¹ Upstream translation initiation expands the ² coding capacity of segmented negative-strand ³ RNA viruses

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27 Abstract

28 Segmented negative-strand RNA viruses (sNSVs) include the influenza viruses, the 29 bunyaviruses, and other major pathogens of humans, other animals and plants. The genomes 30 of these viruses are extremely short. In response to this severe genetic constraint, sNSVs use 31 a variety of strategies to maximise their coding potential. Because the eukaryotic hosts 32 parasitized by sNSVs can regulate gene expression through low levels of translation initiation 33 upstream of their canonical open reading frames (ORFs), we asked whether sNSVs could use 34 upstream translation initiation to expand their own genetic repertoires. Consistent with this 35 hypothesis, we showed that influenza A viruses (IAVs) and bunyaviruses were capable of 36 upstream translation initiation. Using a combination of reporter assays and viral infections, 37 we found that upstream translation in IAVs can initiate in two unusual ways: through non-38 AUG initiation in virally encoded 'untranslated' regions, and through the appropriation of an 39 AUG-containing leader sequence from host mRNAs through viral cap-snatching, a process 40 we termed 'start-snatching.' Finally, while upstream translation of cellular genes is mainly 41 regulatory, for sNSVs it also has the potential to create novel viral gene products. If in frame 42 with a viral ORF, this creates N-extensions of canonical viral proteins. If not, it allows the 43 expression of cryptic overlapping ORFs, which we found were highly conserved in IAV and 44 widely distributed in peribunyaviruses. Thus, by exploiting their host's capacity for upstream 45 translation initiation, sNSVs can expand still further the coding potential of their extremely 46 compact RNA genomes.

47 Key words

- 48 Segmented negative-strand RNA virus, influenza A virus, peribunyavirus, upstream
- 49 translation, non-canonical translation

50 Abbreviations

- 51 HRTV: Heartland virus, IAV: influenza A virus, ORF: open reading frame, OROV:
- 52 Oropouche virus, WT: wild type, MHC: major histocompatibility complex, RPF: ribosome-
- 53 protected fragment, sNSV: segmented negative-strand RNA virus, UTR: untranslated region,
- 54 uORF: upstream ORF.

56

57 Introduction

58 Viruses operate under extreme coding constraints. Their small size, replication strategies and 59 high replication error rates severely limit the total length of their genomes, particularly for the 60 error-prone RNA viruses [1,2]. As a result, viruses have evolved diverse strategies to increase 61 their coding potential [1,3]. For example the influenza viruses, whose RNA genomes are less 62 than 14 kb in length, are known to encode alternative gene products through mechanisms 63 including alternative splicing, ambisense coding, leaky ribosomal scanning, ribosomal 64 frameshifting and termination-dependent re-initiation [4-7]. As viruses lack their own protein 65 synthesis machinery, they must be able to encode all of their proteins in a form that host 66 ribosomes will recognise as mRNA [8]. In eukaryotes, ribosomes recognise mRNAs with a 67 terminal 5' cap structure followed by an untranslated region (UTR), which can be tens to 68 hundreds of nucleotides in length [9-11]. A growing body of work has shown that translation 69 initiates at low levels in the 5' UTRs of a large proportion of eukaryotic mRNAs, sometimes 70 extremely close to the 5' cap, and that translation of the resulting upstream open reading 71 frames (uORFs) can regulate cellular gene expression [10,12-18]. As a result, the need to 72 mimic their host's mRNA structure could provide viruses with the potential to exploit 73 upstream translation initiation, something which could allow them to expand the coding 74 potential of their own genomes.

75 To determine whether this was the case, we examined segmented, negative-strand RNA 76 viruses (sNSVs). These include the orthomyxovirus family (which includes the influenza 77 viruses) and the order of bunyaviruses (which include the aetiological agents of haemorrhagic 78 fevers and other severe human illnesses, as well as many other pathogens of humans, animals 79 and plants). The sNSVs are a useful order of viruses in which to search for upstream 80 translation initiation for three reasons. Firstly, as RNA viruses they are already known to 81 employ a variety of alternative coding strategies in response to genetic constraints, which 82 suggests that they may also have undergone selection for additional alternative coding 83 methods. Secondly, each segment of an sNSV genome produces its own mRNAs with 84 distinct 5' UTRs, and this diversity of viral 5' UTRs increased the likelihood that we might 85 observe upstream translation initiation. Thirdly, sNSVs produce their mRNA through cap-86 snatching, a process in which 7-methylguanylate ($m^{7}G$)-capped 5' termini are cleaved from 87 host mRNAs to provide RNA primers for the transcription of viral genes [11]. The length of

cap-snatched host sequences varies, but cap-snatching typically results in 10 – 13 nt of host
encoded-sequence being appended to the 5' end of the mRNAs of IAV [19–22] and 11 – 18
nt to the mRNAs of the bunyaviruses [23–27]. In other words, as a result of cap-snatching
sNSVs produce chimeric mRNAs in which host 5' UTR sequences are present upstream of
the virally-templated 5' UTR. It seemed plausible that, if upstream translation initiation was
occurring in host mRNAs, it might also occur in these chimeric viral mRNAs.
To determine whether upstream translation initiation could occur in sNSVs, we examined

95 three viruses: the orthomyxovirus influenza A virus (IAV), a cause of influenza in humans

and other animals and the cause of all influenza pandemics [28], and two bunyaviruses: the

97 tick-borne phenuivirus Heartland virus [29] and the midge-borne peribunyavirus Oropouche

virus [30]. We demonstrated that, consistent with our hypothesis, each of these viruses is

99 capable of upstream translation initiation. Focussing on IAV, we showed that upstream

translation initiation takes place through two distinct routes – initiation within the virally-

101 encoded 5' UTR, and initiation still further upstream in the host-derived cap-snatched leader.

102 This second route produces chimeric gene products in a process we termed 'start-snatching.'

103 We found that either route for upstream translation can, depending on viral sequence,

104 produce N-terminal fusions to viral proteins, and we identify an example of such an N-

105 terminally extended proteins within influenza virions. In addition, it is possible for upstream

translation to access cryptic uORFs that overlap the canonical viral open reading frame

107 (ORF). We discovered that the genetic potential to generate such uORFs is widely distributed

108 in influenza viruses and in peribunyaviruses, and in the case of IAV we found evidence that

109 uORFs are conserved, can be translated and can be presented to the adaptive immune system.

110 We conclude that upstream translation initiation is a previously unappreciated mechanism for

expanding the genetic capacity of sNSVs, increasing still further the ability of these viruses to

112 encode a diverse array of gene products in a constrained genome.

113 Results

114 Upstream translation initiation occurs in segmented negative-strand viruses

115 We tested the hypothesis that upstream translation initiation could occur in sNSVs using

116 minireplicon assays, transfection-based systems that generate reporter proteins through viral

117 gene expression. In these assays the viral polymerase and nucleoprotein transcribe a negative-

strand template RNA, consisting of a reporter gene flanked by viral UTRs, into an mRNA

119 which is translated to give the reporter protein. We used minireplicon systems encoding

- 120 luciferase (Luc) reporters to test the transcriptional machineries of three sNSVs an
- 121 orthomyxovirus (the influenza A virus (IAV) A/WSN/33(H1N1) (WSN); NS segment UTRs),
- 122 a phenuivirus (Heartland banyangvirus (HRTV); L segment UTRs) and a peribunyavirus
- 123 (Oropouche orthobunyavirus (OROV); M segment UTRs).

124 We first determined how much of the reporter signal was due to translation initiation at sites

- 125 up to and including the first AUG in the construct, which we refer to as the canonical start, as
- 126 opposed to cryptic downstream translation initiation. To do this, we compared WT reporter
- 127 constructs to WT-STOP mutants, in which the canonical start was followed by two in-frame
- stop codons (Fig 1A). These downstream stop codons substantially reduced reporter
- 129 expression in all three systems, suggesting that most translation initiated either at, or
- 130 upstream of, the canonical start (Fig 1B).
- 131 We next compared translation initiation at the canonical start with initiation upstream of this
- 132 position. To do this, we suppressed translation from the canonical start by mutating it from
- AUG to GUA (M1V). As expected, when followed by stop codons (M1V-STOP) these
- 134 mutants behaved similarly to WT-STOP, with only a slight decrease in reporter attenuation
- 135 (Fig 1B). However, in the absence of downstream stop codons, M1V mutants gave
- 136 substantially higher reporter expression than WT-STOP (Fig 1B). For OROV and HRTV this
- 137 increase was 2.3- and 8.0-fold, respectively, while for IAV the increase was 125-fold (Fig
- 138 1B). This showed that appreciable levels of translation can initiate from the 5' ends of sNSV
- transcripts, even in the absence of a canonical start codon.
- 140 This unexpected reporter expression could be explained in two ways: by upstream translation
- 141 initiation, or by non-canonical initiation from the mutated start codon. Distinguishing
- between these possibilities required us to mutate the viral 5' UTR, but doing so in a
- 143 minireplicon system is problematic as UTR sequences are required for normal viral
- transcription. To remove the need for processing by viral transcriptional machinery, we took
- the reporter construct with the most pronounced M1V phenotype, IAV NS, and cloned it in
- the positive sense into the cellular RNA polymerase II-transcribed mRNA expression vector
- 147 pcDNA3A [31], introducing two in-frame stop codons upstream of the cloning site to exclude
- translation initiation from vector sequences (Fig 1C). Transfection of this construct into cells
- 149 resulted in robust reporter expression. As in the minireplicon system, the WT-STOP and
- 150 M1V-STOP mutations strongly attenuated reporter expression and the attenuation of M1V
- 151 was partially alleviated when the downstream stop was removed (Fig 1D). The same effect

152 was observed with the 5' UTRs of the IAV PB2 and NP segments (segments 1 and 5,

respectively; note that for segment 1 the start codon was abolished with an AUG to AUC

154 mutation (M1I) to avoid creating a new AUG; Fig 1D). This suggested that translation

initiation within a viral 5' UTR was a property of the sequence itself and did not necessarily

156 require some special property of the viral transcriptional machinery.

