# Axonal gap junctions in the fly visual system enable fast prediction for evasive flight maneuvers

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## 9 Abstract

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The visual system must make predictions to compensate for inherent delays in its processing, yet 10 little is known, mechanistically, about how prediction aids natural behaviors. Here we show that 11 despite a 30ms intrinsic processing delay, the vertical motion sensitive (VS) network of the blowfly 12 can achieve maximally efficient prediction. This prediction enables fine discrimination of input 13 motion direction during evasive flight maneuvers, which last just 40ms. Combining a rich database 14 of behavioral recordings with detailed compartmental modeling of the VS network, we further 15 show how the VS network implements this optimal prediction. The axonal gap junctions between 16 the VS cells are crucial for optimal prediction during the short timespan of evasive maneuvers. Its 17 subpopulation output further selectively conveys predictive information about the future visual 18 input to the downstream neck motor center. Our work predicts novel sensory-motor pathways that 19 link prediction to behavior. 20 21

## 22 Introduction

Escape from predators is critical for survival. Escape behaviors can take on a variety of forms. 23 from the triggering of a reflexive startle response (e.g. the c-bend escape in zebrafish (Lopez-Schier, 24 2019), fly escape take-offs (Card and Dickinson, 2008), to more ongoing behaviors that require 25 continuous sensory processing (e.g. escape from a looming threat during flight (Schilling and Borst, 26 2015: Hanlon, 2018)). In the latter kind of escape, here called an 'evasive maneuver', the organism 27 needs to update its motor output dynamically as the escape unfolds. Although most animals 28 steer away from imminent threats, the trajectories of escapes, even for similar threats, are highly 29 variable (Domenici et al., 2011). To a certain degree, such variability makes the escape maneuver 30 unpredictable in the eyes of the predator. This feature prevents the predator from learning 31 to anticipate a stereotyped escape pattern and foiling the escape. During ongoing behaviors, 32 generating this kind of escape response requires actively controlled and finely stimulus-tuned 33 maneuvers. Therefore, the escape trajectory is predictable to the animal because it must maintain 34 good control of its motor plant. Here we investigate how fine-controlled variation is instantiated in 35 evasive responses while animals are engaged in ongoing behaviors. 36 Insects, especially diptera, are excellent models for exploring this problem. For the animal 37 models in this work, the blowfly and the drosophila, both precise measurements of the motion 38 executed during their evasive behaviors (Muijres et al., 2014) and mechanistic level understanding 39 of the underlying neuronal circuits (Cuntz et al., 2007; Weber et al., 2008) are available. Furthermore, 40

insect brain architecture is highly conserved. Flies in particular use similar neural architecture

- to drive similar behaviors (Dickinson, 2014). Escape behaviors and the corresponding sensory-
- <sup>43</sup> motor circuits controlling them emerged as early as flight itself, 400 million years ago (*Dickinson*,
- <sup>44</sup> **2014**). Many modern arthropod species thus inherited this core sensory-behavioral module. In
- 45 particular, both blowflies and drosophila use banked turns to change their heading direction,
- <sup>46</sup> during both stereotypical saccadic flights (*Muijres et al., 2015; Schilstra and Hateren, 1999; Hateren*
- 47 and Schilstra, 1999) and visually induced turns (Balint and Dickinson, 2001; Muijres et al., 2014).
- <sup>48</sup> Despite their size differences (a blowfly is roughly four times larger than a drosophila), recent work
- <sup>49</sup> has shown that the electrotonic structures and neuronal functions of motion sensitive neurons
- <sup>50</sup> between these two animals are analogous (*Cuntz et al., 2013*). Meanwhile, the banked turns they
- <sup>51</sup> make with their bodies are similar in shape (*Schilstra and Hateren, 1999; Hateren and Schilstra,* <sup>52</sup> *1999; Mujires et al., 2015*), while drosophila show a slower overall profile of angular velocity (*van*
- <sup>53</sup> Breugel and Dickinson. 2012: Schilstra and Hateren. 1999). In addition, drosophila do not use
- <sup>54</sup> additional head banking to stabilize their retinal input, possibly because of the lower acuity in
- <sup>55</sup> their compound eyes compared to the blowfly. Because the only precise measurements of the
- <sup>56</sup> fly's evasive maneuver are available in drosophila (*Muijres et al., 2014*) and the only mechanistic
- <sup>57</sup> understanding of the neural circuit that processes the visual input during these maneuvers is from
- the blowfly (Cuntz et al., 2007; Weber et al., 2008; Borst and Weber, 2011), but the two species are
- <sup>59</sup> so similar overall, we use the behavioral measurements from drosophila to investigate how the
- <sup>60</sup> blowfly's motion sensing circuit extracts behaviorally relevant information for this survival-critical <sup>61</sup> maneuver. Blowflies have higher angular velocity during their banked turns and higher acuity in
- their compound eves, thus we hypothesize that the blowfly must perform evasive maneuvers in a

more precise and finely controlled manner than drosophila. Hence, this investigation will show the

- <sup>64</sup> minimum necessary computation that the blowfly's neural circuit must execute during fast evasive <sup>65</sup> maneuvers.
- Drosophila are capable of performing in-flight evasive maneuvers after a 60ms sensory-motor delay (*Muijres et al., 2014*). These evasive maneuvers use a mere 40ms to reorient the animal's heading and accelerate it away from the threat. Previous work showed that such maneuvers consist of visually-guided banked turns followed immediately by active counter-banked turns. Perhaps to be maximally unpredictable to the predator (*Domenici et al., 2011*), these escape maneuvers
- to be maximally unpredictable to the predator (*Domenici et al., 2011*), these escape maneuvers
   show substantial variability in their initial heading and subsequent flight trajectory, though they
- are finely controlled throughout their execution (i.e. this variability is not just noise) (*Muijres et al.*,
   2014). Thus, these maneuvers are not simply reflexive patterns or gestures that are triggered in an
- all-or-none fashion: even within the brief 40ms time frame of the escape response, sensory-motor
- circuits in the fly brain continuously transform visual information into the motor commands that
   control flight.
- We set out to explore how visual information from escaping a purely visual threat is used to 77 sculpt the fly's evasive maneuver. Because the fly visual system has a 30ms processing lag (Land and 78 Collett, 1974), it is unlikely that evasive maneuvers use visual information through feedback given 79 their brief, 40ms time span. Previous work (Muijres et al., 2014) hypothesized that either evasive 80 maneuvers are guided by a single feed-forward program or that the fly uses mechanosensory 81 feedback from the halteres to sculpt the active counter-bank turns during the escape. Haltere 82 steering neurons make direct electric synapses with motoneurons of wing steering muscles (Heide, 83 1983: Favyazuddin and Dickinson, 1996, 1999) that can cause fast alterations of wing kinematics 84 (Bergou et al., 2010; Ristroph et al., 2010) with only a 15-20ms delay. Thus, the halteres could 85 initiate the active counter-bank turns, about halfway through the fly's escape maneuver. Previous 86 work have shown that these haltere neurons also use visual input during flight to regulate their 87 activity (Dickerson et al., 2019). This suggests that the feed-forward output of the fly visual system 88 at the beginning of evasive maneuver may be used by the halteres to regulate evasive flight roughly 89 20ms after the evasive maneuver starts. Since the evasive maneuver is a fast and large repositioning
  - of the fly's body and flight path, this would only be a useful visual input if it contained significant

information about the future state of the fly's posture and heading. In this work, we investigate
 how the fly uses predictive information from the visual system for active flight control.

Specifically, we hypothesize that if the fly can predict the future visual input that it will experience

during the evasive maneuver, it can use such information to actively control the banked and counter

banked turns that it will execute. Given the exceptionally short time scale of the evasive maneuver,

<sup>97</sup> we focus on the bottom-up prediction (i.e., no feedback). To be effective, this prediction exploits

<sup>98</sup> the temporal correlations between past and future visual stimuli during evasive maneuvers. Said

another way, the fly's evasive maneuver is initiated with a particular heading change that is selected

in the moment and based on the purely visual threat cue. The escape trajectory depends on the

threat angle relative to the fly's heading (*Muijres et al., 2014*). Where and how the escape maneuver

<sup>102</sup> begins constrains how it will unfold, giving the visual system ample predictive power with which

to guide active flight control. We show how this bottom-up prediction provides information about

future sensory input, subverting delays in the visual input stream. Encoding of optimal predictive
 information exists in the vertebrate retina (*Palmer et al., 2015*) and may ensure fluid interaction
 with the external environment. It may also be important in the formation of long-term memory
 (*Berman et al., 2016*). Here we hypothesize that such bottom-up prediction in the fly visual system

enables it to control brief evasive maneuvers that are critical for survival.

