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# Dynamics of apomictic and sexual reproduction during primary succession on a glacier forefield in the Swiss Alps

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# 18 Abstract

Apomixis, the asexual reproduction through seeds, is thought to provide reproductive assurance when ploidy is not even and/or when population density is low. Therefore, apomicts are expected to be more abundant, and the frequency of apomictic offspring higher, at early stages of primary succession when mates are rare.

To test this hypothesis, we sampled facultative apomictic *Hieracium pilosella* L. along the successional gradient on a glacier forefield and determined their ploidy, the level of apomixis in their offspring, and the genetic diversity of the entire meta-population and within subpopulations.

27 We found that apomixis is more common in odd- and aneuploid cytotypes, which are more 28 frequent at early stages of primary succession. However, apomixis was uncommon at all 29 successional stages and sexual hexaploids were dominating throughout. Reproductive assurance 30 was reflected in the higher fertility of all odd-ploid apomictic plants (3x, 5x) by avoiding 31 meiosis, illustrating that apomixis provides an escape from sterility, as proposed by Darlington. 32 Odd-ploid plants are supposedly better colonizers (Baker's law), which is supported by their 33 higher occurrence close to the glacier snout. Independent of succession, we found gene flow 34 between apomicts and sexuals, which allows for the continuous creation of new apomictic and 35 sexual genotypes.

We conclude that apomixis in *H. pilosella* does indeed provide an escape from sterility, and therefore reproductive assurance, in aneuploid cytotypes. We further propose that apomixis preserves beneficial combinations of unlinked alleles in every generation for as long as apomictic genotypes persist in the population.

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# 41 Keywords

42 Reproductive ecology, alpine ecosystem, apomixis, flow cytometric seed screen (FCSS),

- 43 Hieracium pilosella, Morteratsch glacier, primary succession, sexual reproduction
- 44

# 45 Introduction

Apomixis can be viewed as a deregulation of sexual processes, resulting in asexual reproduction through seeds1-4. It modifies processes central to sexual reproductions: Meiosis and thus segregation is avoided (apomeiosis), and because the embryo – and sometimes also the endosperm – develops without fertilization (parthenogenesis), there is no paternal genomic contribution to the offspring. As a consequence, apomictically formed seeds are clones that are genetically identical to the mother plant.

Because apomicts do not require a mate<sub>6</sub>, apomixis provides reproductive assurance in obligate outcrossing plant species<sub>6-9</sub>. Moreover, apomixis provides an escape from sterility when ploidy is not even, such that meiosis fails<sub>9</sub>. In such species, apomictic genotypes are predicted to be more efficient colonizers than sexual genotypes. This view is supported by the phenomenon of geographical parthenogenesis, which describes that apomictic cytotypes are geographically more widespread than sexual cytotypes<sub>10-14</sub>, and the finding that invasive alien species are often apomictic<sub>15-17</sub>.

Although apomicts have the advantage of reproductive assurance, they are thought to accumulate deleterious mutations18. Without meiosis, no mechanism exists to purge deleterious mutations from the genomic pool of a population. This results in a successive reduction in fitness and, eventually, genotypes that have reached a critical threshold of deleterious mutations go extinct, a process known as Muller's ratchet18,19. These considerations led Darlington to propose that apomixis is an evolutionary dead end9.

65 Nonetheless, apomixis is found in over 400 species belonging to 46 plant families1. This could have two major reasons: First, apomixis is a facultative, quantitative trait1,20-22. This means 66 67 that in populations of apomictic plants also sexual individuals exist, and that apomictic 68 individuals have residual sexuality. This enables apomictic species to purge deleterious 69 mutations from their genomic pool, because apomicts can also, to a certain degree, reproduce 70 sexually. Second, male sporogenesis and gametogenesis are usually unaffected in apomicts1,23. 71 During male sporogenesis, apomixis loci can segregate, producing pollen that transmit genes 72 conferring apomixis. Thus, pollen from an apomict can fertilize an apomictic (with residual 73 sexuality) or a sexual genotype, generating new apomictic and sexual genotypes among the 74 progeny<sub>1,21,22,24</sub>. As new apomictic genotypes arise from sexual reproduction, apomixis is not 75 lost as a trait. Together, these two mechanisms provide an explanation for the high genetic 76 variation found in apomictic populations15,25-27. Van Dijk and colleagues22 described this as the 77 "apomixis gene's view", stating that apomixis persists as a trait in genotypes purged from 78 deleterious mutations.

79 We chose *Hieracium pilosella* L. (mouse-ear hawkweed), a natural apomict, to study the 80 ecological dynamics of apomixis during primary succession, i.e., the early stages of colonization 81 of bare soil after a glacier retreat. H. pilosella's endosperm development is autonomous, i.e., 82 independent of fertilization, complying with the assumption of an advantage when possible 83 mates are rare (conditional advantage), due to reproductive assurance<sub>6-9</sub>. Furthermore, apomictic 84 and sexual genotypes can have the same ploidy level, which ranges from 3C to 8C [1C = one85 haploid genome]28. A further asset is that in *Hieracium* subgenus *pilosella* two loci, LOSS OF 86 APOMEIOSIS (LOA) and LOSS OF PARTHENOGENESIS (LOP), have been shown to be 87 required for apomixis4,29,30. The model of two independent loci explains the occurrence of four 88 different offspring types<sub>24</sub>. The four offspring types are distinguished by the number of genome 89 copies inherited from the mother and from the father, respectively. For example, offspring type 2n + n (BIII hybrid) means that two copies were inherited from the mother and one from the 90