157 As we could now mutate sequences in the viral 5' UTR without compromising transcription,

158 we introduced in-frame stop codon towards the 3' end of the 5' UTRs, just upstream of the

159 mutated start sites (STOP(end)-M1V; Fig 1C). For each of the three segments tested,

160 expression from STOP(end)-M1V was substantially less than expression from M1V,

161 suggesting that the increased reporter expression of M1V constructs compared to WT-STOP

162 constructs was largely attributable to translation initiation upstream of the mutated start

163 codon rather than from the mutated start codon itself (Fig 1D). We concluded that translation

164 initiates at appreciable levels within the 5' UTR sequences of IAV genes.

165 We next wished to look for evidence of upstream translation initiation in actual viral

166 infections. To do this we infected cells with IAV, immobilised translating ribosomes by flash

167 freezing, and performed ribosomal profiling (Ribo-Seq) of mRNAs. We were able to map

assembled ribosomes to the UTRs of mRNAs transcribed from all eight viral segments, as

169 well as to the UTRs of host mRNAs (Fig 1E, F; Supplementary Fig S1). Our measures of

170 ribosome density are only semi-quantitative, but given that only one third of positions in the

171 UTR would be in-frame with a reporter gene, the ratios of ribosomal density on UTRs to that

172 on the canonical gene is consistent with the levels of upstream reporter translation observed

in our minireplicon assays. We concluded that upstream translation initiation can occur in

the 5' UTRs of sNSV mRNAs.

175 Upstream translation initiates from segment-specific viral untranslated regions

176 We next mapped sites of upstream translation initiation. Using IAV pcDNA3A reporters, we

177 compared the effects of in-frame stop codons at different positions in the 5' UTR of the M1V

178 constructs – at the 5' end of the viral UTR sequence (STOP(begin)-M1V); at a point between

the terminal 12 nt, which are conserved across all IAV segments, and the segment-specific

180 UTR sequence downstream of this (STOP(mid)-M1V); and at a point towards the 3' end of

the viral 5' UTR (STOP(end)-M1V; Fig 1G,H). For all three segments tested, stop codons at

the beginning and middle of the sequence did not reduce reporter expression, indicating that

translation initiation in the viral 5' UTRs occurred mainly in the segment-specific 3' end of

the UTR, rather than in the conserved 5' end (Fig 1I).

- 185 Comparing reporter expression from the STOP(mid)-M1V and STOP(end)-M1V constructs
- suggested that the efficiency of upstream translation initiation in these segment-specific
- 187 sequences varied between segments, with the luciferase signal attributable to the (mid)-(end)
- regions of segments 8, 1 and 5 (NS, PB2 and NP) in the ratio 115 : 15 : 1 (Fig 1I). As a
- 189 further test for sequence specificity, we inserted a stop codon in the middle of the long
- segment-specific UTR of IAV segment 5 (NP; STOP(mid2)-M1V). This had little effect on
- reporter expression indicating that, for this segment, translation of the 5' UTR initiated
- 192 mainly towards the 3' end of the 5' UTR. We therefore concluded that when translation
- 193 initiates in the 5' UTRs of IAV mRNAs it does so in a segment-specific, sequence-dependent
- 194 manner.
- 195 Additional upstream translation initiates through 'start-snatching'
- 196 We next sought to map upstream translation initiation within viral transcripts. When we
- 197 examined the precise location of ribosomes in viral UTRs using our Ribo-Seq data, we found
- that the strongest ribosomal density was typically at the 3' ends of the UTRs, consistent with
- the results of our reporter assays (Fig 1F). However, for the segments that returned the
- 200 highest number of ribosome-protected fragments (RPFs; segments 5, 7 and 8, encoding the
- 201 NP, M and NS genes respectively) it was possible to detect ribosomes even in the conserved
- 202 terminal 5' UTR sequences. This could not be explained by translation initiation in the
- segment-specific region of the 5' UTR, as observed in our reporter assays, and suggested that
- 204 in the context of viral infection there was an additional mechanism for translation initiation
- 205 even further upstream.
- 206 We searched for further evidence of upstream translation during IAV infection by looking for
- 207 evidence of novel viral proteins. To do this we purified IAV virions, extracted proteins,
- 208 digested these with trypsin and searched for evidence of N-terminally extended viral proteins
- 209 by mass spectrometry, broadening our search parameters to include proteins initiating at non-
- AUG positions. We repeatedly identified peptides that mapped to an in-frame translation of
- 211 the UTR of segment 5 (NP), giving an N-terminally extended protein we termed NP-UTR
- 212 (Fig 2A, B; Supplementary Fig S2, Supplementary Table S1). The peptides mapping to the
- 213 UTR sequence were detected at only a small proportion of the average intensity of peptides
- 214 mapping to the downstream NP sequence, suggesting that NP-UTR was a low-frequency

215 variant of NP (Fig 2C). Notably, peptides identifying NP-UTR spanned almost the full extent 216 of the NP 5' UTR, including the conserved 5' sequences (Fig 2B). Indeed, as the N-termini of 217 these tryptic peptides mapped to tryptic cleavage sites, it is probable that their translation 218 initiated still further upstream. This, combined with the lack of translation initiation within 219 the terminal 5' sequence observed in minireplicon assays, suggested that translation of IAV 220 mRNAs could initiate at sites upstream of the viral 5' UTR. 221 The apparently contradictory finding of translation initiation upstream of the 5' UTR is 222 plausible for an sNSV, as these viruses produce mRNAs by cap-snatching. We reasoned that 223 start codons within the cap-snatched leader could provide additional sites for upstream 224 translation initiation. These sites would be appended to mRNAs produced by the viral 225 transcriptional machinery, but would be absent in the products of our pcDNA3A reporter 226 assay which were transcribed by cellular RNA Polymerase II. We therefore asked whether 227 IAV cap-snatched leader sequences contained AUG codons at a high enough frequency to 228 explain the translation of proteins such as NP-UTR. To do this, we infected cells with IAV 229 and sequenced viral mRNAs by Cap Analysis Gene Expression (CAGE) sequencing. Because 230 IAV infections profoundly alter the mRNA pool of IAV infected cells [22,32], we sequenced 231 mRNA at timepoints throughout the first 24 h of infection. We found that approximately 10% 232 of cap-snatched leader sequences contained an AUG (Fig 2D; Supplementary Fig S3). This 233 proportion was consistent for mRNAS from all IAV genome segments and declined only 234 slightly over the first 24 h of infection (Fig 2D) without an obvious preference for AUG 235 position within the leader (Supplementary Fig S4). We then compared our results to a 236 previously-published deep-sequencing description of IAV cap-snatched sequences [20]. 237 Despite differences in methodology, we were able to identify AUGs in a comparable

238 percentage of the cap-snatched leaders of viral mRNAs when using data from this study

239 (Supplementary Fig S5).

240 Thus, cap-snatching by IAV can acquire start codons suitable for the upstream translation of

the entire virally-encoded UTR, a process which would account for our detection of the

242 extended NP-UTR protein in virions. We termed this additional upstream translation

243 initiation process 'start-snatching.'

244 Upstream translation initiation allows the expression of cryptic viral open reading

245 frames

246 When we considered the two modes of upstream translation initiation that we had identified

- in sNSVs, we realised that both of them could create novel viral gene products in two
- 248 different ways.

249 One would be to create short N-terminal extensions to a segment's major gene product(s) by

translating the 5' UTR, as we had observed with IAV NP-UTR. Sequence analysis of the

strains used in our minireplicon assays showed that this would be possible for six of the eight

- 252 genome segments of the IAV strain used (influenza A/WSN/33(H1N1); WSN) and two of the
- three segments of OROV and HRTV; in the other segments the 5' UTR contained an in-frame
- stop codon.

255 The other route for creating novel gene products would be if translation initiated out of frame

with the major gene product, accessing a cryptic overlapping 5' reading frame (Fig 3A;

257 Supplementary Fig S6). In the strains used in our minireplicon assays, we found overlapping

258 5' ORFs encoding polypeptides that were comparable in length to previously identified short

proteins (defined here as > 20 codons [33–35]) in frame 3 of IAV segments 1 – 4 (PB2, PB1,

260 PA and HA) and of OROV segments 1 - 3 (L, M and S). In contrast, the overlapping 5' ORFs

261 in HRTV were shorter. Because of this difference between OROV and HRTV we searched

262 for overlapping 5' ORFs in the complete genome sequences of 78 different peribunyavirus

species, and we found that there were overlapping 5' ORFs of >20 codons in 62% of L genes,

264 90% of M genes and 73% of S genes (Supplementary Fig S7, Supplementary Tables S2 – S4).

265 In the case of IAV considerably more sequence data were available, allowing us to determine

the conservation of the overlapping 5' ORFs in frame 3. To do this we compared between

267 23,000 and 43,000 IAV strains, depending on the segment. We found that the majority of

frame 3 ORFs for each segment were of consistent lengths, and that the sequences they

encoded were highly conserved (Fig 3B). We concluded that sNSVs often have the genetic

270 potential to access cryptic 5' uORFs through upstream translation initiation, and that in the

271 case of IAV these uORFs can be highly conserved.

272 We wished to determine whether the conserved overlapping frame 3 (F3) ORFs in segments

273 1 – 4 of IAV, which we termed PB2-F3, PB1-F3, PA-F3 and HA-F3, respectively, were

- 274 critical for viral replication. We tested this by reverse genetics, creating viruses with point
- 275 mutations near the start of the F3 ORFs that were synonymous in frame 1, and which in

frame 3 could be either conservative mutations (F3-Ctrl) or stop mutations (F3-STOP;

277 Supplementary Fig S8A). As WSN is a heavily laboratory-adapted strain of the virus we also

278 mutated the mouse-adapted near-clinical isolate, influenza virus A/California/04/2009(H1N1)

279 (maCa04; Supplementary Fig S8B). When mutating frame 3, we observed no differences

280 between the growth kinetics of WT, F3-STOP and F3-Ctrl viruses, either when growing

281 WSN in MDCK cells (Fig 3C) or when growing maCa04 in human lung A549 cells (Fig 3D).

We also infected mice with the mutant PB2-F3 and PB1-F3 maCa04 viruses, and observed no

283 differences in pathogenicity between the WT, F3-STOP and F3-Ctrl viruses (Fig 3E). Thus,

although the coding potential of F3 proteins is highly conserved in naturally circulating

strains of influenza virus (Fig 3B), they appear to be dispensable in laboratory conditions. We

286 note that this, like their apparently low levels of expression, is consistent with the properties

287 of previously-characterised IAV accessory proteins [6].

