**Phylogenomic data reveal how a climatic inversion and glacial refugia shape**

**patterns of diversity in an African rain forest tree species**

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**ABSTRACT**

The world's second largest expanse of tropical rain forest is in Central Africa and

contains incredible species diversity. Population genetic studies have consistently

revealed significant structure across central African rain forest plants, in particular a

North-South genetic discontinuity close to the equator at the level of a climatic

inversion. Here, we take a phylogeographic approach using 351 nuclear markers in

112 individuals across the distribution of the African rain forest tree species *Annickia*

*affinis* (Annonaceae). We show for the first time that the North-South divide is the

result of a single major colonisation event across the climatic inversion from an

ancestral population located in Gabon. We suggest that environmental differences

across the inversion and associated trait divergence may have contributed to this

phylogenetic discontinuity. We find evidence for inland dispersal, predominantly in

northern areas, and variable demographic histories among genetic clusters, indicating

that populations responded differently to past climate change. We show how newly-

developed genomic tools can provide invaluable insights into our understanding of

tropical rain forest evolutionary dynamics.

Keywords: Phylogenomics, phylogeography, rain forest, sequence capture, Africa,

dispersal

**Abbreviations**

TRF = Tropical rain forest

CAR = central African rain forest

LGM = last glacial maximum

SFS = site frequency spectrum

## 1 INTRODUCTION

Tropical rain forests (TRFs) possess an incredibly diverse flora and fauna making up
half of the world's biodiversity. Understanding how this diversity is generated is
critical if we are to protect it (Mace et al. 2003). Central Africa hosts the world's
second largest continuous extent of TRF (Linder 2001). Climatic fluctuations during
the Pleistocene and associated glacial forest refugia are suggested to have influenced
how genetic diversity is distributed in Central African rain forests (CAR) (Hardy et al.
2013). However, the nature (Anhuf et al. 2006; Diamond and Hamilton 1980; Maley
1996; Bonnefille 2007) and importance of forest refugia continue to be intensely
debated (Cowling et al. 2008; Lezine et al. 2019). Population genetic studies within
CAR plant species document differing levels of response to past climatic fluctuations
(reviewed in Hardy et al. 2013). Conversely, one major phylogeographic pattern
common to many CAR plant species studied is the existence of a phylogeographic
barrier along a North-South axis around 0-3 degrees north (see Fig. 1A; Hardy et al.
2013; Faye et al. 2016; Heuertz et al. 2014). There appears to be no visible geographic
barrier to explain this break as continuous rain forest exists across the entire area. This
North-South phylogeographic barrier corresponds, however, to the central African
climatic hinge, an inversion zone between the northern and southern rainy seasons
(Hardy et al; 2013). Interestingly, this barrier is rarely recovered in phylogeographic

studies of animals and thus seems to affect a greater effect on plants groups (e.g.

Fuchs and Bowie 2015; Bohoussou et al. 2015; Bell et al. 2017; Blatrix et al. 2017).

Three main hypotheses have been suggested to explain how this North-South genetic

discontinuity originated (Hardy et al. 2013). First, TRF might have disappeared

(repeatedly) along the climatic hinge during past climatic fluctuations, isolating

allopatric north/south populations, which subsequently recolonised the area. Second,

because seasons are inverted across the hinge, flowering times might be displaced

between northern and southern populations preventing interbreeding and gene flow.

Third, successful colonisation across the climatic hinge may be limited by factors

such as environmental differences (e.g. changing levels of water stress). At present,

little is known about the relative importance of these three scenarios in generating the

observed genetic discontinuity.

