1	Integration of locomotion and auditory signals in the mouse inferior colliculus
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19 Abstract

The inferior colliculus (IC) is the major midbrain auditory integration center, where virtually all ascending auditory inputs converge. Although the IC has been extensively studied for sound processing, little is known about the neural activity of the IC in moving subjects, as frequently happens in natural hearing conditions. Here we show, by recording the IC neural activity in walking mice, the activity of IC neurons is strongly modulated by locomotion in the absence of sound stimulus presentation. Similar modulation was also found in deafened mice, demonstrating that IC neurons receive non-auditory, locomotion-related neural signals. Sound-evoked activity was attenuated during locomotion, and the attenuation increased frequency selectivity across the population, while maintaining preferred frequencies. Our results suggest that during behavior, integrating movement-related and auditory information is an essential aspect of sound processing in the IC.

41 Introduction

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43 The inferior colliculus (IC) is the major auditory integration center in the midbrain, where 44 virtually all ascending inputs from the auditory brainstem and the descending cortical inputs 45 converge (Adams, 1979, 1980; Malmierca, 2004; Winer and Schreiner, 2005). The IC plays a critical role in auditory processing, such as representing spectrotemporal features and 46 47 communication sounds (Egorova et al., 2001; Escabi and Schreiner, 2002; Lesica and 48 Grothe, 2008; Woolley and Portfors, 2013), and sound localization (Bock and Webster, 1974; 49 Schnupp and King, 1997; Lesica et al., 2010; Xiong et al., 2013; Ono and Oliver, 2014). 50 While auditory response properties have been extensively studied, most of neural recordings 51 in the IC have been performed in stationary subjects, and little is known about the IC activity 52 while subjects are engaged in locomotion.

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54 The central nucleus of the IC is considered a predominantly auditory structure. In contrast, 55 the shell region of the IC (the lateral and dorsal cortex) receives non-auditory inputs such as 56 somatosensory inputs (Cooper and Young, 1976; Morest and Oliver, 1984; Coleman and 57 Clerici, 1987; Lesicko et al., 2016) and is thought to perform multi-sensory integration (Aitkin 58 et al., 1978, 1981; Jain and Shore, 2016). The shell region has also been implicated in generating sound-driven behavior by projecting to motor-related regions (Huffman and 59 60 Henson, 1990; Xiong et al., 2015). Although this multi-modal integration may subserve a range of functions (Gruters and Groh, 2012), modulation of the IC activity during vocalization 61 62 (Schuller, 1979; Tammer et al., 2004;) or eye movements (Groh et al., 2001; Porter et al., 63 2006, 2007) suggests that a main role of the non-auditory inputs is providing motor-related 64 information.

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66 Movement adds challenges to auditory processing such as recognizing sounds associated 67 with movement itself or changing spatial relationships with a sound source. In order to

accurately detect and localize sounds during movement, it is hypothesized that the auditory 68 69 system distinguishes self-generated sounds from external ones (Poulet and Hedwig, 2002; 70 Rummell et al., 2016; Schneider et al., 2018) and integrates movement-related signals with 71 auditory information. Recent studies in behaving mice show that movements such as 72 locomotion indeed strongly modulate neural activity in the mouse primary auditory cortex 73 (A1) (Schneider et al., 2014; Zhou et al., 2014; McGinley et al., 2015; Bigelow et al., 2019). 74 Although it has been shown that neurons in A1 receive movement-related signals from 75 sources outside the auditory pathway (Schneider et al., 2014; Nelson et al., 2013; Nelson 76 and Mooney, 2016; Reimer et al., 2016), movement-related modulation is also found in 77 subcortical auditory centers. In the medial geniculate body (MGB) of the thalamus, for 78 instance, sound-evoked activity is attenuated during locomotion (Williamson et al., 2015; 79 Schneider et al., 2014). There is also evidence for motor-related modulation in the auditory 80 brainstem structures during vocalization, licking, and pinna orientation (Suga and Schlegel, 81 1972; Schuller, 1979; Kanold and Young, 2001; Singla et al., 2017). Evidence of these 82 subcortical modulations suggests that the loci of the integration of movement-related and 83 auditory information are spread out along the auditory pathway, including the IC.

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To determine whether and how the neural activity of the IC is modulated during movement, 85 we investigated neural activity of the mouse IC during locomotion. Using an awake head-86 87 fixed mouse preparation, we compared the IC neural activity between stationary and walking conditions. Our results demonstrate both spontaneous and sound-evoked activity of IC 88 neurons are strongly modulated during locomotion. Adding to the growing body of evidence 89 90 of movement-related modulation in the auditory pathway, our results indicate that auditory 91 midbrain neurons receive information about body movement, which may be important for 92 auditory processing during movement and acoustically guided behavior.

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95 Results

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97 Spontaneous neural activity of IC neurons is modulated during locomotion

We made extracellular recordings of spiking neural activity of IC neurons in awake head-98 fixed mice, placed on a passive treadmill. This preparation enabled us to observe the IC 99 neural activity during locomotion. When we compared firing rates between stationary and 100 101 walking periods, we found that, in the absence of sound stimulus presentation, the firing 102 rates of IC neurons could be strongly modulated during locomotion. Some neurons showed 103 a robust increase in firing during the bouts of locomotion (Figure 1A), while others showed a 104 decrease in firing (Figure 1B). Of 96 recorded IC neurons, 51 neurons (53%) significantly increased their firing during locomotion, while 22 neurons (23%) decreased their firing 105 (Figure 1C). In 23 neurons (24%), firing rates did not significantly differ between the 106 107 stationary and walking periods. Neurons that increased firing showed positive correlation 108 between the firing rate and the walking speed, whereas neurons that decreased firing 109 showed negative correlation (Figure 1D).

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111 The subdivisions of the IC – central nucleus, lateral cortex, and dorsal cortex - differ in 112 cytoarchitecture and projection patterns (Morest and Oliver, 1984). For example, the lateral cortex is known to receive somatosensory inputs (Lesicko et al., 2016). To determine 113 whether neurons that showed robust locomotion-related modulation are clustered, we 114 examined the locations of recording sites based on lesions. Anatomical reconstruction of the 115 recording locations did not show any clustering in terms of modulation or the direction of 116 117 modulation (Figure 1E). Instead, all three types of neurons – increase (red), decrease (blue), 118 or no change (black) - were found across the IC with no clear pattern.

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120 Neural modulation precedes locomotion onset