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91 father31,32. LOA and LOP control two elements of apomixis, both of which are required to 92 produce maternal clones (2n + 0, Fig. 1). If only LOA is present, meiosis is omitted but 93 embryogenesis requires fertilization, leading to an increase in ploidy and paternal genomic 94 contribution (Fig. 1). The resulting 2n + n offspring is thus generated through a mixture of 95 apomictic and sexual processes. The same is true if only LOP is present, leading to offspring 96 with reduced ploidy (n + 0, polyhaploid, Fig. 1), which is the result of meiosis and 97 parthenogenesis, a sexual and an apomictic process, respectively. If both loci are absent, sexual reproduction occurs, leading to n + n offspring (Fig. 1). Because 2n + n, n + 0, and 2n + 098 99 offspring types need at least one element of apomixis for their formation, we consider them as 100 apomictically produced offspring. In short, H. pilosella provides a system in which we have a 101 good understanding of the genetic basis of apomixis and the formation of different cytotypes, 102 allowing inferences about the processes that led to the formation of a specific individual.

103 To investigate the dynamics of apomixis and sexual reproduction, we sampled *H. pilosella* 104 along a primary successional gradient on the Morteratsch glacier forefield in the Swiss Alps. 105 H. pilosella occurs throughout the Morteratsch glacier forefield, except at the very earliest stage 106 (Sailer C, personal observation). The Morteratsch forefield has a very well documented chrono-107 sequence of the glacial retreat<sub>33,34</sub>. Moreover, because of the flat topography of the forefield, we 108 do not expect confounding influences of changes in altitude, exposition, or disturbances by 109 avalanches and landslides on the primary successional gradient. These unique features make the 110 Morteratsch glacier forefield a particularly well-suited model for a case study on the dynamics 111 of apomixis along the chrono-sequence of primary succession.

We addressed the following questions concerning hypotheses of reproductive assurance of apomixis in *H. pilosella* in the glacier forefield: (1) What cytotypes of *H. pilosella* occur along the Morteratsch glacier forefield and do they differ with respect to their reproductive mode? (2) Does the relative frequency of the four possible offspring types differ between occurring cytotypes and are these frequencies influenced by the succession? In other words, does the

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117 frequency of apomicts and their level of apomixis change along the glacier forefield? (3) How 118 have different cytotypes with different reproductive modes arisen and do they differ in their 119 fertility?

120 **Results** 

#### 121 Apomictic cytotypes are more frequent at early stages of the

122 successional gradient

Of the 153 plants, 142 were hexaploids. For 11 plants, we were unable to assign a ploidy level based on flow cytometry. Six of these had DNA contents between penta- and hexaploids, and five between tri- and tetraploid. Since we are unable to assign a clear ploidy level, we refer to those plants as aneuploid for simplicity. Those two cytotypes (hexa- and aneuploid) were not equally distributed along the successional gradient (2-way interaction,  $F_{1, 23} = 4.8$ , P = 0.039).

128 We found 126 plants to be sexual and 27 to be apomictic (18%), disclosing that the 129 population on the glacier forefield consists of two reproductive types. The abundance of 130 apomictic individuals does not change along the succession (F<sub>1,4</sub> = 2.05, P = 0.226, Fig. 2a; 131 hexaploids only:  $F_{1,4} = 0.057$ , P = 0.823, Fig. 2b). *Hieracium pilosella* grows in patches, often 132 of mixed ploidy, but the majority of patches (35 of 55) we analyzed consisted solely of sexual 133 individuals. When considering the ecological unit of a patch, we found that the frequency of 134 apomicts within the patches decreases towards older successional stages (F<sub>1,53</sub> = 3.94, P = 0.052, 135 Fig. 2c). However, this pattern is driven by 11 individuals in 4 patches. If only hexaploid 136 individuals are considered, we did not find this trend (F<sub>1,52</sub> = 0.069, P = 0.794, Fig. 2d).

# 137 The frequency of offspring types involving at least one element of

#### 138 apomixis is highest close to the glacier snout

From the total 1231 seeds analyzed, 1166 were n + n (sexual), 15 were 2n + n (BIII hybrid,
mixed developmental pathways), and 50 were 2n + 0 (maternal clones). We did not find a single

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141 n + 0 (polyhaploid) offspring (Figure 3), indicating a bias against this specific mixed sexual 142 (meiosis) and apomictic (parthenogenesis) developmental pathway.

The frequency of the three occurring offspring types was mainly determined by the cytotype, i.e. ploidy of the mother plant (for 2n + 0:  $F_{1,1} = 7.1$ , P = 0.015). In other words, aneuploid cytotypes had the highest frequency of apomictic offspring. Notably, apomictic hexaploid plants had a low frequency of apomictic offspring in general (14.4%), and sexual offspring prevailed in hexaploids (Fig. 4). The amount of residual sexuality varied among apomictic hexaploid mother plants (Fig. 4), illustrating the facultative nature of apomixis in *H. pilosella*.

150 Plotting the 27 apomictic plants in relation to the successional stage and the 151 cytotype/ploidy of their mother plant revealed that the frequency of the three offspring types was 152 unequally distributed along the succession and depended on the ploidy of the mother plant 153 (Fig. 5). In particular, sexual (n + n) offspring from hexaploid plants were found throughout the 154 successional gradient with a higher frequency at later stages (Fig. 5a). On the other hand, odd-155 ploid cytotypes had a high frequency of 2n + n and 2n + 0 offspring. Interestingly, one 156 pentaploid plant had the highest frequency of 2n + n offspring (Fig. 5b), indicating the necessity 157 of apomeiosis to produce seeds in odd-ploid plants. Plants with a DNA content between triploid 158 and tetraploid produced only 2n + 0 offspring (maternal clones, Fig. 4). Remarkably, they were 159 only found close to the glacier snout, at the earliest successional stage at which H. pilosella 160 occurs (Fig. 5c). In other words, the pattern of decreasing abundance of apomictic plants in the 161 course of succession is driven by the unequal distribution of cytotypes.