The phylogeographic approach (Avise et al. 1987) can unravel the history of

populations, and ultimately uncover which processes have shaped current patterns of

diversity. It is therefore an ideal framework to study how the climatic inversion, and

other factors, have shaped patterns of intraspecific diversity in CAR plants. High-

throughput sequencing allows the generation of phylogeographic datasets consisting

of large numbers of independently segregating nuclear loci that can account for

coalescent stochasticity (Edwards et al. 2016) where studies with small numbers of

markers fall short. Phylogenomic data can also be used to reconstruct the spatial

evolutionary history of species by inferring phylogenetic trees among populations and

dispersal dynamics. These approaches can help us to understand relationships among

populations on either side of the climatic inversion and how often lineages traversed

this barrier.

If glacial refugia have played an important role in CAR plant dynamics we would

expect to find evidence of dispersal inland because most putative CAR refugia are

located in the Atlantic Guineo-Congolian region (Fig. 1A; Maley 1996; Anhuf et al.

2006). For example, within the palm species *Podococcus barteri*, modelling past

ranges and genetic data supported the hypothesis of one large coastal refugia in

western Gabon and southwestern Cameroon (Faye et al. 2016). Furthermore, we

would expect population size to increase towards the present as populations spread

out from climatically stable areas.

To develop upon our current understanding of the phylogeographic patterns

introduced above, we present, for the first time using nuclear phylogenomic

approaches, the evolutionary dynamics of a central African tree species, *Annickia*

*affinis.* This species belongs to the pantropical plant family Annonaceae (Chatrou et

al. 2012) growing up to 30 metres tall and typically inhabits primary, secondary and

degraded rain forests (Versteegh and Sosef 2007). The species is widespread and

common across Lower Guinea, from southern Nigeria to the western tip of

Democratic Republic of Congo and is therefore ideal for studying CAR

phylogeography and the nature of the climatic inversion as a phylogeographic barrier.

Here, we used a newly developed baiting kit (Couvreur et al. 2019) to sequence

hundreds of nuclear markers in 112 individuals covering most of the distribution of *A.*

*affinis*. First, we identified the major genetic clusters within *A. affinis* and their

distribution to test if *A. affinis* shows a North-South genetic structuring along the

climatic inversion. Second, we built a phylogenetic hypothesis of relationships among

genetic clusters and conducted spatiotemporal diffusion analyses to test if dispersal

has been frequent across the climatic inversion, or if it has been restricted over time.

We also test for an inland (west to east) dispersal pattern, congruent with expansion

out of climatically stable areas. Finally, we reconstruct effective population size

through time to infer the past demography of each identified genetic cluster to test for

recent population expansion, and if demographic histories are congruent among

clusters.

**2 MATERIAL AND METHODS**

**2.1 Sample collection**

A total of 112 individuals of *Annickia affinis* were sampled across most of the species

distribution range in Central Africa (Table S1). In addition, two individuals were

sampled from the sister species *Annickia polycarpa* as outgroups (Couvreur et al.

2019).

**2.2 DNA extraction, gene capture and sequencing**

DNA was extracted from silica gel dried leaves using the MATAB (Sigma-Aldrich,

Saint Louis, Missouri, USA) and chloroform separation methods following Couveur

et al. (2019). Nuclear markers were captured using the Annonaceae bait kit (Couvreur

et al. 2019) made of 11,583 baits 120 bp long targeting 469 exonic regions. Barcoded

Illumina libraries were constructed based on a modified protocol of Rohland and

Reich (2012). See supplementary methods for details.

**2.3 Read filtering, contig assembly and multi-sequence alignment**

Reads were cleaned and filtered following the protocol in Couvreur et al. (2019) and Hybpiper (Johnson et al. 2016) was used to prepare sequence data for phylogenetic inference. Alignments were conducted using MAFFT (Katoh and Standley 2013) and cleaned with GBLOCKS (Castresana 2000). Putative paralogs for *A. affinis* that were flagged by Hybpiper were verified and removed during this processes. Further information can be found in the supplementary methods.