121 When mice walk on a treadmill, the movements generate low intensity sounds. Therefore,

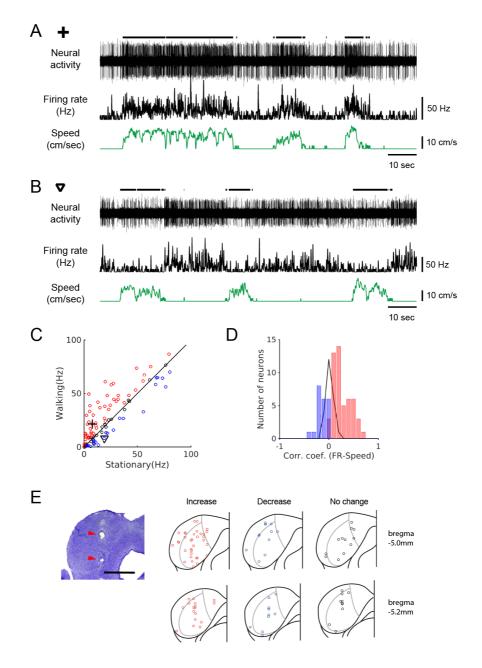


Figure 1. Spontaneous activity of IC neurons is modulated by locomotion. (**A-B**) Example recordings of spontaneous activity in IC neurons. In the neuron in A, the average spontaneous firing rate increased during walking periods (from 7.6 Hz to 21.6 Hz), whereas in the neuron in B, the firing rate decreased during walking periods (from 19.1 Hz to 8.2 Hz). In both cases, the smoothed firing rates (black middle traces) exhibit significant correlations with the speed of the treadmill (green bottom traces) (A: r = 0.59; B: r = -0.24). Thick black lines above the neural records indicate walking periods. (**C**) Population plot comparing average spontaneous firing rates between stationary and walking conditions (n = 96 neurons). Red circles: increase, blue: decrease, black: no significant change in firing. Values for the example neurons in A (cross) and B (triangle) are also shown. (**D**) Histogram of correlation coefficients between smoothed firing rate and speed (color code as in C). (**E**) Photomicrograph of a Nissl section with lesion sites marked with red arrow heads (scale bar = 1 mm), and reconstructed recording locations are shown in 2 transverse sections (5.0 mm and 5.2 mm posterior to the bregma, respectively). Color code as in C.

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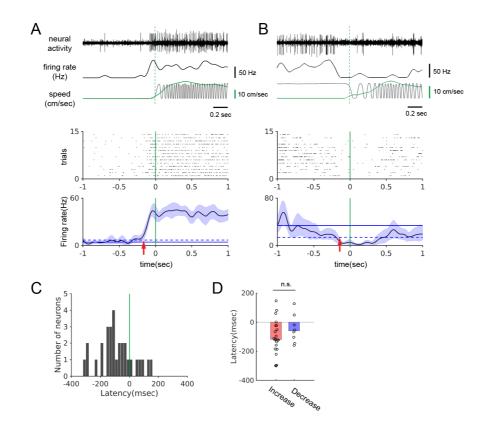


Figure 2. The onset of firing rate modulation precedes locomotion onset. (**A-B**) Top: Example neural records, corresponding smoothed firing rates, and locomotion signals (black: treadmill sensor output, green: speed). Bottom: Spike rasters aligned at the onset of walking and the locomotion onset-triggered averages of firing rates. Blue shades indicate the 95% confidence interval of the average firing rates. Horizontal solid and dashed lines show the average spontaneous firing rate and the two times the standard deviation above (A) or below (B) the average, respectively. Vertical green lines indicate locomotion onset. Red arrows indicate modulation onset. (**C**) Histogram of the neural modulation latencies relative to locomotion onset (n = 30). Time zero indicates locomotion onset. (**D**) Modulation latencies for neurons with increased (red bar, n = 22) and decreased (blue bar, n = 8) firing during locomotion (Wilcoxon rank sum test, p = 0.25). Bar graphs show the median latencies.

the observed locomotion-related modulation in IC neurons could have resulted from auditory 125 126 responses to the sounds generated by walking. If the firing rate change starts before 127 locomotion onset, however, it would indicate the modulation is not simply due to auditory 128 reafference (Schneider et al., 2014). To determine the timing of modulation with respect to locomotion, we performed locomotion onset-triggered averaging of firing rates across 129 walking bouts of a neuron. In the example neuron shown in Figure 2A, the firing rate begins 130 131 to increase well before the onset of locomotion. In a different neuron (Figure 2B), a 132 suppression of neural firing occurs before the onset of locomotion. In most of the 30 neurons

that yielded significant onset-triggered averages (see Methods), the onset of the firing rate modulation preceded locomotion onset (Figure 2C, n = 30, median latency = -107 msec). These negative latencies were observed regardless of the direction of the modulation (Figure 2D; positive modulation: red bar, n = 22, -118 msec; negative modulation: blue bar, n = 8, -60 msec; Wilcoxon rank sum test, p = 0.25). This demonstrates that neural modulation can begin before any detectable movement, which therefore is not simply attributable to auditory responses to walking sounds.

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141 Walking sound playback does not mimic neural modulation by locomotion

142 To directly investigate the contribution of auditory reafference, we examined neural responses to the playback of the recorded walking sounds (n = 25). In our behavioral 143 144 apparatus, the walking sounds had the sound pressure level of approximately 30 dB or less 145 (see Methods) with sound energy mainly around 4 to 20 kHz (Figure 3A). About ~52% of the 146 recorded neurons did show a significant average firing rate increase during passive playback 147 of the walking sounds (Figure 3B). Across the population, however, the range of the 148 playback-evoked firing rate changes was significantly smaller than that of the changes 149 during locomotion (Figure 3C; F test, $p = 2.7 \times 10^{-5}$).

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In 19 of the 25 neurons (76%) that showed significant modulation during locomotion (13 151 increased; 6 decreased), the firing rate changes during locomotion and playback were 152 compared. As shown in the example neurons (Figure 3D-E), the firing rate change during 153 locomotion was not well mimicked by playback. In neurons that increased firing during 154 locomotion, the overall playback-induced firing rate increase was not significantly different 155 from the increase by locomotion (Figure 3F, left, n = 13; W: 10.6 ± 2.0 Hz; P: 5.3 ± 1.6 Hz, 156 Wilcoxon signed rank test, p = 0.057). However, most neurons showed significantly smaller 157 firing rate increase by playback, accounting for $\sim 37\%$ of the modulation by locomotion (n = 158 159 11/13, Wilcoxon signed rank test, p = 0.00098; Figure 3D and 3F, middle). In the remaining

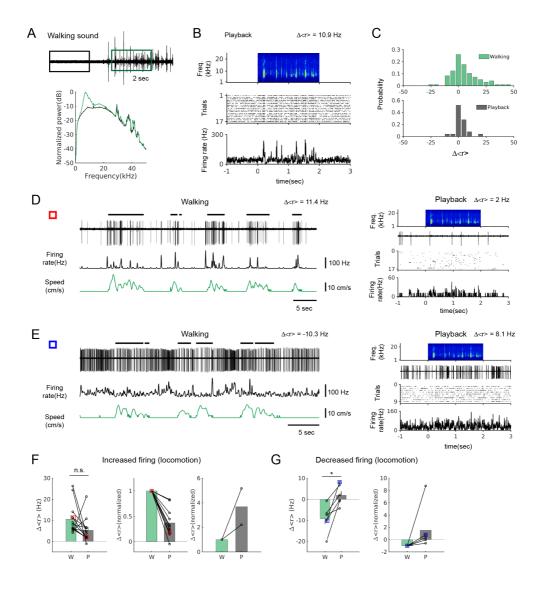


Figure 3. Walking sound playback does not mimic neural modulation by locomotion. (A) Top: walking sound recording in sound pressure waveforms (Black and green boxes denote 2 second periods during baseline and walking, respectively, used for power spectrum calculation). Bottom: normalized power spectrum (black trace: baseline, green trace: walking). (B) Example neuron that showed a relatively strong response to the playback of walking sounds ($\Delta < r > = 10.9$ Hz). Spectrogram of walking sounds (top), raster plot (middle), and PSTH (bottom) are shown. (C) Distributions of average firing rate changes by locomotion (top, n = 96) and by playback (bottom, n = 25). F test, $p = 2.7 \times 10^{-5}$. (D) Example neuron that showed a strong positive modulation during locomotion (left; $\Delta < r > = 11.4$ Hz), but a weak response to the playback (right; $\Delta < r > = 2.0$ Hz). (E) Example neuron that showed a suppression during locomotion (left; $\Delta < r > = -10.3$ Hz), but an excitatory response to the playback (right, $\Delta < r > = 8.1$ Hz). (F) Comparison of average firing rate changes ($\Delta < r >$) during locomotion (green bars) and playback (gray bars) in neurons that increased firing during locomotion (n = 13). Left: $\Delta < r >$ comparison (W: walking, P: playback; Wilcoxon signed rank test, p = 0.057), Center: $\Delta < r >$ normalized to locomotion values (n = 11/13), Right: $\Delta < r >$ normalized to locomotion values in neurons with playback response much greater than modulation by locomotion (n = 2/13). Red squares represent the neuron shown in D. (G) Same as F, but neurons that decreased firing during locomotion (n = 6). Left: $\Delta < r >$ comparison (Wilcoxon signed rank test, p = 0.0082), Right: $\Delta < r >$ normalized to locomotion values. Blue squares represent the neuron in E.

