1	Article in the Discoveries section
2 3	Evolution of Gene Expression and Splicing in Parallel Cold-Adapted Fly Populations
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16	

#### 17 Abstract

18 Changes in gene regulation at multiple levels may comprise an important share of the molecular 19 changes underlying adaptive evolution in nature. However, few studies have assayed within- and 20 between-population variation in gene regulatory traits at a transcriptomic scale, and therefore 21 inferences about the characteristics of adaptive regulatory changes have been elusive. Here, we assess 22 quantitative trait differentiation in gene expression levels and alternative splicing (intron usage) 23 between three closely-related pairs of natural populations of Drosophila melanogaster from contrasting 24 thermal environments that reflect three separate instances of cold tolerance evolution. The cold-25 adapted populations were known to show population genetic evidence for parallel evolution at the 26 SNP level, and here we find significant although somewhat limited evidence for parallel expression 27 evolution between them, and less evidence for parallel splicing evolution. We find that genes with 28 mitochondrial functions are particularly enriched among candidates for adaptive expression 29 evolution. We also develop a method to estimate cis-versus trans-encoded contributions to 30 expression or splicing differences that does not rely on the presence of fixed differences between 31 parental strains. Applying this method, we infer important roles of both cis- and trans-regulation 32 among our putatively adaptive expression and splicing differences. The apparent contributions of cis-33 versus trans-regulation to adaptive evolution vary substantially among population pairs, with an 34 Ethiopian pair showing pervasive trans-effects, suggesting that basic characteristics of regulatory 35 evolution may depend on biological context. These findings expand our knowledge of adaptive gene 36 regulatory evolution and our ability to make inferences about this important and widespread process. 37

# 38 Introduction

39 Different species or populations often evolve similar phenotypes when adapting to similar

40 environments (Schluter 2000; Losos, 2011). Although such parallel phenotypic evolution can be

 $41 \qquad {\rm caused \ by \ structural \ mutations \ changing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ increasing \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ is \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ there \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ amino \ acids \ (Hoekstra \ and \ Coyne, \ 2007), \ amino \ acids \ amino \$ 

42 evidence that regulatory mutations altering gene expression underlie many cases of phenotypic

43 evolution (Wittkopp & Kalay, 2012; Jones et al. 2012; Stern 2013; Sackton et al. 2019). Most studies

44 on gene expression focus on expression abundance (the number of transcripts for a whole gene).

45 However, alternative splicing resulting in the difference of transcript proportions can also contribute

to adaptation (Barbosa-Morais et al. 2012; Gamazon and Stranger 2014; Smith et al. 2018).

47 Therefore, understanding the transcriptomic basis of parallel phenotypic evolution requires studies of

48 both expression abundance and alternative splicing, although the latter aspect has rarely been

49 studied.

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51 The level of parallelism for gene expression abundance changes varies across study systems. In some 52 taxa and natural conditions, significantly more genes show parallel changes (repeatedly up- or down-53 regulated in one ecotype relative to the other among independent population pairs) than anti-54 directional changes (Zhao et al. 2015; Hart et al. 2018; Kitano et al. 2018; McGirr and Martin. 55 2018). However, some other cases did not show significant parallel patterns, or they even show anti-56 parallel patterns (Derome et al. 2006; Lai et al. 2008; Hanson et al. 2017). The varying degree of 57 parallelism may partly be explained by the level of divergence among ancestors: more closely related 58 ancestors are expected to show a higher degree of parallel genetic evolution underlie similar 59 phenotypic evolution (Conte et al. 2012; Rosenblum et al. 2014). 60 61 Furthermore, gene expression evolution can be caused by the same or different molecular 62 underpinnings. Because of the difficulties of mapping expression QTL, a first step is to classify the 63 expression evolution into two regulatory classes. Cis-regulatory changes are caused by local 64 regulatory mutations and result in allele-specific expression difference in a hybrid of divergent 65 parental lines (Cowles et al. 2002; Wittkopp et al. 2004). Trans-regulatory changes are caused by 66 regulatory mutations at other loci. They modify the expression of both alleles in hybrid diploids and 67 do not result in allele-specific expression difference (Gilad et al. 2008). The relative importance of cisand trans-effects to parallel evolution varies among different studies systems (Wittkopp et al. 2008; 68 69 McManus et al. 2010; Wittkopp and Kalay 2012; Chen et al. 2015; Osada et al. 2017; Hart et al. 70 2018; Nandamuri et al. 2018; Verta and Jones 2019). Most previous studies have focused on 71 regulatory evolution between relatively distantly related lineages such as different species, from which 72 population genetic evidence of adaptive evolution may not be available. Hence, the contributions of 73 cis- and trans-effects to the recent adaptive divergence between populations remain mostly unknown. 74 75 In part because of the interest in the evolutionary response to climate change, *Drosophila* has been

76 used as a model system to study the genetic basis of thermal adaptation (Hoffmann et al. 2003).

77 Because temperature is an important environmental variable along latitudinal clines, clinal

78 populations of *Drosophila melanogaster* have been studied for decades (Adrion et al. 2015). Along these

79 clines, populations exhibit different degrees of cold tolerance in the expected direction, suggesting

80 spatially varying selection related to temperature (Hoffmann and Weeks 2007; Schmidt and Paaby

81 2008). The recent development of genomics has allowed identification of clinal genomic variants,

82 which are candidates for thermal adaptation (e.g., Kolaczkowski et al. 2011; Fabian et al. 2012;

83 Bozicevic et al. 2016; Mateo et al. 2018). There is also evidence of parallel evolution at the genomic 84 and transcriptomic level (Reinhardt et al. 2014; Bergland et al. 2015; Machado et al. 2015; Zhao et 85 al. 2015; Juneja et al. 2016; Zhao and Begun 2017). Some of these studies compared clines between 86 species (which may have somewhat distinct biology), while others compared clines between Australia 87 and North America (which both feature primarily European ancestry with clinally variable African 88 admixture). Other transcriptomic studies have identified genes showing differential expression 89 between sub-Saharan African and European populations (e.g., Catalan et al. 2012; Huylmans and 90 Parsch 2014), which are separated by moderately strong neutral genetic differentiation associated 91 with the out-of-Africa bottleneck.

92

93 More broadly, populations of Drosophila melanogaster from contrasting environments offer an excellent 94 opportunity to study parallel gene regulatory evolution and its underlying mechanisms. Originating 95 from a warm sub-Saharan ancestral range (Lachaise et al. 1988; Pool et al. 2012), D. melanogaster 96 has occupied diverse habitats, including environments with contrasting temperature ranges. There 97 are at least three instances in which the species expanded to cold environments: from Africa into 98 higher latitude regions in Eurasia, from Ethiopia lowland to higher altitudes, and from South Africa 99 lowland to higher altitudes. Populations were collected from these six regions, representing three 100 warm-cold population pairs: Mediterranean pair (Med), collected in Egypt (EG, warm) and France 101 (FR, cold); Ethiopian pair (Eth) collected in Ethiopia lowland (EA, warm) and highland (EF, cold); 102 and South Africa pair (SAf), collect in South Africa lowland (SP, warm) and highland (SD, cold). 103 Importantly, each of these population pairs has the advantage of low genetic differentiation between 104 its warm- and cold-adapted members (Pool et al. 2017). Although the cold populations have invaded 105 colder habitats for only  $\sim 1000-2000$  years ( $\sim 15k-30k$  generations) (Sprengelmeyer et al. 2019), they 106 have shown signals of parallel adaptation for cold tolerance and allele frequency changes (Pool et al. 107 2017). In the present study, this unique system allows us to assess the degree of parallelism for 108 transcriptomic changes underlying parallel cold tolerance evolution.