Because the banked/counter-banked turns of the evasive maneuvers are combinations of pitch 109 and roll rotations, we focus on how visual prediction emerges in the vertical motion sensing (VS) 110 network of the flv visual system. i.e. the sensory system dedicated to encoding these specific 111 rotation angles (Borst and Weber, 2011). The fly visual system is organized in four consecutive 112 lavers: retina, lamina, lobula and lobula plate. The VS network consists of 10 lobula plate tangential 113 cells (the VS cells) in each compound eve. It receives retinotopically organized local motion inputs 114 and outputs global motion information in its axonal voltages. Each VS cell has its dendritic receptive 115 field center at a specific rotational axis of the fly's coronal plane. They are numbered VS1-VS10 along 116 the fly's anterior-posterior axis according to their receptive field location. Not only is the VS network 113 essential for generating proper optomotor responses, silencing this network also eliminates the 118 fly's escape response (Schilling and Borst, 2015). The output from the VS network arises from 119 subpopulations of adjacent cell triplets, which target different downstream areas (Borst and Weber, 120 2011: Hagg et al., 2007). In particular, the VS network connects to the downstream neck motor 121 center only through the VS 5-6-7 triplet of cells (Hagg and Borst, 1996; Hagg et al., 2007), which 122 have dendritic receptive fields located at the center of the field of view of the fly. 123

The VS network has a chain-like structure (Hagg and Borst, 1996; Hagg and Borst, 2004, 2005; 124 *Cuntz et al., 2007*). Each VS cell only connects with other VS cells having immediately neighboring 125 receptive fields. Meanwhile, the VS1 and VS10 cells show reciprocal inhibition (Hagg and Borst. 126 2007). Previous dual-recording experiments (Haag and Borst, 2004) showed that VS cells connect 127 amongst each other through electrical synapses. Further dye-coupling experiments showed that 128 these electrical synapses were gap junctions (Hagg and Borst, 2005). In (Cuntz et al., 2007), they 129 further identified that these gap junctions are located at the axons of VS cells. By having these 130 axonal gap junctions, the VS network implements an electrotonic segregation mechanism between 131 its dendrites and axons: all VS cells show broadened receptive fields at their axons compared to 132 those at their dendrites. These broadened receptive fields improve the encoding robustness of 133 motion stimuli (Cuntz et al., 2007; Elyada et al., 2009) at the output of the VS network. Recent work 134 also shows that this wiring architecture, coupled with subpopulation readout from the VS 5-6-7 135 triplet, enables near-optimal encoding of constant speed rotations (Wang et al., 2017). In this work, 136 we focus on behavioral constraints imposed by fast evasive flights maneuvers, asking whether this 137 same wiring architecture supports visual prediction on a fast enough time scale to efficiently guide 138 evasive maneuvers. 139

To explore this hypothesis, ideally one would trace the activity of the VS cells in behaving animals.
 However, evasive flight maneuvers require untethered flight, which makes population recording
 from the VS network prohibitive. Here instead we use numerical simulations of a biophysically

realistic compartmental reconstruction of the VS network to investigate how the VS network might 143 encode this kind of fast, predictive information. This compartmental reconstruction of the VS 144 network is highly experimentally constrained (Cuntz et al., 2007; Weber et al., 2008). All single-cell 145 (Hagg and Borst, 1996) and neuronal circuitry parameters (Hagg and Borst, 2004, 2005; Cuntz et al., 146 2007) are set such that this compartmental reconstruction behaves as does the real VS network when presented with the same current injection (Weber et al., 2008; Haag and Borst, 2004, 2005). 148 Based on how the output of the VS network encodes predictive information about the visual inputs 149 during recorded evasive maneuvers, we first demonstrate that axonal gap junctions are essential 150 for input prediction in the VS network during evasive maneuvers. Next, we show that this predictive 151 information, present at the output of the VS network, is near-optimal throughout the duration of 152 evasive maneuvers. We further show that the output circuitry of the VS network (the VS 5-6-7 triplet) 153 to the neck motor center retains all available information about future stimuli, i.e., compressing the 154 readout does not sacrifice how much a downstream pathway knows about the ongoing evasive 155 maneuver. Finally, we show that the predictive information about future visual inputs can be used 156 for fine scale discrimination between input motion directions. The encoding of such predictive 157 information is especially beneficial for fine-tuning subsequent motor behavior. Our results also 158 predict the existence of a novel sensory-motor pathway between the visual system and a visually 159 gated motoneuron of wing steering muscles, namely the second basalare motoneurons (M.b2) (Tu 160 and Dickinson, 1996; Heide and Götz, 1996; Dickson et al., 2006; Lindsay et al., 2017). 161

## 162 **Results**

## <sup>163</sup> Visual prediction provides substantial information about motion without delay

*Figure 1* shows that visual prediction contains substantial information about future motion for 164 controlling evasive flight maneuvers. We first use a schematic trace to illustrate the inputs and 165 delays in the system (Figure 1A). Previous work shows that the feedback from the halteres onto 166 motoneurons of wing steering muscles only becomes available after a 15-20ms delay (Muiires 167 et al., 2014: Dickinson and Muijres, 2016), towards the second half of the maneuver, right before 168 the active counter-banked turn starts. Visual feedback would also arrive too late, coming online 169 only after 30ms, long after the banked turn is replaced by the counter-banked turn through active 170 control (Dickinson and Muiires, 2016). 171

To quantify how much visual prediction encodes about the stimulus (*Figure 1B*), we define this stimulus-relevant predictive information in the output voltage from the fly VS network as:

$$I_{future:stim}(\Delta t) = I(V_{past}; stim_{future}) = I(V_t; stim_{t+\Delta t})$$

$$= \iint_{V_t, stim_{t+\Delta t}} p(V_t) p(stim_{t+\Delta t} | V_t) \log_2 \frac{p(stim_{t+\Delta t} | V_t)}{p(V_t)}, \tag{1}$$

where V is the output axonal voltage of the VS network at time t.  $\Delta t$  is the time interval between 174 the past voltage and future visual stimulus. Here we use intervals of  $\Delta t = 10ms, 20ms, 30ms, 40ms$  to 175 obtain the output of the VS network. This is because the maximum firing rate of the descending 176 neuron connecting to the neck motor center is 100Hz (Weber et al., 2008), which corresponds to an 177 integration step of at least 10ms (see Methods and Materials). Throughout this paper, we represent 178 the future rotational stimulus  $stim_{t+\Delta t}$ , by its vector components  $(\cos(stim_{t+\Delta t}), \sin(stim_{t+\Delta t})))$ . The 179 cosine component corresponds to roll direction/magnitude and the sine component corresponds 180 to pitch direction/magnitude. This vector is within the fly's coronal plane, to which the VS neurons 181 are selectively sensitive. We then estimate  $p(stim_{t+\Lambda_1})$ , i.e., the stimuli distribution and  $p(stim_{t+\Lambda_1}|V_1)$ , 182 i.e., the probability of the future stimulus conditioned on the past output axonal voltage to obtain 183 I future stim (see Methods and Materials). Figure 1B shows that the predictive information I future stim in 184 the VS output voltage about the future stimulus captures nearly 50% of the entropy of the future 185 motion. This suggests that the predictive information encoded by the VS network is an important 186 information source for evasive flight behaviors, in the natural environment. 187