160 two neurons, the playback-induced firing rate increase was much greater than the increase 161 by locomotion (Figure 3F, right; n = 2/13, 220% and 517%, respectively). Therefore, in this 162 group, the playback response was either much smaller or larger than the modulation by 163 locomotion.

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165 In neurons that decreased firing during locomotion, the firing rate did not similarly decrease 166 during playback (Figure 3E and 3G; n = 6, W: -9.2 ± 2.6 Hz; P: 1.9 ± 1.9 Hz, Wilcoxon 167 signed rank test, p = 0.0082). Therefore, in these neurons as well, playback response did 168 not mimic the modulation by locomotion. Taken together, our playback results indicate that 169 although the walking sounds may evoke auditory responses during locomotion, this auditory 170 reafference cannot account for most of the observed firing rate modulation during 171 locomotion.

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173 Locomotion modulates spontaneous activity in deafened mice

174 To further substantiate that non-auditory neural signals modulate the IC activity during 175 locomotion, we bilaterally deafened mice by removing the middle ear ossicles and applying 176 an ototoxin (kanamycin) to the cochlea (see Methods; n = 4 mice). The effect of deafening 177 was examined by systematically recording multi-unit responses to broadband noise across the IC. In normal mice, multi-unit responses are evident typically around 30 dB (Figure 4A, 178 left and 4B, top). In deafened mice, however, the responses only appeared at 70 dB or 179 higher (Figure 4A, right and 4B, bottom), indicating that the procedure raised hearing 180 181 thresholds by at least 40 dB. We reasoned that in these mice, it would be highly unlikely that the low intensity walking sounds (< 30 dB, Figure 3) evoke neural responses. As shown in 182 183 an example neuron from a deafened mouse (Figure 4C), we observed a robust increase in firing during locomotion, while the same neuron did not show any discernible response to 184 185 broadband sound stimuli (Figure 4D). We observed both increases and decreases in neural 186 firing during locomotion in deafened mice, as was the case in normal hearing mice (n = 34);

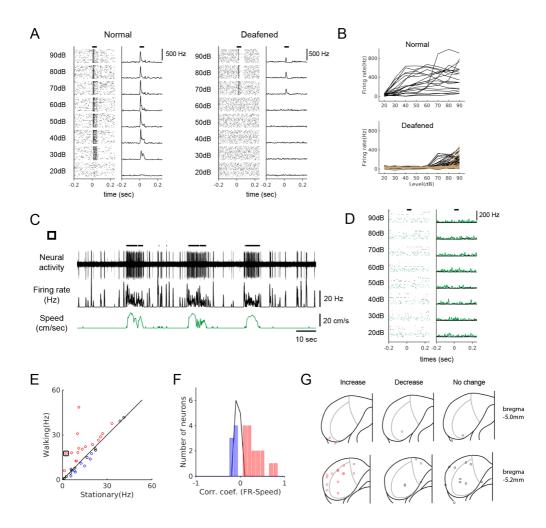


Figure 4. Locomotion modulates spontaneous activity in deafened mice. (**A**) Raster plots (30 trials shown for each level) and PSTHs of multi-unit responses to broadband sound (2-64 kHz, 50 msec duration) in example sites from normal (left) and deafened (right) mice. Horizontal bars at the top denotes the period of stimulus presentation. (**B**) Rate-level functions from multi-unit sites from a normal mouse (top, 20 sites) and two deafened mice (bottom, 40 sites (black) and 57 sites (brown); colors represent different mice, and curves from only two mice are shown for clarity). (**C**) Example neuron from a deafened mouse that showed robust modulation in firing rate during locomotion. Thick black lines above the neural record indicate walking periods. (**D**) The neuron in C did not show any auditory response to broadband noise (black: stationary, green: locomotion). In the raster plots, 30 stationary trials (black dots) and 8-10 walking trials (green dots) are shown for each level. (**E**) Scatter plot comparing the average spontaneous firing rates between stationary and walking conditions in deafened mice (n = 34). Red: increase; blue: decrease; black: no significant change. Black square indicates the neuron in C. (**F**) Histogram of correlation coefficients (color code as in E). (**G**) Reconstructed recording locations as in Figure 1. Color code as in E.

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189 Figure 4E and 4F). We also anatomically confirmed that our recording sites in deafened

190 mice were in the IC, and as in normal mice, modulated neurons were found across the IC

191 without any spatial patterns (Figure 4G).

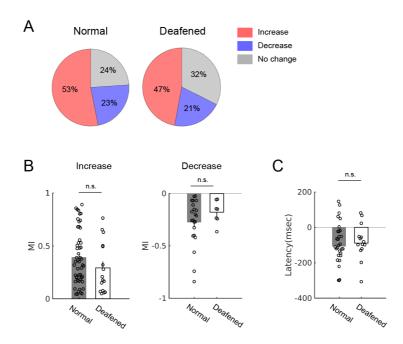


Figure 5. Modulation of spontaneous activity is similar between normal hearing and deafened mice. (**A**) Proportions of the types of modulation: increase, decrease, or no change. Normal: n = 96, deafened: n = 34. (**B**) Modulation index (MI) comparison between normal and deafened mice in neurons that showed positive (left; normal: n = 51; deaf: n = 16; *t* test, p = 0.18) or negative modulation (right; normal: n = 22; deaf: n = 7; *t* test, p = 0.28). (**C**) Latencies of neural activity modulation onset relative to locomotion onset (normal: n = 30; deafened: n = 14; Wilcoxon rank sum test, p = 0.65).

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193 Locomotion-related modulation of spontaneous activity in deafened mice was similar to that 194 in normal hearing mice in several respects. First, the proportions of neurons for each 195 category of firing rate change - increase, decrease, or no change - were comparable 196 (Figure 5A). Second, the degree of modulation, as measured by the modulation index (MI), 197 was comparable between the two groups in both positively (Figure 5B, left; normal: $0.39 \pm$ 198 0.04, n = 51; deaf: 0.29 \pm 0.06, n = 16; t test, p = 0.18) and negatively modulated neurons 199 (Figure 5B, right; normal: -0.27 ± 0.05 , n = 22; deaf: -0.18 ± 0.04 , n = 7; t test, p = 0.28). 200 Third, latencies of modulation onset (Figure 5C; median latencies normal: -107 msec n = 30; 201 deaf: -89 msec, n = 14; Wilcoxon rank sum test, p = 0.65) were also comparable. The 202 existence of locomotion-modulated neurons in deafened mice with shared characteristics 203 provides strong evidence that non-auditory signals modulate the activity of IC neurons 204 during locomotion.