109

Here, we generate RNA sequencing (RNA-seq) data for multiple outbred genotypes from each of the six population samples listed above, from larval, pupal, and adult stages. We estimate gene expression and alternative intron usage levels for each sample, then identify cases of unusually high quantitative trait differentiation between each pair of warm- and cold-adapted populations. We find evidence for parallel evolution for expression abundance at the larval and female adult stage, but less parallel signal for splicing. We further tease out the cis-vs. trans-regulatory effect by sequencing the 116 transcriptomics of the parental lines from different populations and their F1 offspring. Applying our

117 resampling approach to study cis- and trans-regulatory effects, we find the relative contribution of cis-

118 vs. trans-effects to adaptive expression differentiation varies notably across population pairs. Finally,

- 119 we identify several candidate genes with both cis-effects and high  $F_{ST}$ , as potential targets of local
- 120 adaptation.
- 121
- 122

## 123 Results

124 Phenotypic evolution related to cold adaptation

125 The cold populations have been shown to have a higher proportion of recovered female adults after

126 prolonged cold exposure than the respective warm populations (Pool et al. 2017). Here for egg-to-

127 adult survival at 15°C, we found the FR and EF populations have significantly higher survival than

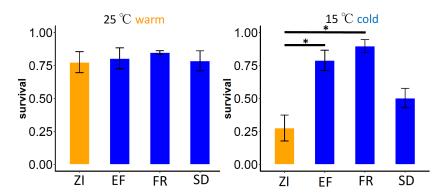
128 the ancestral range Zambia ZI population, while at 25°C benign temperature all the populations

129 have relatively high survival (75%). Although SD is not significantly better than ZI at cold

130 temperature for this assay, it follows the same trend. Together the results for survival and adult cold

tolerance suggest the cold populations have evolved to adapt to low temperature.

132



134 Fig 1. Survival for the ancestral warm population (ZI) and the cold populations (EF, FR and SD) at

135 different temperatures. \* indicates the survival is significant difference (p < 0.05). Error bar shows the 136 standard error based on three strains.

137

133

138 Co-directional evolution in gene expression between population pairs

139 To focus on the transcriptomes of outbred genotypes, we generated eight within-population crosses

- 140 from each population under a derived cold environment (15 °C). We then surveyed the
- 141 transcriptomes on larvae, pupae and female adults for each cross using high-throughput RNA

sequencing (RNA-Seq). We used a quantitative genetic index, *P*<sub>ST</sub>, to quantify phenotypic

- 143 differentiation of expression and splicing between populations in each pair.  $P_{ST}$ , analogous to  $F_{ST}$  for
- 144 genetic variation, measures the amount of trait variance between populations versus total variance for
- 145 a phenotype (Merila et al. 1997; Brommer 2011; Leinonen et al. 2013). The genes/introns with
- highest  $P_{ST}$  quantiles are more likely to be under ecological differential selection between populations
- 147 than those with lower  $P_{ST}$  quantiles (Leder et al. 2015).
- 148
- 149 The numbers of genes that passed the filters for analysis were (same across population pairs): 4699
- 150 genes for larva, 5098 genes for pupa and 6786 genes for adult. To study gene expression divergence
- 151 potentially under ecologically differential selection, we calculated  $P_{ST}$  (Materials and Methods). The
- top 20 *P*<sub>ST</sub> outliers for each population/stage for expression and for splicing are listed in Table S1.
- 153

154 We used the upper 5% of  $P_{ST}$  quantile as outliers for each population pair. We found signals of

155 parallel expression divergence in all three pairwise comparisons (Med vs. Eth; Med vs. SAf; Eth vs.

156 SAf), where the shared outliers with co-directional changes were more than expected by chance.

157 Across the three developmental stages, adult stage showed the highest level of parallelism (on average

158 0.34% of outliers were shared and changed consistently).

159

stage	larva		pupa		adult	
Population	Med	SAf	Med	SAf	Med	SAf
pairs						
Eth	1.4	1.2	2.2	0.79	1.4	4.3
SAf	3.1		0.16		2.4	

160 Table 1. The proportional enrichment of parallel expression abundance changes in real data relative
161 to expectation. The proportion of parallelism expected by chance is 0.05×0.05×0.5=0.00125. All the
162 proportions for larvae and adult stages were higher than the expectation (proportional enrichment >

163 1). Those that were significantly greater than the expectation are in **bold** (binomial one-tailed test,

- 164 Bonferroni correction for nine tests in total).
- 165

166 To explore the broader patterns of parallel changes, we used the upper 5%  $P_{ST}$  outliers in a

167 population pair (Outlier Pair) and examined whether the expression for this set of genes changed in the

168 same direction in another pair (Directional Pair), regardless of outlier status in the latter pair. There

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- 169 were excesses of co-directional changes in the *Directional Pairs* for the larval stage (Figure 1). However,
- 170 the patterns were weaker for the adult stage and there were excesses of anti-directional changes for
- the pupal stage.
- 172

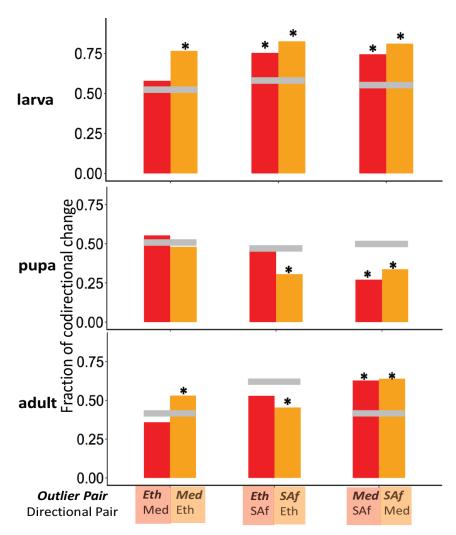




Fig. 2. Fractions of co-directional gene expression changes in *Directional Pairs* for the P<sub>ST</sub> outliers
identified at the *Outlier Pairs*. The grey bar indicates the fraction of co-directional change for the
control set of genes. \* indicates the fraction for the outliers is significantly different from the control
genes after Bonferroni correction. Overall, the Med and SAf pairs showed stronger co-directional
changes than the comparisons involved Eth pair.

179

180 We also performed a similar analysis for  $P_{ST}$  outliers of alternative exon junction usage. The numbers

- 181 of exon junctions that passed the cutoffs for  $P_{ST}$  calculation were 976 for larva, 4604 for pupa and
- 182 7059 for adult. The patterns of co-directional changes were qualitatively similar to those for gene

183 expression (Fig. S1). The fractions of co-directional changes were still highest for the larvae among

184 the three stages; all of the comparisons except one showed an excess of co-directional changes relative

185 to the control comparisons. For pupae, there was evidence for both co-directional and anti-

- 186 directional changes. For female adult stages, the major pattern was an excess of anti-directional
- 187 changes.
- 188

189 Enriched functional categories for the  $P_{ST}$  outliers for gene expression and exon usage

190 Significant Gene Ontology (GO) terms enriched in different sets of  $P_{ST}$  outliers for gene expression 191 are listed in Table S2. Among the significant GO terms for different population pairs, we found six 192 terms shared between Med pair and Eth pair at the adult stage. The level of sharing is significantly 193 more than we expect by chance based on permuted outlier sets (p < 0.001), suggesting functional 194 convergence for adult development to the cold environment for Med pair and Eth pair. Further, 195 similar GO terms were identified from different pairs at different stages such as terms related to 196 mitochondria, nucleoside metabolic process, and oxidoreductase complex. However, the majority of 197 GO terms were unique for different pairs, suggesting that many functional changes for adaptation to 198 cold environments may be population-specific.

