1 Resource conservation manifests in the genetic code

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9 Abstract

10 Ocean microbes are responsible for about 50% of primary production on Earth, and are strongly 11 affected by environmental resource availability. However, selective forces resulting from 12 environmental conditions are not well understood. We studied selection by examining single-13 nucleotide variants in the marine environment, and discovered strong purifying selective forces 14 exerted across marine microbial genes. We present evidence indicating that this selection is 15 driven by the environment, and especially by nitrogen availability. We further corroborate that 16 nutrient availability drives this 'resource-driven' selection by showing stronger selection on highly 17 expressed and extracellular genes, that are more resource-consuming. Finally, we show that the 18 standard genetic code, along with amino acid abundances, facilitates nutrient conservation by 19 providing robustness to mutations that increase nitrogen and carbon consumption. Notably, this 20 robustness generalizes to multiple taxa across all domains of life, including the Human genome, 21 and manifests in the code structure itself. Overall, we uncover overwhelmingly strong purifying 22 selective pressure across marine microbial life that may have contributed to the structure of our 23 genetic code.

24 Introduction

25 Ocean microbes, the largest group of organisms on the planet¹, are involved in key cycling of 26 nutrients that make up all living systems. They account for nearly half of the carbon compound synthesis on Earth, thereby producing about 50% of breathable oxygen². These marine microbes 27 28 also cycle nutrients to perform numerous other important roles, such as biodegradation of 29 complex organic material and fixation of atmospheric nitrogen, while flourishing in a wide range 30 of environments with varying ambient conditions such as oxygen and nitrogen levels, light and temperature^{3,4}. Nonetheless, and despite their importance in global energy flux and nutrient 31 32 cycling, evolutionary forces acting on ocean microbes are not fully understood⁴.

33 With rapidly changing climate and environment, understanding the types of stress exerted on microbes in marine habitats is of paramount importance. Recent studies^{4,5} provide evidence of 34 35 high variability in the core genomic properties of marine microbes, including GC content and 36 genome size, suggesting that this variability is linked to the concentrations of nutrients in the 37 environment. Nitrogen and carbon are major limiting factors in the marine environment and their 38 concentrations are typically inversely correlated⁶. It was shown that in low-nitrogen environments 39 there is lower incorporation of nitrogen-rich side chains into proteins, a strong A+T bias in 40 nucleotide sequences, and smaller genome sizes, suggesting that nitrogen conservation is a strong selective force⁷. An opposite trend was shown for carbon⁴. Previous studies^{7,8} identified a 41 42 purifying selective pressure associated with resource conservation, which we term 'resource-43 driven' selection. Such 'resource-driven' selection against incorporation of nutrients in a resource-44 limited environment may be further propagated by the high effective population sizes observed in the open ocean, where even slightly deleterious mutations are rapidly selected against⁹. 45 46 Notwithstanding, the ways in which resource-driven selection manifests in protein-coding 47 sequences are not fully elucidated.

48 To illuminate mechanisms through which resource-driven selection affects protein-coding genes. 49 we amalgamated measurements of environmental conditions with publicly available marine metagenomic data from oceanic habitats across the globe (Fig. 1A)^{3,10}. We analyzed purifying 50 51 selection in 746 such marine samples by devising a tailored computational pipeline examining 52 single nucleotide polymorphisms. This enabled us to systematically associate purifying selection 53 with related environmental measurements. We revealed a strong purifying selective pressure, 54 which seems to be acting in a similar fashion across most marine microbial genes. This purifying 55 selection is associated with environmental nutrient concentrations, specifically nitrate. We further 56 show that resource-consuming genes, which are highly expressed or code for extracellular 57 proteins, are under stronger resource-driven selection as compared to other, less resource-58 consuming genes. We analyze mutations in nitrate-rich as compared to nitrate-poor waters and 59 show that this selection is likely characterized by specific amino acid preferences depending on 60 environmental conditions. Finally, we demonstrate that the distribution of amino acids, along with 61 the structure of the genetic code, provides robustness against random mutations that increase 62 carbon and nitrogen incorporation into protein sequences. We extend this observation to codon 63 distributions across many diverse life forms, and suggest that nutrient conservation is encoded in 64 the standard genetic code, which is robust to mutations that result in higher nitrogen and carbon 65 utilization.

66 Results

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68 Single nucleotide polymorphisms in marine microbial genes reveal strong purifying 69 selection

70 To better understand the underlying mechanisms governing resource-driven selection acting on 71 marine microbes, we sought to characterize, at the single nucleotide level, how coding sequences 72 of marine microbes are affected by resource availability in their environment. To this end, we 73 devised a computational pipeline that calculates metrics of selection from marine metagenomic 74 samples (Fig. 1B). We downloaded metagenomic sequencing data from 746 samples from the Tara oceans³ (n=136); bioGEOTRACES¹⁰ (n=480); Hawaii Ocean Time-series¹⁰ (HOT; n=68); 75 76 and Bermuda Atlantic TimeSeries¹⁰ (BATS; n=62) expeditions (Fig. 1A; Methods). We aligned 77 these reads to the Ocean Microbiome Reference Gene Catalog (OM-RGC)³ and searched for 78 single nucleotide polymorphisms (SNPs) in genes that had sufficient high-quality coverage (Fig. 79 1B; Methods). Overall, we found 71,921,864 high-confidence SNPs in 1,590,843 genes.

80 Next, to guantify purifying selection on different gene functions, we annotated genes from the OM-81 RGC database to inform their functional group membership with either KEGG orthology (KO) or eggNOG orthologous group (OG; Methods). We then calculated, using called SNPs per 82 83 orthologous group in each of the samples, the ratio of non-synonymous to synonymous polymorphisms^{11,12} (pN/pS: Methods). We used pN/pS rated to approximate purifying selection at 84 the population level as dN/dS ratios, which are typically used to characterize these stresses¹², 85 86 were not applicable in this setting (Methods). pN/pS guantifies the rate of nonsynonymous 87 polymorphisms (pN), which lead to a change in the resulting amino acid, normalized to the rate 88 of synonymous polymorphisms (pS), which maintain the coded amino acid.

89 As pN/pS is a proxy for the magnitude of purifying selection exerted on protein-coding sequences, we sought to utilize it to evaluate the selective forces acting on marine microbial functions. The 90 91 rate of non-synonymous to synonymous polymorphisms was on average, across samples, 0.074 92 (CI [0.072, 0.075]) across all OGs and 0.079 (CI [0.077, 0.080]) across all KOs, similar to 93 previously reported pN/pS ratios across different microbial genomes in the human microbiome¹¹ 94 (Fig. 1C.D). With values close to zero indicating very strong selection against amino acid changes, 95 these findings imply that purifying selection is on the same scale in free-living marine organisms 96 as compared to host-associated microbes in the human gut. While gut microbes are expected to 97 be under strong purifying selection in order to keep functioning in the host-associated niche¹³, the 98 source of this strong purifying selection on ocean microbes is not well understood.

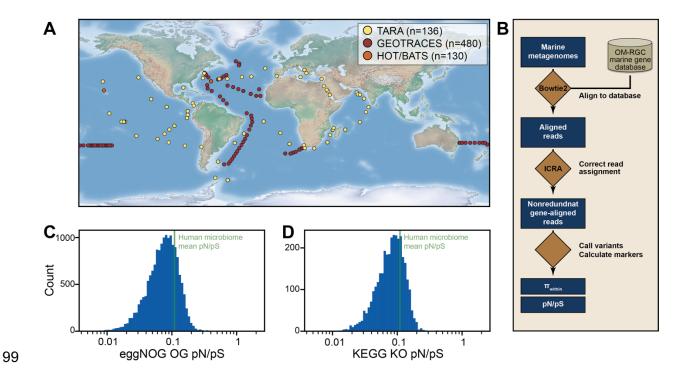


Figure 1. Calculation of evolutionary metrics from marine metagenomic samples. (A)
 Geographical overview of the samples used in this study. (B) Illustration of our computational pipeline.
 (C,D) Histogram of pN/pS rates for eggNOG orthologous groups (OG; C) and KEGG orthologs (KO;
 D) across all marine samples.

