## Hormonal and modality specific effects on males' emotion recognition ability Adi Lausen<sup>\*1,3</sup>, Christina Broering<sup>1</sup>, Lars Penke<sup>2,3</sup>, Annekathrin Schacht<sup>1,3</sup>

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#### Abstract

1 Successful emotion recognition is a key component of our socio-emotional communication 2 skills. However, little is known about the factors impacting males' accuracy in emotion 3 recognition tasks. This pre-registered study examined potential candidates, focusing on the 4 modality of stimulus presentation, emotion category, and individual hormone levels. We 5 obtained accuracy and reaction time scores from 312 males who categorized voice, face and 6 voice-face stimuli for nonverbal emotional content. Results showed that recognition accuracy 7 was significantly higher in the audio-visual than in the auditory or visual modality. While no 8 significant association was found for testosterone and cortisol alone, the effect of the interaction 9 with recognition accuracy and reaction time was significant, but small. Our results establish 10 that audio-visual congruent stimuli enhance recognition accuracy and provide novel empirical support by showing that the interaction of testosterone and cortisol modulate to some extent 11 12 males' accuracy and response times in emotion recognition tasks.

*Keywords*: Emotion Recognition, Prosody, Facial Expressions, Testosterone, Cortisol, Dual hormone hypothesis

## Introduction

1 Emotion recognition is a basic skill thought to carry clear advantages for predicting behaviour, 2 as well as forming and maintaining social bonds (Soto & Levenson, 2009). Intriguingly, 3 research on sex differences highlights that males are less accurate than females when 4 completing emotion recognition tasks (e.g., Thompson & Voyer, 2014; Hall, 1984). However, 5 effect sizes are comparably small and multiple factors known to impact the ability to recognize 6 emotions have yet to be fully controlled for (e.g., Hall et al., 2000; see Chaplin, 2015; Fischer, 7 & LaFrance, 2015; Hyde, 2014; Schirmer, 2013, for an overview regarding explanations for 8 sex-based behaviour patterns). The ability to correctly interpret emotional expressions forms 9 the basis of social interactions and personal relationships (e.g., Fischer & Manstead, 2008; 10 Keltner & Kring, 1998) yet, there is a lack of direct evidence for reasons why males have an 11 often assumed disadvantage when it comes to accurately recognizing emotions. Therefore, the 12 main aim of this study was to systematically investigate potential factors that might impact 13 males' ability to recognize emotions.

14 One of the factors supposed to impact emotion recognition is the modality of stimulus 15 presentation (Hall, 1984). In many everyday situations, judgments about others' emotional 16 states require the integration of information from various sensory modalities making use of 17 different cues such as facial expressions, tone of voice (i.e., prosody), or body language (Klasen 18 et al., 2014). Thus, it has been argued that emotion recognition is a multimodal event (Piwek et 19 al., 2015). Indeed, a growing number of studies have pointed out that in emotion recognition 20 tasks the stimuli presented in isolation (i.e., visual or auditory) have lower accuracy scores and 21 slower response times than the audio-visual presentation of emotional expressions (Jessen et 22 al., 2012; Paulmann & Pell, 2011; Baenziger et al., 2009; Collignon et al., 2008; Kreifelts et 23 al., 2007; de Gelder & Vroomen, 2000). Research on unimodal emotion recognition reported 24 that emotions are better recognized from faces than from voices (e.g., Waaramaa, 2017).

However, these observations were often contradictory (e.g., Kraus, 2017). Furthermore, 1 2 previous research in the unimodal domains highlighted that specific emotions are not 3 recognized equally well in the auditory and visual modality. In studies on the vocal channel, 4 participants were faster and most accurate to recognize anger (e.g., Chronaki et al., 2018; 5 Cornew et al., 2009; Juslin & Laukka, 2003), while in studies on facial expressions, happiness 6 was shown to be recognized more accurately and faster than any other emotion (e.g., 7 Kosonogov & Titova, 2018; Wells et al., 2016; Nummenmaa & Calvo, 2015; Williams et al., 8 2009; Montagne et al., 2007; Palermo & Coltheart, 2004; Elfenbein & Ambady, 2002). Despite 9 these converging patterns, it is as yet not possible to make definite claims regarding the 10 advantage of certain emotional categories because, at least within the vocal domain, recognition 11 accuracy (RA) was found to be strongly influenced by the type of stimulus used (see Lausen et 12 al., 2019, for an overview). Whether the voice is a more reliable source than the face in emotion 13 recognition tasks has been rarely pursued, and results are limited to specific emotions, 14 paradigms, as well as, by a number of methodological differences between studies. Thus, until 15 further evidence regarding RA within specific sensory modalities and emotional categories is 16 provided, the direction of these effects remains an open question.

17 A recently emphasized influence on the ability to recognize emotions concerns potential effects 18 of steroid hormones, such as testosterone (Gignell et al., 2019). Testosterone (T) receptors are 19 distributed throughout the nervous system with high concentrations in areas associated with 20 emotional processing such as the hypothalamus and amygdala [see Gignell et al., 2019, for 21 details]. However, only few studies have assessed the influence of T concentrations on emotion 22 recognition in both sexes and an even smaller subsection has specifically addressed the impact 23 of T levels on males' ability to recognize emotions. For example, an fMRI study by Derntl et 24 al. (2009) investigated the influence of blood T levels on males' RA in an explicit emotion 25 recognition task. Results showed increased amygdala activity in individuals with high T levels

1 during the presentation of fearful and angry faces. In addition, the authors found that reaction 2 times (RTs) to fearful male faces negatively correlated with T level concentrations. However, 3 no correlation was found between RA and T levels. Subsequent studies reported a negative 4 correlation between salivary T levels and emotion recognition in male adolescent groups 5 (Fujisawa & Shinohara, 2011) or found a positive correlation between higher levels of T and 6 emotion recognition (Vongas & Al Hajj, 2017). By presenting participants with emotional 7 facial expressions at two different intensity levels (i.e., 50% and 100%), Rukavina et al. (2018) 8 found that RA decreases when salivary T is high, especially for full-blown expressions of 9 sadness and for disgust when presented at 50% intensity. Based on these findings, the authors 10 concluded that RA decreases with increasing levels of T.

