

1 The Dynamics of Influenza A H3N2 Defective Viral Genomes from a Human Challenge Study

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20 **Abstract**

21 The rapid evolution of influenza is an important contributing factor to its high worldwide
22 incidence. The emergence and spread of genetic point mutations has been thoroughly studied
23 both within populations and within individual hosts. In addition, influenza viruses are also
24 known to generate genomic variation during their replication in the form of defective viral
25 genomes (DVGs). These DVGs are formed by internal deletions in at least one gene segment that
26 render them incapable of replication without the presence of wild-type virus. DVGs have
27 previously been identified in natural human infections and may be associated with less severe
28 clinical outcomes. These studies have not been able to address how DVG populations evolve *in*
29 *vivo* in individual infections due to their cross-sectional design. Here we present an analysis of
30 DVGs present in samples from two longitudinal influenza A H3N2 human challenge studies. We
31 observe the generation of DVGs in almost all subjects. Although the genetic composition of
32 DVG populations was highly variable, identical DVGs were observed both between multiple
33 samples within single hosts as well as between hosts. Most likely due to stochastic effects, we
34 did not observe clear instances of selection for specific DVGs or for shorter DVGs over the
35 course of infection. Furthermore, DVG presence was not found to be associated with peak viral
36 titer or peak symptom scores. Our analyses highlight the diversity of DVG populations within a
37 host over the course of infection and the apparent role that genetic drift plays in their population
38 dynamics.

39 **Importance**

40 The evolution of influenza virus, in terms of single nucleotide variants and the reassortment of
41 gene segments, has been studied in detail. However, influenza is known to generate defective
42 viral genomes (DVGs) during replication, and little is known about how these genomes evolve
43 both within hosts and at the population level. Studies in animal models have indicated that
44 prophylactically or therapeutically administered DVGs can impact patterns of disease
45 progression. However, the formation of naturally-occurring DVGs, their evolutionary dynamics,
46 and their contribution to disease severity in human hosts is not well understood. Here, we
47 identify the formation of *de novo* DVGs in samples from human challenge studies throughout the
48 course of infection. We analyze their evolutionary trajectories, revealing the important role of
49 genetic drift in shaping DVG populations during acute infections with well-adapted viral strains.

50 **Introduction**

51 Influenza defective viral genomes (DVGs) were first reported by von Magnus (1) and have since
52 been characterized *in vivo* during high multiplicity of infection (MOI) passage studies (2–7) as
53 well as from clinical human samples (8, 9). DVGs are classified as viral genomes harboring
54 mutations which render them incapable of self-replication. Their propagation depends on
55 replication by wild-type helper virus (10). Influenza DVGs are formed by large internal deletions
56 (11), which retain the 3' and 5' untranslated regions that are necessary for replication (12–15)
57 and virion packaging (16–18). Although DVGs have been observed in all eight influenza gene
58 segments (8, 19, 20), they have been most commonly found in the three polymerase genes (PB2,
59 PB1, PA) (19, 21), the longest gene segments of the influenza virus genome.

60 DVGs that interfere with the replication of wild-type virus have been termed defective
61 interfering particles, or DIPs. It is thought that DIPs are either preferentially replicated (22)
62 and/or packaged (23, 24) given their shorter length. This is thought to lead to the characteristic
63 oscillations in the relative populations of DIP and wild-type virus during passage studies (6) as
64 DIP populations outcompete wild-type virus initially but ultimately crash when the quantity of
65 wild-type virus drops below that necessary to maintain DIP populations. DIPs may also
66 contribute to immune system activation (25, 26). The presence of DVGs during the course of an
67 infection also appears to be associated with less severe clinical outcomes (9). The use of
68 exogenous DIPs has been proposed as a potential therapeutic for influenza, with recent animal
69 studies demonstrating that DIPs administered prophylactically and/or therapeutically can reduce
70 the severity of clinical disease outcomes (27–30).

71 Influenza A virus (IAV) DVGs have been previously detected in natural human
72 infections from deep sequencing data (8). In this cohort study, DVGs were present in about half

73 of the samples analyzed and were most common in the PB2, PB1, and PA gene segments. A
74 limitation of this study, however, is that it offered only a cross-sectional view of IAV DVG
75 populations. While it has been shown that Sendai virus DVG populations expand during the first
76 12 hours of infection in a mouse model (25), the evolution of IAV DVG populations within a
77 human host over the course of an infection has not been well characterized.

78 Here, we report an analysis of IAV DVG populations identified from deep sequencing
79 data taken over the course of infection during two longitudinal human challenge studies with
80 different treatment cohorts. We observe the generation of *de novo* DVGs in nearly all subjects,
81 primarily in the polymerase gene segments (PB2, PB1, and PA). DVG populations were highly
82 variable over time in DVG species composition as well as in DVG species relative abundance.
83 Over the course of infection, individual DVG species were observed to arise, fluctuate in
84 abundance, as well as disappear from the DVG population. Overall, we found no trend towards
85 decreasing diversity of DVG populations or towards shorter DVG species during the five days
86 post challenge, likely due to the dominance of stochastic effects. Furthermore, we were unable to
87 detect an association between DVG levels and peak viral titers, potentially due to the negative
88 feedback between DVG and wild-type virus. Similarly, higher DVG levels were not associated
89 with more severe symptoms. This study helps to illustrate the stochastic dynamics of DVG
90 populations within a host during acute infection with a well-adapted viral strain, a scenario under
91 which fitness variation in the wild-type virus population is expected to be relatively small.

92 **Materials and Methods**

93 *Ethics statement.* The procedures followed in the human challenge studies were in accordance
94 with the Declaration of Helsinki. The studies were approved by the institutional review boards
95 (IRBs) of Duke University Medical Center (Durham, NC), the Space and Naval Warfare

96 Systems Center San Diego (SSD-SD) of the US Department of Defense (Washington, DC), the
97 East London and City Research Ethics Committee 1 (London, UK), and the Independent
98 Western Institutional Review Board (Olympia, WA). All participants provided written consent.
99 *Subject enrollment and challenge study protocol.* Data analyzed in this study were from two
100 previously described human challenge studies (“study 1” indicated by three digit sample IDs and
101 “study 2” indicated by four digit sample IDs beginning with a 5) (31–38). These studies were
102 originally designed to assess changes in host gene expression during the course of influenza
103 infection. Subjects were intranasally inoculated with 3.08 – 6.41 log₁₀(TCID₅₀/mL) of the
104 challenge virus (“reference strain”). The reference strain was produced by passaging a human
105 isolate of A/Wisconsin/67/2005 (H3N2) [GenBank accession numbers CY114381 to CY114388]
106 three times in avian primary chicken kidney cells, 4 times in embryonated chicken eggs, and
107 twice in GMP Vero cells.

108 A subset of subjects in study 2 were treated with oseltamivir on the evening of the
109 first day post challenge (“early treatment cohort”). All study one and remaining study two
110 received oseltamivir on the evening of the fifth day post challenge (“standard treatment cohort”).
111 Nasal wash samples were taken at various time-points post-challenge (study 1: 0, 24, 48, 72, 96,
112 120, 144, 168 hours; study 2: 23, 29, 42, 53, 70, 76.5, 95, 100.5, 118, 124.5, 141.5, 148.5, 165
113 hours).

114 Time of peak viral titer was defined as the time from challenge to the earliest time point
115 at which the maximum viral titer was reached. Duration of infection was defined as the time
116 from challenge to the latest positive viral titer.

117 Modified Jackson symptom scores (41) were also collected throughout the seven days
118 post challenge (study 1: 0, 12, 21, 36, 45, 60, 69, 84, 93, 108, 117, 132, 141, 156, and 164 hours;

119 study 2: 0, 8, 16, 24, 32, 40, 48, 56, 64, 72, 80, 88, 96, 104, 112, 120, 132, 144, 156, 168
120 hours). Time to peak symptom score was defined as the time from challenge to the earliest time
121 point at which the maximum symptom score was reached. Duration of symptoms was defined as
122 the time from challenge to the last non-zero symptom score or to the end of follow-up,
123 whichever occurred sooner. The association between treatment cohort and clinical data was
124 assessed with Mann-Whitney *U* tests in RStudio v1.1.447 (42).