104 Strong resource-driven selection apparent across marine microbial genes

105 To quantify the effects of environmental conditions on selective forces acting on marine microbial 106 genes, we extracted measurements regarding the environment in which each sample was taken. 107 This included the depth of the sample, water temperature and salinity, as well as concentration of 108 the key molecules nitrate, nitrite, oxygen, phosphate and silicate (Fig. S1A-H; Methods). All these 109 measurements of environmental conditions are highly correlated with each other (Fig. S1I), and 110 also presented consistent correlation patterns with pN/pS of many KEGG and eggNOG orthologs 111 (Fig. S2), with low pN/pS at shallow depths and low nitrate concentrations. We therefore sought 112 to estimate the overall variance explained by the environment while accounting for these 113 covariations. To this end, we used a linear mixed model (LMM) with variance components, 114 commonly used in population genetics ¹⁴ (Methods). We defined the environmental covariates as 115 random effects in order to quantify the fraction of variance in pN/pS that is explained by resource 116 availability (i.e., environmental explained variance; EEV; Methods). Across both KEGG and 117 eggNOG orthologs we found that a substantial fraction of the variance in pN/pS can be attributed 118 to the environment, where across all orthologs this effect is significantly bigger than zero (Fig. 2A,B; Mann-Whitney U test $P < 10^{-16}$). 119

120 Different environmental niches may harbor different taxa with different trophic interactions that 121 could lead to differences in selective pressures. Thus, we sought to ensure that these 122 associations between pN/pS and the environment are not confounded by organismal differences 123 across different depths and nitrate concentrations. To this end, we analyzed genes belonging 124 exclusively to the genus Synechococcus (Methods). We calculated pN/pS across all of the coding 125 sequences combined and found a significant positive correlation with nitrate concentrations 126 (P<10⁻²⁰; Fig. S3A). To further validate that this correlation does not stem from the different 127 effective population sizes in the gradient of environmental nitrogen, we divided the samples into 128 five identically sized groups, based on environmental nitrate concentrations while constraining the

scope of each group to genes present in at least half of the samples. We show that an association of pN/pS with nitrate extends to specific niches across the nitrocline, i.e., in concentrations higher than 1 µmol/kg (P<0.05; Fig. S3B). These results demonstrate the existence of pN/pS gradient as a function of nitrate concentrations, even in a single taxonomic group in a specific environmental niche. This indicates that correlations of pN/pS with environmental variables are not driven exclusively be organismal properties or by differences in trophic conditions across environments, but rather exhibit a significant trend even within a single taxon, in specific niches.

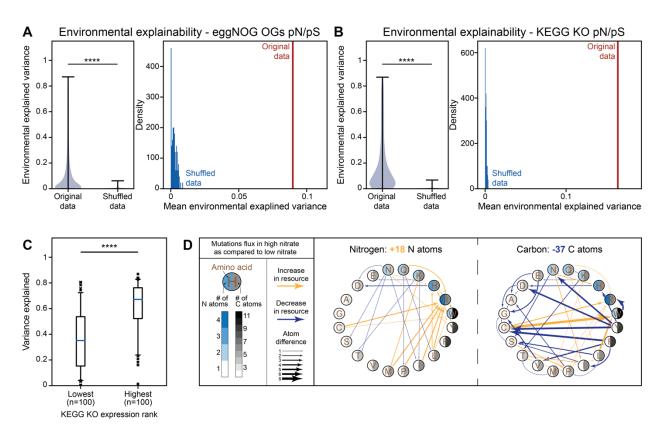
136 Genes are not stand-alone entities, but are rather coded as a sequence in the genome of a 137 microbe. We therefore accounted for a potential non-random association structure between 138 pN/pS rates of different orthologs. To this end, we used a different setting of the LMM, now 139 including both the environmental covariates and pN/pS rates of all other orthologs. Even after 140 accounting for potential non-random association structure between pN/pS rates of different 141 orthologs due to clonal reproduction, the environmental effect was still significantly bigger than 142 zero (P<0.05). In particular, there is an overlap of over 40% in orthologs that are top ranked in 143 terms of EEV between these two model settings. Overall, we observed a very strong association 144 between environmental measurements and the magnitude of purifying selection exerted on most 145 orthologous gene groups. We also observed an association with environmental parameters 146 across many functional categories, including 'housekeeping' genes that are important for survival 147 in any given niche, and wished to further elucidate potential mechanisms that can explain it.

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149 Environment-associated selection is stronger in resource-consuming genes

Previous studies^{4,7} suggested that random mutations that lead to incorporation of additional nutrients to the protein sequences of microbes result in a selective disadvantage. This implies that genes whose protein sequences consume more resources would be under stronger selection. Specifically, highly expressed genes would consume more resources and will therefore

be under stronger purifying selection^{7,8,15}. In these genes, a mutation leading to incorporation of 154 additional resources would be magnified, as one highly transcribed DNA sequence could translate 155 156 to thousands of proteins, each consuming more resources. To quantitatively corroborate this 157 hypothesis and examine whether these underlying forces are reflected in the above associations with pN/pS rates, we used an additional expression dataset for marine microbial genes ¹⁶ to rank 158 159 KEGG orthologs by their mean expression (Methods). We found that the most highly expressed 160 genes had a significantly higher fraction of the variance in their pN/pS explained by the 161 environment, as compared to the least expressed ones (Fig. 2C: Mann-Whitney U test $P<10^{-9}$). 162 We found a significant difference between the gradient of pN/pS rates, as a function of depth 163 (highly correlated with nitrate, nitrite and oxygen; Fig S1I), in highly expressed genes, as 164 compared to least expressed ones (Fig. S4A; Mann-Whitney U test P<10⁻⁵), where the former 165 increased more sharply with depth. A few notable examples for genes with high expression and 166 environmental explained variance, as compared to other KEGG KOs, are the β subunit of RNA 167 polymerase (K03043; EEV = 0.82, 0.33 in the first and second LMM settings, respectively; ranked 168 first in both model settings), the β ' subunit (K03046; EEV = 0.8, 0.28; ranked in the top five in 169 both settings) and a peptide/nickel transporter involved in guorum sensing (K02035; EEV = 0.81, 170 0.33; ranked second in both settings).



172 Figure 2. Analysis of pN/pS rates reveals strong resource-driven selection. (A) Left, violin plot of 173 the variance of eggNOG OG pN/pS rates that was explained by the environment as compared to the 174 same data with shuffled labels; Right, mean variance explained in unshuffled data (red) as compared 175 to a histogram (blue) of mean variance explained in 100 executions with shuffled data. (B) Same as 176 A, for KEGG KO pN/pS. (C) Box plots (line, median; box, IQR; whiskers, 5th and 95th percentiles) of 177 variance in pN/pS explained by the environment in the 100 lowest and highest expressed KEGG KOs. 178 (D) Depiction of mutation flux (Methods) common in high versus low environmental nitrate 179 concentrations, affecting amino acid nitrogen (left) and carbon (right) content. Yellow arrows, increase 180 in resource; blue arrows, decrease in resource; arrow thickness corresponds to number of atoms 181 changed by mutation. ****, Mann-Whitney $U p < 10^{-9}$.

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We additionally hypothesized that genes coding for extracellular proteins will have a similar pattern as in this case, the resources excreted from the cell cannot be recycled. We found the same pattern of significantly higher EEV in extracellular protein-coding genes as compared to other gene groups (Methods; Fig. S4B; P<0.05). Overall, our results indicate that these genes exhibit higher 'resource sensitivity', manifested by higher variance explained by the environment, potentially due to their high expression levels. This finding provides a data-driven evolutionary perspective to theory and experiments showing lower resource incorporation in highly expressed genes^{7,8}. In summary, the variation in resource-consuming genes, (i.e., highly expressed and extracellular protein-coding), further strengthens our results regarding the breadth of resourcedriven selection.

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194 Resource-driven selection exerts a strong effect on protein-coding sequences

195 We next sought to quantify the effects of this resource-driven selection on protein-coding 196 sequences. To this end, we compared the codon mutation frequencies in low- and high-nitrate 197 samples, after accounting for simplex-related confounders (Methods), and found significant 198 differences in codon mutation frequencies (Fig. S5A-C). We sought to examine the typical change 199 in nutrient consumption in varying nitrate concentrations. We thus defined mutation flux, as the 200 ratio between a codon mutation and its reverse, and estimated it using the log-odds ratio between 201 the two (e.g., $log (p(AAA \rightarrow AAC)/p(AAC \rightarrow AAA))$). Notably, across all the mutations significantly 202 more prevalent in samples from high nitrate environments (Methods), averaged across amino 203 acids, we find a significant total increase in nitrogen (Fig. 2D; 18 N atoms summed across all 204 significant amino acid changes, P=0.0082), decrease in carbon (Fig 2D; -37 atoms, P=0.0165), 205 decrease in sulfur (-6 atoms, P=0.009), a significant decrease in molecular weight (-508.91 g/mol, 206 P=0.0193) and a non-significant decrease in oxygen (-6 atoms, P=0.1505). These results indicate 207 that the lower the nitrate concentrations are, the stronger the selection against mutations leading 208 to higher nitrogen incorporation in protein sequences.