11 These contradictory findings are likely the result of a number of methodological differences 12 such as insufficient statistical power (i.e., sample sizes ranging from 21 to 84 males), T 13 assessment from blood or saliva, as well as storage and analyses of hormone samples (see 14 Schultheiss et al., 2019, for details). Another possible explanation for the discrepancies is that 15 another hormone, cortisol (C), may constrain T influence on emotion recognition. C, an end 16 product of the hypothalamic-pituitary-adrenal (HPA) axis, was found to inhibit T by reducing 17 hypothalamic-pituitary-gonadal (HPG) activity and blocking androgen receptors [see Sarkar et 18 al., 2019; Viau, 2002, for details]. To reconcile mixed findings on the roles of T and C in human 19 social behavior, Mehta and Josephs (2010) proposed the dual-hormone hypothesis. According 20 to this hypothesis T predicts a wide range of behaviors, but only under the condition that C 21 concentrations are low. If C concentrations are high, the T-behavior association is supposed to 22 be attenuated (Mehta & Prasad, 2015; Carré & Mehta, 2011). This hypothesis was supported 23 in a variety of studies, which demonstrated that across different psychological domains the 24 interaction between T and C influences empathy, as well as, dominant, status-relevant, risktaking and antisocial behavior (see Sarkar et al., 2019, for an overview). However, it should be 25

noted that other studies report only small effects (e.g., Dekkers et al., 2019; Grebe et al., 2019)
null-findings [e.g., Mazur & Booth, 2014], and even reversed patterns [i.e., T was related to
status-relevant behavior or facial dominance for high but not low C (e.g., Kordsmeyer et al.,
2018; Welker et al., 2014)] for the dual-hormone hypothesis. Considering the interaction
between the HPG and HPA axes might nevertheless lead to more reliable predictions regarding
emotion recognition than the assumption of a single-hormone association (Sarkar et al., 2019;
Carré & Mehta, 2011).

8 Based on the above-mentioned findings, the present study had three major aims. Firstly, it 9 aimed at examining whether males' RA is influenced by the modality of stimulus presentation. 10 We hypothesized that RA would be better in the audio-visual modality than in the auditory or 11 visual modality (1a), and lower in the visual compared to the auditory modality (1b). Second, 12 we aimed to replicate previous findings by examining the extent RA and RTs vary across 13 discrete emotion categories as a function of modality (e.g., Lambrecht et al., 2014). 14 Specifically, we expected higher accuracy scores and faster RTs for disgusted, fearful and sad 15 expressions in the audio-visual than in both the auditory and the visual modality (2a). We also 16 hypothesized that angry expressions would be identified faster and with higher accuracy in the 17 vocal compared to the facial domain, while for happy expressions we expected the reverse 18 pattern (2b). A third aim was to alleviate some of the methodological flaws of previous research 19 by using a large sample size to examine whether variations in males' ability to recognize 20 emotions are due to T level concentrations. We expected a negative correlation between T and 21 RA (3a), and that participants with high levels of T would specifically react faster to angry and 22 fearful expressions (3b)<sup>1</sup>. In addition, we conducted an exploratory analysis on the associations 23 between C and RA, C and RT, as well as on the relationship between RA or RT and the 24 interaction between T and C levels.

<sup>&</sup>lt;sup>1</sup> All hypotheses tested in the current paper have been pre-registered (osf.io/w2tgr). This pre-registration contained further hypotheses that are not part of the present paper.

### Method

1 The study was approved by the ethics committee of the Georg-Elias-Mueller-Institute of 2 Psychology (University of Goettingen), and conducted in accordance with the ethical principles 3 formulated in the Declaration of Helsinki (2013). Participants gave informed consent and were 4 reimbursed with course credit or 8 Euros per hour.

## **Participants**

5 A total of 312 males (age range 18-36 years;  $M_{Age} = 24.3$ , SD = 3.7) were recruited on the 6 university campus using flyers and the Institute of Psychology participant database (ORSEE, 7 www.orsee.org), as well as by posts on the social media site Facebook and the online platform 8 Stellenwerk Jobportal University Goettingen (www.stellenwerk-goettingen.de). Of the 312 9 recruited subjects, 30 participants were excluded from analysis due to self-reported hearing 10 problems, psychiatric or neurological disorders, or intake of psychotropic/hormone medication. 11 After these exclusions, a total of 282 participants with a mean age of 24.3 years (SD = 3.8) were 12 included in the analysis.

#### Stimulus material

Stimuli were displayed under three experimental modality conditions: auditory, visual and audio-visual. In each experimental condition, stimuli were presented in one of the emotions of interest (i.e., anger, disgust, fear, happiness, sadness) as well as in a neutral state (i.e., baseline expression).

#### Audio stimuli

The audio stimuli consisted of pseudo-speech (i.e., pseudo-words, pseudo-sentences) and nonverbal vocalizations (i.e., affect bursts). We decided to use pseudo-speech (i.e., a language devoid of meaning) and non-verbal vocalizations as they have been argued to capture the pure effects of emotional prosody independent of lexical-semantic cues and, to be an ideal tool when investigating the expression of emotional information when there is no concurrent verbal information present (Pell et al., 2015; Banse & Scherer, 1996). The stimuli were sampled from
well-established databases or provided by researchers who developed their own stimulus
materials. We validated all stimuli in a previous study (cf. Lausen et al., 2019; Lausen &
Schacht, 2018) and selected only a subset of stimuli (i.e., with the highest accuracy) from each
database (see Table 1).

Database	Speakers	Emotions	Nature of material	Number of stimuli selected	Total stimuli
Magdeburg Prosody Corpus	2 actors		Pseudo-words	4	48
(Wendt & Scheich, 2002)	(1 male/1 female)				
Paulmann Prosodic Stimuli (Paulmann & Kotz, 2008; Paulmann et al., 2008)	2 actors (1 male/1 female)	Anger, disgust, fear, happiness, sadness, neutral	Pseudo-sentences	4	48
Montreal Affective Voices	8 actors		Affect bursts		48
(Belin et al., 2008)	(4 male/4 female)				

The physical volume of stimulus presentations across the nine laptops used in the experiment
was controlled by measuring sound volume of the practice trials with a professional sound level
meter, *Nor140* (Norsonic, 2010, Lierskogen, Norway). No significant difference in volume
intensity was observed [F<sub>(8,40)</sub> = 1.546, p = 0.173]. *Visual stimuli*

10 Visual stimuli consisted of 24 frontal face photographs (12 males/12 females) extracted from 11 the *Radboud Faces Database* (Langner et al., 2010). The presentation time of the faces was 12 matched to the length of the voice stimuli (i.e., from 319 ms to 4821 ms). A gray ellipsoid mask, 13 ensuring a uniform figure/ground contrast surrounded the stimuli, with only the internal area of 14 the face visible (9x14 cm, width and height). The stimuli were presented in colour and corrected 15 for luminance across emotion conditions [ $F_{(5,137)} = 0.200$ , p = 0.962], using *Adobe Photoshop* 16 *CS6* (Version 13.0.1, 2012, San Jose, CA). *Audio-visual stimuli* 

The voice stimuli were simultaneously presented with the face stimuli. Using *Adobe Premiere Pro CS6* (Version 6.0.5) videos were created, matching face and voice stimuli for sex and
emotion category.