125 Previous analyses found no association between inoculum dose and probability of
126 infection. Given infection, inoculum dose was not associated with disease outcome or the
127 amount of viral shedding (34). We thus did not stratify any of our analyses by subject inoculum
128 dose.

129 *Generation of sequence data.* Samples that were IAV positive by cell culture or quantitative PCR
130 were further processed for whole genome sequencing. In brief, the eight genomic RNA segments
131 of IAV were reverse-transcribed and PCR amplified using a multi-segment RT-PCR (39) from
132 whole RNA extracted from nasopharyngeal samples. Individual samples were then barcoded
133 twice using the sequence independent single primer amplification (SISPA) method (40), which
134 involves a primer extension step with a Klenow fragment (37°C for 60 minutes, 75°C for 10
135 minutes and 4°C hold) [New England Biolabs] and PCR amplification with a DNA polymerase
136 (Preheat at 94°C for 2 minutes followed by 45 cycles of 94°C for 30 seconds, 55°C for 30
137 seconds and 68°C for 30 seconds with a final extension time of 68°C for 10 minutes and a 4°C
138 hold) [Gotaq, Promega]. To reduce chimerism, PCR products were treated with exonuclease I
139 (37°C for 60 minutes). Separately, a parallel SISPA was performed from the same sample set,
140 but was not treated with exonuclease I. Samples treated with or without exonuclease I were
141 pooled separately and sequenced on an Illumina HiSeq 2000 instrument (Paired-end sequencing;

142 2 × 100 bp read). SISPA barcoded reads were then demultiplexed and merged based on the
143 barcode sequence, followed by primer and barcode removal and quality trimming using an in-
144 house script at the JCVI. Sequencing runs with or without exonuclease I were used as technical
145 replicates.

146 *Sequence data analysis.* PCR chimeras were removed using a python v2.7 (43) script by
147 identifying forward and reverse reads from the same DNA fragment with conflicting barcodes.
148 Following the removal of chimeric reads, FastQC v0.11.3 (44) was performed on all samples to
149 ensure sequencing quality. Kraken2 v2.0.8-beta (45) with a complete RefSeq viral database was
150 used to identify reads assigned to influenza A, which were then further quality trimmed with
151 Trimmomatic v0.38 (46). Leading or trailing bases with quality < 3 were removed. Reads were
152 cut when the average quality per base in 4-base wide sliding windows was < 15, and reads with
153 less than 50 bases were excluded. Reads were aligned to the reference strain (GenBank
154 CY114381 - CY114388) using STAR v2.7.0e (47). A STAR pre-indexing string of length six
155 was used to generate genome indexing files. SAM files including only uniquely mapped reads
156 were converted to BAM files which were sorted and indexed using SAMtools v1.9 and HTSlib
157 v1.9 (48). Single-nucleotide polymorphisms (SNPs) were called using the BCFtools v1.9 (48)
158 “mpileup,” “call,” and “norm” commands. Only reads with mapping quality ≥ 255 (uniquely
159 mapped) and bases with quality ≥ 20 were used. BCFtools “consensus” was used to generate
160 sample-specific reference genomes including SNPs present in more than 50% of the high-quality
161 reads at a given position. Reads were aligned to sample-specific reference genomes using STAR
162 in basic two pass mode. BAM files including only primary alignments were generated using
163 SAMtools. PCR duplicates were marked and removed using Picard Tools v2.20.02 (49).

164 Read depth and read length statistics of the final BAM files were calculated using the
165 SAMtools “depth” and “view” commands along with a simple bash script. Read depth and length
166 statistics were combined for both sequencing runs (with and without exonuclease) for the final
167 analysis.

168 *DVG identification.* Split reads (reads with segments mapping to unique locations in the gene
169 implying the presence of large internal deletions) were identified using a Python v2.7 script and
170 pysam 0.15.2 (<https://github.com/pysam-developers/pysam>). Split reads with at least 15
171 alignment matches to the reference, a minimum of five consecutive alignment reference matches,
172 no more than three small indels, and a minimum of 100 consecutive deleted reference bases were
173 used to generate a filtered BAM file. Junction sites for individual DVGs were identified from the
174 “jI” SAM tag, tabulated, and normalized to the total number of reads aligned to that gene
175 segment (norm. DVG reads) using a bash script. Split read depth was calculated using the
176 SAMtools “depth” command.

177 In order to reduce the number of spurious DVGs, we included only DVGs on a per
178 sample-day basis which were identified in both sequencing runs (with and without exonuclease
179 I) in the final analysis. Raw and normalized DVG read support measurements were combined
180 between the technical replicates. All bioinformatic analyses were performed at the Pittsburgh
181 Supercomputing Center using the Bridges resources.

182 We define a “DVG species” as DVGs with identical deletion breakpoints and “DVG
183 populations” as all of the observed DVG species within a sample. DVG species are identified by
184 the first and last reference bases deleted (first_last). DVG load for a given gene segment is
185 defined as the sum of the normalized DVG read count for all observed DVGs. DVG load for all
186 gene segments is the average of the normalized DVG read count over the eight genes. The effect

187 of treatment cohort on peak DVG load was assessed using a Mann-Whitney U test calculated in
188 RStudio. The association between DVG presence on different gene segments was assessed using
189 Fisher's exact test calculated in RStudio.

190 *DVG diversity calculation.* To evaluate the degree of DVG diversity within a sample while
191 adjusting for the variable number of observed DVG species, we utilized Pielou's evenness index
192 (50), given by $\frac{-\sum_{i=1}^s p_i \ln p_i}{\ln s}$ where s is the number of DVG species and p_i is the proportion of
193 DVG reads which support that DVG species. An evenness of 1 corresponds to a population in
194 which all observed species are present at the same frequency. This metric was calculated in
195 RStudio.

196 All figures were generated in RStudio using ggplot2 v3.1.0 (51) and cowplot v0.9.3 (52).

197 Raw sequencing data are accessible under NCBI BioProject PRJNA577644. Scripts used
198 for the generation of data and figures in this report are available at
199 https://github.com/koellelab/IAV_human_challenge_study_code.

200 **Results**

201 *Data summary.* Of the 37 participants in the human challenge studies, 17 were successfully
202 infected and had at least one sample successfully sequenced. Seven of these 17 individuals
203 belonged to the early treatment cohort; the remaining ten belonged to the standard treatment
204 cohort. Peak viral titers ranged from 1.75 to 6.25 $\log_{10}(\text{TCID}_{50}/\text{mL})$ (mean [population standard
205 deviation (sd)]: 4.5 [1.2] $\log_{10}(\text{TCID}_{50}/\text{mL})$) and occurred 24 to 120 hours post-challenge (mean
206 [sd]: 58 [26] hours)). Peak viral titer did not appear to differ between the early and standard
207 treatment group (mean [sd]: 4.3 [1.5] v. 4.6 [0.8] $\log_{10}(\text{TCID}_{50}/\text{mL})$; p-value = 0.922). However,
208 those in the early treatment cohort tended to reach peak viral titer faster (cohort (mean [sd]: 48

209 [20] v. 65 [27] hours; p-value = 0.080) and tended to have shorter durations of infection (mean
210 [sd]: 74 [22] v. 118 [37] hours; p-value = 0.035; Figure S1a, Table S1, Table S2).

211 Peak total symptom scores ranged from 1 to 16 (mean [sd]: 6.5 [4.8]) and did not differ
212 significantly between treatment cohorts (p-value = 0.922). Time to peak symptom score was
213 shorter in the early treatment cohort (mean [sd]: 29 [14] v. 59 [18]; p-value = 0.003) as was the
214 duration of symptoms (mean [sd]: 83 [37] v. 134 [17]; p-value: 0.005). Cumulative symptom
215 scores were highly variable between subjects and no difference was observed between the two
216 cohorts (mean [sd]: 43 [50] v. 35 [29]; p-value = 0.922; Figure S1b, Table S1, Table S2).