While nitrate concentrations increase with depth (Fig. S1I), dissolved organic carbon concentrations typically decrease⁶. Our results are supported by previous observations regarding genomic and proteomic changes associated with environmental concentrations of nitrate⁴. Mutations in nitrate rich and, typically, carbon poor environments were shown to drive an increase in genomic GC content, accompanied by higher rates of nitrogen incorporation and lower rates of carbon incorporation into protein sequences. Here we show, in high resolution, the typical mutations that underlie this phenomenon (Fig. 2D; Fig. S5D). As we base our analysis on pN/pS rates, a proxy for the magnitude and direction of selection exerted on coding sequences, we suggest that the differences observed in gene GC content across varying nitrate concentrations are inseparable from changes to the proteome, and are possibly the result of resource-driven selection exerted on these coding sequences.

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221 Resource-conservation as an optimization mechanism in the genetic code

222 The standard genetic code is known to be highly efficient in minimizing the effects of 223 mistranslation errors and point mutations^{17–20}. This optimality is prominent among theories regarding the origin of the genetic code²¹⁻²⁴. According to the theory of error minimization, 224 225 selection to minimize the adverse effect of point mutations and translation errors was the principal factor governing the evolution of the genetic $code^{25-32}$. As a quantitative exploration of this theory 226 227 requires a well-defined cost function, a few measures of amino acid fitness were previously 228 suggested (e.g., PR scale, Hydropathy index) based on stereochemical theories and hydropathy properties^{33–36}. As we have observed strong patterns of selection for specific amino acids in 229 230 nutrient-limited environments, we hypothesized that resource conservation may also be a factor 231 in code error minimization.

Specifically, we hypothesized that the strong resource-driven selection, whose signature is visible on protein-coding sequences across marine functional groups, may also have resulted in a resource-optimized genetic code, such that the expected cost of a random mutation, in terms of added resources, is minimized. To rigorously test this hypothesis, we first defined a cost function for each element e (e.g., carbon, nitrogen), such that the 'tariff' of a single mutation is the difference in the number of atoms before and after the mutation. As an example, a missense mutation from codon CCA to codon CGA results in an amino acid change from proline to arginine,

and an increase of 3 nitrogen atoms and one carbon atom, setting the nitrogen cost of suchmutation to 3 and the carbon cost to 1 (Fig. 3A).

To estimate the cost of a random mutation on each element, across the entire genetic code, we calculated, for nitrogen, carbon and oxygen, the Expected Random Mutation Cost (ERMC) for the standard genetic code V_s :

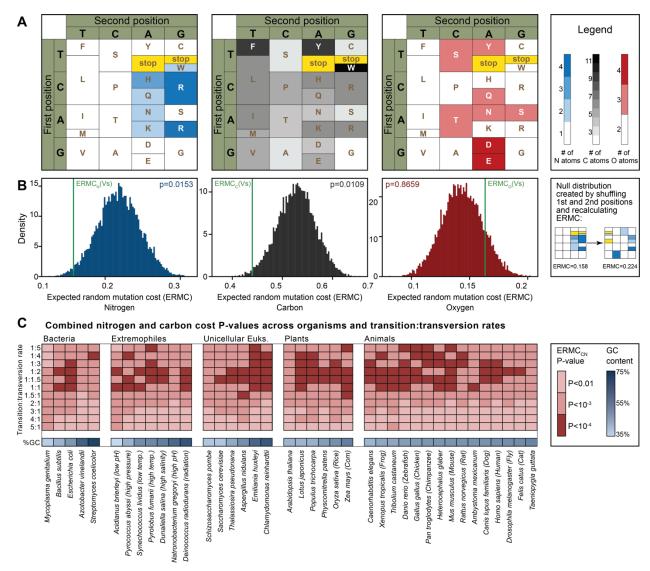
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$$ERMC_e(V_S) = \sum_{v,v' \in V_S} P(v)P(mut(v,v'))c_e^+(v,v')$$

245 Where P(v) is the abundance of codon v, calculated from all marine samples; P(mut(v, v')) is the 246 probability of mutation from codon v to codon v', set to be the relative abundance of the single 247 nucleotide mutation driving this codon change (e.g. for mutation from GCA to CCA, we use the 248 abundance of G-to-C transversions), calculated from all mutations observed in fourfold degenerate codons to avoid sites under strong selection; and $c_e^+(v, v')$ is the 'tariff' of a single 249 250 mutation if an atom of element e has been added to the post-mutation amino acid (Methods). For 251 the standard genetic code, and typical codon abundances and mutation rates calculated from 252 marine microbes, we report an ERMC of 0.440, 0.158 and 0.163 for carbon, nitrogen and oxygen 253 respectively, corresponding to an average increase of this number of atoms per random mutation. 254 To check if the genetic code, along with codon abundances and mutation rates in marine 255 microbes, is indeed robust to resource-consuming mutations, we compared it to other hypothetical 256 codes. To this end, we simulated alternative genetic codes by randomizing the first and second

position in all codons, while constraining the permutation in stop codons (Methods), creating a
null distribution of ERMC. We found that the standard genetic code, common to most life forms,
is parsimonious in terms of carbon and nitrogen utilization, given a random mutation, manifested
by minimization of the ERMC for nitrogen (Fig 3B; ERMC_N P=0.0153) and carbon (Fig. 3B; ERMC_C

261 P=0.0109), while in the ERMC for oxygen we did not find a significant trend (Fig. 3B: P=0.8659). 262 Remarkably, only two out of 10,000 randomized genetic codes were more resource-robust than 263 the standard genetic code in conservation of nitrogen and carbon together (P=0.0002). 264 Nonetheless, these alternative codes are less conservative than the standard code in maintaining 265 hydrophobicity and hydrophilicity of amino acids given a random mutation (Methods; Fig. S6). 266 They may therefore lead to proteins that are more susceptible to structural changes in the event 267 of a random mutation, as was postulated previously in theories governing the evolution of the 268 genetic code.

269 Finally, we sought to confirm that our elemental cost function is not confounded by traditional properties of amino acids such as the polar requirement (PR) and hydropathy index^{33–36}. To this 270 271 end, we calculated the ERMC using these common cost functions for the standard genetic code 272 and for simulated alternative ones, and compared the overlap between traditional cost functions 273 and our elemental cost functions (Methods). For both cost functions, we found that optimality in 274 terms of carbon or nitrogen utilization implies lack of optimality in polar requirement or in hydropathy (Table S1 nitrogen-PR, P<10⁻¹⁶; nitrogen-hydropathy, P<10⁻⁴; carbon-PR, P<10⁻⁷; 275 carbon-hydropathy, P<10⁻²⁰). This result indicates that carbon and nitrogen conservation in the 276 277 genetic code is not confounded by previously reported optimization properties such as hydropathy 278 and PR. Altogether, these results indicate resource optimization in marine microbes is driven by 279 the structure of the genetic code, alongside specific amino acid choices.



280

281 Figure 3. Resource-conservation is facilitated by the genetic code. (A) Nitrogen (left), carbon 282 (center) and oxygen (right) content of different amino acids depicted along their positions in the 283 standard genetic code. (B) Histograms of the expected random mutation cost (ERMC), in 10,000 284 random permutations of the genetic code for nitrogen (left, blue), carbon (center, black) and oxygen 285 (right, red). Green bar marks the ERMC of the standard genetic code, ERMC(Vs), for each of the 286 elements. (C) Heat map of ERMC_{CN} P-values across 39 organisms and 11 transition:transversion 287 rates. Organisms in each of the groups are ordered according to the GC content of their coding 288 sequences.