#### Procedure, experimental task and saliva samples

1 Participants were informed that the study required them to provide two saliva samples over a 2 period of about two hours. A day before the main experiment, they were sent an email 3 instructing them to abstain from sports and the consumption of alcohol, drugs or unnecessary 4 medication on the day of the study. Furthermore, they were instructed not to consume drinks 5 containing caffeine within three hours of the experiment and to refrain from eating, drinking 6 (except water), smoking and brushing their teeth within one hour of the experiment. Adherence to these instructions was assessed using a screening questionnaire (Schultheiss & Stanton, 7 8 2009). As individual differences in peak hormone levels measured in the morning have been 9 argued to be a better predictor of behavioural responses to emotional stimuli than measurements 10 later in the day (Schultheiss & Stanton, 2009), the designated time slot for testing was between 11 9:00am to 11:00am.

Participants were tested in groups of up to nine individuals. On the day of the study, after completing the consent form, participants received oral and written instructions about the procedure of the experiment and the collection of saliva samples. The saliva samples were collected before (T1) and after (T2) the Emotion Recognition Task<sup>2</sup>. The experiment was programmed using *Python* (Version 2.7.0, Python Software Foundation, Beaverton, OR) and run on a *Dell Latitude E5530 Laptop* with a 15.6 LCD display screen. The audio stimuli were presented binaurally via headphones (*Bayerdynamic DT 770 PRO*).

<sup>&</sup>lt;sup>2</sup> The data reported in this paper was obtained within the confines of a larger study. The experiment began with a short demographic questionnaire followed by the *Screening Questionnaire* (Schultheiss & Stanton, 2009), *Multi-Motive Grid* [MMG, Sokolowski et al., 2000] and *Positive and Negative Affect Schedule* [PANAS, Breyer & Bluemke, 2016]. Next, the first saliva sample (T1) was taken. After a short break, the *Emotion Recognition Task* ensued, followed by PANAS, and the collection of the second saliva sample (T2). The saliva samples were collected approximately 10 minutes before and after the emotion recognition task. The experiment ended with the completion of *Multifaceted Empathy Test* short-form [MET, Dziobek et al., 2008] and *Big Five Inventory* [BFI, Danner et al., 2016]. As MMG, PANAS, MET and BFI are not relevant to the present manuscript they are not further reported.

#### *Emotion recognition task*

1 The emotion recognition task consisted of three blocks, each block displaying one of the three 2 experimental conditions: auditory, visual, and audio-visual. Each experimental condition 3 contained 144 stimuli. A permutation was applied to randomize the order in which the 4 experimental conditions were presented to the participants. Six different permutations were 5 created, and each permutation was allocated randomly in blocks of six participants. The order 6 of the stimuli within each experimental condition was completely randomized. The audio and 7 visual stimuli were matched for duration, sex, and emotion category (see Table S1 in 8 supplementary material for an example of how the audio and visual stimuli were matched). 9 Before each experimental condition, participants were familiarized with the task in a short 10 training session comprised of three stimuli. Each trial began with a blank screen followed by a 11 fixation cross. Following the presentation of a stimulus, a circular answer display appeared, 12 containing all six categories of interest (i.e., anger, disgust, fear, happiness, sadness, neutral) 13 and the selection cursor, which appeared in the centre of the display. The sequence of the 14 emotion labels was randomized for each participant and remained the same throughout the task. 15 Participants had to select an emotion category, using the mouse to move the cursor, before the 16 next stimulus was presented. Reaction times were measured, starting with the onset of the 17 answer display and ending with the participant's response. Figure 1 displays the time course 18 of the emotion recognition task.

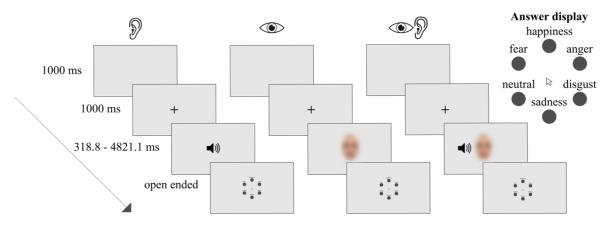
#### Saliva samples

The two saliva samples (2 ml per sample) were collected from each participant via passive drool through a straw (Schultheiss et al., 2012) into an *IBL SaliCap* sampling device. These plastic vials were stored frozen at -80°C until shipment on dry ice to the Endocrinology Laboratory at Technical University of Dresden. At this facility, the samples were analysed for T and C levels via chemiluminescence immunoassays with high sensitivity (IBL International, Hamburg,

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1 Germany). The intra- and inter-assay coefficients of variation for T were < 11% and for C <2 8%. For T the variance between participants was 14.81% and 3.85% within participants with an intra-class correlation coefficient (ICC) of 79.35%, while for C the variance between 3 4 participants was 23.78% and 28.20% within participants with an ICC of 45.74%. As the distributions of T and C were positively skewed (T<sub>skewness</sub> = 1.56; C<sub>skewness</sub> = 1.49) a log-5 6 transformation was performed (e.g., Mehta et al., 2015). The log-transformation reduced 7 skewness substantially [log(T) skewness = -0.06; log(C) skewness = 0.01]. Outliers were 8 winsorized to  $\pm$  3 standard deviations (Mehta et al., 2015).