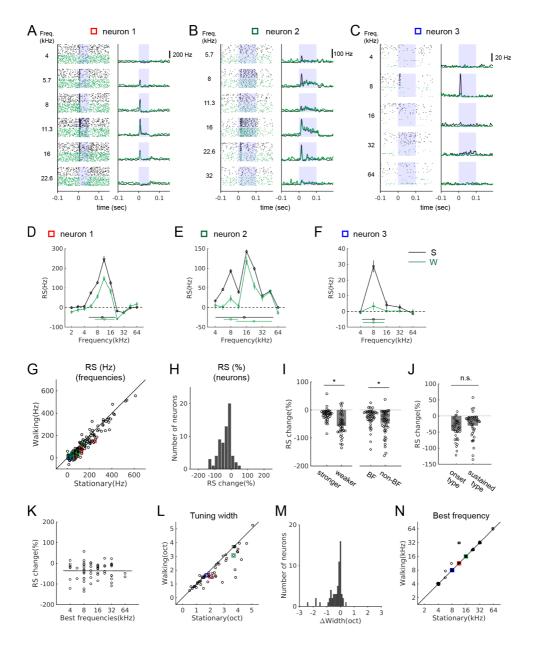


Figure 6. Sound-evoked activity of IC neurons is attenuated during locomotion. (A-C) Toneevoked responses from three example IC neurons. Black spike rasters and PSTHs are from stationary trials, and green from walking trials. In each neuron, the same number of trials is shown for each condition (A: 35 trials; B: 25 trials; C: 92 trials). Blue shades indicate the period of sound stimulus presentation. Squares in different colors indicate the data points corresponding to the examples in the population plots in G, L, and N. (D-F) Tuning curves (black: stationary, green: walking) based on response strength (RS, baseline subtracted average firing rates at stimulus onset; see Methods). Tuning widths are shown as horizontal bars in each condition (with the centroid shown as a circle at the center). The tuning curves are from the neurons shown in A-C, respectively. (G) Comparison of RS values between the stationary and walking conditions at all frequencies with excitatory responses (178 tones, 65 neurons). Values from the example neurons in A-C are indicated as squares in corresponding colors. (H) Histogram of percent changes in RS values across the recorded neurons (n = 65). One sample t test for the zero mean, $p = 1.4 \times 10^{-10}$. (I) Comparison of the percent change in RS during locomotion between neurons with weaker and stronger RS (the left two bars; stronger: above the median, n = 33; weaker: below the median, n = 32; t test, p = 0.0175), or

between best and non-best frequencies (BF vs. non-BF) (the right two bars; n = 43 of 65 neurons that had RS values at both best and non-best frequencies; paired *t* test, $p = 6.98 \times 10^{-4}$). Each data point denotes a neuron. (J) Percent change in RS during locomotion in neurons with responses only at the onset vs. neurons with sustained responses (onset type: n = 30; sustained type: n = 35; *t* test, p = 0.103). (K) Scatter plot of percent change in RS as a function of best frequencies (n = 65; r = -0.0031, p = 0.98). (L) Frequency tuning widths in octaves for stationary and walking conditions. (M) Histogram of tuning width changes (n = 60, one sample Wilcoxon signed rank test, $p = 3.0 \times 10^{-7}$; mean change = -0.29 octaves). (N) Comparison of best frequencies (n = 60). In L-N, neurons that lost all excitatory responses in the walking condition, yielding the tuning width of zero, were not included (n = 5).

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207 Sound-evoked activity of IC neurons is attenuated during locomotion

Attenuation of sound-evoked activity during locomotion has been shown in the auditory thalamus and cortex, but sources of subcortical attenuation remain unclear (Schneider et al., 2014; Zhou et al., 2014; Williamson et al., 2015; McGinley et al., 2015). We investigated whether the sound-evoked activity in the IC is also modulated during locomotion by presenting pure tones of different frequencies at 70 dB (Figure 6).

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214 We found that most of IC neurons with excitatory tone-evoked responses (measured as 215 response strength, or RS; see Methods) showed significant attenuation during locomotion 216 (72%, n = 47/65; Figure 6A-C and G). Percent changes in evoked response across the 217 population showed a significant shift toward negative values (Figure 6H, n = 65; one sample 218 t test, $p = 1.4 \times 10^{-10}$) with a mean change of -36 ± 5%. Percent attenuation was greater in 219 neurons with relatively weaker responses (below the median) than in neurons with stronger 220 responses (above the median) (Figure 6I, left; -17 \pm 4% (stronger, n = 33) vs. -56 \pm 7% 221 (weaker, n = 32); t test, p = 0.0175). Within a neuron, the average attenuation at non-best 222 frequencies were greater than at a neuron's best frequency (Figure 6I, right; n = 43, -27 ± 223 5% (BF) vs. -48 \pm 7% (non-BF), paired *t* test, $p = 6.98 \times 10^{-4}$). The greater attenuation of 224 weaker responses within and across neurons may improve signal-to-noise ratio across the 225 population of neurons during locomotion.

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227 To examine whether the degree of attenuation depends on response properties of a neuron, 228 we divided the IC neurons into two response types: one that showed response only at the onset of a stimulus (e.g., Figure 6C; n = 30), and the other that showed sustained response 229 throughout a stimulus (e.g., Figure 6B; n = 35). Although the average attenuation was less in 230 231 the neurons with sustained response, the difference was not statistically significant (Figure 232 6J; -45 ± 6% vs. -29 ± 7%; t test, p = 0.103). The degree of attenuation also did not correlate 233 with best frequencies, indicating the attenuation was global, rather than specific to certain 234 frequencies (Figure 6K, n = 65; r = -0.0031, p = 0.98).

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Attenuation of evoked activity indicates a decrease in response gain, and this may affect the 236 237 frequency selectivity of a neuron. To examine this possibility, we constructed frequency 238 tuning curves and quantified tuning widths as the spread around the centroid (see Methods: 239 Escabi et al., 2007; Ono et al., 2017; Figure 6D-F, L, M). In the stationary condition, the 240 tuning widths ranged from 0.5 to 5 octaves with a mean of 2.2 octaves (n = 65). During 241 locomotion, there was a significant decrease in the tuning widths across the population 242 (Figure 6L-M, n = 60, excluding 5 neurons with tuning width of zero in walking condition due 243 to near complete suppression; one sample Wilcoxon signed rank test, $p = 3.0 \times 10^{-7}$; mean change = -0.29 octaves). In contrast, best frequencies did not change during locomotion in 244 the vast majority of the neurons (n = 60, Figure 6N). Together, these results demonstrate 245 sound-evoked activity in the IC is attenuated during locomotion, and this attenuation 246 247 significantly sharpens frequency tuning across the population.