In addition to modulating viral gene expression directly, viral proteins within infected cells

are presented to the immune system by MHC I. If conserved, as the IAV F3 proteins appear

290 to be, this can provide targets for immune responses in future infections. To ask whether F3

291 proteins could be presented by MHC I and recognised by the immune system, as well as to

test whether in principle F3 ORFs could be translated, we created a modified IAV containing

a frame 3 insertion of OVAI (OVA 257-264; SL8; SIINFEKL), a class I-restricted epitope of

ovalbumin. We inserted OVAI into segment 8 (NS) of the virus, deleting a number of

295 naturally-occurring F3 stops to create a cryptic 5' ORF so that we could exploit the tolerance

of a linker region in the NS1 gene to insertion mutations (NS-F3.SIIN; Supplementary Fig S9)

[36]. To ask whether the F3 5' ORF was translated we treated bone marrow derived dendritic

cells (BMDCs) with a sonicated IAV antigen preparation and then incubated these with

299 purified CD8 T cells from OTI mice (Fig 3F). Little or no OTI activation was observed with

300 mock-infected DCs, but the NS-F3.SIIN virus preparation resulted in robust OTI activation,

301 as measured by levels of the activation markers CD44, CD25 and CD69. This was

302 comparable to the activation seen following treatment with purified OVAI peptide or to a

303 control experiment using a virus in which we had inserted OVAI, in frame 1, into the stem

region of the viral NA protein (NA-SIIN; [37]). We concluded from this that cryptic 5' ORFs

305 of sNSVs, accessible through upstream translation initiation, can be expressed by infected

306 cells, and moreover that the products of these cryptic ORFs can be presented to the immune

307 system.

308 Discussion

309 Our results show that upstream translation initiation, a process that regulates the expression 310 of a large proportion of cellular genes [10,12-15,38], is also exploited by the sNSVs that 311 parasitize those cells. This finding is of general relevance to virology: given our finding that 312 translation can initiate even in the short virally-encoded 5' UTRs of IAV (Fig 1 G-I), it is 313 plausible that upstream initiation occurs in the 5' UTRs of many other eukaryotic viruses. 314 Indeed, it is important to note that the viral UTRs we examined in this study do not contain 315 AUG codons, meaning that translation initiation in these regions is presumably from non-316 AUG codons, a common if low-level effect in both host and viral genes [4,39,40]. Our data 317 therefore add to a growing number of reports of upstream translation initiating on virally-318 encoded sequences, in some cases with clear regulatory effects [4,41–46]. On the basis of our 319 results we would argue that upstream translation of viral genes should not be discounted, 320 even in the absence of virally-encoded AUGs. 321 The reliance of sNSVs on cap-snatching for mRNA synthesis makes upstream translation 322 initiation in these viruses particularly interesting. Our data indicate that for sNSVs, upstream 323 translation can initiate not only on virally encoded sequences, but also in the short host-324 encoded sequences appropriated by cap-snatching (Fig 2). This 'start-snatching' would 325 require functional start codons extremely close to the 5' end of cellular mRNAs. Start codons 326 of this sort, referred to as Translation Initiator of Short 5' UTR (TISU) motifs, have been 327 reported in approximately 4 % of mammalian mRNAs, being particularly common in 328 'housekeeping' genes [16–18]. We note that this figure is comparable to the ribosomal 329 density we observed on the 5' UTRs of viral mRNAs (Fig 1E) and is compatible with the 8 – 330 10 % of viral cap-snatched leaders which we found to contain AUGs (Fig 2D). TISU motifs 331 are functional within 30 nt of the 5' cap, including at sites at the extreme end of mRNA with 332 5' UTRs as short as 5 nt [16–18]. As with other upstream translation sites, TISUs have been 333 proposed to regulate the expression of downstream genes, in response to factors including 334 energy deprivation and the circadian rhythm [47–49]. As a result sNSVs, being capable of 335 start-snatching, will necessarily incorporate host regulatory elements into their own mRNAs. 336 We propose that, in this way, start-snatching may allow sNSVs to modulate their translational 337 profile in response to the effects that infection is having on their host's gene expression. 338 Our data also show that upstream translation in sNSVs can lead to the expression of novel

339 viral proteins. We have shown this experimentally for IAV (Fig 2A-C, Fig 3F) and it is clear

340 from genome sequences that the genetic potential to generate proteins from upstream 341 translation is widespread in IAVs and peribunyaviruses (Fig 3A, B, Supplementary Fig S6 342 and Supplementary Tables S3, S4). Upstream translation could lead to N-terminal extensions 343 to canonical proteins, as for the NP-UTR protein we detected in IAV virions (Fig 2 A-C). It 344 could also lead to the translation of proteins from cryptic overlapping uORFs, which have the 345 potential to be presented to the adaptive immune system (Fig 3F). While this work was being 346 completed we became aware of another study, currently in pre-print form, that examined 347 translation initiation from the cap-snatched sequences of IAV [50]. Consistent with our 348 results, the authors of this work also report the expression of short uORFs from a number of 349 IAV genome segments, producing proteins they referred to as Upstream Flu ORFs (UFOs). 350 Novel viral proteins of this sort share several key features. They would most likely be 351 expressed at low to moderate levels – certainly lower than most viral proteins, although the 352 proteins of rapidly-replicating viruses such as IAV are typically expressed at extremely high 353 levels. Based on our data, this appears to be the case for NP-UTR (Fig 2C). If they overlap a 354 canonical ORF they will also be short, typically less than 100 codons (Fig 3A, Supplementary 355 Fig S6). This is shorter than most viral and cellular proteins, though comparable in length to 356 many short functional ORFs in viral and cellular genomes [33–35,38,51,52]. Finally and, 357 given their small size and moderate expression levels, perhaps surprisingly, the overlapping 358 uORFs in segments 1 - 4 of IAV are highly conserved.

359 The conservation of uORFs makes it tempting to suggest that they might encode functional 360 proteins. However, arguments of this sort must be treated with caution, as other forms of 361 selection also act on IAV genome sequences. In particular, genome packaging signals in the 362 primary RNA sequence are concentrated in the terminal regions of each segment [53–55], 363 resulting in a suppression of synonymous codon usage comparable to that of known 364 overlapping ORFs (Supplementary Fig S10) [54,56]. One can instead look for suppression of 365 stop codons in the +1 and +2 frames (reasoning that conservation of primary RNA sequence 366 should not discriminate specifically against stop codons), but this requires some care as the 367 occurrence of stop codons in these frames can be affected by codon usage in the main ORF, 368 as well as by nucleotide and dinucleotide biases [3,57,58]. We attempted to address this by 369 using randomization to assess the likelihood that the absence of stop codons in any given 370 region could have arisen through chance alone (Supplementary Fig S10; see Methods for 371 details). Of the areas where stop codons appeared to be suppressed, the only ones that could 372 not plausibly be explained by chance alone were the known +1 frame M2 and NEP ORFs and a short +2 frame region near the 5' end of the NS1 ORF (Supplementary Fig S10). Clearly

this is a fairly weak analysis, best suited to identifying long ORFs conserved between highly

- 375 divergent sequences. Notably it did not score as significant a number of established
- overlapping ORFs, such as the PB1-F2 and PA-X [59,60]. However, this analysis and the
- known selection for primary RNA sequence in IAV genome segments mean that the observed
- 378 conservation of uORFs is uninformative regarding their functional importance. As a result,
- 379 while it is clear that uORFs can be translated, whether there is direct fitness benefit arising
- from the proteins they encode must be assessed experimentally on a case-by-case basis.
- 381 Whether or not the virus makes direct use of uORF proteins, it is clear that the adaptive
- immune system can recognise epitopes from within their reading frames (Fig 3F). MHC I
- 383 presentation of uORF-derived peptides poses the risk of an adaptive immune response
- developing against sNSVs, analogous to the risks posed to IAV by the presentation of
- alternative reading frames (ARFs) and defective ribosomal products (DRiPs) [61–65]. Indeed,
- the risks posed by the presentation of uORFs are potentially even higher due to the high
- 387 conservation of these sequences. They are conserved nonetheless, which suggests that any
- 388 cost the virus incurs through their visibility to the immune system is outweighed by the
- 389 fitness benefits of maintaining this genetic architecture.
- In summary, we have shown that sNSVs can expand their genetic repertoire through a
 widespread capability for upstream translation initiation. Like all viruses, sNSVs rely on their
 hosts for the translation of their genes, and by utilising their host's mechanisms of upstream
 translation this diverse group of viruses have gained the ability to develop additional layers of
 gene regulation and to potentially encode further gene products in their highly constrained,
 short RNA genomes.

396 Materials and Methods

397 Cells and viruses

- 398 Madin–Darby Canine Kidney (MDCK) cells, A549 human lung epithelial cells, and 293T
- 399 human embryonic kidney cells were cultured in Dulbecco's Modified Eagle's Medium
- 400 (DMEM; Gibco) supplemented with 10% foetal bovine serum (FBS; Gibco). Madin-Darby
- 401 Bovine Kidney (MDBK) cells were cultured in Minimum Essential Medium (MEM; Sigma)
- 402 supplemented with 2□mM L-glutamine and 10% foetal calf serum (FCS). BSR-T7/5 golden
- 403 hamster cells were cultured in Glasgow Minimal Essential Medium (GMEM) supplemented

404 with 10% FCS and 10% tryptose phosphate broth under G418 selection. All cells were

405 maintained at $37 \square C$ and $5\% CO_2$.

406 The influenza A viruses A/Puerto Rico/8/34(H1N1) (PR8) and mouse-adapted

407 A/California/04/09(H1N1) (maCa04) were generated by reverse genetics and propagated on

- 408 MDCK cells, as described previously [66]. The influenza virus A/WSN/33(H1N1)
- 409 (WSN)was propagated on MDBK cells and the influenza virus A/Udorn/72(H3N2) (Udorn)
- 410 was propagated on MDCK cells, as described previously [22,67]. IAVs, with the exception of
- 411 WSN, were propagated in the presence of 1 µg/ml TPCK-trypsin. Plaque assays were carried
- 412 out in MDCK cells and visualised by immunocytochemistry, as previously described [68].