#### 162 Genetic exchange occurs frequently between apomicts and sexuals

163 The overall genetic diversity of *H. pilosella* on the glacier forefield was  $D_{\gamma} = 14.11$ . The 164 diversity of the two subpopulations was  $D_{apomicts} = 11.27$  and  $D_{sexuals} = 13.72$  (Table 1).  $D_{\beta}$  was 165 1.08 (Table 1), indicating that apomictic and sexual plants cross frequently. Furthermore, we did 166 not detect a subpopulation structure in hexaploid plants along the successional gradient 167  $(D_{\beta} = 1.51, Table 2)$ , except for the apomeiosis-associated marker LOA267 ( $D_{\beta} = 2.49, Table 2$ ). Apomictic and sexual plants did not differ in their number of ovules (fecundity; 168 169  $F_{1,151} = 0.09, P = 0.765$ , Fig. 6a; hexaploids only:  $F_{1,137} = 0.08, P = 0.772$ , Fig. 6b), but apomictic plants had a slightly higher fertility than sexuals ( $F_{1,151} = 3.6$ , P = 0.059), which was independent 170 171 of succession (Fig. 6c). However, the difference in fertility is driven by the odd- and aneuploid 172 cytotypes occurring preferentially at earlier successional stages (hexaploids only:  $F_{1,137} = 2.59$ , P = 0.110, Fig. 6d). 173

#### 174 **Discussion**

#### 175 Different cytotypes are unequally distributed along the succession

176 We found aneuploid (no clear assignment of ploidy level using flow cytometry), and 177 hexaploid cytotypes on the Morteratsch glacier forefield, and both cytotypes produced apomictic offspring (2n + n, 2n + 0). However, the majority of plants were hexaploid and produced solely 178 179 sexual offspring (n + n). The identification of both sexual and apomictic offspring in the same 180 hexaploid individuals confirms the facultative nature of apomixis in *H. pilosella*. These results 181 are in concordance with earlier cytogeographic studies, which demonstrated the frequent 182 occurrence of hexaploids in the Swiss Alps and described them as facultatively apomictic1,35,36. 183 Even though hexaploid plants prevailed throughout the succession, aneuploid cytotypes 184 were unequally distributed. Cytotypes with low DNA content only occurred at early stages of 185 succession, likely because competitive growth is dependent on ploidy, with plants being of lower 186 plolidy being weak competitors37. We see hexaploids as being the more versatile cytotype in 187 H. pilosella as they prevail throughout the succession and, therefore, can grow under a wide 188 range of competitive biotic conditions.

189 Although only 18% of the plants were found to be apomicts, they were more frequent at 190 early stages of succession, at which a lower density of potential mating partners is expected. For

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191 insect pollinated plants such as *H. pilosella*, mating partner density is determined by the area that 192 is visited by a single insect. Flower visits are less frequent at early than at late stages of 193 succession<sub>38</sub>, showing that mating partner density is low at early stages. While this pattern is 194 observed if all cytotypes are analyzed together, it disappears if only hexaploid individuals are 195 analyzed. In other words, the higher abundance of apomicts at early stages is driven by the higher 196 abundance of aneuploid cytotypes.

197 Therefore, our finding of a higher frequency of apomicts at early stages of succession does 198 not comply with Tomlinson's model<sub>6</sub>, which states that selfing is prevailing when mating partner 199 densities are low, and its interpretation that apomicts have a conditional advantage when mating 200 partner density is low.

# 201 The frequency of apomictic offspring is mainly influenced by

202 ploidy level

We found a continuous variation of the frequency of apomictic offspring (residual sexuality) in hexaploid individuals, confirming that apomixis can be viewed as a facultative, quantitative trait even in predominantly sexual cytotypes. The high frequency of sexual offspring is in concordance with the sexual developmental pathway being the default in *Hieracium* spp.4, supporting the view of apomixis as an acquired gain-of-function trait.

208 Furthermore, we found that different cytotypes produced different ratios of the four 209 possible offspring types. Plants with the lowest DNA content (< tetraploid) solely produced 210 2n + 0 offspring and plants with a DNA content between penta- and hexaploid 2n + n offspring, 211 respectively. The '2n' indicates the apomeiotic origin of these offspring (Fig. 1), which complies with plants of odd ploidy or aneuploidy being able to produce seeds only if meiosis is 212 213 avoided1,15,23,26,30,39. The avoidance of meiosis provides an escape from sterility, as Darlington 214 stated, a view that is supported by our results because the vast majority of offspring were of 215 apomeiotic origin in these aneuploid plants. They themselves, however, are likely the product of 216 the n + 0 offspring type.

217 In general, we found low levels of apomixis on the glacier forefield (18%), indicating little 218 advantage for apomicts during primary succession. The observed low level of apomixis is in 219 concordance with earlier findings on apomictic species in the nival zone of the Alps40. However, 220 *H. pilosella* plants are capable of reproducing via vegetative stolons. Like apomixis, this enables 221 clonal reproduction, both for apomictic and sexual genotypes, although offspring number and 222 dispersal distance are limited. We speculate that the general advantage of apomicts in 223 H. pilosella is confounded by clonal reproduction via aboveground stolons of both sexuals and 224 apomicts.