199

### 200 Cis- and trans-acting contributions to differential gene expression abundance

201 One major goal is to distinguish the contributions of cis- and trans-regulatory effects on expression 202 differentiation. First, we compared the overall strengths of cis- and trans-effects by estimating the 203 absolute values of cis- and trans-effects for all analyzed genes. The magnitudes of trans-effects are 204 significantly larger than the cis-effects in all three population pairs (mean absolute cis effects and trans 205 effects are: Med pair, 0.09 vs. 0.14, p < 2.2e-16; Eth pair, 0.27 vs. 0.32, p =1.5e-14; SAf pair, 0.14 vs. 206 0.15, p < 2.2e-16. 'Mann-Whitney' paired test.). Moreover, we found strong negative relationships 207 between cis- and trans-effects within each population pair (Fig. S2), where the cis- and trans-effects 208 are generally in the opposite directions.

209

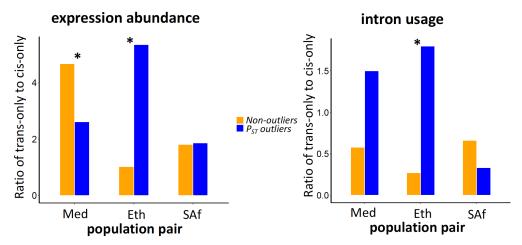
210 Next, we used our conservative permutation approach (see Materials and Methods) to study how

211 many genes show a significant cis-effect, trans-effect or both. Averaged across population pairs, we

found that for the expression abundance, 12.6% show cis only regulatory effects while 26.2% show

- trans only effects, consistent with trans-effects being stronger on average than cis-effects (Table 2).
- 214 Because we are interested in the regulatory contributions to adaptive evolution of gene expression, we
- further compared the ratio of trans only to cis only genes between  $P_{ST}$  outliers and non-outliers. The

- 216 ratio is significantly lower in  $P_{ST}$  outliers than that in non-outliers for Med pair (p = 0.003) but the
- 217 pattern reverses for Eth pair (p = 8.5e-10; Fig. 3 left; Fig. 4). While the ratio is not different for SAf
- 218 pair (p = 0.999). Hence, there is not a consistent pattern of greater usage of cis- versus trans-
- 219 regulatory changes in putatively adaptive expression changes compared with transcriptome-wide
- 220 differentiation.
- 221
- 222 On average across population pairs, about 31% of all genes in the analysis showed both effects (Table 223 2). Among the outlier genes showing both effects (Fig 4), the vast majority (85%) of them were in
- opposite directions (*i.e.* compensatory). Similarly, most of the control genes with both effects showed
- 224 225
- apparent compensation (88%), which is consistent with the transcriptome-wide negative relationship
- 226 between cis- and trans-effects (Fig. S2). Although the pattern can be biologically meaningful, it may
- 227 also represent an artifact from using the same F1 expression data for allele specific expression (ASE)
- 228 estimation to infer both cis- and trans-effects. Any measurement error on ASE will introduce
- 229 artifactual negative correlation between cis- and trans-acting changes (see Discussion below).
- 230



232 Fig 3. Ratio of genes (left) and intron usage (right) showing trans only regulatory effects to those 233 showing cis only effects for  $P_{ST}$  outliers and non-outliers. \* indicates the ratio is significantly different 234 between  $P_{ST}$  outliers and non-outliers (p < 0.005).

235

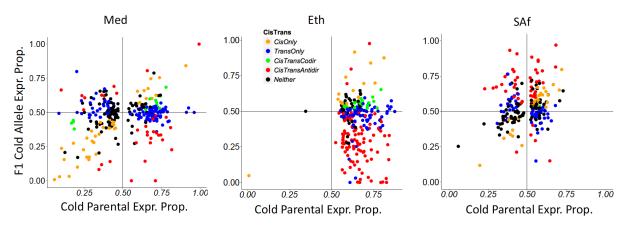


Fig 4. The relative expression proportion of cold alleles in parental and F1 datasets. The colors of thepoints indicate the regulatory mechanism of the outlier genes inferred by our approach.

239

236

240 Since the cis-regulatory mutations contributing to local adaptation may show differentiation in allele 241 frequency between populations, we examined whether genes with cis-effects (including cis only genes 242 and genes with both cis- and trans-effects) show association with high  $F_{ST}$  between the two warm and 243 cold populations – for both window  $F_{ST}(F_{ST winmax})$  and SNP  $F_{ST}(F_{ST SNPmax})$ . We found that only genes 244 with high  $F_{ST\_winmax}$  are enriched in cis-regulated genes in Med pair (the proportion for high  $F_{ST\_winmax}$ ) 245 is: cis-effect genes, 22.5%; control non-outliers, 11%; p = 0.037). However, there was no significant 246 enrichment for high  $F_{ST_{SNPmax}}$  in cis-regulated genes. Moreover, there was no enrichment for either 247 window or  $F_{ST SNPmax}$  with cis-regulated genes in the other population pairs.

248

249 We then focused more narrowly on a set of outlier genes that showed both significant cis-effect only 250 and higher  $F_{ST}$  quantile (upper 5%), which could reflect adaptive regulatory evolution targeting the 251 surveyed sequences or nearby sites. For Med pair, there were three cis-genes showing high window 252  $F_{ST}$  (Ciao1, Cyp6a17, and NiPp1) and one cis-gene showing high  $F_{ST}$  (Spinau (spin). Interestingly, Cyp6a17 253 encodes a cytochrome P450 protein that is required for temperature preference behavior (Kang et al. 254 2011). Cyp6a17 variants have also been associated with insecticide resistance (Battlay et al. 2018; 255 Duneau et al. 2018). Cyp6a17 is impacted by a polymorphic whole-gene deletion with contrasting 256 frequencies between populations (Chakraborty et al. 2018), underscoring its likely role in local 257 adaptation. The spin gene is essential for mTOR reactivation and lysosome reformation after 258 starvation and has important effects on nervous system and courtship behavior (Nakano et al. 2001; 259 Rong et al. 2011). For Eth pair, there were two genes with high window  $F_{ST}$  (CG3529 and mle) and 260 one with high  $F_{ST SNPmax}$  (Aldh-III), which encodes a protein that confers a xenobiotic stress resistance 261 and neutralises the lipid aldehydes formed after the attack of reactive oxygen and radicals (Arthaud et al. 2011; Mateo et al. 2014). For SAf pair, one cis-gene showed both high window  $F_{ST}$  and high

- 263  $F_{ST\_SNPmax}$  (AGO2) and one showing high  $F_{ST\_SNPmax}$  (eca). AGO2 is involved with antiviral defense and
- developmental regulation (Deshpande et al. 2005; Nayak et al. 2010) and was previously found to
- 265 contain fixed differences between European and African populations (Pool 2015). For the genes
- showing high  $F_{ST\_SNPmax}$  (*spin, Aldh-III, AGO2, and eca*), we plotted the SNP  $F_{ST}$  along the gene region
- 267 to show the sites that are the most likely targets of selection (Fig. 5). Interestingly, for *spin*, *Aldh-III* and
- 268 *eca*, the highest  $F_{ST}$  sites are located in noncoding regions (intron region for *spin*, downstream of the
- 269 gene for *Aldh*-III and upstream of the gene for *eca*). While for *AGO2*, the highest  $F_{ST}$  site was located in
- the protein coding sequence.
- 271

272 Further, we identified seven genes showing consistent cis-effects across two population pairs (cis-effect

273 favored expression of the same cold or warm parental alleles). Similarly, these shared cis- effect genes

274 might show high genetic differentiation specific for cold populations in the two focal pairs. Using the

275 "Population Branch Excess" statistic (PBE) results from Pool et al. 2017, we found that one gene

276 named *Tollo* contained SNPs showing high cold-population specific differentiation (PBE quantile <

277 0.05) in both Eth and SAf pairs. *Tollo* is known to be have several important functions: innate

278 immune response, glucose and protein metabolism regulation, and peripheral nervous system

development (Seppo et al. 2003; Yagi et al. 2010; Akhouayri et al. 2011; Ballard et al. 2014).