217 A total of 43 samples, including the inoculum, were successfully deep-sequenced. The
218 number of successfully sequenced samples per subject ranged from one to five (Figure 1A).
219 Following read trimming, the per-sample, per-gene average read length ranged from 70 to 72
220 nucleotides (nt). The average genome-wide read depth was 118 reads (range: 63 to 166) (Table
221 S3, Figure S2A, Figure S2B).

222 *Widely observed de novo DVGs.* DVGs in the challenge stock were largely absent, identified at
223 only low levels in the NP, NA, and NS gene segments and absent from the other gene segments
224 (Figure S3). On the contrary, DVGs were observed in all but one successfully infected subject
225 (Figure 1). For the subject in which no DVGs were detected (subject 5017), only a single sample
226 (day two) was sequenced. This subject was in the early treatment cohort and was also positive
227 for influenza on day three, however, this sample was not successfully sequenced.

228 Amongst the other subjects, we observed DVGs in at least one of IAV's eight gene
229 segments in 38/41 successfully sequenced samples. As expected, DVGs were more commonly
230 observed in the polymerase genes (PB2 (n = 31), PB1 (n = 28), and PA (n = 26) v. HA (n=7), NP
231 (n = 7), NA (n = 12), M (5), and NS (10)). DVGs were observed as early as day one and as late

232 as day six post challenge. We did not observe a difference in peak DVG load between treatment
233 cohorts (p-value: 0.713).

234 Normalized DVG read counts, a proxy for the number of DVGs relative to wild-type
235 virus *in vivo*, ranged from 0.0004 to 0.064 (Table S4, Figure S2C). Among samples with DVGs,
236 deletions in polymerase genes tended to have higher normalized DVG counts, indicating the
237 presence of more DVGs generated from these segments within a given host. Presence of DVGs
238 in the PB2, PB1, and PA gene segments were positively associated with one another (PB2 × PB1
239 p-value: 2.5×10^{-6} ; PB2 x PA p-value: 5.2×10^{-3} ; PB1 x PA p-value: 2.5×10^{-3} ; Figure S4).

240 To determine whether certain junction locations were favored in identified DVG species,
241 we tabulated the most commonly observed 3' and 5' junction sites (Figure S5, Table S5).
242 Unsurprisingly, most 3' junction locations were located in the first 500 nt of each gene and the 5'
243 junction locations in the last 500 nt of each gene. We observed no junction locations within 40 nt
244 of either end of the three polymerase genes, consistent with the theory that the sequences at
245 either end are necessary for replication (12–15) and virion packaging (16–18). The mean [sd]
246 (weighted by normalized DVG read support) number of deleted nucleotides was comparable
247 between gene segments (PB2: 1646 [392]; PB1: 1625 [397], PA: 1593 [332]). A small number of
248 DVGs were observed with 3' junction sites located towards the 5' end of a given gene segment.
249 With the exception of a single PB2 DVG, these tended to be found in a small number of samples
250 with low normalized DVG read support. However, 1482_2101 in PB2 was observed in 0.0045
251 and 0.0068 normalized reads in subject 5020 on days two and four, respectively. This was the
252 dominant DVG present at day two and one of a number of codominant DVGs present at day
253 four.

254 We observed the presence of identical DVG species across both samples and subjects in
255 the PB2 (n = 16), PB1 (n = 13), PA (n = 13), NP (n = 2), NA (n = 2), and NS (n = 1) genes
256 (Table S6). Repeat DVGs were most commonly present in multiple samples from the same
257 subject (n = 37). For example, the PB2 deletion from nt 356 to nt 1937 in the reference sequence
258 was observed in subject 5021 at four consecutive time points (day two through day five).
259 However, a number were also present in multiple subjects (n = 10). For example, DVG 476_703
260 in the PB2 gene segment was observed in subject 5006 at day one, subjects 5019 and 5020 at day
261 2, and subject 5021 at day three. DVG 696_1378 in the NP gene segment was observed in the
262 challenge stock as well as in subject 5002 (day two) and subject 5019 (day two and three, but not
263 one). Given its identification in only these 4 samples, it is unclear whether this DVG was
264 transmitted during challenge or whether it appeared *de novo* in these two subjects (with lack of
265 detection on day one in subject 5019).

266 *Dynamic within-host DVG populations.* Due to the longitudinal nature of these data, we wished
267 to analyze whether systematic changes in the DVG populations within individual hosts were
268 evident. Specifically, we looked at the population composition within hosts to determine whether
269 there was evidence of positive selection for specific DVG species or for changes in the
270 composite characteristics of DVG populations. However, given the acute nature of the infections
271 in this study, any positive selection may be overwhelmed by stochastic effects, as has been
272 previously described for point mutations in acute influenza infections (53).

273 Our analyses revealed that the composition of DVG populations changed rapidly within-
274 hosts. Individual DVG species were found to rise and fall in their relative read support, and DVG
275 species arose and disappeared throughout the course of infection (Figure S6). For example, in
276 subject 013 the number of individual DVG species increased notably between days two and

277 three, more than doubling in the PB2 and PB1 genes (Figure 2A). However, in general the DVGs
278 observed at day two were still present in the sample at day three. Considerably different
279 dynamics were observed in subject 5021 (Figure S6), from which we have data for day one
280 through four. DVG populations in this subject underwent considerable turnover on day three.
281 Amongst the two PB2 DVGs observed at day two, neither were observed at day three. However,
282 one of these two was observed again at day four. Similar dynamics were observed in both the
283 PB1 gene (in which no DVGs were observed at day three) and the PA gene (in which a unique
284 DVG was observed only at day three).

285 The generation of novel DVG species between sampling timepoints was very common.
286 However, in most cases at any given time point a small number of DVG species accounted for
287 the majority of the relative read support. In certain cases, these dominant DVG species were
288 consistent between time points, however in others the dominant DVG species varied
289 considerably between time points. This suggests that while many different DVG species can be
290 formed during viral replication, stochastic effects during DVG generation or a selective
291 advantage of certain DVG species leads to the observed DVG species unevenness within hosts at
292 any given time point.

293 To quantitatively assess whether there was evidence for selection for specific DVG
294 species, we determined the trajectory of DVG diversity, measured by Pielou's evenness (J'), over
295 the course of infection in individual subjects (Figure 2B). We did not observe a trend towards
296 decreasing diversity over the course of infection. For example, amongst PB2 DVGs, subject
297 5004 as well as subject 5021 witnessed net decreases in DVG diversity overtime whereas there
298 was limited change in J' for subjects 012 and 5006. Subject 5019 experienced only a transient
299 reduction in diversity on day two when the DVG population was dominated by a single DVG

300 (172_2079). In contrast, subject 5020 experienced a transient increase in diversity on days two
301 and three. Similar stochastic patterns of diversity were also observed in PB1 and PA DVGs.

302 It has been proposed that DVG species are preferentially replicated over wild-type virus
303 due to their shorter length (22). Therefore, it is reasonable to hypothesize that selection might
304 lead to the evolution of shorter DVG species over the course of infection. To address this
305 hypothesis, we analyzed the number of reference bases deleted over the course of infection
306 amongst those subjects with DVGs in a specific gene at multiple time points (Figure S7). Our
307 data indicated no systematic change in DVG length over the course of infection (Figure 2C,
308 Figure S8). In certain subjects, DVGs tended to get shorter (subject 5004 PB2, subject 5019 PB1,
309 subject 5020 PB2), however in others there was no discernible change in DVG length (subject
310 012 PB2 and PB1, subject 5002 PA). These results suggest that either genetic drift overwhelms
311 selective forces or that factors other than DVG length more strongly affect DVG fitness.

312 *Correlation between DVG presence and clinical data.* While this study was not powered to
313 detect statistically significant associations between the presence of DVGs and clinical data, we
314 wished to see if there were any qualitative correlations. We first analyzed the relationship
315 between peak viral titer and the peak DVG load within a subject (Figure 3A), expecting a
316 positive correlation as wild-type virus is necessary for the replication of DVGs. We were unable
317 to detect an association between the two measures in this study. The cyclical nature of relative
318 DVG abundance is well established *in vitro* (6) and it is possible that while wild-type virus is
319 necessary for DVG replication, the inhibitory effect of DVG replication on the amount of wild-
320 type virus which is replicated (thereby reducing peak viral titers) obscures any obvious
321 correlation between the two.