**2.4 Phylogenetic inference**

We filtered our dataset by choosing only those exons that had 75% of their length reconstructed in 75% of *A. affinis* individuals. We then used the corresponding supercontigs (i.e. targeted regions and surrounding off-target sequences) for phylogenetic inference. We added empty sequences when individuals were missing from locus alignments and we concatenated loci with the pxcat function from phyx (Brown et al. 2017). We assigned a different GTR+GAMMA model to each locus to account for differences in substitution rates. We then ran RAxML (v8.2.9) (Stamatakis 2014) using the '-f a' option with 100 replicates. The tree was rooted using *A. polycarpa* as outgroup. For comparison we also conducted a coalescent-based phylogenetic analysis using ASTRAL-III (Zhang et al. 2017), which uses individual gene trees to infer a species tree. Finally, we constructed a phylogenetic network using splitstree (v4.14.6; Huson and Bryant 2006) and the full SNP dataset (see below) using the neighbour-net algorithm.

**2.5 Phylogeographic Diffusion in Continuous Space**

We reconstructed the spatiotemporal dynamics of *A. affinis* using BEAST v1.8.4

(Drummond and Rambaut 2007) and spreaD3 v0.9.6 (Bielejec et al. 2011). As this

analysis is computationally intensive we used a subset of our dataset by selecting only

the five most informative loci based on number of phylogenetic informative sites. We

added a partition consisting of longitude and latitude coordinates as continuous trait

data. We used a HKY+G substitution model and a strict clock model for each genetic

locus and an exponential growth coalescent tree prior. We ran the analysis for 100

million generations and assessed effective sample sizes (ESS) using Tracer v1.7

(Rambaut et al. 2018). We then used spreaD3 to visualize the output at several time

points during the history of *A. affinis*. We repeated this analysis with the next five

most informative loci to ensure similar patterns were recovered across datasets.

**2.6 SNP calling**

We used SeCaPr (v1.1.4; Andermann et al. 2018) to call SNPs as it generates a

psuedoreference made up of the consensus sequences for each target locus (paralogs

removed) that is tailored to the given dataset, which is more efficient than the bait kit

reference made from distantly related Annonaceae species. We then mapped our

cleaned, paired reads to this psuedoreference using BWA (v0.7.12; Li and Durbin

2009). Duplicates were removed and SNPs were called using HaplotypeCaller in

GATK (v4.0; McKenna et al. 2010). We used bcftools (v1.8; Li 2011) to apply

thresholds of mapping quality (>40%) depth (>25), quality by depth (>2) to filter

SNPs. We also removed those SNPs with a minor allele frequency < 0.01, kept only

biallelic SNPs and excluded monomorphic sites .

**2.7 Population genetic clustering and statistics**

We examined the genetic structure of *A. affinis* using three approaches. First, we

undertook a Discriminant Analysis of Principal Components (DAPC) (Jombart et al.

2010). We used the function *find.clusters* in the R package 'adegenet' (Jombart 2008)

to identify clusters using successive K-means with 100,000 iterations per value of k

and a maximum k value of 20. We identified the most appropriate number of clusters

by examining the change in Bayesian Information Criterion (BIC) with increasing

values of k (number of clusters). We then used the function *dapc* in order to define the

diversity between the groups identified using *find.clusters*. We performed cross-

validation of our DAPC analysis to ensure our chosen number of PCs was reliable.

We used cluster membership inferred using DAPC to define populations for

calculating summary statistics (see supplementary methods) and downstream

analyses.

Second, we used TESS3 - an approach that takes into account geographic location

information when inferring population clusters (Caye et al. 2016). TESS3 was

implemented using the R package 'tess3r' (Caye et al. 2016). We used the projected

least squares algorithm and a maximum k of 20. We examined the cross-validation

score for each value of K to identify the appropriate number of clusters.

Third, we used fastSTRUCTURE (Raj et al., 2014) on a reduced set of unlinked SNPs

(one per locus). We ran fastSTRUCTURE using the default settings and the simple

prior. The script 'chooseK.py' was used to identify the number of clusters that

maximized the marginal likelihood and explained the structure in the data.