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Attenuation of sound-evoked activity can occur independent of spontaneous activity
 modulation

Having found locomotion-related modulation in both spontaneous and sound-evoked activity,
we asked whether there is any relationship between the two, which might indicate shared

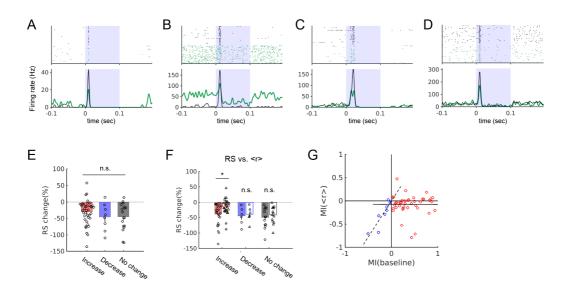


Figure 7. Relationships between the locomotion-related modulation of spontaneous and toneevoked activity. (**A-D**) Tone-evoked responses in four example neurons with different types of spontaneous activity modulation during locomotion: increase (A-B), decrease (C), or no change (D). In raster plots and PSTHs, black indicates stationary and green indicates walking trials. (**E**) Attenuation of evoked activity (RS) in the groups with different types of spontaneous activity modulation. Red: increase, n = 39; blue: decrease, n = 8; gray: no change, n = 18. (**F**) Attenuation of evoked rates with or without baseline rate subtraction (RS vs. <r>) in the three groups in E. These comparisons were made only in neurons that showed significant evoked response attenuation (*t* tests, red: n = 27 of 39, *p* = 0.0035; blue: n = 6 of 8, *p* = 0.72; gray: n = 14 of 18, *p* = 0.47). Color coding is the same as in E. (**G**) Pairwise scatter plot of modulation index (MI) for spontaneous rates and <r>. MI(spontaneous) and MI(<r>) values are shown for neurons with positive spontaneous activity modulation (red; n = 39; r = -0.0044, *p* = 0.98) and negative spontaneous activity modulation (blue; n = 8; r = 0.899, *p* = 0.0024). Corresponding linear fits are shown in solid (for red circles) and dashed (blue circles) lines.

neural mechanisms. When we compared the modulation of evoked activity across different types of spontaneous activity modulation (increase: n = 39, Figure 7A-B; decrease: n = 8, Figure 7C; no change: n = 18, Figure 7D), evoked activity was attenuated during locomotion in all three groups, and the degrees of attenuation did not differ significantly among the groups (Figure 7E; increase (red): $-31 \pm 6\%$, n = 39; decrease (blue): $-46 \pm 15\%$, n = 8; no change (gray): $-45 \pm 10\%$, n = 18; one way ANOVA, p = 0.32). Thus, attenuation of evoked activity during locomotion can occur independent of the modulation of spontaneous activity.

261 In the group with increased spontaneous activity, we also examined whether the attenuation

262 in the evoked activity is simply due to the increased baseline firing rate. In neurons that 263 showed attenuation (n = 27/39), the percent change in firing rates during sound presentation 264 without baseline subtraction (denoted as <r>) was significantly smaller than the change in 265 RS. Therefore, in this group, the modulation of spontaneous activity played a significant role in our measurement, but it didn't entirely account for the attenuation (Figure 7F, red bars, -40 266 \pm 7% vs. -15 \pm 5%, t test, p = 0.0035, n = 27 of 39). In the group with decreased 267 268 spontaneous activity, the change in RS did not differ from the change in <r> (Figure 7F, blue 269 bars, $-45 \pm 12\%$ vs. $-40 \pm 10\%$, p = 0.72, n = 6 of 8). This was also true, as expected, for the 270 group with no significant modulation of spontaneous activity (Figure 7F, gray bars, -50 \pm 9% vs. -42 ± 7%, *p* = 0.47, n = 14 of 18). 271

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273 Finally, we asked whether there is a relationship between the magnitude of spontaneous 274 activity modulation and evoked activity modulation. For this analysis we used modulation 275 index (MI) for the spontaneous rates and <r>. In the group with increased spontaneous 276 activity, the magnitude of the increase did not correlate with the modulation in <r> (n = 39, 277 solid line, r = -0.0044, p = 0.98; Figure 7G). In contrast, in the group with decreased 278 spontaneous activity, the magnitude of decrease showed a significant positive correlation 279 with the modulation in $\langle r \rangle$ (n = 8, dashed line, r = 0.899, p = 0.0024; Figure 7G). These 280 results suggest that the suppression of spontaneous and evoked activity may share common neural mechanisms, whereas the increase in spontaneous activity during locomotion may 281 result from distinct sources. 282

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289 Discussion

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291 By recording single unit activity in the IC of behaving mice, we found that locomotion can bidirectionally modulate spontaneous activity of IC neurons. The modulation preceded 292 locomotion onset, was not mimicked by playback of sounds generated by locomotion, and 293 occurred also in deafened mice. Furthermore, sound-evoked activity was attenuated during 294 295 locomotion, and frequency selectivity increased. Our results reveal locomotion-related neural 296 signals at this relatively early stage of the auditory pathway. The prevalence of the clear 297 movement-related signals suggests that multi-modal integration of movement and sound is 298 an essential part of sound processing in the IC.

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300 Modulation of neural activity by locomotion in the IC

301 We found that in ~50% of the recorded IC neurons, spontaneous firing rates increased 302 during locomotion, while in ~25%, firing rates decreased. This modulation of spontaneous 303 activity contrasts with the findings of prior studies in the MGB, where spontaneous firing did 304 not significantly change during locomotion (Zhou et al., 2014; Williamson et al., 2015; 305 McGinley et al., 2015). Our results show that the modulation during locomotion can be 306 strong and is wide spread in the IC, so it is unlikely that the altered activity does not propagate to the MGB. One plausible explanation of the discrepancy is that the modulation 307 of IC spiking activity primarily evokes subthreshold responses in thalamic neurons, making it 308 difficult to detect in terms of firing rate changes. However, subthrehold inputs could still 309 modulate sound-evoked activity (Jain and Shore, 2006) and could thus contribute to the 310 311 suppression of evoked activity shown in the MGB during locomotion (Williamson et al., 2015; 312 McGinley et al., 2015).

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To better understand the impact of the modulation on the IC circuits, it will be important to determine whether directions or degrees of modulation differ in different cell types. For

316 example, in A1, a major mechanisms of locomotion-related modulation is feedforward 317 inhibition in which the motor cortex inputs excite fast-spiking inhibitory interneurons, which in turn suppresses excitatory neurons (Schneider et al., 2014). In contrast to cortical neurons 318 (Niell and Stryker, 2010), in the IC, recordings from genetically labeled neurons have shown 319 that excitatory and inhibitory neurons cannot be distinguished based on spike waveforms 320 (Ono et al., 2017). Furthermore, defining cell types beyond excitatory and inhibitory neurons 321 322 remains a challenge in the IC, and different cell types and their connectivity are only 323 beginning to be understood (Oliver et al., 1994; Ito et al., 2009; Beebe et al., 2016; Chen et 324 al., 2018; Goyer et al., 2019; Schofield and Beebe, 2019). Making recordings from defined IC cell types in the future would enable investigations of questions such as whether excited 325 326 neurons vs. suppressed neurons are different types of neurons in the IC, or how the network 327 as a whole is modulated.

328

329 While spontaneous activity was modulated bidirectionally, evoked activity was attenuated in 330 the vast majority of IC neurons. This attenuation was general rather than selective in that it 331 occurred across neurons with different best frequencies, both at best and non-best 332 frequencies of a neuron, and regardless of temporal response patterns. In contrast to 333 spontaneous activity, the attenuation of evoked activity is consistent with the findings in the MGB. Although it is not straightforward to compare the degree of modulation, comparable 334 amount of attenuation of evoked activity has been shown in the MGB (~15-25% in 335 Williamson et al., 2015; ~50% in McGinley et al., 2015). Therefore, a significant portion of 336 the attenuation observed in the MGB already occurs in the IC. 337

338

Interestingly, the attenuation of evoked activity led to a decrease in frequency tuning widths, indicating increased neural selectivity for sound frequency (Fig. 6L-M). Across the population, we observed an average tuning width decrease of ~0.3 octaves. Behavioral studies indicate that mice can discriminate at least ~10% difference (~0.14 to 0.15 octaves)

in frequency (Ehret, 1975; Clause et al., 2011; de Hoz and Nelken, 2014; Guo et al., 2017). Thus, the observed changes in tuning widths is large enough to have significant impact on the sound frequency processing. The attenuation of sound-evoked activity may decrease the sensitivity to sounds, as supported by poorer performance on tone detection tasks during locomotion (McGinley et al., 2015; Schneider et al. 2018). On the other hand, the observed increase in frequency selectivity in IC neurons may improve frequency discrimination (Aizenberg et al., 2015; Carcea et al., 2017; Guo et al., 2017).