289 The genetic code facilitates resource conservation across kingdoms

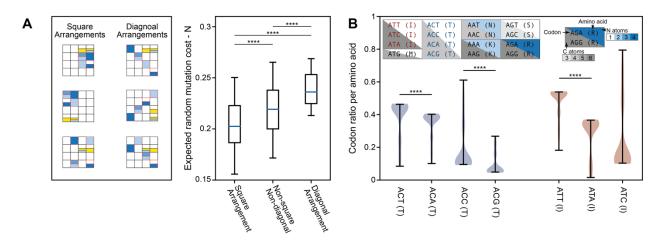
290 To show that the robustness of the genetic code in terms of resource-consumption was not limited 291 to our dataset and analytic approach, we calculated the ERMC of 187 species of genera 292 Prochlorococcus and Synechococcus. We calculated codon abundances and mutation rates 293 using of both protein-coding sequences⁵ prior knowledge and the published 294 transition:transversion rate of 2:1³⁷ (Methods). By testing the ERMC of the standard genetic code 295 against a null distribution generated, as before, given these known parameters rather than ones 296 inferred from marine samples, we were able to reveal significant conservation of carbon, nitrogen, 297 and both elements combined (Fig. S7A; ERMC_c mean P=0.013, P=0.020; ERMC_N mean 298 P=0.049, P=0.032; ERMC_{CN} P=0.0004, P=0.0007 for Prochlorococcus and Synechococcus, 299 respectively). To account for inaccuracies and variation in the known parameters, we next 300 calculated the ERMC null distribution for a wide range of transition:transversion rates. We show 301 that the ERMC of the standard genetic code remains significantly conserved for nitrogen, carbon, 302 and both elements combined for most physiological transition:transversion rates (Fig. S7B), 303 indicating that the structure of the genetic code and codon abundances of organisms are the 304 driving force behind genetic code optimization.

305 To explore whether this optimality in the genetic code in terms of nutrient conservation extends 306 across different lifeforms, we performed a similar calculation using codon abundances from 39 307 organisms across all domains of life, including all human protein-coding sequences, and tested a 308 range of transition:transversion rates (Methods). We find that, similarly to marine microbes, the 309 genetic code features optimization in terms of resource utilization for all tested organisms, 310 manifested by a significant minimization of the combined ERMC of nitrogen and carbon in all 311 transition:transversion rates tested (P<0.01, Fig. 3C). Moreover, we find significant optimization, 312 albeit of a lower magnitude, even in the theoretical case where all codon abundances are the 313 same (Fig. S7C). The codon abundances of a great majority of organisms also demonstrate significant minimization of ERMC in nitrogen (Fig. S7D) and carbon (Fig. S7E), given a random mutation, for a wide range of transition:transversion rates. These results indicate that resource optimization in the genetic code transcends taxonomy, codon choices, and mutation rates. It shows that the genetic code may have structural properties that make it robust in terms of resource-consumption. It is also possible that amino acid and codon usage in organisms has evolved to lower nutrient consumption in case of a random mutation, informed by the structure of the code.

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322 Structural principles drive optimization in the genetic code

323 We next wished to examine the organizing principles that drive the strong resource optimization 324 evident in the standard genetic code. We observed that codons of the nitrogen-rich amino acids 325 histidine, glutamine, asparagine, lysine and arginine span only two nucleotides in their first 326 position and two in their second position. We define this organization to be a 'square' arrangement 327 (Fig. 4A: Methods), and hypothesize that, as compared with other arrangements, it amplifies 328 nitrogen conservation. Specifically, in the square arrangement, codons coding for some amino 329 acids (alanine, valine, phenylalanine, and several leucine and serine codons) require at least two 330 mutations to increase the number of nitrogen atoms in the resulting amino acid. This is in contrast 331 to other hypothetical arrangements, including a 'diagonal' arrangement in which nitrogen-rich 332 amino acid codons span all possible nucleotides in the first and second positions (Fig 4A: 333 Methods). We suggest that the diagonal arrangement would be nutrient-wasteful, as in these 334 arrangements a single mutation could increase the nitrogen content of a protein sequence in more 335 than one way. To rigorously test this hypothesis, we generated 10,000 random genetic codes, 336 with 220 arrangements happening to embody a square structure, and 127 a diagonal one. We 337 found that, when compared to all other possible arrangements, square arrangements present a 338 significantly lower ERMC (Fig 4A; Mann-Whitney U P<10⁻¹⁰) while diagonal arrangements exhibit a significantly higher ERMC (Fig. 4A; Mann-Whitney $U P < 10^{-10}$). This result demonstrates that resource optimization in the genetic code is driven by structural principles, perhaps underlying the significant optimization observed across kingdoms.



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Figure 4. Structural properties and codon usage bias underlying optimality in the genetic code. (A) Box plots (line, median; box, IQR; whiskers, 5th and 95th percentiles) of ERMC_N of square arrangements (left) and diagonal arrangements (right, Methods), as compared to all other arrangements (center) out of 10,000 randomized arrangements of the code. (B) Violin plot of codon usage among 187 species of *Prochlorococcus* and *Synechococcus* showing significant preference of threonine codons ACT and ACC as compared to ACA and ACG, and of isoleucine codon ATT as compared to ACA. ****, P<10⁻¹⁰.

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351 Finally, we hypothesized that codon usage for a single amino acid may also be biased due to 352 differential cost of a random mutation for each codon. We therefore examined all amino acids 353 coded by codons with adenine in their first position, focusing on codon usage of the amino acid 354 threonine. We note that a C-to-G transversion in the second position for codons ACT and ACC 355 yields serine (AGT and AGC, respectively), and that the same mutation for codons ACA and ACG 356 yields arginine (AGA and AGG, respectively; Fig. 4B, inset). As arginine has higher carbon and 357 nitrogen content than serine, and lysine a higher carbon content than asparagine, we 358 hypothesized that following a nutrient-conservative model, codons ACT and ACC will have a 359 higher abundance than codons ACA and ACG, respectively, given a known genomic GC content.

We thus examined codon usage in 187 *Prochlorococcus* and *Synechococcus* strains, and show a significantly higher use of ACT as compared to ACA (Fig 4B; Wilcoxon signed-rank test P<10⁻²⁰) and ACC as compared to ACG (Fig 4B; Wilcoxon signed-rank test P<10⁻²⁰). Similarly, Isoleucine codon ATT has higher abundance as compared to ATA (Fig 4B; Wilcoxon signed-rank test P<10⁻²⁰). These results demonstrate that resource conservation is a central driving force in selection processes guiding codon usage and may affect not only protein sequence but also cellular translation efficiency.

367

368 Discussion

In this work, we use the proxy of pN/pS rates to show strong purifying selection acting upon 369 370 protein-coding genes in the marine environment. We demonstrate that a substantial fraction of 371 the variance in pN/pS rates could be attributed to environmental factors, and highlight a strong 372 association of these rates with nitrate concentrations. We show that the variance in pN/pS rates, across resource-consuming genes (i.e., highly expressed and extracellular protein-coding), can 373 374 be attributed to environmental factors, suggesting that stronger resource-driven selection is 375 exerted upon them. Using single nucleotide polymorphisms from across marine samples, we 376 characterize the typical mutations in nitrate-rich versus nitrate-poor environments and show that 377 these drive incorporation of additional nitrogen-rich amino acids and fewer carbon-rich amino 378 acids to protein sequences. Finally, we provide evidence that the standard genetic code, shared 379 among most lifeforms, facilitates resource conservation, demonstrating that along with codon 380 choices, it is conservative in incorporating additional atoms of nitrogen and carbon given a random 381 mutation. Notably, we show, across tens of thousands of simulated genetic codes, that the 382 standard genetic code surpasses almost all other random simulated codes in conservation of 383 nitrogen and carbon, across multiple taxa from all domains of Life and across multiple codon 384 choices and mutation rates.

385 Hypotheses regarding the origin of the genetic code include stereochemical affinity between a codon or anticodon and their amino acid^{38,39}; a frozen accident theory²⁴, relying on the fact that 386 387 the code is highly immutable; a co-evolution of the genetic code with the emergence of amino 388 acid-producing biosynthetic pathways²²; and an early fixation of an optimal genetic code, suggesting that the code evolved under selection for error minimization⁴⁰. Our observations are 389 390 in line with the latter theory of optimality, and suggest that the genetic code may have been 391 optimized also for nutrient conservation. While we do not know the nature of nutrient cycling in 392 the primordial ocean, we hypothesize that scarcity of nitrogen and carbon that are common now 393 may have also prevailed alongside early lifeforms. Thus, an organism harboring a nitrogen- and 394 carbon-efficient genetic code would have had a selective advantage over its peers, especially in 395 the absence of fully evolved DNA mutation repair mechanisms.