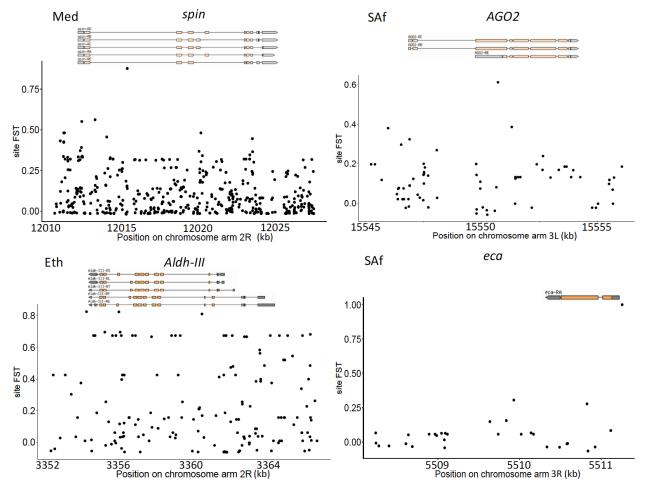


Fig 5. SNP *F<sub>ST</sub>* along the gene with flanking region of 2kb for *spin* in Med pair, *Aldh-III* in Eth pair
and *AGO2* and *eca* in SAf pair. The top diagram depicts the coding (orange) and non-coding (gray)
exon, captured from GBrowse 2 of *D. melanogaster* (R5.57) from FlyBase (St. Pierre et al. 2014).

pair	expression	Total	Cis only	Trans only	Both co-	Both anti-dir	Neither
	type	tests			dir		
Med	P <sub>ST</sub> outliers	282	41 (15%)	107 (38%)	12 (4%)	34 (12%)	88 (31%)
	Non- outliers	4887	431 (9%)	2015 (41%)	288 (6%)	917 (19%)	1236 (25%)
Eth	$P_{ST}$ outliers	251	14 (6%)	75 (30%)	20 (8%)	104 (41%)	38 (15%)
	Non- outliers	4851	967 (20%)	985 (20%)	147 (3%)	1905 (39%)	847 (17%)
SAf	<i>P</i> <sub>ST</sub> outliers	224	29 (13%)	54 (24%)	1 (0.4%)	49 (22%)	91 (41%)

	Non-	4721	431 (9%)	780 (17%)	60 (1%)	1289 (27%)	2161 (46%)
	outliers						

285 Table 2. Numbers of gene expression abundance traits showing different regulatory effects for  $P_{ST}$ 

286 outliers and non-outliers. The percentage in parentheses indicates the fraction of genes in each

287 category relative to total genes in the tests.

288

pair	Intron type	Total	Cis only	Trans	Both co-	Both anti-	Neither
		tests		only	dir	dir	
Med	<i>Pst</i> outliers	21	2 (10%)	3 (14%)	0	3 (14%)	13 (62%)
	Non-outliers	375	78 (21%)	45 (12%)	2 (1%)	81 (22%)	169 (45%)
Eth	<i>Pst</i> outliers	37	5 (14%)	9 (24%)	1 (3%)	10 (27%)	12 (32%)
	Non-outliers	456	170 (37%)	46 (10%)	5 (1%)	115 (25%)	120 (26%)
SAf	$P_{ST}$ outliers	18	3 (17%)	1 (6%)	0	10 (56%)	4 (22%)
	Non-outliers	460	62 (13%)	41 (9%)	1 (0.2%)	168 (37%)	188 (41%)

Table 3. Numbers of introns showing different regulatory effects for *PsT* outliers and non-outliers.
The percentage in parentheses indicates the fraction of introns in each category relative to the total
introns in the tests.

292

293 *Cis- and trans-acting contributions to differential intron usage* 

For all intron usage, we found the magnitude of trans-effects on average to be higher than that of ciseffects (mean absolute cis effects and trans effects are: Med pair, 0.15 vs. 0.18, p = 6.2e-06; Eth pair,

296 0.32 vs. 0.34, p < 3.3e-5; SAf pair, 0.20 vs. 0.22, p = 0.00027. 'Mann-Whitney' paired test.).

Although there are few outlier introns tested for cis- and trans-regulatory effects (Table 3) because of

the limited diagnostic SNPs located within the intron regions, we found the numbers of significant

trans only introns were higher than that of significant cis only introns summing across three

300 population pairs. While for non-outlier introns, the significant trans only introns are fewer than the

301 significant cis only introns (the numbers of cis only vs. trans only introns are ten vs. 13 for outliers;

302 311 vs. 132 for non-outliers;  $X^2 = 6.1$ ; df = 1; P = 0.014). Thus, trans-regulated splicing changes

303 appear to be relatively more common for putatively adaptive than for putatively neutral population

304 differences, although the pattern varies geographically (Figure 3).

306 For the outlier introns showing cis-effects (including only cis and both cis and trans), the maximum

- 307  $F_{ST}(F_{ST SNPmax})$  around their splice sites tends to be higher than that for non-outliers (average  $F_{ST SNPmax}$ )
- 308 for cis outlier vs. non-outliers: Med: 0.184 vs. 0.153; Eth: 0.152 vs. 0.134; SAf: 0.081 vs. 0.055).
- 309 Because there are few cis outlier introns with SNPs located around splice sites, all three comparisons
- 310 are non-significant based on Wilcoxon signed-rank test. Across the three comparisons, four genes
- 311 contained cis-regulated introns with high  $F_{ST}$  SNPmax around splice sites (top 15% quantile of  $F_{ST}$  SNPmax).
- 312 One identified in Med pair is *Usp10*, which is known to regulate Notch Signaling during development
- 313 (Zhang et al. 2012). One gene identified in SAf pair is Sdc, which has been shown to have
- 314 neuromuscular functions (Johnson et al. 2006; Chanana et al. 2009). The other two genes (DOR and
- 315 Jabba) were related to lipid metabolism (Francis 2010; McMillan et al. 2018). Since the two lipid
- 316 related genes were identified in highland pairs (DOR in SAf and 7abba in Eth), putative changes in
- 317 lipid metabolism might facilitate adaptation to high altitude environments.
- 318

#### 319 Discussion

320 Parallel evolution has often been studied at the population genetic and trait levels, but it has less 321 frequently been analyzed at the transcriptome level (Stern 2013; Juneja et al. 2016). In this study, we 322 used three recent instances of adaptation to colder climates in Drosophila melanogaster to study the 323 evolution of gene expression and alternative splicing. The signal of parallel evolution in expression 324 abundance varied among developmental stages, with a higher degree of parallelism for larva and 325 adult stages than pupa. Further, we studied cis- and trans-regulatory evolution in the context of this 326 ecological adaptation. For gene expression abundance, we found geographically variable patterns of 327 cis- versus trans-effects for highly differentiated expression outliers relative to the other genes. 328 Specifically,  $P_{ST}$  outliers show enrichment of cis-effect relative to background genes in Med pair while 329 outliers show enrichment of trans-effect in Eth pair. For splicing, we also found PST outliers enriched 330 for trans-effects in Eth pair. This pattern of trans-effects contributing to differential expression in the 331 Ethiopian pair raises the possibility of large-scale gene regulatory network changes in this 332 phenotypically distinctive highland population, which might result from a few genetic changes or 333 from many. 334

