#### 1 Cortical ROR $\beta$ is required for layer 4 transcriptional identity and barrel integrity.

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### 14 Abstract

Retinoic Acid-Related Orphan Receptor Beta (RORB) is a transcription factor (TF) and marker of 15 layer 4 (L4) neurons, which are distinctive both in transcriptional identity and the ability to form 16 17 aggregates such as barrels in rodent somatosensory cortex. However, the relationship between 18 transcriptional identity and L4 cytoarchitecture is largely unknown. We find ROR<sup>β</sup> is required in 19 the cortex for L4 aggregation into barrels and thalamocortical afferent (TCA) segregation. Interestingly, barrel organization also degrades with age. Loss of RORB delays excitatory input 20 and disrupts gene expression and chromatin accessibility, with downregulation of L4 and 21 22 upregulation of L5 genes, suggesting a shift in cellular identity. Expression and binding site 23 accessibility change for many other TFs, including closure of neurodevelopmental TF binding sites 24 and increased expression and binding capacity of activity-regulated TFs. Lastly, a putative target 25 of RORB, Thsd7a, is downregulated without RORB, and Thsd7a knockout alone disrupts TCA

26 organization in adult barrels.27

## 28 Introduction

29 Localization of function is a fundamental principle organizing mammalian brain circuitry. 30 Structure to function mapping is particularly striking in the sensory input to L4 of the neocortex 31 (Woolsey and Van der Loos, 1970; Catania and Kaas, 1995). L4 neurons are distinctive in their 32 propensity to form cellular aggregates, or modules, that receive segregated thalamic inputs and 33 represent features of the sensory periphery. Whisker barrels in the rodent somatosensory cortex 34 are a prototypical example, but other somatosensory modules within L4 are also present in the 35 cortices of insectivores, carnivores and primates (Krubitzer and Seelke, 2012), and columns 36 receiving segregated input are present in the visual cortices of carnivores and primates, and in 37 other cortical regions (Mountcastle, 1997). At the same time, gene expression studies in mouse 38 and human show that L4 neurons also have a distinctive transcriptional identity that includes 39 expression of RORB (Zeng et al., 2012). Despite these two striking features, little is known about 40 the relationships between transcriptional identity, the mechanisms that establish and regulate that

- 41 identity, and features of L4 cytoarchitecture.
- 42

43 Researchers have long used the rodent whisker pathway to study cytoarchitecture development

- 44 (Fox, 1992; Yang et al., 2018). The whisker map is organized into microcolumnar units called 45 barrels located in primary somatosensory cortex (S1). In mice, layer 4 (L4) cortical neurons
- 46 assemble into columns that form barrel walls and input is relayed via thalamocortical afferents

47 (TCAs), which cluster in the center of barrel hollows. Each whisker is projected through corollary
 48 maps in the brainstem and ventrobasal thalamus (Van der Loos, 1976) before reaching S1.

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50 Many proteins and pathways are required for presynaptic organization of TCAs and/or postsynaptic organization in L4 (Li and Crair, 2011; Wu et al., 2011; Erzurumlu and Gaspar, 2012). 51 52 Much of what we know has focused on the requirement of input activity and intact signaling 53 pathways. Genetic disruption of synaptic transmission via glutamate (Iwasato et al., 1997; 2000; 54 Hannan et al., 2001; Datwani et al., 2002; Li et al., 2013; Ballester Rosado et al., 2016), or 55 serotonin pathways (Cases et al., 1995; Salichon et al., 2001) perturb some aspect of barrel 56 organization. Several related signal transduction pathways are also required (Abdel-Majid et al., 57 1998; Barnett et al., 2006; Inan et al., 2006; Watson et al., 2006; Lush et al., 2008).

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59 Barrel formation is also regulated transcriptionally. Transcription factors (TFs) such as 60 Bhlhe22/Bhlhb5 and Eomes are involved in the early stages of cortical arealization and barrel development (Joshi et al., 2008; Elsen et al., 2013). Downstream of these early developmental 61 62 processes activity-dependent TFs, including Lmo4, NeuroD2, and Btbd3 regulate aspects of barrel organization in response to TCA inputs (Ince-Dunn et al., 2006; Kashani et al., 2006; Huang et al., 63 2009; Matsui et al., 2013; Wang et al., 2017). In addition, the TFs retinoic acid-related orphan 64 65 receptor alpha (ROR $\alpha$ ) and beta (ROR $\beta$ ), are also implicated in barrel formation. ROR $\alpha$  and ROR $\beta$ are expressed in regions of the somatosensory barrel map, with ROR $\alpha$  expressed in brainstem, 66 67 thalamus and cortex, and ROR<sup>β</sup> in thalamus and cortex (Nakagawa and O'Leary, 2003). Recently, 68 RORa was shown to be required in the thalamus and cortex for proper TCA segregation and barrel 69 wall formation (Vitalis et al., 2017). Mis-expression of RORB in neocortex is sufficient to drive cortical neuron clustering and TCA recruitment to ectopic barrel-like structures (Jabaudon et al., 70 71 2012). Together these studies have identified multiple TFs with major roles in early barrel 72 development that likely set the stage for more downstream terminal differentiation TFs and 73 activity-regulated TFs to hone the network. Early cortical development, TCA pathfinding, and 74 activity dependent gene regulation are prolific areas of research. However, the later stages of 75 neuronal specification and the molecular mechanisms of TFs involved in barrel development are 76 currently underexplored. TFs such as Bhlh5 and Eomes have broad roles and are widely expressed 77 in the cortex while the more narrowly expressed TFs such as Btbd3 are downstream of activity 78 input leaving a gap in our understanding of the intermediate steps that connect cortical 79 development to activity driven processes. Given the restricted laver specific expression of RORB 80 and its upregulation concomitant with the final stages of barrel formation and the onset activity 81 input, we hypothesized it would be a good candidate to study transcriptional mechanisms 82 connecting cellular specification in L4 with cytoarchitecture and network development.

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84 We show that in addition to being sufficient, RORB is also required for both pre- and postsynaptic 85 barrel organization. Without ROR $\beta$  in the cortex, L4 neurons fail to migrate tangentially and organize into barrel wall structures. This also reduced TCA segregation shortly after barrel 86 87 formation would have normally occurred. Interestingly, TCA segregation also declined as animals 88 aged. Without ROR $\beta$ , L4 gene expression and chromatin accessibility were disrupted, with L4-89 specific genes downregulated and L5-specific genes upregulated suggesting a shift in terminal 90 cellular identity. This involved complex changes in the expression and/or chromatin accessibility 91 at binding motifs for many TFs in addition to RORB, including developmental regulators and 92 activity-regulated TFs. L4 neurons also received delayed excitatory input, a key step in barrel

93 development. Lastly, we identify a putative direct gene target of ROR $\beta$ , *Thsd7a*, that is

94 downregulated without RORβ and is required for maintained TCA organization in adulthood.

95 Together these data characterize the role of  $ROR\beta$  across multiple levels to connect molecular and

- 96 transcriptional mechanisms to cortical organization and place ROR $\beta$  as a key regulator of a
- 97 complex developmental transition orchestrating terminal L4 specification and initiating activity
- 98 responsiveness.99

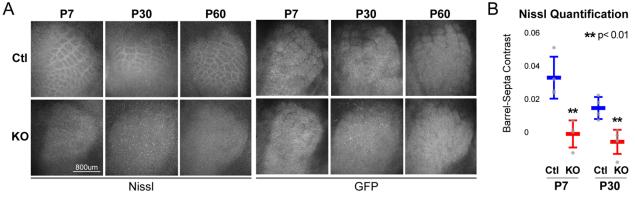
#### 100 Results

Cortical barrels in mice are complex structures. Cell-sparse barrel hollows are where thalamic 101 102 projections are concentrated. Barrel walls are formed by cortical cell aggregates that surround the 103 thalamocortical afferents (TCAs). Barrel septa consist of the intermediate spaces between barrel 104 walls (Woolsey and Van der Loos, 1970). To assess the impact of RORB loss on barrel 105 organization we used two staining methods. Barrel walls were visualized by Nissl staining (Van 106 der Loos and Woolsey, 1973) and barrel hollows were visualized by vesicular glutamate 107 transporter 2 (VGLUT2), which is strongly expressed in TCAs (Fremeau et al., 2001; Liguz-Lecznar and Skangiel-Kramska, 2007; Liu et al., 2013). This strategy allowed clear identification 108 109 of changes in either structure independently. Cytochrome oxidase (CO) staining was also used in 110 some conditions, but the presence of CO signal in both barrel walls and TCAs made it less useful.

