibberellic 296 acid (Fig. 6F), whereas none were able to produce indole acetic acid. The biochemical assay results are consistent with the computational phenotype predictions for these 297 isolates. 298

299

300 **DISCUSSION**

Members of the Enterobacteriaceae are often observed in cultivation-301 302 independent studies of sugarcane and nitrogen-fixing Enterobacteriaceae are often isolated from sugarcane plants worldwide (31-35). The majority of isolates that were 303 obtained in this study from Colombian sugarcane belonged to the family 304 305 Enterobacteriaceae, with the Klebsiella as the most abundant genus along with Serratia, Kluyvera, Stenotrophomonas, and Bacillus. Klebsiella are Gram-negative, facultatively 306 307 anaerobic bacteria found in soils, plants, or water (36). Klebsiella species have been isolated from a large variety of crops worldwide, such as sugarcane, rice, wheat, and 308 maize (36-38). Klebsiella species associated with plants have been shown to fix 309

nitrogen and express other plant growth promoting traits (37, 39). Specifically,

Klebsiella species are abundant amongst the cultivable strains of Enterobacteriaceae 311 obtained from sugarcane (31). For example, a survey of sugarcane in Guangxi, China 312 observed that Klebsiella was the most abundant plant-associated nitrogen-fixing 313 bacterial group (31), and among the strains isolated, K. variicola was shown to colonize 314 315 sugarcane and promote plant growth (37). In addition, endophytic Klebsiella spp. have been isolated from commercial sugarcane in Brazil, and their potential for plant growth 316 promotion was evaluated in vitro (40). Finally in Pakistan, the phenotypic diversity of 317 318 plant growth promoting associated with sugarcane was determined, with Klebsiella also appearing as one of the most abundant bacteria found (33). At the same time, Klebsiella 319 320 and other groups of Enterobacteriaceae commonly detected in agricultural systems are abundant in the human microbiome and often contain closely related members that are 321 known opportunistic pathogens (41-44). The coexistence of microbial species that 322 323 contain plant beneficial traits with closely related strains that potentially cause human diseases presents a challenge for the development of sustainable agriculture. How can 324 we effectively perform a risk-benefit analysis of bacterial strains for potential use in the 325 326 agricultural biotechnology industry? Thus, the overall goal of this study was to develop high throughput methods for the isolation and screening of nitrogen-fixing bacteria for 327 328 their potential as biofertilizers.

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A computational phenotyping approach was developed for the screening of plant growth promoting bacteria for their potential to serve as biofertilizers. Computational

Computational phenotyping for the prioritization of potential biofertilizers.

phenotyping entails the implementation of a variety of bioinformatic and statistical 333 methods to predict phenotypes of interest based on whole genome sequence analysis 334 (45, 46). This approach has been used for a variety of applications in the biomedical 335 sciences: prediction of clinically relevant phenotypes, study of infectious diseases, 336 identification of opportunistic pathogenic bacteria in the human microbiome, and cancer 337 338 treatment decisions (47, 48). To our knowledge, this study represents the first time computational phenotyping has been used for agricultural applications. To implement 339 computational phenotyping for the prioritization of potential biofertilizers, we developed 340 a scoring scheme based on the genome content of four functional gene categories of 341 interest: nitrogen-fixing genes, other plant growth promoting genes, virulence factor 342 genes, and antimicrobial resistance genes. 343

The results of the computational phenotyping predictions, confirmed by 344 laboratory experiments, support the potential use of selected bacterial strains isolated 345 346 from Colombian sugarcane fields as biofertilizers with minimum health risk to the human population. In particular, all isolates with higher scores (5.53 to 10.87, Fig. 4) in our 347 scheme were found to demonstrate the potential to fix nitrogen and to promote plant 348 349 growth in other ways, while lacking many of the important known virulence factors and antibiotic resistance genes that can be found in clinical isolates of the same species. In 350 general, isolates SCK7, SCK14, and SCK19 appeared to possess more potent plant 351 growth promoting properties compared to isolates SCK9, SCK16, and SCK21 (Fig. 4). 352 353 Our computational phenotyping scheme also has valuable negative predictive value. Isolates that contained few or none of the beneficial traits that characterize biofertilizers, 354 Bacillus pumilus SCK3 and Stenotrophomonas maltophilia SCK1, had the lowest scores 355

(-10 and -11 respectively). Finally, it is also worth reiterating that the computationally
 predicted biochemical activities related to plant growth promotion were all validated by
 experimental results (Fig. 6).