**2.8 Demographic history**

We used stairway plot (v2; Liu and Fu 2015), a model-flexible approach that uses site

frequency spectra (SFS) to infer changes in effective population size ($N_e$) through

time. We generated filtered VCF files representing each cluster as detailed above but

did not apply a minor allele frequency filter. We then calculated folded SFS for each

cluster. Stairway plot uses SNP counts to estimate the timing of events and changes in

$N_e$ so the removal of SNPs with missing data may skew counts. To overcome this we

modified each SFS by first calculating the minor allele frequency at each SNP and

then multiplying this by the mean number of sequences (haploid samples) at each site.

This results in a new SFS that makes use of all observed site frequencies and

minimizes the number of SNPs removed. The total of samples is slightly reduced

based on the amount of missing data. The number of random breakpoints were

calculated as recommended in the manual. We used 67% of sites for training and

performed 200 bootstrap replicates. The number of observed sites was calculated as

the total length of the pseudoreference. We used an angiosperm wide mutation rate of

$5.35 \times 10^{-9}$ sites/year (De la Torre et al. 2017) and a generation time of 15 years

based on the generation time of the Annonaceae species *Annona crassiflora*

(Collevatti et al. 2014). In addition, sequencing error can skew the SFS by inflating

the number of singletons. We ran analyses using the entire SFS, and then reran with

singletons removed to ensure similar histories were reached.

**2.9 Modelling of current and past ranges**

Current and Last Glacial Maximum (21k years ago; LGM) potential distributions

were modelled using MaxEnt (v3.3.3; Phillips et al. 2006) as implemented in

'biomod2' (v3.3-7.1;Thuiller et al.2009). Current and LGM (MIROC global

circulation model) climatic data were downloaded from WordClim ver. 1.4 (Hijmans

et al. 2005) at a resolution of 10*10 arc-minute. The LGM period represents the latest

unfavourable climate for tropical species and is therefore a good period to model the

impact of past climate change on potential range. A total of 346 presence data points

(Table S1) covering the known distribution of *A. affinis* were spatially filtered to one

point per cell to avoid overfitting due to sampling bias. Model overfitting was

constrained by using the β regularization parameter in Maxent, which limits model

complexity (Radosavljevic and Anderson 2014), and was set to 2.00 and 4.00, rather

than the default MaxEnt value of 1.00. Modelling with all 19 bioclim variables

produced unrealistic results and failed to properly model the current species range

independent of the regularization parameter (results not shown). Using just eight

bioclim variables (four precipitation and four temperature, see supplementary

methods) greatly improved the accuracy of the models to the known distribution.

Model performance was evaluated using a cross-validation procedure (Ponder et al.

2001, Muscarella et al. 2014, see supplementary methods). Model fit was assessed

using area under curve (AUC; Elith et al. 2006) and the true skill statistics (TSS,

Allouche et al. 2006). The best fitting model was then projected into the LGM.

**3 RESULTS**

**3.1 Sequencing**

A total of 124.7 million reads were generated for 112 *A. affinis* individuals at an

average coverage depth of 77.5x across all targeted loci. Using HybPiper we

identified 366 loci where 75% of the exon length was recovered in at least 75% of

individuals. A total of 15 loci showed signs of paralogy and were removed, leading to

a final dataset of 351 supercontigs totalling 756 kb of sequence data. After cleaning

and filtering our SNP calling approach yielded 6,787 high-quality SNPs from 280

different loci.

**3.2 How are populations structured across the range of *A. affinis*?**

After cross-validation, we chose to keep 40 PCA axes as this was shown to be

appropriate for accurately inferring clusters (Fig. S1A). Changes in BIC greatly

decreased after k = 4 (Fig. S1B) suggesting that four clusters best fit our data (Fig.

1A, Fig. S2). Two major clusters contained 35 and 63 individuals that were located

primarily in Western Gabon (cluster WG) and Cameroon (cluster CA) respectively.

