Centromere scission drives chromosome shuffling and reproductive isolation

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Abstract

A fundamental characteristic of eukaryotic organisms is the generation of genetic variation via sexual reproduction. Conversely, significant large-scale genome variation could hamper sexual reproduction, causing reproductive isolation and promote speciation. The underlying processes behind large-scale genome rearrangements are not well understood and include chromosome translocations involving centromeres. Recent genomic studies in the *Cryptococcus* species complex revealed that chromosome translocations generated via centromere recombination have reshaped the genomes of different species. In this study, multiple DNA double-strand breaks (DSBs) were generated via the CRISPR/Cas9 system at centromere-specific retrotransposons in the human fungal pathogen *Cryptococcus neoformans*. The resulting DSBs were repaired in a complex manner, leading to the formation of multiple inter-chromosomal rearrangements and new telomeres. The newly generated strains harboring chromosome translocations exhibited normal vegetative growth but failed to undergo successful sexual reproduction with the parental wild-type strain. One of these strains failed to produce any spores, while another produced ~3% viable progeny. The germinated progeny exhibited aneuploidy for multiple chromosomes and showed improved fertility with both parents. All chromosome translocation events were accompanied without any detectable change in gene sequences and thus, suggest that chromosomal translocations alone may play an underappreciated role in the onset of reproductive isolation and speciation.

Introduction

Chromosomes are prone to undergo several rearrangement events, including fusion, fission, deletion, and segmental duplication. In some cases, one chromosome segment is exchanged with another to generate chromosomal translocations. Such exchanges between homologs are regularly observed during meiosis when homologous chromosomes exchange arms via meiotic recombination[1]. Chromosome rearrangements can also occur during mitosis, but in a less well-regulated manner, and sometimes as a result of disease conditions like cancer[2,3]. Additionally, rearrangements can also occur within a single chromosome. As a result, chromosome rearrangements during mitosis can cause mutations, gene disruption, copy number variations, as well as alter the expression of genes near the breakpoints[4]. Cancer cells show a high level of chromosome rearrangements as compared to healthy cells, and this contributes to critical pathological conditions observed in these cells, such as activation of oncogenes[5,6].

Chromosomal translocations are initiated by double-strand breaks (DSBs) in DNA[7]. Rearrangements involving a single DSB are mainly repaired by the invasion of the broken DNA molecule into a homologous DNA molecule, in a process termed homologous recombination (HR)[6,8]. This invasion can lead to the exchange of DNA between the two molecules of DNA, leading to reciprocal crossover or gene conversion[2]. These types of rearrangements occur during meiosis and are regulated to give rise to an error-free repaired sequence. Other types of chromosomal translocation involve two or more DNA DSB sites, which are then fused in an error-prone mechanism known as non-homologous end joining (NHEJ)[9]. The two sites can be present on either the same chromosome or different chromosomes. Repair of two DSBs present on the same chromosome can result in the deletion of the intervening sequence or inversion if the sequence is rejoined in reverse orientation[7]. On the other hand, the fusion of DSBs from two

different chromosomes can result in chromosomal translocation. In some instances, other repair

pathways like microhomology-mediated end joining (MMEJ) or alternative End Joining (alt-EJ)

also participate in the repair of DSB ends[9,10].

The occurrence of multiple DSBs in mitotically growing cells at the same time is rare but

occurs at a relatively higher frequency in cancer cells. Such events could occur in a genome due

to replication defects or exogenous factors such as ionizing radiation or chemotherapeutic

agents[11]. Multiple DSBs also occur naturally during processes like V(D)J recombination[12]. The

occurrence of multiple DSBs can also be induced in the micronuclei of cancer cells[13].

Micronuclei are small nuclei harboring one or a few chromosomes and are generated as a result

of a mitotic failure. These small nuclei act as hotspots of chromosome fragmentation, where

multiple DSB sites are almost simultaneously generated and subsequently rejoined in a random

order, a process known as chromothripsis. Previous reports have suggested that the occurrence of

multiple DSBs alters HR pathways leading to NHEJ or alt-EJ mediated repair[14,15]. Because both

NHEJ and alt-EJ are error-prone, they lead to a significant increase in mutation at the repair

junctions and also randomly join broken fragments.

Apart from generating mutations and gene disruptions, chromosome translocations can

also result in reproductive isolation during meiosis and facilitate speciation[16]. The presence of

multiple rearrangements between the two homologous chromosomes from the parents leads to

failures in chromosome pairing during meiosis or crossovers that result in loss of essential

genes[17]. Meiosis that is defective in this way will result in the production of progeny with

abnormal genome content. In fungi, the parental nuclei fuse and undergo meiosis before

sporulation that gives rise to progeny. Thus, defects in meiosis lead to the production of spores

with abnormal or incomplete genetic compositions rendering them inviable. *Cryptococcus*

neoformans is a basidiomycete fungus that largely infects immunocompromised humans causing

Cryptococcal meningoencephalitis[18-20]. *C. neoformans* harbors a 19 Mb genome with 14

chromosomes[21]. While most of the genome is devoid of repeat regions, centromeres in *C.*

neoformans are rich in a set of retrotransposons that are shared across multiple centromeres[22,23].

C. neoformans also has a meiotic cycle making it a suitable model organism to study meiosis and

sexual development[20,24]. It exhibits two mating types, α and **a**, defined by the *MAT*α and *MAT***a**

alleles at the single mating-type locus[25,26].

In this study, we exploited the genomic features of *C. neoformans* to study the impact of

chromosome translocations on reproductive isolation. First, retrotransposons present in

centromeres were targeted with CRISPR, generating multiple DSBs simultaneously. Next, the

presence of chromosome rearrangements was screened by Pulsed-Field Gel Electrophoresis

(PFGE), and isolates with multiple chromosomal translocations were identified. The genomes of

these strains were assembled based on long-read nanopore sequencing to characterize the

chromosome rearrangements. Although the strains with new karyotypes did not exhibit growth

defects as compared to the wild-type, the impact of chromosomal rearrangements had a profound

effect on sexual reproduction. These findings demonstrate that *C. neoformans* can tolerate

multiple chromosomal translocations, but that such large-scale changes can cause reproductive

isolation, and promote incipient speciation.