Virulence profiling for the prioritization of potential biofertilizers.

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Opportunistic pathogens are microorganisms that usually do not cause disease in a 360 361 healthy host, but rather colonize and infect an immunocompromised host (49, 50). For example, Klebsiella spp. including Klebsiella pneumoniae, Klebsiella oxytoca, and 362 363 Klebsiella granulomatis were associated with nosocomial diseases (51) and other hospital-acquired infections, primarily in immunocompromised persons (52). The 364 365 potential for virulence, along with the presence of antimicrobial resistance genes, is an obvious concern when proposing to use *Klebsiella* spp. as biofertilizers. Importantly, we 366 found that the environmental *Klebsiella* isolates did not contain pathogenicity islands 367 associated with many virulence factor genes usually found in clinical isolates of 368 369 Klebsiella spp. (Fig. 2). Our results are corroborated by a previous study of Klebsiella michiganensis Kd70 isolated from the intestine of larvae of Diatraea saccharalis, for 370 371 which the genome was shown to contain multiple genes associated with plant growth 372 promotion and root colonization, but lacked pathogenicity islands in its genome (15). In order to shed further light on this problem, we extended our study of environmental 373 374 isolates from Colombian sugarcane to comparisons with genomes of Klebsiella clinical isolates associated with opportunistic infections in humans along with a number other 375 376 environmental isolates with available genome sequences (Fig. 5). The virulence factor profiles for all of the environmental isolates were clearly distinct from the clinical strains, 377

which show uniformly higher virulence profile scores, underscoring the relative safety of *Klebsiella* environmental isolates for use as biofertilizers.

380 Potential for the use of computational phenotyping in other microbiology 381 **applications.** The results obtained from the computational phenotyping approach developed in this study serve as a proof of principle in support of genomic guided 382 383 approaches to sustainable agriculture. In particular, computational phenotyping can serve to substantially narrow the search space for potential plant growth promoting 384 bacterial isolates, which can be further interrogated via experimental methods. 385 Computational phenotyping can be used to simultaneously identify beneficial properties 386 387 of plant associated bacterial isolates while avoiding potentially negative characteristics. In principle, this approach can be applied to a broad range of potential plant growth 388 promoting isolates, or even assembled metagenomes, from managed agricultural 389 ecosystems. 390

We can also envision a number of other potential applications for computational 391 phenotyping of microbial genomes. The computational phenotyping methodology 392 393 developed here has broad potential including diverse applications in agriculture, plant and animal breeding, food safety, water quality microbiology along with other industrial 394 microbiology applications such as bioenergy, guality control/guality assurance, and 395 396 fermentation microbiology as well as human health applications such as pathogen antibiotic resistance, virulence predictions, and microbiome characterization. For 397 398 instance, computational phenotyping could be useful in food safety related to vegetable 399 crop production. Vegetables harbor a diverse bacterial community dominated by the family Enterobacteriaceae, Gram-negative bacteria that include a huge diversity of plant 400

401	growth promoting bacteria and enteric pathogens (53). Vegetables such as lettuce,
402	spinach, and carrots are usually consumed raw, which increases the concern of
403	bacterial infections or human disease outbreaks associated with consumption of
404	vegetables (49).

Increasing antibiotic resistance, generated by the abuse of antibiotics in agriculture as well as medicine, is another major threat to human health (54), and the food supply chain creates a direct connection between the environmental habitat of bacteria and human consumers (55). Our computational phenotyping approach could provide for an additional food safety solution, which could be used to prevent the spread of antibiotic resistance pathogens genes present in the food chain.