To evaluate I future:stim, we need to approximate both the stimulus distribution and the respective 188 output distribution of the VS network. To obtain the stimulus distribution, we generate 650,000 189 samples of visual field motion trajectories based on behavioral recordings published in (Muiires 190 et al., 2014). Each visual experience corresponds to one instance of a particular evasive maneuver 191 embedded in a randomly selected set of nature scene images. There are 10,000 samples for each 192 of the 65 evasive flight trajectories with duration of 40ms (out of the total 92 published trajectories 193 in (Muiires et al. 2014)) Figure 1C shows one exemplar visual experience of a particular evasive 194 maneuver trajectory with the blue arrow as the instant rotation trajectory. Here, we obtain the 195 "cage" of natural images for simulation by randomly selecting six images out of the van Hateren 196 dataset (van Hateren, 1992) and patch them onto the six faces of a cube. Then we generate a 197 movie mimicking the evasive flight in the natural environment by rotating this natural scene cage 198 according to the measured rotations in the evasive flight trajectory (we do not use the translation 199 component of the evasive maneuver in this simulation because previous work showed that the VS 200 network is not sensitive to translation (Borst and Weber, 2011), also see Methods and Materials) 201 We next project this movie onto 5,500 local motion detectors, whose responses are integrated 202 as the input current of the VS network (Figure 1D). This simulation procedure is the same as that 203 described in (Trousdale et al., 2014). Previously, we showed that the VS network can use its own 204 transient response (10ms after the onset of stimuli) to encode the stimulus with constant rotational 205 motion at a relatively high fidelity (Wang et al., 2017). Here we use a behaviorally realistic, highly 206 variable visual input and explore its intrinsic correlation structure, to investigate how the fly's brain 207 use this predictive information to make fast and accurate sensory predictions. 208

## <sup>209</sup> Axonal gap junctions enable prediction during evasive maneuvers

Figure 2 shows that the anatomical locations of the gap junctions have significant impact on the 210 predictive encoding capability of the VS network. Located in the lobula plate, the VS network does 211 not have direct access to the visual input. Instead, the dendrites of all VS cells receive current inputs 212 resulting from integrating the outputs from hundreds of upstream local motion detectors (Hagg 213 and Borst 2004) Here we use correlations in the VS input current induced by the stimulus as a 214 proxy for the stimulus correlations, themselves, which can be in turn used to encode predictive 215 information about the future stimulus. In this encoding scheme, the correlation between the past 216 and the future of the input current itself limits how much predictive information the VS network 217 can encode. This generalized correlation between the past and future of the VS inputs  $I_{future:limit}$ , is 218

$$I_{future:limit} = I(curr_{past}; curr_{future}) = I(curr_t; curr_{t+\Delta t})$$

$$= \iint_{curr_t, curr_{t+\Delta t}} p(curr_t) p(curr_{t+\Delta t} | curr_t) \log_2 \frac{p(curr_{t+\Delta t} | curr_t)}{p(curr_t)}.$$
 (2)

This is also the mutual information between the past and future input (the dendritic current) and
 defines the total interdependence of the current with itself in time.

Similar to  $I_{future:limit}$ , we also define the information encoded by the axonal voltage of the VS network from its own input as

$$I_{future:in} = I(V_{past}; curr_{future}) = I(V_t; curr_{t+\Delta t}).$$
(3)

This is the predictive information between the output axonal voltage and the future input current, which again we are using as a proxy for future stimulus. Causality dictates that the past axonal voltage can only obtain information about the future current from the past current, therefore  $I_{future:limit}$  is an upper bound on  $I_{future:in}$ . Here, we explore what network wiring features support the maximal transmission of the correlation structure in the input current onto the output axonal voltage of the VS network.

As shown in *Figure 2*, axonal gap junctions are necessary for the system to encode the maximal amount of predictive information about the input current. Namely, the  $I_{future:in}$  (shown in pink) only approaches  $I_{future:limit}$  (shown in green) when gap junctions are present to neighboring VS axons.

(**a**)

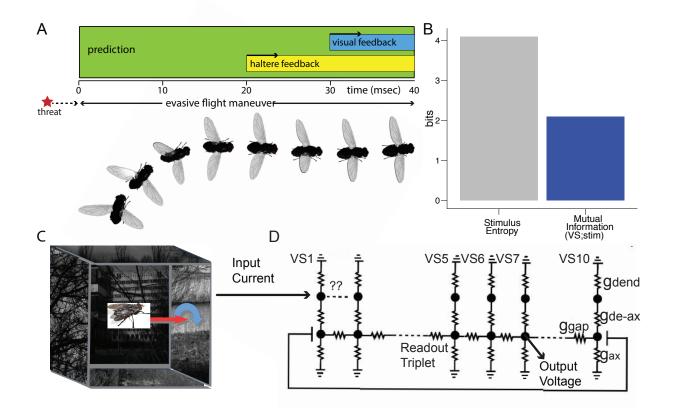


Figure 1. Predictive information is the dominant information source about visual inputs during evasive flight maneuvers. (A) Upon emergence of a threat (shown as the red star, dashed line represents the visual-motor delay of 60ms from the onset of threat to the start of the evasive maneuver), the fly performs an evasive maneuver by changing its heading through a banked turn. During the evasive maneuver, visual predictions can provide motion information throughout the entire duration, i.e., without delay (shown as the green zone), whereas the haltere feedback is only available after 20ms (shown as the yellow zone) and the visual feedback is only available after 30ms (shown as the blue zone). (B) This histogram compares how much information the visual prediction (shown in blue) can encode about the stimulus during the evasive maneuver with the stimulus entropy (shown in gray). We use the stimulus distribution at  $\Delta t = 10ms$  into the evasive maneuver to compute this entropy. Its distribution is shown in Figure 1-Figure Supplement 1A. Note that the VS output contains almost half of the future stimulus entropy. (C) Schematic depiction of the visual stimuli for the simulation, recompiled from (Wang et al., 2017). Six natural images (five are shown here, with one excluded to reveal the fly's viewing perspective) were randomly selected from the van Hateren dataset (van Hateren, 1992); each image was patched onto a different face of a cube. Assuming that the fly is located in the center of this cube, we obtain the visual experience of the fly's ego-rotational motion by rotating this cage around a particular motion direction shown by the dark blue arrow. We then project the moving natural scene cage to ~5,500 local motion detectors (LMD), which are randomly distributed on the fly's retina. The responses of these LMDs are then integrated as the input current to the VS network (shown as arrow to D). (D) A biophysically detailed model of the VS network, based on known neural circuitry (Hagg and Borst, 1996; Haag and Borst, 2004). We highlight the outputs to the neck motor center here, the axonal voltages of the VS 5-6-7 triplet. This is the only known readout that directly connects to motor pathways.

Figure 1-Figure supplement 1. Stimulus distributions for different time steps during the evasive maneuver.

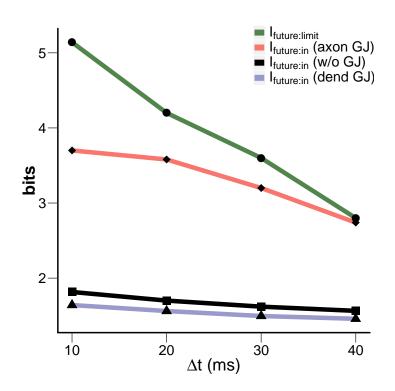


Figure 2. The capacity of the VS network to encode predictive information varies with the anatomical locations of the gap junction between VS cells. The predictive information about the future input current,  $I_{future:in}$  encoded in four different schemes: 1) the past dendritic input current (in green, this is the limit  $I_{future:limit}$ . It is also the upper bound of  $I_{future:in}$ ), 2) the past axonal voltage when the gap junctions are present between VS axons (pink), 3) when the gap junctions are present between VS dendrites (purple) and 4) in the absent of gap junctions (black). All Gap junctions = 1000 nS for both settings when they are present. Only their locations differ, i.e., axon vs. dendrite. Note that when the gap junctions are present between VS cell axons, the output voltages preserve almost the entire amount of the predictive information available at the inputs (red). (See details in Methods and Materials.)

- <sup>232</sup> The other two configurations of gap junctions, i.e., no gap junctions or gap junctions at the dendrites
- <sup>233</sup> (shown in black and purple, respectively), cannot encode as much predictive information. Previous
- work had shown that the axonal gap junctions implement an electrotonic segregation that results
- in the broadening of receptive fields at the axonal terminal of the VS cells, for constant rotational
- motion (*Cuntz et al., 2007*). Here, we further determine that such electrotonic segregation supports
- maximal predictive encoding in a realistic behavioral context.