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# RORβ is required for postnatal barrel wall formation and influences segregation of thalamocortical afferents (TCAs).

- 114 To begin exploring ROR $\beta$  function in barrel organization, we used a global, constitutive knock-
- 115 out (KO), which contains a GFP expression cassette knocked into the *Rorb* locus.  $Rorb^{GFP/+}$  mice
- express GFP in ROR $\beta$  expressing cells allowing identification of barrel cortex without significant
- disruption to barrel structures or neuronal function (Rice and Van der Loos, 1977). *Rorb<sup>GFP/+</sup>* mice
- were used as controls (Ctl), while  $Rorb^{GFP/GFP}$  mice disrupt both copies of Rorb to generate a KO.



#### Figure 1. ROR $\beta$ is required for postnatal barrel wall formation.

Nissl staining on tangential sections of flatten cortices after global, constitutive knock-out shows barrel wall organization requires RORβ.

(A) Top two rows show Nissl staining in whisker barrel field as identified by strong GFP expression (bottom two rows). Control (Ctl) and *Rorb* knock-out (KO) animals were age matched at P7, P30, and P60.

(B) Quantification of barrel hollow to barrel walls/septa contrast (Barrel-Septa Contrast) from Nissl staining. N=4 age-matched animals for each genotype (Ctl or KO). Two tissue sections containing the largest portions of whisker barrel field identified by GFP signal were averaged per animal. Whisker plots show the median per animal  $\pm$  standard deviation. Gray points show mean contrast for each animal. P-value by independent sample t-test, between Ctl and KO at each timepoint.

119 Controls showed no detectable disruption to barrel organization compared to WT animals 120 (compare Figures 1A, 2A to Figure 7C).

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122 Barrels form around postnatal day 5 (Oishi et al., 2016a). Nissl staining of barrel walls at P7, P30,

123 and P60 showed that ROR $\beta$  is required for A 124 barrel wall formation. Representative images 125 of Nissl and GFP are shown in Figure 1A 126 where the lack of barrel wall organization is 127 clearly visible at P7 and remains disrupted at 128 P30. Figure 1B quantifies this effect as the contrast between barrel hollows and barrel 129 130 wall/septa fluorescence intensity. Contrast 131 was calculated as (barrel - septa) / (barrel + 132 septa) where septa includes barrel walls (see 133 methods for details). Ouantification 134 demonstrated a near complete lack of 135 contrast in KO barrel cortex supporting a 136 lack of cortical organization.

137

138 While TCAs have been shown to instruct 139 cortical cell organization we hypothesized 140 the lack of barrel walls might reciprocally 141 affect TCA organization. TCAs visualized by 142 VGLUT2 staining showed an intact pattern 143 of barrel hollows at P7 in KO animals, Figure 144 2A. However, careful quantification of the 145 VGLUT2 contrast between hollows and 146 septa showed a significant decrease in the 147 KO suggesting loss of RORβ and/or the lack 148 of barrel walls had a mild but measurable 149 effect on TCA segregation. Interestingly, as 150 aged into adulthood animals TCA 151 segregation also declined in control as well 152 as Rorb KO animals. Disorganization in the 153 Rorb KO was characterized by both loss of 154 quantifiable VGLUT2 contrast as well as the qualitative barrel patterning most obvious at 155 156 P60 between Ctl and KO in Figure 2A. Both genotype and age significantly affected 157 158 VGLUT2 contrast (genotype p=4.5e-07 and 159 age p=2.6e-06 by two-way ANOVA) but did not interact significantly. This suggests that 160 161 while both age and loss of RORB 162 significantly reduced contrast, loss of RORB 163 did not significantly change the time course 164 of TCA desegregation. Together these data

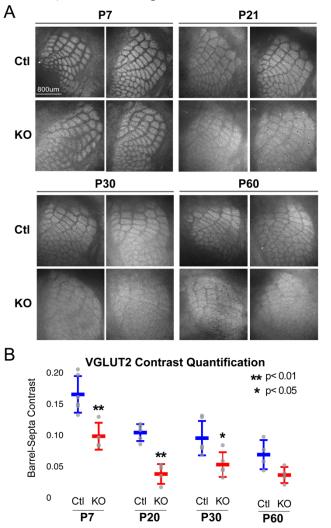


Figure 2. *Rorb* KO reduces thalamocortical afferent (TCA) segregation.

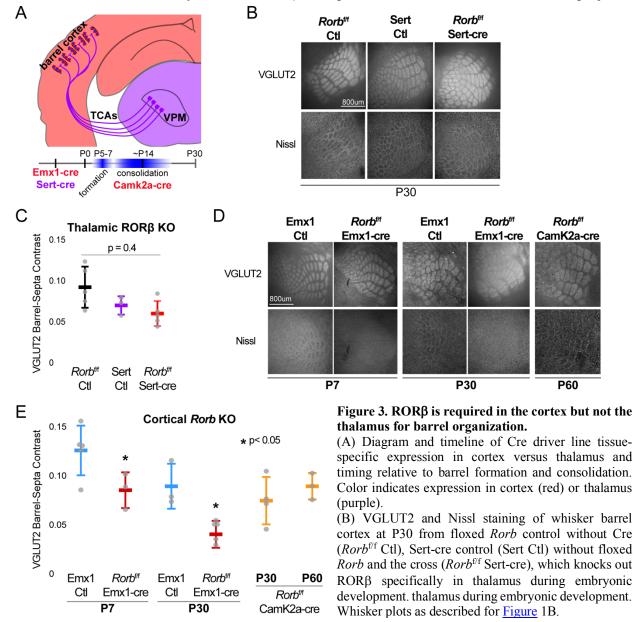
(A) VGLUT2 staining of excitatory thalamic axon terminals in cortical whisker barrels shows normal initial TCA patterning at P7 but degradation of barrel-septa contrast in *Rorb* KO adults. Ctl and *Rorb* KO animals were age matched.

(B) Quantification of barrel hollow to barrel walls/septa contrast (Barrel-Septa Contrast) in VGLUT2. N = 4-6 agematched animals for each genotype (Ctl or KO). Two tissue sections containing the largest portions of whisker barrel field identified by GFP signal were averaged per animal. Whisker plots show median contrast per animal  $\pm$  standard deviation. Gray points show mean contrast for each animal. P-value by independent sample t-test, between Ctl and KO at each timepoint.

- 165 show that RORβ is critical for normal whisker barrel formation and, loss of TCA segregation into
- adulthood suggests time/age affects cytoarchitecture.
- 167

#### 168 **RORβ** is required in the cortex but not the thalamus for barrel organization.

169 In addition to L4 excitatory neurons, ROR $\beta$  is expressed in the thalamic neurons that project to



(C) Quantification of VGLUT2 Barrel-Septa Contrast in genetic lines from B. N=3-5 P30 animals. Quantification and plotting as described in Figure 2B. P-value by ANOVA.

(D) VGLUT2 and Nissl staining of whisker barrel cortex from Emx1-cre control (Emx1-cre Ctl) without floxed *Rorb*, and the cross (*Rorb*<sup>f/f</sup> Emx1-cre) from P7 and P30 animals, and a P60 animal from floxed *Rorb* crossed to a CamK2a-cre driver line. Emx1-cre knocks out ROR $\beta$  specifically in forebrain during embryonic development, and CamK2a-cre knocks out ROR $\beta$  in forebrain neurons at postnatal weeks 2-3.

(E) Quantification of VGLUT2 Barrel-Septa Contrast in genetic lines from D. N=3-5 animals per age group. Quantification and plotting as described in Figure 2B. P-values by independent sample t-test, between Emx1 Ctl and KO at each time point. Whisker plots as described for <u>Figure 1B</u>.

170 barrel hollows. To assess whether the disruption of barrels is dependent on ROR $\beta$  expression in 171 thalamus and/or locally in cortex we used a floxed allele of Rorb (Rorb<sup>f/f</sup>) crossed to Cre-driver lines generating tissue-specific disruption of ROR $\beta$  as diagrammed in Figure 3A. A knockin line 172 173 expressing Cre from the serotonin transporter gene, Sert (Slc6a4 or 5-HTT) locus was used to 174 knockout *Rorb* in the thalamus. The Sert-cre line alone showed a mild disruption to TCA 175 organization without disrupting barrel walls, suggesting the Cre knockin might be hypomorphic (Figure 3B-C). However, thalamic KO of *Rorb* (Sert-cre; *Rorb*<sup>f/f</sup>) showed no additional disruption 176 177 to TCAs or barrel walls. Thus, loss of ROR $\beta$  in thalamic neurons was not responsible for the loss 178 of cortical wall organization or the majority of TCA disorganization observed in the global Rorb<sup>GFP/GFP</sup> KO. 179

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181 A knock-in line expressing Cre from the Emx1 locus removed ROR<sup>β</sup> specifically in forebrain 182 structures. Emx1-cre alone showed no significant disruption to barrel organization (Figure 3D-E). 183 However, barrel organization was significantly disrupted by cortical KO of *Rorb* (Emx1-cre; 184 *Rorb*<sup>*ff*</sup>). In addition, a CamK2a-cre diver line that removes ROR $\beta$  in the cortex after barrel 185 formation, showed no effect. Together these data demonstrate that ROR $\beta$  is required in the cortex 186 prior to barrel formation. Loss of RORB in thalamus or after barrels have formed does not disrupt 187 barrel architecture, suggesting RORB drives barrel wall organization through cell-intrinsic 188 mechanisms within layer 4.