Results

Simultaneous breaks at multiple centromeres lead to chromosome shuffling

Centromeres in *C. neoformans* were previously identified and shown to possess multiple retrotransposons named Tcn1-Tcn6[21,22]. These elements are present only in centromeres, albeit distributed randomly and non-uniformly across all 14 centromeres. We specifically targeted the Tcn2 element using a guide RNA (gRNA) that would cleave nine centromeres, generating a total of 18 DSBs (Figure 1A). We hypothesized that these DSBs would be repaired to generate chromosomal translocations (Figure 1B).

After the transformation of wild-type cells with three DNA fragments, each constitutively expressing a dominant selection marker (G418), Cas9, and the gRNA, colonies were obtained and screened via pulsed-field gel electrophoresis (PFGE) (Figure S1). We found karyotypic variation for at least one chromosome in three out of twelve colonies screened (Figure S2A). Of the three, two (VYD135 and VYD136) harbored more than three changes in chromosome banding pattern as compared to the wild-type strain *C. neoformans* H99 (Figure 1C and S2A). To characterize these chromosomal alterations, we sequenced the genomes of these two strains using Oxford Nanopore sequencing and were able to assemble them into 17 contigs each (Figure S2B).

A synteny block comparison of these genomes with that of the wild-type revealed the presence of multiple translocations. However, some of these contigs were broken with the centromere at one of the ends. Additionally, Illumina sequencing of these strains revealed a near euploid genome for both of these strains (Figure S2C) except for the smaller arm of chromosome 13 in VYD136 that was present in two copies. To resolve the status of incompletely assembled contigs, Southern blots of PFGE-separated chromosomes (chromoblots) followed by

hybridization with chromosome-specific probes were conducted for multiple chromosomes

(Figure S3). Contigs validated to be arms of the same chromosome by this approach were fused

manually with a 50-bp sequence gap to generate a chromosome-size scaffold. The presence of

telomere repeat sequences at both the ends of these scaffolds suggests that these scaffolds

represent full-length chromosomes. The integrity of each genome assembly was further verified

by mapping the respective nanopore reads to the genome assembly. Thus, using nanopore and

Illumina sequencing, and chromoblot analysis, we were able to assemble the genomes of isolates

VYD135 and VYD136 to the chromosome level (Figure 1D). This analysis also revealed that the

duplicated arm of chromosome 13 of isolate VYD136 exists as an isochromosome with two

broken centromeres fused with each other. Overall, these results show that multiple breaks at

centromeres can lead to karyotype shuffling in *C. neoformans* that is tolerated by the organism.

Centromere breaks generate new telomeres and increase the number of chromosomes

146   No species in the *Cryptococcus* species complex has been observed to harbor

chromosomes smaller than 500 kb. However, a genome-level assembly of VYD135 and

VYD136 revealed the presence of 2 to 3 chromosomes that are shorter than the shortest naturally

occurring chromosome of wild-type H99 (chromosome 13 of 757 kb length). Bands of the

expected size for these chromosomes were observed in PFGE, indicating that these

chromosomes are *bona fide* and not a result of assembly error (Figure 2A). In addition to these

small chromosomes, a few novel features were observed for the genomes of VYD135 and

VYD136. Three of the new chromosomes had generated *de novo* telomere sequences on one of

the ends, next to the broken centromeres (chromosomes 13 and 15 of VYD135; chromosome 13

of VYD136) (Figure 2B, and S4A). While in two cases, the telomere repeat sequences were

present next to the Tcn2 elements, in the third multiple copies of the Cas9 sequence were found

to be inserted between the Tcn2 element and telomere repeat sequences (Chr15 of VYD135).

Our analysis of these regions did not reveal any common motif suggesting that addition of

telomere repeat sequences independently occurred on random sequences.

Centromere locations in these two genome assemblies were defined based on synteny

with centromere flanking regions (Table S1). Specifically, BLASTn analysis with centromere-

flanking ORF sequences as query sequences were used to identify the syntenic regions defining

the boundary for centromeres. Next, Tcn elements (Tcn1-6) were mapped across centromeres of

the new strains, VYD135 and VYD136. Surprisingly, foreign DNA elements, such as Cas9 and

neomycin resistance gene sequence, were found to have integrated into multiple centromeres

(Figure 2C and D). Both of these sequences were introduced as linear DNA molecules during the

CRISPR transformation experiment. In some cases, Cas9 and the neomycin gene sequences were

present in multiple copies and in a random order/orientation. Further analysis revealed that these

elements were present at the junction where two parental centromeres fused with each other.

This result suggests that these foreign sequences may have assisted in joining the broken

centromeres to form hybrid centromeres.

A comparison of centromere length between H99, VYD135, and VYD136 revealed the

presence of some significantly shorter and longer centromeres in both of these new strains

(Figure S4B). However, the reduction in centromere length did not seem to confer a visible

growth defect indicating no change in centromere function due to a decrease or increase of

length (Figure S5A). These strains also did not show any difference when tested for various

stress conditions like temperature, fluconazole, or DNA damaging agent like Phleomycin (Figure

S5A). When grown with another strain expressing NAT resistant gene, to test competitive

fitness, both VYD135 and VYD136 did not show any significant difference as compared to wild-

type H99 (Figure S5B). Additionally, these two strains do not exhibit any difference in virulence

in the *Galleria* model of infection[27] (Figure S5C). Both VYD135 and VYD136 lead to lethal

infection of *Galleria* with the same efficiency as the wild-type H99α and KN99**a** isolates. Taken

together, these results suggest that the changes in chromosome structure do not grossly affect

mitotic growth or infectivity of *C. neoformans*.