350

351 Potential sources of modulation during locomotion

352 It is likely that the observed modulation has multiple neural sources, as indicated by the 353 result that evoked modulation can occur independent of spontaneous modulation (Figure 7). 354 One obvious candidate, however, is somatosensory feedback during movement. The IC 355 receives inputs from the somatosensory areas in the brainstem and the cortex (Cooper and 356 Young, 1976; Aitkin et al., 1978; Robards, 1979; Coleman and Clerici, 1987; Kunzle, 1998; 357 Zhou and Shore, 2006; Lesciko et al., 2016; Olthof et al., 2019), and sound-evoked activity 358 is modulated by concurrent stimulation of somatosensory afferents (Aitkin et al., 1978; Jain 359 and Shore, 2006). While the exact functions of the somatosensory inputs during behavior 360 still remain poorly understood, our results suggest that a major function of the somatosensory inputs to the IC is to provide feedback about ongoing movement. 361

362

The somatosensory projections predominantly innervate the lateral cortex of the IC (Kunzle, 1998; Zhou and Shore, 2006; Lesicko et al., 2016), but our anatomical reconstruction of recording locations indicate modulated neurons are distributed throughout the IC (Figure 1E). Neurons in the central nucleus may receive somatosensory inputs from the lateral cortex via connections within the IC (Rockel and Jones, 1973; Coleman and Clerici, 1987; Jen et al., 2001; Chen et al., 2018), or from the dorsal cochlear nucleus (Li and Mizuno, 1997; Oertel and Young, 2004; Shore 2005; Goyer et al., 2019), which receives

somatosensory feedback during behavior (Kanold and Young, 2001; Singla et al., 2017).
Descending inputs from the somatosensory cortex, spread out across the IC subdivisions,
may also play a role (Olthof et al., 2019). Regardless of the precise neural mechanisms, our
results suggest that movement-related somatosensory feedback signals are more wide
spread across the IC than previously hypothesized.

375

376 Our timing analysis shows a substantial fraction of IC neurons change their firing prior to 377 (~100 msec) movement onset (Figure 2). This relative timing is compatible with efference 378 copy of motor signals, or neuromodulatory signals associated with movements, which has been described in A1 (Nelson et al., 2013; Schneider et al., 2014; Nelson and Mooney, 379 2016; Reimer et al., 2016). In fact, the IC receives inputs from a number of motor-related 380 381 regions and neuromodulatory centers likely associated with locomotion. First, IC neurons 382 receive inputs from the superior colliculus, a highly multi-modal area, whose neurons are 383 also modulated by locomotion (Ito et al., 2017), and the motor cortex (Olthof et al., 2019). 384 Second, the IC receives inputs from midbrain cholinergic neurons in the peduncular pontine 385 nucleus (Farley et al., 1983; Motts and Schofield, 2009), which is part of the midbrain 386 locomotion region (Lee et al., 2014; Caggiano et al., 2018). Third, the IC receives 387 noradrenergic inputs from the locus coeruleus (Klepper and Herbert, 1991; Hormigo et al., 2012), which are likely to be active during locomotion (Reimer et al., 2016). Therefore, IC 388 neurons could receive rich information about body movement via both efference copy like 389 signals from motor-related regions and somatosensory feedback. These signals may work 390 391 together to inform IC neurons of ongoing movements to be integrated with sound 392 processing.

393

394 Implications for sound processing and sound-guided behavior

395 In the visual system, visual responses are stronger during locomotion, and this increased 396 gain enhances visual function (Niell and Stryker, 2010; Mineault et al., 2016; Dadarlat and

397 Stryker, 2017; Ito et al., 2017). In contrast, in the auditory pathway, it has been consistently 398 observed that auditory responses are suppressed during motor behavior, including 399 locomotion (Creutzfeld et al., 1989; Eliades and Wang, 2013; Singla et al., 2017; Schneider and Mooney, 2018). This suppression is thought to help maintain sensitivity to sounds by 400 preventing desensitization and help distinguish self-generated and external sounds (Poulet 401 402 and Hedwig, 2002; Schneider et al., 2018). The general attenuation of auditory response we 403 observed in the IC is in line with this sound processing strategy. However, the integration of 404 auditory and movement-related signals in the IC may have additional functional 405 consequences such as a trade-off between detection and discrimination, as suggested by 406 the ways IC tuning curves changed.

407

The movement-related signals in the IC may also enable rapid control of behavioral 408 409 response to a sound source. The IC has been implicated in mediating acousticomotor 410 behavior by conveying auditory information to motor-related areas, such as the superior 411 colliculus and the periaqueductal gray (Huffman and Henson, 1990; Xiong et al., 2015). 412 Integrating neural signals related to body movement and posture at the level of auditory 413 midbrain could help localize a sound source and generate rapid response toward or away 414 from it. Through this multi-modal integration, the IC may take part in the midbrain sensory-tomotor circuits that allow rapid control of sound-driven behaviors. 415

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424 Materials and Methods

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426 Animals

All experiments performed were approved by the Institutional Animal Care and Use Committee of Sungkyunkwan University in accordance with the National Institutes of Health guidelines. Neural recordings have been performed in C57BL/6 mice (n = 15 mice) or a transgenic mouse line (VGAT-ChR2-EYFP line with C57BL/6J background; n = 10 mice) of both sexes, aged 6-10 weeks. In the current study, we did not make use of the transgene expression in the transgenic line. We did not find differences in the degree of modulation in spontaneous or evoked activity between the two strains.

434

435 *Headpost surgery*

436 For neural recordings in a head-fixed preparation, a custom metal headpost was cemented 437 to the skull. Mice were positioned in a stereotaxic device (Kopf Instrument) and anesthetized 438 using Isoflurane (1-4%) delivered via a vaporizer (DRE Veterinary). An eye ointment was 439 applied to keep the eves from drying. A small amount of lidocaine (2%) was injected under 440 the skin overlying the skull, and an incision was made to expose the skull. The connective 441 tissues were gently removed, and the skull surface was allowed to dry. The head was 442 positioned such that difference in the dorso-ventral coordinates of the bregma and lambda was less than 100 µm. A small ground screw was cemented toward the rostral end of the 443 exposed skull surface. For future craniotomy over the inferior colliculus (IC), markings were 444 made around 5 mm posterior to the bregma. A metal headpost was positioned not to 445 obstruct future access to the IC and was secured using dental cement (Super-bond C&B). 446

447

448 Neurophysiology

449 Mice were acclimated to head-fixing and walking on a passive disc-type treadmill for 2-4 450 days (one 30 min session per day) prior to neurophysiological recordings. On the day of

451 neural recording, a cranial window (~1 mm) was made over the IC under isofluorane 452 anesthesia (1-4%). The window was covered with Kwik-Cast (WPI) and mice were allowed 453 to recover for at least 2 hours. Recordings were made from the IC (AP ~5.0 mm posterior to the bregma, ML ~0.4-1.8mm from the midline) using either a single tungsten electrod or a 454 linear array of tungsten electrodes (~5 M Ω , FHC). The electrodes were controlled by a 455 single-axis motorized micro-manipulator (IVM Mini, Scientifica). Neural signals were 456 457 acquired using a 16-channel headstage (RHD2132, Intan Technologies) and Open Ephys 458 data acquisition hardware and software. Spiking activity was band-pass filtered (600-6000 459 Hz) and digitized at 30 kHz. Locomotion on a treadmill was detected using a rotary encoder 460 (Scitech Korea), and the output voltage signal was recorded as analog and digital inputs for 461 further analysis.