413 Plasmids

414 Plasmids used for IAV minireplicon assays were the firefly-luciferase-encoding phPOLI-NS-

Luc [69]; the viral-gene-encoding pcDNA-PB1, pcDNA-PB2, pcDNA-PA, pcDNA-NP (a

416 kind gift of Prof Ervin Fodor, University of Oxford) [31]; the Renilla-luciferase-encoding

417 control plasmid pRL-TK (Promega) and empty vector pcDNA3A. Plasmids used for HRTV

418 minireplicon assays were the Renilla-luciferase-encoding pT7HRTMRen(-); the viral-gene-

419 encoding pTMHRTN and pTMHRTL and the firefly-luciferase-encoding control plasmid

420 pTM1-FFluc [70]. Plasmids used for OROV minireplicon assays were the Renilla-luciferase-

421 encoding pTVT7-OROMhRen(–); the viral-gene-encoding pTM1-ORO-N and pTM1-ORO-L;

422 the firefly-luciferase-encoding control plasmid pTM1-FFluc and the empty vector pTM1 [71].

423 For pcDNA IAV reporter assays, the NS-Luc sequence from phPOLI-NS-Luc was cloned

424 into pcDNA to create pcDNA-NS-Luc. The 5' UTR sequence of this plasmid was edited by

425 site directed mutagenesis to produce pcDNA-PB2-Luc and pcDNA-NP-Luc. Plasmids used

426 for reverse genetics were the PR8 pDUAL plasmids (a kind gift of Prof Ron Fouchier,

427 Erasmus MC) [72] and the maCa04 pDP2002 plasmids (a kind gift of Prof Daniel R. Perez

428 (University of Georgia, USA) [73]. Site-directed mutagenesis of plasmids was performed

429 using the Q5 site-directed mutagenesis kit (Qiagen); the edited NS segment sequence

430 required for the PR8-NS.F3.SIIN mutant virus (described in Supplementary Fig S9A)

431 synthesised by Genewiz.

432 Minireplicon assays and luciferase assays

433 Minireplicon assays were performed as previously described [70,71,74]. Briefly, and using

the plasmids indicated above, for IAV Lipofectamine 2000 (Invitrogen) was used to transfect

435 sub-confluent 293T cells, and for HRTV and OROV LT-1 transfection reagent (Mirus) was

436 used to transfect sub-confluent BSR-T7/5 cells. To measure luciferase expression from

437 pcDNA constructs, Lipofectamine 2000 (Invitrogen) was used to transfect sub-confluent

- 438 293T cells with the plasmids indicated above. In all cases, after 24 h cells were processed
- 439 using a Dual-Luciferase Reporter Assay System (Promega), with luciferase measured using
- 440 Glowmax 20/20 luminometer (Promega).

441 Ribo-Seq analysis

- 442 A549 cells were grown on 100-mm dishes to 90% confluency and infected with PR8 at a
- 443 multiplicity of infection (MOI) of 5. At 5 h p.i., cells were rinsed with 5 ml of ice-cold PBS,
- flash frozen in a dry ice/ethanol bath and lysed with 400 µl of lysis buffer [20 mM Tris-HCl
- 445 pH 7.5, 150 mM NaCl, 5 mM MgCl₂, 1 mM DTT, 1% Triton X-100, 100 μg/ml
- 446 cycloheximide and 25 U/ml TURBO DNase (Life Technologies)]. The cells were scraped
- 447 extensively to ensure lysis, collected and triturated ten times with a 26-G needle. Cell lysates
- 448 were clarified by centrifugation at 13,000 g for 20 min at 4°C. Lysates were subjected to
- 449 Ribo-Seq based on previously reported protocols [46,75,76]. Ribosomal RNA was removed
- 450 using Ribo-Zero Gold rRNA removal kit (Illumina) and library amplicons were constructed
- 451 using a small RNA cloning strategy adapted to Illumina smallRNA v2 to allow multiplexing.
- 452 Amplicon libraries were deep sequenced using an Illumina NextSeq500 platform
- 453 (Department of Biochemistry, University of Cambridge). Ribo-Seq sequencing data have
- 454 been deposited in ArrayExpress (http://www.ebi.ac.uk/arrayexpress) under the accession
- 455 number E-MTAB-8405.
- 456 For the Ribo-Seq computational analysis, adaptor sequences were trimmed from Ribo-Seq
- 457 reads using the FASTX-Toolkit (hannonlab.cshl.edu/fastx_toolkit/) and reads shorter than 25
- 458 nt following adaptor trimming were discarded. Trimmed reads were mapped sequentially the
- 459 genomes of the (*Homo sapiens*) and virus (PR8, with accession numbers EF467817,
- 460 EF467818, EF467819, EF467820, EF467821, EF467822, EF467823 and EF467824) using
- bowtie version 1 [8], with parameters -v 2 --best (i.e. maximum 2 mismatches, report best
- 462 match). Mapping was performed in the following order: host rRNA, virus RNA, host RefSeq
- 463 mRNA, host non-coding RNA and host genome. The host databases used were rRNA:
- 464 NR_003287.2, NR_023379.1, NR_003285.2, NR_003286.2; mRNA: 35809 human mRNA
- 465 National Center for Biotechnology Information (NCBI) RefSeqs, downloaded 24 Jan 2013;
- 466 ncRNA: Ensembl Homo_sapiens.GRCh37.64.ncrna.fa; genome: UCSC hg19.

467 To account for different library sizes, reads per million mapped reads (RPM) values were 468 calculated using the sum of both positive-sense host mRNA reads and virus RNA reads as the 469 denominator. For viral genome coverage plots, and for meta-analyses of host mRNA 470 coverage, mapping positions were obtained from the 5' end of RPFs plus a 12-nt offset, so as 471 to approximate the location of the ribosomal P-site. Length distributions of RPFs were 472 obtained from reads that mapped entirely within host coding regions. Histograms of RPF 473 positions relative to host mRNA initiation and termination codons were derived from reads 474 mapping to mRNAs with annotated coding regions \geq 450 nt in length and with annotated 475 UTRs \geq 60 nt in length (Supplementary Fig S1B). These RPFs were also used to determine 476 the relative read densities in host 5'UTRs and coding sequences; in this case only reads with 477 estimated P-sites mapping within the 3'-most 60 nt of the 5' UTR and the 5'-most 450 nt of 478 the coding region were counted.

479 To quantify RPFs that spanned the junction between virus-derived and host-derived (i.e. cap-480 snatched fragment) sequence, reads containing the conserved motif GC[AG]AAAGCA (i.e. 481 nt 2 - 10 of virus genome segments), and with at least 7 nt of sequence 3' of this motif, were 482 identified within the set of previously unmapped reads. If the 5' end of this 3' region could be 483 mapped unambiguously to a virus segment (from nt 11 onwards), then the read was 484 considered to have been derived from that segment. This enabled extension of the RPF 485 estimated-P-site density plots on virus mRNAs as far 5' as nt 2 of each segment, since a 28-nt 486 RPF with P-site mapping to nt 2-4 would normally have a 3' end mapping to approximately

487 nt 17.

488 Mass spectrometry

489 The purification of influenza virions and collection of mass spectra by LC-MS/MS has been

490 described previously [67], and followed previously-described protocols for purification, mass

491 spectrometry and data analysis [77]. Briefly, the IAV WSN was propagated on MDBK cells.

492 Six viral stocks were prepared, of which half were subjected to haemadsorption on chicken

- 493 red blood cells to stringently remove non-viral material. Virus particles were then purified by
- 494 sucrose gradient ultracentrifugation, lysed in urea, reduced, alkylated and digested with
- 495 trypsin and LysC. Tryptic peptides were analysed by liquid chromatography and tandem
- 496 mass spectrometry (LC-MS/MS) using an Ultimate 3000 RSLCnano HPLC system (Dionex,
- 497 Camberley, UK) run in direct injection mode and coupled to a Q Exactive mass spectrometer
- 498 (Thermo Electron, Hemel Hempstead, UK) in 'Top 10' data-dependent acquisition mode.
- 499 Raw files describing these mass spectra have been deposited at the Mass spectrometry

500 Interactive Virtual Environment (MassIVE; Center for Computational Mass Spectrometry at

501 University of California, San Diego) and can be accessed at

502 http://massive.ucsd.edu/ProteoSAFe/datasets.jsp using the MassIVE ID MSV000078740. For

the purposes of this project, data were re-analysed using MaxQuant 1.5.8.3 analysis software

504 [78] using standard settings and the following parameters: label-free quantitation and the

iBAQ algorithm [79] enabled; enzyme: trypsin/P; variable modifications: oxidation (M) and

- 506 acetyl (Protein N-ter); and fixed modifications: carbamidomethyl (C); digestion mode: semi-
- 507 specific free N-terminus. Peptide spectra were matched to custom databases containing the
- 508 WSN proteome (including full-length translations of all six reading frames), an edited version
- of the *Bos taurus* proteome (UP000009136; retrieved from UniProt on 16/05/2017) in which
- all instances of the ubiquitin sequence had been deleted, and a single repeat of the ubiquitin
- 511 protein sequence.
- 512 Sequencing of cap-snatched leader sequences

513 The sequencing of cap-snatched leader sequences was described in detail in a recent pre-print

514 [22]. Briefly, primary CD14+ human monocytes were isolated from 4 volunteer donors under

ethical approval from Lothian Research Ethics Committee (11/AL/0168) and cultured in the

516 presence of 100 ng/ml (104 U/ml) recombinant human colony-stimulating factor 1 (a gift

517 from Chiron, USA) for 8 days to differentiate them into macrophages. Monocyte-derived

macrophages were then infected with influenza (Udorn) at an MOI of 5, harvested at 0, 2, 7

and 24 hours post-infection (times defined as starting after a 1h adsorption step), and

520 processed for RNA extraction using a miRNeasy Mini Kit (Qiagen). Cap analysis of gene

521 expression (CAGE) was performed as part of the FANTOM5 project, following the

522 procedure of [80]. Data were processed as in [81] using custom Python scripts available at

523 https://github.com/baillielab/influenza_cage 'ATG analysis'. The datasets analysed during

the current study are available in the Fantom5 repository, http://fantom.gsc.riken.jp/5/data/

525 Influenza A virus frame 3 conservation analysis

526 Full-length sequences for influenza A virus gene segments 1 (PB2, 42311 sequences), 2 (PB1;

42303 sequences), 3 (PA; 42756 sequences) and 4 (HA; H1 subtype only; 23798 sequences)

528 were downloaded from the NCBI on 30/08/2019. Nucleotide multiple sequence alignments

529 (MSAs) were created and clipped 450 nt downstream of the first AUG codon. All sequences

- 530 were translated and frame 3 (+2) sequences were extracted; each frame 3 sequence was
- 531 clipped after its first stop codon. Clipped frame 3 protein sequences were aligned by MAFFT
- using default parameters [82], with spurious or poorly aligned reads removed using trimAl,

using parameters -resoverlap 0.70 -sequerlap 75. Codon usage tables were compiled using

534 BioEdit.

535 Peribunyavirus uORF analysis

536 Representative reference sequences were downloaded from the NCBI in September 2018 for

all species in the family *Peribunyaviridae*, as defined by the International Committee for

- 538 Taxonomy of Viruses (ICTV). All available segments for each species were downloaded and
- examined. For each segment, we recorded the start and stop coordinates of the major ORF.
- 540 To examine the coding potential of these sequences, we used custom scripts to virtually
- translate reference sequences in all three frames and identify regions of uninterrupted coding
- sequence that begin upstream of the start codon of the major ORF, which are not preceded by
- an in-frame stop codon. All scripts and data used in this analysis are openly available in an
- online repository (https://giffordlabcvr.github.io/Peribunyaviridae-GLUE/).