Chromosome shuffling is driven by the Cas9 induced breaks

Isolates VYD135 and VYD136 show inter-chromosomal rearrangements between seven

and eight chromosomes, respectively. The rearrangements in the two strains are not identical,

although they do involve the same set of chromosomes, suggesting that both of these strains

underwent recombination via different routes (Figure 3A and B). Next, the breakpoints in each of

the centromeres were defined by mapping nanopore reads to the wild-type genome. One caveat

is that long reads from nanopore sequencing might not align precisely with the parental

centromere sequence due to the loss of regions of the original sequence. Many reads were found

that mapped to a single location next to the gRNA cleavage site in almost all of the centromeres

that underwent recombination (Figure 3C and S6). In a few cases, the reads did not map to the

cleavage site flanking sequences suggesting deletions occurred during recombination. This loss

of sequence is prominent in centromeres with three or more cleavage sites (*CEN10* in Figure

3C). This mapping pattern suggests these non-essential, small fragments were lost, and their loss

does not compromise centromere function. Interestingly, among the chromosomes that contain

Tcn2 elements and could be targeted by Cas9 with the Tcn2-specific gRNA, chromosome 11

was not found to be involved in recombination in either of the two strains, even though *CEN11* is

predicted to be cleaved once. It is possible that the gRNA cleavage site prediction for *CEN11*

could be a result of an incorrect genome assembly or sequence error. Overall, this analysis

supports that recombination was initiated by the Cas9 DSBs. Also, that centromeres lacking

Tcn2 elements were not involved in recombination reflects the specificity of Cas9 and the repair

machinery.

Multiple types of repair machinery were involved in the DSB repair process

Next, the new centromere sequences were compared with the original centromeres in a

pairwise fashion to understand the repair process (Figure 4 and S7). For this purpose, we utilized

our newly generated assembly of the wild-type strain, which showed better coverage for

centromere sequences (See Materials and Methods for details). This analysis provides evidence

that both NHEJ and HR pathways participated in the repair of these broken ends. Insertion of the

CAS9 sequence and the *NEO* gene sequence conferring G418 resistance seems to be the result of

DSB repair via NHEJ in all cases as there was no additional sequence added between the ends of

the breaks. Additionally, *CEN12* of VYD136 results from a single fusion event between wild-

type *CEN8* and *CEN14* after the DNA breaks (Figure S7). For *CEN14* of VYD135, a sequence of

2.7 kb aligned with both parental centromeres suggesting that the hybrid centromere formed as a

result of homologous recombination. *CEN8* of VYD135 exhibited evidence for repair via

multiple mechanisms, including NHEJ, microhomology, and invasion into multiple different

centromeres (Figure 4). Because all of the sequences involved have a Tcn2 sequence at the ends,

it is not possible to infer the order of these events.

A comparison of wild-type *CEN7* and VYD135 *CEN11* shows evidence of resection

beyond the DSB sites. Resection was probably followed by strand invasion into broken pieces of

CEN7 that were released due to multiple DSBs, and a final fusion event with *CEN8* leading to

the formation of hybrid centromeres. Similarly, *CEN13* of VYD136 seems to have arisen as a

result of resection followed by invasion into multiple centromeres before adding telomere

sequences at the end (Figure S7). In addition to these multiple recombination events at these

junctions, we also observed inversion events for sequences that were released due to multiple

DSBs in a single centromere (*CEN15* of VYD135). On the other hand, the inversion in VYD135

CEN13 has signatures of invasion into another intact copy of *CEN13,* resulting in the inversion.

232   Apart from inter-chromosome recombination, we also observed intra-chromosomal

recombination in *CEN12* of VYD135. Wild type *CEN12* has two cleavage sites separated by a 10

kb sequence. In VYD135, *CEN12* is smaller due to the absence of the 10 kb sequence, and two

flanking sequences were joined with an overlap of 640 bp (Figure 4). This event also led to a

reduction in centromere length for *CEN12*, shortening it significantly (21 kb versus 31 kb in the

wild type). Combined, these results suggest that both HR and NHEJ processes repair DSBs at the

centromeres. Given the high level of identity shared between Tcn2 elements present among

multiple centromeres, other plausible routes to these rearrangements are possible.

Strains with chromosomal rearrangements fail to undergo normal meiosis

242   Chromosome shuffled strains, VYD135 and VYD136, exhibit multiple chromosomal

translocations compared to the wild-type karyotype, as described above. We hypothesized that

this would lead to incompatibility during meiosis and defects in producing viable spores. To

study this, the two shuffled strains were crossed with the wild-type strain, KN99**a**, which is

congenic with the parental strain H99α. During *Cryptococcus* sexual reproduction, cells of two

opposite mating types fuse and then produce hyphae, basidia, and long chains of spores over a

two-week course of incubation[26]. In the basidium, the two parental haploid nuclei fuse and

undergo meiosis producing four haploid nuclei. These meiotic products subsequently undergo

repeated rounds of mitosis that produce four spore chains from the surface of the basidium by

budding. A pairing defect during meiosis would compromise the segregation of chromosomes,

giving rise to progeny with incomplete genomes.

After two weeks of mating, intact spore chains were observed by light microscopy in the

H99α x KN99**a** wild-type cross. On the other hand, the VYD135α x KN99**a** cross formed basidia

but showed almost no sporulation, whereas the VYD136α x KN99**a** cross was largely

indistinguishable from wild-type and did not show a prominent sporulation defect (Figure 5A).

Meiotic structures were further examined by scanning electron microscopy (SEM). Abundant

spores were produced in the H99α x KN99**a** cross, whereas no spore chains were observed in the

VYD135 x KN99**a** cross (Figure 5B). The VYD136 x KN99**a** cross showed defective

sporulation, where some basidia formed fewer spores while others had none (Figure 5B). Next,

the germination rate (equivalent to spore viability) of spores from the H99α x KN99**a** and

VYD136 x KN99**a** crosses was assessed. The spore germination rate for the VYD136 x KN99**a**

cross was only 3% compared to wild-type with 80 to 90% spore germination[28,29]. The lack of

sporulation in the VYD135 x KN99**a** cross and the reduced spore germination rate for the

VYD136 x KN99**a** cross provide evidence that these strains fail to undergo normal meiosis. The

translocations in VYD135 involve seven chromosomes, and in VYD136 eight chromosomes are

involved, yet these isolates show very different phenotypes during meiosis. We hypothesize that

this observed difference in the sporulation phenotype may be attributable to different

chromosome configurations observed in these two strains. Specifically, different configurations

could lead to differences in meiotic pairing and could cause more severe meiotic defects in one

compared to the other. The failure to undergo meiosis will lead to no or defective sporulation.

