

1 **Robust blind spectral unmixing for fluorescence microscopy using unsupervised**
2 **learning**

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13 **Abstract**

14 Due to the overlapping emission spectra of fluorophores, fluorescence microscopy images often have
15 bleed-through problems, leading to a false positive detection. This problem is almost unavoidable when the
16 samples are labeled with three or more fluorophores, and the situation is complicated even further when imaged
17 under a multiphoton microscope. Several methods have been developed and commonly used by biologists for
18 fluorescence microscopy spectral unmixing, such as linear unmixing, non-negative matrix factorization,
19 deconvolution, and principal component analysis. However, they either require pre-knowledge of emission
20 spectra or restrict the number of fluorophores to be the same as detection channels, which highly limits the real-
21 world applications of those spectral unmixing methods. In this paper, we developed a robust and flexible spectral
22 unmixing method: Learning Unsupervised Means of Spectra (LUMoS), which uses an unsupervised machine
23 learning clustering method to learn individual fluorophores' spectral signatures from mixed images, and blindly
24 separate channels without restrictions on the number of fluorophores that can be imaged. This method highly
25 expands the hardware capability of two-photon microscopy to simultaneously image more fluorophores than is
26 possible with instrumentation alone. Experimental and simulated results demonstrated the robustness of LUMoS
27 in multi-channel separations of two-photon microscopy images. We also extended the application of this method
28 to background/autofluorescence removal and colocalization analysis. Lastly, we integrated this tool into ImageJ to
29 offer an easy to use spectral unmixing tool for fluorescence imaging. LUMoS allows us to gain a higher spectral
30 resolution and obtain a cleaner image without the need to upgrade the imaging hardware capabilities.

31 **Introduction**

32 Two-photon laser scanning microscopy (2PLSM) offers many advantages for imaging cell dynamics in
33 live animals with deeper tissue penetrations, 3D contrast and resolution, and reduced phototoxicity [1,2]. The
34 majority of *in-vivo* 2PLSM studies so far have relied on single or dual color imaging which highly limits the cell
35 populations and physiological components that can be studied at one time [3-5]. To identify and characterize

36 complex biological mechanisms, multiple cell types or intracellular processes need to be visualized
37 simultaneously. Adapting 2PLSM for simultaneous multi-fluorophore detection has presented a challenge due to
38 the widely overlapping two-photon absorption spectra of commonly used fluorescent markers [6-8] as well as the
39 high expense of incorporating multiple two-photon laser lines. Imaging specimens with a greater number of
40 fluorescent labels is usually confronted with the bleed-through or cross-talk of fluorescence emissions. These
41 spectral mixing artifacts often complicate the interpretation of experimental results with ambiguous
42 discriminations, particularly if colocalization of fluorophores is under investigation or quantitative measurements
43 are necessary. Therefore, a reliable and clean separation of different fluorescence labels is required for analysis
44 and quantifications, and a flexible approach to overcome the hardware limitations on the number of fluorophores
45 that can be simultaneously imaged is desired.

46 There are a wide variety of computational approaches commonly used by biologists for spectral unmixing
47 with their own advantages and limitations. Fluorescence signals were first modeled as a linear combination of
48 measured reference spectra of all involved fluorochromes, and linear unmixing was introduced for spectral
49 unmixing in the fluorescence microscopy domain [9,10]. This algorithm extracts the weight of each individual
50 spectrum with the weight proportional to the fluorophore's concentration [11,12]. Linear unmixing is
51 advantageous in the way that it is well suited for resolving spectra from pixels that have a mixed contribution
52 from different fluorophores, as it calculates the best linear fit of any combination of fluorescent spectra in an
53 individual pixel. The method has been widely applied in different imaging modalities since then [12-16].
54 However, the spectra of the contributing fluorophores may change nonuniformly due to the distortion by the
55 complex tissue environment [17], and the assumption of superposition may be inappropriate in the presence of
56 non-linear effects such as quenching, photobleaching, and two-photon absorption. To be solvable, linear unmixing
57 also assumes that the number of detection channels be at least equal to the number of fluorophores which requires
58 more advanced hardware settings such as tunable filters to detect more dyes [10,18], highly limiting the number
59 of different labels that can be unambiguously identified in an image. In addition, the method also requires prior
60 knowledge of the reference spectrum for a given dye, which is instrument specific and hard to measure. Following
61 linear unmixing theory, many other methods have been introduced. Non-negative matrix factorization (NMF)

62 considers the non-negative characteristics of the fluorophore contributions [19-21], which has the advantage that
63 prior knowledge of emission spectra is not needed, and has also been used for autofluorescence and background
64 removal [22-24]. It is limited, however, in that it cannot be applied to situations when fluorophores outnumber
65 detection channels. The other main problem of this approach is that there can be multiple equally valid, yet
66 significantly different solutions. Sometimes prior knowledge about spectra is still needed to reduce the ambiguity
67 [19]. Another unmixing method, spectral deconvolution [25], requires the acquisition of the spectral signature of
68 each fluorophore by manually selecting the region of interest which is laborious, and requires unambiguous and
69 exclusive expression of fluorescent labels. The method will not work when, in addition to bleed-through, there is
70 significant cross-talk between fluorophores. Another recently developed method used for two-photon imaging,
71 similarity unmixing [26], can work for any number of fluorophores but still requires detailed knowledge of
72 fluorophore emission spectra and can fail when actual emissions deviate from their theoretical ideals or there are
73 colocalized fluorophores.

74 Therefore, to improve the flexibility and applicability of multi-channel fluorescence imaging spectral
75 unmixing, we looked for methods that do not need spectra information and are not restricted by the number of
76 detection channels. Unsupervised learning is a class of machine learning techniques that find patterns directly
77 from unlabeled data [27,28]. By taking advantage of the ability of unsupervised learning algorithms to
78 automatically “learn” to identify features from raw images, we here investigated clustering based unsupervised
79 learning in blindly unmixing channels of multi-color 2PLSM images: Learning Unsupervised Means of Spectra
80 (LUMoS). Similar clustering methods have been applied for spectral unmixing in the remote sensing field [29-
81 31], but never to fluorescence microscopy. By assuming the discrete labeling of biological structures, our model
82 uses *k*-means clustering to “learn” the relationships between pixels from the raw image, and search for their
83 intensity patterns to re-classify each pixel into a unique fluorophore group [32]. We emphasize that LUMoS
84 requires neither the knowledge of emission spectra nor a greater or equal number of detection channels than
85 fluorophores, which highly expands the capability of two-photon imaging. We have successfully demonstrated the
86 ability of LUMoS to cleanly separate out up to 6 fluorophores in biological samples imaged by a 2PLSM system
87 with only 4 detectors. Synthetic results demonstrated the accuracy and power of LUMoS in separating more

88 fluorophores under the challenging conditions of unbalanced structure size and low signal-to-noise ratio (SNR).
89 The method can be easily translated to images acquired by other fluorescence imaging modalities such as confocal
90 to create a clean representation of the fluorophores in the sample for quantitative analysis.

91 **Materials and methods**

92 **Sample preparations**

93 For $N_{\text{fluorophores}} = N_{\text{detectors}}$ unmixing studies, FluoCells Prepared Slide #1 (F36924, Invitrogen, Carlsbad,
94 CA) was used. Fixed bovine pulmonary artery endothelial (BPAE) cells were stained with a combination of
95 fluorescent dyes. DAPI was used to label the nuclei, F-actin was stained using Alexa Fluor488 (AF488)
96 phalloidin, and mitochondria were labeled with MitoTracker Red CMXRos.

97 For $N_{\text{fluorophores}} > N_{\text{detectors}}$ beads unmixing studies, particles of different sizes and colors were mixed and
98 prepared on a glass slide and covered with a #1 cover slip for imaging. The commercial beads were either surface
99 conjugated with standard fluorophores or polymerized with an organic dye within. The emission (EM) peak was
100 either determined by the online spectra-viewer for a standard fluorophore or provided by the nanoparticle
101 company (Spherotech Inc., Lake Forest, IL) for an organic dye. A combination of 5 different beads was used:
102 Light Yellow (LY, FP-2045-2, Spherotech Inc.): 1.97 μm in diameter, 450nm peak EM; FITC (ECFP-F1,
103 Spherotech Inc.): 3.27 μm in diameter, 515nm peak EM; PE (ECFP-F2, Spherotech, Inc.): 3.4 μm in diameter,
104 575nm peak EM; Purple (FP-2062-2, Spherotech Inc.): 2.37 μm in diameter, 620nm peak EM; APC (345036, BD
105 Biosciences, San Jose, CA): 6 μm in diameter, 660nm peak EM.

106 For $N_{\text{fluorophores}} > N_{\text{detectors}}$ Colorful Cell unmixing studies, a plasmid encoding 6 independent transcription
107 units driving expression of different fluorescent proteins to distinct intracellular compartments, Colorful Cell [33],
108 was a gift from Pierre Neveu (RRID:Addgene_62449; <http://n2t.net/addgene:62449>; Addgene, Watertown, MA).
109 The 6 fluorescent proteins were TagBFP trimer fused to a nuclear localization sequence, Cerulean trimer fused to
110 a plasma membrane targeting sequence, AzamiGreen fused to a mitochondrial localization sequence, Citrine

111 fused to a Golgi targeting sequence, mCherry fused to an endoplasmic reticulum retention sequence, and iRFP670
112 fused to a peroxisome localization sequence. Plasmid DNA was transiently transfected into HEK293T (Pheonix)
113 cells by calcium phosphate precipitation and assayed 48-72 hours later. By flow cytometry 40-70% of cells were
114 expressing the transfected plasmid. For imaging, wet mounts of single cell suspensions containing 100,000 live
115 cells/10 μ L were prepared and imaged immediately.

116 For the colocalization experiments, CD28-deficient, DO11.10 T cells were retrovirally transduced with
117 CD28 fused at the C terminus to YFP or to Cerulean either separately or together. T cells were then mixed with
118 stably transfected antigen-presenting cells (APCs) expressing MHC class II, ICAM-1, and CD80 that were or
119 were not preloaded with 2.0 μ g/ml OVA peptide for 1 hour at 37°C, and pelleted at Rcf 2000 for 20 sec. The
120 pellet was incubated at 37° C for 10 min, resuspended and plated on poly-L-lysine coated cover slips for imaging
121 [34].