396 We note that while we observe resource optimization for nitrogen and carbon conservation in the 397 standard genetic code, oxygen conservation is not optimized. We offer several hypotheses 398 regarding this lack of optimization. First, it is possible that since oxygen is highly abundant in 399 organic molecules, it is less of a limiting factor as compared to carbon and nitrogen and therefore 400 its optimization does not confer a selective advantage. Another option is that oxygen-rich amino 401 acids may function in cellular processes that are independent of protein synthesis and are 402 therefore more readily available and thus not optimized. Prominent examples for this hypothesis 403 are aspartate, which also performs an important function in the malate-aspartate shunt, and 404 glutamate, which plays a role in countless cellular processes.

405 Overall, by using publicly available data on ocean microbes and their corresponding 406 environmental measures, we were able to discern strong purifying selective pressure which 407 shapes marine microbial life, and may have even shaped the structure of the genetic code that 408 was since preserved for billions of years. With the advent of new multi-omic data from

- 409 environmental studies, we will be able to better divulge the intricate relationships of microbes with
- 410 a rapidly changing global environment.

411 Methods

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413 Marine microbiome samples

414 Marine samples collected with Tara oceans³, bioGEOTRACES¹⁰, the Hawaii Ocean Timeseries 415 (HOT) and the Bermuda Atlantic Timeseries Series (BATS)¹⁰ were downloaded from ENA with 416 accessions ENA:PRJEB1787 (TARA oceans prokaryotic fraction), ENA:PRJNA385854 417 (bioGEOTRACES) and ENA:PRJNA385855 (HOT/BATS), each sample with a minimum of 5 418 million reads.

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420 Mapping of Illumina reads to reference gene sequences

421 Samples were mapped to nucleotide sequences from the Ocean Microbiome Reference Gene 422 Catalog (OM-RGC)³ using bowtie2 with parameters --sensitive -a 20 --quiet -p 8 and saved as a 423 bam file using the 'samtools view' command. As gene sequences are relatively short, reads from 424 both ends of the metagenomic sequencing samples were mapped separately, and reunited prior 425 to variant calling.

426

427 Determining metagenomic read assignment probability

We determined the probability of assignment of metagenomic reads to marine microbial genes using the Iterative Coverage-based Read-assignment Algorithm (ICRA)⁴³ with parameters max_mismatch=12, consider_lengths=True, epsilon=1e-6, max_iterations=30, min_bins=4, max_bins=100, min_reads=10, dense_region_coverage=60, length_minimum=300, length_maximum=2e5, use_theta=False. To prevent spurious mapping, alignments were considered for downstream analysis only if the probability of alignment was higher than 0.9.

434 Variant calling

Alignments from both ends of all sequencing runs pertaining to the same sample were united
using the samtools cat command and sorted using the samtools sort command, with default
parameters.

438 To facilitate variant calling in tractable timescales, each filtered, untied and sorted .bam file was 439 split into chunks, each encompassing 10,000 reference sequences (out of about 40 million 440 reference sequences). For each such batch of reference sequences, we called variants across 441 all samples using the following command: bcftools mpileup --threads 4 -a FORMAT/AD -Q 15 -L 442 1000 -d 100000 -m 2 -f <OM-RGC fasta> <bam filenames> | bcftools call --threads 4 -Ov -mv -o 443 <output vcf>, where <OM-RGC fasta> is the fasta file of OM-RGC nucleotide sequences, <bam 444 filenames> are the filenames of all .bam files pertaining to the reference sequence chunk in 445 question, and <output vcf> is the output .vcf file pertaining to that same chunk.

Single nucleotide variants were considered as SNPs if they had an allele frequency if at least 1%
 ⁴⁴, were supported by at least 4 reads across samples, and had a GATK quality score of at least
 30.

For a sample mapped to a reference gene to be considered for downstream analysis, we demanded that at least 60% of SNPs called along the length of the reference gene for that sample would be supported by at least 4 reads, thereby enabling accurate calculation of pN/pS rates. For a gene to be considered for downstream analysis, we demanded for that gene to have at least one SNP common to 20 or more samples.

454

455 Calculation of pN/pS in single genes

456 While comparing SNP patterns across samples, it is instrumental to avoid biases due to 457 differences in coverage. We therefore downsampled the read coverage depth to the minimum 458 depth across all samples, for each position in a sample that was supported by more than 4 reads. 459 For positions that had minimum support of fewer than 4 reads, no subsampling was performed. 460 Subsampling was performed by drawing from a multinomial distribution, with *n* trials and variant 461 probabilities *p*, where *n* was set to the calculated minimum depth and *p* set to the relative 462 abundance of each variant in the given sample.

463 The expected ratio of non-synonymous and synonymous substitutions was calculated by 464 considering all called SNPs in every gene. First, we calculate a consensus sequence for each 465 gene by taking, for each SNP position, the variant that was overall more common across all 466 samples (after the subsampling performed above). We counted, for each gene, the number of 467 non-synonymous and synonymous sites across the consensus sequence. For each SNP position 468 in each sample, we counted the number of synonymous and nonsynonymous substitutions. As 469 more than one variant can exist in a single sample, we considered the relative abundance of 470 synonymous to nonsynonymous substitutions dictated by the different variants. For example, if 471 the reference codon was CAC, coding for histidine, one variant, a C-to-G transversion in the third 472 position abundant at 50%, led to a nonsynonymous mutation that resulted in glutamine (CAG) 473 while another variant in the same sample and the same position was a synonymous C-to-T 474 transition, we counted 0.5 synonymous substitutions and 0.5 nonsynonymous substitutions. We 475 followed by calculating the pN/pS ratio:

476
$$pN/pS = \frac{nonsynonymous \ substitutions}{nonsynonymous \ sites} / \frac{synonymous \ substitutions}{synonymous \ sites}$$

477 pN/pS characterizes selective constraints at the population level, as opposed to dN/dS that 478 characterizes it between individual species ¹² and can thus be standardized to a specific time 479 interval and used as an absolute metric. Nonetheless, dN/dS ratios are not applicable in our 480 study since polymorphic sites derived from short read sequencing impede having haplotypes 481 which are a prerequisite for calculating dN/dS.

482

483 Aggregation of calculated metrics using KEGG and eggNOG orthologies

Functional assignments to KEGG KOs and eggNOG OGs for all OM-RGC genes were computed using eggNOG-mapper v2 based on the eggNOG v5.0 database^{41,45}. For each functional assignment in each sample, all OM-RGC genes assigned with the same functional assignment were concatenated and treated as one long genomic sequence per the calculation of pN/pS ratios. To reduce noise in pN/pS calculation, we considered only KOs and OGs that had at least 5 genes per sample, in at least 50 samples.

490

491 Environmental variables

For each sample, we compiled measurements pertaining to the following environmental
measurements: Depth [m], Nitrate [µmol/kg], Nitrite [µmol/kg], Oxygen [µmol/kg], Phosphate
[µmol/kg], Silicate [µmol/kg], Temperature [C] and Salinity.

Tara oceans metadata was downloaded from PANGAEA (<u>https://doi.pangaea.de/10.1594/</u>) with accession numbers PANGAEA.875575 (Nutrients) and PANGAEA.875576 (Watercolumn sensor), and recorded median values for all the above nutrients were extracted. Tara nutrient concentrations were given as [µmol/I]. Conversion to [µmol/kg] was done by dividing the measured concentration by the measured specific gravity for the same sample.

bioGEOTRACES metadata was compiled from CTD sensor data and discrete sample data from the GEOTRACES intermediate data product v.2⁴⁶. HOT metadata was downloaded from the ftp server of the University of Hawai'i at Manoa (ftp://ftp.soest.hawaii.edu/hot/) and BATS metadata was downloaded from the Bermuda Institute of Ocean Sciences (http://bats.bios.edu/bats-data/). As GEOTRACES/HOT/BATS ocean water samples are not linked to specific biological samples as is the case with Tara oceans samples, we considered only water samples from the exact same geographic location, within a day from biological sample collection time, and within 5% difference
in depth of collection, and chose the closest sample in terms of time and depth of collection. As
all these measurements of environmental conditions are highly correlated with each other (Fig.
S11), we utilized this correlation structure to impute missing values using the EM algorithm⁴⁷.