225 Another deduction from Tomlinson's model6 is that apomicts at early stages of succession 226 should have a high frequency of apomictic offspring. Indeed, we found more apomictic offspring 227 (2n + 0, 2n + n) near the glacier snout. However, only an euploid cytotypes had a high frequency 228 of apomictic offspring, and different cytotypes are not equally distributed along the primary 229 succession as described above. Our results suggest that the decrease in frequency of apomictic 230 offspring as succession proceeds is primarily driven by the decrease in the frequency of cytotypes 231 that produce high levels of apomictic offspring. Together with the high variability of the 232 frequency of offspring types in hexaploid plants, we conclude that the level of apomixis is mainly 233 determined by the genetic factors ploidy and reproductive type.

#### **Apomixis provides a source of beneficial allele combination in the**

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#### **formation of new cytotypes**

Figure 1 illustrates that combining sexual and apomictic developmental pathways results in a change of ploidy and can explain the generation and occurrence of different cytotypes. However, progeny from such mixed developmental pathways are expected to be rare24. Indeed, we found not a single n + 0 offspring among more than 1200 seeds screened. Moreover, we would expect a strong selection pressure against decreasing ploidy, as two genome copies are the minimum for successful meiosis and (partial) hemizygosity can uncover deleterious alleles. As a consequence, genotypes that mainly produce n + 0 offspring will be selected against, which

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245 Despite the rarity of n + 0 offspring, we found two patches of plants with a DNA content 246 that was close to triploidy. These can only arise from n + 0 offspring, as most of the plants in the 247 Morteratsch population are hexaploid. We consider each of these two patches to be a rare 248 developmental and demographic event, as the n + 0 offspring bearing seed had to germinate and 249 survive until maturity and reproduction. Taken together, we interpret this observation as support 250 for Baker's law, which states that a single individual which is capable of self-reproduction, is 251 sufficient to found a new population?.

The high frequency of sexual offspring (n + n) in hexaploid apomicts throughout the succession hints towards frequent genetic exchange. Based on genetic diversity data, we found that apomicts and sexuals behaved like a single population (D<sub>β</sub> close to 1), suggesting random mating between individuals with different modes of reproduction. This enables the generation of new apomictic and sexual cytotypes and supports the 'apomixis gene's view'22 while contradicting Darlington's 'dead end of evolution' hypothesis9, at least for as long as facultative sexuality exists in this species.

259 Apomixis fixes genotypes and if an apomictic genotype is successful in the sense of growth 260 and reproduction, beneficial allele combinations are frozen. These allele combinations are 261 provided in every generation to the population's genomic pool via gene flow between apomicts 262 and sexuals. In contrast to sexual reproduction, the beneficial allele combinations of successful 263 apomictic genotypes, which can cover large parts of the genome, are not broken down by 264 recombination. We propose that apomixis preserves successful genotypes, which can repeatedly 265 serve as a source of beneficial combinations of unlinked alleles in every generation for as long 266 as the genotype persists in the population.

We estimated genetic diversity along the succession by assuming six subpopulations corresponding to the six time windows sampled. As we found no strong differentiation among 269 the subpopulations from different time windows on the glacier forefield, based on neutral SSR 270 markers ( $D_{\beta}$  close to 1), we conclude that the genetic exchange (gene flow) along the 271 successional gradient is high. In contrast, the presumably non-neutral LOA267 marker, which is 272 associated with apomeiosis, showed low diversity at early stages of succession and the D<sub>β</sub> value 273 suggests 2-3 subpopulations. Taken together, this suggests a cline along the successional 274 gradient, pointing towards less genetic diversity near the apomeiosis locus at early stages of 275 succession, in which apomicts are more frequent. The lower diversity at this non-neutral marker 276 is a signature of selection for apomeiosis at these early stages, further supporting a selective 277 advantage of apomixis at early stages of succession. Because recombination around the locus 278 controlling apomeiosis is suppressed in most apomicts, LOA267 likely reflects the segregation 279 of a larger genomic region. However, given the current genotyping methods for *H. pilosella* and 280 the yet unidentified genes conferring apomixis, interpretations based on the LOA267 marker 281 alone remain speculative.

# 282 **Conclusions**

We found a higher frequency of apomictic *H. pilosella* at early stages of primary succession on the Morteratsch glacier forefield. This higher frequency is due to the higher abundance of aneuploid cytotypes that do have the highest level of apomixis in this metapopulation. Apomixis does provide an escape from sterility and reproductive assurance for such cytotypes, which themselves are likely to be the product of an apomictic developmental pathway. We conclude that the primary conditional advantage for apomicts is not necessarily the low density of potential mates but rather the escape from sterility for odd- and aneuploid cytotypes.

## 290 Materials and Methods

#### 291 Model species and sampling

292 *Hieracium pilosella* L. is a self-incompatible, perennial, monocarpic, stoloniferous,
293 herbaceous species. *H. pilosella* usually grows in patches of individual plants (rosettes). When

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rosettes reach a threshold size, they reproduce vegetatively via aboveground stolons and through
seeds by producing a single flower head on a stem<sub>41</sub>. *H. pilosella* occurs in ploidy levels from
3C to 8C, with 5C cytotypes found at the margins of its geographical occurrence and 6C
cytotypes being found throughout Europe, predominantly in the Alps [84% in Switzerland]42.
Although *H. pilosella* is an obligate outcrosser, self-pollen germinates if non-self-pollen is also
present on the stigma [mentor effect]35.