Two smaller clusters of seven individuals each were located in eastern Gabon (cluster

EG) and Gabon / Republic of Congo (cluster GC). There is a clear discontinuity in

genetic structure across the equator, separating cluster CA from the rest, except for a

pair of individuals belonging to cluster EG.

The TESS3 analysis also found that four clusters best defined our data (Fig. 1B, S3)

with geographic discontinuities generally congruent between analyses (Fig. 1; Fig.

S4). Individual admixture proportions revealed limited mixed ancestry within samples

(Fig. 1B), except at a single location. The two northern most individuals belonging to

cluster EG, found in at Meyo Centre in Cameroon (labelled in Fig. 1A), had a

considerable proportion of their ancestry from cluster CA (Fig. 1B). The inverse was

true of the two individuals from cluster CA that were from the same location. The

fastSTRUCTURE analysis supported aforementioned analyses, even with a reduced

SNP dataset. We found that k was between 3 and 5 and results closely mirrored

DAPC clusters (Fig. S5). However, there was little evidence for admixture when

using this approach. Our inferred phylogenetic network (Fig. 1C) also revealed four

major clusters, and that clusters WG & CA and EG & GC where grouped together.

**3.3 How did populations of *A. affinis* disperse across central Africa?**

The RAxML phylogenetic tree (Fig. 1D) was highly supported at deeper nodes,

giving a reliable evolutionary history between major clades. The tree topology

reflected our clustering inferences, lending further support to our four identified

clusters and robust evidence for phylogeographic structuring. The topology of our

ASTRAL tree was very similar to the RAxML tree (Fig. S6).

We assessed the geographic locations in these clusters at a finer scale by mapping

each tip of the RAxML phylogenetic tree to its collection site (Fig. S7). We

subdivided clusters WG and CA into major clades (I-IV) as points of reference (see

Fig. 1). In cluster WG (Fig. S7C) the earliest diverging individuals are found at Mt.

Cristal and in coastal rain forests in northwest Gabon. The remaining individuals in

cluster WG formed a monophyletic group (clade I) and are found to the South and

East, as far as the southern tip of the Republic of Congo. We then examined the

geographic locations in cluster CA and identified three clades with distinct geographic

distributions (Fig. S7D) going up the Cameroon's Atlantic coast. The middle and

largest cluster extended inland. Given this structure we repeated DAPC clustering

analyses using only cluster CA individuals and revealed fine-scale genetic structure

that supported these three clades (Fig. S8).

Our diffusion analysis was based on 47.3kb of sequence data across five partitions

and converged with the ESS > 200 for all parameters after 100 million generations.

The root was inferred to be around central Gabon (Fig. 2A). We estimated a single

lineage crossed the climatic inversion, from South to North, establishing cluster CA

(Fig. 2). Late in the evolutionary history of *A. affinis* another dispersal event crossed

the barrier at Meyo Centre (see Fig. 1A). Our repetition of the diffusion analysis with

different loci matched these patterns (Fig. S9) indicating our results are reliable and

unlikely to have been biased by particular gene histories.

**3.4 Do different populations share similar demographic histories?**

We estimated the demographic history of the four DAPC clusters (Fig. 3; Fig S10-13

for full plots). Over the last 100 thousand years (Ka) three clusters (GC, WG and CA)

experienced similar demographic histories with population decline around 60-80 Ka

followed by an increase in $N_e$ towards the present. We found this increase began at

different times across these three clusters though all show rapid increases in

population size close to the end of the LGM, around 20 Ka. Cluster CA showed

evidence of a rapid growth very close to the present, in the last 4 Ka. Cluster EG had a

much different history, exhibiting a relatively constant population size throughout its

history with a gradual decline in the last 10 Ka. Results were very similar when

singletons were removed indicating that sequencing errors were not affecting our

analyses (results not shown).

