RUNNING TITLE: Deep sequencing as a tool for TB control

1	Previously undetected superspreading of Mycobacterium tuberculosis revealed by
2	deep sequencing
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30 Abstract – 150/150 words

31 Tuberculosis disproportionately affects the Canadian Inuit. To address this, it is imperative we 32 understand transmission dynamics in this population. We investigate whether 'deep' sequencing 33 can provide additional resolution compared to standard sequencing, using a well-characterized 34 outbreak from the Arctic (2011-2012, 50 cases). Samples were sequenced to ~500-1000x and 35 reads were aligned to a novel local reference genome generated with PacBio SMRT sequencing. 36 Consensus and heterogeneous variants were identified and compared across genomes. In contrast 37 with previous genomic analyses using ~50x depth, deep sequencing allowed us to identify a 38 novel super-spreader who likely transmitted to up to 17 other cases during the outbreak (35% of 39 all cases that year). It is increasingly evident that within-host diversity should be incorporated 40 into transmission analyses; deep sequencing can facilitate accurately detection of super-spreaders 41 and corresponding transmission clusters. This has implications not only for TB, but all genomic 42 studies of transmission - regardless of pathogen.

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43 Introduction.

44	Tuberculosis (TB) in Canada is highest among the Inuit, an Indigenous population with a rate
45	over 300x that of the non-Indigenous Canadian-born population in 2016. ¹ Canada recently set a
46	goal of TB elimination in the Inuit by 2030, ¹ which will not be achieved without halting ongoing
47	transmission. Previous studies have used genomic data either alone or in conjunction with
48	classical epidemiology to investigate TB transmission dynamics in the Canadian North, ²⁻⁴ with
49	the aim of identifying clusters to help guide public health interventions. Thus far, such studies
50	have relied on identifying consensus single nucleotide polymorphisms (cSNPs), consistent with
51	prevailing methodology in this field.
52	
53	Recent studies suggest that incorporation of within-host diversity into genomic analyses may
54	provide greater resolution of transmission than cSNP-based approaches alone. ⁵⁻⁸ This may be
55	particularly important for investigation of outbreaks occurring over short time scales and/or in
56	settings such as the Canadian North, where the genetic diversity of circulating strains is
57	especially low. In both of these circumstances, it is common to find many samples separated by
58	zero cSNPs, hindering accurate source ascertainment. To investigate this hypothesis, we used
59	deep sequencing (i.e., to ~10-fold more than standard, or 500-1000x) to re-evaluate transmission
60	in a densely-sampled outbreak in Nunavik, Québec.
61	

62 This outbreak, which has been previously described,^{4,9} comprised 50 microbiologically-

confirmed cases of TB who were diagnosed in a single Inuit community between 2011-2012 - a
rate of 5,359/100,000 for that year. Genomic epidemiology analyses using sequencing depths of
~50x that are standard in such work, identified multiple clusters of transmission in this outbreak,

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66	⁴ however, there was insufficient genetic variation detected to infer precise person-to-person
67	transmission events within these subgroups, given the short time frame and low mutation rate of
68	<i>M. tuberculosis</i> (~0.5 SNPs/genome/year for Lineage 4 10). In this study, we illustrate how
69	within-host diversity can be incorporated into transmission analyses and in doing so, find new
70	features of the transmission networks in this community, in particular, identifying a previously
71	unrecognized superspreading event. We highlight a potential role for deep sequencing in public
72	health investigations, with implications for TB control in Canada's North as well as other high-
73	transmission environments.
74	
75	Materials and methods.
76	Study subjects. All 50 samples from the 2011-2012 outbreak ⁴ were eligible for inclusion, as
77	well as samples from all cases (n=15) diagnosed in same village in the preceding five years
78	(2007 onwards), 13/15 of which were caused by the same strain of <i>M. tuberculosis</i> (the 'Major
79	[Mj]-III' sublineage ³). There were two episodes of recurrent TB (i.e., where an individual had
80	microbiologically-confirmed TB once, was cured, but developed TB again during the study
81	period); otherwise, all samples are from unique individuals. All cases had pulmonary TB that
82	was Lineage 4 (Euro-American 4). Cross-contamination was ruled out as described in 4 .
83	
84	DNA extraction and sequencing. Laboratory methods are described in detail in the
85	Supplementary Material. The Illumina HiSeq 4000 was used for paired-end 100bp sequencing.
86	To obtain the target depth of coverage, pooled libraries were run on four independent lanes.
87	