300 In preparation for sampling, the whole Morteratsch glacier forefield of ca. 1.5 km<sup>2</sup> was 301 searched for occurrence of *H. pilosella* and the positions of 912 patches were marked with GPS 302 (GPSmap 60CS, Garmin, Garching, Germany) to an accuracy of 5 m. The positions were 303 transferred to the topographical Swiss map (Topo Schweiz V1, Garmin, Garching, Germany) 304 using the MapSource software (Garmin, Garching, Germany). The map with the marked 305 positions was printed and the data of the chrono-sequence of deglaciation, dating back to 1857 306 when the Morteratsch glacier had its maximal extent43, was constructed based on a published 307 map<sub>34</sub>. The glacier forefield was then sub-divided into six twenty-year time windows (51, 71, 308 91, 111, 131, and 154 years after deglaciation). Patches of *H. pilosella* lying on the isochronal lines, i.e., lines connecting the glacial front at certain years, as published by Burga and 309 310 colleagues<sub>34</sub> were dismissed. Per time window, ten patches of *H. pilosella* on each side of the 311 river were randomly selected for sampling. From each of these patches, we aimed at collecting 312 six reproducing plants. Sometimes, we could not find six flowering plants per patch and sampled 313 all occurring reproducing plants in the patch instead. Furthermore, some seed samples were lost. 314 For analysis, we only used plants from which we could sample DNA from leaves and seeds. 315 Leaves for DNA analysis and seeds from flower heads could be collected from 234 mother 316 plants, coming from 74 patches. In July 2011, the two youngest leaves of each individual were 317 sampled for ploidy determination and DNA extraction. One leaf was shock-frozen in a vapor-318 shipper (SC 4/2 V, MVE Biomedical, Georgia, USA). The tip of the second leaf was placed in a 319 1.2 mL cluster tube (Thermo Scientific, Wohlen, Switzerland) containing 50 µL of mQ water 320 (conductivity > 18 M $\Omega$ -1) and one 3 mm stainless steel bead (Schieritz & Hauenstein AG, 321 Zwingen, Switzerland), and stored in a cooling bag. Closed capitula from the same plants were 322 bagged using individually marked tea filters for seed collection. In August 2011, the individually 323 marked tea filters containing the seeds were collected and placed in plastic containers containing 324 silica gel to ensure fast drying of the seed material. Seeds were stored at 4°C, 30% humidity until 325 used.

#### 326 **DNA extraction and genetic diversity estimation**

327 DNA was extracted from the sampled leaves of mother plants using the DNeasy Plant Mini
328 kit (Qiagen, Hombrechtikon, Switzerland), following the manufacturer's instructions. Samples
329 were eluted in 2 x 50 μL AE buffer.

330 We used markers for LOA and LOP29,44, as well as SSR markers for H. pilosella45, to 331 estimate overall genetic diversity of the entire meta-population on the glacier forefield. All 332 primer pairs were tested and optimized for our samples. SSR markers were resolved on the high-333 resolution cartridge of the Qiaxcel system (Qiagen, Hombrechtikon, Switzerland). Three SSR 334 markers and one LOA marker were highly polymorphic and could be used for genetic diversity 335 estimation using Shannon's entropy<sub>46</sub>. We calculated  $H_{\gamma}$  as the overall genetic diversity of the 336 Morteratsch population. We considered apomicts and sexuals or the samples from the six 337 different time windows as subpopulations. Using apomicts and sexuals as subpopulations, we 338 could test for gene flow between the individuals of different modes of reproduction. Using the 339 individuals from the six time windows as subpopulations, we could test for changes of genetic 340 diversity along the primary succession.  $H_{\alpha}$  was computed as the mean diversity of the 341 subpopulations. H<sub> $\beta$ </sub> was computed as H<sub> $\gamma$ </sub> minus H<sub> $\alpha$ </sub>. H<sub> $\beta$ </sub> is interpreted as the number of 342 subpopulations present in the population, based on genetic diversity. Thus, if apomicts and sexuals are genetically isolated populations, we expect  $H_{\beta} = 2$ , while if they are genetically a 343 344 single population, we expect  $H_{\beta} = 146$ . If there are genetic subpopulations along the primary 345 succession, we expect  $H_{\beta} \ge 2$  for the six different time windows (maximum  $H_{\beta} = 6$ ). We present

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346 diversity (D) instead of entropy (H), which is the exponent of the entropy ( $D = e_H$ ), and 347 corresponds to the number of markers found.

#### 348 Ploidy analysis of mother plants and flow cytometric seed screen

349 The ploidy level of the sampled mother plants was determined by ploidy analysis using 350 flow cytometry within 48 h after collection of the leaf samples, following the two-step method 351 described by Dolezel and colleagues47 with minor modifications. A small piece of a Bellis 352 perennis (1.72 pg DNA per nucleus) leaf was added as internal standard to the collected leaf 353 material, which was in 50 µL water. 50 µL of 0.2 M citric acid (Fluka, Buchs, Switzerland), 354 0.01% Triton X-100 (Sigma-Aldrich, Steinheim, Germany) was added to a total volume of 355 100 µL, and the leaf material was disrupted by shaking it 2 times for 30 sec at 30 Hz using a 356 mixer-mill (MM300, Retsch, Haan, Germany). After bead-beating 100 µL of 0.1 M citric acid 357 (Fluka, Buchs, Switzerland), 1% Triton X-100 (Sigma-Aldrich, Steinheim, Germany) were 358 added and mixed by inverting the plates to achieve a concentration of 0.1 M citric acid and ca. 359 0.5% Triton-X-100 in a total volume of 200 µL. The solution was filtered through fritted deep 360 well plates (Nunc, Thermo Scientific, Wohlen, Switzerland) into 96-well V-bottom plates 361 (Sarstedt, Numbrecht, Germany). Nuclei were collected by centrifugation at 150g for 5 min at 362 20°C (Centrifuge 5810R, Eppendorf, Schönebuch, Switzerland). The supernatant was removed 363 and nuclei were resuspended in 40 µL 0.1 M citric acid, 0.5% Triton X-100. 160 µL of staining 364 solution [0.4 M Na<sub>2</sub>HPO<sub>4</sub> (Merck, Darmstadt, Germany), 5.5 µg/mL 4',6-diamidino-2-365 phenylindole (DAPI; Invitrogen, Eugene, Oregon), and 0.2 µL/mL 2-mercaptoethanol (Sigma-366 Aldrich, Steinheim, Germany)] were added 2 min prior to analysis by flow cytometer robotics 367 (Quanta SC MPL, Beckman-Coulter, Nyon, Switzerland). The run was stopped at a count of 368 6000 in the defined sample region or latest after 3:40 min runtime. As the haploid (1C) DNA 369 content of *B. perennis* and *H. pilosella* is the same48, the ploidy of samples could be calculated 370 by dividing the median of the H. pilosella peak by the median of the B. perennis peak and 371 multiplied by 2, to account for diploidy of the B. perennis internal standard. We considered individuals with a  $C_x \ge 5.8$  as hexaploid. The protocol and analysis were set up and optimized with tetraploid *H. pilosella* plants which's ploidy was confirmed by chromosome counts (courtesy of Jan Suda, Department of Botany, Charles University and Institue of Botany, Academy of Sciences, Czech Republic).