VYD136 backcross progeny are aneuploid and exhibit improved sexual reproduction

Three viable F1 progeny were isolated from the cross of VYD136 with KN99**a**. These F1

progeny (P1, P2, P3) were characterized to further understand the impact of chromosomal

translocations on meiosis by assessing mating type and ploidy (Figure 6A and B). Flow

cytometry analysis revealed that two of the progeny (P1 and P2) are aneuploid. To investigate

this further, the relative chromosome copy number for the three progeny was determined from

read counts after Illumina and nanopore sequencing, revealing that they are aneuploid for

multiple chromosomes (Figure 6C). P1 and P2 are both aneuploid for parts of chromosomes 5,

12, 13, and 14, whereas P2 is also aneuploid for the entire chromosome 6. The third progeny

(P3) also showed aneuploidy but only for the shorter arms of chromosomes 12 and 13.

These three F1 progeny were backcrossed to both parents (VYD136 and KN99**a**) and the

wild-type H99α. All three progeny mated with strains of opposite mating type, as expected.

Surprisingly, spore dissections from these crosses revealed a much higher spore germination rate

for the three progeny (11 to 58%) as compared to their parental cross (3% germination rate)

(Figure 6A). P1 and P2 exhibited a much higher (>50%) germination rate for all crosses as

compared to P3, which showed 11 and 21% germination rates. The segmental aneuploidy of

these isolates may explain their higher germination rate compared to the parent VYD136.

The presence of copy number changes for only one arm of most chromosomes suggested

these progeny may have a mixed karyotype. To address this, genomes for the three progeny were

assembled using nanopore sequencing. Due to aneuploidy, these genomes were not assembled

completely and harbored multiple breaks (Figure S8A and B). However, based on their ploidy

profiles, mapping of nanopore reads, as well as synteny comparison with both parents, their

genome configurations were largely resolved (Figure 6D). The final karyotype shows that most

chromosomes match either with the wild-type or the VYD136 chromosome profile (Figure 6D

and S8A). Based on this, we propose that segmental aneuploidy may contribute to overcome the

reproductive barrier that might otherwise arise due to multiple changes in chromosome

configuration. Genetic transmission via an aneuploid intermediate may yield a transitional

population that is more compatible with the ancestral population and slowly diverges to give rise

to a new species. On the other hand, a new species might also arise because of a single event of

multiple translocations occurring throughout the genome. This process is only one of several

factors contributing to reproductive isolation and could play a greater or lesser role dependent

upon the species distribution and mode of reproduction.

Discussion

Chromosome rearrangements shape the genome in multiple ways by affecting gene

positions, causing mutations, and compromising recombination during meiosis. In this study,

chromosomal rearrangements were generated by severing centromeres in a human fungal

pathogen, *C. neoformans*. The centromeres in this species are rich in retrotransposons that are

common among multiple centromeres[22]. CRISPR/Cas9 targeting of retrotransposons cleaved

multiple centromeres at the same time. This approach does not affect gene-rich regions, thus

avoiding the risks involved with the loss or mutation of genes. At the same time, this allowed us

to study a) how multiple breaks in the genome are tolerated, b) how DSBs in heterochromatic

regions such as centromeres are repaired, and c) how the resulting chromosomal rearrangements

impact reproductive isolation. Centromere-mediated chromosomal translocation events have

been observed in the *Cryptococcus* species complex[21,30] (Figure S9). Genome comparisons

between non-pathogenic *C. amylolentus* and pathogenic species, such as *C. neoformans*, show at

least six centromere-mediated translocations[30]. Our findings suggest these translocations could

have driven speciation in this species complex, in addition to other factors.

Recent studies have suggested that centromeres can undergo recombination, at least

mitotic recombination, as compared to previous models in which centromeres were recalcitrant

to recombination[22,31-36]. Our results further support that centromeres can undergo recombination

when DSBs are generated in these regions. Each of the broken ends was processed and subjected

to HR or NHEJ mediated repair and recombination. We also observed a case where a

centromere, following the generation of DSBs, recombined, causing the loss of intermediate

DNA sequences present between the two ends. In similar events, many fragments of centromere

sequences were either lost or fused within other centromeres, altering the architecture of

centromeres in this species (Figure 7). This result suggests that variation in centromere structure

is not critical for centromere function, but might play some other role in genome organization.

This conclusion is also supported by a previous study that showed a significant reduction in

centromere length in *C. deuterogattii*, a closely related species of *C. neoformans*[22]. This variation

in centromere architecture also raises questions about the requirement of longer centromeres in

most organisms. According to previously proposed models, longer centromeres with more

centromere repeats might bind more kinetochore proteins[37-39]. This would enable stronger

kinetochore-microtubule interactions for longer centromeres and favor transmission of longer

centromeres during meiosis. Our system can be harnessed to understand the dynamics of

centromere transmission and test this hypothesis in future studies.

Interestingly, we also observed the addition of *de novo* telomere repeat sequences

adjacent to the broken centromeres. While the mechanism underlying this process remains to be

elucidated, the frequency of occurrence of *de novo* telomere addition seems to be high at ~10%

(3/32 ends). Additionally, two of the three telomere repeat additions involved invasion or fusion

with other sequences before the addition of repeat sequences. *De novo* telomere formation on

broken DNA ends has been observed in many species and is commonly known as chromosome

healing[40-42]. Chromosome healing occurs during mammalian development and has been observed

in mouse embryonic stem cells as well as human germline cells[43-45]. It is also proposed to occur

in cancer cells to stabilize the ends of broken chromosome fragments arising as a result of

chromothripsis or telomere crisis[46,47]. This process maintains the genome content while

increasing the number of chromosomes in the case of DSBs that could not be repaired. In our

study, we did not observe a significant impact of telomere formation next to centromeres or the

loss of centromere sequences on the growth of the chromosomal shuffled strains as compared to

the parental strain. This could suggest that shorter centromere sequences are sufficient to

propagate the genome content, and centromeres and telomeres do not influence each other's

function.