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### 190 **ROR**β is required for expression of a layer 4 gene profile and repression of layer 5 genes.

191 Because ROR<sup>β</sup> is a transcription factor we hypothesized loss of function would change gene 192 expression in L4 neurons. To test this, RNA-seq was performed on sorted GFP<sup>+</sup> cells from micro-193 dissected L4 S1. We were careful in this dissection to exclude a small population of GFP<sup>+</sup> L5 neurons. Differential expression analysis between *Rorb*<sup>*GFP/*, and *Rorb*<sup>*GFP/*, deference} cells identified many</sup></sup> 194 dysregulated genes (fold change  $\geq 2$ , adjusted p-value < 0.01). At postnatal day 2 (P2) and prior 195 196 to barrel formation, 246 genes were significantly disrupted with 51% downregulated in the KO. 197 At P7, just after barrel formation, 433 genes were disrupted with 36% downregulated. At P30, 286 198 genes were disrupted with 37% downregulated. Examining the overlap between ages we find very 199 few genes significantly disrupted in the same direction across time points, suggesting highly 200 dynamic and complex regulation, Figure 4-figure supplement A.

201

202 ROR $\beta$  expression is a key feature distinguishing L4 neurons (Lein et al., 2007). To examine the 203 effect of RORB loss on laver-specific identity we assessed the laver specificity of differentially 204 expressed genes (DEGs) using the Allen Brain Atlas (Doyle et al., 2008). Genes were considered 205 layer-specific if the *in-situ* hybridization (ISH) signal appeared at least three-fold higher in one 206 layer (considering layers 2 and 3 together). Many genes had complex specificities showing 207 enrichment in two or more layers. These were not included for simplicity. Grouping DEGs based on their normal layer-specific expression pattern we see overall downregulation of superficial layer 208 209 genes with a modest effect on layer 2/3 genes and stronger loss of L4 gene expression in the KO, 210 Figure 4A-B. In addition, deep layer genes were generally upregulated in the KO with the strongest 211 effect on layer 5-specific genes. Several L5 genes are worth noting. Bcl11B/Ctip2, is a marker of 212 thick-tufted L5B-type neurons and significantly upregulated at P2 in the KO, but silenced at P7 213 and P30 similar to control (Figure 4-figure supplement B). Fezf2, another L5B marker and 214 regulator of *Bcl11B*, was similarly silenced over barrel development, but was mildly overexpressed 215 at P30 in the KO. Foxo1, is mainly expressed in L5 at younger ages (Allen Developing Mouse

Brain Atlas) and shows a decline in expression over barrel development but, was significantly overexpressed in the KO at P7. *ETV1*, also a L5A marker (Doyle et al., 2008), was upregulated in the KO at both P2 and P30. Lastly, *EGR4* was upregulated at P30 in the KO, and has been associated with ETV1 expressing neurons (Buenrostro et al., 2015). Together these data support a general but disorganized shift in layer identity with many different factors implicated at distinct time points.

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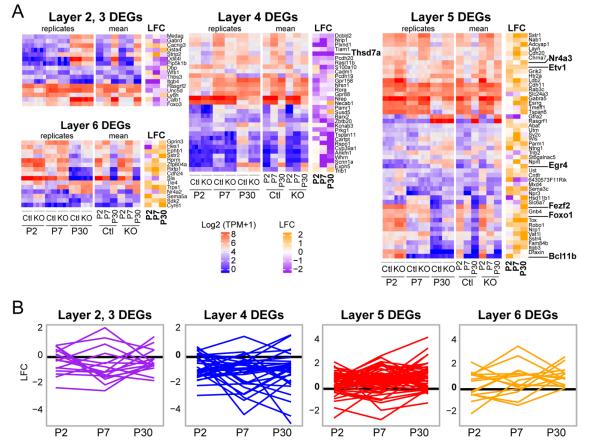


Figure 4. Rorb KO shifts the expression profile of neurons from a layer 4 to layer 5.

(A) Heatmaps showing marker genes or genes strongly enriched, as identified in the Allen Brain Atlas, for each layer of the neocortex. Log-transformed TPMs are color scaled in red and blue for each of the four RNA-seq replicates in the left most heatmap and the mean for each time point and genotype in the middle heatmaps. Log fold change (LFC) between control (Ctl) and *Rorb* KO is color-scaled in orange and purple in the right most heatmaps.

(B) Line plots showing LFC for the same genes. The black line indicates no change. Negative LFC indicated decreased expression in *Rorb* KO, and LFC > 0 indicate increased expression in *Rorb* KO.

#### 223 *Rorb* KO disrupts transcription factor binding sites near DEGs.

224 RORβ, Bcl11b, Foxo1, Etv1, and Egr4 are TFs that often regulate gene expression by binding to

- distal regulatory sites such as enhancers. There are many chromatin features of enhancers, one of
- which is that they are open and accessible to enzymatic digestion in assays such as the Assay for
- 227 Transposase Accessible Chromatin (ATAC) (Chen et al., 2014). To begin examining mechanisms
- involved in changing gene expression, we performed ATAC-seq on sorted GFP<sup>+</sup> L4 neurons from
- 229 control and *Rorb* KO animals at P30 (Figure 5A). High confidence ATAC-seq peaks were assessed

for differential accessibility between control and KO samples. We identified 5,210 peaks with  $\geq$ 231 2-fold change in accessibility (FDR < 0.02). Nearly 4-times as many regions lost accessibility 232 (N=4,123 closed) than increased (N=1,087 opened), Figure 5-figure supplement A. Differential 233 ATAC peaks were primarily located in introns and intergenic regions (Figure 5-figure supplement 234 B) suggesting loss of ROR $\beta$  function resulted in closure of many more regulatory regions than

- 235 opening.
- 236

We hypothesized that many of the closed regions might contain a RORβ binding motif while regions that opened may have binding potential for other TFs. To assess this possibility, two software algorithms (MEME and HOMER) were used to identify *de novo* enriched motifs from the DNA sequences of differential ATAC peaks separating closed and opened regions. This unbiased analysis also identifies which enriched sequences match known TF binding motifs. RORβ was the top motif from closed regions, Figure 5-figure supplement C. Considering only expressed TFs, the potent neurogenic factors NeuroD1 and Ascl1 were also among the top motifs

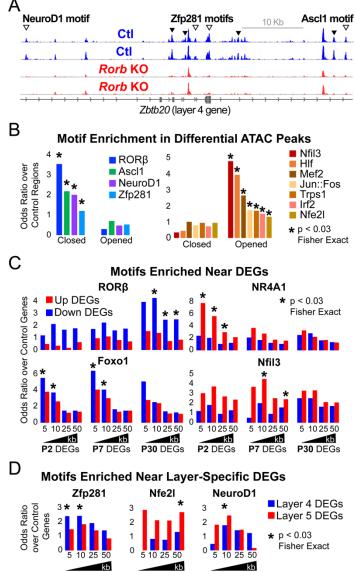
in closed regions. In regions that opened,
the top motifs from expressed TFs were
Nfil3, Hlf, Jun, Fos, Trps1, Mef2a/c/d and
Irf2. Similar analysis was performed on
ATAC peaks within 10 kb of up or
downregulated DEGs as well as L4 and

# Figure 5. *Rorb* KO disrupts transcription factor binding sites near DEGs.

(A) ATAC-seq normalized reads per million (RPM) for biological replicates. Samples collected from GFP<sup>+</sup> S1 layer IV ROR $\beta^{gfp/+}$  neurons (Ctl, blue) and GFP<sup>+</sup> S1 layer IV ROR $\beta^{gfp/gfp}$  neurons (KO, red). Arrows indicate differential peaks (fold change  $\geq$  2, FDR<0.02). Open arrows indicate differential peaks with transcription factor motif sequences as in (B).