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88	Bioinformatics. Quality control of genomic data is described in detail in the Supplemental
89	Material. Reads were aligned using the Burrows Wheeler Aligner MEM algorithm (v.0.7.15 ¹¹)
90	to the H37Rv reference (NC_000962.3 in the National Center for Biotechnology Information
91	[NCBI] RefSeq database) and sorted using Samtools (v.1.5 12). Analyses were later repeated
92	using a local reference genome (described below). Reads with ambiguous mapping were
93	excluded, as were reads with excessive soft-clipping (i.e., more than 20% of read length) based
94	on our previous work. ⁶ Duplicate reads were marked using Picard MarkDuplicates (v.2.9.0,
95	https://broadinstitute.github.io/picard/) and reads were locally re-aligned around indels using
96	Genome Analysis ToolKit (GATK, v.3.8 ¹³). All sites were called using GATK's Unified
97	Genotyper algorithm.
98	
99	Variants were filtered for quality using custom Python scripts (v.3.6) with the following
100	thresholds: Phred < 50 , Root Mean Squared Mapping Quality (RMS-MQ) ≤ 30 , depth (DP) < 20 ,
101	
_	Fisher Strand Bias (FS) \ge 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were
102	Fisher Strand Bias (FS) \ge 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \ge 95% of reads were the alternative allele (ALT), hSNPs were
102 103	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT
102 103 104	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT present in < 5% of reads were classified as 'reference'. We also compared inferences of
102 103 104 105	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT present in < 5% of reads were classified as 'reference'. We also compared inferences of transmission from this analysis to i) when these thresholds were increased to the minimum
102 103 104 105 106	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT present in < 5% of reads were classified as 'reference'. We also compared inferences of transmission from this analysis to i) when these thresholds were increased to the minimum values among cSNPs in the initial H37Rv analysis, and ii) when cSNPs were classified using a
102 103 104 105 106 107	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT present in < 5% of reads were classified as 'reference'. We also compared inferences of transmission from this analysis to i) when these thresholds were increased to the minimum values among cSNPs in the initial H37Rv analysis, and ii) when cSNPs were classified using a threshold of \geq 99%, and hSNPs were classified when 1% < ALT < 99%, in order to assess the
102 103 104 105 106 107 108	Fisher Strand Bias (FS) \geq 60 and read position strand bias (ReadPos) < -8. ⁶ cSNPs were classified as positions where \geq 95% of reads were the alternative allele (ALT), hSNPs were classified as positions where > 5% and < 95% of reads were ALT, and positions with the ALT present in < 5% of reads were classified as 'reference'. We also compared inferences of transmission from this analysis to i) when these thresholds were increased to the minimum values among cSNPs in the initial H37Rv analysis, and ii) when cSNPs were classified using a threshold of \geq 99%, and hSNPs were classified when 1% < ALT < 99%, in order to assess the robustness of inferences to different filtering protocols.

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110	Low-quality variants, variants in proline-proline-glutamic acid (PE) and proline-glutamic-
111	acid/polymorphic-guanine-cytosine-rich sequence (PE_PGRS) genes, transposons, phage and
112	integrase, and positions with missing data, were excluded. All samples were drug-susceptible,
113	except for MT-6429, which was rendered resistant to isoniazid by a frameshift deletion at
114	position 1284 in the catalase-peroxidase gene katG. As such, positions associated with drug
115	resistance were not masked in this analysis.
116	
117	Concatenated cSNP alignments were generated excluding positions with hSNPs. Pairwise cSNP
118	distances between samples were computed using snp-dists (v.0.6, available at
119	https://github.com/tseemann/snp-dists). The frequency of hSNPs at each position in the genome
120	was tabulated and hSNPs were reviewed to identify variants shared between samples.
121	
122	Phylogenetics and clustering. Core cSNP alignments were used to generate maximum
123	likelihood trees using IQ-Tree (v.1.6.8 ¹⁴). Model selection was based on the lowest Bayesian
124	Information Criterion. Hierarchical Bayesian Analysis of Population Structure ¹⁵ was run in R
125	(v.3.5.2) to identify clusters. See the Supplementary Material for additional detail.
126	
127	Single Molecule Real-Time (SMRT) sequencing and assembly. To examine the influence of
128	potential alignment errors in identification of hSNPs, we used SMRT sequencing with the
129	PacBio RSII platform to create a local reference genome for the outbreak. Sample MT-0080 was
130	chosen for sequencing because this was previously identified as the probable source for as many

as 19 of the 50 cases diagnosed in 2011-2012.⁴ A single colony from the culture was selected for