545 Mouse pathogenesis studies

- 546 Six-week-old female BALB/c mice were anaesthetised with isofluorane before intranasal
- 547 inoculation with 1000 PFU of each influenza virus (n=5). The animals were monitored daily
- 548 for body weight changes and survival for 14 days after virus challenge. For ethical reasons,
- 549 mice presenting \geq 25% body weight loss were humanely euthanized. All procedures that
- required the use of animals performed in Portugal were approved by the Instituto Gulbenkian
- de Ciência Ethics Committee and the Animal Welfare Body, as well as by the Portuguese
- 552 Authority for Animal Health, Direção Geral de Alimentação e Veterinária (DGAV).

553 OTI T cell activation assay

- IAV antigen was propagated by infecting MDCK cells with IAV PR8 wild type, PR8
- containing an NS segment with SIINFEKL inserted into frame 3 (PR8-NS.F3.SIIN) or PR8
- containing an NA segment with SIINFEKL inserted into frame 1 (PR8-NA.SIIN [37]). The
- 557 IAV antigen preparations were prepared as described [83,84]. Briefly, MDCK cells were
- infected for 48 h with each IAV stain and then centrifuged, resuspended in 0.1 M glycine
- 559 buffer containing 0.9% NaCl (pH 9.75), and shaken at 4°C for 20 min. Preparations were
- sonicated 4 times at 10 s intervals before centrifugation, and the supernatant stored at -80°C.
- Bone marrow was taken from 10-14 week old naïve female C57BL/6 mice, purchased from
- 562 Envigo (UK) and maintained at the University of Glasgow under standard animal husbandry
- 563 conditions in accordance with UK home office regulations and approved by the local ethics
- 564 committee. Bone marrow derived dendritic cells (BMDCs) were prepared as previously

described [84] and incubated overnight with IAV antigen preparations. Control BMDCs were
incubated with SIINFEKL peptide (Ovalbumin (257-264), chicken, Sigma-Aldrich) for 1 h at

567 37°C.

568 OTI mice [85] were bred in-house on a mixed genetic background. Animals were kept in

dedicated barriered facilities, proactive in environmental enrichment under the EU Directive

- 570 2010 and Animal (Scientific Procedures) Act (UK Home Office licence number 70/8645)
- 571 with ethical review approval (University of Glasgow). Animals were cared for by trained and
- 572 licensed individuals and humanely sacrificed using Schedule 1 methods. Lymph nodes (LN)
- 573 (inguinal, brachial, axillary and cervical) and spleen were obtained from OTI mice sacrificed
- at weeks 12-13. CD8 T cells were negatively selected from LN and spleen using EasySep[™]
- 575 Mouse CD8+ T Cell Isolation Kit (Stemcell technologies).
- 576 BMDCs that had been exposed to viral antigen were co-cultured with CD8+ OTI T cells for
- 577 24 h. Activated T cells were detected by immunostaining with antibodies against Va2-E450
- 578 (Thermo Fisher), Vb5-PE (M59-4 BD Biosciences), CD8-Alexaflor488 (53-6.7 Thermo
- 579 Fisher), CD25-APC (PC61.3 Thermo Fisher), CD44-PerCpC5.5 (IM7 Thermo Fisher), and
- 580 CD69-PerCy7 (H1.2F3 Thermo Fisher). Data were acquired with a BD Fortessa cell analyser
- and analysed by FlowJo (BD, version 10).

582 Analysis of stop codon suppression in influenza A viruses

- All influenza A virus nucleotide sequences were downloaded from the NCBI on 28 July 2019.
- 584 Patent sequence records, sequences with NCBI keywords "UNVERIFIED",
- 585 "STANDARD_DRAFT" or "VIRUS_LOW_COVERAGE", and sequences with any
- ambiguous nucleotide codes (e.g. "N"s) were removed. leaving 109,132 sequences.
- 587 Sequences were sorted into the eight segments using tblastn [86] with PR8-strain peptide
- 588 sequences as queries.
- 589 For the three-frame stop codon plots, a set of "representative" sequences for each segment
- 590 was obtained using BLASTCLUST (a single-linkage BLAST-based clustering algorithm;
- [86]) to cluster closely related sequences. One representative sequence from each cluster was
- selected. A disadvantage of this approach is that defective sequences (from defective viruses
- or from poor quality sequencing or misassembly) tend to form their own clusters and so
- become over-represented in the set of "representative" sequences. To guard against such
- problems, we used a number of restrictive selection criteria (see below). In segments 7 and 8,
- 596 we first inserted "NN" immediately 5'-adjacent to the splice acceptor site in all sequences to

597 fuse the coding regions of M2 and M1, and of NEP and NS1. We then identified the longest 598 AUG-to-stop-codon open reading frame (ORF) in every sequence. We found the modal ORF 599 length for each segment and discarded all sequences where the ORF was not of the modal 600 length. This resulted in the loss of $\sim 37\%$, $\sim 25\%$ and $\sim 15\%$ of segment 4, 5 and 6 sequences, 601 respectively, and $<\!\!2\%$ of sequences for other segments. For each segment, we then 602 constructed the consensus amino acid sequence for the longest ORF and discarded all 603 sequences which did not have a gap-free longest ORF amino acid alignment to the consensus. 604 In this step, pairwise sequence alignments were performed with MUSCLE [87]. This step 605 removed $\sim 43\%$ of segment 4 sequences and $\sim 40\%$ of segment 6 sequences, but only 1 other 606 sequence among the other segments. All remaining sequences had >73% longest-ORF amino 607 acid identity to the respective consensus sequence.

608 For each segment, we then clustered the longest-ORF amino acid sequences with

609 BLASTCLUST (parameters -p T, -L 1, -b T). We applied BLASTCLUST with different

amino acid identity thresholds (-S parameter) starting from 99.9% and stepping downwards

611 in decrements of 0.1% until the number of clusters was 50 or fewer. We then excluded all

612 clusters with just a single sequence. For each segment, we then chose the lowest

613 BLASTCLUST identity threshold that resulted in \geq 50 non-singleton clusters. This resulted in

amino acid identity thresholds of 98.9%, 99.1%, 98.9%, 98.8%, 99.2%, 96.4%, 98.8% and

615 97.9%, and 58, 51, 50, 57, 64, 52, 56 and 56 non-singleton clusters, for segments 1–8

respectively. To choose a representative sequence for each cluster, we first extracted the

617 nucleotide sequence corresponding to the longest ORF. To mitigate the effect of potential

618 sequencing errors, in each cluster the representative sequence was chosen to be the sequence

619 with the most identical copies (with ties broken arbitrarily) or, if there were no duplicated

620 sequences, the sequence closest to the centroid (the minimum summed pairwise nucleotide

621 distances from sequence *i* to all other sequences *j* within the cluster).

622 Since all selected coding-region sequences for each segment were of the same length,

623 sequence alignment at this stage was trivial. Synonymous site conservation in the coding

region of each segment was analysed using SYNPLOT2 [88] using a 25-codon sliding

625 window and an amino-acid-based phylogenetic guide tree estimated using PhyML [89] with

626 default parameters. Due to insertion of "NN" immediately 5'-adjacent to the splice acceptor

627 site in segments 7 and 8 (see above), the synonymous site conservation analysis in the dual

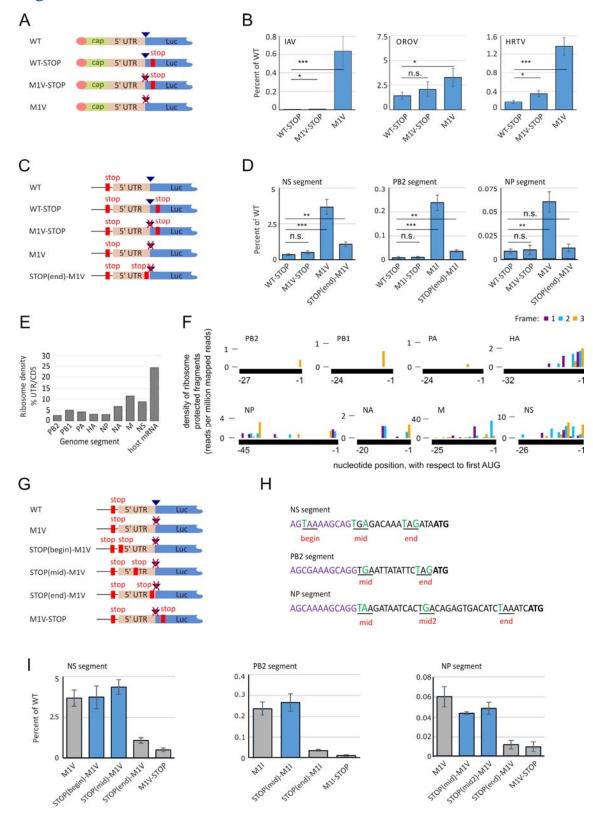
628 coding regions is for the M2 and NEP reading frames, respectively. To produce three-frame

stop codon plots within the coding regions of each segment, the "NN"s were removed from

630 the segment 7 and 8 sequences, and the positions of +0, +1 and +2 frame stop codons were 631 determined in each sequence of each alignment and plotted.