(B) Cross-validated motifs with significant enrichment in ATAC peaks with differential accessibility. Closed; regions with significantly reduced access, Opened; regions with significantly increased access in the *Rorb* KO. Motif instances were cross-validated between MEME and HOMER algorithms. Odds ratio and p-value calculated comparing to motif frequency in control regions.

(C-D) Cross-validated motif enrichment in ATAC peaks near the TSSs of (C) upregulated or downregulated DEGs and (D) layer 4- or 5specific genes. Bars plot odds ratio over control regions. Asterisk indicates significant motif **D** enrichment (p<0.03 by Fisher exact test) in nearby ATAC peaks compared to control regions and separately significant enrichment (p<0.03 by Fisher exact test) of DEGs with a nearby motif compared to an independent group of control genes.



250 L5 DEGs. To confirm enrichment and identify motif locations we used MEME FIMO and 251 HOMER to scan for instances of a given set of motifs. This was done for all expressed TFs either 252 enriched in the *de novo* motif analysis or differentially expressed, for which high quality motif 253 models existed. Motif instances were cross-validated by retaining only those found by both MEME 254 and HOMER. Figure 5B plots the odds ratio of motifs significantly enriched compared to control 255 regions. Many of the motifs found by *de novo* analysis were confirmed, including ROR $\beta$  in regions 256 that closed.

257

258 To assess which TFs might play a significant role in up or downregulation of DEGs we varied a 259 distance window around the transcription start site (TSS) to identify nearby ATAC or control 260 regions containing a DNA motif. We tested for enrichment of motifs in ATAC regions near DEGs 261 compared to motifs in control regions. We also tested whether DEGs with a nearby motif were 262 significantly enriched compared to a control group of genes that did not change expression in the 263 Rorb KO. In essence, we tested whether motifs were enriched around certain DEGs and whether 264 a significant portion of those DEGs had a nearby motif. To reduce false positives, only motifs with 265 significant enrichment in both tests are shown in Figure 5C-D.

266

267 Genes downregulated at P30 showed significant enrichment of nearby ROR $\beta$  motifs suggesting RORβ is important for gene activation (Figure 5C). Motifs for Nr4a1 and Nfil3 were enriched near 268 269 upregulated DEGs at P2 and P7 respectively consistent with an early role for these TFs in 270 activating expression. Foxo1 motifs were enriched near genes downregulated at P2 and P7. Consistent with a role in early gene regulation, Foxo1 was highly expressed at P2 and declined 271 272 with age in control neurons (Figure 4-figure supplement B). However, in the KO, Foxol remained 273 significantly elevated at P7 eventually decreasing to levels comparable to control at P30. The close 274 proximity of Foxo1 binding sites to downregulated genes and its elevated expression at younger 275 ages suggests it may act as a repressor that is normally silenced just after barrel formation to allow 276 proper gene induction in L4 neurons. Without RORB, silencing of Foxo1 is delayed allowing it to 277 aberrantly repress targets at younger ages.

278

279 Interestingly, we did not find ROR<sup>β</sup> motifs enriched near L4 genes suggesting the shift in layerspecific gene expression is a downstream effect of ROR<sup>β</sup> loss. While ROR<sup>β</sup> does not appear to 280 281 directly regulate layer specific genes, Zfp281 motifs were enriched near L4 genes in the *de novo* 282 motif search and confirmed by specific mapping (Figure 5-figure supplement C and Figure 5D). 283 Zfp281 was highly expressed in both samples, at all ages, and unchanged by Rorb KO (Figure 5-284 figure supplement D). Zfp281 motifs were also enriched in regions that closed in the Rorb KO 285 suggesting it might be a novel activator of L4-specific genes and dependent on some other factor 286 to maintain accessible chromatin at its binding sites.

287

Nfe21 and NeuroD1 motifs were enriched near L5 genes. NeuroD1 motifs were also enriched in regions that closed suggesting it might act as an inhibitor of L5-specific genes as these genes increased expression when NeuroD1 sites closed. Nfe21 consists of a family of TFs that share a binding motif. Nfe211 was expressed at younger ages and increased in the adult while Nfe213 was highly expressed at P2 and silenced by P7 (Figure 5-figure supplement D). *Rorb* KO did not significantly disrupt expression of either, but the motif was enriched in regions that opened suggesting Nfe211 and/or 3 may be novel activators of L5-specific genes.

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296 The TF motifs enriched near upregulated DEGs were noteworthy for possible relationships with 297 neuronal activity. Nr4a1 is an activity induced TF that regulates the density and distribution of 298 excitatory synapses (Mitsui et al., 2001). Nfil3 and Hlf bind and compete for similar DNA motifs 299 (Beaumont et al., 2012), and may also be involved in activity-regulated transcription. Nfil3 is 300 upregulated in human brain tissue following seizures (Beaumont et al., 2012), and mutations in 301 Hlf are linked to spontaneous seizures (Gachon et al., 2004; Hawkins and Kearney, 2016). In 302 addition, motifs for the classic immediate early genes, Jun and Fos, were enriched in regions that 303 opened. These observations led us to examine the expression of other activity-regulated TFs. Many 304 were significantly upregulated at P30 while Lmo4 and its binding partner Lbd2 were upregulated 305 at P7 (Figure 5-figure supplement E). Lmo4 expression is induced by calcium signaling and is 306 required for TCA patterning in barrel cortex (Kashani et al., 2006; Huang et al., 2009). Another 307 activity-regulated TF, Btbd3, which drives L4 neurons to orient their dendrites into barrel hollows, 308 was significantly downregulated (Figure 5-figure supplement E). Lmo4 and Btbd3 are the only genes previously shown to disrupt barrels that were also dysregulated in the Rorb KO (Figure 5-309 310 figure supplement F). Interestingly, Lmo4 KO disrupts barrels yet it was upregulated in the Rorb 311 KO indicative that Rorb KO disrupts barrels through a divergent mechanism from what has been 312 previously described.

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314 Interestingly, S100A10, is another gene associated with L5A neurons (Schmidt et al., 2012;

315 Svenningsson et al., 2013), but was downregulated at P7 and P30 (Figure 5-figure supplement G). 316 The protein product of S100A10, p11, is involved in serotonin signaling via binding to the 317 serotonin receptors Htr1b, Htr1d, and Htr4 (Warner-Schmidt et al., 2009). Htr1b was the only serotonin receptor expressed in our samples and was also significantly downregulated at P7 and 318 319 P30. These data suggest that in addition to altered layer identity, Rorb KO may also disrupt 320 serotonergic signaling, an important pathway in TCA communication with cortex (Kawasaki, 321 2015). Together with upregulation of activity-regulated TFs, L4 neurons in the Rorb KO likely 322 have significantly altered responses to activity.

323

These analyses paint a complex picture where gene expression in L4 *Rorb* KO neurons is disrupted by multiple mechanisms. Loss of ROR $\beta$  results in closure of many ROR $\beta$  binding sites which are also enriched near genes with reduced expression in adults consistent with an activator role for ROR $\beta$ . Other regulatory changes involve complex combinations of altered TF expression and/or altered binding potential at sites that opened or closed in the KO likely due to downstream effects of ROR $\beta$  loss. These changes impact both known neurodevelopmental regulators as well as activity-regulated TFs.

331

## 332 *Rorb* KO delays excitatory input to barrel cortex.

333 To examine whether RORβ loss impacts network activity we examined inhibitory and excitatory 334 synaptic properties of L4 neurons. We found no change in inhibitory innervation at P14 or P24 as 335 measured by miniature inhibitory postsynaptic currents (mIPSCs), Figure 6-figure supplement A-336 B. However, synaptic function as measured by miniature excitatory postsynaptic currents 337 (mEPSCs) revealed a significant delay in excitatory input, Figure 6A-C. At P5, shortly after 338 thalamocortical LTP has ended (Feldman et al., 1998), the frequency of mEPSCs was low and 339 comparable in control and KO Figure 6B-C. At P7, when recurrent cortical synapses begin to 340 sharply increase (Ashby and Isaac, 2011), controls showed increased mEPSC frequency. However, 341 Rorb KO animals had a significantly lower mEPSC frequency at P7 (Figure 6A-C), suggesting

- 342 decreased functional synaptic input. At P10, Rorb KO neurons increased mEPSC frequency to 343 levels comparable with controls. This suggests synaptic connections were delayed by Rorb KO 344 mostly likely affecting recurrent excitatory connections. At P10, this defect in frequency is mostly corrected, but Rorb KO also showed significantly increased mEPSC amplitude at P10, possibly
- 345
- 346 compensating for the delay at P7. These data
- 347 support a subtle functional disruption to the barrel
- 348 circuit in Rorb KO animals that is consistent with
- 349 the transcriptional changes.
- 350

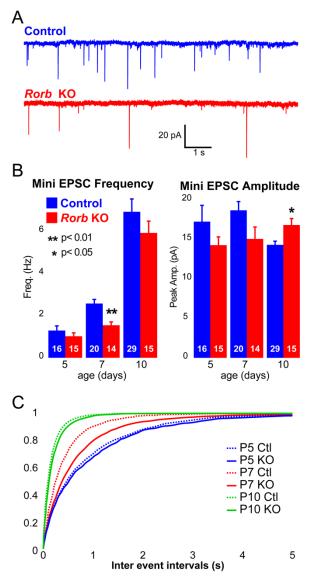
#### 351 The putative ROR<sup>β</sup> target, Thsd7a, is 352 required for adult TCA but not barrel wall

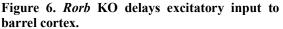
#### 353 organization.