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132	SMRT sequencing and Illumina MiSeq (for polishing of the long-read assembly). Further detail
133	is provided in the Supplementary Material.
134	
135	Long-reads were assembled and corrected using Canu (v.1.7.1 ¹⁶). Pilon (v.1.23 ¹⁷) was then used
136	to polish the assembly and was re-run until no further corrections were possible. Quast (v.5.0.2,
137	18) was used to evaluate assembly quality. RASTtk (v.2.0 19) was used for annotation, to identify
138	regions for masking as previous.
139	
140	Epidemiological data. Epidemiological and clinical data were collected on all cases and contacts
141	using standardized questionnaires, as part of the routine public health response.
142	
143	Statistical analyses. A two-sample test of proportions was used to compare overall proportions
144	across references, and the Wilcoxon Signed Rank test was used to compare paired SNP
145	distances. Analyses were done in Stata (v.15, StataCorp, College Station, TX, USA).
146	
147	Data availability. Sequencing data and the assembly for MT-0080 are available on the NCBI's
148	Sequence Read Archive under BioProject PRJNA549270.
149	
150	Ethics. Ethics approval was obtained from the Institutional Review Board (IRB) of the Harvard
151	T.H. Chan School of Public Health (IRB18-0552) and the IRB of McGill University Faculty of
152	Medicine (IRB A02-M08-18A). All data was analyzed in non-nominal fashion. This study was
153	done with approval of and in collaboration with the Nunavik Regional Board of Health and
154	Social Services.

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155

156 **Results.**

- 157 62/65 (95.4%) available TB samples from cases diagnosed between 2007-2012 were successfully
- sequenced and passed quality control. This included 48/49 (98.0%) of the samples with an
- 159 identical Mycobacterial Interspersed Repetitive Units Variable Number Tandem Repeats
- 160 (MIRU-VNTR) pattern during the outbreak year. The remaining three samples could not be re-
- 161 grown. Reads that were non-MTBC were removed (Table S1) and there was no obvious
- association between percent contamination and hSNP frequency. Epidemiological and clinical
- 163 data on all outbreak cases are described in 4 .

164

Average genome coverage and depth across the H37Rv reference was 98.64% [SD 0.07%] and

166 714.53 [SD 92.68], respectively. Our primary filtering protocol yielded 51,430 cSNPs and 4,897

167 hSNPs across all individual samples (Table S2). Excluding positions that were invariant

168 compared to the reference or where any sample was missing and/or was low-quality resulted in a

169 core alignment of 860 cSNP positions and 136 hSNP positions (note, these are not mutually

170 exclusive, as positions with cSNPs in some samples may have hSNPs in others).

171

42 positions had hSNPs that were shared across all 62 samples (Table 1, Supplementary

Dataset 1A). Depth of coverage at these positions was, on average, 39% higher than the average

depth across the same sample (SD 36.7%, **Supplementary Dataset 1B**). Along with manual

175 review of alignments (Figure S1), this suggested that many of these were false positives,

176 potentially due to alignment error (e.g., from underlying structural variation in our samples

177 compared to the H37Rv reference).

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179	To address this, we generated a local reference genome for the outbreak, MT-0080_PB. Quality
180	metrics for the MT-0080_PB assembly are given in Table S3. Compared to H37Rv, mean
181	genome coverage and depth were higher with MT-0080_PB (at 99.33% [SD 0.09%] and 717.07
182	[SD 93.01], respectively), fewer positions were missing/low-quality ($p < 0.00005$, Table 1), and
183	overall, fewer variable positions were detected (p < 0.00005). While core cSNP distances were
184	similar between samples regardless of the reference (Table 1), the number of hSNPs was greatly
185	reduced using MT-0080_PB (Table S2); while 4,897 hSNPs were identified across all individual
186	samples using H37Rv, only 125 hSNPs were identified using MT-0080_PB. There were also no
187	hSNPs shared across all 62 samples using MT-0080_PB. Together, these findings support our
188	hypothesis that alignment error is responsible for many of the detected variants, and indicate a
189	local reference is important for accurate identification of hSNPs. All further results presented are
190	based on the MT-0080_PB alignment.
191	
192	A maximum likelihood tree was generated from 94 core cSNP positions (excluding sites
193	invariant across all samples and the reference) compared to MT-0080 (Figure 1A). Consistent
194	with previous work, ⁴ hierBAPS identified two main sub-lineages ('Mj-V' and 'Mj-III' per ³),

195 with three sub-clusters (Mj-IIIA/B/C).