122 **Two-photon imaging**

123 All images were collected by an Olympus FVMPE-RS system (Olympus, Center Valley, PA) using
124 Olympus 25 \times water objective (XLPLN25XWMP2, 1.05NA). The system was equipped with two two-photon
125 lasers: Spectra-Physics InSightX3 (680nm-1300nm, Spectra-Physics, Santa Clara, CA) and Spectra-Physics
126 MaiTai DeepSee Ti:Sapphire laser (690nm-1040nm). There were four Photon Multiplier Tubes (PMTs) and two
127 filter cubes for multi-color imaging. Galvanometer scanners were used for scanning. PMT gains for all imaging
128 were used between 500 and 650 a.u. in the Olympus Fluoview software. The system schematic is shown in S1 Fig
129 (the Blue/Green, and Red/fRed filter cubes setup is shown).

130 For $N_{\text{fluorophores}}=N_{\text{channels}}$ unmixing studies, FluoCells Prepared Slide #1 was imaged using MaiTai laser at
131 780nm to excite DAPI, AF488, and MitoTracker Red in the BPAE cells. 3D 512 \times 512 pixel images were collected
132 with 0.5 μ m per z step. For $N_{\text{fluorophores}}>N_{\text{channels}}$ beads unmixing studies, multi-color beads slide was imaged using
133 InSightX3 laser at 1000nm and MaiTai laser at 800nm simultaneously. 2D 512 \times 512 pixel images were collected.
134 For $N_{\text{fluorophores}}>N_{\text{channels}}$ Colorful Cell separation studies, Colorful Cell slide was imaged using InSightX3 laser at

135 1050nm and MaiTai laser at 840nm sequentially with 1024×1024 pixels in x-y and 0.5μm per z step. Blue/Green
136 cube (420-460nm/495-540nm) and red/fRed cube (575-630nm/645-685nm) were used for the above imaging. For
137 colocalization studies, Cerulean, YFP, or Cerulean + YFP labeled cell slides were imaged with 800×800 pixels in
138 x-y and 0.5μm per z step using InSightX3 laser at 970nm and MaiTai laser at 860nm sequentially. CFP/YFP cube
139 (420-500nm/519-549nm) was used for this colocalization experiment.

140 **Data pre-processing**

141 Depending on the content of the input image, it may be appropriate to group together pixels with different
142 net intensities but similar ratios of intensities in different z-planes. This could be necessary in fluorescence
143 microscopy, and especially 2PLSM, in which there usually are signal intensity differences across imaging depths.
144 This can be accounted for by dividing the intensity of a pixel x in each channel c by the overall sum of that pixel
145 intensities across all the channels:

$$146 \quad \hat{x}_c = \frac{x_c}{\sum_{c \in \mathbf{C}} x_c} \quad (1)$$

147 where x_c is the raw intensity of pixel x in channel c , \hat{x}_c is the scaled intensity of pixel x in channel c and \mathbf{C} is the
148 set of all input channels. This step is not always desirable, as in some cases pixels with the same intensity ratios
149 but different raw intensities may actually represent different structures.

150 To prevent the clustering algorithm from being biased by signal intensity differences and variations
151 between channels, the brightness and contrast of input data were normalized to be relatively spherical
152 distributions before clustering. Normalization also makes k -means initialize with better centroid choices and run
153 faster with fewer iterations to converge [32,35]. Therefore, clustering was performed on z -scores where the z -
154 score is the number of standard deviations away from the mean a signal. This can be expressed for a given pixel x
155 as:

$$156 \quad z_{x,c} = \frac{\hat{x}_c - \mu_c}{\sigma_c} \quad (2)$$

157 where $z_{x,c}$ is the z -score for pixel x in channel c , and μ_c and σ_c are the overall mean and standard deviation of all
158 pixels in channel c . This can be done to pixels with either non-scaled intensities (x_c) or scaled intensities (\hat{x}_c) as
159 in Eq1.

160 **LUMoS algorithm**

161 We here present an unsupervised machine learning clustering method (Learning Unsupervised Means of
162 Spectra, LUMoS) to learn the spectral signatures of each fluorophore and assign each pixel to the cluster whose
163 spectral signature is closest. The process is referred to as “unsupervised” because no human intervention is
164 required to label any pixels as belonging to a particular fluorophore, and the algorithm can identify features from
165 raw images simply by looking at the pixels’ intensity values across all the detection channels. Specifically, a hard
166 clustering method, k -means clustering, was used to separate mixed fluorophores unambiguously.

167 Pixels that are spatially close tend to belong to the same structure, and thus stained by the same
168 fluorophore. To leverage this spatial information to improve the unmixing ability of LUMoS beyond the single-
169 pixel level, a median filter ($3 \times 3 \times 3$ or $5 \times 5 \times 5$) is first applied to the image before clustering. In order to preserve
170 potentially meaningful variations in intensity in the raw image, the median filter is only applied at the clustering
171 stage and the intensity output for each pixel is still taken from the raw image.

172 Given a set of observations \mathbf{X} , containing n individual observations: x_1, x_2, \dots, x_n , the objective of k -
173 means is to partition all observations into k different clusters, $\mathbf{S} = \{S_1, S_2, \dots, S_k\}$, in a way that minimizes within-
174 cluster variance. This can be expressed as

$$175 \min_{\mathbf{S}} \sum_{x \in X} \min_i \|x - s_i\|^2 \quad (3)$$

176 where s_i is the centroid of cluster S_i . Unlike other applications where k is difficult to define and requires tuning to
177 optimize, in our case, the number of clusters k is simply the total number of fluorophores plus 1 more cluster that
178 represents the background. The cluster centroid resulting from this approach can be interpreted as the spectral
179 signatures of each fluorophores. These are the spectral means alluded to in the name *Learning Unsupervised*
180 *Means of Spectra (LUMoS)*.

181 The algorithm partitions the data into k clusters using Eq3 as a loss function. K -means approximates the
182 solution to minimize the loss function by assigning data points to the class to whose centroid they are closest, and
183 iteratively updating the centroid. Fig 1 details the steps taken in LUMoS. There are several algorithms for
184 initializing the cluster centroids and we implemented the k -means++ initialization algorithm for its speed and
185 convergence properties [36]. Briefly, k -means++ chooses the first cluster centroid at random from the input data
186 points, and each subsequent cluster centroid is selected from the remaining data points with the probability
187 inversely related to the distance from the closest appointed centroid. The algorithm converges when clusters do
188 not change following one iteration. The maximum number of iterations allowed per replicate, max_iter , was set to
189 100 to limit run time. The iterative algorithm was applied $num_replicates$ times and the replicate with the lowest
190 cost was used in accordance with the loss function given in Eq3. All the unmixing performed in this paper used 10
191 replicates. The values of $num_replicates$ and max_iter can be tuned, with more replicates and iterations yielding
192 higher quality results but longer runtime.

Algorithm 1: LUMoS Spectral Unmixing

Input: \mathbf{X} , max_iter , $num_replicates$, k

Apply median filter to each channel of \mathbf{X}

for $r \leftarrow 1$ **to** $num_replicates$ **do**

Select the first centroid, $s_{1,r}$, at random from $x \in \mathbf{X}$ with probability $\frac{1}{n}$

for $i \leftarrow 2$ **to** k **do**

Select the next centroid, $s_{i,r}$, at random from $x \in \mathbf{X}$ with probability $\frac{D(x)^2}{\sum_{j=1}^n D(x_j)^2}$
where $D(x)$ is the Euclidean distance from x to the closest already chosen center

while *not converged* and $iter < max_iter$ **do**

for $i \leftarrow 1$ **to** k **do**

Set the cluster $S_{i,r}$ to be the set of points in \mathbf{X} that are closer to $s_{i,r}$ than they are to $s_{j,r}$ for all $j \neq i$

for $i \leftarrow 1$ **to** k **do**

Set $s_{i,r} = \frac{1}{|S_{i,r}|} \sum_{x \in S_{i,r}} x$

increment $iter$

$cost_r = \sum_{i=1}^k \sum_{x \in S_{i,r}} \|x - s_{i,r}\|^2$

$best_replicate = \operatorname{argmin}_r cost_r$

Assign output cluster, c , to each pixel such that $c = \operatorname{argmin}_i \|x - s_{i, best_replicate}\|^2$

Output: Output cluster for each pixel

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194

Fig 1. LUMoS spectral unmixing algorithm.

195

Once the algorithm converges, a new output image is created with k channels where each channel belongs

196

to one cluster. In the output image, a pixel x assigned to one channel c is given the value of the highest intensity

197

of that pixel among all the C input channels, and any pixel not belonging to channel c is assigned a value of 0:

198

$$y_i = \begin{cases} \max_{c \in C} x_c & \text{if pixel } y \text{ belongs to cluster } S_i \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

199

where y_i is the intensity of output pixel y in output channel i .

200

At its core, spectral unmixing is the task of decomposing mixed multichannel images into spectral

201

signatures and abundances of each signature in each pixel [9,37,38]:

$$\begin{bmatrix} X_{1,1} & \cdots & X_{1,n} \\ \vdots & \ddots & \vdots \\ X_{C,1} & \cdots & X_{C,n} \end{bmatrix} = \begin{bmatrix} A_{1,1} & \cdots & A_{1,k} \\ \vdots & \ddots & \vdots \\ A_{C,1} & \cdots & A_{C,k} \end{bmatrix} \begin{bmatrix} B_{1,1} & \cdots & B_{1,n} \\ \vdots & \ddots & \vdots \\ B_{k,1} & \cdots & B_{k,n} \end{bmatrix} \quad (5)$$

203 which may be simplified as: $X = AB$.