322 Previous reports have suggested an association between DVG presence and IAV clinical
323 outcomes (9). While none of the subjects in this controlled challenge study experienced severe
324 clinical outcomes, we analyzed the association between self-reported symptom scores and DVG
325 presence. As DVGs are thought to dampen the clinical manifestation of IAV infection, we
326 expected high DVG levels to be associated with less severe symptom scores. However, we were
327 unable to detect an association between peak DVG load and peak symptom score in this study
328 (Figure 3B). This lack of association may be due to the contrasting effects of DVGs interfering
329 with wild-type virus replication and packaging and their activation of the innate immune
330 response (25, 26), which is known to be responsible for influenza symptom manifestation.
331 Furthermore, our inability to find an association between DVG load and symptoms may be
332 because the seasonal IAV strain used in this study is relatively avirulent and all subjects were
333 healthy, leading to relatively mild clinical presentations.

334 **Discussion**

335 The presence of defective influenza genomes has been well characterized in cell cultures (2–7)
336 and animal models (25). Influenza DVGs have also been observed in clinical human H1N1
337 samples (8). However, to date, no studies have performed longitudinal analyses of naturally
338 occurring DVG populations within humans. Here we presented an analysis of DVG populations
339 in samples from two H3N2 human challenge studies with different treatment protocols for up to
340 seven days post-challenge.

341 Our analysis supports prior findings that DVG presence is nearly universal and most
342 commonly found on the polymerase gene segments (8), both in terms of presence/absence as
343 well as abundance. Furthermore, we observed that DVGs are often found on multiple polymerase
344 genes within the same subject. The timing of oseltamivir treatment was not found to affect the

345 peak viral titer, peak symptom score, or cumulative symptom scores, nor did it effect the
346 accumulation of DVGs within a host. We observed identical DVG species within single hosts at
347 multiple time points as well as across multiple hosts. As identical DVG species were more likely
348 to be observed within, as opposed to between hosts, this implies that ongoing within-host
349 replication of DVG species following their stochastic generation is likely driving this
350 phenomenon.

351 DVG populations were shown to be to be highly dynamic in terms of both the DVG
352 species they comprised and the abundance levels of these species. There was no evidence for
353 decreased diversity of DVG populations within a host over the course of infection. Furthermore,
354 we saw no trend towards DVG species becoming on average shorter over time. These results
355 imply that *in vivo* genetic drift may be overwhelming selective forces in shaping the evolutionary
356 dynamics of DVG species in this study. IAV genetic drift playing a strong role in these human
357 challenge studies is not unanticipated, given that the challenge reference strain was a seasonal
358 influenza strain that was relatively well adapted to human hosts and that egg- and cell culture-
359 adapted variants were quickly excluded from the *in vivo* viral populations (31). The effect of
360 spatial structure within the host respiratory system (54) may further augment the effects of
361 genetic drift on DVG populations.

362 We did not observe an association between peak viral titer or peak symptom score and
363 peak DVG loads. This points to the complex feedback mechanisms which govern the amount of
364 DVG and wild-type virus within a host as well as between the replicative inhibitory effect of
365 DVG generation on wild-type virus replication and the interaction between DVGs and the host
366 immune response.

367 This analysis has several limitations, largely due to the nature of the available data. The
368 sequencing reads were generated in 2013 and therefore read lengths are shorter and mean read
369 coverage is lower than in more recently generated viral deep sequencing datasets. Furthermore,
370 we did not confirm the presence of DVG species using PCR, as has been done in other studies
371 (8) because samples from these human challenge studies are no longer available. Furthermore, a
372 certain level of noise in the bioinformatics pipeline used to identify DVGs is to be expected. In
373 order to reduce this noise we analyzed only DVG species present in both technical replicates
374 (with and without exonuclease I), however, we opted not to remove DVG species with low
375 supporting read counts as has been previously proposed (55) in order to maintain sensitivity in
376 our measure of DVG diversity. The very low number of split reads observed in the non-
377 polymerase genes, which are known to rarely form DVGs, implies that the level of noise in our
378 analysis is relatively low.

379 Furthermore, sequencing data were only available at most once per day for each subject
380 and therefore we were unable to assess the fine-scale evolution of DVG species. This sparse
381 sampling is likely why observed DVG populations were so variable between time points. With
382 more frequent sampling we predict it would be possible to observe more gradual transitions
383 between DVG population compositions within a host.

384 Despite these limitations, this study adds to the growing body of evidence that influenza
385 DVGs are present during human infections and evolve over the course of infection. While the
386 expansion of DVGs in individual infections are surely impacted by wild-type viral dynamics,
387 whether DVGs in turn play a role in shaping infection dynamics and determining disease
388 progression remains an open question.

389 Further studies with greater temporal resolution and sequencing to a higher read depth
390 may help to more precisely characterize the evolutionary trajectory of DVG populations within
391 individual hosts. Analysis of the most common DVG species observed in future studies may
392 reveal factors that impact DVG stability over the course of an infection. A thorough
393 understanding of the interaction between wild-type virus, DVGs, and the host immune response
394 may ultimately aid in the development of therapeutics based on exogenous DIPs.