196

hSNPs identify super-spreaders and more accurately resolve transmission clusters. The core
cSNPs and hSNPs between samples are shown in **Supplemental Dataset 2A**, with the subgroups identified in the original analysis indicated. Overlaying hSNPs with the cSNP-based
analysis revealed a novel super-spreader (MT-504) in Cluster Mj-IIIB, undetected by genomic

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201	epidemiology analyses relying on lower sequencing depth. ⁴ MT-504 had smear-positive cavitary
202	disease and was diagnosed in late 2011; previous analyses had found this case shared a single
203	cSNP with four other cases diagnosed from March – December of 2012 (position 276,685
204	according to H37Rv / 276,544 in the MT-0080_PB alignment, Supplementary Dataset 2).
205	Coupled with epidemiological data on contact (shared attendance at local community 'gathering
206	houses', social venues specifically identified by public health during the outbreak), this strongly
207	supported transmission from MT-504 to other members of this subgroup. In contrast, the other
208	subgroup of Mj-IIIB with 13 cases did not share this cSNP. This initially refuted transmission, as
209	we would expect 0 SNPs to accrue in recent transmission given the short time period, low
210	mutation rate of TB, and overall low diversity of strains circulating in the village (Figure 1B).
211	Instead, we previously postulated that the first smear-positive case in this subgroup (MT-2474,
212	diagnosed in May 2012) led to the majority of transmission (note, the first smear-negative case in
213	this subgroup was diagnosed in March 2012). However, deep sequencing data suggest otherwise;
214	these data show that MT-504 harboured both the reference (133 reads [19.1%]) allele, present in
215	the subgroup of 13, as well as the alternative allele (563 reads [80.9%]) at this position (Figure
216	1C). As MT-504 was the first contagious case diagnosed in Mj-IIIB, and all 13 cases in this
217	subgroup had attended or resided in a gathering house (with 9/13 [69·2%] reporting attendance at
218	the same houses as MT-504), this strongly suggests that MT-504 is in fact the most probable
219	source for both subgroups.

hSNP analysis adds support for suspected transmission. Sample 68995 and MT-5543 were
from 2007, and were the only strains from the Mj-VA sub-lineage in this village. Previous
analysis indicated Mj-VA strains from other villages were distantly related,⁴ while these two

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224	samples were separated from one another by zero core cSNPs. This suggests direct transmission
225	between these historical cases, a hypothesis strongly supported by hSNP analysis, as the samples
226	share hSNPs that are not found in any other sample in the dataset. These hSNPs were present
227	even when highly conservative filtering thresholds were used (Supplemental Dataset 2B).
228	Importantly, these hSNPs were not detected when using H37Rv as the reference.
229	
230	Potential utility for discriminating TB recurrence. Six individuals had TB recurrence in 2011-
231	2012. Paired samples were available for two of these (Patient 1: samples MT-5195 in 2007 and
232	MT-1838 in 2012; Patient 2: samples MT-5543 in 2007 and MT-1206 in 2012, Figure 1A).
233	cSNP-based analyses suggested their second episodes of TB were due to re-infection with a new
234	strain, rather than relapse with the strain causing their original disease. Investigation of within-
235	host diversity strongly supported this conclusion; using deep sequencing, we verified that there
236	was a single, different strain present at both baseline and their second episodes of TB. There was
237	no evidence for mixed infection at either baseline or second episode with these strains, more
238	definitively ruling out relapse in this low diversity setting (Supplemental Dataset 2A/B/C).
239	
240	Impact of altering cSNP and hSNP thresholds. To ensure we were not missing lower frequency
241	variants using the prior cSNP/hSNP thresholds, we re-ran our analysis such that hSNPs were
242	classified when $1\% < ALT < 99\%$. Quality scores for individual cSNPs and hSNPs are given in
243	Table S4 and the core cSNP/hSNP alignment is shown in Supplemental Dataset 2C. While our
244	primary analysis using a threshold of > 95% for cSNPs identified a single cSNP (A>G) shared
245	across all samples compared to MT-0080_PB, close examination of the MT-0080 deep
246	sequencing data (obtained using DNA from a sweep of the plate) showed that this sample had