462

463 Sound stimuli

464 Pure tone stimuli with a sampling rate of 400 kHz were generated in MATLAB (MathWorks), 465 and presented at 70 dB SPL using a D/A converter (PCIe-6343, National Instruments), a 466 power amplifier (#70103, Avisoft), and an ultrasonic speaker (Vifa, Avisoft). The sound 467 system was periodically calibrated for each tone stimulus frequency using a 1/4" microphone 468 (Bruel & Kjaer 4939). During neurophysiological recordings, the speaker was placed 15 cm from the animal's right ear at 45 degrees from the body midline. In initial recordings, the tone 469 stimulus set consisted of frequencies between 4 kHz and 64 kHz in one octave steps 470 (duration: 100 msec; on and off ramps: 1 msec; presented pseudorandomly at 2 Hz). In 471 subsequent experiments, to better estimate frequency tuning, tone stimuli were presented at 472 473 frequencies between 2 kHz and 64 kHz in half octave steps (duration: 50 msec; on and off 474 ramps: 1 msec; presented pseudorandomly at 4 Hz). Each tone stimulus was repeated at 475 least 20 times, but typically much more (mean: 131 trials; range: 20-320). Broadband noise stimuli (2-64 kHz) for assessing hearing thresholds were 50 msec long (5 msec on and off 476

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478 ramps) and presented at 20 to 90 dB SPL in 10 dB steps in pseudorandom order. Each479 sound level was repeated at least 50 times.

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481 Sounds generated by locomotion on our treadmill were recorded by placing a microphone 482 (CM16/CMPA, Avisoft) close to the legs. The recorded sound signal was bandpass filtered 483 (1kHz-25kHz), and subjected to a noise reduction procedure to reduce the baseline noise 484 using Audition (Adobe). The sound level of walking sounds was estimated by comparing 485 recorded walking sounds with a series of recorded playbacks at different levels (20 to 50 dB 486 in 5 dB steps). Recorded playback at 30 dB had an RMS value similar but slightly higher 487 than that of the recorded walking sounds. Therefore, a representative 2 second recording 488 was used as a playback stimulus. The stimulus was presented at 30 dB SPL and repeated at least 20 times. 489

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Sound stimuli were presented using a custom-written stimulus presenter program written in
Python 2.7 (by Jeff Knowles; <u>https://bitbucket.org/spikeCoder/kranky</u>), which communicated
with Open Ephys GUI software (<u>http://www.open-ephys.org/gui</u>).

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495 Deafening

To prevent mice from hearing sounds generated by locomotion, a bilateral deafening 496 procedure was performed. Mice were anesthetized by intraperitoneal injection of Ketamine/ 497 Xylazine (100mg/10mg per kg). A small incision was made ventral and posterior to the pinna. 498 To expose the auditory bulla that surrounds the middle ear cavity, the overlying tissue was 499 500 gently spread using fine forceps. An opening was made in the bulla to visualize the ossicles 501 and the cochlea. The ossicles were removed and kanamycin drops (1 mg/ml) were applied 502 3-4 times at the oval window of the cochlea in an attempt to induce further hair cell damage. 503 The middle ear cavity was filled with gelfoam, the overlying tissue was closed, and the skin 504

was sutured. Mice were given analgesics (meloxicam, 5mg/kg) and allowed to recover for
2-3 days before receiving headpost surgery for neural recording.

507

508 Data analysis

509 Spikes were detected and sorted offline using commercial spike sorting software (Offline 510 Sorter v4, Plexon). Detected spike waveforms were clustered using PCA, and clusters with a 511 clear separation in PC space was taken as single units. A refractory period of 0.7 msec was 512 imposed, and the rate of refractory period violation was required to be less than 0.5%.

513

514 Spontaneous activity was measured either from the baseline period that preceded a tone 515 presentation (0.1 or 0.2 sec) or from 1-sec segments of a continuous recording of spontaneous activity (> 200 sec). Periods of locomotion was determined by thresholding the 516 517 speed of the treadmill at 2 cm/sec, obtained by smoothing the digital recordings of the 518 treadmill sensor output (200 msec hanning filter; a transition from low to high, or vice versa, 519 corresponded to 0.26 cm). During the stationary periods, occasional short blips of movement 520 occurred, but otherwise, the speed was zero. The segments of spontaneous activity was 521 assigned to either stationary or walking condition, and only the segments that occurred entirely during one of the behavioral conditions were included for analysis. 522

523

To analyze the timing of neural modulation relative to movement onset (Figure 2), walking 524 525 periods with clear onsets were identified. Then, for each walking period, the onset was defined as the time when the treadmill sensor output changed by 2% (corresponding to < 1 526 527 mm of travel) of the maximum range (2.5V). Once locomotion onsets (= onset(L)) were 528 defined, onset-triggered averaging of the smoothed firing rate was performed. The onset of 529 neural activity modulation (= onset(M)) was defined as the time when the 95% confidence 530 interval of the onset(L)-triggered firing rate first deviated from the average stationary rate by 2 times the standard deviation. The confidence intervals were obtained using bootstrap 531

resampling of the onset(L)-triggered firing rate segments. Latency of modulation was defined as the time of onset(M) - the time of onset(L). Clear onset(L)-triggered averages and modulation onset latency could be defined in a subset of neurons with significant modulation (normal: n = 30 of 63 modulated neurons; deaf: n = 14 of 23 modulated neurons) due to not enough locomotion onsets or relatively weak modulation.

537

To assess the effect of deafening, multi-unit responses to broadband noise (2-64 kHz) were examined across the IC for a range of sound levels in 10 dB steps (20-90 dB). Multi-unit spike times were obtained by thresholding the neural recordings at 3 times the standard deviation of the baseline noise. Rate-level curves were constructed based on the peak evoked firing rates (Figure 4A-B). Rate-level curves were obtained from a few tens of multiunit sites for each deafened mouse (4 mice, 43 ± 13 sites per mouse).

544

To compare the degrees of modulation between the normal hearing and deafened mice (Figure 5B), a modulation index was used: MI = [<r>(walk)-<r>(stationary)] / [<r>(walk) +<r>(stationary)], where <r> represents the average firing rate and MI values varied between -1 and +1 (Rummel et al., 2016). Because deafening decreased spontaneous firing rates (21.9 \pm 2.2 Hz vs. 14.3 \pm 1.8 Hz), MI allowed us to compare the relative changes by locomotion. MI was also used to test whether modulations of spontaneous activity and evoked activity were correlated (Figure 7G).