9



#### Figure 1 | Emotion recognition task

Each trial began with a blank screen (shown for 1000ms) which was followed by a fixation-cross appearing at the center of the screen (for 1000ms) at which participants were asked to fixate throughout the trial. After the presentation of the stimulus a circular answer display containing all six categories of interest (i.e., anger, disgust, fear, happiness, sadness, neutral) and the selection cursor (which appeared in the center of the display) were presented. The responses were made by using the mouse to move the cursor. Reaction times were measured, starting with the onset of the answer display and ending with the participant's response. There was no time limit for emotion judgments. Participants could hear/see the stimulus only once. The presentation of the stimuli was initiated by pressing the Spacebar-key at the beginning of each block. At the end of each block a visual message in the center of the screen instructed participants to take a break if they wished to or to press the Spacebar-key to proceed with the next block. (The face stimuli were obscured as per bioRxiv policy)

10 11

#### Study design and power analysis

12 A balanced within-subjects factorial design was fitted to assess males' judgments of emotions.

- 13 The design was balanced for modalities, emotion categories and encoder sex in each stimulus
- 14 type. Independent within-participant factors were modalities, emotion categories, stimuli types,
- 15 and encoder sex. Independent between-participant variables were T and C. Dependent variables
- 16 were RA and RT.

1 A target sample size of 231 males was determined using an approximate correlation power

2 analysis, Bonferroni-corrected for multiple testing (r = .25;  $\alpha = .05/20$ ;  $1 - \beta = .80$ ). To account

3 for possible attrition, the sample size was increased by a minimum of 14%.

### Statistical analysis

In line with our preregistration, the primary analysis for our first and second hypotheses was
performed using *Friedman-* and *Wilcoxon-rank-sum* tests. For the association between the
dependent variables (RA, RT) and T levels we ran *Spearman* correlations (H3a, b).

7 The exploratory analyses of the quantitative variables T and C were performed using 8 generalized linear models (quasi-binomial logistic regression) for the binary response variable 9 emotion recognition and linear models for the response variable reaction time, which was 10 normalized by log transformation. To obtain a more reliable value and to cover the observation 11 interval, the two baseline measures for T and C were averaged (Kordsmeyer et al., 2018; Idris 12 et al., 2017). The dispersion parameter of the quasi-binomial model accounted for dependencies 13 caused by repeated measurements within the participants. Modality and emotion category were 14 fitted as nominal variables and stimulus duration as quantitative variable. The interaction of the 15 quantitative variables T and C was fitted by the product of both variables as an additional 16 predictor. Tertiles for both variables, T and C, were fitted to investigate more general interaction 17 patterns and to reduce the influence of T and C extreme values on the model equation. Chi-18 square tests of the deviance analysis and F-tests of the analysis of variance were used to analyse 19 effects of predictor variables. In the quasi-binomial logistic regression, odds ratio (OR) were 20 used to compare emotion recognition accuracies. RTs were compared by the difference of the 21 means. Tukey's method of multiple pairwise comparisons was used to compute simultaneous 22 95% confidence intervals for both, OR and mean differences.

For the descriptive analysis of the data, *relative frequencies*, *confusion matrices* and *Wagner's* (1993) *unbiased hit rate* ( $H_u$ ), which is the rate of correctly identified stimuli multiplied by the

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rate of correct judgments of the stimuli, were calculated. The data was analysed using the R
 language and environment for statistical computing and graphics version 3.4.3 (R Core Team,
 2017) and the integrated environment R-Studio version 1.0.153 (used packages: *pwr*; *MASS*;
 *coin*; *glm*; *multcomp*; *mvtnorm*; *ggplot2*).

#### Results

#### **Descriptive** analysis

5 Audio-visual emotional expressions were recognized with approximately 90% accuracy 6 (lowest identification rate 89% for disgust). Angry expressions were recognized with better 7 accuracy from the voice (90%) than the face (82%). Conversely, for fearful, happy and sad 8 expressions accuracy scores were higher when presented visually ( $85\% \leq accuracy \ scores \leq accuracy \ scores \leq accuracy \ scores \leq accuracy \ scores \ sco$ 9 99%) than auditorily (72%  $\leq$  accuracy scores  $\leq$  77%). Neutral expressions had high accuracy 10 scores in all three conditions of stimulus presentation (90%  $\leq$  accuracy scores  $\leq$  95%). 11 Participants were faster at recognizing disgust, fear, happy, sad and neutral expressions in the 12 visual and audio-visual modalities (median (Md) values between 1.03 sec. to 1.46 sec.) than in 13 the auditory modality (Md values between 1.50 sec. to 1.95 sec.). Although the RTs for 14 disgusted, sad and neutral expressions were similar in the visual and audio-visual modalities, 15 participants were slightly faster at recognizing fear and happy in the visual than audio-visual 16 modality. For angry expressions, the RTs were much shorter in the audio-visual (1.23 sec.) than 17 in the auditory and visual modality, but much longer in the visual (1.53 sec.) than in the auditory 18 modality (1.47 sec.). Figure 2 illustrates participants' RA (panel A) and RTs (panel B) by 19 modality and emotion categories.

In all three modalities participants often misclassified happy and sad expressions as neutral. In the auditory and audio-visual modalities angry was mistaken for fearful, neutral for angry and fearful for sad. In the visual modality fear was confused with disgust, whereas anger and neutral were confused with sadness. Participants frequently misclassified disgust with anger in the

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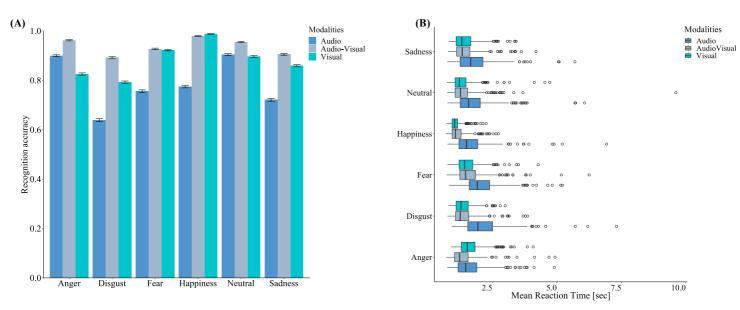


Figure 2 | Recognition accuracy (RA) and reaction times (RTs) by modality and emotion categories The bar charts (panel A) display RA, while the boxplots (panel B) illustrate the mean RT distributions. Error bars represent the standard error. The boxplots indicate that the distributions of RT are right skewed.