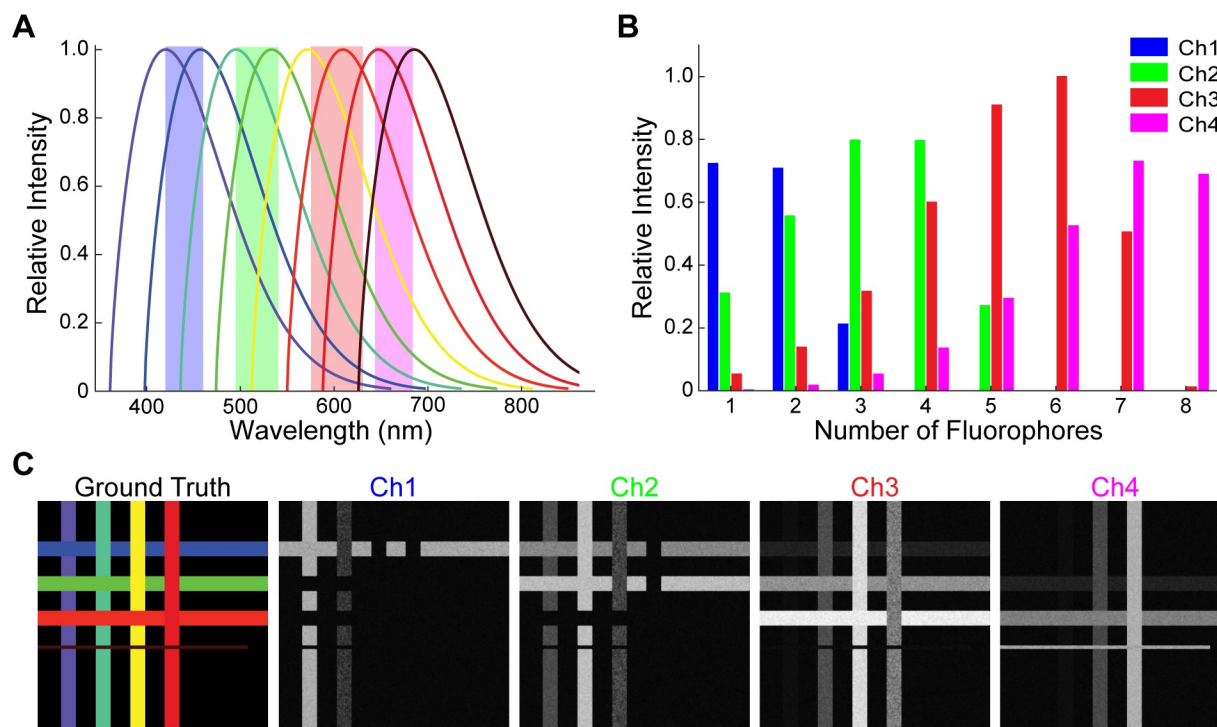
204 In Eq5, X is the observed fluorescence intensities of n pixels in C different spectral channels. The
205 endmembers are the known fluorophores used to label the sample. A is a $C \times k$ matrix of the spectral signatures
206 for each of the k fluorophores, in which each column is the recorded intensity of a fluorophore across the C
207 detection channels. B is a $k \times n$ matrix containing the abundances of each fluorophore in each pixel. In LUMoS
208 unmixing, B is obtained by scaling each pixel's class label to the original intensity of that pixel as described in
209 Eq4, which is based on a binary assumption that each pixel is occupied by only one fluorophore. Unlike other
210 linear unmixing algorithms, LUMoS unmixes based on clustering rather than directly solving Eq5 with linear
211 methods; because of this, LUMoS is different in that 1) the prior knowledge of fluorophore spectra (A) is not
212 required to do the inversion of the equation and calculate the abundances (B), 2) it is not required that the number
213 of fluorophores or endmembers (k) must be less than the number of detection channels (C), and 3) the abundances
214 (B) are not the fractions of all endmembers, but are binary results assuming one endmember per pixel (Eq4).

215 **Synthetic data**

216 In order to test the capabilities of LUMoS across a wide range of conditions, we generated synthetic data
217 for unmixing. We assumed the hardware for the simulated imaging to be the same as our two-photon system with
218 2 two-photon lasers and 4 detection channels (blue: 420-460nm, green: 495-540nm, red: 575-630nm and far-red
219 645-685nm). For each simulated fluorophore, a theoretical emission spectrum was generated (Fig 2A). The
220 intensity distribution was modeled as a Weibull distribution (Eq6) with $a = 1.7$ and $b = 100$ to reflect the
221 tendency of a fluorochrome to have a long tail at the longer wavelength [37].

$$222 \quad \text{Relative Intensity} = \frac{a}{b} \left(\frac{\lambda - c}{b} \right)^{a-1} e^{-((\lambda - c)/b)^a} \quad (6)$$

223 where λ is emission wavelength and c is a constant to shift the peak of the emission spectra for different synthetic
224 fluorophores.



225

226 **Fig 2. Synthetic data.**

227 (A) Synthetic emission spectra of 8 fluorophores. Bandwidth of the 4 detection channels were marked in shaded
228 areas. For simplicity, the emission spectra of synthetic fluorophores were assumed to be the same Weibull
229 distribution with the peaks of all fluorophores evenly distributed between 420nm and 685nm. (B) Spectral
230 signatures of the 8 synthetic fluorophores in A. The intensity of each fluorophore was measured as the integrated
231 area under the spectral curves in A. (C) Synthetic 2PLSM images based on the emission spectra in A. The ground
232 truth image shows the 8 synthetic fluorophore expressing structures. Ch1-Ch4 images were the raw images from
233 the 4 detection channels with an SNR of 10. Each fluorophore was synthesized to be expressed in a narrow band
234 either vertically or horizontally. 7 of the 8 bands had the same area, while 1 small band (furthest red fluorophore)
235 has an area $1/5^{\text{th}}$ (cluster size ratio 0.2) of the rest.

236 The emission peaks were evenly spaced between 420nm and 685nm so that all fluorophore peaks fell
237 within the detection range of the microscope. We assumed all fluorophores were excited effectively, and their
238 emission spectra peak at the same magnitude. Consistent spectral shapes and spacing represented an ideal case for
239 easy simulations, but in reality, fluorophores usually have different shapes of spectra or even multiple peaks. To

240 facilitate the generation of a synthetic image for an arbitrary number of fluorophores, a grid pattern was created
241 where each successive fluorophore occupies an alternating vertical or horizontal strip (Fig 2C). For this synthetic
242 data, the ideal situation where each pixel is occupied by only one fluorophore is assumed, to mimic the general
243 biological staining assumptions without considering the nano-scale colocalizations caused by spatial resolution
244 limitations. This pattern is overlaid onto a background with no fluorophores present. All synthetic images were
245 512×512 pixels. The length and width of the strip of the furthest red fluorophore was set variable while the rest of
246 fluorophore expressing strips were fixed to be 512 pixels long and $\frac{256 \text{ pixels}}{\# \text{ of fluorophores}}$ wide. This allowed us to
247 measure the performance of LUMoS with unbalanced structure sizes. Cluster size ratio was the area of the minor
248 fluorophore strip (furthest red) divided by the area of the major fluorophore strip.

249 Within each strip, all pixels belong to the same fluorophore but they all have slightly different emission
250 spectra from the ideal value expressed in Eq6. Each pixel's adjusted spectrum was shifted by a randomly selected
251 wavelength with a standard deviation of 10nm to represent the variance present in real imaging. A four-channel
252 representation of the pixel was then generated by integrating the emission spectrum within the bandpass of the
253 detection channels (Fig 2B). For pixels with no fluorophore, a small background noise was added from a Gaussian
254 distribution with a mean of 2 and standard deviation of 1. Additional Poisson noise was then applied to each
255 channel to mimic the shot noise. At the end, the image was convolved with a Gaussian filter with a standard
256 deviation of 0.5 and a 3×3 median filter to represent real-world diffusion effects.

257 Synthetic data unmixing performance was evaluated with the F1 score between the LUMoS output and
258 the ground truth image (Fig 2C):

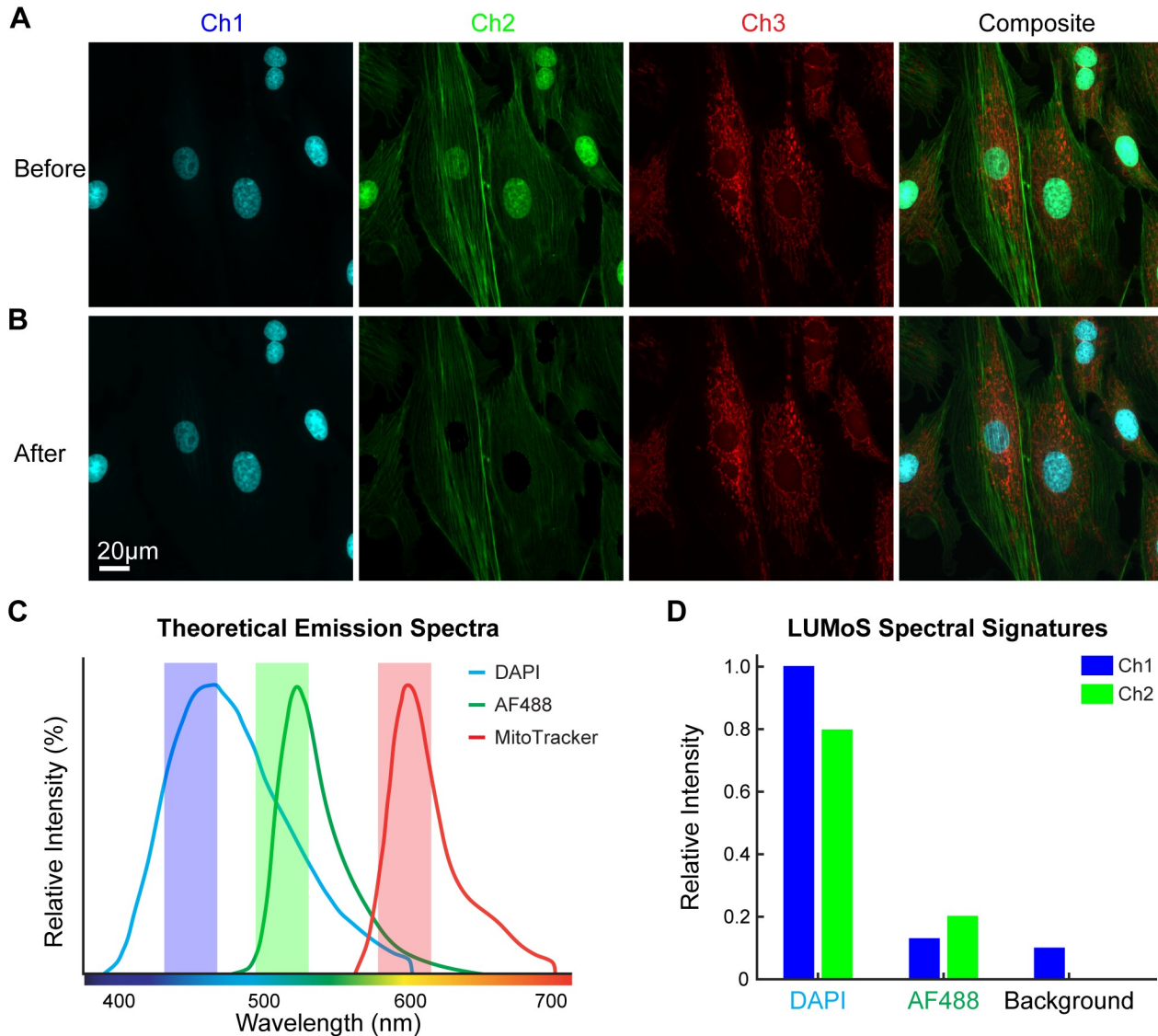
$$259 \quad F1 = 2 \times \frac{\textit{precision} * \textit{recall}}{\textit{precision} + \textit{recall}} \quad (7)$$