Repair of a DSB site is a complex process and involves multiple repair machineries

including HR and NHEJ. HR mainly takes place during S-phase, whereas NHEJ occurs

throughout the cell cycle[48,49]. HR mainly leads to gene conversion and can also drive

recombination between repetitive sequences leading to translocation. NHEJ is more error-prone

and can join any two sites resulting in translocations. Thus, both of these processes can lead to

translocations but can result in very different types of junctions[8,50]. Our analysis of junctions

exhibited evidence for both HR and NHEJ. Previous reports suggested that the NHEJ pathway is

preferred over HR in the case of multiple DSBs[14,15]. Although the number is small, our results

suggest that both of these pathways might take place at a similar rate in *C. neoformans*.

However, the underlying regulatory mechanisms remain a subject for further investigation.

We also observed the inversion of sequences suggesting either strand invasion or simple

fusion of a broken piece in reverse order by NHEJ. Our analysis also suggests the occurrence of

multi-invasion repair (MIR), a subtype of HR, and micro-homology-mediated BIR (MMBIR),

both of which can be favored for the repair of repeat sequences[6,51]. According to the MIR

pathway, a single broken end might enter multiple target DNA molecules based on

homology[52,53]. The DNA breaks in our experiments were made in the Tcn2 element, which is

present in multiple copies across centromeres; thus, it is possible that some of the ends might

have been repaired via MIR. Our results also suggest that breaks in repeat molecules are repaired

either by NHEJ or HR, where other repeat sequences present in the genome may aid in the repair

process. Similar observations have also been made previously in *Saccharomyces cerevisiae*,

where DSBs were generated with gamma radiation, HO-endonuclease, or CRISPR[54-56]. In all of

these studies, multiple copies of Ty elements were found to be involved in the generation of

translocations. In the study that employed CRISPR, similar multiple translocation events were

observed[55]. However, Ty elements in *S. cerevisiae* are distributed across the genome, unlike *C.*

neoformans, where all Tcn2 elements are clustered in centromeres.

Multiple concurrent breaks in the genome are rare and occur mainly in pathogenic

conditions such as cancer. A commonly observed outcome is chromothripsis, where one or

several chromosomes are broken into multiple smaller pieces and rejoined randomly to shuffle

the targeted chromosomal region[11,13]. This phenomenon is associated with the generation of

multiple mutations, as well as the activation of oncogenes. Chromothripsis is initiated by the

generation of multiple breaks in a localized manner. The source of these breaks could be either

internal factors such as replication associated breaks or external factors, including ionizing

radiation or chemotherapy. These break sites are then repaired in a random manner. While this

process is well-known, the mechanisms involved in this process are poorly understood[13,57]. In

our experiments, we observed that similar events take place during DSBs repair (Step 3 in Figure

7). The connection between these processes needs to be further explored to establish whether our

system can be extended to understand chromothripsis.

Our approach induced multiple simultaneous breaks in the genome, which were then

repaired to generate chromosome shuffling. Centromeres are known to cluster in *C. neoformans*

during mitosis[58,59], and this may have promoted their interaction during the DSB repair events

that generated these alterations. This centromere clustering in *C. neoformans* also mimics the

clustering of DSB sites observed during the process of chromothripsis. We posit our approach

could provide answers to critical questions regarding chromothripsis. The MIR pathway has been

implicated as one pathway contributing to chromothripsis[6,53]. In our study, we also found

evidence that the MIR pathway contributes to chromosome shuffling, further suggesting

similarities between chromothripsis and the events we observed. Notably, chromothripsis is

mainly observed in chromosome arms, whereas centromeres were targeted in our studies[13].

However, some studies have indicated an association between chromothripsis break sites and the

presence of transposon sequences[11,60]. In our approach, the breaks were also located within

transposon sequences, which are part of the centromeres. Thus, understanding the factors

governing this process in *C. neoformans* could also shed light on facets of chromothripsis.

Chromosome rearrangements have been implicated in speciation, acting via reducing

fertility or gene flow in the progeny that inherit the translocation[61-64]. However, most models

proposing speciation in this manner also consider geographical isolation as other major criteria[16].

Other models consider chromosome rearrangement as an effect of speciation, which happens as a

result of simultaneous rearrangement after the speciation. Studies in marsupials suggested a role

of chromosome rearrangements involving centromeres in the process of speciation in this species

complex[65-69]. These involved centromere-mediated translocations as well as differences in

centromere lengths between two species. In our study, no genes were found to be affected or

mutated because all rearrangements were generated via centromere recombination. Thus, a

meiotic failure of rearrangement harboring strains suggests that chromosome translocations

solely can drive reproductive isolation in a species.

Here, we find that the presence of multiple centromere-mediated chromosomal

rearrangements dramatically reduces the efficiency of meiosis and generates post-zygotic

reproductive isolation. A complete failure to undergo meiosis with the existing population could

lead to loss of new chromosome configurations, as they will not be passed to the new

generations. We found that the presence of a mix of the original karyotype along with the new

karyotype enhanced meiotic success. Interestingly, all three viable progeny exhibited similar

karyotypes suggesting that this chromosome configuration is probably selected for cell survival

while also keeping most of the genome as haploid. Thus, aneuploidy could also shield the newly

established chromosome rearrangements and allow it to persist and spread in the population,

eventually leading to the fixation of new changes. This hypothesis is supported by our results in

which the aneuploid F1 progeny exhibited a much higher spore germination rate with either

parent as compared to the crosses between the haploid parents. Additionally, the less aneuploid

progeny exhibited less successful meiosis, further suggesting the role of aneuploidy in this

process. Based on this, we propose a model in which the rearranged chromosomes can be present

along with the parent chromosomes in an aneuploid intermediate (Figure 7). The aneuploid

intermediate then allows the transmission of rearranged chromosomes to the next generation

until a particular rearrangement becomes fixed in part of the population. Once fixed, the

rearranged population will stably transmit itself and can give rise to a new subpopulation. The

presence of geographical barriers, as well as possible advantageous selective roles for the new

rearrangement, might further promote fixation of this newly acquired rearrangement.