1 visual and audio-visual modalities, while in the auditory modality disgust was mistaken for

2 neutral. The error classification patterns along with the unbiased hit rates are presented in Table

3 2.

Modality	Emotions portrayed	Emotion judgments								
		Anger	Disgust	Fear	Happiness	Neutral	Sadness	Total	$H_u$	
	Anger	6089	59	267	152	175	26	6768	.766	
	Disgust		4324	438	280	815	564	6768	.590	
Fear		162	173	5118	96	406	813	6768	.621	
Auditory	Happiness	116	27	15	5243	1335	32	6768	.665	
	Neutral	339	52	62	159	6119	37	6768	.549	
	Sadness	97	50	335	175	1230	4881	6768	.554	
	Total	7150	4685	6235	6105	10080	6353	40608	_	
	Anger	5587	244	194	6	234	503	6768	.638	
	Disgust	1288	5363	48	13	41	15	6768	.704	
	Fear	51	282	6245	14	73	103	6768	.847	
Visual	Happiness	6	2	11	6689	59	1	6768	.967	
	Neutral	167	15	47	102	6071	365	6767*	.791	
	Sadness	135	134	262	11	412	5814	6768	.734	
	Total	7234	6040	6807	6835	6890	6801	40607	_	
	Anger	6513	46	91	8	71	39	6768	.860	
	Disgust	505	6040	69	14	81	59	6768	.858	
	Fear	39	155	6277	9	92	196	6768	.873	
Audio-visual	Happiness	5	2	7	6629	121	4	6768	.969	
	Neutral	170	11	25	35	6462	65	6768	.859	
	Sadness	55	27	196	9	353	6128	6768	.855	
	Total	7287	6281	6665	6704	7180	6491	40608	—	
	Anger	18189	349	552	166	480	568	20304	.752	
	Disgust	2140	15727	555	307	937	638	20304	.716	
Across all	Fear	252	610	17640	119	571	1112	20304	.780	
3 modalities	Happiness	127	31	33	18561	1515	37	20304	.864	
	Neutral	676	78	134	296	18652	467	20303*	.710	
	Sadness	287	211	793	195	1995	16823	20304	.709	
	Total	21671	17006	19707	19644	24150	19645	121823	_	

. 1. \* ...... 

Note: Frequencies of correctly judged portrayals are given on the main diagonal in boldface type. \*If the number is less than the planned number of emotion judgments that is due to recording failure.  $H_u$  = the rate of correctly identified stimuli multiplied by the rate of correct judgments of the stimuli

#### Main analysis

Recognition accuracy in the three modalities [Aim 1]

1 Participants' RA was significantly influenced by the modality of stimulus presentation (Friedman test:  $\chi^2_{(2)}$  = 448.56, p < 0.001). The results of Wilcoxon-rank-sum test indicated that 2 3 RA was significantly higher in the audio-visual modality than in the visual (z = 12.99, p < 0.001,  $_{95\%}CI = [0.052; 0.062]$ , effect size (r) = 0.774) or auditory modality (z = 14.525, p < 0.001, 4 5  $_{95\%}CI = [0.146; 0.163], r = 0.865)$ . Participants' were also significantly more accurate at 6 discriminating emotions when making judgments on visual than on audio stimuli (z = 13.553, 7 p < 0.001,  $_{95\%}CI = [0.090; 0.108]$ , r = 0.807). Figure 3 illustrates RA in the three conditions of 8 stimulus presentation.

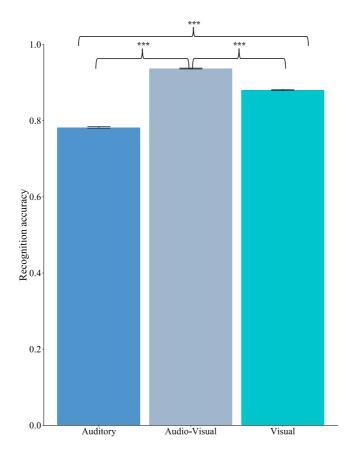


Figure 3  $\mid$  Bar chart showing the recognition accuracy (RA) in the three conditions of stimulus presentation

Error bars represent the standard error. RA was significantly higher for the audiovisual presented stimuli than for the visual- or auditory stimuli. Accuracy scores were significantly higher for the visual- than for auditory condition.

#### *Emotion specificity and modality* [Aim 2]

1 The modality of stimulus presentation across fearful, disgusted and sad expressions significantly influenced participants' RA (Friedman test:  $\chi^2_{(2)} = 400.47$ , p < 0.001) and RTs 2 (Friedman test:  $\chi^2_{(2)} = 208.77$ , p < 0.001). Results comparing RA and RTs between modalities 3 4 for each emotion category showed that participants were significantly more accurate and faster 5 at categorizing these emotions in the audio-visual than auditory modality (ps < 0.001; effect sizes for accuracy ranging from 0.813 < r < 0.852 and for RTs ranging from 0.422 < r < 0.760). 6 7 Although RA was significantly higher for disgust (p < 0.001; r = 0.605) and sad expressions (p< 0.001; r = 0.417) in the audio-visual than visual modality, the accuracy scores for fear did not 8 9 significantly differ between these two modalities (p = 1.00; r = 0.038). Similarly, we observed 10 no significant RT differences between the audio-visual and visual modality for these three 11 emotions (ps > 0.05; 0.005 < r < 0.159). While participants were significantly better at 12 recognizing angry expressions in the voice than in the face (p < 0.001, r = 0.492), RTs did not differ significantly between these two modalities (p = 1.00, r = 0.052). In contrast, happy, 13 14 disgusted, fearful, and sad expressions had significantly higher accuracy scores and faster RTs when they were presented visually than auditorily (ps < 0.001;  $0.625 < r_{Accuracy} < 0.868$ ; 0.487 15

# 16 $< r_{RT} < 0.816$ ). **Table 3** displays the test statistics for each modality and emotion category. *Interplay of hormones, recognition accuracy and reaction times* [Aim 3]