## <sup>238</sup> The VS network is near-optimal in predicting its own future input.

- <sup>239</sup> All of the information encoded by the VS network comes from its sole input current, *curr*<sub>nast</sub>. To
- quantify the efficiency of encoding, we not only need to quantify the benefit (i.e., the  $I_{future:in}$ ), we
- also need to quantify the cost, which is how much the axonal voltage encodes about its input (at
- the same time in the past). We define this as another mutual information quantity,

$$I_{past;in} = I(V_{past}; curr_{past}) = I(V_t; curr_t).$$
(4)

- <sup>243</sup> Comparing  $I_{past:in}$  and  $I_{future:in}$ , where the past is at time t and the future at  $t + \Delta t$ , we can ask
- <sup>244</sup> formally whether the VS network encodes as much as predictive information as possible, using
- the information bottleneck framework (*Tishby et al., 2000*). Given the amount of information the
- axonal voltage encodes about the past sensory input, what is the maximal amount of information
- it can encode about the future input? Such an optimum  $I^*_{future:in}(I_{past:in})$  traces out a bound (the
- dark blue line) in *Figure 3* as a function of  $I_{past:in}$ . It is the maximal possible predictive information at each level of compression,  $I_{past:in}$ . For encodings with the same  $I_{past:in}$  those approaching the
- 250 bound are optimal.

The known circuitry of the VS network allows us to probe two coupled questions: 1) What is the predictive efficiency (based on the past encoding) and 2) What is the predictive capacity (encoding of the past input only to predict the future input) of the VS network, given different readout encoding architectures?

The predictive capacity of the VS network for its own future inputs is near-optimal, as shown in 255 Figure 3A, the axonal voltages of the VS network encode  $I_{tunnerin} = 3.49 \pm 0.1$  bits for future inputs at 256  $\Delta t = 10ms$  (the beginning of the banked turn). Considering that optimum is  $I_{future:in}^*(I_{past:in}) = 3.59$ 257 bits, using axonal voltages of all VS cells capture  $I_{future:in}/I_{future:in}^* = 97.2\%$  of the optimal predictive 258 information. Such optimality is also present for predicting visual inputs at  $\Delta t = 40ms$  (the counter 250 banked turn segment, corresponding to the end of the evasive maneuver, as shown in Figure 3B). 260 Similarly, using only the axonal voltages from the triplets, prediction of the entire VS network's 261 future input is also close to optimal. We show this as the cross in red, for predicting both the future 262 right after the start of the evasive maneuver and towards the end of the evasive maneuver in 263 Figure 3A and Figure 3B, respectively. For example, all encodings based on outputs of triplets reach 264  $I_{future:in} = 2.89 \pm 0.36$  bits while their respective physical limits are  $3.07 \pm 0.24$  bits in Figure 3A. This 265 suggests that all triplets achieve  $89.8 \pm 1.5\%$  efficiency in encoding predictive information about the 266 inputs  $I_{future:in}/I^*_{future:in}$ . 267

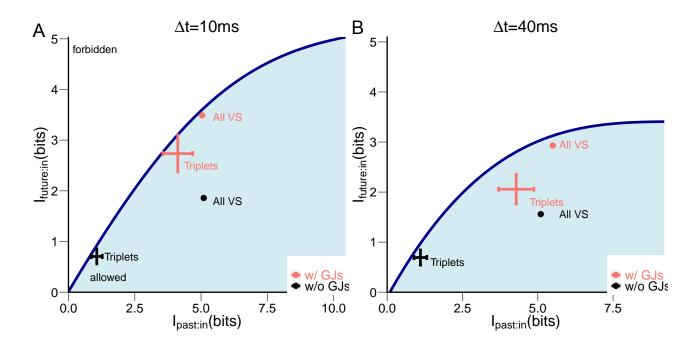
However, the near-optimality of the triplets are still inferior to that obtained by having the axonal 268 voltages of all VS neurons in the network (89.8% vs. 97.2%). All encodings based on triplets contain 269 somewhat less absolute predictive information. The VS network achieves its best trade-off efficiency. 270 the middle shoulder section between the quickly-rising phase and the diminishing-returns-phase of 271 the bound  $I^*_{future:in}(I_{past:in})$  in **Figure 3B**, for encoding stimuli towards the end of the flight maneuver. 272 Because the prediction of its own input is only a proxy of prediction for future stimulus, inferior 273 optimality in predicting its own input does not necessarily map to the same degradation in the 274 prediction of the future stimulus. In the next section, we explore how much this efficiency for 275 predicting its own future input affects the encoding of future visual stimulus. 276

# The triplet architecture selectively encodes predictive information about the fu ture stimulus.

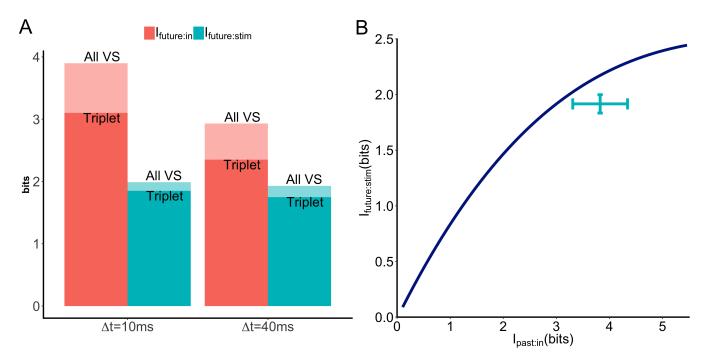
The triplet readout encoding architecture retains close to all of the available predictive information 270 about the future stimulus available to the VS network at its input. Using the VS 5-6-7 triplet as an 280 example (darker color bars in *Figure 4A*), we can see that triplets capture most of the available 281 predictive information about the future stimulus (I<sub>future:stim</sub>, defined in Section 1 of the Results). 282 Because downstream pathways of the VS network only readout from triplets, the VS network 283 appears to use a two-step strategy to optimize this readout: it first efficiently represents correlations 284 within its own past and future input, i.e.,  $I_{future:in}$  at its output; then selects components within 285 that output that are relevant for predicting the future stimulus, I future stim. This is possible because 286 correlations coming from events in the visual world, such as the movement of large objects or the 287 full-field background movement have a different temporal structure (e.g. longer correlation times) 288 than those internal to the brain. 289

Figure 4B shows that all triplets are near-optimal in encoding the predictive information about 290 the future stimulus. Interestingly, such optimality is close to the niche region where the predictive 291 information just begins to saturate (such optimality is also present for prediction of the distant 292 future, i.e.,  $\Delta t > 10ms$ , results not shown). Considering that the VS 5-6-7 triplet encodes nearly the 293 same information about the future stimulus compared to the VS network (Figure 4A), the main 294 benefit of using triplet is compression; despite encoding less predictive information about its own 295 input, the VS triplet readout encoding retains nearly as much as possible about the future statistical 296 structure of the ongoing maneuver, discarding information predictive of the less-behaviorally-297 relevant intrinsic dynamics of the inputs, themselves. 298 Although all triplets encode similar amounts of information about the future stimulus (the 290

standard deviation of the  $I_{future:stim}$  amongst all 120 triplets is just 0.1 bits), the particular triplet



**Figure 3. Near-optimal prediction of the input to the VS network.** (A) The encoding of predictive information about the future current input to the VS network is near-optimal 10ms after the evasive maneuver starts ( $\Delta t = 10ms$ ). Such performance is present for using both the entire VS network and the triplets. The dark blue curve traces out optimum encoding of future input to the VS network given varying amounts of information retained about the past input (also see Methods and Materials). This curve also divides the plane into allowed (blue shaded region) and forbidden regions. No encoding can exist in the forbidden region because it cannot have more information about its future inputs than the input correlation structure allows, given causality and the data processing inequality. In addition, the maximal amount of information (shown as the highest point of the information turve) that is available as predictive information is limited by the correlation structure of the input (current), itself. We then plot the amount of information the axonal voltages of VS network (we show with axonal gap junctions in pink and without gap junctions in black) encode about the future input (the input current at time  $t + \Delta t$ ) versus the information they retain about the past input (the input current at time t) (with all 120 triplets (crosses) and the whole network (circle)). The information efficiency, compared to the bound, contained in a particular encoding scheme corresponds to a single point in this  $I_{past:in}$  -  $I_{future:in}$  plane, which shows how much information it encodes about the past input vs. how much it encodes about the future. A particular VS encoding could occupy any point within the blue shaded region, but those that get close to the bound  $I_{future:in}^{sast:in}$  for a particular  $I_{past:in}$  are the maximally informative predictors of the future input. (B) Similar to A, but for prediction of the distant future:  $\Delta t = 40ms$ , corresponding to the stimulus at the end of evasive maneuver.