510

511 Linear mixed models

512 **Generative model** Consider a collection of M_i , where $i \in \{1,2\}$ features (i.e., $M_{1,2}$ number of KEGG 513 and eggNOG orthologs respectively), each measured across K samples. We get as input an $(M_i \times K)$ matrix O^{i} , where O_{kj}^{i} is the pN/pS of ortholog j in sample k. Let $y_{m}^{i} = (O_{m1}^{i}, \dots, O_{mK}^{i})$ 514 515 be a $K \times 1$ vector representing the pN/pS in ortholog m, according to grouping i, across K samples 516 (e.g., pN/pS in KEGG KO K02274 across K samples). Let W be a $(K \times q)$ normalized matrix of 517 environmental measurements. This included the depth of the sample, water temperature and 518 salinity, as well as concentration of the key molecules nitrate, nitrite, oxygen, phosphate and 519 silicate.

520 With these notations, we assume the following generative linear model

521
$$y_m^i = W u_m + \epsilon_m$$

522

523 Where u_m and ϵ_m are independent random variables distributed as $u_m \sim N(0, \sigma_{u_m}^2 I)$ and $\epsilon_m \sim$ 524 $N(0, \sigma_{\epsilon_m}^2 I)$. The parameters of the model are $\sigma_{u_m}^2$ and $\sigma_{\epsilon_m}^2$.

(1)

525 It is easy to verify that an equivalent mathematical representation of model (1) is given by 526

527
$$y_m^i \sim N(0, \sigma_K^2 K + \sigma_{\epsilon_m}^2 I) \quad (2)$$

where $\sigma_K^2 = M^i \sigma_{u_m}^2$, $K = \frac{1}{M^i} W W^T$. We will refer to *K* as the environmental kinship matrix, which represents the similarity, in terms of environmental covariates, between every pair of samples across grouping *i* (i.e., represent the correlation structure to the data).

531

532 **Environmental explained variance**: The explained variance of a specific feature y_m^i by the 533 environmental measurements

534
$$\chi^{i}_{m} = \frac{\sigma^{2}_{K}}{\sigma^{2}_{K} + \sigma^{2}_{e_{m}}}$$
(3)

In the second model setting, we wished to account for a potential non-random association structure between pN/pS rates of different orthologs. To this end, we included both the environmental covariates and pN/pS rates of all orthologs not inferred as two different sets of variance components.

In this setting, Let W_1 be an $(K \times q)$ normalized matrix of environmental measurements, as before and W_2 be an $(K \times M_i - 1)$ normalized matrix of pN/pS measurements according to grouping *i* (i.e., KEGG or eggNOG orthologs) across *K* samples, where for each y_m^i we exclude the pN/pS rates of the focal ortholog *m*.

543 With these notations, we assume the following model

544
$$y_{m}^{i} = W_{1}u_{1m} + W_{2}u_{2m} + \epsilon_{m}$$
 (4)

545 It is easy to verify that an equivalent mathematical representation of model (1) is given by

546
$$y_{m}^{i} \sim N(0, \sigma_{K_{1}}^{2}K_{1} + \sigma_{K_{2}}^{2}K_{2} + \sigma_{\epsilon_{m}}^{2}I)$$
 (5)

547 where $\sigma_{K_1}^2 = M^i \sigma_{u_{1m}}^2$, $\sigma_{K_2}^2 = M^i \sigma_{u_{2m}}^2$, $K_1 = \frac{1}{M^i} W_1 W_1^T$, $K_2 = \frac{1}{M^i} W_2 W_2^T$. K_1 and K_2 represent 548 the similarity, in terms of environmental covariates and pN/pS rates, between every pair of 549 samples across grouping *i* (i.e., represent the correlation structure to the data).

550 In this setting, the environmental explained variance is:

551
$$\chi^{i}_{m} = \frac{\sigma^{2}_{K_{1}} + \sigma^{2}_{K_{2}}}{\sigma^{2}_{K_{1}} + \sigma^{2}_{K_{2}} + \sigma^{2}_{\epsilon_{m}}}$$
(6)

552

553 **KEGG KO expression data as a ranking metric**

Using expression data from 4,092 KEGG KOs collected by Kolody et al. ¹⁶, we ranked the KO 554 555 genes in our marine samples in the following way. We first represent the expression data in 556 relative abundance space (normalize each sample by its read counts). Next, for each KO i, where 557 $i \in \{1, ..., l\}$, we sum across different instances of this focal KO. The input is an $m \times n$ matrix, 558 where *m* is the number of different instances of focal KO *i*, and *n* is the number of samples. The output is a vector of length $n: (x_1, \ldots, x_n)$. Finally, we average the expression levels 559 (x_1, \ldots, x_n) across samples: $\frac{1}{n} \sum_{i=1}^n x_i$ and rank the KOs based on the calculated average 560 561 expression. Notably, we limited the scope of our analysis to samples collected only in small 562 fraction filters (0.22 μm).

563

564 Determination of Synechococcus-specific pN/pS rates

We identified genes from the OM-RGC database belonging exclusively to genus *Synechococcus* using eggNOG-mapper. We filtered out genes that were present in fewer than 20% of all samples. For each of the samples, we calculated pN/pS on all gene sequences combined. We further divided the samples into five identically sized groups, based on environmental nitrate concentrations, and for each group filtered out genes that were present in fewer than 50% of all samples in the group. We next calculated pN/pS on all the gene sequences that remained in each of the groups.

572

573 Determination of extracellular genes

574 To determine extracellular gene groups, we searched the eggNOG v.5 OG database for the words 575 'secreted' or both words 'extracellular' and 'protein' in their description. We demanded that the 576 words 'autoinducer', 'expression', 'role' and 'hypothetical' are not in the description to prevent 577 instances where (a) the OG in question describes a hypothetical protein; and (b) where the OG 578 produces a secreted particle but is not secreted by itself, as is the case with autoinducer producing 579 genes. To ensure robust pN/pS calculations, descriptions encompassing 10 OGs or more were 580 assigned a group name, while descriptions encompassing less than 10 OGs were all grouped 581 together in one group.

582

583 Calculation of mutation flux in divergent nitrate concentrations

We created matrix $H^{(U)}$ as follows: Consider a set U of all genes for which SNP measurements 584 exist and a subset $T \subseteq U$ of this set across K samples. Let $G^{(T)}_{i} = (V, E)$ be a codon graph for 585 586 subset T and sample j, where $v \in V$ is a codon (e.g., CUU coding for Alanine) and $(v, v') \in E$ if 587 and only if v and v' are one mutation apart (e.g., CUU for Alanine and CAU for Histidine). Let $w^{(T)}_{i}: (v, v') \to [0, 1]$ $w^{(T)}_{i}(v,v') =$ 588 weight function be а where <u>Number of mutations turning v to v in subset T and sample j</u> Number of occurrences of codon v in subset T and sample j. Let $H^{(T)}$ be a matrix of dimension ($|E| \times K$), 589

590 where $H^{(T)}_{(v,v'),j} = w^{(T)}_{j}(v,v')$.

We next sum-normalized H per each sample and compared the codon mutation frequencies between the 40 lowest- and 40 highest-nitrate samples. Despite significant differences in codon mutation frequencies between low-nitrate and high-nitrate samples, some of the difference could be driven by the simplex properties of the sum-normalized codon mutation frequencies, and some could be attributed to the different rates of synonymous mutations between the high- and lownitrate groups, which, combined with simplex properties, may affect observed nonsynonymous 597 mutation rates. To address simplex properties, we employed a centered log-ratio (CLR) 598 normalization on *H*. The CLR transformation is a mapping, per codon composition, from the 599 simplex to a Euclidean vector subspace. This log transforms each value and then centers them 600 around zero as given below:

601
$$CLR(V) = [log \frac{v_1}{g(v)}, ..., log \frac{v_D}{g(v)}] = log(v) - log(g(v))$$

602 where g(v) is the geometric mean of all of the codons.

- To address differences in rates of the different types of mutations, for each mutation (v, v') in
- the CLR normalized matrix $H'^{(U)}$ we calculated the log odds ratio between the mutation and its

605 reverse mutation. Namely, we computed mutation flux matrix $F^{(U)}$ where $F^{(U)}_{(v,v'),j} =$

606 $H^{(U)}_{(v,v'),j} - H^{(U)}_{(v',v),j}$. We compared differences in codon mutation flux between low- and high 607 nitrate samples using the Mann-Whitney *U*-test.