Spearman's rank correlation coefficient between T1 and T2 for T was  $r_s = 0.79$  and  $r_s = 0.60$ for C. No significant associations between T or C and RA/RTs were found (ps > .05; correlation coefficients ( $r_s$ ) close to zero; *Figure S1* in supplementary material illustrates the relationship between T or C and RA/RTs, also across all modalities). Similarly, there were no significant associations between T or C and RA/RTs for specific emotion categories (see *Table S2* in supplementary material). Logistic and linear models, however, showed that the interaction between testosterone and cortisol (TxC) significantly influenced participants' RA ( $\chi^2_{(4)} = 46.30$ , bioRxiv preprint doi: https://doi.org/10.1101/791376; this version posted October 5, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

Table 3   Recognition accuracy (RA) and reaction times (RTs) standardized z-scores, p-values, 95% confidence intervals (Cl95%) and effect sizes (r) for
the comparisons between modalities by emotion categories

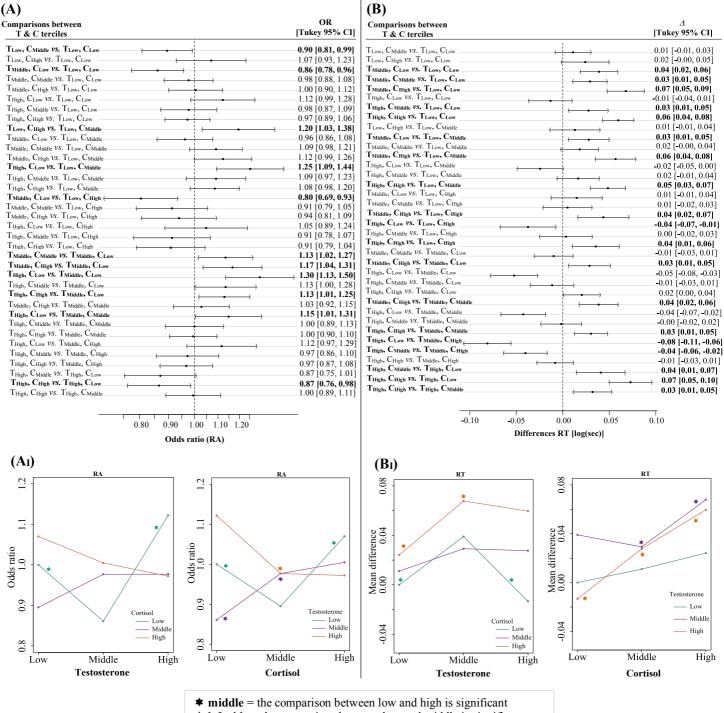
	<i>Emotions RA</i>							RT					
		Z	р CI95%		r	Z	р	CI	05%	r			
				LL	UL				LL	UL			
	Anger	13.71	< 0.001	0.125	0.146	0.816	-8.645	< 0.001	-0.299	-0.200	0.515		
Audio-visual	Disgust	10.155	< 0.001	0.104	0.125	0.605	0.550	1.00	-0.032	0.569	0.033		
VS.	Fear	0.632	1.00	-0.000	0.021	0.038	2.677	0.134	0.019	0.126	0.159		
Visual	Happiness	-2.820	0.087	-0.041	-0.000	0.168	3.397	0.012	0.018	0.072	0.202		
	Sadness	6.995	< 0.001	0.042	0.083	0.417	0.089	1.00	-0.044	0.051	0.005		
	Neutral	9.547	< 0.001	0.062	0.083	0.568	1.978	0.864	0.000	0.079	0.118		
	Anger	10.579	< 0.001	0.063	0.083	0.630	-6.736	< 0.001	-0.302	-0.170	0.401		
Audio-visual	Disgust	14.315	< 0.001	0.250	0.271	0.852	-12.765	< 0.001	-0.735	-0.562	0.760		
VS.	Fear	13.646	< 0.001	0.167	0.188	0.813	-9.653	< 0.001	-0.526	-0.366	0.575		
Auditory	Happiness	14.534	< 0.001	0.188	0.208	0.865	-11.709	< 0.001	-0.506	-0.373	0.697		
-	Sadness	13.858	< 0.001	0.187	0.208	0.825	-7.087	< 0.001	-0.359	-0.208	0.422		
	Neutral	8.789	< 0.001	0.062	0.083	0.523	-8.659	< 0.001	-0384	-0.242	0.516		
	Anger	8.268	< 0.001	0.063	0.104	0.492	-0.865	1.00	-0.094	0.036	0.052		
Auditory	Disgust	-10.50	< 0.001	-0.187	-0.146	0.625	13.711	< 0.001	0.597	0.746	0.816		
VS.	Fear	-13.318	< 0.001	-0.188	-0.167	0.793	12.113	< 0.001	0.433	0.579	0.721		
Visual	Happiness	-14.574	< 0.001	-0.229	-0.188	0.868	13.51	< 0.001	0.443	0.571	0.805		
	Sadness	-11.603	< 0.001	-0.187	-0.146	0.691	8.179	< 0.001	0.232	0.370	0.487		
	Neutral	0.941	1.00	-0.000	0.021	0.056	10.323	< 0.001	0.295	0.420	0.615		

Note: The differences in RA and RT between modalities by emotion categories were analyzed using *Wilcoxon-rank-sum test*. All *p-values* for RA and RT were for 18 comparisons (3 modalities \* 6 emotions) Bonferroni corrected. *Positive z-scores* indicate that RA is higher and RTs longer for the first vs. second modality, whereas *negative z-scores* indicate that RA is lower and RTs shorter for the first vs. second modality.

1 p < 0.001, r = 0.022) and RTs ( $F_{(4, 121806)} = 8.26, p < 0.001, r = 0.016$ ). Table S3 in 2 supplementary material provides an overview on the model terms and the corresponding 3 statistics for both RA and RTs. The odds ratio estimates for RA and the linear contrasts for the 4 pattern of the differences in RTs for all combinations between T and C terciles showed that 5 participants RA was significantly higher for  $T_{High}/C_{Low}$  and  $T_{Low}/C_{High}$ , but lower for  $T_{Middle}/C_{Low}$ 6 or  $T_{Low}/C_{Middle}$ . RTs were shorter for  $T_{High}/C_{Low}$ ,  $T_{Low}/C_{Low}$ , as well as for  $T_{Low}/C_{Middle}$ . For the combinations  $T_{High}/C_{High}$  or  $T_{Middle}/C_{High}$  RTs were significantly longer. In Figure 4, panels A, 7 8 **B** display the corresponding statistics for all comparisons between T and C terciles, while panels 9 A<sub>I</sub>, B<sub>I</sub> illustrate the conditional patterns.