**Figure 4. Encodings based on the axonal voltages of triplets are near-optimal in predicting the future stimulus.** (A) Histogram showing that although the VS triplets encode less predictive information compared to a combination of all VS axonal voltages (shown in red), the triplets (we use the VS 5-6-7 triplet as an example here) encode nearly as much information *about the future stimulus* (shown in cyan) as the whole VS population. Here we show the comparison for the immediate future stimulus after the onset of the evasive maneuver,  $\Delta t = 10ms$ . Similar relationships also hold for the more distant future stimuli, e.g.  $\Delta t > 10ms$  (not shown). (B) Similar to *Figure 3A*: The encoding of predictive information for the future stimulus 10ms after the start of the evasive maneuver ( $\Delta t = 10ms$ ). The dark blue curve traces out the optimum encoding of the future stimulus given varying amounts of information retained about the past input. The cyan cross corresponds to how much information each of all possible 120 triplets encode about the future stimulus vs. how much information they retain from the past input.

**Figure 4-Figure supplement 1.** How much a triplet readout encoding retains from the past input vs. how much that information is about the future stimulus (out of the information about their own future input), for all 120 possible triplets.

connecting to the neck motor center, the VS 5-6-7, is one of the better choices in terms of how much
 information about the future stimulus it packs into its prediction of the future input (*Figure 4–Figure*)

- <sup>303</sup> *Supplement 1*. The most efficient triplet is VS 1-2-3. However, if we factor in wiring constraints,
- <sup>304</sup> linking the output from VS 5-6-7 to a downstream dendritic arbor in the descending neurons for the
- <sup>305</sup> neck motor center requires a much shorter wiring length compared to the peripheral location of
- the VS 1-2-3 triplet (VS cells are numbered according to their locations along the anterior-posterior
- <sup>307</sup> axis, VS 5-6-7 are central in the compound eyes). It is possible that the minimization of wiring
- length (*Cuntz et al., 2009*) is important in selecting the simultaneously most predictive and most
   resource-efficient encoding.

Here we show that the VS 5-6-7 triplet is successful in retaining nearly all of the predictive 310 information about the future stimulus compared to that encoded by the entire VS network. This 311 result also clarifies that the predictive information encoded by the VS network is compressible: 312 the VS 5-6-7 triplet successfully reformats the predictive information from 20 dendrites/axons (10 313 VS cells from both compound eves combined) into six axons (the axons of VS 5-6-7 from both 314 compound eyes combined). In the next section, we investigate how the stimulus representations 315 vary based on either the entire VS network or the VS 5-6-7 triplet. We do this to understand a) what 316 kind of computation is possible via the encoding of near optimal predictive information, and b) how 317

the VS 5-6-7 triplet reformats this near-optimal prediction.

# Predictive information encoded by the VS network provides fine-scale discrimina tion of nearby stimuli.

Comparing the stimulus representations encoded by the entire VS network and the VS 5-6-7 triplet 321 is challenging. No direct comparison can be made between representations of different dimensions 322 (20-D based on the entire VS network and 6-D based on the VS 5-6-7 triplet). We can, however, 323 make use of our information plane analysis and fact that both schemes encode similar amount of 324 predictive information. Points at the same v-value or similar v-values in the information plane have 325 the same dimensionality in their compressed representations. Z (Tishby et al., 2000). Therefore, to 326 compare encoding schemes, we can explore the structure of the encoding Z. While we were able to 327 compute information quantities in Z as shown above, it is more complicated to derive the structure 328 of of the mapping to Z, itself. Thankfully, recent work in machine learning and computational 329 neuroscience guides the way forward. We can approximate the structure of the optimal encoding by 330 finding a variational approximation (Alemi et al., 2016: Higgins et al., 2017: Chalk et al., 2016) to the 331 information bottleneck (VIB) problem. It has been shown that this approximation is closely related 332 to the loss function use to train variational autoencoders (Kingma and Welling, 2013). The VIB is a 333 generative learning framework. Given pairs of the inputs and outputs, it generates a latent feature 334 space whose dimensions are predictive features from the input to the output (Figure 5-Figure 335 *Supplement 1*). One can then project the input into this latent feature space to obtain the predictive 336 representation of the output. Therefore, by using the axonal voltage as input and the future input 337 current as output (During the evasive maneuver, the VS network does not have direct access to 338 the visual input. Instead, it uses the correlations between its past and future inputs induced by the 339 stimulus as a proxy for the stimulus correlations, themselves) in training a VIB, we can explore the 340 representation of the future stimulus encoded by the optimally predictive VS network at a fixed level 34 compression (see Materials and Method). To allow for a direct comparison, we keep the dimension 342 (D = 2) of the latent feature space to be the same while changing the input using either the axonal 343 voltages of the entire VS network, or those of the VS 5-6-7 triplet. 344

The representations of the future stimulus generated by the VIB (*Figure 5*) reveal that the 345 predictive information encoded by the VS network supports fine-scale discrimination of the input 346 motion direction. We obtain these predictive representations in two steps: first we train the VIB 347 to generate a latent feature space that maps the input (the axonal voltages of the VS network) 348 to the future input current. Next, we project input voltages that correspond to the same future 349 stimulus onto this latent space. We can label these points in the latent space by their future 350 stimulus value, and repeat this procedure for several different stimulus values. We can visually 351 and computationally examine how overlapping or distinct these maximally predictive stimulus 352 clusters are in the latent space of the VIB. Based on these predictive stimulus representations. 353 we can understand what is being computed during the evasive maneuver. The *Figure 5A* shows 354 a predictive representation for stimuli with different degrees of clockwise roll and up-tilt pitch 355 (i.e., their corresponding directional vectors are located in the 1st guadrant in the fly's coronal 356 plane). The *Figure 5B* shows a similar predictive representation using the axonal voltages of the VS 357 5-6-7 triplet as input. In both predictive representations, the clusters corresponding to different 358 stimuli are distinguishable. Such discrimination also applies to stimuli combining counter-clockwise 350 roll and up tilt, i.e., corresponding to vectors within the 4th guadrant of the fly's coronal plane 360 (Figure 5C for using the entire VS network as input and Figure 5D for using the VS 5-6-7 triplet as 361 input, respectively). However, these predictive representations cannot discriminate stimuli with 362 vastly different roll or pitch directions, i.e., belonging to different quadrants; there is substantial 363 overlap if we overlay these predictive representations, e.g. the cluster corresponding to 270° (shown 364 in magenta in *Figure 5C*) will entirely cover the cluster corresponding to 19° (also shown in magenta, 365 but in *Figure 5A*). The same overlap is also present in *Figure 5B* and *Figure 5D*. Therefore, both the VS 366 network and the VS 5-6-7 triplet support a similar fine-scale discrimination between closely-related 36 stimuli. This similarity agrees with our previous result (Figure 4) that both the VS network and the VS 368

<sup>369</sup> 5-6-7 triplet, as well as their respective VIB approximations (*Figure 5–Figure Supplement 4*), retain
 <sup>370</sup> almost the same amount of predictive information about the future stimulus.

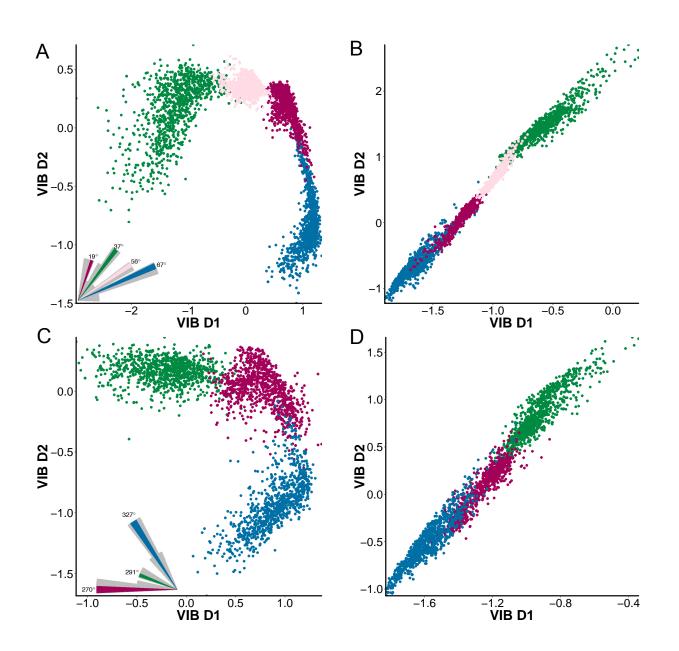
Interestingly, such discrimination preferentially disentangles nearby stimuli. For example, a 371 pair of stimuli (56° and 67°, shown in Figure 5-Figure Supplement 4A and Figure 5-Figure Supple-372 ment 4B) that are just 10 degrees apart are mapped to distinct, well-separated clusters in the latent 373 space of the VIB. Conversity, another pair (19° and 37°) that are farther apart share some overlap 374 (Figure 5A and Figure 5C) The VS 5-6-7 triplet preserves this fine scale discrimination (Figure 5B and 375 Figure 5D) while compressing the readout. During the evasive maneuver, this fine-scale discrimina-376 tion can aid in fine tuning of banking, allowing the fly to carefully adjust its heading change based 377 on the input threat. We hypothesize that the predictive information encoded by the VS network 378 can help the downstream neck motor center to actively control the evasive maneuver. Because 379 the predictive representations generated by VIB contain about 75% of the predictive information 380 in their inputs (Figure 5-Figure Supplement 2), this result provides a lower estimate of what the 381 biological networks can encode: because both the VS network and the VS 5-6-7 triplet encode more 382 predictive information than their respective VIB approximations, they should be able to perform at 383 or better in the fine-scale discrimination achieved by the VIB's, as shown in *Figure 5*. 384