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247 both alleles at this position, with only the minority 'A' allele (33 reads/1189 [2.8%]) isolated for 248 SMRT sequencing. Based on this, we recommend sequencing samples both using a clean sweep 249 (with an alternative sequencing platform) and a single colony pick when generating a reference 250 genome for TB, as using the latter alone may introduce error and affect epidemiological 251 inferences. With this exception, no other informative hSNPs were detected using these 252 thresholds. 253 254 **Discussion.** 255 As the TB epidemic continues among the Canadian Inuit, targeted public health interventions are 256 essential to halt ongoing transmission. In order to do so, it is important that transmission events 257 and associated risk factors are accurately identified. Our previous work suggested that hSNP

analysis could enhance resolution of TB transmission ⁶. To investigate how this approach could

be applied for TB control, we used deep sequencing to re-examine a major TB outbreak in theCanadian Arctic.

261

Several recent studies, including work by the authors⁶, have shown that *M. tuberculosis* within-262 host diversity can be transmitted between individuals^{8,20}. Using deep sequencing data allowed us 263 264 to better identify this diversity in a Nunavik outbreak compared to previous analyses with standard sequencing depth, ^{3,4} and facilitated detection of a novel super-spreader who was likely 265 266 responsible for $\sim 1/3$ of the cases from 2011-2012. Super-spreading has been described in a number of pathogens,²¹ including TB.²² Our findings suggest this can play an important role in 267 268 driving TB outbreaks. We therefore propose that investigation of within-host diversity is 269 necessary to ensure detection of such super-spreaders in this context, and potentially other high-

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270 transmission environments as well, in order to accurately identify transmission networks and 271 associated risk factors. We typically only know that a person is a super-spreader retrospectively, 272 i.e., once they have already transmitted to many others and once corresponding genomic data is 273 available. However, if we can identify the characteristics associated with this phenomenon at the 274 population level, this could be used prospectively to predict whether cases are likely to be super-275 spreaders - as they are diagnosed. This could allow resources to be better allocated, for example, 276 investigation of their contacts could be prioritized and/or targeted screening of the social venues 277 they attend could be rapidly initiated, potentially leading to faster detection of secondary cases 278 and initiation of prophylaxis for new infections. In the case of MT-504, nearly all of the 279 secondary cases had attended the same local community gathering houses as the putative source; 280 this also strongly suggests the importance of these venues in facilitating transmission in this 281 setting.

282

Several studies have used genomics to investigate TB recurrence,²³⁻²⁵ however, the methods used 283 284 to assess for mixed infection at either time point have been inconsistent and may not be sufficient 285 to discriminate recurrence in settings with low strain diversity. In this analysis, we provide proof-286 of-principle that deep sequencing can potentially help rule out relapse. The distinction between 287 relapse and re-infection is important at individual and population levels; high rates of relapse in a 288 community would indicate a problem with treatment or adherence, potentially warranting 289 changes to clinical management, while re-infection would indicate the need for public health 290 interventions such as activate case finding. Also, individuals in Nunavik who have had prior 291 treatment for active TB disease in the past are also not routinely offered prophylaxis on reexposure, based on historical data suggesting $\sim 80\%$ protection is afforded by prior infection.²⁶ 292

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293 The degree to which re-infection drives recurrence in Nunavik is currently unknown, but if re-

- infection is the primary cause, this clinical practice may need to be re-evaluated. Population-
- level genomic studies are currently underway to evaluate this.
- 296
- 297 To use deep sequencing to investigate within-host diversity, it is critical we minimize false

298 positive hSNPs. We have shown that using a local strain as a reference not only reduces error,

but improves detection of epidemiologically-informative variants. Genomic differences between

300 outbreak strains and H37Rv have been previously illustrated by 27,28 , with O'Toole *et al.*²⁸

301 warning that clinical TB strains may be needed to fully detect virulence genes in reference-based

analyses. We propose these are also warranted for hSNP analysis. Where possible, we

303 recommend using long-read sequencing to generate complete and local reference genomes.

