1	TEsorter: lineage-level classification of transposable elements using conserved protein
2	domains
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11	Abstract
12	Summary: Transposable elements (TEs) constitute an import part in eukaryotic genomes, but
13	their classification, especially in the lineage or clade level, is still challenging. For this purpose,
14	we propose TEsorter, which is based on conserved protein domains of TEs. It is easy-to-use, fast
15	with multiprocessing, sensitive and precise to classify TEs especially LTR retrotransposons
16	(LTR-RTs). Its results can also directly reflect phylogenetic relationships and diversities of the
17	classified LTR-RTs.
18	Availability: The code in Python is freely available at <u>https://github.com/zhangrengang/TEsorter</u> .
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22	1 Introduction
23	Transposable elements (TEs) constitute the largest portion of most eukaryotic genomes, among
24	which long terminal repeat retrotransposons (LTR-RTs) are predominant in plant genomes.
25	Various tools have been developed for identification and classification of TEs or LTR-RTs, such as
26	RepeatModeler (http://www.repeatmasker.org/RepeatModeler/), REPET (Quesneville, et al., 2005)
27	and LTR_retriever (Ou and Jiang, 2017). To our knowledge, most of them can only classify TEs
28	into the superfamily level, leaving the gap for revealing phylogenetic relationships between TEs,

- 29 especially the LTR-RT Copia and Gyspy superfamilies. Previous studies (Llorens, et al., 2009;
- 30 Neumann, et al., 2019; Wicker and Keller, 2007) have proposed classifications of LTR-RTs on

31 lineage or clade levels. Particularly, Neumann et al. (2019) classified the Copia superfamily into 32 Ale, Alesia, Angela, Bianca, Bryco, Lyco, Gymco I-IV, Ikeros, Ivana, Osser, SIRE, TAR and Tork 33 lineages and the Gypsy superfamily into CRM, Chlamyvir, Galadriel, Tcn1, Reina, Tekay, Athila, 34 Tat I-III, Ogre, Retand, Phygy and Selgy clades. These studies provide protein domain databases for lineage/clade-level LTR-RT classifications and moreover, the update of REXdb by Neumann et 35 al. (2019) also provides classifications for other TEs, such as long interspersed nuclear repeats 36 37 (LINEs), terminal inverted repeats (TIRs) and Helitrons. Here we take the opportunity to develop 38 an automated, easy-to-use classifier, named TEsorter, to classify LTR-RTs as well as other TEs 39 into detailed lineages/clades that reflect their phylogenetic relationships and diversities.

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## 41 2 Methods

The TEsorter classifier was implemented using hidden Markov model (HMM) profiles obtained from protein domain databases GyDB (Llorens, *et al.*, 2011) and REXdb (Neumann, et al., 2019). For REXdb, the viridiplantae v3.0 and metazoa v3 protein sequences were downloaded. Subsequently, multiple sequence alignments were performed by lineage and domain using MAFFT (Standley and Katoh, 2013) and HMM profiles were generated with HMMPress (Eddy, 1998).

48 Input DNA sequences were translated in all six frames and the translated sequences were searched 49 against one of the two databases using HMMScan (Eddy, 1998). Hits with coverage < 20% or 50 E-value > 1e-3 were discarded. For each domain of one sequence, only the best hit with the 51 highest score was reserved. The classifications of TE superfamilies (e.g. LTR/Copia, LTR/Gyspy) 52 and clades (e.g. Reina and CRM of Gypsy) were based on hits directly. For Copia and Gyspy 53 superfamilies, complete elements were identified based on the presence and order of conserved 54 domains including capsid protein (GAG), aspartic proteinase (AP), integrase (INT), reverse 55 transcriptase (RT) and RNase H (RH) as described in Wicker et al. (2007). The identified domain 56 sequences were extracted for further phylogenetic analyses.

To improve the classification sensitivity, a two-pass strategy was made available. The unclassified TE sequences were searched against the HMM-classified sequences using BLAST (Altschul, *et al.*, 1990) and then classified with the 80–80–80 rule (Wicker, *et al.*, 2007). This was based on the sequence-level similarity between autonomous and non-autonomous TEs, in which mutations like

61 frameshifts and domain losses prevent their identification using HMMs. To comply with 62 alignment uncertainties, this step only classified sequences at the superfamily level.

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## 64 **3 Results and Discussion**