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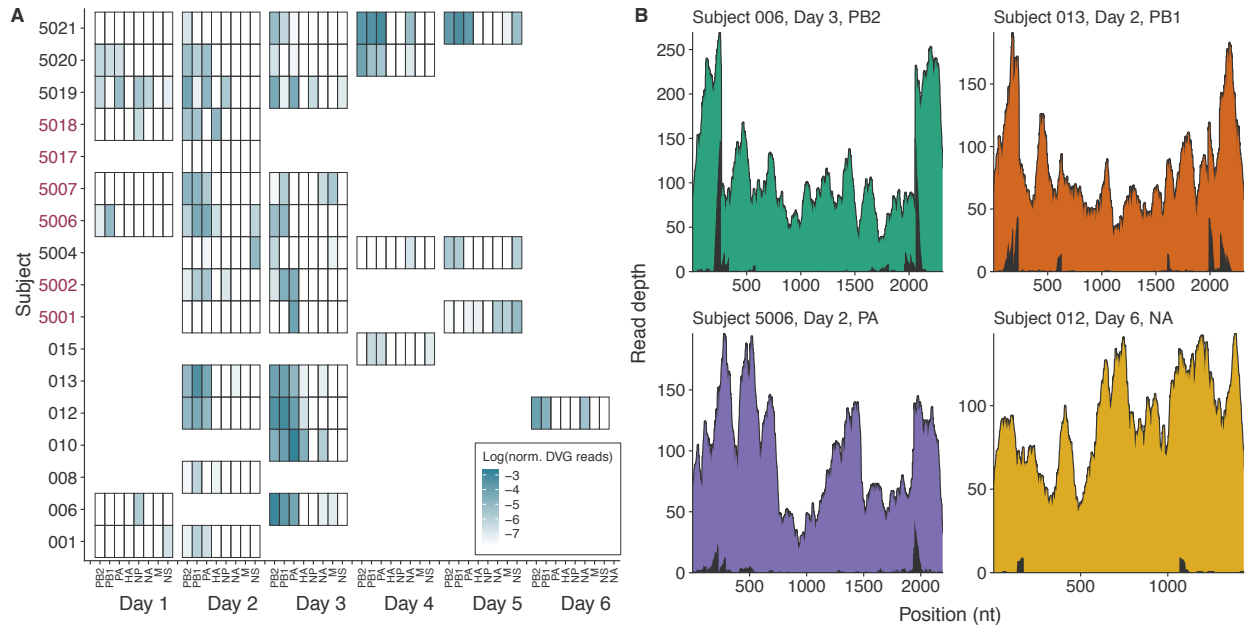
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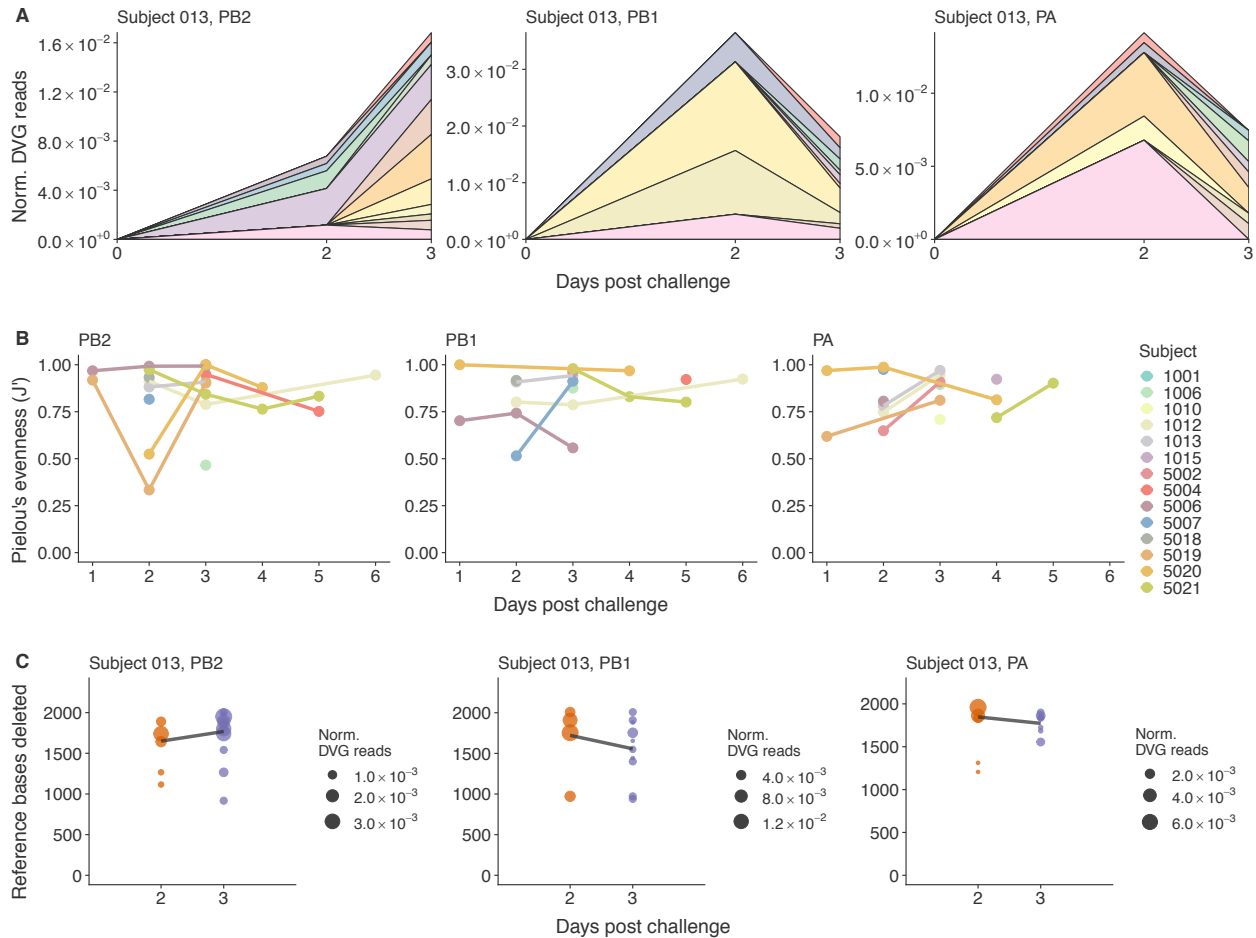
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574

575 Figure 1. Graphical summary of the data used in this study. A) Heatmap showing the number of
576 sequencing reads indicating the presence of defective viral genomes in each gene segment
577 normalized by the total number of sequencing reads aligned to that gene segment. Rows
578 represent individual subjects (red text indicates early treatment (oseltamivir on the evening of the
579 first day post challenge) cohort). Columns represent day of sampling; sub columns indicate gene
580 segment. White space indicates lack of sequencing data. B) Representative coverage plots in
581 various gene segments. Background colored area shows the total read depth at a given nucleotide
582 (nt) position. Black color in the foreground represents the coverage depth of split reads,
583 indicative of DVGs.



584

585 Figure 2. Population dynamics of observed defective viral genome (DVG) populations. A)

586 Stacked area plots showing the DVG species observed at two and three days post challenge in

587 subject 013 in the PB2, PB1, and PA gene segments. Each color represents an individual DVG

588 species. The height of each region represents the normalized number of DVG reads supporting

589 that DVG. B) Diversity of DVG populations in the PB2, PB1, and PA gene segments for each

590 subject in the study. Lines connect data points from the same subject at multiple time points.

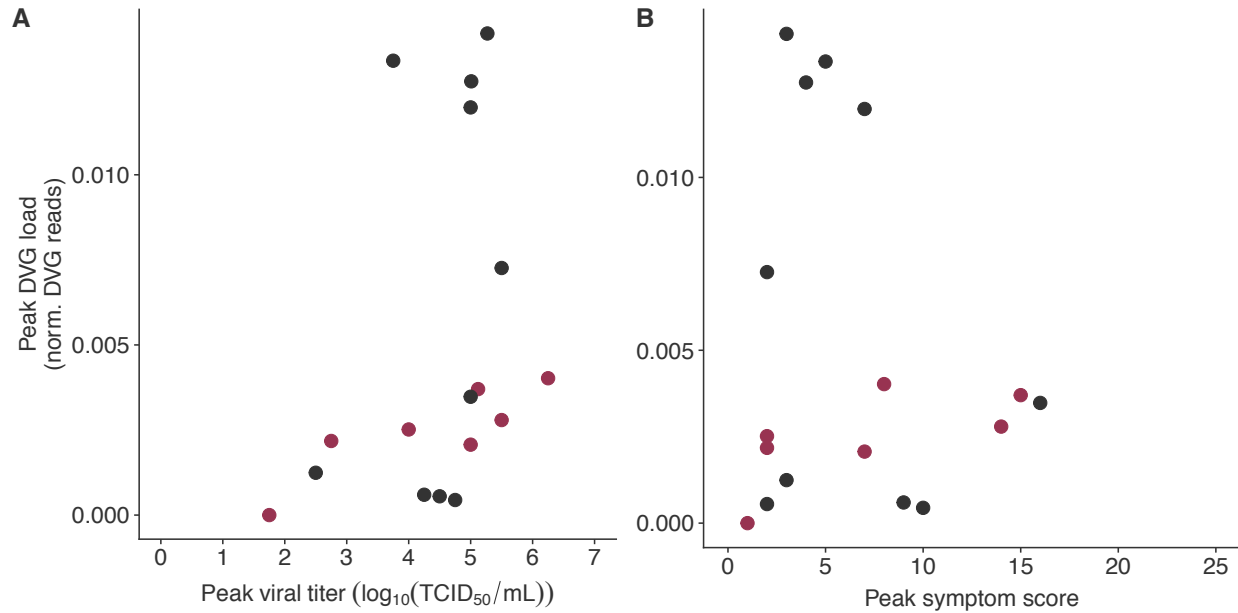
591 Diversity is measured by Pielou's evenness (J'), which is given by $\frac{-\sum_{i=1}^s p_i \ln p_i}{\ln s}$ where s is the

592 number of DVG species and p_i is the proportion of DVG reads which support that DVG species.