376 The flow cytometric seed screen49 followed essentially the same procedure50. Single seeds 377 were put into 1.2 mL cluster tubes (Thermo Scientific, Wohlen, Switzerland) containing one 378 3 mm stainless steel bead (Schieritz & Hauenstein AG, Zwingen, Switzerland). 80 µL of 0.1 M 379 citric acid (Fluka, Buchs, Switzerland), 0.1% Triton X-100 (Sigma-Aldrich, Steinheim, 380 Germany) were added. Seeds were disrupted by shaking them 2 times for 3 min at 30 Hz in a 381 mixer mill. The internal *B. perennis* standard was produced separately from the seeds and used 382 to resuspend the nuclei of the samples. We screened up to 12 seeds per mother plant. This enabled 383 us to detect as low as 8% apomixis per plant. Plants scored as sexual have therefore operationally less than 8% apomixis. In total, we screened 1830 seeds coming from 197 individuals. 384

#### 385 Developmental origin of the seeds

The ratios of the ploidies of (1) endosperm to embryo and (2) embryo to mother plant were 386 387 used for a linear discriminant analysis (LDA) to assign the developmental origin of the seeds to 388 the four offspring types (n + n, 2n + n, n + 0, 2n + 0, Fig. 1). As training set we used manually 389 annotated data from a different experiment (Sailer et al., unpublished data). Datasets were 390 considered to be of sufficient quality if the half peak coefficient of variance HPCV < 5% for the 391 ploidy of the mother, and HPCV < 7% for the ploidy of the embryo. Only datasets of sufficient 392 quality were included in the analysis. We used a higher HPCV value as cutoff in the seed screen 393 because the histograms from seeds from the field are noisier than the histograms from leaves. 394 Furthermore, we excluded all individuals from which we had results of sufficient quality from 395 only one seed. The final dataset contained data from 1231 seeds derived from 153 individual 396 mother plants. As not a single n + 0 type offspring was identified, some 2n + 0 offspring were

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397 mis-assigned. Therefore, the n + 0 were removed from the training set and the LDA repeated 398 (Supplementary Fig. 1). The LDA had a wrong assignment rate of 3.4% (Supplementary Fig. 1).

#### 399 Statistical analyses

400 First, we tested the effects of succession, position in the patch (extrinsic factors), and 401 ploidy of the mother plant (intrinsic factor) on the frequency of apomicts, the frequency of the 402 four offspring types, fecundity (number of ovules), and fertility (number of mature seeds/number 403 of ovules) of the mother plant. Second, in a separate analysis, we tested the effect of succession 404 on the ploidy of the mother plant. For all response variables, except ploidy of the mother plant, 405 we used the F-test in ANOVA on generalized linear models (glm), which were first fitted in the 406 order of intrinsic factors, followed by environmental (extrinsic) factors. For testing the effects 407 of succession and patch-position on the ploidy of the mother plant, we used a linear model. By 408 backward elimination of non-significant terms, with keeping variables if they were part of 409 significant interactions, we arrived at the final model. We used the family function 410 "quasibinomial" for over- and underdispersed data with the canonical link function "logit". 411 Furthermore, the model was weighted by the total number of analyzed individual plants or seeds. 412 In case of interactions, we conservatively tested the corresponding term against the interaction 413 term, instead of against the residual term. All statistical analyses were carried out in R51. Graphs 414 were produced using the ggplot2 package52 and the grid package51.

415

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424 and U.G.

425

# 426 Author Contributions

U.G. and J.S. conceived and supervised the project, C.S., J.S., and U.G. designed
experiments and methodology, C.S. collected and analyzed the data, U.G., J.S., and C.S. wrote
the manuscript.