304

305 Overall, our study has a number of strengths. Firstly, we had access to a densely-sampled 306 outbreak, which was previously investigated using 'standard' sequencing depth and for which 307 detailed epidemiological data was available. This allowed us to readily compare methodological 308 approaches, showing how and when deep sequencing might be beneficial for public health. In 309 doing this, we have identified important methodological considerations for hSNP detection, with 310 implications for transmission analyses, but also potentially for resistance prediction as well.²⁹ 311 Finally, the use of long-read data has allowed us to completely assemble a novel TB genome 312 from Nunavik. This will serve as a valuable resource for future studies of transmission in 313 Nunavik (given the low strain diversity in the region 3), as well as other Inuit territories. 314

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315	A potential limitation of this work is that, given the historical nature of the outbreak, deep
316	sequencing was done using DNA extracted from culture. Due to methodological challenges of
317	sequencing directly from sputum, ³⁰⁻³² few studies have examined the effect of culture on genome
318	diversity. A recent study by Shockey <i>et al</i> ^{33} . using cSNPs suggests that variation may be lost
319	during the culturing process, however authors did not examine the impact on hSNPs or
320	transmission, and several other studies ^{31,32,34} previously found congruent results between cSNP
321	analyses from culture versus raw samples. In terms of hSNPs, Votintseva et al. found no
322	difference in the number detected between approaches. ³¹ While ^{32,34} reported detecting fewer
323	hSNPs with sequencing from culture versus from sputum, in ³⁴ , the median hSNPs was only 4.5
324	versus 5 hSNPs, respectively – a difference that may not be clinically significant, regardless of
325	statistical significance. Given the inconsistency of results and paucity of data, further study is
326	needed to understand how hSNP diversity may be affected by the culturing process, and to assess
327	whether this affects inferences of transmission. We note that it is likely that enhanced detection
328	of the hSNPs present in sputum would improve the resolution over that which we present in this
329	work.

330

Another potential limitation is that, while we can compare the epidemiological inferences made between our previous analysis and our deep sequencing analysis, the bioinformatics pipelines themselves are not directly comparable. Methods to accurately identify hSNPs and incorporate them into transmission analyses are currently an area of active research. We illustrated in our recent paper ⁶ that additional steps and strict thresholds must be used to minimize false positive hSNPs, and have conducted the current analysis in consideration of this. However, we note that pre-filtering, our 2015 analysis found that MT-504 had five reference alleles at position 276,685

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338	in the H37Rv alignment (out of 75) and randomly downsampling the current data to simulate
339	~50x yielded similar results (5/47 reads at position 276,544 aligned to MT-0080_PB). As most
340	genomic studies of TB employ conservative thresholds of 75-90% allele frequency to classify
341	cSNPs, many bioinformatics pipelines would consider this heterogeneity as potentially suspect at
342	standard sequencing depth. This therefore reinforces the need for greater depth and/or analytic
343	approaches (e.g., ³⁵) to ensure accurate discrimination of sequencing/analytic error from true
344	variation.

345

346 In summary, we have found evidence of mixed variants with important epidemiologic 347 implications that would not have been detected with standard methods and common filtering 348 criteria. This illustrates that genomic methods, while powerful, still require careful interpretation 349 and can still harbor considerable ambiguity when comparing very close links in a transmission 350 chain – a finding whose relevance likely extends far beyond TB, given the increasing number of 351 pathogens undergoing genomic investigation. We demonstrate that deep sequencing can aid in 352 transmission analyses, in particular by allowing accurate identification of TB super-spreaders 353 and key associated epidemiological characteristics. In terms of TB control, this work has important implications for the Canadian North as well as other regions with high TB 354 355 transmission; as next-generation sequencing becomes a mainstay in public health surveillance, it 356 is critical we recognize the limitations of analyses done using routine sequencing data and 357 consider where and when deep sequencing might be warranted. Although costs continue to 358 decline, we recognize deep sequencing of all samples in an outbreak may not be economically 359 viable for every public health unit. As such, we propose that public health units using routine 360 sequencing for tuberculosis consider – at a minimum – targeted deep sequencing of the more

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361	contagious (e.g., smear-positive) cases, in lieu of all samples, to help ensure accurate
362	identification of super-spreaders and clusters of transmission. This may help TB control
363	programmes better understand the risk factors for such transmission and enable prioritization of
364	public health resources in future outbreaks.
365	
366	
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385	Contributions. RSL and WPH conceived and designed the study. RSL designed and did the
386	analyses, made the tables and figures, interpreted the data, and wrote the first draft of
387	manuscript. JFP provided epidemiological data. MAB provided the bacterial samples, as well as
388	laboratory reagents, Biosafety Level 3 access and technician labour in-kind to RSL. FM did the
389	culture and DNA extraction for the HiSeq and PacBio SMRT sequencing. WPH reviewed the
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393	
394	

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487488 Figure 1. Transmission of *M. tuberculosis* in village K.