- 335 Although there are significant patterns of parallel evolution in expression abundance between
- 336 population pairs, the majority of outlier genes/intron usages are not shared between pairs. The low
- 337 level of detected parallelism could reflect a high false negative rate, for example due to limited
- 338 spatiotemporal expression of relevant differences (perhaps contributing to the greater parallelism

339 detected in larvae, which have somewhat less tissue diversity). Alternatively, it might reflect the 340 different selection agents in the different natural habitats as well as the demographic histories for 341 these populations. The cold FR population colonized a higher latitude environment than the related 342 warm population EG, whereas the other two cold populations colonized higher altitude environments 343 where the selection agents may include air pressure, desiccation and ultraviolet radiation (Pool et al. 344 2017). Also, the Med pair has experienced the trans-Saharan bottleneck (Pool et al. 2012; 345 Sprengelmeyer et al. 2019) and the standing genetic variation may be altered, potentially resulting in 346 a distinct evolutionary path for FR compared to other two cold populations. Although EF and SD 347 have both adapted to higher altitudes (EF at 3,070 meters above sea level, SD at 2,000), SD is 348 seasonally cold (like FR) whereas EF is perpetually cool. Notably, the EF population exhibits distinct 349 phenotypic evolution such as darker pigmentation (Bastide et al. 2014), larger body size (Pitchers et 350 al. 2013; Lack et al. 2016), and reduced reproductive rate (Lack et al. 2016). Therefore, the 351 underlying transcriptomic evolution for EF may partly reflect its unique phenotypic evolution. 352 Indeed, the Eth pair shows the least parallelism at gene level with the other pairs (Table 1; Fig. 2), 353 although it shared some parallel functional categories with the Med pair (Table S1). 354 355 Compared to the expression abundance, the pattern of parallelism is much weaker for intron usage

356 (Fig. 2, Fig S1), which may partly stem from lower power to detect intron usage change (only a small proportion of reads are informative for exon junctions). However, we still found the Med pair and 357 358 SAf pair show more parallel changes than the combinations with the Eth pair, which is consistent 359 with results for expression abundance. Given the increasing evidence for alternative splicing 360 contributing to environmental response and adaptation (e.g., Singh et al. 2017; Signor and Nuzhdin 361 2018; Smith et al. 2018), we need to study both expression abundance and splicing to fully 362 understand the evolution at the transcriptome level. The development of sequencing approaches with 363 long reads that cover the entire transcripts will enable us to quantify isoforms frequency directly and 364 broaden the scope of alternative splicing variation that can readily be quantified. Since splicing 365 changes during development and among tissues (Brown et al. 2014; Gibilisco et al. 2016), a detailed 366 sampling throughout development of different tissues will also be necessary to understand the role of 367 splicing on ecological adaptation.

368

369 We found trans-effects are generally larger than the cis-effects across the transcriptome, which is

370 consistent with some previous studies (e.g., McManus et al. 2010; Coolon et al. 2014; Albert et al.

371 2018; Hart et al. 2018) but not with others (e.g., Lemmon et al. 2014; Mack et al. 2016; Verta and

372 Jones 2018). The transcriptome-wide stronger trans-effects can be caused by random regulatory 373 changes biased toward trans-regulation because of the larger trans-mutational target size (Landry et 374 al. 2007). To focus on the evolved changes related to adaptation, we compared the ratios of genes 375 with trans-effects to those with cis-effects between  $P_{ST}$  outliers and non-outliers and saw patterns 376 varied among population pairs (Fig. 3). Cis only genes are enriched in the outliers of Med pair while 377 trans only genes are enriched in the outliers of Eth pair, suggesting different adaptive regulatory 378 mechanisms responding to ecological shifts. These results suggest that both cis- and trans-acting 379 expression changes may be viable mechanisms of adaptive evolution. For intron usage, we found 380 more differences showing cis-effects than trans-effects across the transcriptome (Table 3), consistent 381 with splicing differences between Drosophila species studied by McManus et al. 2014. These results 382 may be unsurprising since alternative splicing in *Drosophila* is mostly regulated by nearby sequences 383 (Venables et al. 2011; Kurmangaliyev et al. 2015). However, particularly for the Ethiopian pair, we 384 observed a relative excess of trans-regulation among  $P_{ST}$  outliers, which is consistent with expression 385 abundance results for this same population pair (Fig. 3). Therefore, the genetic basis of gene 386 regulatory evolution may depend on the mechanism (e.g. transcription vs. splicing), the evolutionary 387 scale, and population-specific evolutionary events.

388

389 When we considered genes/introns showing both cis- and trans- effects, we observed that the two 390 types of effects were generally in opposite directions (anti-directional. Table 3). This is consistent with 391 the idea that gene expression is under stabilizing selection in general and gene regulatory networks 392 evolve negative feedback to buffer effects of regulatory changes (Denby et al. 2012; Coolon et al. 393 2014; Bader et al. 2015; Fear et al. 2016). With regard to our  $P_{ST}$  outliers, it is possible that cis-acting 394 changes might have evolved to compensate for unfavorable pleiotropic impacts of adaptive trans-395 regulatory evolution. However, negative correlations between cis- and trans-effects can also be an 396 artifact coming from the measurement error on F1 expression data. Because the F1 data was used to 397 estimate ASE and compared it to 0.5 (cis-effect null) and to parental expression proportion (trans-398 effect null), measurement error will introduce artifactual negative correlation between cis- and trans-399 acting changes. Therefore, whether the opposing effects between cis- and trans-acting changes are 400 biologically meaningful will require further study. As Fraser (2019) and Zhang and Emerson (2019) 401 proposed, using independent F1 replicates or other approaches such as eOTL mapping to infer cis-402 and trans-effects separately is necessary to affirm evidence of compensatory evolution.

404 We expect that the adaptive expression divergence caused by cis-regulatory changes should leave a

- 405 signal in the nearby genomic region. Therefore, we used  $F_{ST}$  statistics to quantify genetic
- 406 differentiation for the region around the focal genes. Window  $F_{ST}$  is sensitive to classic hard sweeps,
- 407 and relatively useful for incomplete sweeps and moderately soft sweeps, but it is less useful for soft
- 408 sweeps with higher initial frequencies of the beneficial allele (Lange and Pool 2016), for which SNP
- 409  $F_{ST}$  may be more sensitive. Here, we only found enrichment of window  $F_{ST}$  outliers in cis-effect genes
- 410 for the Med pair. Interestingly, a previous genomic study on these populations found a stronger signal
- 411 of parallel change for SNP  $F_{ST}$  than for window  $F_{ST}$  genome-wide (Pool et al. 2017). In light of the
- 412 lack of elevated SNP  $F_{ST}$  among our cis-regulatory  $P_{ST}$  outliers, the previously-observed population
- 413 genetic parallelism may primarily reflect changes other than the cis-regulatory events identified from
- 414 our whole-organism RNAseq data.
- 415