593 C) Distribution of the number of deleted reference bases in each observed DVG species in the

594 PB2, PB1, and PA gene segments of subjects 013. Dot size represents the normalized number of

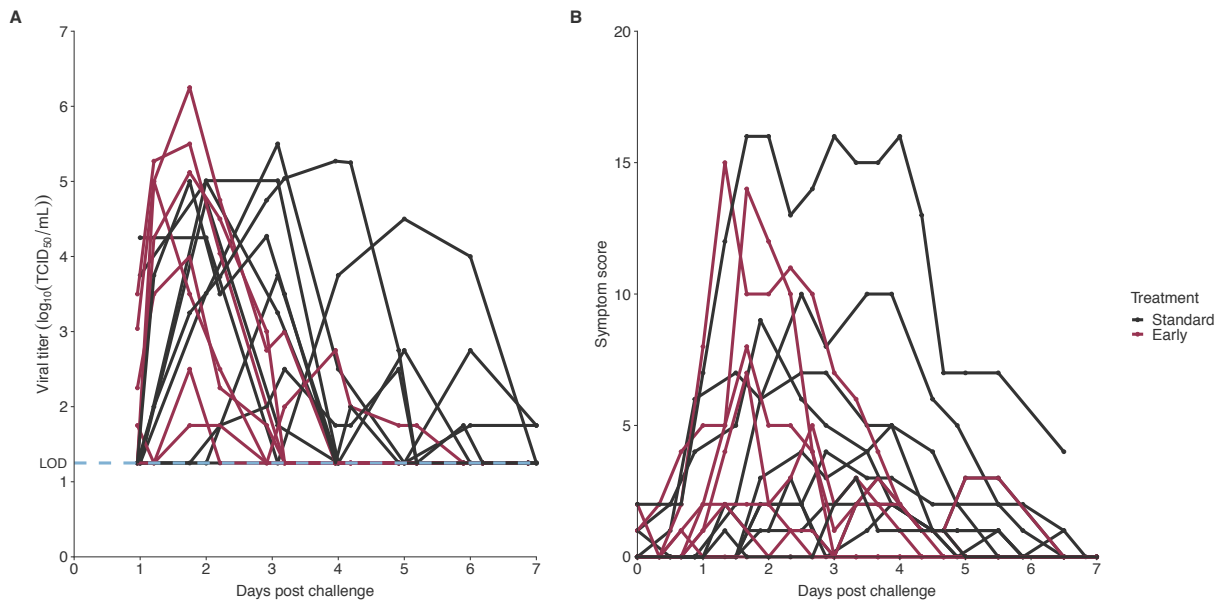
595 DVG reads supporting a specific DVG species. Trend lines connect the mean number of
596 reference bases deleted at each day, weighted by the normalized DVG read support.



597

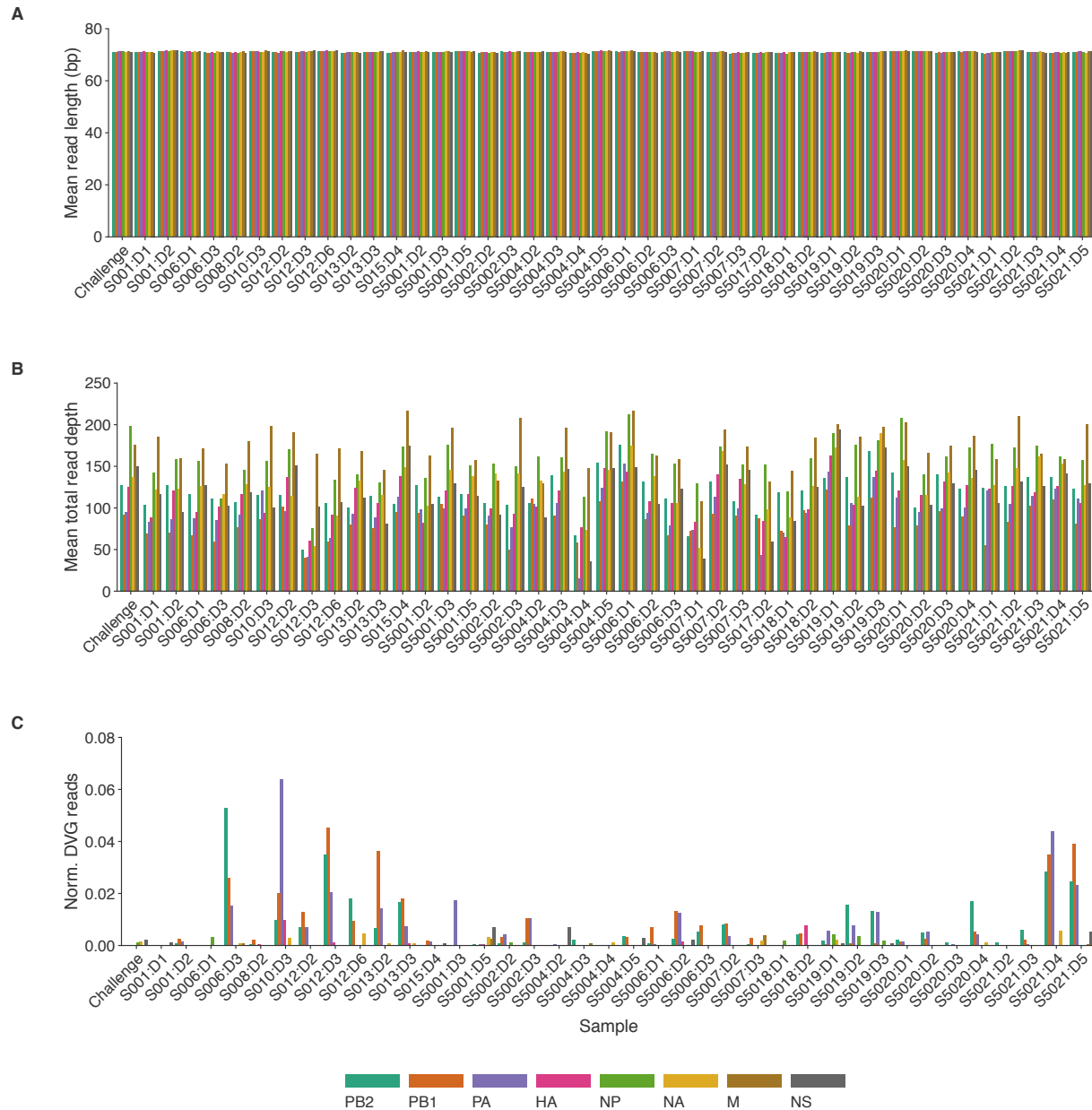
598 Figure 3. Dot plots of clinical data against the amount of defective viral genomes (DVGs). Each
599 dot represents a subject. A) Dot plot showing the lack of association between peak viral titer
600 (log₁₀(TCID₅₀/mL) on the x-axis and the peak number of normalized DVG reads on the y-axis.
601 B) Dot plot showing the lack of association between peak Modified Jackson symptom score on
602 the x-axis and the peak number of normalized DVG reads on the y-axis.