354 To begin exploring the relationship between 355 disrupted gene expression in the Rorb KO and 356 barrel organization, we examined known 357 functions of genes differentially expressed at 358 multiple developmental time points. Two 359 candidates were identified with potential roles in 360 cell migration and synaptogenesis. PlexinD1 361 (Plxnd1) is a cell signaling molecule known to 362 play a role in pathfinding and synaptogenesis 363 (Chauvet et al., 2007; Wang et al., 2015). 364 Thrombospondin 7a (Thsd7a) regulates endothelial cell migration (Wang et al., 2010) but, 365 366 it's role in the brain is unknown. In controls, expression of both genes followed a similar 367 368 developmental trajectory as RORB, peaking 369 around P7 (Figure 7A). In the Rorb KO, Plxnd1 370 was significantly lower at P2 and P7 while Thsd7a 371 was significantly lower at all three time points. In 372 addition, we identified several differential ATAC 373 peaks near Thsd7a with significantly reduced 374 accessibility (Figure 7B). This included a peak 375 containing a strong ROR<sup>β</sup> motif just downstream 376 of the transcription start site, suggesting Thsd7a 377 might be a direct target of ROR $\beta$  regulation.

378

379 There was no detectable disruption to barrel 380 organization in *Plxnd1* conditional KO mice (PlexinD1<sup>flox</sup> crossed to Emx1-cre, Figure 7C-D). 381 382 A Thsd7a constitutive KO also showed no 383 disruption to barrel wall organization at P7 or P30. 384 Interestingly, Thsd7a KO did show decreased 385 VGLUT2 contrast between barrels and septa at 386 P30 but not P7, suggesting Thsd7a is important 387 for maintenance of TCA organization in





(A) Example of mini excitatory postsynaptic currents (mEPSCs) from L4 barrel cortex at P7.

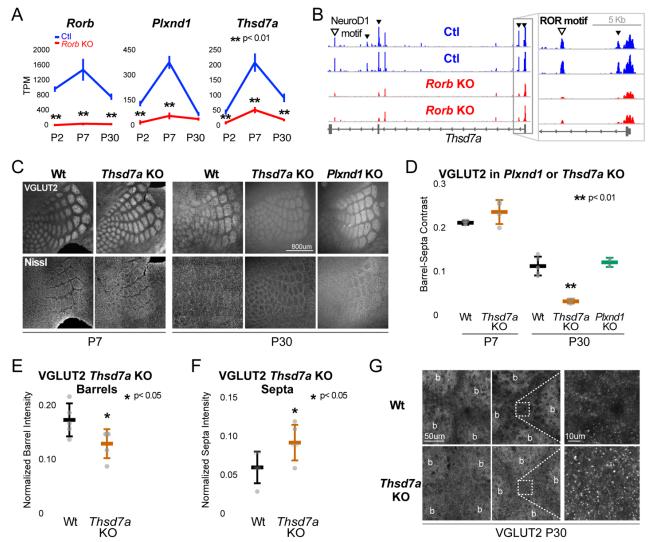
(B) Average mEPSC frequency and from Ctl and Rorb KO L4 barrel cortex at P5, P7, and P10. Bars plot mean + SE, number of cells in parentheses. P values by 2-way ANOVA adjusted for multiple comparisons.

(C) Cumulative histogram of inter-event intervals for control and Rorb KO L4 barrel cortex at P5, P7, and P10.

388 adulthood (Figure 7C-D). The barrel phenotype of *Thsd7a* KO was qualitatively different from

389 Rorb KO barrels. Specifically, the overall barrel pattern remained more intact in the Thsd7a KO

390 despite the quantitative decrease in VGLUT2 contrast. *Thsd7a* KO may maintain sharper barrel



#### Figure 7. Thsd7a is required for TCA but not barrel wall organization.

(A) Line plots of transcripts per million (TPM) measured by RNA-seq for three genes ( $ROR\beta$ , Thsd7a, and Plxnd1) from Ctl (blue) or *Rorb* KO (red) S1 layer IV barrel cortex. Lines plot the mean ± SE.

(B) ATAC-seq around the *Thsd7a* gene (as in Figure 5A).

(C) VGLUT2 and Nissl staining of whisker barrel cortex at P7 and P30 from wild-type (Wt), Plxnd1 KO, or Thsd7a KO.

(D) Quantification of VGLUT2 Barrel-Septa Contrast from genetic lines in C. N=2-5 animals. Whisker plots as described for Figure 1B.

(E) Background normalized quantification of VGLUT2 contrast in barrel hollows. Two tissue sections containing the largest portions of whisker barrel field were averaged per animal. N=5, P30 animals per genotype. Whisker plots as described for Figure 1B.

(F) Background normalized quantification of VGLUT2 contrast in septa. Two tissue sections containing the largest portions of whisker barrel field were averaged per animal. N=5, P30 animals per genotype. Whisker plots as described for Figure 1B.

(G) VGLUT2 staining imaged at high magnification (63X) in P30 Wt or *Thsd7a* KO whisker barrel cortex. Barrels are labeled "b".

391 borders than the *Rorb* KO due to intact barrel walls. Reduction in VGLUT2 contrast in the *Thsd7a* 

392 KO could be due to increased TCA localization in the septa and/or decreased TCA localization in

393 the barrels. To distinguish these two possibilities, three regions of low VGLUT2 staining adjacent

to the barrel field were quantified and used for within tissue slice normalization of barrel and septa

395 intensities. *Thsd7a* KO resulted in a 24% decrease in barrel hollow VGLUT2 signal and a 56%

increase in the septa (Figure 7E-F). High resolution imaging showed a clear increase in VGLUT2

<sup>397</sup> puncta located in the septa (Figure 7G). Thus, loss of Thsd7a after *Rorb* KO likely contributes to

398 the decrease in TCA segregation in adulthood.

# 399

## 400 **DISCUSSION**

401 While somatotopic maps were one of the earliest and most obvious forms of cytoarchitecture, our 402 understanding of the role neuronal identity plays in module formation is largely unknown. Studies 403 have long approached the question of what drives cortical organization from the perspective of 404 network activity and, in the case of barrel cortex from the perspective of key structures and 405 pathways needed to relay sensory input. More recent studies characterizing transcription factors 406 required in the cortex for barrel organization points to the importance of molecular mechanisms 407 regulating transcriptional programs. However, many of these TFs are part of the pathways that 408 carry sensory input or are fundamental regulators of broad developmental programs. It was unclear 409 whether a TF such as ROR $\beta$ , a highly restricted marker of L4 identity in the cortex, could influence 410 macro-scale processes such as module formation. Indeed, we show that while RORB is clearly 411 regulating only a fraction of the phenotypic and transcriptional properties of L4 neurons, it is 412 necessary for terminal specification of L4 identity and proper organization of L4 cytoarchitecture.

413

414 Specifically, ROR $\beta$  is required in the cortex for barrel wall formation and full TCA segregation.

415 This differs from earlier work focusing on the role of TCA patterning and activity as instructive 416 for barrel wall formation. Instead, we find that loss of RORβ specifically in the cortex affects TCA

416 for barrel wall formation. Instead, we find that loss of ROR $\beta$  specifically in the cortex affects TCA 417 segregation shortly after barrel walls should have formed, suggesting that bidirectional signaling

417 segregation shortly after barrel walls should have formed, suggesting that bidirectional signaling 418 between L4 neurons and TCAs is involved in establishing proper organization. Few other studies

419 highlight this role of cortical influence on TCA organization (Iwasato et al., 2000).