411

412 MATERIALS AND METHODS

413 Sampling and cultivation of putative nitrogen-fixing bacteria from

sugarcane. INCAUCA is a Colombian sugarcane company located in the Cauca River 414 Valley in the southwest region of the country between the western and central Andes 415 416 mountain ranges (http://www.incauca.com/). Samples of leaves, rhizosphere soil, stem, and roots were collected from the sugarcane fields 32T and 37T of the INCAUCA San 417 Fernando farm located in the Cauca Valley (3°16'30.0"N 76°21'00.0"W). A high-418 throughput enrichment approach was developed to enable the cultivation of multiple 419 strains of putative nitrogen-fixing bacteria from sugarcane field samples; details of this 420 421 approach can be found in the Supplementary Material (Supplementary Methods and 422 Fig. S1).

A total of 22 distinct *nifH* PCR+ isolates that passed the initial cultivation and
screening steps were grown in LB medium (Difco) at 37°C for subsequent genomic DNA
extraction. The E.Z.N.A. bacterial DNA kit (Omega Bio-Tek) was used for genomic
DNA extraction, and paired-end fragment libraries (~1,000bp) were constructed using
the Nextera XT DNA library preparation kit (Illumina).

428 Genome sequencing, assembly, and annotation. Isolate genomic DNA libraries were sequenced on the Illumina MiSeq platform using V3 chemistry, yielding 429 430 approximately 400,000 paired-end 300bp sequence reads per sample. A list of all genome sequence analysis programs that were used for this study is provided Table 431 432 S4. Sequence read quality control and trimming were performed using the programs FastQC version0.11.5 (56) and Trimmomatic (v.0.35) (57). De novo sequence 433 assembly was performed using the program SPAdes (v.3.6) (58). Assembled genome 434 sequences were annotated using the Rapid Annotations using Subsystems Technology 435 436 (RAST) Web server (59, 60) and NCBI Prokaryotic Genome Annotation Pipeline (PGAP) (61). The 15 Klebsiella isolates characterized in this way were briefly described 437 in a Genome Announcement (62), and the analysis here includes 7 additional non-438 439 Klebsiella isolates.

Comparative genomic analysis. Average Nucleotide Identity (ANI) was
employed to assign the taxonomy of the bacterial isolates characterized here (63, 64).
Taxonomic assignment was also conducted by targeting small subunit ribosomal RNA
(SSU rRNA) gene sequences. Nitrogenase enzyme encoding *nifH* gene sequences
were extracted from isolate genome sequences, clustered, and taxonomically assigned
using the TaxaDiva (v.0.11.3) method developed by our group (12). Whole genome

sequence comparisons between bacterial isolates characterized here and the K. 446 pneumoniae type strain 342 were performed using BLAST+ (v.2.2.28) (65) and 447 visualized with the program CGView (v.1.0) (66). Details of the methods used 448 comparative genomic analysis can be found in the Supplementary Methods section. 449 **Computational phenotyping**. Computational phenotyping was performed by 450 451 searching the bacterial isolate genome sequences characterized here for the presence/absence of genes or features related to four functional classes of interest, with 452 453 respect to their potential as biofertilizers: (i) nitrogen fixation (NF), (ii) plant growth promotion (PGP), (iii) virulence factors (iv), and (4) antimicrobial resistance (AMR). 454 Gene panels were manually curated by searching the literature (NCBI PubMed) for 455 genes implicated in nitrogen fixation and plant growth promotion. The Virulence Factors 456 Database (VFDB) was used to curate the virulence factor gene panel (29). AMR levels 457 were quantified using the PATRIC3/mic prediction tool (67). A composite score was 458 459 developed to characterize each bacterial isolate genome sequence with respect to the presence/absence of genes from the NF, PGP, and VF gene panels along with the 460 461 predicted AMR levels. Details on the gene panels, AMR level, and the composite 462 scoring system can be found in the Supplementary Methods. **Experimental validation.** Predictions made by computational phenotyping were 463

validated using five distinct experimental assays: (1) Acetylene reduction assay for
nitrogen fixation activity, (2) Phosphate solubilization assay, (3) Siderophore production
assay, (4) Gibberellic acid production assay, and (5) Indole acetic acid production
assay. Details of each experimental assay can be found in the Supplementary
Methods.

469 Figure Legends

FIG 1 Phylogeny of the bacterial isolates characterized here (SCK numbers)
together with their most closely related bacterial type strains. The phylogeny was
reconstructed using pairwise average nucleotide identities between whole genome
sequence assemblies, converted to p-distances, with the neighbor-joining method.
Horizontal branch lengths are scaled according the p-distances as shown.