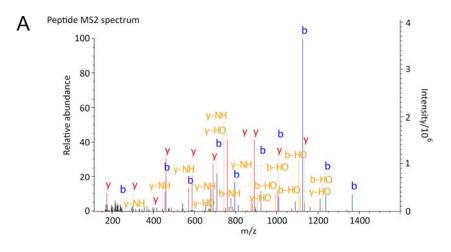
632 Within the sequence alignments, the statistical significance of conserved open reading frames 633 - defined as an alignment-wide absence of stop codons in a given region – was analysed for 634 all such regions in the +1 or +2 frames that were greater than 20 codons in length. Statistical 635 significance was evaluated by randomly shuffling zero-frame codon columns within each 636 region and calculating the fraction of shuffled alignments that preserved an ORF in the 637 alternative frame. 4,000 random shufflings were performed for each region. This procedure 638 controlled for any bias for or against random long ORFs in the alternative frame that might 639 have resulted from zero-frame amino acid use, codon use, or nucleotide biases, and also 640 controls for phylogenetic non-independence of the aligned sequences. Four ORFs were 641 detected with p < 0.05 and, for these, the number of shufflings was increased to 100,000. 642 These regions were: segment 2, frame +2, nt 3–77, p = 0.0062; segment 7, frame +1, nt 689– 643 979, p = 0.00017 (M2); segment 8, frame +1, nt 473–835, p = 0.00000 (NEP); and segment 8, 644 frame +2, nt 12–83, p = 0.00085; where in all cases nt 1 corresponds to the first nucleotide of 645 the main ORF (M1 and NS1, respectively, for segments 7 and 8), and the *p*-value is the 646 proportion of 100,000 randomizations that have no stop codons in the given frame and 647 region. Two of these regions are the parts of M2 and NEP that are downstream of a slice site 648 (as indicated). The alignments contain a total of 30 regions that are > 20 codons and have no 649 stop codons in the +1 or +2 frames. Therefore, to account for multiple testing the threshold 650 for a 0.05 probability of a false positive was 0.05/30 = 0.00167, a threshold which is 651 surpassed only by the M2 and NEP ORFs and by nt 12–83 of segment 8.

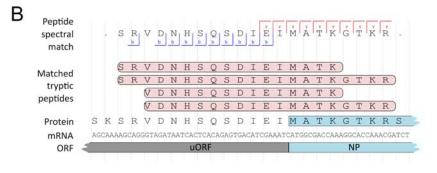
653 Figures

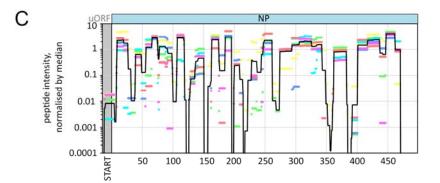


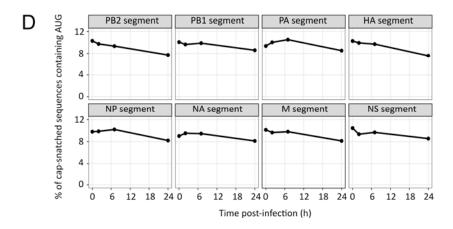
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655 Figure 1: Upstream Translation Initiation in the 5' Untranslated Regions of Segmented 656 Negative-Strand RNA Viruses. (A) Schematic showing (in coding sense) the 5' termini of 657 viral reporter RNAs, in which a viral untranslated region (UTR) flanks a luciferase (Luc) 658 reporter gene. Reporter RNAs were used to assess upstream translation in the mRNAs of 659 influenza A virus (IAV), Heartland virus (HRTV) and Oropouche virus (OROV). The 5' 660 terminus of the mRNAs consisted of cap-snatched sequence from host mRNAs (cap), 661 followed by a viral 5' UTR (5' UTR) and the reporter gene (Luc). Cap structures are indicated 662 as circles, the most N-terminal AUG as a triangle, AUG mutations as crosses and stop codons 663 as lines. (B) Luc expression when these reporters were included in minireplicon assays, as a 664 percentage of expression with the WT construct, showing the means and s.d. of 5 (IAV), 3 665 (HRTV) or 4 (OROV) repeats compared to WT-STOP by Student's 2-tailed t-test (n.s.: $p \ge 1$ 666 0.05, * p < 0.05, *** p \leq 0.0005). (C) 5' viral UTRs and Luc reporter genes were inserted, in 667 coding sense, into a cellular RNA Polymerase II (RNAPII) transcribed plasmid in order to 668 assess upstream translation of IAV segments 1, 5 and 8. A schematic showing mutations in 669 the UTR region is shown. (D) Luc expression when these reporters were transcribed by 670 RNAPII, as a percentage of WT. Means and s.d. of 3 repeats; compared to WT-STOP by 671 Student's 2-tailed t-test. (n.s.: $p \ge 0.05$, * p < 0.05, ** p < 0.005, *** $p \le 0.0005$). (E) 672 Summary Ribo-Seq measurements of viral and host mRNA from IAV infected cells, showing 673 the ribosome density on 5' UTRs as a percentage of its density on the main coding sequence 674 (CDS). (F) Ribo-Seq profiles of the virally-encoded 5' UTRs (black lines), with histograms showing the frequency of ribosomal P-site positions, inferred from the density of ribosome-675 676 protected fragments. Reads in frame with, +1 to, or +2 to the main ORF are shown in purple, 677 blue and yellow, respectively. Ribo-Seq profiles of the canonical CDSs are shown in 678 Supplementary Figure S1. (G) Schematic showing expression constructs, as in (C), modified 679 to include additional stop codons to map upstream translation in IAV segments 1, 5 and 8. (H) 680 5' UTR sequences of IAV segments 1, 5 and 8 showing the conserved sequence (purple), 681 segment-specific sequences (black) and stop mutations (green) and stop codons (underlined). 682 (I) Luc expression when these reporters were transcribed by cellular RNAPII, as a percentage 683 of WT. The means and s.d. of 3 repeats are shown, with grey bars indicating data also 684 included in panel (D).









687 Figure 2: Upstream Translation Initiation of Virally-Encoded UTRs. (A) – (C) IAV

- 688 particles were purified and subjected to tryptic digest, liquid chromatography and tandem
- 689 mass spectrometry. (A) A representative fragment mass spectrum (MS2) describing a tryptic
- 690 peptide mapping to the 5' UTR of IAV segment 5 (NP). (B) Interpretation of this mass
- 691 spectrum, comparison of its sequence to other, overlapping tryptic peptides that were
- 692 identified (see Supplementary Fig S2), and comparison of these peptides to the NP gene and
- 5' UTR of IAV segment 5. (C) The intensities (MS1) with which peptides were detected at
- 694 positions spanning the entirety of IAV segment 5. Data from 6 separate experiments (colour-
- 695 coded) are shown, normalised to median intensity of peptides mapped to NP and its 5' UTR.
- The average intensity mapped to each residue is indicated with a black line and the region
- 697 spanning the upstream ORF (uORF) is shaded. (D) Cap Analysis Gene Expression (CAGE)
- 698 sequencing of cap-snatched sequences for the eight segments of the IAV genome, collected
- from infected cells at various times post-infection and showing the percentage of cap-
- snatched sequences containing AUG codons.

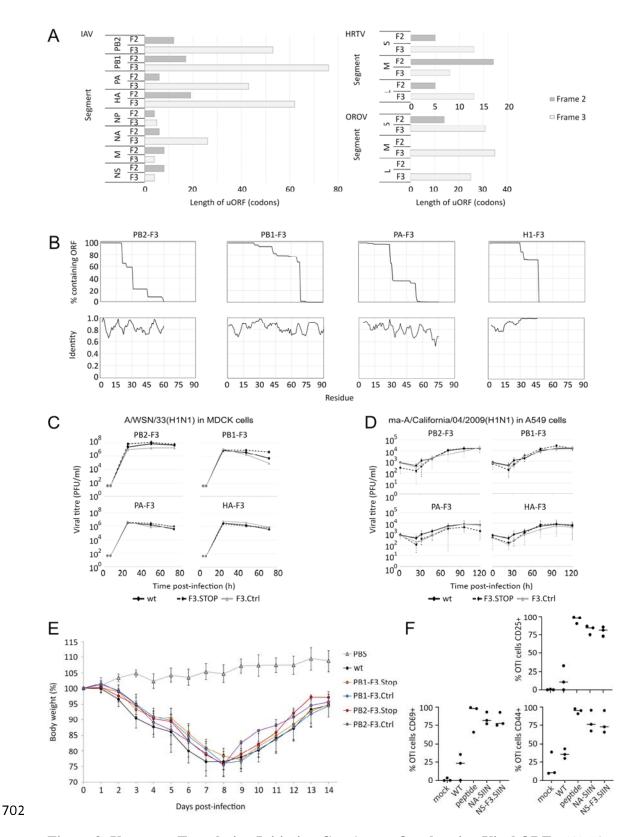
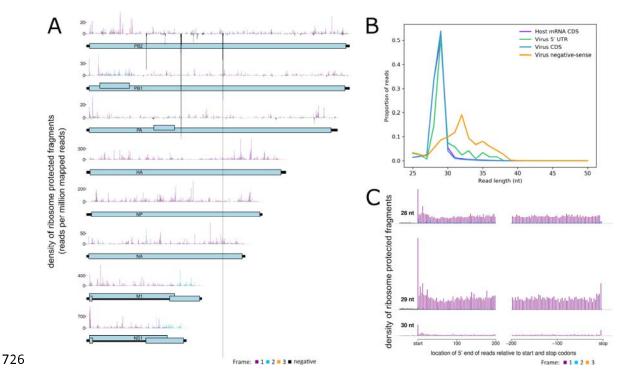


Figure 3: Upstream Translation Initiation Can Access Overlapping Viral ORFs. (A) The
 length of 5' ORFs in IAV (A/WSN/33; WSN), OROV and HRTV, beginning at the extreme