420

421 While desegregation and loss of TCA patterning worsened with age, removing ROR<sup>β</sup> function 422 after barrels form did not affect TCA segregation. From this we conclude the major contribution 423 of RORB occurs before and/or during barrel development. Once barrels have fully formed, RORB 424 activity is not required to maintain TCA segregation. We note, however, that loss of the putative 425 RORβ gene target, Thsd7a, primarily affected TCA segregation in adults despite maximal 426 expression at P7, which declines significantly by P30. One possibility involves Thsd7a functioning 427 around the time of barrel formation to establish long lasting TCA structures that only manifest aberrant phenotypes later in life. Alternatively, the moderate expression level of Thsd7a at P30 428 429 may be sufficient for a role in adult maintenance. In either case, a role for Thsd7a in the nervous 430 system has not been described previously. In endothelial cells, Thsd7a localizes to the membrane 431 of the leading edge of migrating cells where it functions to slow or inhibit migration (Wang et al., 432 2010). Perhaps in somatosensory cortex it inhibits movement of nearby projections such as 433 dendrites or axons allowing cortical neurons to "corral" TCAs in barrel hollows.

434

435 Our observation that barrel organization declined with age is very interesting and possibly the first 436 description of this phenomenon in mice (Rice, 1985). It suggests continued plasticity or

437 degradation of maintenance mechanisms over time. Few studies have examined plasticity within 438 this structure in adulthood. This is in part because studies have shown a decline in the capacity to 439 rewire sensory input to the cerebral cortex with age in certain systems. In the visual system, loss 440 of sensory input has been shown to alter TCAs during a critical postnatal period (Antonini and 441 Stryker, 1993; Erzurumlu and Gaspar, 2012). It is thought that once this critical period closes, 442 TCA organization is fixed. Thus, developmental processes in the visual and somatosensory 443 systems are assumed to stabilize TCAs and restrict learning and memory related changes to 444 plasticity among cortical connections (Fox, 2002; Feldman and Brecht, 2005; De Paola et al., 2006; 445 Karmarkar and Dan, 2006). However, there is some evidence to support a shift in this model of 446 adult plasticity in both the visual and somatosensory cortex (Khibnik et al., 2010; Wimmer et al., 447 2010). In particular, Oberlaender et al. showed that a mild form of sensory deprivation induced by 448 whisker trimming in 3-month old rats substantially altered TCAs in barrel cortex (Oberlaender et 449 al., 2012). However, because adult TCA plasticity has garnered limited attention, we currently lack 450 genetic studies examining the molecular mechanisms behind these processes. The natural decline in barrel organization and the mechanism of Thsd7a influence on TCA segregation merit further 451 452 investigation as exciting new contexts to study both the functional roles of cortical organization 453 and the impact of age.

454

455 Recent studies are revealing that neuronal identity in certain structures remains plastic during early 456 postnatal periods. For example, mistargeted L4 neurons that migrate to layer 2/3 take on 457 characteristics of their surroundings (Oishi et al., 2016b) and misexpression of some TF can alter 458 the identity of postnatal neurons (Rouaux and Arlotta, 2010; 2013). We find that loss of RORB 459 shifts the transcriptional identity of L4 neurons to a more L5-like profile. This likely occurs 460 through complex reorchestration of gene regulation. Upregulation of known L5 TFs such as 461 Bcl11b/Citp and Etv1 at P2 may help drive an early diversion down an L5 trajectory. Regulatory 462 signatures detected in adult neurons such as closure of binding sites for Zfp281 enriched near L4 463 genes and opening of Nfe211/3 motifs enriched near L5 genes may represent the tip of the 464 developmental iceberg. In addition, our stringent motif analysis aimed to keep false positives low 465 but may also miss relevant regulators with more minor roles. While we detect changes in binding 466 capacity for many TFs, including ROR $\beta$ , the complexity of dysregulation spread out across early 467 postnatal development means there are certainly additional mechanisms driving this shift in 468 cellular identity to be discovered. Here we combine the power of genetic knock-out strategies with 469 multiple molecular profiling assays to interrogate the transcriptional network influenced by RORB. 470 We found RNA-seq paired with ATAC-seq provided a rich picture of the transcriptional changes 471 occurring in *Rorb* KO neurons and insight into both developmental and adult functioning. Changes 472 to the transcriptional network involved both differentially expressed TFs and TFs whose only 473 perturbation was increased or decreased access to binding sites. Without these complementary 474 perspectives, proteins such as Zfp281 and Nfe211/3 TFs might have been overlooked.

475

476 We identify several other TFs worthy of further investigation for their role in cortical development. 477 Ascl1 and NeuroD1 are potent TFs that can induce transdifferentiation of mouse embryonic 478 fibroblasts or microglia into neurons (Vierbuchen et al., 2010; Matsuda et al., 2019). NeuroD1 479 binds a different motif than NeuroD2, which is known to regulate barrel formation (Ince-Dunn et 480 al., 2006), suggesting a distinct role. In addition, Trps1 was strongly upregulated by RORβ loss at 481 P7 and P30, and was enriched in regions that opened. Its role in neurons is not clear, but it has 482 been characterized as a transcriptional repressor that inhibits cell migration making it a tempting

483 target to explore the lack of L4 neuron migration necessary to form barrel walls (Wang et al.,484 2018).

485

486 In addition to disrupted layer identity we also detect a significant disruption in the potential for Rorb KO cells to transcriptionally respond to activity connecting cellular identity, module 487 488 formation and molecular responsiveness to input. In the adult Rorb KO, many activity-regulated 489 TFs were upregulated, with the exception of Btbd3, and their DNA motifs showed increased 490 accessibility. Around P7, when activity is critical for instructing cortical reorganization, we see 491 reduced mEPSC frequency in L4 Rorb KO neurons, which is rectified by P10. Some of the 492 transcriptional changes in the *Rorb* KO may be a form of compensation for the lack of input at P7. 493 Failed upregulation of Htr1b and downregulation of S100a10/p11 may also be an attempt to 494 increase activity in KO neurons. More is known about the role of Htr1b in TCAs where it is 495 transiently expressed and, when stimulated, inhibits thalamic neuronal firing (Bennett-Clarke et 496 al., 1993; Rhoades et al., 1994) and disrupts barrel formation (Young-Davies et al., 2000). TCA 497 inhibition is thought to be the mechanism by which excess 5-HT disrupts barrels. While it is 498 difficult to infer the role of Htr1b and p11 without characterizing cellular localization in S1 L4 499 neurons, downregulation of p11 resulting in less Htr1b localizing to the membrane coupled with 500 reduced Htr1b expression could relieve inhibition in L4 Rorb KO neurons. Barrel formation and 501 the ability to respond to activity inputs corresponds with increased ROR $\beta$  expression and this 502 increase is attenuated when TCA inputs are eliminated (Pouchelon et al., 2014). Together this 503 suggests terminal differentiation and migration of neurons within L4 to form barrel walls are 504 closely synchronized to excitatory input and require ROR $\beta$  for proper establishment.

505

506 Although few other studies have examined the transcriptional targets and molecular mechanisms 507 of TFs that regulate barrel formation, our study suggests ROR<sup>β</sup> is likely involved in the later stages 508 of cellular specification and implicates several new TFs. RORB also appears to function by distinct 509 mechanisms from TFs previously characterized to regulate barrel formation. Loss of Bhlhe22 510 disrupts both barrel wall formation and TCA segregation but results in downregulation of Lmo4 511 (Joshi et al., 2008), unlike *Rorb* KO which increased Lmo4. Interestingly, Eomes is required for 512 barrel wall organization but does not appear to affect TCA segregation (Elsen et al., 2013). Lhx2 513 and RORa are more broadly expressed than RORB. *Lhx2* KO results in moderate down regulation 514 of RORB suggesting it is also likely upstream of RORB in barrel development (Wang et al., 2017). 515 Loss of Lhx2 greatly reduced TCA branching producing smaller barrels and barrel field. This 516 phenotype is very similar to Rora KO barrels (Vitalis et al., 2017) suggesting RORa's mechanism 517 may be more similar to earlier developmental TFs than to RORB. Disruption of barrelettes 518 development in *Rora* KO thalamus is also consistent with a role in earlier stages of development. 519 On the other hand, several TFs appear to be downstream of processes regulated by ROR<sup>β</sup>. For 520 example, Btbd3 is important for dendritic orientation and is downregulated in the Rorb KO. It may 521 be that dendritic orientation occurs after L4 cells have migrated to form barrel walls and provide 522 an organized reference point for orientation. Thus, we have characterized in depth the molecular 523 and transcriptional mechanism of RORB as it orchestrates a critical juncture in barrel development 524 where terminal differentiation and activity inputs are integrated to drive cellular organization in 525 the cortex.