$$260 \quad \textit{where precision} = \frac{\textit{true positives}}{\textit{true positives} + \textit{false positives}}, \textit{ and recall} = \frac{\textit{true positives}}{\textit{true positives} + \textit{false negatives}}.$$

261 **Results**

$$262 \quad N_{\text{fluorophores}} = N_{\text{detectors}}$$

263 First, we started with a simple case in which there was same number of fluorophores as imaging channels.
264 BPAE cells with nuclei stained with DAPI, F-actin labeled with AlexaFluor488 (AF488), and mitochondria
265 labeled with MitoTracker Red were imaged using 780nm laser [39,40] to excite all three fluorophores (Fig 3A).
266 Due to the long tail of the DAPI emission spectrum (Fig 3C), F-actin signals in the green channel were
267 contaminated by the nuclei signals (Fig 3A). DAPI had strong signals in both blue and green channels, while
268 AF488 and MitoTracker Red were distinct in green and red channels respectively. Therefore, each fluorophore
269 had a unique distribution of intensity across channels—"spectral signature", calculated as the intensity of the
270 pixels in one LUMoS cluster detected by each channel in the raw image (Fig 3D). LUMoS was able to group
271 pixels with similar spectral signatures into the same cluster and re-assign each pixel into the correct fluorophore
272 cluster. As only the blue and green channels had bleed-through issues, we applied LUMoS unmixing only on
273 these two channel images, and produced 3 output channels (DAPI, AF488, and background). After the unmixing
274 procedure, the spectral overlap of the DAPI and AF488 was corrected, and the unmixed images now represent the
275 abundance of each of the fluorophores (Fig 3B, the 3D unmixing results were shown in S2 Movie).



276
277

Fig 3. LUMoS unmixing of BPAE cells with channels bleed-through.

278 (A) BPAE cell stained with DAPI in nuclei, AF488 in actin, and MitoTracker Red in mitochondria, and imaged
279 with 2PLSM. Images shown were 2D maximum intensity projections of 3D z-stacks. The green channel (Ch2)
280 had a mix of actin and nuclei with the DAPI signals bleeding into the AF488. (B) LUMoS unmixing results of the
281 mixed images in A. Only Ch1 and Ch2 images were used for separation. Note the clear separation of the nuclei
282 from the green channel after unmixing. Background pixels were removed. (C) The theoretical emission spectra of
283 DAPI, AF488 and MitoTracker Red. The filter bandwidths were plotted as shaded areas. Note the long tail of the
284 DAPI spectrum blending into the green channel. (D) The relative intensities of the LUMoS unmixed DAPI and

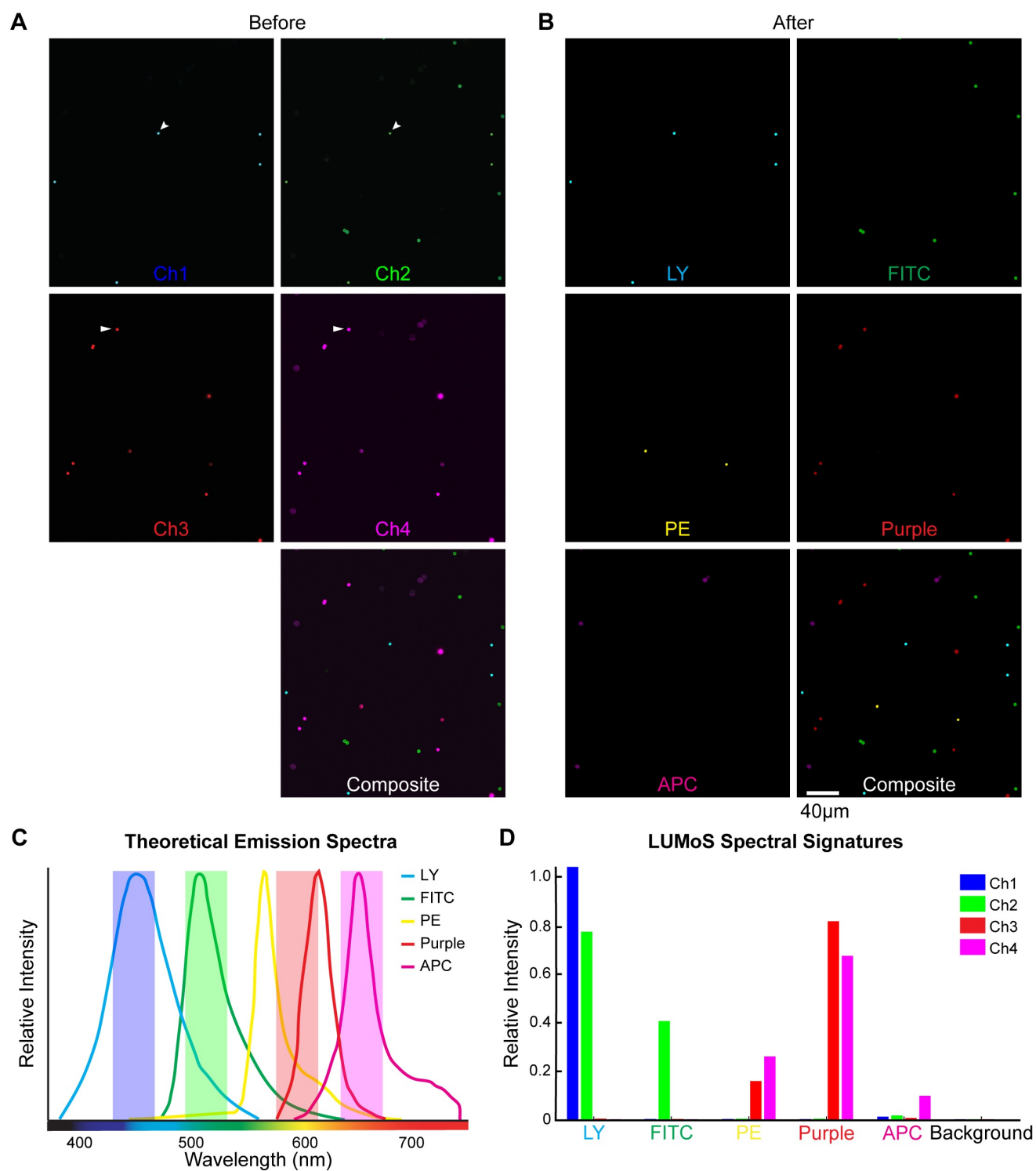
285 AF488 pixel clusters detected by the green and blue channels. Background was separated as an additional cluster
286 with relatively low intensity in both channels.

287 **$N_{\text{fluorophores}} > N_{\text{detectors}}$**

288 The two-photon excitation spectrum of a fluorophore is usually broader than the one-photon spectra and
289 may have multiple peaks [7,41], making it possible to just use one or two two-photon laser lines to excite multiple
290 fluorophores simultaneously, which is both time and cost efficient. On the other hand, simultaneous excitation
291 also leads to the issue of channel cross-talk which limits the number of detection channels to usually less than 4
292 for two-photon microscopy. This makes the ability to image more fluorophores than detectors crucial for many
293 applications. As the LUMoS method has no intrinsic requirement that the number of channels be at least equal to
294 number of fluorophores, we next ascertained the limit of our method by imaging more colors simultaneously
295 without modifying the imaging hardware.

296 To test the performance of LUMoS on a sample with more fluorophores than detectors, we first imaged
297 mixed beads with 5 different fluorophores: LY (Light Yellow dye from Spherotech Inc.), FITC, PE, Purple
298 (Purple dye from Spherotech Inc.), and APC (Fig 4A). The theoretical emission spectra are shown in Fig 4C.
299 Simultaneous two-photon excitations at 800nm (MaiTai laser) and 1100nm (InsightX3 laser) were used to excite
300 all fluorophores [39]. Because of the significant emission spectra overlaps of LY and FITC in the green channel,
301 PE and Purple in the red channel, and PE, Purple and APC in the far-red channel, the raw images collected by the
302 4 detectors (Fig 4A) showed many beads appearing in more than one channel (examples are indicated by white
303 arrows in Fig 4A). The spectral signatures of those fluorophores (Fig 4D) were consistent with the emission
304 spectra information in each channel, which demonstrated the uniqueness of each fluorophore's intensity
305 distribution across the 4 detectors. We therefore applied LUMoS with 6 clusters to the raw 5-color beads images.
306 The algorithm generated 6 new images in which 1 image included all background pixels and the other 5 images
307 each represented one single fluorophore. We removed the background to get the clean unmixed outputs (Fig 4B).