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491 Panel A. Maximum likelihood tree of 62/65 cases diagnosed between 2007-2012 in village K based on consensus 492 single nucleotide polymorphisms (cSNPs). After aligning to a local reference, MT-0080 PB, cSNPs were identified 493 based on a minimum threshold of \geq 95% of reads supporting the alternative allele. A core cSNP alignment was then 494 produced with 103 positions. IQ-Tree (v.1.6.8¹⁴) was then used to generate the tree using a KP3 model with 495 correction for ascertainment bias. Model selection was based on the lowest Bayesian Information Criterion. Clusters 496 were identified using hierarchical Bayesian Analysis of Population Structure.¹⁵ These clusters were consistent with the sub-lineages previously identified in ^{3,4}, thus only sub-lineage names are indicated. During this time period, there 497 498 were two individuals who had a second episode of TB; stars are used to highlight these samples, with a different 499 colour for each patient. MT-0080 is included in the alignment as the deep sequencing data from a sweep of all 500 colonies identified a cSNP compared to the MT-0080 PB reference, which itself was generated from a single colony 501 pick. 502

Panel B. Standard sequencing (to ~40-50x), along with epidemiological data, had indicated that the Major [Mj]-IIIB
 sub-lineage was comprised of two subgroups of five and 13 patients, respectively.⁴ MT-504 was the suspected
 source case for the subgroup of five, which all shared a 'C' allele at position 276,685 in H37Rv (position 276,544 in
 MT-0080_PB). In contrast, all members of the subgroup of 13 shared an 'A' at this position. Previously, MT-2474
 was the suspected source case for this subgroup; this case was the first person with smear-positive (SS+) cavitary
 disease diagnosed in this subgroup.

509

510 Panel C. In contrast to standard sequencing, deep sequencing data revealed that, in fact, MT-504 – the presumed

- 511 source for the subgroup of five cases and the first highly contagious case diagnosed in Mj-IIIB during the outbreak 512 year – had both 'C' and 'A' alleles at this position (563:133 of reads, respectively), suggesting this was in fact the
- 512 year had bour C and A aneles at this 513 most probable source for both subgroups.

514 Tables

515

Table 1. Comparison of alignments to H37Rv and MT-0080_PB

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	H37Rv (4,411,532 bp)	MT-0080_PB (4,426,525 bp)	P value
Number of positions according to reference genome			
Invariant reference across all samples, n (%)	4,018,786 (91.10%)	4,084,195 (92.27%)	$< 0.00005^{a}$
Position was missing / low quality in at least one sample, n (%)	391,761 (8.88%)	342,179 (7.73%)	$<0{\cdot}00005$ $^{\rm a}$
Position was an c/hSNP in at least one sample, n (%)	985 (0.22%)	152 (0.00%)	$<0{\cdot}00005$ $^{\rm a}$
Shared cSNPs across all samples, n (%)	764 (0.02%)	1 (0.00%)	< 0.00005 ^a
Shared hSNPs across all samples, n (%)	42 (0.00%)	0 (0%)	< 0.00005 ^a
Core pairwise distances			
Core cSNPs vs. reference, median (range)	791 (790-792)	3 (1-65)	< 0.00005 ^b
Core cSNPs between samples, median (range)	3 (0-64)	3 (0-66)	< 0.00005 ^b

518 Legend. Based on these filters: Phred < 50, Root Mean Square Mapping Quality (RMS-MQ) \leq 30, depth (DP) < 20, Fisher Strand Bias (FS) \geq 60 and read

519 position strand bias (ReadPos) < -8 and an allelic fraction of 95% for cSNPs, with hSNPs classified when 5% < ALT < 95%. Quality metrics for the individual

520 cSNPs/hSNPs identified in each sample are given in **Table S2**. ^a Two sample test for difference in proportions. ^b Wilcoxin Signed Rank test.