#### Discussion

The main objective of the present study was to investigate whether males' RA is influenced by the modality of stimulus presentation in an explicit emotion recognition task. In addition, we examined whether specific emotions are more quickly and accurately detected as a function of modality. Finally, we explored the effects of individual differences in T and C, as well as their interaction with RA and RTs. Our results provide compelling evidence that RA is greatly improved when visual and audio information were jointly presented and that happy expressions bioRxiv preprint doi: https://doi.org/10.1101/791376; this version posted October 5, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



**\*** left side = the comparison between low and middle is significant

**right side** = the comparison between middle and high is significant

#### Figure 4 | Pairwise comparisons and conditional patterns of T and C terciles combinations for recognition accuracy (RA) and reaction time (RT)

The comparisons between hormone terciles for RA are illustrated in panel (A), while the linear contrasts for the pattern of the differences in RT are illustrated in panel (B). The significant combinations are highlighted in bold. The T pattern conditional under C and C pattern conditional under T for RA are shown in panel  $(A_I)$  and panel  $(B_I)$  for RT.

In panel (A) odds ratio for combination 1 (e.g.,  $T_{High}/C_{High}$ ) vs. combination 2 (e.g.,  $T_{High}/C_{Low}$ ) less than 1 indicate that the recognition probability for combination 2 ( $T_{High}/C_{Low}$ ) is higher than for combination 1 ( $T_{High}/C_{High}$ ), whereas values greater than 1 vice-versa. If the odds ratio of 1 is included in the confidence interval, the difference in the recognition probabilities is not significant. In panel (B) negative differences of RT for combination 1 (e.g.,  $T_{High}/C_{High}$ ) vs. combination 2 (e.g.,  $T_{High}/C_{Low}$ ) indicate that the RT for combination 2 ( $T_{High}/C_{High}$ ) are longer than for combination 1 ( $T_{High}/C_{Low}$ ), whereas positive differences vice-versa. If the difference of zero is included in the 95%CI, the difference in RT is not significant.

As it can be observed, for *T* conditional under  $C_{Low}$  and *C* conditional under  $T_{Low}$  there is a quadratic relationship [i.e., the accuracy decreases from low to middle T or C and then increases from middle to high T or C (see panel A<sub>1</sub>); for *T conditional under C<sub>Low</sub>* the RT increases from low to middle T and then decreases from middle to high T (see panel  $B_1$ ). For *C* conditional under T<sub>High</sub> the relationship is monotone [i.e., the accuracy decreases from low C to high C (see panel A<sub>I</sub>); the RT increases from low C to high C (see panel B<sub>I</sub>)].

were identified faster and with higher accuracy from faces than voices. Conversely, angry
 expressions were better recognized from voices than faces. Although no significant associations
 between single hormones (i.e., T or C) and RA or RTs were found, results showed that TxC
 interaction was significantly associated with both RA and RTs.

5 Our data highlights that the audio-visual presentation of emotional expressions significantly 6 contributes to the ease and efficiency with which others' emotions are recognized. This is in 7 line with previous studies showing that the integration of auditorily and visually presented 8 emotional information facilitates emotion recognition [e.g., Jessen et al., 2012; Paulmann & 9 Pell, 2011; Baenziger et al., 2009), reflected in higher accuracy and faster RTs, especially for 10 emotions such as disgust, fear (Collignon et al., 2008) and sadness (Kreifelts et al., 2007). One 11 of the most noticeable differences between the present study and previous investigations was 12 the presentation of several emotions and a neutral category (e.g., Collignon et al., 2008; De 13 Gelder & Vroomen, 2000, included only two emotions) and the measurement of RTs (e.g., not 14 considered in Kreifelts et al., 2007 study). Yet, the facilitation effect concerning stimulus 15 classification manifested for every single emotion category during the audio-visual modality in 16 comparison to the auditory modality. In addition, RA in the audio-visual modality exceeded 17 that of the visual modality for angry, disgusted, neutral and sad emotions, which indicates the 18 comprehensive nature of this integration process. As shown by the present results there are 19 some differences in the effectiveness, with which specific emotions are recognized from voices 20 and faces. Similar to the results reported in a meta-analysis by Elfenbein and Ambady (2002), 21 anger was recognized better from voice than faces in our study, while better results for 22 happiness were achieved from the visual compared to the auditory modality. This suggests that 23 sensory modalities do not merely carry redundant information but rather, each may have certain 24 specialized functions for the communication of emotions. Although the estimation of a visual 25 threat (e.g., angry face) can be accurately predicted from close proximity, it has been shown

1 that the louder, higher pitched sound of anger is particularly useful for both, proximal and distal 2 spaces (see Ceravolo et al., 2016, for details). As it is highly adaptive to recognize and react to 3 a potential threat in the environment (Pichon et al., 2008), the accurate detection of anger might, 4 therefore, rely more on the human auditory than visual system. Previous research on facial 5 expression recognition has consistently reported that happy expressions are recognized more 6 accurately and faster than other basic emotions (e.g., Nummenmaa & Calvo, 2015). Our data 7 provide further support for these findings, but not for our prediction (1b) that emotions 8 communicated by the voice are recognized at higher rates of accuracy than in the visual channel. 9 Nevertheless, it is possible that what determines the recognition advantage of happy faces is 10 not so much their affect, but rather their perceptual and categorical distinctiveness from other 11 emotional expressions (see Calvo et al., 2014, for details) as well as their frequent occurrence 12 in everyday social contexts, thus, tuning the visual system towards efficient recognition of these 13 faces (Nummenmaa & Calvo, 2015). Moreover, it has been argued that physical feature 14 extraction can occur instantaneously for facial expressions, while the interplay of acoustic cues 15 over time occurs in a probabilistic manner (Juslin & Laukka, 2003) and thus, may not engage 16 a similar process for vocal expressions (see Paulmann & Pell, 2011, for details). This could 17 have strengthened the underlying knowledge about emotions leading to improved RA and RTs 18 in the visual modality.