**3.5 Which areas have remained climatically stable over time?**

A total of 113 data points were retained after filtration. The best predictors were

Precipitation of Wettest Month (Bio13) and Precipitation Seasonality (Bio15) (Table

S2). A regularization multiplier of 2 generated a better model fit than with 4, showing

a good visual match with the known distribution of the species at present (Fig. 4A).

The mean value of the AUC for the training and test data were respectively 0.77 and

0.76. The mean value of TSS was 0.454, indicating that the model is better than a

random model. During the LGM, the highest presence probabilities were all located

along the Atlantic coast in Cameroon, Equatorial Guinea and Gabon (Fig. 4B).

**4 DISCUSSION**

**4.1 Limited dispersal across the climatic hinge**

Intraspecific diversity, based on phylogenomic nuclear sequence data, within the

widespread tree species *Annickia affinis* is highly structured with a clear North-South

divide between identified genetic clusters (Fig. 1). This is the first time this has been

observed in plants using genomic data and adds to the growing evidence of an

important phylogeographic barrier around a climatic hinge between 0 and 3°N in

numerous CAR distributed plants (Hardy et al. 2013; Heuertz et al. 2014; Faye et al.

2016; Ley et al. 2017; Pineiro et al. 2017). This North-South discontinuity is,

however, generally not recovered in CAR distributed animals except in rare cases

(e.g. Portik et al. 2017). This suggests that the processes taking place in relation to

this barrier affect the flora of CARs more than the fauna. Indeed, Blatrix et al. (2017)

showed that this barrier was more abrupt in the studied tree species (*Barteria*

*fistulosa*) than within the associated symbiotic ants. However, the reasons for this

genetic break in a seemingly continuous rain forest region remain little understood

(Hardy et al. 2013).

Here, we show that, throughout the evolutionary history of *A. affinis*, a single major

northward cross-hinge colonisation event occurred leading to the successful

establishment of the Cameroon population (Fig. 2C). This result lends support to the

third hypothesis of Hardy et al. (2013), that possible environmental differences have

prevented multiple establishments of populations crossing the hinge. Indeed, the small

red to black fleshy fruits of *Annickia affinis* (Versteegh & Sosef, 2007) are frugivore-

dispersed (Poulsen et al. 2001; Holbrook and Smith 2000) and can potentially travel

long distances (> 500 m) for example by hornbills. Thus, the genetic structure of *A.*

*affinis* in general, and the North-South divide in particular, is not linked to seed

dispersal limitation *per se*.

We detected that one genetic cluster (cluster EG) extends across the climatic hinge

into south Cameroon (Meyo Centre site, Fig. 1A) leading to a more recent,

northwards migration event into the climatic hinge area (Fig. 2D). This indicates that

the barrier is not entirely impassable, agreeing with other studies (Hardy et al. 2013;

Duminil et al. 2015; Pineiro et al. 2017). The Meyo Centre site lies within the

inversion zone and several individuals with mixed ancestry are found here (Fig. 1A,

B). A similar result was found in *B. fistulosa*, with 20% of individuals sampled near

1°N being hybrids (Blatrix et al. 2017). In addition, the general lack of evidence for

admixture found between genetic clusters on either side of the inversion (Fig. 1B; Fig.

S5) suggest that gene flow is nevertheless rare. The existence of hybrids in the

absence of gene flow between clusters could be the result of intrinsic (developmental;

environment independent) or extrinsic (environment dependent) post-zygostic

isolation due to lower fitness of hybrids (Turelli et al. 2001; Blatrix et al. 2017). The

example of Meyo Centre provides some evidence that even if dispersal across the

hinge is possible, it doesn't result in the successful establishment of new populations,

reinforcing the phylogenetic break over time. Hardy et al.'s (2013) hypotheses were

not mutually exclusive and given that there is no clear barrier to dispersal of pollen or

seeds, there may be a role for divergence in traits such as flowering time causing

reproductive isolation. This may in turn be linked to why successful establishment

across the inversion is rare. However, more fine scale sampling and information on

ecological differences among populations across the hinge will be needed to test these

ideas. Interestingly, similar north-south phylogeographic breaks are also known from

the Atlantic rain forests of Brazil, due to differing climatic regimes and floral

compositions (Carnaval et al. 2014; Leite et al. 2016). This suggests that similar

processes, though not necessarily driven by exactly the same factors, might be driving

patterns of intraspecific diversity in different TRF regions.