Even with a representation that retains all of the stimulus information in the input to the 385 VS network, one cannot discriminate stimuli in vastly different directions. We construct such a 386 representation based on the instantaneous input current of the present stimuli. These input 387 currents contain 2.44 bits of the stimulus information at the same point in time, i.e. without 388 prediction forward in time. This information is higher than that available via predictive information 389 encoding of the past input current (2.1 bits, shown as the red bar in Figure 5-Figure Supplement 2). 390 The first two principal components (PC) of the input current retain nearly all available stimulus 391 information, so we ask whether disparate stimuli can be disentangled in this subspace of the 392 instantaneous stimulus representation. Hence, we obtain a representation retaining all stimulus 393 information by projecting all VS input currents into these first 2 PCs. We find that there still exists 394 substantial overlaps between stimuli (Figure 5-Figure Supplement 3), e.g. the cluster of 19° in 395 magenta almost covers the entire cluster of 247° in light green (Figure 5-Figure Supplement 3A). 396 This shows that the input to the VS network can only support fine-scale discrimination, whether 397 an instantaneous readout or predictive readout. This also means that based on prediction, the VS 398 network can only perform fine-scale discrimination. Therefore, it is possible that the integration at 390 the neck motor center from multiple sources (VS network, haltere and prosternal organs (Buschbeck 400 and Strausfeld, 1997) combines information from other pathways to discriminate stimuli with larger 401 direction differences. 402

## 403 **Discussion**

Here, by focusing our analysis of the fly's neural code for a key survival strategy, the evasive 404 flight maneuver, we have shown that the VS network can encode predictive information near-405 optimally. A subpopulation readout mechanism, based on triplets of VS cells, further compresses 40F the representation of that predictive information. This compression trades off local input prediction 407 with the prediction of the future stimulus; while it encodes the future input somewhat sub-optimally. 409 it retains the more behaviorally important predictive stimulus information at higher fidelity, in all 409 triplets. The encoding of predictive information has a concrete behavioral goal: it enables fine-410 tuning of motion discrimination during the evasive maneuver. 411 Combining these observations, the fly brain satisfies an overarching computational goal of 412

Combining these observations, the fly brain satisfies an overarching computational goal of effectively guiding evasive flight trajectories through visual prediction at both levels of input filtering (via axonal gap junctions) and output reformatting (via subpopulation readout based on triplets). By next identifying that the predictive representations of future stimuli are best at enabling fine-scale discrimination of nearby stimuli, we have shown how structure maps to function in this motion sensing system. In addition, we have shown that behaviorally relevant features of the stimulus are faithfully encoded via circuit mechanisms at the sensory end of the arc from sensation to action.



**Figure 5. The predictive information encoded by the VS network supports fine scale discrimination of the future stimulus.** (A) The predictive representation of four future stimuli in the same quadrant of roll and pitch, e.g. an up-tilt and a clockwise roll. This representation maps the axonal voltage of the entire VS network to the future stimulus through a latent feature space. The dimensions in this latent feature space (shown as VIB D1 and VIB D2) are VIB-learned predictive features based on the output of the VS network. All stimuli correspond to vectors within the 1st quadrant of the fly's coronal plane. The inset shows a polar histogram in grey and the four selected stimuli in color. (B) Similar to A but using the axonal voltages of the VS 5-6-7 triplet. (C) Similar to A, but the motion stimuli are all counter-clockwise roll and up-tilt, corresponding to vectors in the 4th quadrant (between 270° and 360°) of the fly's coronal plane. (D) Similar to C, but obtained using the axonal voltages of the VS 5-6-7 triplet as the VIB input.

Figure 5-Figure supplement 1. Network schematic for the variational approximation of the information bottleneck solution (VIB)

**Figure 5-Figure supplement 2.** Predictive information for the future stimulus 10ms after the evasive maneuver starts ( $\Delta t = 10ms$ )

Figure 5-Figure supplement 3. The input to the VS network only supports local discrimination.

Figure 5-Figure supplement 4. The predictive information encoded by the VS network preferentially discriminates nearby stimuli.

<sup>419</sup> This suggests that behavioral goals sculpt neural encoding even at the earliest stages of sensory <sup>420</sup> processing.

our work predicts a novel sensory-motor pathway between the visual system and a visually 421 gated motoneuron of wing steering muscles. Evasive maneuvers consist of rapid banked and 422 counter-banked turns. These rapid turns require elevated wing kinematics, including stroke am-423 plitude and frequency (*Heide and Götz, 1996*). Previous work showed that a motoneuron of wing 424 steering muscles e.g. the second basalare motoneurons (M b2) in both blowfly and drosophila are 425 responsible for initiating these elevated wing kinematics (Tu and Dickinson, 1996: Lehmann and 426 Gotz, 1996). However, little is known about these neurons other than that they can be switched 427 on and off by visual input (Dickson et al., 2006: Lindsov et al., 2017). Our work shows that visual 428 prediction can reach these motoneurons at the beginning of evasive maneuvers. This makes visual 429 prediction a suitable input source that may activate these motoneurons at the beginning of rapid 430 banked turns. 431

Although our results show that the near-optimal prediction is present in the VS network of the 432 blowfly, further investigation is necessary to identify whether this generalizes to other dipterans. 433 First, not all dipterans use VS cells for flight control, e.g. predatory flies like Holcocephala and Efferia 434 do not have VS cells. Instead, they use extra, complementary horizontal motion sensitive (HS) 435 cells to compute rotational motion (Buschbeck and Strausfeld, 1997): second, other dipterans may 436 have flight behaviors non-exist in blowflies, i.e., stationary hovering or ballistic interception. These 437 maneuvers provide drastically different selective pressure on their respective tangential neurons. 438 However, all dipterans' lobula plate tangential neurons are strongly lagged with respect to their 439 behavioral timescales (e.g. the reaction time of robber flies are even faster for prev capture, around 440 10-30ms, and their sensory processing delay is around 18-28ms) (Fabian et al., 2018). 441

Gap junctions are prevalent throughout the brain in many species (Connors, 2017: Marder, 442 **1998**). In vertebrate visual systems, the retina also encodes predictive information near-optimally 443 to potentially circumvent sensory processing delays (Palmer et al., 2015; Sederberg et al., 2018). 444 Initial evidence supports the notion that gap junctions are a key circuit element in improving signal 445 transmission in retina: for example, gap junctions between directionally selective ganglion cells in 446 the mouse retina result in lag-normalization (*Trenholm et al., 2013*), and the gap junctions present 447 in cones and bipolar cells improve the signal-to-noise ratio in their respective outputs (Ala-Laurila 448 et al., 2011). Gap junctions can also rapidly regulate chemical synapses and improve sensitivity 440 to correlated signals (*lacoby et al.*, 2018). When processing stimuli with correlations between 450 the past and the future (e.g. predictable motion), these mechanisms can support prediction to 451 compensate for delays. In the central nervous system, gap junctions are versatile enough to support 452 flexible hierarchical information processing in cortical circuits, as hypothesized in (*Heeger, 2017*). 453 The ubiguitous evolutionary pressure to perform efficient prediction may shape nervous systems 454 through this common circuit motif. 455