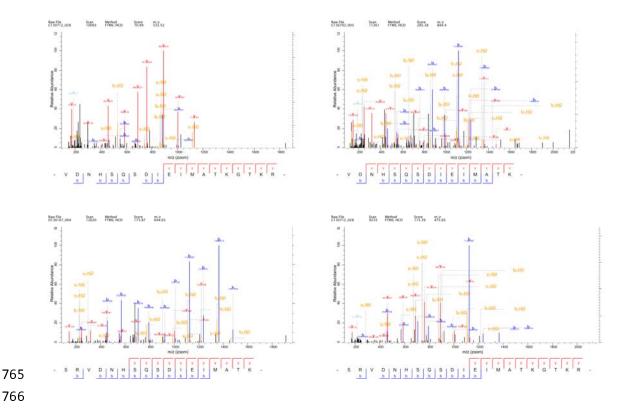
705 5' end of each segment (coding sense, including the 5' UTR) and continuing in frames 2 and 3 706 until encountering a stop codon. (B) Comparison of 5' frame 3 (F3) sequences from segments 707 1 - 4 of >20,000 IAV isolates showing the proportion that do not encounter a stop codon by a 708 given length (upper) and the degree of identity of the encoded sequences (lower). (C - D)709 Viruses containing F3.STOP or synonymous F3.Ctrl mutations within the PB2, PB1, PA and 710 HA segments were created by reverse genetics. To assess multi-cycle growth kinetics, media 711 were collected from cells infected at an initial MOI of 0.01 and plaque titres determined on 712 MDCK cells. Growth kinetics were determined when (C) mutants of the laboratory-adapted 713 IAV WSN were used to infect MDCK cells and (D) mutants of the near-clinical IAV isolate 714 mouse adapted-A/California/04/2009(H1N1) (maCa04) were used to infect human lung A549 715 cells (means and s.d. of 3 experiments). (E) Selected maCa04 viruses were used to infect 716 BALB/c mice and mouse weight was recorded over time. (F) IAV antigen preparations were 717 obtained by infecting MDCK cells with WT virus, virus with a SIIN peptide in the stem of 718 the NA protein (NA.SIIN) or virus with a cryptic SIIN peptide in F3 of segment 8 (NS-719 F3.SIIN). Bone marrow derived dendritic cells (BMDCs) were treated overnight with the 720 IAV antigen preparations and then co-cultured with SIIN-specific OTI T cells. OTI activation was determined by immunostaining for the T cell activation markers CD25+, CD44^{HIGH}+ and 721 722 CD69+. Mock infection and exposure of BMDCs to a purified SIIN peptide were used as 723 controls. Datapoints and means from 3 experiments are shown.

725 Supplementary Figures



727 Supplementary Figure S1: Ribosome profiling of influenza A virus mRNA. Human lung 728 A549 cells were infected with the influenza A virus (IAV) A/Puerto Rico/8/1934(H1N1) at 729 MOI 5. At 5 h p.i., cells were flash frozen, ribosome profiling (Ribo-Seq) was performed, and 730 ribosome protected fragments (RPFs) were used to infer the location of ribosome P-sites on 731 mRNAs, by mapping to the viral genome and host transcriptome. (A) Full Ribo-Seq profiles 732 of all eight genomic segments of IAV (shown partially in Fig 1F), with pale blue rectangles 733 indicating the canonical coding sequences and black rectangles indicating the genomic 734 segments. Histograms show the location of the 5' ends of reads with a + 12 nt offset to map 735 the approximate P-site positions. Reads which map to the first, second and third nucleotides 736 of codons relative to the reading frame of the main ORF are indicated in purple, blue and 737 yellow, respectively. Reads mapped to corresponding positions in the negative-sense vRNA 738 are indicated in black on a negative scale. Within the main ORFs most reads map to the 739 purple phase, except in the +1 frame M2 and NS2 ORFs, where most reads map to the blue 740 phase. (B) Read length distributions. In preliminary work we found that IAV Ribo-Seq 741 libraries were often contaminated by non-RPF-derived RNA which we inferred was derived 742 from ribonucleoprotein complexes (RNPs) formed when virus nucleoprotein (NP) binds RNA. 743 RNPs may co-sediment with ribosomes and give rise to additional nuclease-protected RNA 744 fragments. We have found both viral and host mRNA contamination occurring at later time

745 points of infection, suggesting that RNPs may also form with host mRNAs. High levels of 746 contamination would make interpreting the low density of non-phased RPFs in the viral 5' 747 UTRs problematic. The library shown here was specifically chosen as one with relatively low 748 contamination (assessed by a low density of reads mapping to host mRNA 3' UTRs). To 749 confirm that the reads observed in the viral 5' UTRs were predominantly *bona fide* ribosome 750 footprints, we compared their length distribution (green) with those of host mRNA coding 751 sequence (CDS)-mapping reads (purple) and viral CDS-mapping reads (blue). The very 752 similar length distributions indicate that the reads we saw mapping to viral 5' UTRs in this 753 library are mostly bona fide RPFs, with only a small fraction of contamination (note the high-754 end shoulder in the green distribution). In contrast, reads mapping to the viral genome in the 755 negative sense orientation were found to have a very different length distribution (orange) 756 indicating that they are, as expected, not *bona fide* RPFs, consistent with them deriving from 757 co-sedimenting viral RNPs. (C) Histograms of 28, 29 and 30 nt Ribo-Seq read positions 758 relative to annotated initiation and termination sites summed over all host mRNAs. 759 Histograms show the location of the 5' ends of reads with a + 12 nt offset to map the 760 approximate P-site positions. Reads which map to the 1st, 2nd or 3rd nucleotides of codons 761 are indicated in purple, blue or yellow respectively. The vast majority of reads map to the 1st 762 nucleotide position of codons. While only a small proportion of reads map to the 5' UTRs, 763 considerably fewer reads map to the 3' UTRs.





767 Supplementary Figure S2: Peptide spectral matches mapping to the 5' UTR of

768 Influenza A Virus NP. IAV A/WSN/33(H1N1) were purified, subjected to tryptic digest and

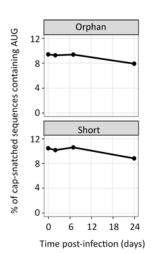
769 analysed by liquid chromatography and tandem mass spectrometry (LC-MS/MS). Annotated

770 peptide spectral matches (PSMs) mapping to the 5' UTR of IAV segment 5 (NP) are shown

771 (see also Fig 2B and Supplementary Table S1; the canonical NP sequence begins

772 'MATKGTKR...'). Four different PSMs are shown, with different numbers of missed tryptic

773 cleavage sites (trypsin cleaves C-terminal to K or R except when followed by P).



775 776

777 Supplementary Figure S3: Proportion of unassigned influenza A virus cap-snatched

778 sequences that contain an AUG. Additional data accompanying Figure 2D. Cap Analysis

779 Gene Expression (CAGE) sequencing of cap-snatched sequences in the IAV transcriptome,

collected from infected cells at various times post infection and showing the proportion of

cap-snatched sequences containing AUG codons. Figure 2D describes reads that could be

782 matched unambiguously to transcripts of a particular IAV segment; this figure describes

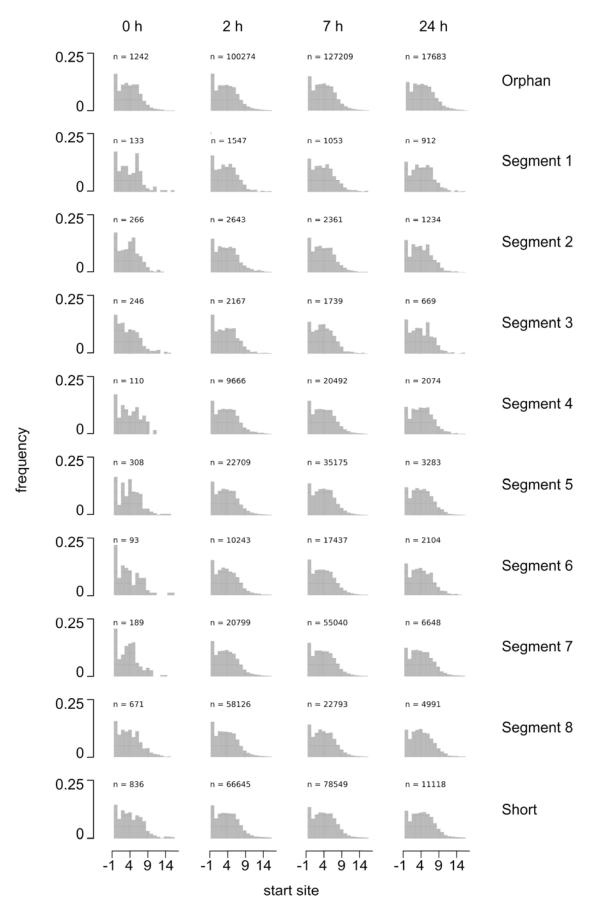
reads where this was not possible. 'Orphan' reads match 3 or more nt of an IAV segment past

the conserved promoter sequence, but do not align at the appropriate point, potentially due to

sequencing errors or mRNA processing. 'Short' reads contain fewer than 3 nt of influenza

sequence read past the promoter, and so cannot be unambiguously assigned to a specific

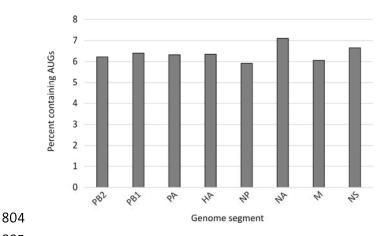
787 genome segment.



790

791 Supplementary Figure S4: Position of AUG codons within the 5' termini of mRNA.

- 792 Monocyte-derived macrophages were infected with influenza A/Udorn/307/72(H3N2) at a
- multiplicity of infection (MOI) of 5 and harvested at 0, 2, 7 and 24 h post-infection. Each
- timepoint represents 4 donors. The sequences of viral 5' sequences appropriated from host
- 795 mRNAs by cap-snatching were determined by cap analysis of gene expression (CAGE).
- 796 Where possible, reads were assigned to the viral genome segment from which the mRNA was
- transcribed; 'orphan' and 'short' reads are as defined in Supplementary Figure S3. Leader
- lengths were capped at 20 nt for analysis. The frequency of AUG codons at different
- positions relative to the 5' terminus of the mRNA was expressed as a proportion of the total
- 800 number of AUG-containing sequences (the number of which is indicated for each panel).
- Note that most leader sequences are 10 14 nt in length, which results in a depression in
- 802 AUG frequencies at more downstream positions.