### 416 Methods and Materials

- 417 Ecologically and phenotypically differentiated populations
- 418 The three Drosophila melanogaster cold-warm population pairs used in this study, France-Egypt (Med),
- 419 Ethiopia (Eth) and South Africa (SAf), were described in previous publications (Pool et al. 2012; Lack
- 420 et al. 2015; Pool 2017). The three cold derived populations have evolved increased cold tolerance in
- 421 parallel. A previous study has shown that female adults from the cold populations were more likely to
- 422 recover after 96 hours at 4 °C than the respective warm populations (Pool et al. 2017). Here to
- 423 confirm increased cold tolerance for the cold populations for egg-to-adult survival, we selected three
- 424 strains from each of the FR, EF and SD populations as well as from the ancestral warm population
- 425 ZI as control.
- 426
- 427 Developmental success was assayed at 15 °C as the cold environment and 25 °C as the warm control
- 428 environment. 40 mated female flies were allowed to lay eggs in a half pint glass milk bottle with a
- 429 standard medium at room temperature for 15 hours. Each strain had ~8 bottles. After the flies were
- 430 removed and the number of eggs were counted, about half of the bottles were incubated at warm
- 431 environment and the other half were incubated at cold environment. The numbers of adult flies
- 432 emerged from each bottle were counted after 14 days and 42 days from warm and cold environments
- 433 respectively. Bottles with more adults than recorded eggs were scored as 100% survival.
- 434 Developmental success for each strain was measured as the average emergence proportion among
- 435 bottles, which is the number of emerged adults divided by the number of eggs. To determine

436 significance, unpaired t-tests between each cold population and the ZI population were performed for437 both temperature conditions.

438

### 439 RNA sample collections and sequencing

440 Within each population of the three warm-cold pairs (six populations in total), we selected 16 strains 441 and assigned them into eight crosses. Before the crossing, all the strains had been inbred for eight 442 generations. The criterion for choosing parental strains for a cross was based on minimal genomic 443 regions of overlapping heterozygosity. Among the strains chosen within each population, we used 444 similar criteria to select four strains to perform crosses between the warm and the respective cold 445 populations. Two of the four strains were used as the maternal lines and the other two were used as 446 paternal lines in the between-population crosses. One cross between SD and SP populations was lost. 447 We also collected adult female samples from the parental inbred lines used in the crosses.

448

449 All the flies were reared at 15°C, which approximated the derived cold condition. 20 virgin females 450 and 20 males were collected from maternal and paternal lines respectively for each cross and allowed 451 to mate and lay eggs for a week in half pint bottles. Each bottle contained standard Drosophila medium 452 (containing molasses, cornmeal, yeast, agar, and antimicrobial agents). For the within-population 453 crosses, samples at three developmental stages were collected: larva, pupa and female adult. Third-454 instar larvae were collected on the surface of the medium. For pupa, new yellow pupae were collected 455 within one day of pupation. For adult, female flies were collected 4-5 days after eclosion. For samples 456 from between-population crosses and parental lines, only female adults were collected. All the 457 samples were shock-frozen in liquid nitrogen immediately after collection.

458

459 Approximate 50 larvae or 50 pupae or 30 female adults were used for RNA extraction for each

460 sample. Total mRNA was extracted using the Magnetic mRNA Isolation Kit (New England Biolabs,

461 Ipswich, MA) and RNeasy MinElute Cleanup Kit (Qiagen, Hilden, Germany). Strand-specific

462 libraries were prepared using the NEBNext mRNA Library Prep Reagent Set for Illumina. Libraries

463 were sized selected for approximately 150 bp inserts using AMPureXP beads (Beckman Coulter, CA,

464 USA). The libraries were quantified using Bioanalyzer and manually multiplexed for sequencing. All

465 libraries were sequenced on a HiSeq2500 (V4) with 2×75bp paired-end in two flow cells.

466

467 Quantifying gene expression and exon usage frequency

468 The paired-end sequence reads for the within-population cross samples were mapped to the

469 transcribed regions annotated in *D. melanogaster* (release 6, BDGP6.84) using STAR with parameters

470 from ENCODE3's STAR-RSEM pipeline (Li and Dewey 2011; Dobin et al. 2013). For gene

471 expression, the numbers of reads mapped to each gene were quantified using RSEM (Li and Dewey

472 2011). Reads mapped to the rRNA were excluded in the analysis. The expression abundance for

473 each gene was standardized by the numbers of reads mapped to the total transcriptome of the

- 474 sample.
- 475

476 To quantify exon usage, we used Leafcutter (Li et al. 2018) to estimate the excision frequencies of 477 alternative introns. This phenotype summarizes different major splicing events, including skipped 478 exons, 5' and 3' alternative splice-site usage, intron retention. Leafcutter took the alignment files 479 generated by STAR as input to quantify the usage of each intron. Then Leafcutter formed clusters 480 that contain all overlapping introns that shared a donor or accept splice site. The default parameters 481 were used:  $\geq 50$  reads supporting each intron cluster and  $\leq 500$ kb for introns length. The exon usage 482 frequency is the number of intron excision events divided by the total events per cluster. It is worth 483 noting that Leafcutter only detects exon-exon junction usage and it is unable to quantify 5' and 3' 484 end usage and intron retention (Alasoo et al. 2018), which were not examined here.

485

## 486 Identifying outliers in gene expression and intron usage differentiation

487 To identify candidate genes under differential evolution between the warm and cold populations in 488 each pair, we first controlled for the potential transcriptome skew caused by very highly expressed 489 genes. For each expressed gene, we calculated the average expression of the cold samples  $(AvgExp_{cold})$ 490 and that of the warm samples (AvgExp<sub>warm</sub>). Then we obtained the median of the ratio of 491  $AvgExp_{cold}/AvgExp_{warm}$  across all expressed genes for the population pair. Gene expression for the warm 492 samples was normalized by multiplying this median before subsequent analysis. This correction was 493 designed to avoid a scenario in which either the cold population or the warm population had 494 important expression changes in one or more highly expressed genes that caused the relative 495 expression of all other genes to shift, even if their absolute expression level did not.

496

497 We used  $P_{ST}$  statistics to quantify gene expression divergence between cold and warm populations in 498 each population pair:

499 
$$P_{ST} = \frac{V_{between}}{V_{between} + 2V_{within}}$$

500 where  $V_{between}$  is between-populations variance for expression abundance,  $V_{within}$  is the average variance 501 for expression abundance within populations. Although both within- and between-population 502 components of variance can be confounded by the environmental variance,  $P_{ST}$  is still a useful statistic 503 to quantify phenotypic differentiation (Merila 1997; Brommer 2011; Leinonen et al. 2013). Here, 504 environmental variance should be reduced by the common laboratory environment. To reduce 505 sampling variance before calculating  $P_{ST}$ , for each gene, we required the total mapped reads across all 506 48 within-population samples to exceed 200 for a given developmental stage. Then for each 507 population/stage, we excluded the crosses/samples with the highest and lowest gene expression for 508 each gene (to avoid high  $P_{ST}$  values being driven by single anomalous values), resulting in six samples 509 per population/stage. The  $P_{ST}$  quantile based on data excluding extreme samples is concordant with 510 the  $P_{ST}$  quantile calculated using all the crosses for most cases (Fig. S3).