430

# 431 **Competing Interests**

432 The authors declare no competing interests.

433

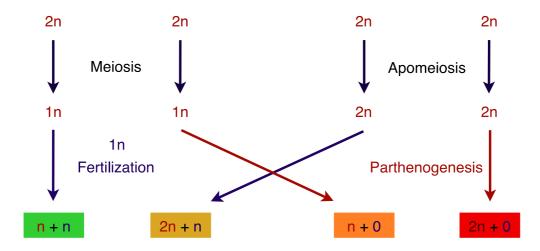
# 434 Data availability

435 Data generated or analysed during this study are included in the Supplementary436 Information files.

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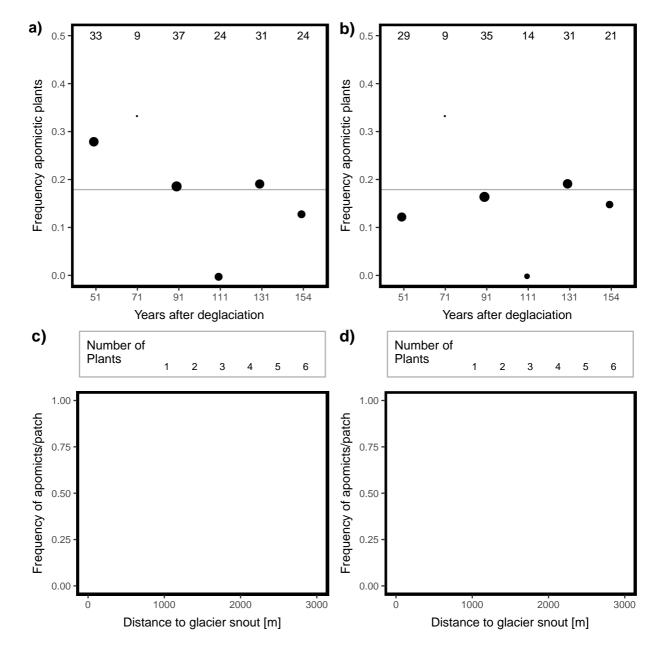
#### 438

# 439 Figures



440

Fig 1. The four developmental pathways in apomictic *Hieracium* spp. The sexual 441 442 developmental pathway with meiosis and fertilization generates n + n offspring (left, green). The apomictic pathway consisting of apomeiosis and parthenogenesis creates maternal clonal 2n + 0443 444 offspring (right, red). The two loci conferring apomeiosis and parthenogenesis can segregate, 445 resulting in mixed pathways (middle). The sexual process of meiosis combined with the 446 apomictic process of parthenogenesis generates polyhaploid n + 0 offspring (orange). This 447 offspring type is a new cytotype with half the maternal genomic content. The apomictic process 448 of apomeiosis combined with the sexual process of fertilization produces BIII hybrid 2n + n449 offspring (golden). This offspring type is a new cytotype with increased genomic content, 450 compared to the parents. Red and dark blue depict maternal and paternal contributions to the 451 offspring, respectively.

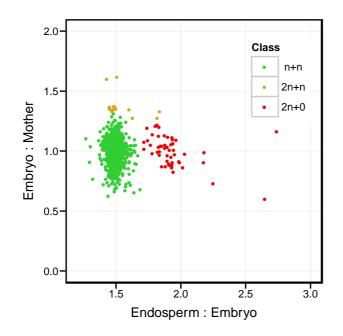


454

Fig 2. Frequency of apomicts. a-b) Frequency of a) all and b) hexaploid apomictic individuals in the six time windows sampled along the primary successional gradient. The frequency of apomictic plants does not differ along the successional gradient (a):  $F_{1,5} = 2.05$ , P = 0.226; b):  $F_{1,5} = 0.063$ , P = 0.814). The size of the dots corresponds to the number of individuals sampled. The grey horizontal line indicates the average frequency of apomicts on the forefield of the Morteratsch glacier. c-d) Frequency of c) all and d) hexaploid apomicts per patch along the primary successional gradient. There is a slight trend towards a lower frequency of

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- 462 apomicts at later stages of the succession (c):  $F_{1,53} = 3.94$ , P = 0.053), which disappears if solely
- 463 hexaploid individuals are considered (d):  $F_{1,52} = 0.069$ , P = 0.794). 35 patches consisted entirely
- 464 of sexual plants, 16 patches had apomictic and sexual individuals, and 4 patches consisted
- 465 exclusively of apomictic plants. The size of the dots corresponds to the number of plants sampled
- 466 per patch.



469

Fig 3. Developmental origin of seeds. Most of the 1231 seeds analyzed, coming from 153 individuals, result from the sexual pathway (n + n, green). Maternal clonal offspring, generated by the apomictic pathway (2n + 0, red), are common. Seeds produced via the mixed pathway of apomeiosis and fertilization (2n + n, golden) were rare. The fourth pathway, meiosis and parthenogenesis (n + 0), does not contribute to the seed pool we sampled. Developmental origin is determined by the ploidy ratio of embryo to mother and the ploidy ratio of endosperm to embryo. The wrong assignment rate of the linear discriminant analysis is 3.4%.

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#### 478

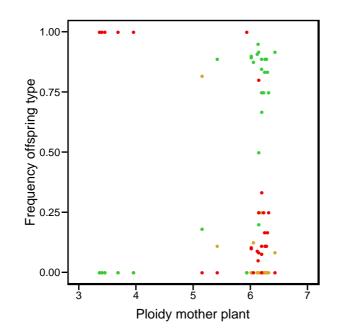
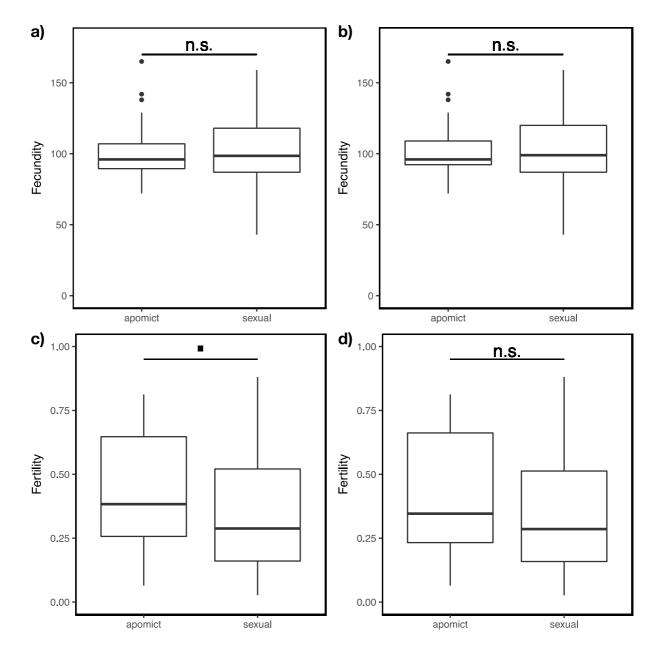
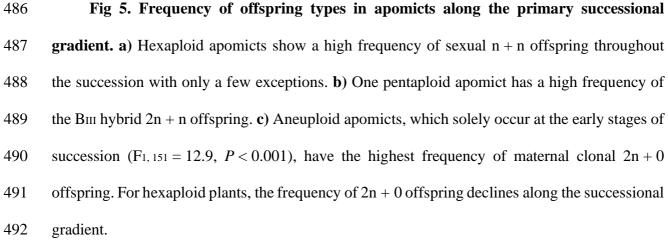