475

476 FIG 2 Comparison of the K. pneumoniae type strain 342 to K. pneumoniae

sugarcane isolates characterized here. (A) BLAST ring plot showing synteny and 477 478 sequence similarity between K. pneumoniae 342 and five K. pneumoniae sugarcane isolates. The K. pneumoniae 342 genome sequence is shown as the inner ring, and 479 syntenic regions of the five K. pneumoniae sugarcane isolates are shown as rings with 480 strain-specific color-coding according to the percent identity between regions of K. 481 pneumoniae 342 and the sugarcane isolates. The genomic locations of *nif* operon 482 cluster along with four important pathogenicity islands (PAIs) are indicated. PAI1 - type 483 484 IV secretion and aminoglycoside resistance, PAI2 hemolysin and fimbria secretion, heme scavenging, PAI3 - radical S-adenosyl-L-methionine (SAM) and antibiotic 485 resistance pathways, PAI4 – fosfomycin resistance and hemolysin production. (B) A 486 487 scheme of the *nif* operon cluster present in both K. pneumoniae 342 and the five K. pneumoniae sugarcane isolates. 488

489 FIG 3 Phylogeny of the nifH genes for the Klebsiella bacterial isolates

characterized here (SCK numbers). The phylogeny was reconstructed using pairwise
nucleotide p-distances between *nifH* genes recovered from the isolate genome
sequences using the neighbor-joining method. Horizontal branch lengths are scaled
according the p-distances as shown.

494

495 FIG 4 Computational phenotyping of the sugarcane bacterial isolates

characterized here. The presence (red) and absence (blue) profiles for nitrogen 496 fixation genes, plant growth promoting genes, and virulence factor genes are shown for 497 the 22 bacterial isolates. Results are shown for all *n*=21 nitrogen-fixing genes. Results 498 499 for plant growth promoting genes (n=25) and virulence factor genes (n=44) are merged into six gene categories each. Predicted antibiotic resistance profiles are shown for 500 *n*=20 antibiotic classes. Detailed results for gene presence/absence and predicted 501 antibiotic resistance profiles are shown in Table S2. The results for all four phenotypic 502 classes of interest were merged into a single priority score for each isolates (right side 503 of plot), as described in the Materials and Methods, and used to rank the isolates with 504 respect to their potential as biofertilizers. 505

506

FIG 5 Comparison of predicted virulence profiles for clinical *K. pneumoniae*isolates compared to the environmental (sugarcane) bacterial isolates
characterized here. As in Fig. 4, predicted virulence profiles for six classes of
virulence factor genes are shown for each isolate. Isolate-specific virulence factor

scores are shown for each isolate are based on the presence/absence profiles for the

- *n*=44 virulence factor genes as described in the Materials and Methods. The virulence
- factor genes are used to rank the genomes from most (left) to least (right) virulent.
- 514 Clinical versus environmental samples are shown to the left and right, respectively, of
- the red line, based on their virulence scores.
- 516

517 FIG 6 Experimental validation of prioritized biofertilizer isolates. The

- 518 computationally predicted plant growth promoting phenotypes for the top six isolates
- 519 were experimentally validated. All six strains were capable of acetylene reduction, i.e.
- 520 ethylene production (A), phosphate solubilization (B&C), siderophore production (D&E),
- 521 and gibberellic Acid production (F).

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744 Tables

Table 1. Genome assembly statistics for the isolates characterized here.

746

	Genome Length				# of
Sample ID	(bp)	N50 ^a	L50 ^b	GC(%)	Contigs ^c
SCK1	4,522,541	402,304	4	66.79	24
SCK2	5,231,439	417,927	5	59.33	53
SCK3	3,824,428	670,745	3	41.82	150
SCK4	4,511,030	223,239	8	66.79	55
SCK5	5,774,634	162,673	13	53.1	98
SCK6	6,094,823	117,689	15	56.73	294
SCK7	5,693,007	282,996	7	57.03	50
SCK8	5,695,902	281,292	9	57.03	50
SCK9	5,579,618	311,650	6	57.03	42
SCK10	5,591,472	614,324	3	57.03	34
SCK11	5,696,136	382,597	5	57.15	268
SCK12	5,817,089	176,655	10	57.02	79
SCK13	5,476,221	358,490	5	57.34	33
SCK14	5,465,811	300,899	5	57.34	41
SCK15	5,564,330	330,579	5	57.15	43
SCK16	5,795,921	478,592	3	54.06	84
SCK17	5,475,984	358,490	4	57.34	35
SCK18	5,476,135	422,400	3	57.34	32
SCK19	5,688,396	270,585	7	57.09	56
SCK20	5,500,801	82,111	20	57.45	165
SCK21	5,324,920	112,078	15	55.26	100
SCK22	5,847,607	65,329	29	57.02	181