**4.2 Out-of-refugia migration in northern forests**

The inferred evolutionary dynamics of *A. affinis* support a role for Pleistocene forest

oscillations in shaping intraspecific genetic diversity patterns. The four retrieved

clusters are found in allopatry or parapatry (Fig. 1A). This supports the hypothesis of

incomplete mixing after post-glacial expansion and is similar to patterns found in

other CAR species (Hardy et al. 2013) and within species from other TRF regions

(Carnaval et al. 2009; Leite and Rogers 2013). Evidence was found for recent

demographic expansion in three clusters (CA, GC and WG, Fig. 3), as would be

expected if *A. affinis* expanded out of refugia. These expansions were estimated to

have taken place 15-25 Ka but we note that further work is needed to determine a

more accurate mutation rate and generation time for *A. affinis* to verify the timing of

these events. Therefore we avoid interpreting the exact timing of demographic events

and instead focussed on the population size trends. Sampling sizes were also small (n

=7) for clusters GC and EG meaning we are less confident in the patterns

reconstructed for these clusters. Similar patterns of recent expansion were detected in

populations of central African plants (Pineiro et al. 2017) and animals (Bell et al.

2017) as well as in studies on neotropical flora (Vitorino et al. 2016) and fauna

(Batalha-Filho et al. 2012). The refuge hypothesis has received support from

population genetic studies of CAR plants, showing concordance between putative

refugia and regions of high or unique allele/haplotype diversity (Lowe et al. 2010;

Dauby et al. 2014; Heuertz et al. 2014; Faye et al. 2016). However, cluster EG

showed constant population size with a slight decline towards the present, perhaps

indicating that refugia have not played in important role in its demographic history.

Similar demographic patterns were found populations of two central African

*Erythrophleum* species (Duminil et al. 2015) though these exhibited a more

pronounced decline in the last 50 Ka. Overall, our results indicate that demographic

responses to past climate change have been different among populations of *A. affinis*

across central Africa. Similar patterns of recent expansion were detected in

populations of central African plants (Pineiro et al. 2017) and animals (Bell et al.

2017) as well as in studies on neotropical flora (Vitorino et al. 2016) and fauna

(Batalha-Filho et al. 2012). The refuge hypothesis has received support from

population genetic studies of CAR plants, showing concordance between putative

refugia and regions of high or unique allele/haplotype diversity (Lowe et al. 2010;

Dauby et al. 2014; Heuertz et al. 2014; Faye et al. 2016).

While our results are mixed, we do find evidence to support the scenario presented by

Anhuf et al. (2006) who proposed that coastal rain forests in central Africa acted as

refugia during the LGM. The modelled LGM distribution of *A. affinis* indicates that

suitable habitat was concentrated continuously along the coast, from Cameroon to

Gabon (Fig. 4B), like in the understory palm species *Podococcus barteri* (Faye et al.

2016). In addition, we uncovered fine-scale genetic structure and evidence for

dispersal eastwards in Cameroon (Fig. 2), demonstrating a possible out-of-refugia

pattern in this area.

In contrast, an inland pattern of migration was not found in Gabon where dispersal

was both towards the east and west from a central area. This may be because there

was a large amount of highly-suitable area (>0.8) during the LGM that extended

further from the coast in Gabon than in Cameroon (Fig. 4), meaning that populations

could persist and expand out of this area. In addition, we inferred more pronounced

East-West clustering (Fig. 1) in Gabon than in Cameroon, which has been observed in

at least four other CAR tree species (Hardy et al. 2013). Bringing our results together,

it appears that refugia may have played a different role for populations in different

areas, and that each has responded to past climate in change in its own way.