526

#### 527 Materials and Methods

528

#### 529 Animals

All animals were bred, housed, and cared for in Foster Biomedical Research Laboratory at
Brandeis University (Waltham, MA, USA). Animals were provided with food and water *ad libitum*and kept on a 12hr:12hr light:dark cycle. Cages were enriched with huts, chew sticks, and tubes.
All experiments were approved by the Institutional Animal Care and Use Committee of Brandeis
University, Waltham, MA, USA.

535

Rorb<sup>GFP</sup> (Rorb<sup>1g</sup>) and Rorb<sup>ff</sup> (Rorb<sup>flox/flox</sup>) mice were obtained from Dr. Douglas Forrest (Liu et al., 536 2013; Koch et al., 2017; Byun et al., 2019). Rorb<sup>GFP</sup> mutation deletes the RORβ1 isoform, the 537 538 predominant isoform in brain, and not the RORB2 isoform (Liu et al., 2013). The Rorb<sup>ff</sup> allele 539 deletes both isoforms. The following mice were obtained from Jackson Laboratories: TDTomato 540 007909. RRID:IMSR JAX:007909); plexinD1flox (stock (stock 018319, RRID:IMSR JAX:018319); Thrombospondin7a (Thsd7a) (stock 027218, RRID:MGI:6263683); 541 EMX1-IRES-cre (Emx1-cre) (stock 005628, RRID:IMSR JAX:005628); SertCre (Slc6a4) (stock 542

- 543 014554, RRID:IMSR\_JAX:014554). CamK2a-cre (stock 005359, RRID:IMSR\_JAX:005359).
- 544

#### 545 **Perfusion**

Animals were fatally anesthetized and transcardially perfused with 15mL 1x PBS (Fisher, SH3001304) then 15mL 4% PFA (Sigma Aldrich P6148-500G). Brains were fixed overnight in tangential orientation. After removing the whole brain from the skull, the cerebellum and olfactory bulbs were removed. The brain was split into two hemispheres along the longitudinal fissure and the midbrain was gently excised. The remaining cortex was placed in a shallow well made from a cryostat mold, filled with 4% PFA and a glass slide set on top for flattening. Brains were removed from PFA after 24-48 hours and stored in 30% sucrose/PBS solution at 4°C.

553

## 554 Immunohistochemistry

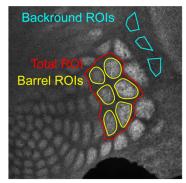
555 50µm slices were made on a freezing Microtome (Leica SM 2010R). Controls and KOs were 556 stained together in batches. Slices were permeabilized overnight at 4°C in 0.3% Triton-X100 557 (Sigma Aldrich, T8787) and 3% Bovine Serum Albumin (Sigma B4287-25G) in PBS. Slices were 558 then incubated for 24 hours in primary antibody solution containing 0.3% Triton-X100 and 3% 559 Bovine Serum Albumin in PBS at 4C. Primary antibody dilutions were as follows: Guinea pig 560 anti-VGLUT2 (Millipore AB2251, RRID:AB 2665454) 1:500-1:1000, rabbit anti-VGLUT2 561 (Synaptic Systems 135 403, RRID:AB 887883) 1:250, chicken anti-GFP (Aves labs GFP-1020, 562 RRID: AB 10000240) 1:500-1:1000. Slices were washed 3 times in PBS for 10 minutes each at 563 room temp and then moved to secondary antibody solution containing 0.3% Triton-X100, 3% 564 Bovine Serum Albumin, 10% normal goat serum, All secondaries were used at 1:500: Goat Anti-565 Rabbit Alexa Fluor 564 (Invitrogen A-11037, RRID:AB 2534095), Goat Anti-Chicken Alexa 566 Fluor 488 (Invitrogen A-11039, RRID:AB 2534096), Goat Anti-Rabbit Alexa Fluor 633 567 (Invitrogen A-21070, RRID:AB 2535731), Goat Anti-Guinea Pig Alexa Fluor 647 (Invitrogen A-568 21450, RRID:AB 2735091). Slices were stained using Nissl (Invitrogen N21479) at 1:250 in PBS 569 for 2 hours at room temperature, washed in PBS as before, and mounted in VECTASHIELD 570 HardSet Mounting Medium (Vector Laboratories, H-1500, RRID:AB 2336787). Slides were 571 stored at -20C and imaged within 1 week.

572

#### 573 Imaging and fluorescence quantification

574 Tissue was imaged on a Leica DMI 6000B Inverted Widefield Imaging Fluorescence Microscope 575 or a Zeiss LSM 880 confocal microscope. All genotypes and age groups contained roughly even 576 numbers of males and females. A minimum of two slices containing at least 5 intact barrels 577 between rows B-D were quantified per animal. Experimenters were blinded to age and genotype 578 during imaging and quantification. Regions of interest (ROIs) were drawn manually by a blinded 579 researcher around 5-6 intact barrels from rows B, C, or D using Fiji (Schindelin et al., 2012). An 580 ROI including the total space around selected barrels up to the edges of adjacent barrels was drawn 581 to be used for calculating septa intensity (Figure 8). For Thsd7a KO and controls, three additional 582 ROIs were drawn in the region adjacent to barrel cortex with low VGLUT2 signal to be used as

583 background to normalize barrel and septa 584 intensity. Custom MATLAB code was used to 585 quantify the average fluorescence in ROIs. 586 Septa intensity was calculated as septa total ROI 587 intensity - sum(barrel ROIs). Contrast = (barrel 588 - septa) / (barrel + septa). Contrast and 589 normalized barrel and septa intensity were 590 calculated and then averaged for 2 slices per 591 animal. Two-way ANOVA was used to test for 592 a significant effect of genotype and/or age as 593 well as for an interaction between the two 594 variables. Independent sample t-test was used to 595 significant differences test for between 596 genotypes at each age.



Septa intensity = Total intensity -  $\Sigma$ Barrel intensities

Figure 8. Example of quantification method. Regions of interest (ROIs) were drawn in Fiji by a researcher blinded to genotype and age.

# 597

#### 598 Electrophysiology

599 Rorb<sup>GFP/GFP</sup> (KO) and Rorb<sup>GFP/+</sup> (control; Ctl) mice were anesthetized with isoflurane and 600 decapitated. Coronal slices (300µm) containing the primary somatosensory cortex were cut on a 601 Leica (VT1000S) vibratome and incubated at room temperature in ACSF containing (mM) 126 602 NaCl, 25 NaHCO3, 2.5 KCl, 1.2 NaHPO4, 2 CaCl2, 1 MgCl2 and 32.6 dextrose adjusted to 326 603 mOsm, pH 7.4 and saturated with 95%/5% O2/CO2. Submerged, whole cell recordings were performed at  $32 \pm 1^{\circ}$  on an upright microscope (Olympus BX50) equipped with epifluorescence. 604 Pipettes with resistance 4-6 Mohm were filled with internal solution containing (mM) 100 K-605 gluconate, 20 KCl, 10 HEPES, 4 Mg-ATP, 0.3 Na-GTP, 10 Na-phosphocreatine and 0.2% biocytin 606 607 adjusted to 300 mOsm, pH 7.35. For mIPSC recordings, the internal included 133 mM KCl and 608 gluconate was omitted to bring  $E_{Cl}$  to 0mV. Recordings were made using an Axoclamp 700A 609 amplifier, and were digitized at 10-20kHz and analyzed using custom software running under Igor 610 6.03 (Wavemetrics). Miniature synaptic events were recorded in voltage clamp at -70mV in the 611 presence of PTX (mEPSCs) or DNQX+APV (mIPSCs) respectively.

612

613 Spiny stellate neurons were recognized based on their compact, GFP<sup>+</sup> cell bodies within the GFP<sup>+</sup> 614

cell-dense layer 4. Input resistance was measured every 10-20 s with a small hyperpolarizing pulse

615 and data were discarded if input or series resistance changed by >20%. P-values were calculated

616 by 2-way ANOVA and adjusted for multiple comparisons by Tukey post hoc correction.

- 617
- 618 **RNA-seq**

RNA-seq was performed as described previously (Sugino et al., 2019). Briefly, 1000-1500 GFP<sup>+</sup>
cells were isolated by FACS (BD FACSAria Flow Cytometer) from micro dissected L4 S1 live
tissue (N=4 biological replicates per age and genotype). Figure 9 shows examples of the region

622 micro dissected out to exclude L5. The four 623 independent biological samples were collected 624 from a pool generated by combining tissue from 625 one male and one female mouse for a total of 8 626 animals used per time point. Cells were sorted 627 directly into extration buffer and RNA stored at 628 -80C for < three weeks. All libraries were 629 prepared and sequenced in a single batch to 630 prevent batch effects. Total RNA was purified 631 (Arcturus PicoPure RNA Isolation kit. 632 KIT0204) according to manufacturer's 633 specifications. Libraries were prepared using 634 Ovation Trio RNA-Seq library preparation kit 635 with mouse rRNA depletion (0507-32)

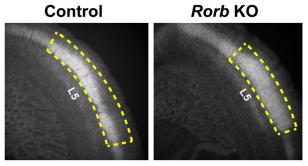


Figure 9. Example micro dissection of L4 S1 from coronal slices.