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⁷⁴⁹ length of the contig that makes up at least 50% of the genome

^b L50 is the number of contigs equal to or longer than N50 In other words, L50, for

- example, is the minimal number of contigs that cover half the assembly
- ^c Number of contigs ≥500bp in length

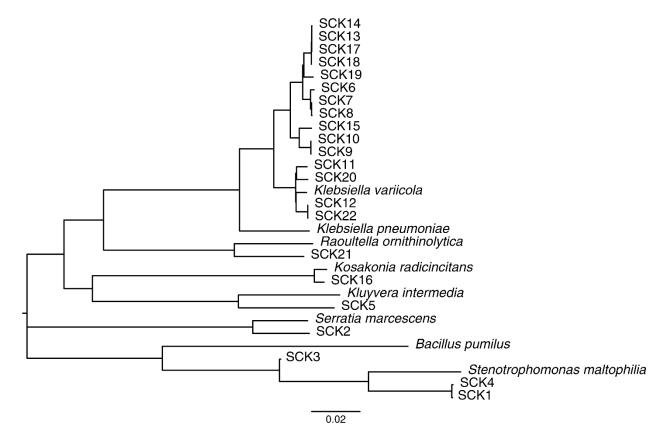
^a When the contigs of an assembly are arranged from largest to smallest, N50 is the

Table 2. Identity of the most closely related species (genus) for the isolates

r54 characterized here. Species (genus) identification was performed using average

nucleotide identity (ANI), 16S rRNA and *nifH* sequence comparisons.

756	Strain	ANI	16S	nifH
757		Stenotrophomonas		
	SCK1	maltophilia	Stenotrophomonas	NA
758	SCK2	Serratia marcescens	Serratia	NA
759	SCK3	Bacillus pumilus Stenotrophomonas	Bacillus	NA
760	SCK4	maltophilia	Stenotrophomonas	NA
	SCK5	Kluyvera intermedia	Kluyvera	Kluyvera
761	SCK6	Klebsiella pneumoniae	Klebsiella	Klebsiella
	SCK7	Klebsiella pneumoniae	Klebsiella	Klebsiella
762	SCK8	Klebsiella pneumoniae	Klebsiella	Klebsiella
763	SCK9	Klebsiella pneumoniae	Klebsiella	Klebsiella
705	SCK10	Klebsiella pneumoniae	Klebsiella	Klebsiella
764	SCK11	Klebsiella pneumoniae	Klebsiella	Klebsiella
-	SCK12	Klebsiella pneumoniae	Klebsiella	Klebsiella
765	SCK13	Klebsiella pneumoniae	Klebsiella	Klebsiella
	SCK14	Klebsiella pneumoniae	Klebsiella	Klebsiella
766	SCK15	Klebsiella pneumoniae	Klebsiella	Klebsiella
,	SCK16	Kosakonia radicincitans	Kosakonia	Kosakonia
	SCK17	Klebsiella pneumoniae	Klebsiella	Klebsiella
767	SCK18	Klebsiella pneumoniae	Klebsiella	Klebsiella
	SCK19	Klebsiella pneumoniae	Klebsiella	Klebsiella
768	SCK20	Klebsiella pneumoniae	Klebsiella	Klebsiella
	SCK21	Raoultella ornithinolytica	Raoultella	Raoultella
	SCK22	Klebsiella variicola	Klebsiella	Klebsiella