308 The algorithm performed well to fully separate out the 5-color beads with individual beads belonging only to a
309 single output channel.



310
311 **Fig 4. LUMoS unmixing of 5-color beads mixed in 4 detection channels.**

312 (A) Mixed beads stained with Light Yellow (LY), FITC, PE, Purple, and APC imaged with 2PLSM. LY and
313 Purple are special dyes by Spherotech. It was unable to resolve 5 colors with 4 detectors, and there were also
314 beads shown in more than one channels. Two examples are pointed out by white arrows. (B) The images shown in
315 A after processing through LUMoS. The first five images show the fluorescence of the five different beads
316 separated from the 4 detection channels by the LUMoS and the last image is the composite showing all five beads
317 as clearly separated objects. (C) Theoretical emission spectra of the 5 fluorophores. LY and Purple spectra were
318 obtained from Spherotech, and FITC, PE and APC were obtained from online spectra-viewer. There were
319 significant overlaps of all the 5 fluorophores. (D) The relative intensity of the pixels of each separated fluorophore
320 in the 4 channels. Each fluorophore was represented with a unique spectral signature. Background pixels formed
321 one additional cluster with low pixel intensities in all the channels.

322 Commonly used dyes differ not only in their emission spectra but also their excitation spectra. The
323 differences in excitation efficiency offers additional features for LUMoS to better separate out more fluorophores.
324 In the next example, we used sequential scan by alternating two-photon excitations at 840nm (MaiTai laser) and
325 1050nm (InsightX3 laser) to visualize 6 compartments with distinct labels in one single cell (Colorful Cell).
326 Human embryonic kidney cells (HEK293) were transiently transfected with a plasmid that encodes differentially
327 localized fluorescent proteins. The cells express tagBFP in nucleus, Cerulean in cell membrane, AzamiGreen in
328 mitochondria, Citrine in Golgi body, mCherry in endoplasmic reticulum (ER), and iRFP670 in peroxisome (Fig
329 5A). Cerulean, AzamiGreen and Citrine all have significant emissions in the green channel (Fig 5B), but they are
330 excited at different efficiencies under 840nm and 1050nm [7,39], making it possible to distinguish them with the
331 spectral signatures by collecting the green channel twice with the two excitations (Fig 5E). The 2PLSM
332 excitation/emission setup is shown in Fig 5B. All the organelles were ambiguously mixed in the raw images
333 especially in the green, red and far-red channels (Fig 5C). We assigned 7 clusters to the LUMoS algorithm to
334 separate out the 6 fluorophores and background from the original 5-channel images. The algorithm reliably
335 separated the raw data into 6 components that corresponded to the 6 organelles (Fig 5D) based on their shapes and
336 locations inside the cell by comparing to the cell structure schematic (Fig 5A). The 3D unmixing results were
337 shown in S3 Movie.

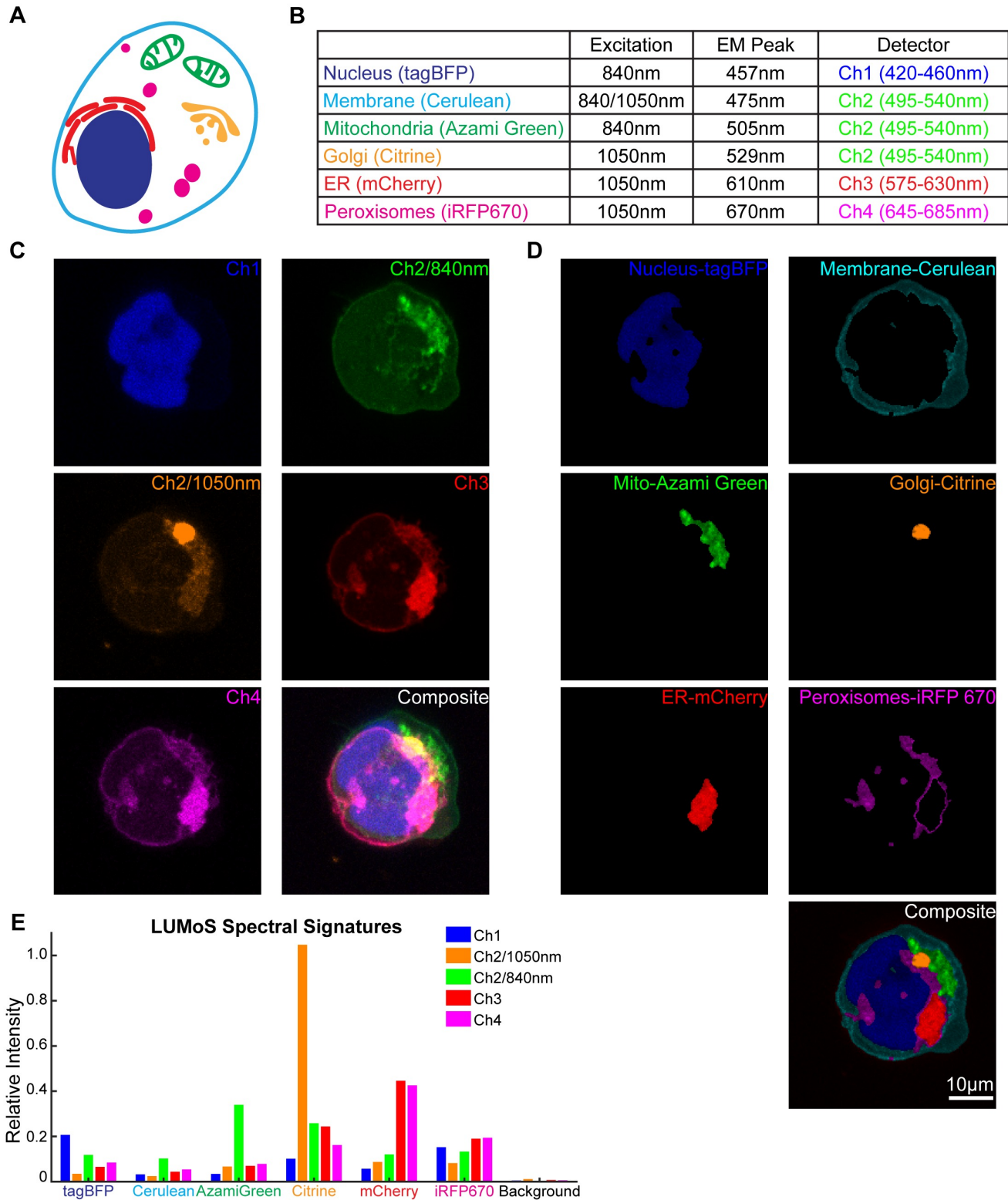


Fig 5. LUMoS unmixing of the Colorful Cell expressing 6 colors.

338
339

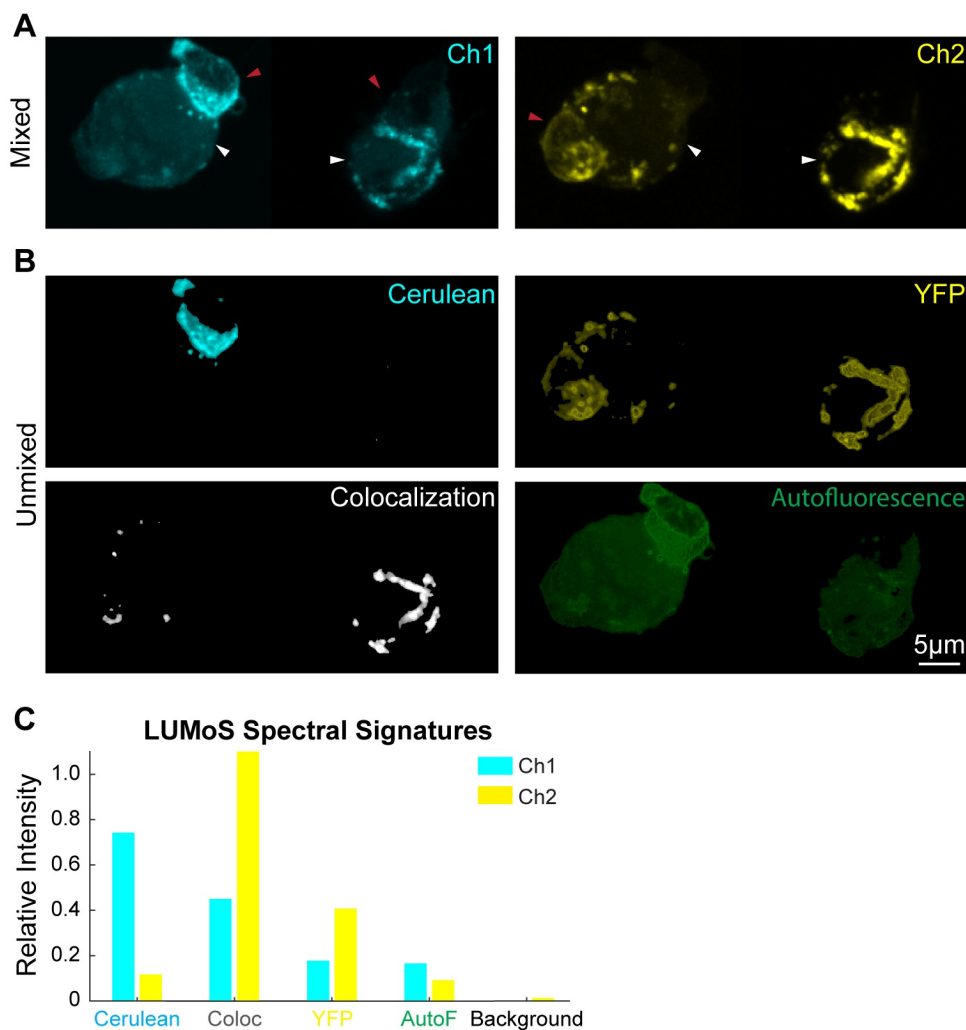
340 (A) Schematic of the Colorful Cell expressing BFP in nucleus, Cerulean in cell membrane, AzamiGreen in
341 mitochondria, Citrine in Golgi bodies, mCherry in endoplasmic reticulum, and iRFP 670 in peroxisomes. (B) The
342 2PLSM system excitation and emission setups for imaging the Colorful Cell. 840nm and 1050nm sequential scan
343 was conducted for the green channel (Ch2). Cerulean, AzamiGreen, and Citrine all emit significantly in the green
344 channel. Cerulean can be excited well at both 840nm and 1050nm. AzamiGreen had more excitation at 1050nm,
345 while Citrine excited better at 840nm. (C) The raw 2PLSM images of the Colorful Cell in the 4 channels with 2
346 excitation wavelengths for the green channel (Ch2). All the fluorophores were mixed in the detection channels
347 which made it difficult to reveal individual organelles. Images were maximum intensity projections of 3D z-
348 stacks. (D) LUMoS separation results of the images in C. 6 distinct organelles were separated into individual
349 images and a composite image of all 6 colors is shown on the bottom. Signals from background pixels were
350 removed. (E) The relative intensities of each separated fluorophore by LUMoS in the detection channels.