The brain carries out flexible, robust, and efficient computations at every moment as an or-456 ganism explores and interacts with the external world. These computations are only possible 457 through versatile mechanisms that operate under realistic behavioral constraints. We have shown 458 that optimizing the transmission of predictive information in sensing systems is a useful way to 459 interrogate the neural code. Given the presence of predictive information in sensory systems that 460 evolved independently (Palmer et al., 2015), our work supports the idea that predictive information 461 may very well be a fundamental design principle that underlies neural circuit evolution. While 467 we have dug into the specific mechanisms and representations that support this kind of efficient 463 prediction for fast, natural and behaviorally critical motion processing in the fly visual system, the 464 lessons learned may apply to a much larger class of neural sensing systems. 465

**466** Methods and Materials

## 467 Self-motion stimuli for evasive flight maneuvers

We obtain self-motion stimuli from a large dataset of evasive flight maneuvers in drosophila published in (*Muijres et al., 2014*). This dataset contains 82 traces of evasive trajectories when the flies face looming targets from all possible angles in their left visual field. All traces contain motion information (e.g., direction, velocity, etc.) from the emergence of the threat to the end of the evasive maneuver. In this dataset, the evasive flight trajectories are aligned at the beginning of the maneuver. The duration of the evasive trajectories vary between 10-40ms, with 65 out of 82 flights as long as 40ms. We chose this dataset for two reasons: a) its sample rate (7500 fps) allows

us to trace the activity of the VS network at the millisecond scale; b) it contains threats approaching

the fly from angles spanning a full 180°, providing a well-sampled collection of the fly's behavioral

477 repertoire.

## 478 Simulation of the model VS network

Our simulation uses a biophysically realistic simplified model of the VS network based on a reconstruction introduced in (*Cuntz et al., 2007*). This reconstruction models each VS cell with hundreds of dendritic compartments based on image stacks obtained by two-photon microscopy. Meanwhile, it implements the chain-like circuitry of the VS network by using both a) resistances connecting neighboring cells as axonal gap junctions (*Haag and Borst, 2004, 2005*); b) the negative conductance between the VS1 and the VS10 to account for the reciprocal innhibition. (*Haag and Borst, 2007*)

Compared to the detailed reconstruction, the simplified, biophysically realistic model introduced in (*Weber et al., 2008*) reduces all dendritic compartments into a single compartment while keeping other components intact. In the simplified model, an individual VS cell is represented by one dendritic compartment and one axonal compartment, respectively. All its parameters were determined by a genetic algorithm (*Weber et al., 2008*) so that this simplified model behaves roughly the same as the real VS network when given the same current injection (*Haag and Borst, 2005, 2004*).

Both the dendritic and axonal compartments have their own conductances ( $g_{dend}$  and  $g_{ax}$ ) 491 respectively) and a connection conductance between them (shown as the  $g_{de-ay}$ ). This VS network 497 model defines the receptive field (RF) of these dendritic compartments as a 2-D Gaussian with 193  $\sigma_{azimuth} = 15^{\circ}$  and  $\sigma_{elevation} = 60^{\circ}$ , tiling along the anterior-posterior axis. Input from local motion 494 detectors within the receptive field of an individual dendrite are integrated into the input current 195 (shown as the arrow between *Figure 1C* and *Figure 1D*)). The neighboring axonal compartments 49F of different VS cells are connected by gap junctions (shown as g<sub>gap</sub>), whereas VS1 and VS10 are 497 connected by inhibitory chemical synapses. In our simulation, we set all conductance magnitudes 498 using the same method as in (Weber et al., 2008). Based on experimental findings from (Hagg and 499 **Borst. 1996**), we vary the magnitude of the GI conductance between 0 and 1  $\mu$ S. 500

In every simulation, we first generate the pseudo-3D visual "cube" (Figure 1C) representing the 501 environment to which our model fly visual system responds, by randomly selecting six images from 502 the van Hateren dataset. Next, we rotate this cube according to the rotational motion during evasive 503 maneuvers recorded in (Muijres et al., 2014). Following the protocol in (Trousdale et al., 2014), we 504 sample the rotational motion at a  $\Delta t = 1ms$  interval, but integrate the above two equations at a 505 smaller time step of 0.01ms to guarantee numerical accuracy. This yields the optic flow pattern 506 that we then project into the 5000 local motion detectors (LMD) in our model visual system. Each 507 LMD contains two subunits that differ by 2° in elevation. They are randomly distributed in a sphere 508 mimicking the visual range of the fly. Each VS dendrite takes as input the output of the LMDs that 509 fall into its respective field to generate the input current to the model VS network. We then use the 510 temporal average of the resulting axonal voltage  $V_{past} = 1/T \int V_{past}(t) dt$ . For the voltage just before 511 the start of the evasive flight maneuver, we use  $t = -10 \sim 0ms$ , because 0ms is the start of evasive 512 maneuver. For each of the 65 evasive traces that lasted a full 40ms, we simulated 10.000 randomly 513 generated natural scenes to obtain samples of the input (current arriving at dendrites) and output 514

(axonal voltages) for subsequent analysis. 515

#### Efficient encoding of predictive information 516

To predict the future input motion, the only input the VS network has is its dendritic input at past 517

- times up to the present, i.e., curr<sub>past</sub>. Ideally, the VS network output represents the future motion 518
- in a specific form, Z, following the optimal encoding dictated by the solution to our information 519
- bottleneck problem. The bottleneck minimizes how much the representation retains about the past 520
- input  $I(Z; curr_{nost})$  and maximizes how much it encodes about the future input i.e.,  $I(Z; curr_{tuture})$ . 521
- Formally, such encoding Z solves the following variational problem, prediction of its own input: 522

$$\mathcal{L}_{p(Z|curr_{past}),\beta} = I_{past:in} - \beta I_{future:in}.$$
(5)

- where  $\beta$  is the trade-off parameter between compression of information about the past, and 523
- retention of information about the future sensory input (we switch to I future: stim when we look at the 524
- prediction of the future stimulus, as shown in Section 4 of the Result). For each I<sub>past:in</sub>, there exists 525 an optimal  $I_{future:in}^{*}(I_{past:in})$  which is the maximum  $I_{future:in}$  possible for a specified  $I_{past:in}$ , determined 526 by the statistics of the sensory input, i.e., *curr*<sub>past</sub>, itself. 527
- We use the following iterative (the Blahut-Arimoto algorithm (*Blahut, 1972*)) algorithm to find Z528 that optimizes *Equation 5*: 529

$$p_t(Z|curr_{past}) = \frac{p_t(Z)}{Z(curr_{past},\beta)} \exp[-\beta \sum_{curr_{future}} p(curr_{future}|curr_{past}) \log \frac{p(curr_{future}|curr_{past})}{p_t(curr_{future}|z)}]$$
(6)

$$p_{t+1}(Z) = \sum_{curr_{past}} p(curr_{past}) p_t(z | curr_{past})$$
(7)

$$p_{t+1}(curr_{future}|Z) = \sum_{curr_{past}} p(curr_{future}|curr_{past})p_t(curr_{past}|Z)$$
(8)

### **Mutual information estimation** 530

536

l

We use the k-nearest neighbor approach described in (Kraskov et al., 2004) to obtain mutual 531 information estimates of I<sub>future:in</sub>, I<sub>future:in</sub>, I<sub>future:stim</sub> and I<sub>past:in</sub>. Here, the mutual information is 532

approximated via its corresponding complete gamma function: 533

$$I(X;Y) = \psi(K) - \langle \psi(n_x + 1) + \psi(n_y + 1) \rangle + \psi(N),$$
(9)

with N being the sample size, here N = 650,000. Given the skewed stimulus distributions shown 534

in *Figure 1-Figure Supplement 1*, we vary  $k = 10, \dots, 15$  and use the mean as the estimate in our 535 analysis.