511

512 We chose the above  $P_{ST}$ -based approach instead of simply testing for differential expression in part 513 because our within-population samples reflect real variation as opposed to technical replicates. Also, 514 many alternative methods make assumptions about the data (e.g., negative binomial distribution for 515 transcript counts) which are difficult to apply to splicing, even if they hold for expression.  $P_{ST}$  and the 516 population genetic index  $F_{ST}$  are under the same theoretical framework, and are often directly 517 compared to search for evidence of adaptive trait differentiation. However, environmental and 518 measurement variance will downwardly bias Pst, making targets of local adaptation less likely to 519 reach a threshold defined by genome-wide high  $F_{ST}$  outliers. Hence, in this study we simply focus on 520 the highest quantiles of  $P_{ST}$  for a given trait/population comparison, as detailed below. 521

522 As with gene expression, we used  $P_{ST}$  to estimate the intron usage differentiation between cold and 523 warm populations, with V<sub>between</sub> as the between-populations variance for a given intron's usage 524 frequency,  $V_{within}$  as the average within populations variance for intron usage frequency. Before 525 calculating the  $P_{ST}$ , for each exon-exon junction, we summed the intron excision events  $(n_i)$  and the 526 alternative events  $(n_i)$  of the cluster across all samples in a developmental stage. The minimum for 527 both types of event had to be at least 5 ( $n \in [n_i, n_i] \ge 5$ ) for the exon-exon junction to be included in 528 subsequent analysis. Then for each exon-exon junction, we excluded the sample with highest and 529 lowest intron usage in a population/stage and calculated  $P_{ST}$ .

530

531 Examining co-directional change for outliers shared between population pairs

532 For gene expression differentiation, we used the upper 5% quantile of  $P_{ST}$  as outlier cutoff to identify 533 candidate genes potentially under geographically differential selection. To study the degree of parallel 534 evolution in gene expression, we identified outlier genes shared between two population pairs and 535 showing consistent changes in the cold populations relative to the warm ones (co-directional). 536 Whether the number of shared outliers with co-directional change was significantly greater than 537 expected by chance from the total shared genes between population pairs was determined by a one-538 tailed binomial test. The statistics here and those below assume the expression changes are 539 independent among genes/introns, which is not always the case (genes can interact with each other 540 via regulatory networks).

541

542 The second approach used to examine parallelism of gene expression evolution was to focus on the 543 outlier genes for a specific population pair (*outlier pair*) and examine whether the expression changes in 544 other pair (directional pair) follow the same directions. If cold adaptation causes similar evolution in 545 gene expression, those genes in the *directional pair* should have changes in the same directions as the 546 outlier pair. Each of the pairwise population combinations had two comparisons; a population pair was 547 assigned as the *outlier pair* in one comparison and as the *directional pair* in the other comparison. To 548 generate a control set of genes for the null expectation of co-directional change proportion, we 549 identified genes in the bottom 50% quantile for  $P_{ST}$  in both the outlier pair and the responding 550 *directional pair.* We tested whether the proportion of co-directional change is higher in the outliers than 551 that in the control using the Chi-squared Test.

552

To identify exon usage outliers, a cutoff of the upper 5%  $P_{ST}$  is used. If multiple exon junctions had  $P_{ST}$  pass the top 5% cutoff, only the exon junction with the highest  $P_{ST}$  would be kept as an outlier to

555 control for nonindependence. Because the numbers of shared exon usage outliers in both population

pairs are small (<10), we only performed the second type of analysis studying the proportion of co-

557 directional changes between *outlier pair* and *directional pair* for the top 5% exon usage events. We

558 identified exon usage events in the bottom  $50\% P_{ST}$  in both population pairs as control.

559

560 GO enrichment test for P<sub>ST</sub> outlier genes

561 The Gene Ontology enrichment tests were performed using the R package "clusterProfiler" (Yu et al.

562 2012) based on the fly genome annotation (Carlson 2018). The types of GO terms being tests

563 contained all three sub-Ontologies: Biological Process (BP), Cellular Component (CC) and Molecular

564 Function (MF). Selection of overrepresented GO terms was based on adjusted p-value < 0.1 using

565 "BH" method (Benjamini and Hochberg 1995) for each sub-Ontology. For gene expression, the

566 upper 5%  $P_{ST}$  outliers for each population pair were tested for GO enrichment. To determinate

567 whether the shared significant GO terms between pairs were more than expected by chance, we

randomly sampled the same numbers of genes as the outliers and performed the GO test for both

569 pairs and identified the shared significant GO terms between pairs. We repeated the process 1000

570 times to get a set of numbers for the shared significant GO terms and compared to the actual number

- 571 of shared significant GO terms to get a permuted p-value.
- 572

573 To access the functional categories of the differential intron usage, we calculate the quantile of  $P_{ST}$  for

each exon usage. To rank the differentiation for a gene, we used the highest quantile (the most

575 extreme differentiation) among the exon usages within the gene as the gene quantile  $(q_{gene})$ . To

576 account for the multiple testing of the exon usages for a gene, the adjusted total numbers of testing is 577 calculated as  $n_{sum} = \sum_{i=1}^{i=j} (n_i - 1)$ , where  $n_i$  is the number of testing for a cluster and j is the number 578 of clusters for the gene. Then adjust gene quantile is  $q'_{gene} = 1 - (1 - q_{gene}) \ge n_{sum}$ . The upper 5%  $q'_{gene}$  was 579 used to identify the most differentiated genes for intron usage and they were tested for GO

- 580 enrichment as described above.
- 581

# 582 Cis- and trans-effects of regulatory divergence

To study the contributions of cis- and trans-regulatory effects on expression and exon usage divergent, we focused our analysis on the upper 5%  $P_{ST}$  outliers for gene expression/exon usage. For each gene/exon junction in each population pair, we selected a representative cross showing the greatest difference between parental strains for this analysis. In addition, this difference needed to be larger than the average difference between the cold and warm populations for its pair.

589 To study allele-specific expression/exon junction, we obtained the genomic sequences of the two 590 parental strains aligned separately to the FlyBase D. melanogaster 5.77 assembly (Lack et al. 2015; 591 2016). The SNP calling from the reference genome was done by samtools (Li et al. 2009). To avoid 592 mapping bias for the RNAseq reads (Degner et al. 2009; Stevenson et al. 2013), we updated the 593 reference based on the SNPs for the two parental stains by masking the SNPs as "N". The F1 female 594 adult RNA-seq reads were mapped to the updated reference using STAR with options: --chimFilter 595 None --outFilterMultimapNmax 1 (Dobin et al. 2013). Because of the high level of heterozygosity 596 within our inbred lines (Lack et al. 2015), we used a parental ancestry proportion statistic (f) to study

597 the allele-specific expression instead of focusing on fixed difference between parental strains. The

598 parental proportion in gene expression level/exon usage in the F1 RNA-seq sample was estimated as

599  $f = (p_{FI} - p_w)/(p_c - p_w)$ 

600 where  $p_{FI}$  is the allele frequency in the RNA reads for the F1 sample,  $p_c$  and  $p_w$  are the allele frequency

601 in the genomic reads for the cold- and warm-adapted parental lines respectively. SNPs were filtered

602 with read counts  $\geq$  10 in the F1 RNA-seq sample and the parental samples as well as parental

603 frequency difference  $|p_c - p_w| \ge 0.25$ . The parental proportion for each candidate gene was the

604 average f for all sites located in the gene  $(\bar{f})$ .

605

We tested two null hypotheses corresponding to cis only and trans only regulatory differences. Under the null hypothesis that cis-regulatory effects are absent, the  $\bar{f}$  is expected to be near 0.5 because the cold parental strain contributes half of the alleles to F1 offspring, and alleles from different parents express similarly in these F1s (Cowles et al. 2002; McManus 2010; Meiklejohn et al. 2014). Under the null hypothesis that trans-regulatory effects are absent,  $\bar{f}$  is expected to approximate the ratio of the cold parental strain expression to the total expression of both parental strains (Wittkopp et al. 2004):  $r_{F0} = E_c/(E_c + E_w)$ . However, sampling effects can cause  $\bar{f}$  to deviate from the null expectations.