351 **Colocalization analysis**

352 Unlike linear unmixing [10,18], one of the major assumptions of the LUMoS algorithm is that one pixel is
353 uniquely labeled with one fluorophore, which is advantageous in the way that it provides unambiguous results
354 especially in biological imaging (examples in Figs 3-5). However, in biology, one structure is often labeled with
355 more than one fluorophores for colocalization studies. The structures with colocalized labeling will exhibit a
356 distinct spectral signature, which is usually the combination of, but is different from, the individual fluorophore's
357 spectrum. By leveraging this, LUMoS is able to treat the colocalized fluorophores as an additional cluster, and
358 separate out the pixels with colocalization.

359 To demonstrate the flexibility of LUMoS to unmix and analyze images with colocalized labels, CD28
360 virus labeled with Cerulean or YFP was used to transduce T cells either separately or together. The T cells were
361 then mixed with non-labeled antigen-presenting cells (APCs) to form conjugations [34]. Cerulean or YFP was
362 recruited and concentrated at the T-cell and APC contact sites. When T cells were transduced by Cerulean or YFP
363 virus separately, the Cerulean and YFP were detected by the CFP and YFP channels respectively without bleed-

364 through (S4 Fig). When T cells were transduced by the mix of Cerulean and YFP viruses, some T cells expressed
365 both Cerulean and YFP, while some only expressed one of them (Fig 6A). LUMoS was able to separate the raw
366 images into Cerulean-only, YFP-only, and Cerulean+YFP colocalized channels (Fig 6B), by identifying distinct
367 spectral signatures (Fig 6C). The calculated Mander's colocalization coefficients were 44.2% (M_{Cerulean}) and
368 38.2% (M_{YFP}) [42]. In addition, although APCs were not labeled, they showed some autofluorescence in the raw
369 images (Fig 6A indicated by white arrows, and S4 Fig). Similar as background noise (S6 FigD), autofluorescence
370 was also identified and separated out by LUMoS (Fig 6B). The 3D unmixing results were shown in S5 Movie.



371

372 **Fig 6. LUMoS unmixing for colocalization analysis and autofluorescence removal.**

373 (A) The raw 2-channel 2PLSM images of T cells expressing Cerulean, YFP, or colocalized Cerulean and YFP.
374 APCs are larger cells (pointed out by white arrows) than T cells (pointed out by red arrows), and APCs are non-
375 labeled but autofluorescent. The fluorophores were concentrated at the conjugation sites between T cells and
376 APCs. The images were z-projections of slices 6 to 17 of 3D z-stack images (S5 Movie). The left and right cells
377 were imaged by two acquisitions and stitched, but with the same imaging conditions. (B) LUMoS separation
378 results of the images in A. Autofluorescence and colocalization were split into separate channels while keeping
379 pure Cerulean and YFP signals in their own channels. Signals from background pixels were separated and
380 removed (S6 FigD). (C) The spectral signatures of each structures produced by LUMoS. Background and
381 autofluorescence (AutoF) were identified as additional pixel groups with distinct signatures. Colocalization
382 (Coloc) spots were separated out due to its different spectral signature from the Cerulean-only and YFP-only
383 groups.

384 **Background and autofluorescence removal**

385 Most spectral unmixing tools [19,25,26,43] cannot distinguish background noise from real signals, while
386 background removal is usually an essential prerequisite before unmixing to remove any signal not originating
387 from the targeting signals [37]. Usually, if significant background noise exists, a simple math subtraction with a
388 specific pixel threshold measured from non-structure background is performed, which can have the undesirable
389 effect of removing real signals. The LUMoS method does not rely on a fixed numerical background subtraction,
390 but rather the background is treated as a separate cluster with a spectral signature different from fluorophore
391 expressing signals, so that background noise can be separated (S6 Fig) and removed from the sample signals (Figs
392 3D, 4D, 5E, 6B). Therefore, the outputs of LUMoS are cleaned in the way that they are both spectral unmixed and
393 background removed.

394 Autofluorescence is another a common but usually undesired signal in fluorescence microscopy in which
395 regions with no label are fluorescent, often with higher intensity and broader emission spectrum than individual
396 fluorophores [43]. Autofluorescence can come from some extracellular components or some cell types [44]. Non-

397 negative matrix factorization (NMF) is one spectral unmixing method that has been successfully applied for
398 autofluorescence removal [22-24]. We here also demonstrated the unmixing performance of LUMoS when
399 autofluorescence exists. In Fig 6A, the APCs in the sample were not stained but were autofluorescent. Similar to
400 background, autofluorescence can be treated as an additional cluster if it exhibits a distinct spectral signature
401 among all the fluorophores in the sample (Fig 6C). LUMoS was able to detect and remove autofluorescence in the
402 image (Fig 6B). However, if the emission spectrum of autofluorescence is similar to other fluorophores in the
403 image, the autofluorescence may be hard to separate out, so additional detection channels may be helpful to
404 unmix the images in such cases.

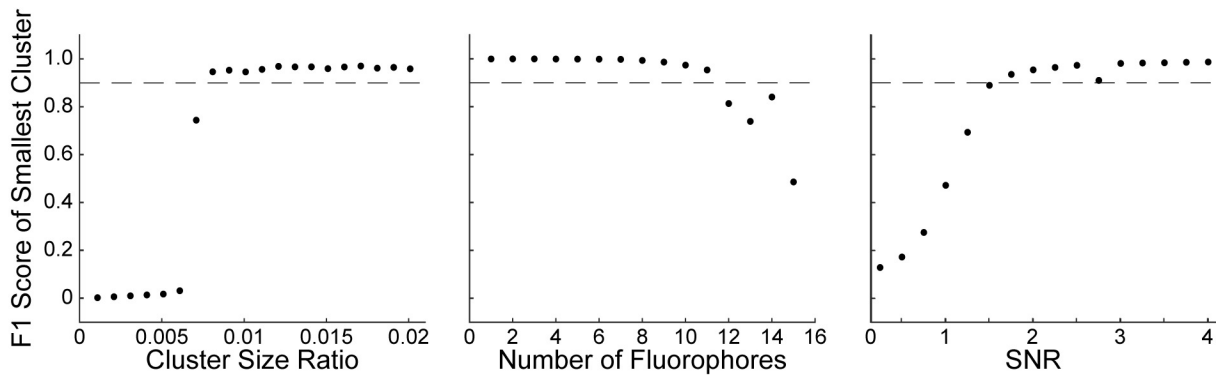
405 **Synthetic data**

406 Lastly, we sought to test the limitations of LUMoS spectral unmixing by understanding the smallest
407 structure size which can be detected, the maximum number of fluorophores the algorithm can separate, and the
408 minimal quality of the input image that is required. As it is impractical to prepare a real-world biological sample
409 with arbitrarily many fluorophores and precisely control both the size of a stained structure and the image SNR,
410 we used synthetic images with those conditions computationally manipulated (Fig 2A-C). The synthetic data also
411 provides us a ground truth to evaluate the performance of the algorithm.

412 **Cluster size**

413 As LUMoS is a k -means clustering based method, the algorithm assumes similar amount of data points in
414 each cluster, and can disregard small but real clusters in order to minimize the total loss function [32]. This may
415 be problematic when one fluorophore expressing structure is represented by significantly fewer pixels than the
416 other structures, in which case the algorithm will misclassify the pixels belonging to a more abundant fluorophore
417 to the minor structure, leading to an unmixing failure. Therefore, we first tested the robustness of the algorithm by
418 changing the size of one fluorophore expressing structure while keeping the size of the rest of structures fixed.
419 The number of fluorophores and SNR were fixed at 8 and 10 respectively. F1 score was used as the evaluation

420 metric as it can detect when the algorithm starts to erroneously combine fluorophores. The F1 score of the
421 smallest cluster was used because the smallest cluster is inherently the most difficult for LUMoS to recognize and
422 represents the worst-case scenario. Performance was monitored by setting the threshold for successfully unmixed
423 samples at an F1 score of 0.9 or higher on the smallest cluster. The F1 score for the smallest cluster dropped off
424 sharply when decreasing the cluster size ratio to below 0.01 (Fig 7, left), because at the tipping point, one part of a
425 larger cluster was merged with the smallest cluster as the algorithm prioritized the improvements to other
426 dominant clusters. This happened to all of the pixels in a small cluster at once so the drop off in accuracy was
427 sudden. This can make LUMoS vulnerable when one fluorophore is expressed in much smaller structures than the
428 rest.