To benchmark the classification performance of TEsorter, we selected three non-redundant curated 65 TE libraries from rice (Ou and Jiang, 2017), maize (Schnable, et al., 2009) and fruit fly (from 66 Repbase v20.03, Bao, et al., 2015) and compared with four TE classifiers, including the 67 68 RepeatClassifier module of RepeatModeler (http://www.repeatmasker.org/RepeatModeler/), the 69 PASTEC module (Hoede, et al., 2014) of REPET, the annotate TE module of LTR retriever (Ou 70 and Jiang, 2017) and the online-only LTRclassifier (Monat, et al., 2016). TEsorter with REXdb 71 performed with the highest precision (0.94-1.0) in almost all the TE catalogs (Table 1, 72 Supplementary Table S1). The sensitivity of TEsorter with REXdb was sub-optimal (0.79-0.93) in classifications of the LTR-RT Copia and Gyspy superfamilies in plants (Table 1). By searching 73 74 against the Pfam database (Punta, et al., 2012), the unclassified LTR-RTs were confirmed to have 75 lost their main protein domains. Some of these elements can be classified by using similarity to 76 known elements. For this purpose, we implemented the two-pass strategy in TEsorter. However, due to the divergence of TE sequences, the homology-based approach only improved the 77 78 sensitivity marginally (data not shown). As a result, a lower sensitivity was expected due to the 79 rich of non-autonomous elements, including TIRs and Helitrons (Supplementary Table S1). In 80 contrast, for autonomous TIR and Helitron elements, TEsorter performed much higher sensitivity 81 (0.84-0.89) (Supplementary Table S1). TEsorter performed better with REXdb than with GyDB in 82 plants (Table 1) due to the systematic collection of plant LTR-RTs by Neumann et al. (2019). Both 83 databases showed low sensitivity (~0.5) in fly LTR-RTs classification (Supplementary Table S1), 84 which might be a limitation of the domain-based approach on consensus sequences, as discussed 85 by Monat, et al. (2016).

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RepeatClassifier had the best sensitivity in most cases (Table 1, Supplementary Table S1), which was benefited from Repbase that has collected TE sequences from the three species we benchmarked. PASTEC in the REPET pipeline also uses Repbase for classification. However, it only provided confident classifications at the order level (Supplementary Table S1). LTRclassifier

and LTR\_retriever used a set of selected Pfam domains for LTR-RT classifications. However, the
selected Pfam domains aim for broad representation instead of clade-specific classification.
TEsorter generally exhibited higher sensitivity and precision comparing to these two methods
(Table 1, Supplementary Table S1).

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TEsorter assigned 76-92% of LTR Copia or Gypsy elements into diverse clades in plants (Table 1). 96 97 We performed phylogenetic analyses to evaluate the precision of these clade-level assignments. 98 Briefly, protein domain sequences were extracted using TEsorter and aligned with MAFFT 99 (Standley and Katoh, 2013), and the phylogenetic trees were reconstructed using IQ-TREE 100 (Nguyen, et al., 2015). Using RT domains as an example, the clade-level classification of TEsorter 101 was highly consistent (99.06%) with the phylogeny (Supplementary Fig. S1a) and also consistent with the previous report (Neumann, et al., 2019). Similar high consistencies were observed on 102 103 other domains' classification (Supplementary Fig. S1b-d). These results revealed high-confidence 104 classifications at the clade level by TEsorter.

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106 The TEsorter package was implemented in Python and was accelerated using multiprocessing107 (Table 1).

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Supplementary Fig. S1. Consistency between classifications of TEs and phylogenetic relationships based on RT (a), RH (b), INT (c) and concatenated RT-RH-INT (d) domains in rice. Conflicts were highlighted by black circle nodes. The tree was un-rooted. Branches were colored based on TEsorter classifications.

- 114
- 115 Supplementary Table S1. Performances with different TE catalogs.

Tibuomu	Classifier	LTR/Copia			LTR/Gypsy			all LTR-RTs		other TEs		CPU time
Library		sensitivity	precision	clades <sup>†</sup>	sensitivity	precision	clades	sensitivity	precision	sensitivity	precision	(hour)
	TEsorter (REXdb)	0.893	1.000	89.3%	0.786	1.000	78.6%	0.782	0.994	0.160	1.000	0.09
	TEsorter (GyDB)	0.843	0.993	83.0%	0.768	0.989	76.8%	0.765	0.994	NA	NA	0.15
Rice*	RepeatModeler	0.881	0.959	NA	0.906	0.919	NA	0.907	0.951	0.808	0.997	15.1
	LTR_retriever	0.868	1.000	NA	0.830	0.979	NA	0.814	0.991	NA	NA	0.01
	LTRclassifier**	0.824	1.000	NA	0.576	0.679	NA	0.645	0.822	NA	NA	1.0
	TEsorter (REXdb)	0.919	0.966	91.9%	0.930	1.000	91.8%	0.793	0.998	0.329	0.997	0.1
	TEsorter (GyDB)	0.914	0.977	89.7%	0.922	0.991	90.6%	0.770	0.998	NA	NA	0.12
Maize	RepeatModeler	0.957	0.823	NA	0.988	0.675	NA	0.925	0.967	0.541	0.968	14.4
	LTR_retriever	0.892	0.859	NA	0.918	0.878	NA	0.757	1.000	NA	NA	0.01
	LTRclassifier	0.789	0.913	NA	0.664	0.818	NA	0.547	0.916	NA	NA	1.2

116 **Table 1. Comparison of the performance of difference classifiers.** 

117 \*For LTR-RTs in the rice library, only the internal sequences were included. \*\*Only classifications based on Pfam were received from the web server of

118 LTRclassifier. † Percentage of elements that were assigned into diverse clades. Sensitivity = (true positive) / (true positive + false negative) and precision = (true

119 positive) / (true positive + false positive). NA, not available. For more details, see Supplementary Table S1.

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