608

609 Calculation of expected random mutation cost per genetic code

- 610 Let *V* be a genetic code with a set $V_s \subset V$ of stop codons. Let P(v) be the abundance of codon
- 611 $v \in V$ in a sample and P(mut(v, v')) the probability of a single mutation from codon v to v'. Let
- 612 $c_e: V \times V \to \mathbf{Z}$ be a cost function for element *e*, where:

613
$$c_e(v,v') = \# \text{ of atoms of } e \text{ in } v' - \# \text{ of atoms of } e \text{ in } v$$

614 When testing the random mutation cost on hydrophobicity:

- 615 $c_{hvd}(v, v') = 0$ if v and v' are both hydrophilic or hydrophobic, 1 otherwise
- 616 With these notations, we define the expected cost of genetic code *V* for element *e* as follows:

617
$$E[C_e(V)] = \sum_{v,v' \in V} P(v)P(mut(v,v'))c_e(v,v')$$

618 And the ERMC cost as:

619
$$ERMC_e(V) = \sum_{v,v' \in V} P(v)P(mut(v,v'))c^+ e(v,v')$$

620 Where

621
$$c_{e}^{+} =$$

622 We estimate $ERMC_e(V)$ as follows:

623 1. We define P(v) as the median abundance of all codonsv'coding for the same amino acid
624 as v.

 $max(0, c_e)$

2. We wished to calculate mutation rates in sites that were under minimal selection. To this end, we estimated P(mut(v, v')) by calculating, from fourfold-degenerate synonymous mutation sites the average abundance of each single nucleotide mutation (e.g. A to C) across all genes in which there are called SNPs in all ocean samples, excluding stop codons. We then estimate P(mut(v, v')) using the relative abundances of all pairs of single nucleotide mutations. We estimate P(mut(v, v')) for *Prochlorococcus*, *Synechococcus* and Human genomes using published transition:transversion rates^{5,48}.

632 3. We calculate *c* using information on the amino acids which each codon codes for.

To compute a p-value, we generate a null distribution by calculating $ERMC_e(V)$ for alternative genetic codes. We randomize the first and second position of all codons, while maintaining that the two sets of first and second positions in which the stop codons reside are separated by a single transition mutation.

637 Confounding effects between cost functions for the structure of the genetic code

To confirm that our elemental cost function is not confounded by traditional properties of amino acids such as the polar requirement (PR) and hydropathy index³³⁻³⁶, we calculated the expected 640 random mutation cost (ERMC), per genetic code, using these common cost functions across 1 million simulated alternative codes. To this end, we randomized the first and second position of 641 642 all codons, while maintaining that the two sets of first and second positions in which the stop 643 codons reside are separated by a single transition mutation. We next calculated a contingency 644 table for each pair of cost functions for both nitrogen and carbon (i.e., $ERMC_N(V):ERMC_{PR}(V)$, 645 $ERMC_N(V)$: $ERMC_{hvdropathv}(V)$, (V): $ERMC_{PR}(V)$, $ERMC_C(V)$: $ERMC_{hvdropathv}(V)$). We assign 646 each code to one of four bins in the following way: (1) surpassing the standard genetic code in 647 both cost functions (e.g., nitrogen and PR), (2) surpassing the standard genetic code only in 648 element $e \cos t$ (e.g. only nitrogen), (3) surpassing the standard genetic code only in the traditional 649 cost function (e.g., PR), (4) not surpassing the standard genetic code in neither. Finally, we 650 applied the Chi-square test of independence with two degrees of freedom to each contingency 651 table.

652

653 Determination of 'square' and 'diagonal' arrangements of the nitrogen genetic code

654 We define a 'square' arrangement of the codons coding for nitrogen-rich amino acids histidine. 655 glutamine, asparagine, lysine and arginine as one where their codons span only two nucleotides 656 in the first position and two nucleotides in the second position. In the standard genetic code, these 657 amino acids are coded by CAN, CGN, AAN, AGR, following a square configuration. In contrast, a 'diagonal' arrangement of the codons coding for these amino acids is one where they span all 658 659 possible nucleotides in the first position and all possible nucleotides in the second position. For 660 example, a genetic code where TTY codes for histidine, TTR for glutamine, CCN and AAR for 661 arginine, GGY for asparagine and GGR for lysine constitutes a 'diagonal' arrangement of nitrogen 662 amino acids. In each of the alternative genetic codes we generated, we tested whether either of 663 these conditions hold and, if so, designated the code as 'square' or 'diagonal' accordingly.

664

665 *Prochlorococcus* and *Synechococcus* genomic data and mutation rates

We downloaded *Prochlorococcus* and *Synechococcus* protein-coding gene sequences (where available) from the Joint Genome Institute (<u>https://genome.jgi.doe.gov/portal/</u>) following accession numbers published by Berube et al. ⁵. To estimate codon relative abundance P(v), we counted and sum-normalized codons in all protein-coding genes for each species. To estimate codon mutation rate P(mut(v, v')) we used the published transition:transversion rate of 2:1 for *Prochlorococcus* and *Synechococcus* ³⁷.

672

673 Multiple taxa ERMC calculation

To calculate ERMC for 39 taxa across multiple transition:transversion rates, we downloaded codon usage and GC-content data collected by Athey et al.⁴⁹. We used codon usage counts to estimate P(v) and 11 transition:transversion rates (1:5, 1:4, 1:3, 1:2, 2:3, 1:1, 3:2, 2:1, 3:1, 4:1, 5:1) to estimate P(mut(v, v')).

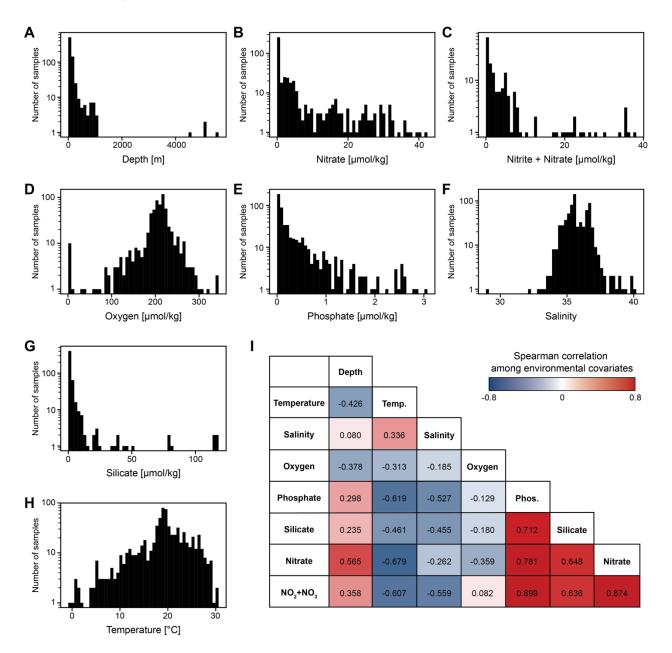
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788 Supplementary Material



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Figure S1. (A-H) Distribution of measurements taken alongside marine microbial samples for depth (A), nitrate (B), nitrate and nitrite (C), oxygen (D), phosphate (E), salinity (F), silicate (G) and temperature (H). (I) Spearman correlation coefficients between all pairs of environmental measurements across all available samples.

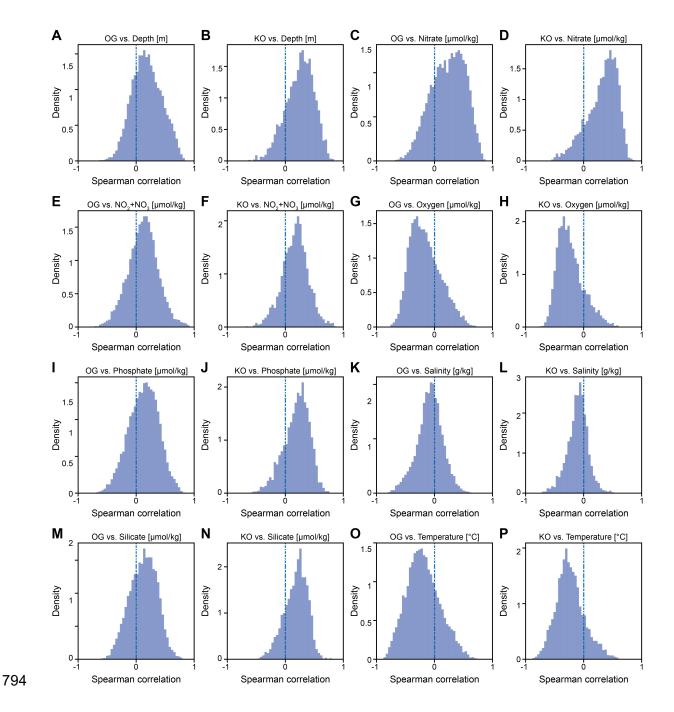


Figure S2. (A-P) Histograms of Spearman correlations between π_{within} (red) or pN/pS rates (blue) and environmental variables, for both KEGG KOs and eggNOG OGs. Panels A, C, E, G, I, K, M and O depict correlations between OG calculated parameters and depth, nitrate, nitrite and nitrite, oxygen, phosphate, salinity, silicate and temperature, respectively. Panels B, D, F, H, J, I, N and P depict correlations between KO calculated parameters and depth, nitrate, nitrite and nitrite, oxygen, phosphate, salinity, silicate and temperature, respectively. Panels B, D, F, H, J, I, N and P depict correlations between KO calculated parameters and depth, nitrate, nitrite and nitrite, oxygen, phosphate, salinity, silicate and temperature, respectively.