439 questions, we challenged the algorithm by increasing the number of fluorophores until it failed (Fig 7, middle).
440 The cluster size and SNR were held constant at 0.2 and 10 respectively, and F1 score of the smallest cluster was
441 measured. All fluorophores were assumed: 1) to be effectively excited, 2) to have the same shape and intensity
442 scale of emission spectra with a tail into the longer wavelength, 3) to have emission peaks evenly distributed
443 between 420nm and 685nm (Fig 2A). To mimic the variations in real-world imaging, the spectra of pixels
444 belonging to one fluorophore were randomly shifted with a standard deviation of 10nm (S7 FigA). The imaging
445 hardware was assumed to be the same as our system. LUMoS's performance was very stable until the number of
446 fluorophores reached 12 (Fig 7, middle). At this point, the mean emission peaks were 37nm apart and there was
447 72% emission spectra overlap. We also tested the performance of LUMoS on synthetic images of two
448 fluorophores with varying differences in emission peaks (S7 FigB). The peak of the lower wavelength
449 fluorophore was fixed while the peak of the higher wavelength fluorophore was varied to evaluate performance at
450 different peak distances. Depending on where in the range of detectors they fell, the peaks of two fluorophores
451 could be 10-15nm apart and the fluorophores could still be separated by LUMoS. This 10-15nm peak distance
452 represents an 88-92% overlap in ideal emission spectra, which is very close to the standard deviation of 10nm
453 with which each pixel's individual emission peak was sampled (S7 FigA). This variance in emission spectra from
454 pixel to pixel is a key limiting factor in how similar the emission spectra of two fluorophores can be while
455 maintaining separability with LUMoS. In real-world cases, the fluorochromes in a biology sample will not be as
456 ideal as the simulated scenario. Careful selections of dyes with relatively separated emission spectra are always
457 desired to gain the best unmixing results.

458 **Signal-to-noise ratio**

459 All spectral unmixing methods require a good image quality. LUMoS is a pixel-based method which
460 makes it susceptible to any noise detected at the same time with real signals. Therefore, we tested the performance
461 of LUMoS for unmixing images with different SNRs (Fig 7, right). The cluster size ratio and number of
462 fluorophores were fixed at 0.2 and 8, while F1 score of the smallest cluster was evaluated at different SNRs. The
463 simulated data showed that LUMoS was very robust when the SNR was above 2. For images with SNRs around

464 that level or lower, LUMoS will likely have low performance on the raw data. Even with ideal spectral signatures,
465 any pixel-level unmixing techniques such as LUMoS will fail when the observed spectral signature is
466 contaminated by high noise. In cases where the image to be unmixed is prohibitively noisy, denoising pre-
467 processing techniques or an unmixing method that can take spatial information into account may be desired.

468 **ImageJ PlugIn**

469 Although many spectral unmixing algorithms have been published, so far, easy-to-use open source tool
470 options are still limited to biologists. Walter published an ImageJ/Fiji spectral unmixing plugin [45] based on
471 linear unmixing, which requires either a reference image with well-separated structures or a separate preparation
472 of reference samples for each fluorophore. Those requirements are usually hard to achieve, and the PlugIn also
473 involves laborious and time-consuming manual ROI labeling. Another unmixing PlugIn available is based on
474 spectral deconvolution [46], but also requires ROI selections of areas with only one type of fluorophore. We here
475 developed an ImageJ/Fiji PlugIn [47,48] of the LUMoS algorithm to facilitate the easy implementation of this
476 flexible method for spectral unmixing, background removal, and colocalization analysis. No ROI selections,
477 spectra information, or single stain of samples are required. The only input parameter is the number of
478 fluorophores in the sample. The PlugIn is available from the authors or through ImageJ PlugIn Repository.
479 Detailed user guides are provided on our website ([https://www.urmc.rochester.edu/research/multiphoton/image-](https://www.urmc.rochester.edu/research/multiphoton/image-analysis/spectral-unmixing.aspx)
480 [analysis/spectral-unmixing.aspx](https://www.urmc.rochester.edu/research/multiphoton/image-analysis/spectral-unmixing.aspx)).

481 **Discussion**

482 Over the past decade, a wide variety of high-performance fluorophores have been developed [49,50].
483 These reagents exhibit a broad range of physical and spectral properties [51], are capable of targeting proteins or
484 peptides in living or fixed cells [40], and can also be used as indicators of biological dynamics [52]. Combining
485 two or more fluorescent probes offers significantly a higher level of information [25,53,54], but may also lead to

486 signal crossover [9]. Current spectral unmixing tools solve this problem to some extent, but their applicability is
487 usually limited. In this paper, we suggested and experimentally examined an approach by using *k*-means
488 clustering based unsupervised machine learning as a more flexible alternative to separating mixed images blindly.

489 There are two major issues with current unmixing tools available to biologists which have highly
490 restricted the spectral resolutions that can be achieved by fluorescence microscopy especially the 2PLSM. Firstly,
491 unmixing methods based on linear inversion calculations, such as linear unmixing [9,11,12,37,55], spectral
492 deconvolution [25,46] and similarity unmixing [26], rely heavily on the cumbersome pre-measurements of
493 emission spectra either through separately recording the spectra of all fluorochromes [26] or manually selecting
494 ROIs with pure labels in the image [9]. Background and autofluorescence, if present, also need to be defined
495 spectrally and treated as additional spectra [11,55], which are even harder to measure or estimate. LUMoS, as it
496 does not directly calculate the abundances of fluorophores, is a completely “blind” unmixing process, and is
497 therefore, much easier to implement and free from those restrictions of acquisition conditions. When background
498 and autofluorescence are present in the sample, additional clusters could be added, and those undesired signals
499 could be separated and removed (Fig 6). Secondly, linear unmixing, Non-negative Matrix Factorization (NMF)
500 [20,56], deconvolution, and Principle Component Analysis (PCA) [57] all require determined
501 ($N_{\text{fluorophores}}=N_{\text{channels}}$) or over-determined ($N_{\text{fluorophores}}<N_{\text{channels}}$) image acquisition systems, greatly restricting the
502 total number of fluorophores that can be imaged by the hardware design. Although Independent Component
503 Analysis (ICA) does not intrinsically require less fluorophores than detectors, its success for spectral unmixing in
504 fluorescence microscopy has been limited to relatively few independent sources which are usually same or fewer
505 than the number of detectors [58-60]. As LUMoS can be set to create an arbitrary number of clusters for an image,
506 it can be used in under-determined situations ($N_{\text{fluorophores}}>N_{\text{channels}}$) for expanding the capabilities of an imaging
507 system (Figs 4 and 5). Moreover, as the readout noise increases with the number of detection channels used
508 [37,58], LUMoS can achieve the high quality unmixing results with as few channels as possible to minimize the
509 readout noise.

510 Similar but more complicated clustering based methods have been introduced and developed in the field
511 of satellite imaging [29,61,62]. Remote sensing image unmixing is similar to fluorescence image unmixing in

512 many ways, and many unmixing ideas commonly used for microscopy imaging were initially introduced in
513 remote sensing [37]. The ultimate goal of both imaging modalities' unmixing is to decompose the spectral
514 signature of mixed signals into a set of endmembers and corresponding abundances [38,63]. However, the
515 uniqueness of fluorescence microscopy makes its spectral unmixing task different from remote sensing. First and
516 foremost, the number and type of fluorophores (endmembers) are known in advance in microscopy, which offers
517 a great advantage and simplicity of using clustering algorithms such as k -means for fluorescence image unmixing.
518 Most of the time, the first step of remote sensing image unmixing is to determine endmember [38,64], and many
519 of the advanced unmixing algorithms have been focused on how to better estimate the number and characteristics
520 of endmembers, such as adaptive possibilistic clustering [62] and neural network autoencoder [65]. Second, due
521 to the chemical mixtures of landscape objects, the abundance of one pixel from a satellite image normally
522 comprises fractions of each endmembers, thus remote sensing image unmixing methods output abundances for
523 each pixel as fractions of different chemical components [38,63]. However, in fluorescence microscopy,
524 biologists usually assume a distinct labeling of a structure by one specific fluorophore, unless colocalized labeling
525 was designed. The goal of fluorescence image unmixing is more towards unambiguously distinguishing each
526 labeled structure rather than decomposing each pixel into many different chemical components. Therefore, using
527 classification based hard clustering, such as LUMoS, by assuming one pixel per fluorophore is more appropriate
528 in the field of fluorescence imaging and the results of which are more interpretable for biologists. Third, remote
529 sensing images have hundreds of spectral bands which is usually much more than the number of endmembers,
530 making linear algebra based unmixing methods, such as linear unmixing, NMF, and deconvolution, better suited
531 [38,63,64]. Because fluorescence microscopes have much fewer detectors (usually ≤ 4), many unmixing methods
532 applied for remote sensing are insufficient for fluorescence imaging with potentially more fluorophores than
533 detectors. In considerations of those features of fluorescence imaging, we applied k -means clustering as a simple,
534 easy-to-use, and flexible method for microscopy image unmixing.

535 The implications of k -means clustering are usually limited by the difficulties in choosing an optimal
536 number of clusters, " k " [32,66]. However, in the case of fluorescence microscopy, " k " is known and determined
537 by the number of fluorochromes used, making k -means clustering a well-suited method for spectral unmixing.

538 Usually, the “ k ” is set to be the total number of fluorophores plus one (considering the background noise)
539 (examples in Figs 3-5). When special circumstances happen, options are available to optimize the “ k ” to tailor
540 LUMoS for different cases. For example, when there are known colocalization labeling or autofluorescence
541 structures (Fig 6), additional clusters could be added by considering colocalization and autofluorescence as
542 distinct “fluorophores”. When applying LUMoS, carefully examining the image data to better determine “ k ” in
543 advance may improve the unmixing results.

544 There are also limitations of our algorithm, especially when unique circumstances are associated with the
545 imaging data. As demonstrated in the simulations, our approach may cease to be useful when it misclassifies a
546 significant portion of the pixels belonging to a fluorophore of interest. This can occur when there are relatively
547 unbalanced structure sizes, significantly overlapping emission spectra, and a low SNR. Additionally, although
548 considering the information of nearby pixels by using a median filter, LUMoS still does not take any spatial
549 information at biological structure level into account so its clustering ability is limited to classifying individual
550 pixels rather than whole structures as some other methods attempt [67,68]. LUMoS specifically assumes the
551 abundance of each fluorophores is binary at pixel level, which produces unambiguous classification of individual
552 fluorophores. If there is colocalization at structure scale, for example one structure labeled with more than one
553 fluorophore, the colocalization group can be treated as an additional cluster to be separated and analyzed (Fig 6).
554 However, implicit in our unmixing algorithm is the assumption that a pixel represents an exclusive single label
555 without considering nano-scale colocalization due to the imaging spatial resolution limitations. This assumption is
556 valid for spatially well-dispersed fluorescent structures relative to the imaging resolution, but may not hold when
557 two labeled structures are contacting or too close to each other. We expect future improvements by adding the
558 options of fuzzy clustering [69,70] or overlapping k -means [71] to extend the flexibility of LUMoS when there
559 are nano-scale colocalization considerations.