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Materials and Methods

Strains and media

C. neoformans wild-type strains H99α and KN99**a** served as the wild-type isogenic

parental lineages for the experiments. Strains were grown in YPD media for all experiments at

30°C unless stated otherwise, and G418 was added at a final concentration of 200 µg/ml for

selection of transformants. MS media was used for all the mating assays, which were performed

as described previously[26]. Basidia specific spore dissections were performed after two weeks of

mating, and the spore germination rate was scored after four days of dissection. All strains used

in this study are listed in Table S2.

Phenotyping and growth competition assays

For cell growth and phenotyping assays, cultures were grown overnight, serially diluted

(10-fold dilutions), and 3 µl of cell suspension was spotted on YPD or defined stress solid

medium followed by incubation at 30°C and 37°C for two days. To make drug-containing media,

fluconazole and phleomycin were added into YPD media at a concentration of 24 µg/ml and 1

µg/ml, respectively, and plates were made. The plates were imaged after two days of growth and

are presented.

To test the competitive fitness, H99, VYD135, and VYD136 strains were separately

mixed with CNVY156[59] at an equal ratio based on OD measurements. CNVY156 strain

expresses NAT resistant marker and was used as the counter-selective strain to score competitive

growth in co-culture experiment. After the strains were mixed, a part of the mix (1 ml) was

immediately spread on YPD plates (0h sample), and cells were allowed to grow for 48 h at 30°C.

The remaining mix (5 ml) was grown as co-culture at 30°C for 24 h, and then a portion of cells

from the mix was again spread on YPD plates (24h sample) and incubated for 48 h. From both

initial and final samples, 100-150 randomly selected single colonies were replica patched on

YPD and YPD+NAT media plates. After 24 h of growth, number of growing colonies from both

YPD and YPD+NAT plates was counted. The growth fraction for H99, VYD135 and VYD136

strains was calculated by subtracting number of colonies on YPD+NAT plate from the total

number of colonies on YPD plate for each co-culture. The percent growth was calculated and

plotted using GraphPad with the total number of colonies on YPD representing 100%, the

number of NAT resistant colonies representing NAT[+] population while remaining colonies were

considered to be either H99, VYD135, and VYD136.

CRISPR transformations

CRISPR transformation experiments were performed as described previously, with minor

modifications[70] (Figure S1). Briefly, *C. neoformans* cells were grown overnight in YPD media

and then inoculated into 100 ml of fresh YPD media and grown until OD_{600} reached 0.8 to 1. The

cells were then pelleted, washed, and resuspended in EB buffer and incubated for one hour.

Next, the cells were pelleted and resuspended again in 50 µl of EB buffer. The cells were mixed

with three DNA fragments expressing guide RNA (350 ng), Cas9 (500 ng), and selection marker

(2 µg). The cell-DNA mix was subjected to electroporation using the Eppendorf multiporator,

with the bacterial mode operating at V=2 kV with τ optimized at 5 ms. Fresh YPD media was

immediately added into the transformed cells, and the cells were allowed to recover for two

hours before spreading onto selection media (YPD+200 µg/ml G418). The transformants were

recovered after 3 to 5 days after transformation. The transformants were further colony purified

on YPD+G418 medium to obtain single colony stable transformants. The guide RNA coding

sequences used for Tcn2 and safe-haven locus targeting are TAAGTACTTCTGGGATGGTA

and AGTGCTGTGGTGAAAGAGAT, respectively.

PFGE and chromoblot analysis

The PFGE plugs were prepared as described previously with minor modifications[71]. The

PFGE was performed with 1% agarose gel at 3.6 V/cm and a switching frequency of 120 to 360

sec for 120 h at 14°C in 0.5X TBE. *S. cerevisiae* CHEF DNA marker (Bio-rad, Cat #1703605)

served as markers for estimating the chromosome lengths in all PFGE experiments. To separate

shorter chromosomes, the switching frequency was changed to 116 to 276 sec, while all other

conditions were kept the same. Following electrophoresis, gels were stained with ethidium

bromide (EtBr), and bands were observed by UV transillumination and photographed.

Chromoblot analysis was performed as described previously[30]. Briefly, the DNA was hybridized

to membranes and probed with chromosome arm regions from targeted chromosomes. The

probed membranes were washed, and hybridization signals were observed with a

phosphorimager.

Genome assembly and synteny comparison

The *C. neoformans* H99 genome was reassembled with Canu v1.7 based on previously

published PacBio and Illumina data to obtain a better resolution of the centromeric regions (see

Table S3 for details)[21,22,72]. The resulting draft assembly was improved by correcting errors using

five rounds of Pilon (v1.22) polishing ('--fix all' setting) and the Illumina reads mapped to the

respective assemblies by the use of BWA-MEM (v0.7.17-r1188)[73,74]. Centromere locations were

mapped based on BLAST analysis with centromere flanking genes, and coordinates for these

new locations are provided in Table S1. Because some of the centromere lengths differed in the

new assembly as compared to the previous one, we validated the new centromere lengths by

mapping the Canu-corrected PacBio read using Minimap2, followed by visual inspection in

IGV[75]. Because our work involved analysis of centromere sequences, we utilized this new

improved assembly as the reference for all of our analyses. Once the locations were mapped, we

oriented all of the chromosomes such that the longer arm (q arm) begins the chromosome, and

the smaller arm (p arm) is the distal part of the chromosome.