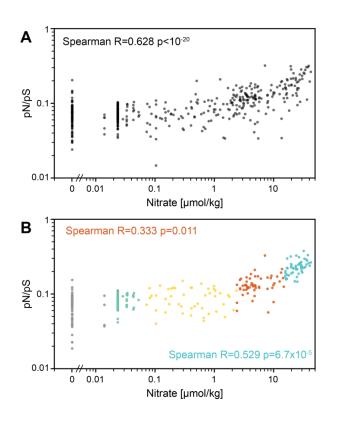




Figure S3. (A,B) Scatter plot of the association of pN/pS genes from genus *Synechococcus* with environmental concentrations of nitrate (A) for all synechococcus genes and (B) for genes present in over 50% in nitrate strata.

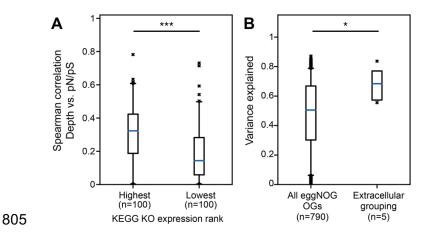
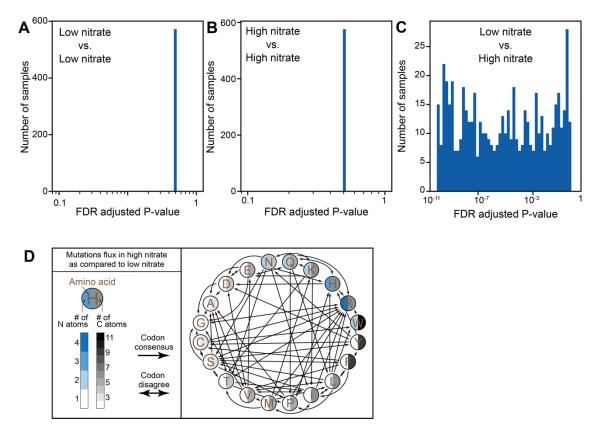
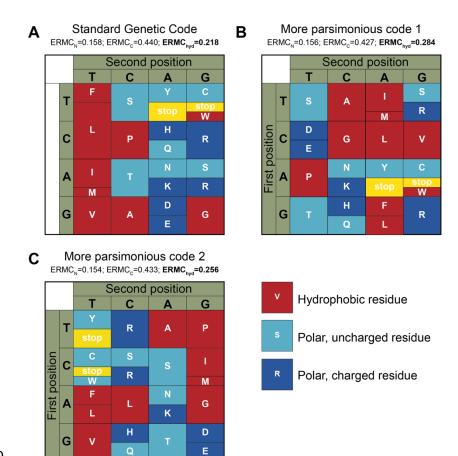


Figure S4. (A, B) Box plots (line, median; box, IQR; whiskers, 5th and 95th percentiles) of (A) Spearman correlation coefficients between depth and pN/pS in highly expressed (left) and lowly expressed (right) KEGG KOs. (B) Spearman correlation coefficients between depth and pN/pS in highly expressed (left) and lowly expressed (right) KEGG KOs. Variance explained by the environment in extracellular gene groups versus all eggNOG OGs (Methods). *, P<0.05; ***, P<10⁻⁵.



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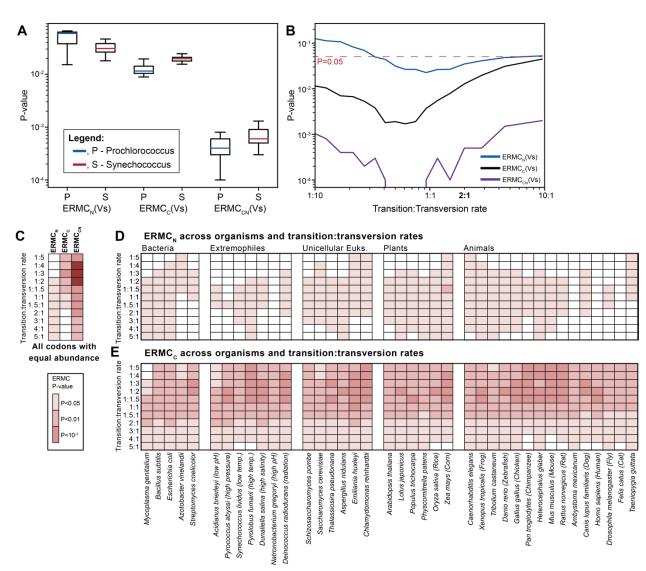
812 Figure S5. (A-C) Histograms of the distribution of P-values of codon-to-codon mutations compared 813 between (A) 40 low-nitrate samples and 40 other low-nitrate samples selected randomly out of the 80 814 lowest-nitrate samples; (B) 40 high-nitrate samples and 40 other high-nitrate samples selected 815 randomly out of the 80 highest-nitrate samples; (C) 40 low-nitrate samples and 40 high-nitrate samples 816 selected randomly out of the 80 lowest and highest nitrate samples. (D) Depiction of mutations 817 common in high versus low environmental nitrate concentrations. Two edged arrows mark amino-818 acids in which some codon mutations were more common in high-nitrate but the opposite codon 819 mutation was more common in low-nitrate.



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Figure S6. (A-C) Hydrophobic or hydrophilic properties of different amino acids depicted across their positions in (A) the standard genetic code, (B+C) two permutations of the standard genetic code that

823 were more conservative in terms of nitrogen and carbon ERMC.



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825 Figure S7. (A) Box plots (line, median; box, IQR; whiskers, 5th and 95th percentiles) of P-values for 826 the ERMC of the standard genetic code for nitrogen (left), carbon (center) and both (right) across 187 827 Prochlorococcus (P, blue) and Synechococcus (S, red) strains. (B) P-values for the ERMC of the 828 standard genetic code for nitrogen (blue), carbon (black) and both (purple) across a wide range of 829 transition:transversion rates, calculated using combined codon abundance of 187 Prochlorococcus 830 and Synechococcus strains. (C) Heat map of ERMC P-values for nitrogen, carbon and both, for a 831 theoretical case in which all codons are of the same abundance. (D,E) Same as Fig. 3C for ERMC_N 832 (D) and ERMC_c (E) P-values.

Cost function a	Cost function b	Sign a	Sign b	Alternative code counts	Chi-squared P-value
n+	C+	<	<	128	1.32E-03
			>=	14106	
		>=	<	11802	
			>=	973964	
n+	hyd	<	<	270	2.71E-04
			>=	13964	
		>=	<	14953	
			>=	970813	
n+	pr	<	<	83	4.68E-16
			>=	14151	
		>=	<	13646	
			>=	972120	
C+	hyd	<	<	249	4.86E-07
			>=	11681	
		>=	<	14974	
			>=	973096	
C+	pr	<	<	442	4.29E-107
			>=	11488	
		>=	<	13287	
			>=	974783	

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Table S1. Contingency tables for each pair of cost functions for both nitrogen (n+) and carbon (c+), compared to PR (pr) and Hydropathy index (hyd), across 1 million simulated genetic codes. Each code is assigned to one of four bins: (1) surpassing the standard genetic code in both cost functions (<; <), (2) surpassing the standard genetic code only in element e cost (<; >=), (3) surpassing the standard genetic code only in the traditional cost function (>=; <), (4) not surpassing the standard genetic code in neither (>=; >=). Chi-square test of independence was applied to each contingency table.