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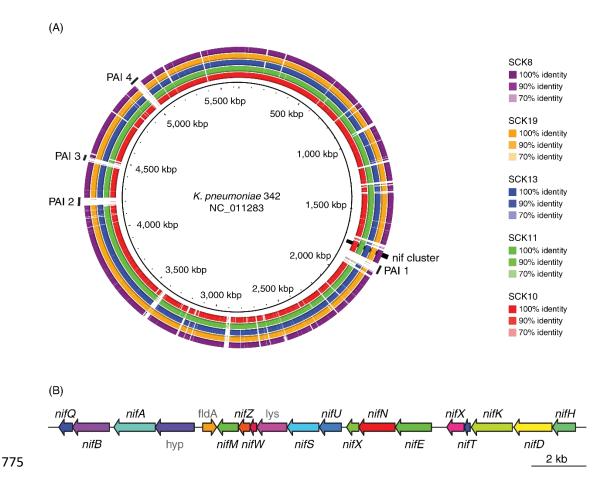
770 FIG 1 Phylogeny of the bacterial isolates characterized here (SCK numbers)

771 together with their most closely related bacterial type strains. The phylogeny was

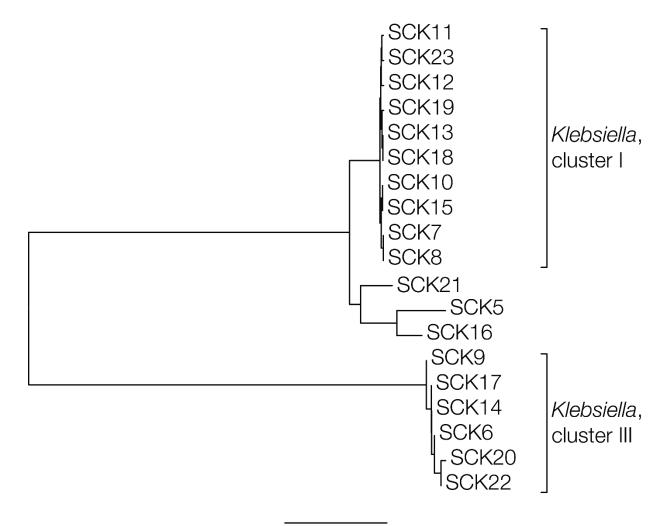
reconstructed using pairwise average nucleotide identities between whole genome

sequence assemblies, converted to p-distances, with the neighbor-joining method.

Horizontal branch lengths are scaled according the p-distances as shown.



776 FIG 2 Comparison of the K. pneumoniae type strain 342 to K. pneumoniae sugarcane 777 isolates characterized here. (A) BLAST ring plot showing synteny and sequence similarity 778 between K. pneumoniae 342 and five K. pneumoniae sugarcane isolates. The K. pneumoniae 342 genome sequence is shown as the inner ring, and syntenic regions of the five K. 779 780 pneumoniae sugarcane isolates are shown as rings with strain-specific color-coding according to the percent identity between regions of K. pneumoniae 342 and the sugarcane isolates. The 781 782 genomic locations of nif operon cluster along with four important pathogenicity islands (PAIs) are indicated. PAI1 - type IV secretion and aminoglycoside resistance, PAI2 hemolysin and 783 784 fimbria secretion, heme scavenging, PAI3 - radical S-adenosyl-L-methionine (SAM) and 785 antibiotic resistance pathways, PAI4 – fosfomycin resistance and hemolysin production. (B) A scheme of the nif operon cluster present in both K. pneumoniae 342 and the five K. pneumoniae 786 787 sugarcane isolates.



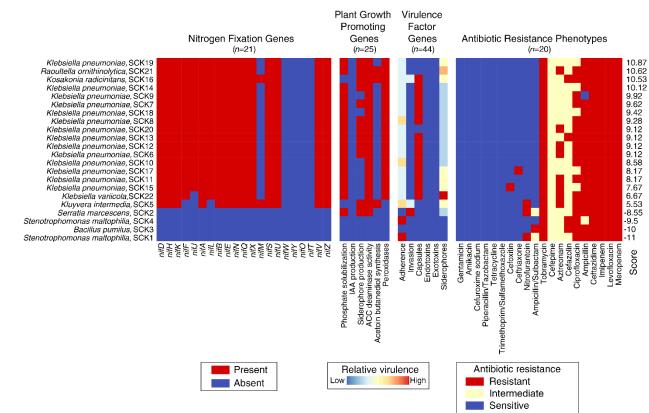
788

0.20

789 FIG 3 Phylogeny of the *nifH* genes for the *Klebsiella* bacterial isolates

rgo characterized here (SCK numbers). The phylogeny was reconstructed using pairwise

- nucleotide p-distances between *nifH* genes recovered from the isolate genome
- sequences using the neighbor-joining method. Horizontal branch lengths are scaled
- according the p-distances as shown.