**5 CONCLUSIONS**

This study uncovered the evolutionary dynamics and demographic history of the CAR

tree species *Annickia affinis*. Our approach is the first to use genome-wide data from

hundreds of nuclear loci to infer population-level phylogeographic patterns in CAR

plants. We found high levels of genetic structure consistent with a pattern of North-

South genetic discontinuity often recovered in this region. We highlighted how a

climatic inversion limits colonisation and shapes patterns of population structure

across a continuous rain forest region. We also show that the current distribution of

extant populations is the result of different demographic histories and, in northern

regions, migration inland from putative refugia in coastal rain forests. This study

provides a proof-of-concept for future work taking advantage of recent genomic

resources, such as the sequence capture kit used here, to improve our understanding of

TRF evolution, at the population level and above.

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 **FIGURES**

**Fig. 1.** (A) Map of the study region showing genetic clusters inferred using

Discriminant analysis of principal components (DAPC, k=4). Individuals are colour

coded by cluster membership. Superimposed upon the map are the locations of

putative glacial refugia (adapted from Faye et al. 2016). The climatic hinge is shown

by a dashed red line. Inset is a map of the African continent showing highlighting the

study area. (B) Barplot of ancestry proportions inferred using TESS (k=4). Colours

were made to correspond to those in Fig. 1A as clustering was almost identical

between approaches. A single individual, "A_affinis_Ndjole_5" (marked with an x),

was inferred as cluster EG (red) in DAPC but TESS suggests the majority of its

ancestry is instead from cluster WG (blue). Individuals from the "Meyo Centre"

collection site (marked with a black circle in panel A) show evidence of admixture

between clusters across the North-South climatic inversion. (C) Phylogenetic network

among *A. affinis* individuals constructed in splitstree using NeighbourNet algorithm

based on 6787 SNPs. (D) RAxML tree representing relationships among *Annickia*

*affinis* samples, rooted on two *A. polycarpa* samples. Support values are shown and

tips are coloured based on genetic clustering results.

**Fig. 2.** Phylogeographic diffusion analysis split into four time slices. Images were

rendered using spreaD3 and move forward through time starting from the

(uncalibrated) time of the most recent common ancestor (A) to the present day (D).

White circles represent ancestrally estimated geographic locations for nodes in the

inferred phylogenetic tree, as well as current, real locations at tips. Polygons around

points represent uncertainty of estimated ancestral locations at 80% highest posterior

density (HPD). Putative refugia following Maley (1996) are shown in dashed blue

lines.

**Fig. 3.** Plots of effective population size through time for each of the four clusters

inferred using stairway plot. The present is located on the left side of each graph. The

dotted line represents the median population size and the shaded polygon represents

the 80% central posterior density intervals. Colours correspond to the colours used in

figure 1. Full plots of each species can be found in figures S10-13.

**Fig. 4.** Species distribution models (SDMs) for the present (A) and projected into the

past, during the last glacial maximum (B). SDMs were constructed using MaxEnt and

bioclimatic variables. The colour scale represents habitat suitability for each cell

where green indicates more suitable cells. Red circles in (A) indicate sites where *A.*

*affinis* individuals were collected and used in building the model.

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A

Latitude

Cluster EG
Cluster GC
Cluster WG
Cluster CA

Meyo Centre

Longitude

B

Ancestry proportions

C

Cluster GC

Cluster WG

0.01

Cluster EG

Cluster CA

D

0.85≤
0.75≤ x < 0.85
< 0.75

Clade I

Clade II

Clade III

Clade IV

A

B

Habitat suitability

Occurrence

300km

Scale approx. 1:12,000,000