De novo genome assemblies for the VYD135 and VYD136 isolates, and progeny (P1, P2,

and P3) were generated with Canu using Oxford Nanopore reads > 2 kb (see Table S3 and S4 for

details) followed by Pilon polishing as described above. When necessary, and after validating by

chromoblot analysis, broken contigs were joined artificially with a 50 bp sequence gap to

generate a full-length chromosome. Once completed, the chromosomes were numbered based on

their length with the longest chromosome as the first. For progeny P1, P2, and P3, extra

chromosome configuration was inferred to obtain the final karyotype based on their Illumina and

nanopore sequencing reads mapping.

Synteny comparisons between the genomes were performed with SyMAP v4.2 and

default parameters[76] (http://www.agcol.arizona.edu/software/symap/). The comparison block

maps were exported as .svg files and were then processed using Adobe Illustrator and Adobe

Photoshop for representation purposes.

Genomic DNA isolation for nanopore sequencing

The strains with chromosome alterations, as well as progeny from the VYD136α x

KN99**a** cross, were subjected to nanopore and Illumina sequencing. For nanopore sequencing,

large molecular weight genomic DNA was prepared using the CTAB based lysis method. For

this purpose, 50 ml of an overnight culture was pelleted, frozen at -80°C, and subjected to

lyophilization. The lyophilized cell pellet was broken into a fine powder by vortexing with beads

for 3 to 5 min at room temperature. 20 ml of CTAB extraction buffer (100 mM Tris-Cl, pH=7.5;

0.7 M NaCl; 10 mM EDTA, pH=8.0; 1% CTAB; 1% β-mercaptoethanol) was added, mixed, and

incubated at 65°C for an hour with intermittent shaking after every 20 min. The mix was cooled

on ice for 10 min, and the supernatant solution was decanted into a fresh tube. An equal volume

of chloroform (~15 ml) was added to the tube and mixed gently for 5 to 10 min.

The mix was centrifuged at 3200 rpm for 10 min, and the supernatant was transferred to a

fresh tube. An equal volume of isopropanol (~18 to 20 ml) was added into the supernatant and

mixed gently until thread-like structures were visible and formed a clump. The mix was

incubated at -20°C for an hour, and centrifuged at 3200 rpm for 10 min to pellet the DNA. The

supernatant was discarded, and the pellet was washed with 70% ethanol. The pellet was air-dried

and dissolved in 1ml of 1X TE buffer. RNase A was added into the resuspended DNA to a final

concentration of 100 µg/ml and incubated at 37°C for 30 to 45 min. Sodium acetate solution was

added into the mix to a final concentration of 0.5 M, and the solution was transferred to a 1.5 ml

Eppendorf tube/s in the aliquots of 0.5-0.6 ml each. An equal volume of chloroform was added,

mixed gently, and centrifuged at 13,000 rpm for 15 min. The supernatant was transferred to a

fresh tube, followed by isopropanol precipitation. The DNA threads were removed with a pipette

tip and transferred to a fresh tube followed by ethanol washing, air drying, and finally dissolved

in 200 µl 1X TE buffer. The DNA quality was estimated with NanoDrop whereas DNA quantity

was estimated with Qubit. The size estimation of DNA was carried by electrophoresis of DNA

samples on PFGE. For this purpose, the PFGE was carried out at 6V/cm at a switching frequency

of 1 to 6 sec for 16 h at 14°C. Samples with most of the DNA ≥100 kb or larger were selected for

nanopore sequencing.

Nanopore and Illumina sequencing

The DNA samples isolated as above were subjected to library preparation and

sequencing, as recommended by the manufacturer's instructions. For nanopore sequencing, three

or four different DNA samples were barcoded as per the manufacturer's instructions using SQK-

LSK109 and EXP-NBD103/EXP-NBD104 kits. The DNA samples were then pooled together on

a single R9 flow-cell (FLO-MN106), and sequenced by the MinION system. MinION

sequencing and live-base calling were controlled using MinKNOW. DNA sequencing was

performed at the default voltage for 48 hours. After sequencing, reads were de-multiplexed with

qcat (https://github.com/nanoporetech/qcat) (parameters: --trim -k NBD103/NBD104 --epi2me).

Each set of reads was then assembled to obtain genome assembly using Canu as described

previously.

Illumina sequencing of the strains was performed at the Duke sequencing facility core

(https://genome.duke.edu/), and the data was employed to error correct the genome assemblies

for VYD135 and VYD136. The Illumina sequencing data were also mapped to the wild-type

H99 genome assembly to estimate the ploidy of strains. Specifically, the Illumina reads were

mapped to the H99 genome using Geneious default mapper or bow-tie2 mapper. The resulting

BAM file was converted to a .tdf file, which was then visualized through IGV to estimate the

ploidy based on read coverage for each chromosome. Sequence data generated in this study were

submitted to NCBI with the BioProject accession number PRJNA577944.

To map the breakpoints, nanopore reads from both VYD135 and VYD136 were mapped

to the wild-type genome assembly using minimap2. The mapped file (.bam) file was visualized

in IGV, and centromere specific snapshots were exported for representation. Comparative

analysis of the new centromere sequences with parental sequences was performed by both

BLASTn analysis and pairwise sequence alignment. The *CAS9* and *NEO* gene sequences were

identified in the new centromeres based on BLAST analysis with sequences of original plasmids.

Galleria mellonella killing assay

G. mellonella infection experiments were performed as described previously with some

modifications[27]. *G. mellonella* caterpillars in the final instar larval stage were used to test the

pathogenicity of *C. neoformans* strains, and healthy caterpillars were employed in all assays. 20

to 22 chosen caterpillars were infected with each strain. Four μl cell suspension (10^6 cells/ml) of

a strain was injected into each caterpillar via the last left proleg. After injection, caterpillars were

incubated in plastic containers at 37°C, and the number of dead caterpillars was scored daily.

Caterpillars were considered dead when they exhibited black coloration of the body and

displayed no movement in response to touch. The few caterpillars that became pupae during the

experimental duration were removed from the analysis.