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795 FIG 4 Computational phenotyping of the sugarcane bacterial isolates

characterized here. The presence (red) and absence (blue) profiles for nitrogen 796 fixation genes, plant growth promoting genes, and virulence factor genes are shown for 797 798 the 22 bacterial isolates. Results are shown for all n=21 nitrogen-fixing genes. Results for plant growth promoting genes (n=25) and virulence factor genes (n=44) are merged 799 into six gene categories each. Predicted antibiotic resistance profiles are shown for 800 *n*=20 antibiotic classes. Detailed results for gene presence/absence and predicted 801 antibiotic resistance profiles are shown in Table S2. The results for all four phenotypic 802 classes of interest were merged into a single priority score for each isolates (right side 803 of plot), as described in the Materials and Methods, and used to rank the isolates with 804 respect to their potential as biofertilizers. 805

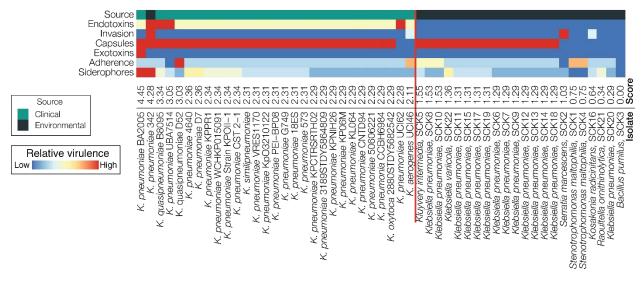
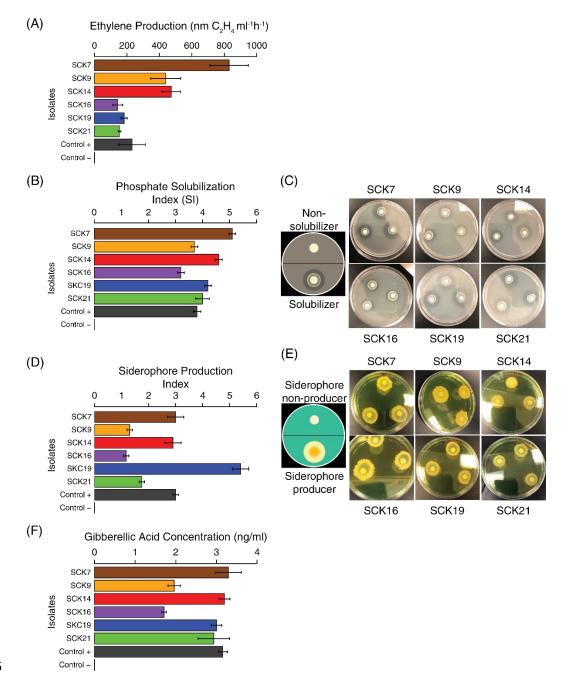


FIG 5 Comparison of predicted virulence profiles for clinical K. pneumoniae 807 isolates compared to the environmental (sugarcane) bacterial isolates 808 809 characterized here. As in Fig. 4, predicted virulence profiles for six classes of 810 virulence factor genes are shown for each isolate. Isolate-specific virulence factor scores are shown for each isolate are based on the presence/absence profiles for the 811 812 *n*=44 virulence factor genes as described in the Materials and Methods. The virulence factor genes are used to rank the genomes from most (left) to least (right) virulent. 813 Clinical versus environmental samples are shown to the left and right, respectively, of 814 the red line, based on their virulence scores. 815

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FIG 6 Experimental validation of prioritized biofertilizer isolates. The

- computationally predicted plant growth promoting phenotypes for the top six isolates
- 819 were experimentally validated. All six strains were capable of acetylene reduction, i.e.
- ethylene production (A), phosphate solubilization (B&C), siderophore production (D&E),
- and gibberellic acid production (F).