Fig 4. Frequency of offspring types in relation to ploidy of the apomictic mother plant. Hexaploid apomicts have a high frequency of sexual n + n offspring (green) and a low frequency of maternal clonal 2n + 0 offspring (red). Plants with an aneuploid DNA content are fully apomictic. The least frequent offspring type, 2n + n (golden), has a high frequency particularly in pentaploid plants.



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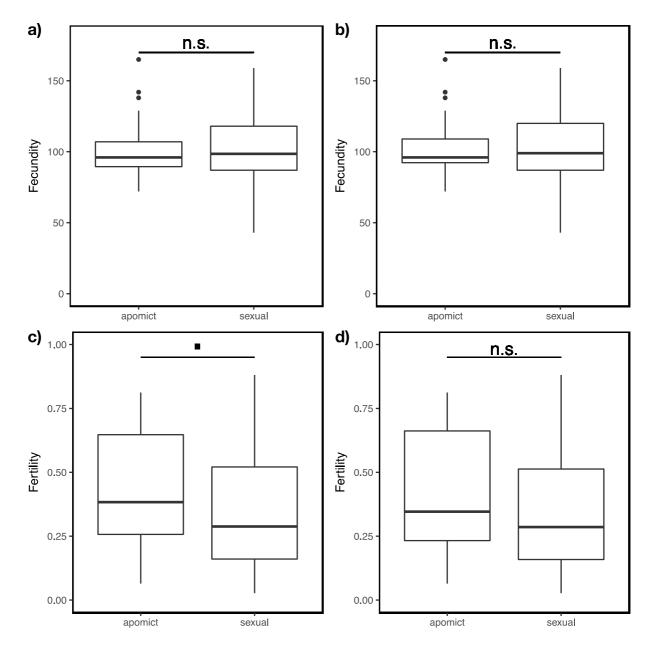






Fig 6. Fertility and fecundity of apomictic and sexual plants. a-b) Fecundity (number 496 of ovules) of apomictic and sexual plants does not differ (a):  $F_{1,151} = 0.09$ , P = 0.765; hexaploids only b):  $F_{1,137} = 0.08$ , P = 0.772, b)). c-d) Fertility of apomictic and sexual plants. c) Apomicts 497 498 have a marginally higher fertility (number of mature seeds/number of ovules) than sexuals, 499 irrespective of the successional stage (F<sub>1,151</sub> = 3.6, P = 0.059). d) Fertility of apomictic and 500 sexual hexaploid plants does not differ (F<sub>1, 137</sub> = 2.59, P = 0.110).

# 502 **Tables**

503

#### 504 **Table 1. Genetic diversity of apomictic and sexual** *H. pilosella* **on the forefield of the**

Marker	$\mathbf{D}_{\beta}$	$\mathbf{D}_{\gamma}$	Dα	Dapomict	Dsexual	
LOA267	1.24	10.63	8.55	7.25	10.07	
SSR3	1.02	14.08	13.85	13.86	13.84	
SSR42	0.99	11.47	11.55	11.98	11.13	
SSR87	1.07	20.27	19.02	11.98	19.83	
Average	1.08	14.11	13.24	11.27	13.72	

505 Morterasch glacier, based on several molecular markers.

506  $D_{\beta}$  corresponds to the number of subpopulations, which can be distinguished genetically.  $D_{\gamma}$  is

507 the genetic diversity of all *H. pilosella* plants analyzed.  $D_{\alpha}$  is the mean diversity of the two

508 subpopulations of apomictic and sexual cytotypes. Dapomict and Dsexual are the genetic diversities

509 of apomictic and sexual plants, respectively.

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511

#### 512 **Table 2. Genetic diversity of hexaploid** *H. pilosella* **on the forefield of the Morteratsch**

#### 513 glacier.

Marker	Dβ	Dγ	Dα	<b>D</b> 51	<b>D</b> 71	<b>D</b> 91	<b>D</b> 111	<b>D</b> 131	<b>D</b> 154
LOA267	2.49	10.51	4.22	2.83	2.00	6.14	3.78	8.16	5.24
SSR3	1.12	14.16	12.66	13.02	12.48	15.43	12.16	11.24	12.01
SSR42	1.17	11.32	9.68	9.55	7.22	12.16	10.05	11.37	8.60
SSR87	1.26	20.40	16.14	13.19	13.57	17.94	17.54	18.09	17.38
Average	1.51	14.09	10.68	9.65	8.82	12.92	10.88	12.21	10.81

514  $D_{\beta}$  corresponds to the number of subpopulations, which can be distinguished genetically.  $D_{\gamma}$  is

515 the genetic diversity of all *H. pilosella* plants analyzed.  $D_{\alpha}$  is the mean diversity of the six

516 assumed subpopulations. The diversity of subpopulations corresponding to different time

517 windows since deglaciation is also given (D51, D71, D91, D111, D131, and D154). The index

518 refers to the age of the sampled time window (see methods).

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