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Figure legends

Figure 1. Centromere-specific DSBs mediated by CRISPR lead to chromosome rearrangements. (A) Centromere maps showing the distribution of retrotransposons (Tcn1-Tcn6) in the centromeres of wild-type strain H99 of *C. neoformans*. **(B)** An outline depicting the model for achieving multiple chromosome translocations in *C. neoformans*. **(C)** PFGE revealed many differences in the karyotype of VYD135 and VYD136 as compared with wild-type, H99. **(D)** Chromosome maps for VYD135 and VYD136 compared to the H99 genome revealed multiple chromosome translocations in these strains. Chromosomes are colored with H99 chromosomes as reference. 'q' represents the longer arm while 'p' represents the shorter arm according to the wild-type chromosome configuration.

Figure 2. Sequence analysis of new centromeres and telomeres. (A) PFGE EtBr staining showing bands for newly generated short chromosomes and new telocentric chromosomes. M represents *S. cerevisiae* chromosomes. **(B)** Chromosome map of one of the newly formed telomeres showing the presence of telomere sequence repeats next to Tcn2 elements present in the centromere. **(C-D)** Maps showing the distribution of retrotransposons, along with the integration of foreign sequences from CRISPR/Cas9 and the neomycin resistance gene (NEO^R), in the centromeres of VYD135 (C) and VYD136 (D). Numbers in brackets next to *CEN* numbers represent the wild-type *CEN* numbers that rearranged to form new centromeres.

Figure 3. Chromosome rearrangements are mediated via the gRNA cleavage site. (A-B) Simplified outline maps depicting the chromosomes that underwent translocation in VYD135 (A) and VYD136 (B). Black semicircles, telomeres; red semicircles, de novo telomeres, narrow

bands, centromeres; shaded box on chromosome 5, *MAT* locus. **(C)** Nanopore reads mapping to

the wild-type H99 genome revealed the sites of DSB formation or repair junctions at

centromeres. The reads either converge on a single site (*CEN5*, *CEN8*) or exhibit sequence gaps

between sites (*CEN10*) marking the location of junctions. Red bars indicate the centromeres

whereas the black vertical lines mark the site of gRNA cleavage. Cov, Coverage of nanopore

reads; Map, Mapping of nanopore reads.

Figure 4. Synteny analysis of rearranged centromeres with wild-type H99 centromeres

reveals complex rearrangements. A pair-wise comparison of newly generated and wild-type

centromeres revealed that translocations are mediated by double-strand breaks (DSBs) generated

via CRISPR. Centromere specific events are described in the individual panels. Grey shades

represent direct synteny, while the cyan shade represents inversion events. In the cases that are

shown in detail, the cross represents the evidence for homologous recombination, whereas the

connecting grey lines represent joining events marking non-homologous end-joining. VYD135-

CEN7 was generated after artificial fusion of two contigs and hence was not analyzed in detail.

Figure 5. Chromosome shuffled isolates exhibit defects in sexual reproduction. (A) Light

microscopy images showing hyphae, basidia, and spore chains in crosses between *MAT***a** wild-

type strain KN99**a** and *MAT*α wild-type (H99) and rearranged strains (VYD135 and VYD136).

Scale bar, 100 μm. **(B)** Scanning Electron Microscope (SEM) images depicting a complete or

partial sporulation defect when wild-type KN99**a** was crossed with VYD135 and VYD136,

respectively. Scale bar, 10 μm.

Figure 6. VYD136 progeny are aneuploid and exhibit mixed karyotypes. (A) Analysis of the

mating-type locus and the mating efficiency of three progeny of VYD136 with either parent. The

numbers in brackets represent spore germination rates of respective crosses. **(B)** Flow cytometry

profiles of wild-type haploid, diploid, and three progeny of VYD136. **(C)** Nanopore sequencing

data mapped to the wild-type H99 genome revealed aneuploidy for multiple chromosomes in the

three VYD136 progeny. **(D)** Karyotypes for three progeny showing synteny as compared to the

wild-type H99 genome. The red stars represent breaks that were fused later based on synteny and

ploidy. The chromosomes shown with red bar on top were not assembled *de novo* but represent

possible chromosomes configuration based on Illumina and nanopore sequencing analysis.

Contigs 14 in VYD136.P3 could not be resolved into their chromosome configuration. 'q'

represents longer arm while 'p' represents shorter arm according to the wild-type chromosome

configuration.

Figure 7. A model proposing the evolution of reproductive isolation induced by centromere

breaks. DSBs generated using CRISPR at centromeres (step 2) reshapes the karyotype following

complex repair events. These complex events include the loss of centromere DNA,

isochromosome formation, and *de novo* telomere formation (step 3), similar to what is observed

during the process of chromothripsis. The new karyotype can generate a reproductive barrier

with the parental isolate and lead to speciation. On the other hand, the strain with the rearranged

karyotype could mate with wild-type isolate, albeit at low frequency, leading to aneuploid

progeny (step 4), which can independently establish itself as a new species.

A

CEN1
CEN2
CEN3
CEN4
CEN5
CEN6
CEN7
CEN8
CEN9
CEN10
CEN11
CEN12
CEN13
CEN14

▲Tcn2 gRNA cleavage site

10 kb   Tcn1   Tcn2   Tcn3   Tcn4   Tcn5   Tcn6

B

CRISPR against centromere-specific retrotransposon

Original karyotype   Modified karyotype

C

H99 (WT)   VYD135   VYD136

D

Nanopore + Illumina + Chromoblot   *C. neoformans* H99   Nanopore + Illumina + Chromoblot

VYD135   VYD136

Centromere
Telomere
de novo telomere formation

200 kb

Figure 4

A

H99α x KN99**a**   VYD135α x KN99**a**   VYD136α x KN99**a**

B

H99α x KN99**a**   VYD135α x KN99**a**   VYD136α x KN99**a**

Spore germination rate = 80-90%   No spores dissected   Spore germination rate = 3% (3/96)

Figure 6

Centromere-specific CRISPR

Joining of DSBs

Chromosomes involved in arm-exchange

Arm stabilized by de novo telomere addition

Reproductive barrier with the wild-type parent

New species?

Isochromosome formation

Fragments lost

Chromosome with shorter centromere

Aneuploid progeny with mixed karyotype

Mating with wild-type parent

Viable progeny