613

614 We accounted for different types of uncertainty on estimating f. The first is the uncertainty on 615 estimating parental strain frequencies  $p_c$  and  $p_w$  from the genomic data. For each SNP used in the 616 calculation, we resampled 60 alleles based on the estimated allele frequency, representing the 30 617 individuals used for genome sequencing (Lack et al. 2015). Then we sampled reads by drawing with 618 replacement among the resampled 60 alleles until we reached the observed read depth of the site to 619 calculate the  $p_c$  and  $p_w$ . To account for the measurement uncertainty in F1 expression, we sampled 620 with replacement for the F1 reads mapped to each gene (based on  $p_c$ ' and  $p_w$ ') until we reached the 621 numbers of reads mapped to the gene. Then we recalculated the  $p_{F1}$  for each SNPs and together with  $p_c$  and  $p_w$  to calculate the  $\overline{f}$  for each gene. We repeated the above process 1000 times to get a 622 623 distribution of  $\overline{f}$ . A 95% confidence interval of the distribution not overlapping with 0.5 suggested 624 the existence of a cis-effect.

625

626 However, there is another type of sampling effect if the regulatory variants are not fixed different

627 between parental strains. For example, one strain may be heterozygous for a causative regulatory

628 variant, which might be located outside the exons and hence absent from the RNAseq data. The null

629 hypothesis for inferring a cis-effect is that only trans-effects are present and the  $\bar{f}$  is 0.5. Sampling of 630 trans-regulatory polymorphism does not affect the null expectation since trans-effect influences both 631 target alleles similarly. However, the sampling of cis-regulatory polymorphism affects the null expectation for trans-effect because the F1 expression proportion  $\overline{f}$  can deviate from the parental 632 633 expression ratio  $r_{F0}$ , potentially causing false positive inferences of trans-effect. Although there is no 634 information about the frequency and effect size for the cis-regulatory mutations, we chose simple 635 assumptions about them to make a relatively conservative approach for inferring trans-effects. We 636 assumed that the frequency of the cis-regulatory allele is 0.5 in the cold-adapted strain (heterozygous, 637 Aa) and 0 in the warm adapted strain (homozygous, aa). This simplest polymorphism condition 638 maximized the sampling effect within the cold strain. Then we assigned the effect size for the a cis-639 regulatory allele as the expression level of the warm adapted strain  $(E_w)$ . The effect size for the A cis-640 regulatory allele is  $2E_c - E_w$ , where the  $E_c$  is the expression level of the cold adapted strain. Then we 641 sampled 30 alleles randomly from Aa with replacement to create diploid individuals and calculated 642 the average expression for the sampled individuals from the cold strain  $E_c$ . The updated  $r_{F\theta}$  is 643 calculated as  $E_c'/(E_c' + E_w)$ . The sampling and calculation were repeated 1000 times. Each time the  $r_{F0}$  is paired with a  $\overline{f}$  described above to calculate the difference  $D' = \overline{f'} - r_{F0}$ . A 95% confidence 644 645 interval of D' not overlapping with 0 suggested the existence of a trans-effect.

646

647 Based on the tests above, the set of candidate genes were classified into categories (McManus 2010; 648 Schaefke et al. 2013; Chen et al. 2015) including no significant cis- or trans-effect, cis only, and trans 649 only. For genes showing both cis- and trans-effects, we further classified them based on whether these 650 two effects favored expression of the same (co-directional) or different parental allele (anti-651 directional). For exon usage differentiation, we applied a similar approach to classified the 652 differentiated exons into the five categories, accounting for different sampling effects and 653 measurement errors. Instead of analyzing expression level of the parental strains (*E*), we analyzed

- 654 their exon usage frequency for the sets of outlier exon junctions.
- 655

656 For the  $P_{ST}$  outlier introns identified as cis only or both cis- and trans-effect, we hypothesized that

657 causative cis-regulatory elements may show elevated allele frequency differentiation between the

658 warm and cold populations. For expression abundance, the majority of cis-regulatory SNPs are

- 659 located within 2kb upstream of the transcription start site and downstream of the transcription end
- 660 site (Massouras et al. 2012). Therefore, we used the interval from 2kb upstream to 2kb downstream as

661 the focal region of a gene for this analysis. We calculated window  $F_{ST}$  and SNP  $F_{ST}$  using sequenced 662 genomes from *Drosophila* Genome Nexus (Lack et al. 2015 & 2016). For window  $F_{ST}$ , the division of 663 windows within a gene region is based on 250 non-singleton variable sites per window in the ZI 664 population (Pool et al. 2017). The highest  $F_{ST}$  for the windows overlapping the focal region was 665 assigned as its  $F_{ST}$  winnax. To determinate the statistical significance of  $F_{ST}$  winnax, we calculated  $F_{ST}$  winnax 666 for all other blocks of the same number of windows along the same chromosome arm where cross-667 over rates were above 0.5cM/Mb (Comeron et al. 2012), but excluding those within 10 windows of 668 the focal region. The specific non-low recombination regions are: 2.3–21.4 Mb for the X 669 chromosome, 0.5–17.5 Mb for arm 2L, 5.2–20.8 Mb for arm 2R, 0.6–17.7 Mb for arm 3L, and 6.9– 670 26.6 Mb for arm 3R. SNP  $F_{ST}$  was calculated for all sites within the focal region and the highest value 671  $(F_{ST\_SNPmax})$  was thus obtained for the focal gene. Analogous to our  $F_{ST\_winmax}$  permutation, we also 672 calculated F<sub>ST\_SNPmax</sub> for permuted regions with the same number of SNPs as the focal region, along 673 the non-low cross-over rate region on the same chromosome arm. For both  $F_{ST\_winmax}$  and  $F_{ST\_SNPmax}$ , 674 we then focused on regions in the upper 10% quantile of permuted values for further analysis. We 675 tested whether the proportion of genes with high  $F_{ST}$  is higher in the cis-effect genes than that in 676 control non-outliers using the Fisher's Exact Test because of the low counts. 677

678 We also identified genes with significant cis-effects shared in two population pairs and examined

679 whether the effects favored expression of the same cold or warm parental alleles (consistent cis-effect).

680 Also, we tested whether the shared cis-effect genes also show elevated population genetic

681 differentiation in the two pairs. We obtained "Population Branch Excess" statistic (PBE) specific for

682 cold populations for SNPs from Pool et al. 2017. We used  $\pm 2kb$  around the gene regions to look for

683 any shared cis-effect genes containing SNPs with high *PBE* statistic for cold population in both pairs

684 (*PBE* quantile < 0.05 in both pairs).

685

For exon usage, because the cis-regulation is largely contributed by the splice sites (Kurmangaliyev et al. 2015), we calculated the  $F_{ST}$  value for the splice sites, which are located within ±15 base pair around the two intron/exon boundaries. The maximum  $F_{ST}$  among the splice sites for each intron is chosen as the SNP  $F_{ST}$  for the focal intron. We compared the  $F_{ST\_SNPmax}$  of the cis outlier introns and the non-outlier introns to see whether the cis outlier introns showed elevated  $F_{ST}$  based on Wilcoxon signed-rank test. To examine the potential function of splicing differentiation, genes containing high

- 692 SNP  $F_{ST}$  (upper 15% quantile of  $F_{ST}$  sNPmax) flanking cis-regulated introns were identified as candidate
- 693 genes.
- 694

#### 695 Acknowledgements

- 696 We thank Colin Dewey for helpful discussions and the UW-Madison Center for High Throughput
- 697 Computing (CHTC) for cluster usage. This work was funded by NSF DEB grant 1754745 to JEP 698
- and by NIH NIGMS grant F32GM106594 to JBL.
- 699

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