560 In conclusion, we presented a blind and flexible tool for fluorescence image spectral unmixing —
561 LUMoS. Both experimental and synthetic results demonstrated its ability to robustly separate mixed fluorophores
562 in terms of the quality of results and ability to converge in a variety of scenarios. The LUMoS method has also
563 greatly expanded the fluorophore options beyond the number limit of detectors and excitation lasers. These

564 qualities make LUMoS a simple, general, and reliable spectral unmixing approach to quickly apply to any
565 fluorescence images. Last but not least, an optimal strategy for spectral unmixing should always combine image
566 processing algorithms with careful dye selections and rigorous image acquisitions. LUMoS can be coupled with
567 spectral imaging or other hardware designs to yield excellent multi-color imaging results, and will offer new
568 avenues for understanding the complex biological organizations.

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573 study.

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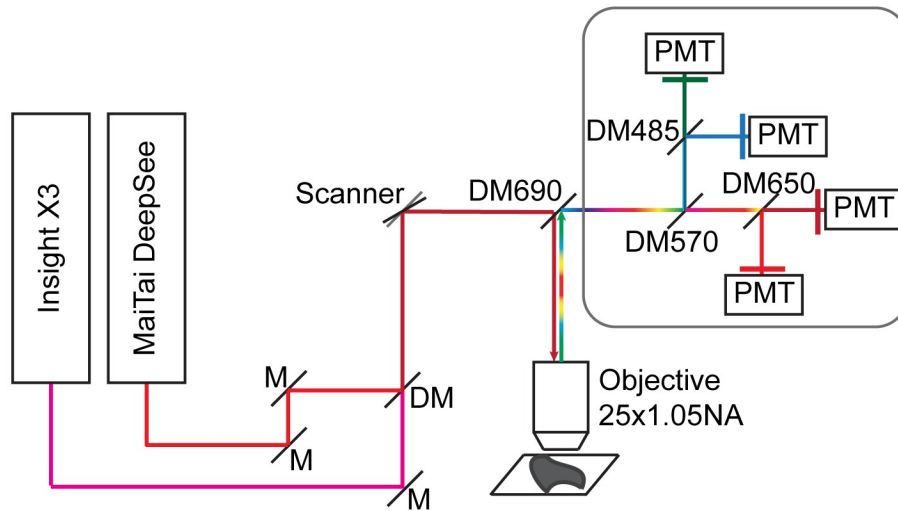
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- 768

769 **Supporting information**



770

771 **S1 Fig. Two-photon system schematic.**

772 The system (Olympus FVMPE-RS) was equipped with two two-photon lasers and four PMTs. 25× water
773 immersion objective was used. M: mirror, DM: dichroic mirror, Scanner: galvanometer scanner, PMT:
774 photomultiplier tube. The Blue/Green (420-460nm/495-540nm), and Red/fRed (575-630nm/645-685nm) filter
775 cubes setup is shown.

776

777 **S2 Movie. BPAE cells 3D image unmixing results.**

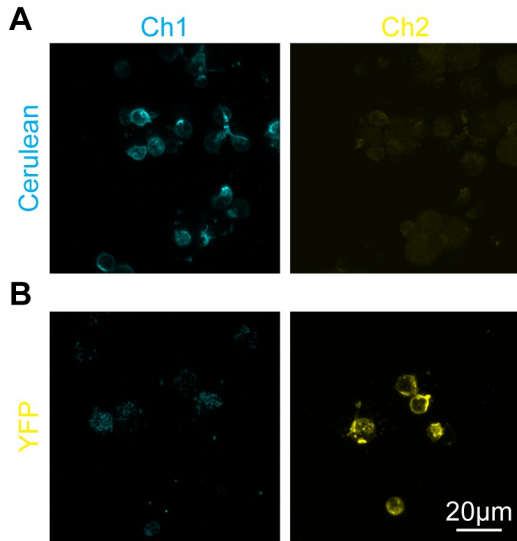
778 Z-stack of BPAE cells 2PLSM images shown in Fig 3. *Left*, raw image. *Right*, LUMoS unmixed image.

779

780 **S3 Movie. Colorful Cell 3D image unmixing results.**

781 Z-stack of Colorful Cell cells 2PLSM images shown in Fig 5. *Left*, raw image. *Right*, LUMoS unmixed image.

782



783

784 **S4 Fig. Single stained cell preparations of the colocalization example.**

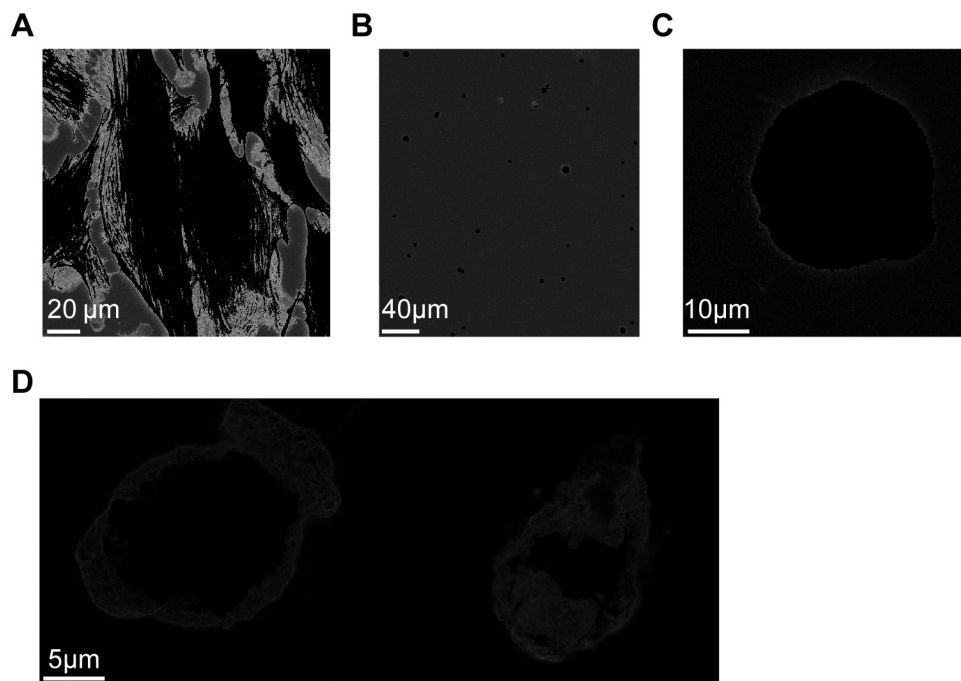
785 T cells only transduced with Cerulean or YFP virus mixed with APCs and imaged with the same conditions as in
786 Fig 6. (A) Cells transduced with Cerulean expressing virus. Cerulean signals showed only in CFP channel (Ch1).
787 (B) Cells transduced with YFP virus. YFP signals were only detected by the YFP channel (Ch2). There was no
788 cross-talk between CFP and YFP channels. APCs showed weak autofluorescence.

789

790 **S5 Movie. Cerulean and YFP colocalization 3D image unmixing results.**

791 Z-stack of T cells transduced with Cerulean and YFP virus shown in Fig 6. *Top*, raw image. *Bottom*, LUMoS
792 unmixed image.

793

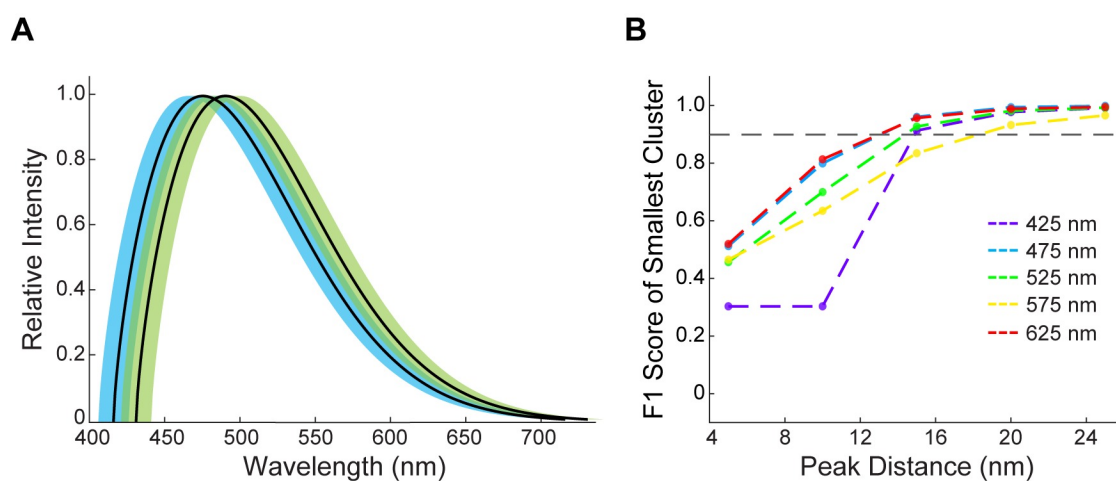


794

795 **S6 Fig. Separated background noise cluster images.**

796 (A) The separated background image by LUMoS of the BPAC cells image in Fig 3. (B) The separated background
797 image by LUMoS of the multi-color beads image in Fig 4. (C) The separated background image by LUMoS of the
798 colorful cell image in Fig 5. (D) The separated background image of the imaged cells in Fig 6.

799



800

801 **S7 Fig. Two-fluorophore peak distance limitations.**

802 (A) Synthetic emission spectra of two fluorophores with peak emissions at 475 nm and 490 nm. 10 nm standard
803 deviations for each spectra are shown in shaded area. (B) The performance of LUMoS for synthetic images of two
804 fluorophores with variable distances between emission peaks. The cluster size ratio was fixed at 0.2, number of
805 fluorophores at 2 and SNR at 10. For each color plotted, the peak of the lower wavelength fluorophore was fixed
806 while the peak of the higher wavelength fluorophore was varied. Results of 10 simulations were averaged to
807 obtain the final results.

808