603 **Supplemental Material**



604

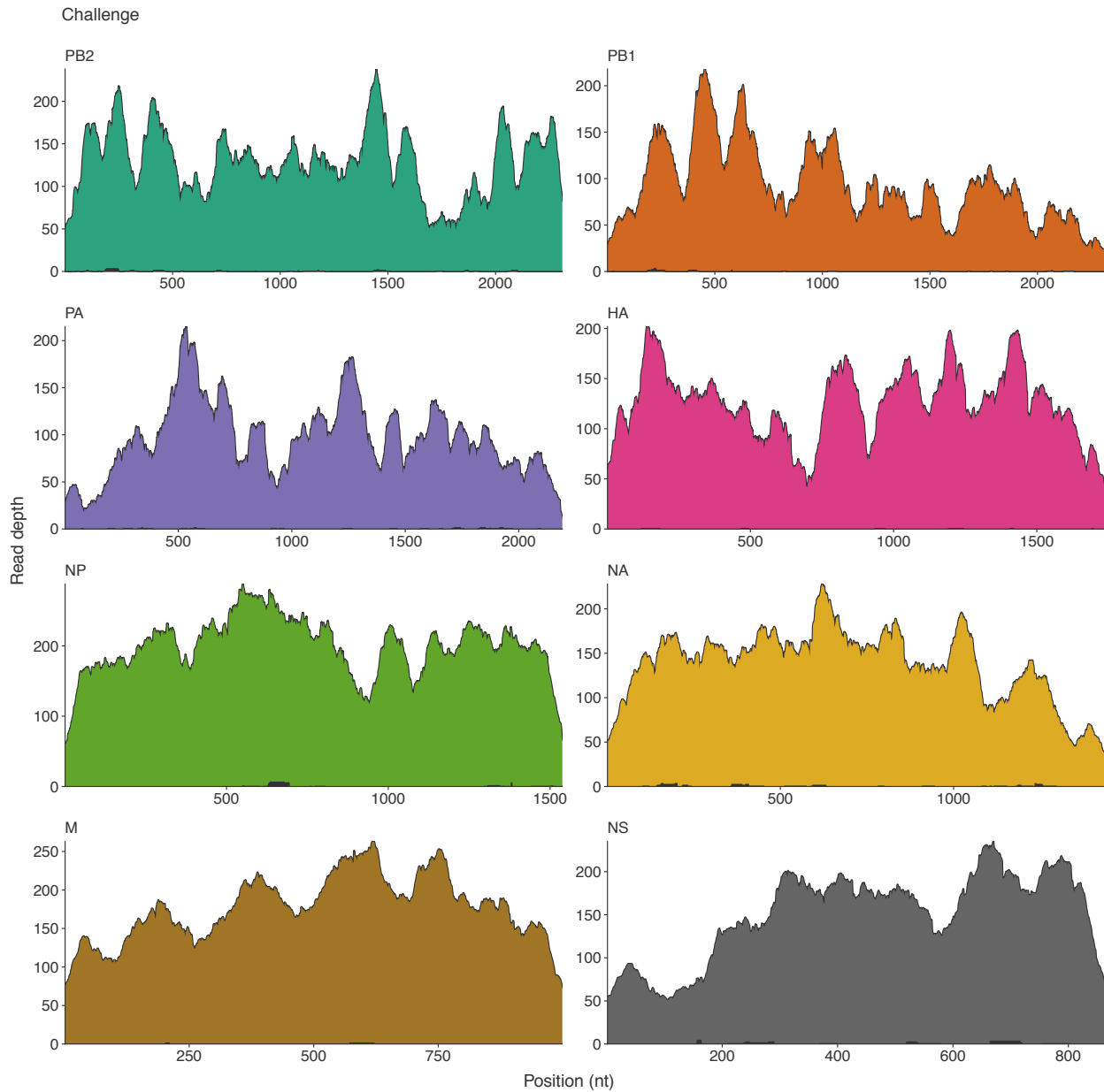
605 Figure S1. Clinical data included in the study. Red lines represent subjects in the early treatment
606 (oseltamivir on the evening of the first day post challenge) cohort, grey lines represent subjects
607 in the standard treatment (oseltamivir on the evening of the fifth day post challenge) cohort. A)
608 Viral titer (log₁₀(TCID₅₀/mL)) measurements for each subject at various time-points post-
609 challenge. Blue dotted line at 1.25 log₁₀(TCID₅₀/mL) represents the limit of detection of the
610 assay used. B) Modified Jackson symptom scores for each subject at various time-points post-
611 challenge.



612

613 Figure S2. Summary of sequencing data. Bars are organized first by subject, then by sampling
614 day, and finally by gene. Genes are also represented by colors. Data are summed across technical
615 replicates. A) Mean length of mapped reads following read trimming, reference mapping, and
616 removal of PCR duplicates. B) Mean total read depth following read trimming, reference
617 mapping, and removal of PCR duplicates. C) Mean depth of split reads following read trimming,
618 reference mapping, removal of PCR duplicates, and filtering of split reads to exclude those with

- 619 less than 15 alignment matches, less than 5 consecutive alignment matches, more than three
620 small indels, and with junction locations less than 100 bases apart.



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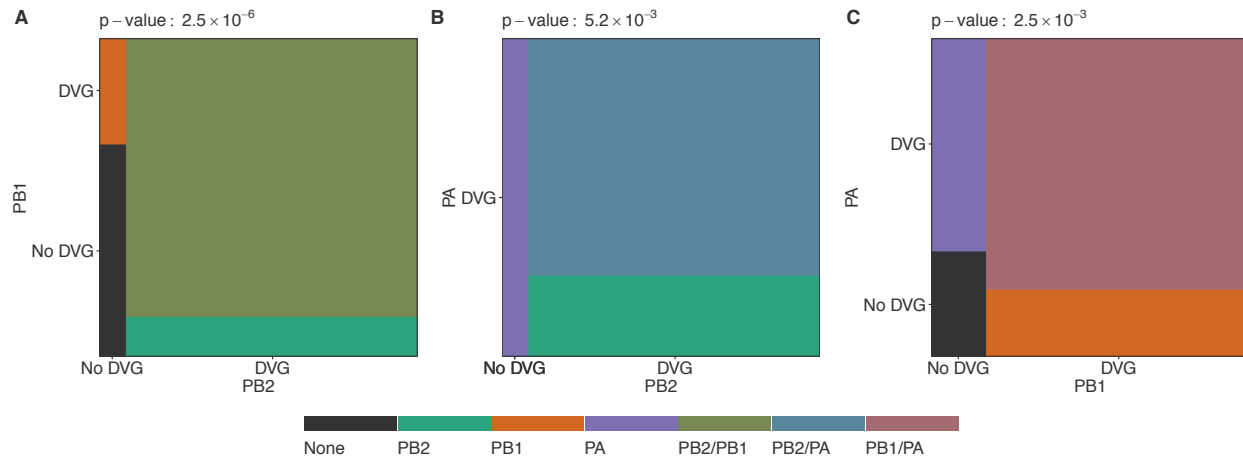
622 Figure S3. Coverage plot for each gene segment in the challenge stock used to infect all patients.

623 Colored portions represent total read depth and black overlays represent read depth of split reads.

624 Lack of appreciable split read depth indicates a lack of defective viral genomes (DVGs). The NP,

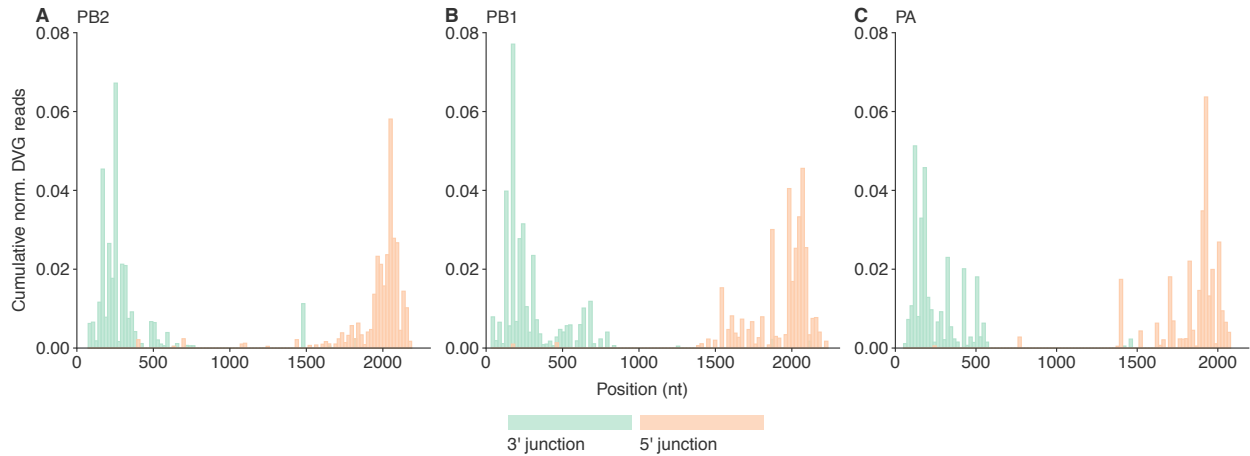
625 NA, and NS gene segments each harbored a single DVG species, while no DVG species were

626 identified in the other 5 gene segments.