552

Tone-evoked activity was analyzed in neurons with excitatory response to at least one of the presented tone frequencies. A significant response to a tone stimulus was determined using a paired *t* test between the firing rate during the baseline period preceding the tone and the firing rate during a response window. A response window was defined around the time of the peak of the smoothed peristimulus time histogram (PSTH) (from 5 msec before and to 7 msec after the peak; smoothing by a Gaussian function with the standard deviation of 2

559 msec) at a neuron's best frequency (the tone frequency with the greatest peak response). 560 The same response window was used for all stimuli in a given neuron. Tone-evoked activity 561 was quantified as the average firing rate during a response window minus the spontaneous firing rate (Response Strength or RS; Doupe, 1997). Spontaneous firing rate was measured 562 during a 100 or 200 msec period preceding each stimulus presentation. Evoked trials were 563 assigned to either stationary or walking condition as in the spontaneous activity described 564 565 above. Only neurons that had at least 5 repeats for a tone stimulus in both conditions were 566 included (Stationary: 131 ± 47 trials per stimulus; Walking: 40 ± 26 trials per stimulus). 567 Modulation of evoked activity was guantified as percent change in RS (100*[RS(walking) -RS(stationary)]/[RS(stationary)]). Percent change in RS for a neuron was defined as percent 568 569 change in RS summed over all responsive tone frequencies. Modulation analysis using a 570 fixed 15 msec response window starting 5 msec after the sound onset yielded similar 571 results.

572

Tuning curves were constructed from the average evoked firing rates (RS) at different tone frequencies. To quantify tuning widths, tuning curves were first linearly interpolated between neighboring frequencies (100 points), and tuning widths were expressed as four times the second moment about the centroid, measured in octaves (Escabi et al., 2007; Ono et al., 2017). In rare cases (n = 2) where multiple tuning width segments occurred due to a nonresponsive tone in the middle, the widths were added up minus the overlap.

579

580 Histology

At the end of a recording session, small lesions were made by applying current (30 μ A, 10 sec) through recording electrodes. Animals were transcardially perfused using PBS followed by 4% paraformaldehyde. Brains were post-fixed for at least a day and were cryoprotected in 30% sucrose before they were cut on a cryostat. Sections were cut at 40- μ m thickness, 585

586 mounted on slides, and processed for Nissl staining. Recording locations were estimated 587 based on the locations of the lesion.

588

589 Statistical analysis

590 All statistical analysis was performed in MATLAB. The significance level was $\alpha = 0.05$ except 591 for spontaneous activity modulation, where $\alpha = 0.01$. Normality was assessed using Lilliefors 592 test (lillietest function in MATLAB), and when data significantly deviated from normal 593 distribution, non-parametric tests were used. Results are presented with mean \pm SEM 594 unless otherwise noted.

595

596 Statistical significance of the modulation in spontaneous firing was determined using the 597 mean firing rates from recording segments (see *Data analysis* above) during which no sound 598 stimuli were presented. The spontaneous firing rates of the analyzed segments were 599 generally not normally distributed, so the significance of the modulation was determined 600 using a permutation test. In each permutation, segments of spontaneous activity from the 601 stationary or walking groups were combined and then randomly assigned to two groups with 602 the original sample sizes. A distribution of the differences of the means between the two 603 groups was obtained from 1000 permutations. A two-tailed p value was obtained by calculating the probability that permuted differences were more extreme than the sample 604 mean difference. For each neuron, p < 0.01 was considered a significant modulation. Based 605 on this analysis, neurons were categorized into 3 groups: neurons with increased or 606 decreased spontaneous activity, or with no significant change (normal: n = 96 neurons from 607 608 21 mice; deafened: n = 34 neurons from 4 mice; Figures 1C-E, 3F-G, 4E-G, 5A-B, and 7E-609 G).

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611 In Figure 2D, a Wilcoxon rank sum test was performed to determine if median latencies of 612 neural modulation relative to locomotion onset differed significantly between the neurons

with increased (n = 22) and decreased (n = 8) spontaneous firing rates during locomotion. Similarly in Figure 5C, latencies were compared between normal (n = 30) and deafened mice (n = 14).

616

In Figure 3, whether a neuron showed significant response to walking sound playback was 617 determined using a paired t test between the baseline firing rate and the firing rate during the 618 619 playback. For this analysis, only playback responses from stationary trials were used (17 ± 620 5 trials). In Figure 3C, to determine whether the variances of the mean firing rate changes 621 $(\Delta < r >)$ during locomotion and playback differed significantly across the population, a two sample F test was performed (walking: n = 96; playback: n = 25). Randomly selecting 25 622 623 neurons from the walking group did not change the result of the statistical test. In Figure 3F and 3G, Wilcoxon signed rank tests were performed for paired comparisons of the mean 624 625 firing rate changes (Δ <r>) during locomotion and playback in 19 modulated neurons out of 626 25 with both measurements (Figure 3F: n = 13 neurons in which locomotion increased firing; 627 Figure 3G: n = 6 neurons in which locomotion decreased firing).

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In Figure 5B, to determine whether the degrees of modulation differed significantly between the normal and deafened mice, *t* tests were performed on MI values in the neurons with increased (Figure 5B, left; normal: n = 51 of 96 neurons; deafened: n = 16 of 34 neurons) or decreased spontaneous firing (Figure 5B, right; normal: n = 22 of 96 neurons; deafened: n =7 of 34 neurons). Statistical comparisons using percent changes in firing rate yielded similar results.

635

In the analysis of the modulation of evoked activity (Figure 6), of the 67 neurons in which tone stimuli evoked excitatory responses, 65 were included in the analysis. Two of the 67 neurons that had unreliable percent change values due to RS values close to zero were excluded from the analysis. In Figure 6H, one sample *t* test was performed to determine

640 whether the population mean of percent changes in RS was significantly different from zero 641 (n = 65). In Figure 6I (two bars on the left), the 65 neurons were divided into two groups: 642 those with relatively stronger (above the median, n = 33) and weaker responses (below the 643 median, n = 32). Then a two sample t test was performed to determine whether RS change differed between the two groups. In Figure 6I (two bars on the right), percent changes in RS 644 were compared between the best and non-best frequencies of a neuron using a paired t test 645 646 (n = 43 of 65 neurons with multiple frequencies with response). In Figure 6J, to determine 647 whether percent RS change can differ depending on response types, a two sample t test 648 was performed between neurons with onset responses only (n = 30 neurons) vs. neurons with onset followed by sustained responses (n = 35 neurons). In Figure 6K, whether the 649 650 correlation coefficient between the best frequencies and the changes in RS was significantly 651 different from zero was determined using a t test (n = 65). In Figure 6M, whether the 652 population median frequency tuning width change was significantly different from zero was 653 determined using a one sample Wilcoxon signed rank test (n = 60). In Figure 6L-N, neurons 654 that lost all excitatory responses in the walking condition, yielding the tuning width of zero, 655 were excluded (n = 5).

656

In Figure 7E, percent change in RS was compared across the three different spontaneous 657 activity modulation categories using one-way ANOVA (of 65 neurons analyzed for evoked 658 response, 39 showed increase, 8 showed decrease, and 18 showed no change in 659 spontaneous activity). In Figure 7F, percent change in firing rates during response window 660 were compared between RS (baseline subtracted) and <r> (without baseline subtraction) in 661 each of the three spontaneous modulation categories. These comparisons were made using 662 663 t tests only in neurons that showed significant attenuation in RS (red: n = 27 attenuated 664 neurons of 39 neurons with increased spontaneous activity; blue: n = 6 attenuated neurons of 8 neurons with decreased spontaneous activity; gray: n = 14 attenuated neurons of 18 665 neurons with no change in spontaneous activity). Whether the evoked activity was 666

667	significantly modulated by locomotion was determined using a two sample t test on RS
668	values from stationary and walking trials. A neuron was considered modulated if there was a
669	significant modulation in RS at least at one of the tone frequencies. In Figure 7G, to
670	determine whether the correlation coefficient between the modulation of spontaneous rate
671	and the evoked rate ($<$ r>) was significantly different from zero, t tests were performed in the
672	group with increased (n = 39) or decreased spontaneous activity (n = 8).
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Competing interests

The authors declare no competing financial interests.

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