806 Supplementary Figure S5: Proportion of previously-reported influenza A virus cap-

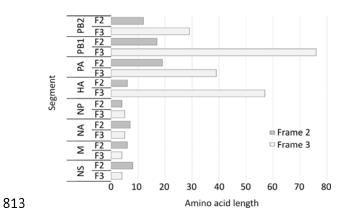
807 snatched sequences that contain an AUG. A previously-published deep-sequencing

analysis of cap-snatched leader sequences from the influenza A virus A/WSN/33(H1N1)

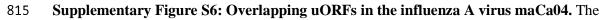
809 (Koppstein et al. (2015) Nucleic Acids Research 43(10) 5052-64; doi:10.1093/nar/gkv333)

810 was re-analysed. The percentages of cap-snatched leader sequences from each genome

- 811 segment that contain AUG codons are shown.
- 812



814

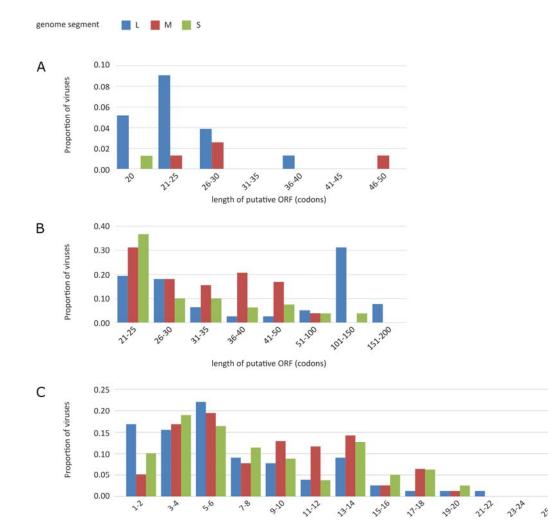


lengths of 5' ORFs that overlap the canonical ORFs (frame 1) of the mouse-adapted influenza

817 A virus ma-A/California/04/2009(H1N1). The lengths shown are the number of codons that

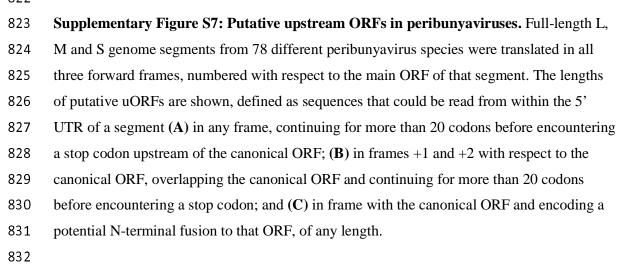
818 can be read from the 5' end of each segment (coding sense, including the 5' UTR) in frames 2

819 and 3 before encountering a stop codon.









length of virally encoded N-terminal extension (codons)

21-28

Ctrl: C Stop: T ATGGAAAGAATAAAAGAACTAAGGAATCTAATGTCGCAGTCTCGCACTCGCGAG PB2 segment wt: Frame 1: MERIKELRNLMSQSRTRE Frame 3: G K N K R T K E S N V A V S H S R D Ctrl: G Stop: T ATGGATGTCAATCCGACTTTACTTTTCTTAAAAGTGCCAGCACAAAATGCTATAAGCA PB1 segment wt: M D V N P T L L F L K V P A Q N A I S T Frame 1: Frame 3: G C Q S D F T F L K S A S T K C Y K H Ctrl: A Stop: T ${\tt ATGGAAGATTTTGTGCGACAATGCTTCAATCCGATGATTGT\underline{C}GAGCTTGCGGAAAAGGC$ PA segment wt: Frame 1: MEDFVRQCFNPMIVELAEKA R F C A T M L Q S D D C R A C G K G Frame 3: G Ctrl: C Stop: T HA segment wt: ATGAAGGCTTTTGTACTGGTCCTGTTATATGCATTTGTAGCTACAGATGCAGACACA Frame 1: M K A F V L V L L Y A F V A T D A D T EGFCTGPVICICSYRCRH Frame 3:

В

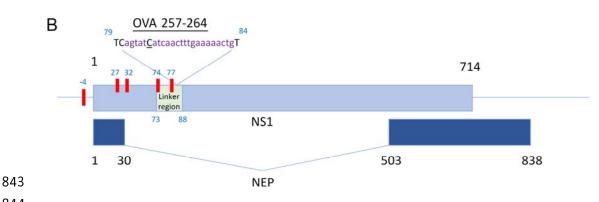
833 834 А

	Ctrl: C
	Stop: T
PB2 segment wt:	ATGGAGAGAAT <u>A</u> AAAGAACTGAGAGATCTAATGTCGCAGTCCCGCACTCGCGAGAT
Frame 1:	MERIKELR DLMSQSRTREI
Frame 3:	GENKRTERSNVAVPHSRD
	Ctrl: G
	Stop: T
PB1 segment wt:	ATGGATGTCAATCCGACTCTACTTTTCCTAAAAATTCCAGCGCAAAATGCCATAAGCAC
Frame 1:	M D V N P T L L F L K I P A Q N A I S T
Frame 3:	G C Q S D S T F P K N S S A K C H K H
	Ctrl: A
	Stop: T
PA segment wt:	ATGGAAGACTTTGTGCGACAATGCTTCAATCCAATGATCGTCGAGCTTGCGGAAAAGGA
Frame 1:	MEDFVRQCFNPMIVELAEKA
Frame 3:	G R L C A T M L Q S N D R R A C G K G
	Ctrl: C
	Stop: T
HA segment wt:	ATGAAGGCAATACTAGTAGTTCTGCTATATACATTTGCAACCGCAAATGCAGACACA
Frame 1:	M K A I L V V L L Y T F A T A N A D T
Frame 3:	E G N T S S S A I Y I C N R K C R H N

- 835 Supplementary Figure S8: Design of frame 3 mutations in influenza A viruses. Partial
- nucleotide and amino acid (frames 1 (canonical ORF) and 3) sequences for the PB2, PB1, PA
- and HA segments of influenza A viruses, beginning at the canonical start codon. Annotations

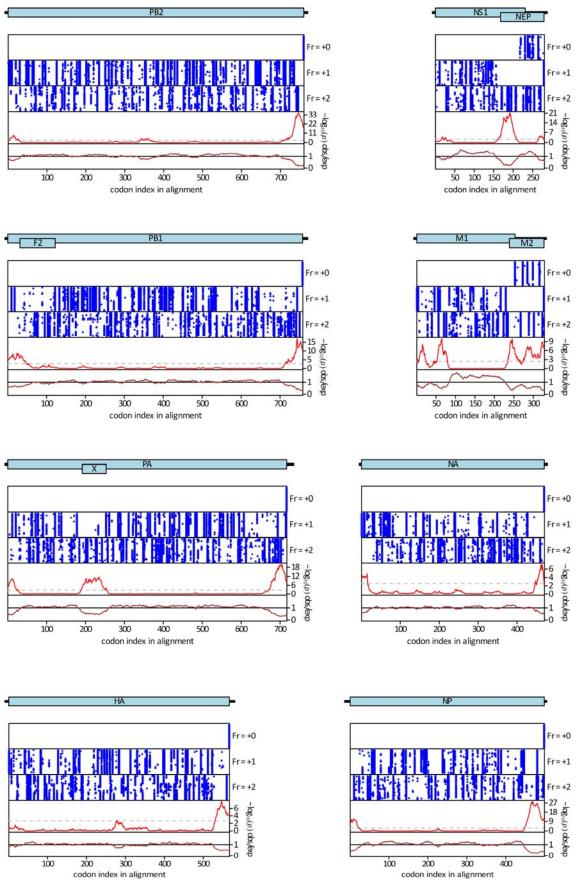
- 838 at mutated sites indicate WT sequences (underlined) and mutations designed to introduce
- frame 3 stop codons ('Stop') or to be conservative in frame 3 ('Ctrl'). All mutations are
- 840 synonymous in frame 1. Designs for mutants are shown for (A) influenza A/WSN/33(H1N1)
- and (**B**) mouse-adapted influenza A/California/04/2009(H1N1).

A CGTCTCTGGGG





845 Supplementary Figure S9: Design of PR8-NS.F3.SIIN virus. Mutagenesis design for 846 inserting the OVA₂₅₇₋₂₆₄ (SIINFEKL) epitope into frame 3 of segment 8 of the influenza A 847 virus genome, in a region corresponding to the linker sequence of the NS1 protein (encoded 848 in frame 1). (A) A synthetic sequence designed for cloning into the plasmid pDUAL:NS, 849 which encodes segment 8 of the influenza A/Puerto Rico/8/1934(H1N1) (PR8) virus 850 backbone. BsmB1 restriction sites are indicated in red, and the start codon of NS1 and NEP is 851 highlighted in yellow. A sequence encoding SIINFEKL replaced codons 79 – 84 of NS1 852 (lowercase italic). The replacement sequence was flanked by two upstream nucleotides and 853 one downstream nucleotide to introduce a frameshift into frame 3; it was also subject to an 854 additional synonymous mutation to remove a stop codon in frame 1 (uppercase bold italic). 855 Frame 3 stop codons upstream of the replacement sequence (underlined) were eliminated by 856 point mutations that were synonymous in frame 1. (B) In a reverse genetic experiment, PR8 857 virus was generated using this sequence. The organisation of segment 8 of this virus is shown 858 schematically, with blue boxes indicating ORFs, a horizontal line indicating the UTRs of 859 frame 1, and red boxes indicating mutated frame 3 stop codons. Numbering of codon 860 positions is with respect to the NS1 and NEP start codon. 861



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864	Supplementary Figure S10: The eight segments of the influenza A virus genome are shown
865	as schematics, with pale blue rectangles indicating the coding sequences and black rectangles
866	indicating the UTRs. Below each segment map, the upper three panels show the positions of
867	stop codons (blue dots) in each of the three forward reading frames in each of the aligned
868	sequences, within the coding regions of the segment. The lower two panels show the
869	synonymous site conservation analysis: the red line shows the probability that the observed
870	conservation could occur under a null model of neutral evolution at synonymous sites (with a
871	dashed line indicating $p = 0.05$ after multiple testing correction) and the brown line depicts
872	the ratio of the observed number of substitutions to the number expected under the null model.
873	
<u> </u>	Surghamentamy Tables
874	Supplementary Tables
875	
876	Supplementary Table S1: Peptide spectral matches mapping to the 5'UTR of influenza
877	A virus NP
878	Supplementary Table S2: Peribunyavirus sequences consulted
879	Supplementary Table S3: Details of putative uORFs in peribunyavirus L segments
880	Supplementary Table S4: Details of putative uORFs in peribunyavirus M segments
881	Supplementary Table S5: Details of putative uORFs in peribunyavirus S segments
882	
883	Supplementary Tables S1 – S5 are provided in the Excel spreadsheet
884	Sloan_sNSVuORFS_Supplementary_Tables.xlsx
885	

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