The available evidence regarding the relationship between T and males' emotion recognition ability is by no means clear-cut, making explicit claims about the direction of these effects impossible. The two predictions made in the present study were based on reported observations that T might have a negative influence on the recognition of emotions (Rukavina et al., 2018; Fujisawa & Shinohara, 2011), and that RTs of threat-related emotional expressions (i.e., angry, fear) would be much shorter with increasing levels of T (Derntl et al., 2009). To provide a more detailed picture of this association, we conducted an exploratory analysis for each modality and

1 emotion category separately. In a similar fashion, we additionally analysed the effects of C. 2 Similar to other reports in the literature, our data do not provide support for the influence of 3 single steroid hormones (i.e., T or C) on RA or RTs (Duesenberg et al., 2016; Derntl et al., 4 2009). In contrast to the reported effect sizes or the significant effects between T and specific 5 emotion categories (Rukavina et al., 2018; Derntl et al., 2009), the correlation coefficients for 6 both hormones were small or close to zero across all modalities in our study. Despite our 7 comparatively large sample, single hormones (i.e., T, C) did not appear to have an impact on 8 RA and RTs in explicit emotion recognition tasks.

9 One assumption that has been put forth is that T and C do not act in isolation but rather interact 10 to modulate complex social behaviours (Carré & Mehta, 2011). Following the dual-hormone 11 hypothesis (Mehta & Josephs, 2010), we further explored whether the relationship between T 12 and our response variables (i.e., RA and RT) is enhanced when C levels are low and attenuated 13 when C levels are high. Similar to the obtained results in Dekkers et al. meta-analysis (2019) 14 the overall effect size of T by cortisol interaction on RA and RT was significant but small in 15 our study. Although our data support the dual-hormone hypothesis to some extent, they also 16 showed that the interplay between T and C with RA or RTs is not as straightforward as one 17 would expect. For instance, accuracy increased and RTs were shorter not only when T was high 18 and C was low or vice-versa, but also when T and C were low. As our study is the first to 19 account for the interaction between T and C on RA or RT, we cannot clearly provide 20 explanations that might account for the observed mixed-pattern of results. However, as previous 21 research found that high T and stress (C) levels impair cognitive abilities (e.g., Haenggi, 2004; 22 Gouchie & Kimura, 1991) and decrease performance [e.g., Dolcos et al., 2014; Mehta et al., 23 2009), one would expect that with low levels of T and C, or with optimal levels of stress (i.e., 24 eustress) but low T levels RA would increase in cognitive tasks. Since the pattern of the TxC 25 interaction we found is unexpected and the effect size is small, we cannot rule out that it is a

false-positive finding. Certainly, more work is needed to replicate our findings and to test these
claims.

3 While our knowledge of how emotional information is integrated and recognized across 4 channels is advancing steadily, the available literature, including the present study, is limited 5 in a number of ways. In comparison to our study, most of the research mentioned above has 6 evaluated a very small number of emotions (sometimes as few as two) and did not include a 7 neutral baseline. Further, in some studies the audio material consisted of speech prosody 8 (words, sentences). This opens up the possibility that the emotional tone of voice interacted 9 with the affective value carried by the sentence's/word's semantic content. A related issue of 10 past work is the use of emotional exemplars in conflict situations argued to be highly atypical 11 of natural expressions of emotions (Paulmann & Pell, 2011). We addressed these issues by 12 presenting emotion stimuli devoid of meaning (i.e., pseudo-words, pseudo-sentences and affect 13 bursts) which always contained a congruent set of cues (i.e., encoder sex, stimulus time length) 14 to express one of five basic emotions or a neutral state. We chose static faces to ensure our 15 experimental conditions of stimulus presentation were compatible with the majority of prior 16 literature. However, this format has been argued to be less ecologically valid (Krumhuber et 17 al., 2013; Recio et al., 2011). While this assumption is still subject to some controversy (see 18 Dobs et al., 2018, for details), future studies would benefit from using datasets of more 19 naturalistic stimuli to further increase ecological validity.

As most of the previous research has focused on the associations between single hormones and facial emotion recognition, the present study uniquely contributes to the literature by providing a systematic examination of the influence of T, C and their interaction on RA and RT across different sensory modalities (i.e., auditory, visual and audio-visual). Although for C as well as for the interaction between T and C, the analyses were exploratory, they might prove of importance for researchers conducting work in this area to gain a more comprehensive understanding of when these effects emerge and when they do not. They may also yield a
 substantial theoretical payoff by enabling richer and more accurate predictions concerning the
 kind of outcomes tied to certain hormone level combinations.

4 The homogeneous characteristics of our sample (i.e., university students, narrow age range) 5 may show patterns which do not hold for different sociodemographic subgroups. Given the 6 increased focus on study replicability, future studies would benefit from combining datasets of 7 different laboratories with similar outcome measures in order to reduce costs and increase the 8 external validity, reliability and generalizability of findings. The present study provided 9 evidence for differences in both RA and RTs in the three conditions of stimulus presentation 10 and potentially set the stage regarding the influence of TxC interaction on these two response 11 variables. It would thus be worthwhile to expand on these findings and examine whether the 12 same holds true for the other sex. This could be done, for instance, by investigating the 13 interaction between oestradiol and cortisol with RA, as previous research showed that high 14 oestradiol is associated with more externalizing behaviours (linked to emotion-recognition 15 difficulties, see Chronaki et al., 2015), but only when cortisol was low (Tackett et al., 2015).

## Conclusion

16 The findings of this study inform our current understanding with regard to the audio-visual 17 integration of emotional signals among men by showing that audio-visual stimuli benefit RA 18 over unimodal stimuli. They also explain inconsistencies in the past literature by highlighting 19 that in explicit emotion recognition tasks voice-only expressions do not increase RA. Moreover, 20 they replicate previous findings by establishing that for particular emotion categories RA and 21 RTs vary as a function of modality. Crucially, our study contributes to a scientific domain that 22 is currently reconsidering our understanding of the role hormones play for the recognition of 23 emotions. It hereby paves the way for impactful future research, especially for the effects 24 regarding TxC interaction with RA and RT.

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## **Author contributions**

- 4 A. L. designed the research with input from C. B., L. P. and A.S.; C. B. collected part of the
- 5 data and wrote on the method part; A.L. analysed the data and wrote the paper with input from
- 6 C. B., L. P. and A.S.

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## **Competing Interests**

10 The authors declare no competing interests.

## Data availability

11 The dataset generated and analysed for the current study is available at osf.io/2ayms.

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