Yellow dashed line indicates the outline of tissue retained for FACS.

636 according to manufacturer's specifications and sequenced on a NextSeq Illumina platform 637 (NextSeq 500/550 High Output (1 x 75 cycles)) obtaining  $27 \pm 2$  million reads (mean  $\pm$  SE). Reads 638 were mapped by STAR with 90%  $\pm$  0.3% unique mapping (mean  $\pm$  SE) and quantified with 639 featureCounts (Liao et al., 2014). Differentially expressed genes were identified by Limma 640 (Ritchie et al., 2015) using a fold change cutoff of 2 and padj<0.01 from a moderated t-test adjusted 641 for multiple comparisons using FDR (Benjamini-Hochberg).

# 642

### 643 ATAC-seq

644 ATAC-seq was performed as described previously (Sugino et al., 2019). Briefly, 30,000 - 50,000 645 GFP<sup>+</sup> cells were isolated by FACS from microdissected L4 live tissue (N=2 biological replicates 646 per age and genotype). The two independent samples were collected from a pool generated by 647 combining tissue from two male and two female mice for a total of 8 animals used. Nuclei were 648 transposed for 30 minutes and libraries amplified according to published methods (Corces et al., 649 2017). Tagmented nuclei were stored at -20C for < two weeks. All ATAC libraries were purified. 650 amplified, and sequenced as a single batch. Libraries were sequenced on a NextSeq Illumina platform (high output 300 cycles (2 x 150bp)) producing  $105 \pm 24$  (mean  $\pm$  SE) million reads per 651 652 replicate. Reads were mapped using Bowtie2 and filtered producing  $24 \pm 2$  (mean  $\pm$  SE) million 653 unique non-mitochondrial reads per replicate. TSS enrichment calculated per replicate according to the ENCODE quality metric (Corces et al., 2017) (https://github.com/ENCODE-DCC/atac-seq-654 655 pipeline) was  $34 \pm 3$  (mean  $\pm$  SE). Peaks were identified permissively using HOMER (-style dnase 656 -fdr 0.5 -minDist 150 -tbp 0 -size 75 -regionRes 0.75 -region) (Heinz et al., 2010) and IDR 657 (threshold=0.01, pooled threshold=0.01) was used to identify reproducible peaks (Li et al., 2011). 658 Differential ATAC peaks were identified using DiffBind with an FDR threshold=0.02 and log2 659 fold change in normalized read coverage threshold  $\geq 1$  (Ross-Innes et al., 2012).

- 660
- 661 RNA-seq and ATAC-seq datasets are available at GEO accession GSE138001.
- 662
- 663
- 664 Motif Analysis

665 Motifs identified *de novo* from the sequences underlying ATAC peaks was carried out using 666 MEME AME with shuffled input sequences as control and default settings (Fraction of maximum  $\log$ -odds = 0.25. E-value threshold  $\leq$  10) (McLeav and Bailey, 2010), and HOMER 667 findMotifsGenome.pl function masking repeats and -size given (Heinz et al., 2010). Scanning for 668 669 specific motif matches in the sequences underlying ATAC peaks was carried out using MEME 670 FIMO used the default threshold of p-value < 1e-4 (Grant et al., 2011) and HOMER 671 findMotifsGenome.pl -find function. When possible 2-3 PWMs were obtained from Jaspar (Khan et al., 2018) and Cis-BP (Weirauch et al., 2014) prioritizing PWMs from direct data sources such 672 as ChIP-seq. The R package GenomicRanges (Lawrence et al., 2013) was used to identify 673 674 overlapping motifs between the two algorithms for cross validation. The overlap criteria allowed 675 a 1 bp difference in the start or end position of the motif to accommodate ambiguity among motif 676 models. Fisher Exact tests were calculated in R to test for enrichment of motifs in ATAC regions compared to control regions and to test for enrichment of genes with a nearby motif from a DEG 677 678 group compared to a control group of genes. The set of control regions was generated by shuffling 679 ATAC peaks throughout the genome excluding sequence gaps using BedTools (Quinlan and Hall, 680 2010) and the control group of genes were defined as expressed above 5 TPM but unchanged by age or Rorb KO. 681

682

#### 683 Acknowledgments

684 We thank Dr. Roland Schüle for agreeing to share the  $Rorb^{f/f}$  line, and Dr. Matthew Eaton for 685 friendly bioinformatic advice. Supported in part by the intramural research program at NIDDK at 686 the National Institutes of Health (DF).

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#### 689 Supplemental Figures

Α

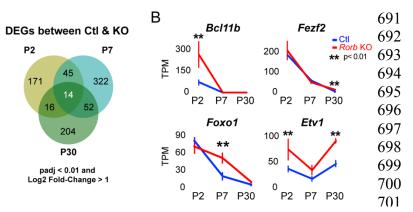
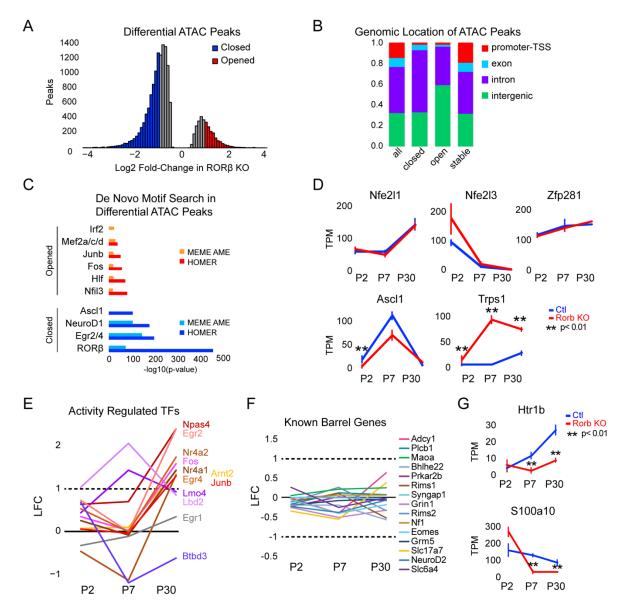


Figure 4 supplement. *Rorb* KO shifts the expression profile of neurons from a layer 4 to layer 5.

(A) Differentially expressed genes (DEGs) at each age identified by RNA-seq. (B) RNA-seq expression of layer 5 TFs. Lines plot the mean  $\pm$  SE. P by moderated t-test adjusted for multiple comparisons (Benjamini-Hochberg).

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- 708
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- 710



#### Figure 5 supplement. Rorb KO disrupts transcription factor binding sites near DEGs.

(A) Differential ATAC peaks identified by DiffBind with Log2 Fold change (LFC) > 1 and FDR < 0.02.

(B) Genomic distribution of ATAC-seq peaks identified in RORβ control and *Rorb* KO. All peaks; all ATAC peaks in Ctl and KO, closed and opened peaks as defined in (B), stable; peaks with the lowest LFC between control and KO. Promoter defined as 2 Kb upstream of an annotated TSS.

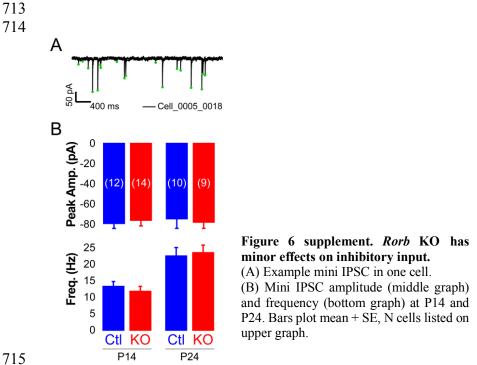
(C) De novo motif searching in differential ATAC peaks using two independent algorithms, MEME AME function and HOMER. Only motifs for TFs expressed in either sample are plotted.

(D) RNA-seq expression of TFs with motifs in ATAC peaks. Lines plot the mean  $\pm$  SE. P by moderated t-test adjusted for multiple comparisons (Benjamini-Hochberg).

(E-F) RNA-seq log2 fold-c (LFC) for (E) activity-regulated transcription factors and (F) genes previously described to have a role in barrel organization.

(G) RNA-seq of genes involved in serotonin signaling. Plots as in (D).

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