#### Variational approximation of optimal encoding of the predictive information (VIB) 537

We use the variational approximation introduced in (Alemi et al., 2016). We first rewrite Equation 5 538 as: 539

$$\mathcal{L}'_{p(z|curr_{past}),\beta'} = I_{future:in} - \beta' I_{past:in}$$
(10)

The minimization of **Equation 5** is equivalent to the maximization of **Equation 10** (i.e., when  $\beta' = \frac{1}{\alpha}$ . 540

Equation 10 is the same as Equation 5). 54

Next, we minimize the following variational lower bound of *Equation 10*: 542

$$\mathcal{L}'_{p(z|curr_{past}),\beta} - \beta' H_{stim_{future}} \ge \mathcal{L}_{VIB} = \int dy dz p(curr_{future}, Z) \log q(curr_{future}|Z) -\beta' \int dcurr_{past} dz p(curr_{past}) p(Z|curr_{past}) \log \frac{p(Z|curr_{past})}{r(Z)}$$
(11)

The advantage of using this variational approximation of *Equation 10* is that we can constrain the 543 distribution of Z to a particular form (i.e., a 2-D Gaussian) while letting the distributions of x and y 54/

- to be arbitrary. This provides us with a latent feature representation of the lower bound for the optimal encoding of predictive information.
- In this work, we would like to understand the structure of the optimal encoding for the future
- stimulus given the input (the dendritic current, the VS axonal voltages, or the triplet voltages).
- <sup>549</sup> Therefore, we obtain the respective solutions of  $\mathcal{L}_{VIB}$  with fixed  $\beta' = 40$ . This is the value that falls
- into the diminishing return part of the IB curves in both *Figure 3* and *Figure 4*. We also limit the
- dimension of *Z* to be 2 for direct comparison of inputs having different dimensions.

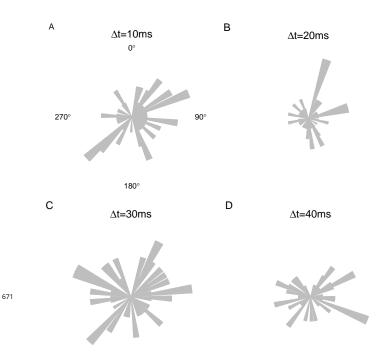
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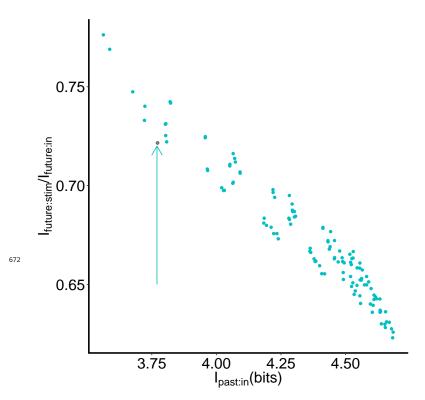
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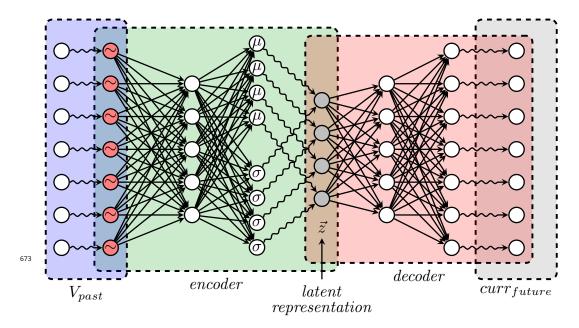


**Figure 1-Figure supplement 1.** Stimulus distributions for different time steps during the evasive maneuver. Here we focus on the stimuli to which the VS network is sensitive. Because the VS network is only responsive to combinations of roll and pitch motions, i.e., motions within the fly's coronal plane, we represent all stimuli with their corresponding vectors in this plane. A) The stimulus distribution at 10ms after the initiation of the evasive maneuver. B) Similar to A, but for the stimulus at 20ms after the start of the evasive maneuver. Here, most of the banked turns slow down and counter banked turns start. C) Similar to A, but for the stimulus at 30ms after the start of the start of the evasive maneuver. This motion corresponds to the start of the counter-banked turn. D) Similar to A, but for the slowing down of counter-banked turn and the completion of evasive maneuver. All of these stimulus distributions have entropy  $\sim 4 - 4.3$  bits.



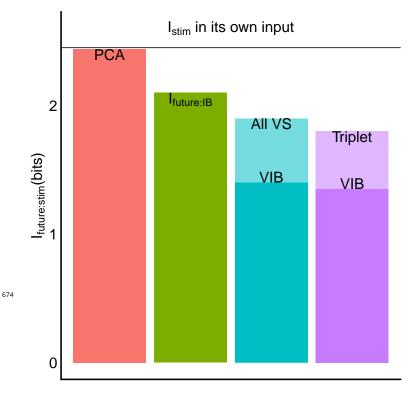
**Figure 4-Figure supplement 1.** How much a triplet based encoding retains from the past input vs. how much that information is about the future stimulus (out of the information about their own future input), for all 120 possible triplets. The particular VS 5,6,7 triplet (shown by the red circle and the arrow) that connects with the neck motor center, is one of the most efficient in terms of how much fraction its prediction of its own input is about the future stimulus, while its encoding cost  $I_{past:in}$  is modest.

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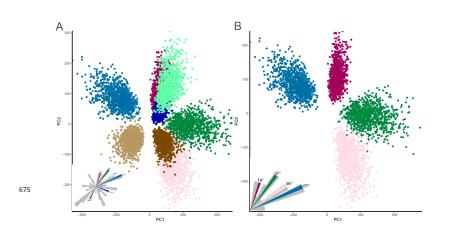
**Figure 5-Figure supplement 1.** Network schematic for the variational approximation of the information bottleneck solution (VIB). By constructing a variational approximation, the encoder learned a latent representation  $\vec{z}$  from the past VS voltages. W generates samples from  $\vec{z}$  and reads them out as the future input current to the VS network. Note the VS network does not have direct access to the stimulus, it uses the correlations between its past and future inputs induced by the stimulus as a proxy for the stimulus correlations, themselves.  $\vec{z}$  follows a Gaussian distribution, with parameters as  $\mu$  and  $\Sigma$ . During training for this VIB, the mean  $\mu$  and covariance matrix  $\Sigma$  of  $\vec{z}$  map the axonal voltages of VS to the future input. When the VIB succeeds, we obtain the predictive representation of the future stimulus by projecting their respective axonal voltages into the latent feature space of  $\vec{z}$ .

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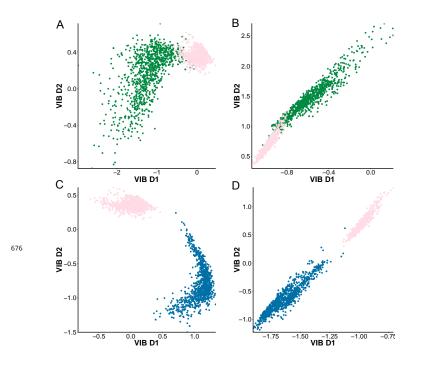


**Figure 5-Figure supplement 2.** Predictive information for the future stimulus 10ms after the evasive maneuver starts ( $\Delta t = 10ms$ ). The red bar shows that the PCA projection of the first 2PCs from the input current contains almost all of the stimulus information available at the input current itself. We use this PCA projection to understand whether it is possible to disentangle input stimuli from different quadrants using prediction in **??**. The green bar shows the limit on prediction information, based on the information bottleneck method. It corresponds to the point on information about the future stimulus using outputs from all VS cells. The darker-colored region shows how much information the corresponding VIB captures about the future stimulus. The purple bar is similar to the cyan bar, for predictive encodings of the VS 5-6-7 triplet vs. their respective VIB solution.

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**Figure 5-Figure supplement 3.** The input to the VS network only supports local discrimination. A) The representation of 8 randomly selected stimuli within the plane whose dimensions are the first two principal components of the input currents. Note that there are substantial overlaps between clusters: e.g. the light-green cluster is almost on top of the dark-red/dark-blue clusters. B) The subset of 4 stimuli from A. The only difference, as compared to A, is that all these stimuli have the same pitch/roll directions (clockwise roll and up tilt pitch, i.e., they are all within the 1st quadrant of the fly's coronal plane).



**Figure 5-Figure supplement 4.** The predictive information encoded by the VS network preferentially discriminates nearby stimuli. A) The predictive representation of stimuli at 19° and 37° obtained by mapping the respective axonal voltages of the entire VS network to the latent feature space generated by the VIB. B) Similar to A, but using the VS 5-6-7 triplet as input. C) The predictive representation of two stimuli that are much closer in stimulus space: 56° and 67°, respectively. Note that there is no overlap between these two nearby stimuli whereas there is some overlap for stimuli that are farther apart (shown in A). D) Similar to C, but using the VS 5-6-7 triplet as input.