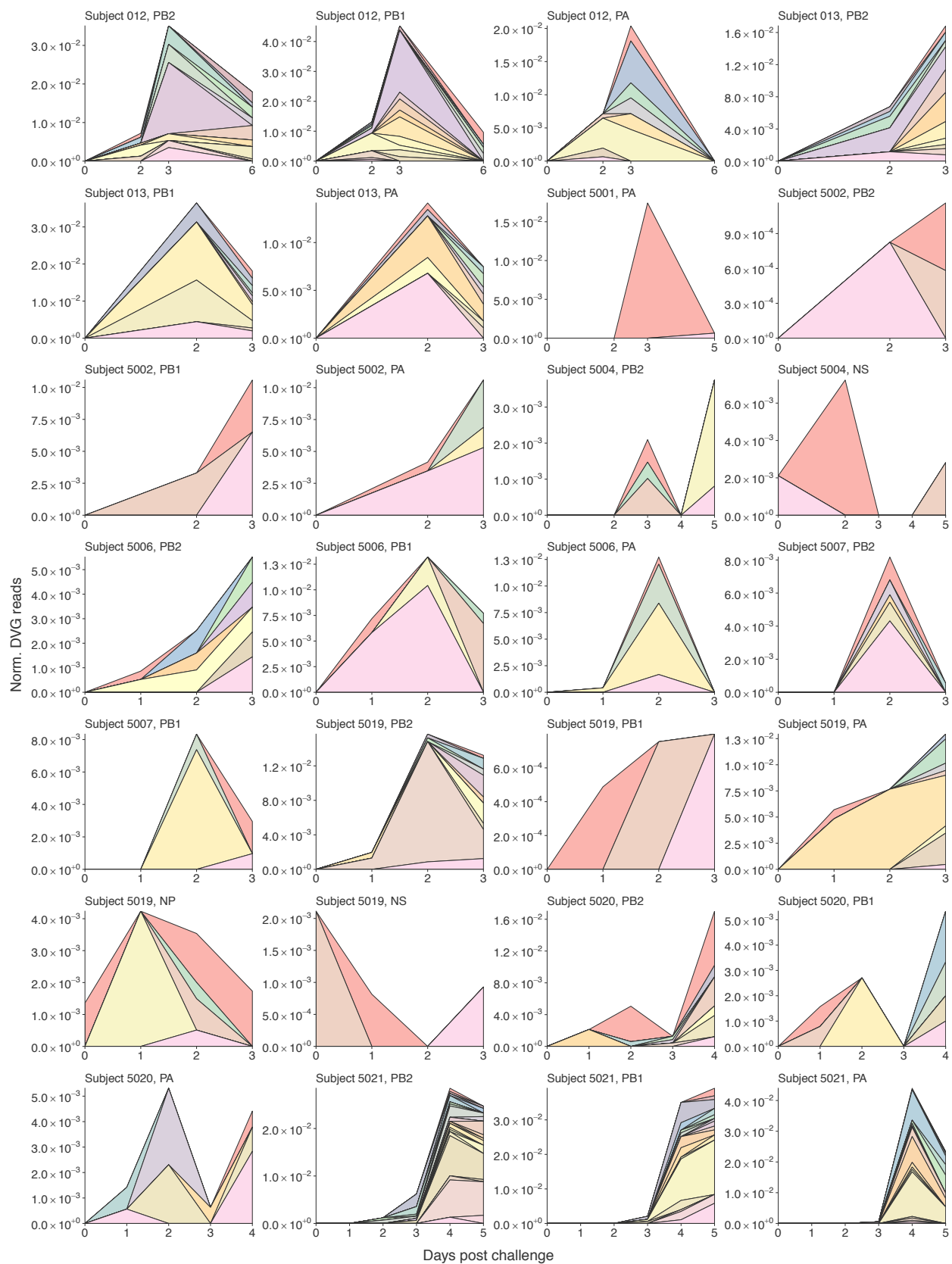
627

628 Figure S4. Mosaic plots representing the per-sample dependence of defective viral genome
629 (DVG) presence in pairwise combinations of the PB2, PB1, and PA gene segments. Axis
630 represent the proportion of all samples. Grey area indicates lack of DVGs in either gene segment
631 and colored areas represent DVG presence in either or both gene segments. Fisher's exact test p-
632 values are listed above each plot. A) Association between DVG presence in the PB2 and PB1
633 genes. Green area represents samples with DVGs only in the PB2 gene, orange area represents
634 samples with DVGs only in the PB1 gene and forest green area represents samples with DVGs in
635 both genes. B) Association between DVG presence in the PB2 and PA gene segments. Green
636 area represents samples with DVGs only in the PB2 gene, purple area represents samples with
637 DVGs only in the PA gene, and blue area represents samples with DVGs in both. C) Association
638 between DVG presence in the PB1 and PA gene segments. Orange area represents samples with
639 DVGs only in the PB1 gene, purple area represents samples with DVGs only in the PA gene, and
640 mauve area represents samples with DVGs in both genes.

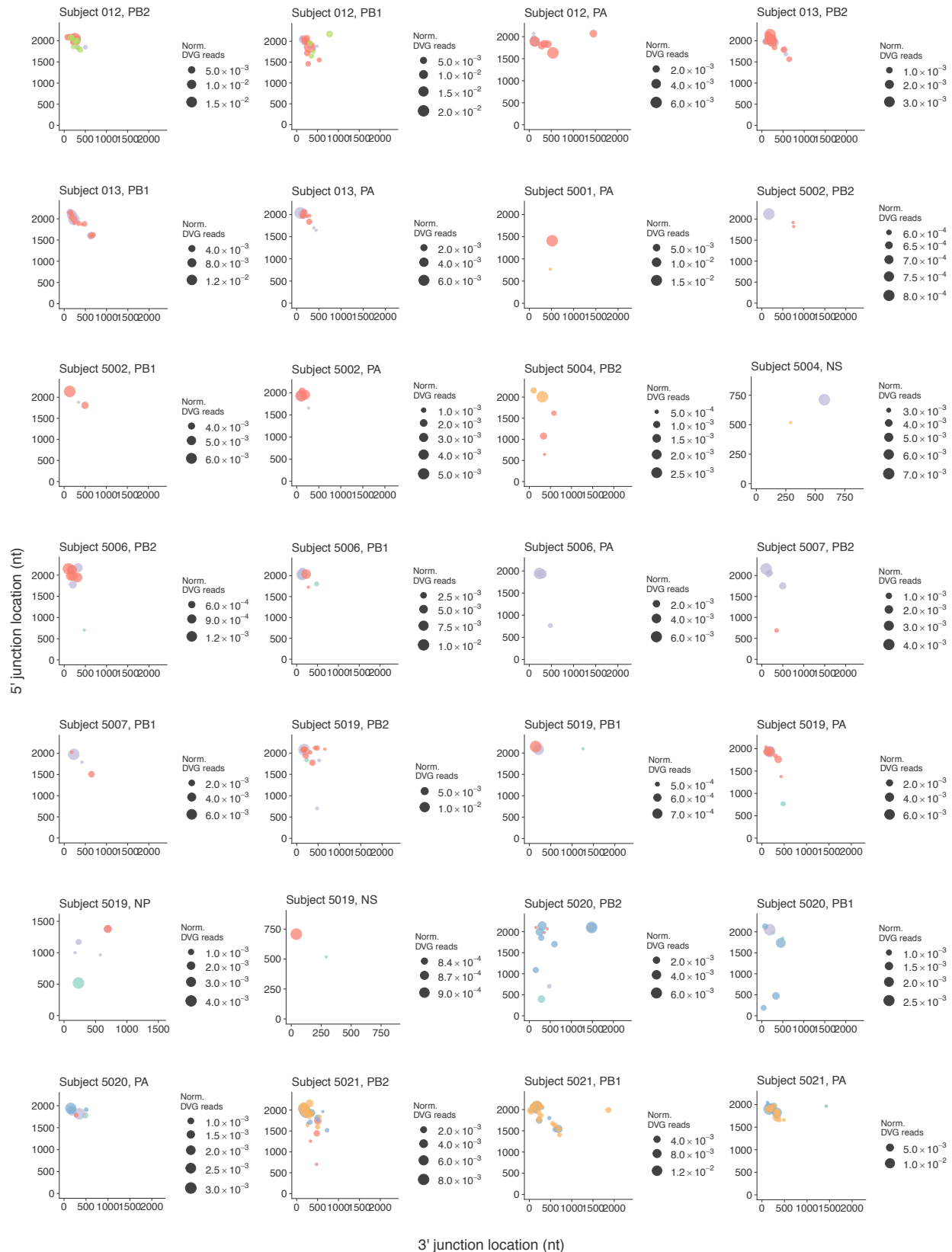


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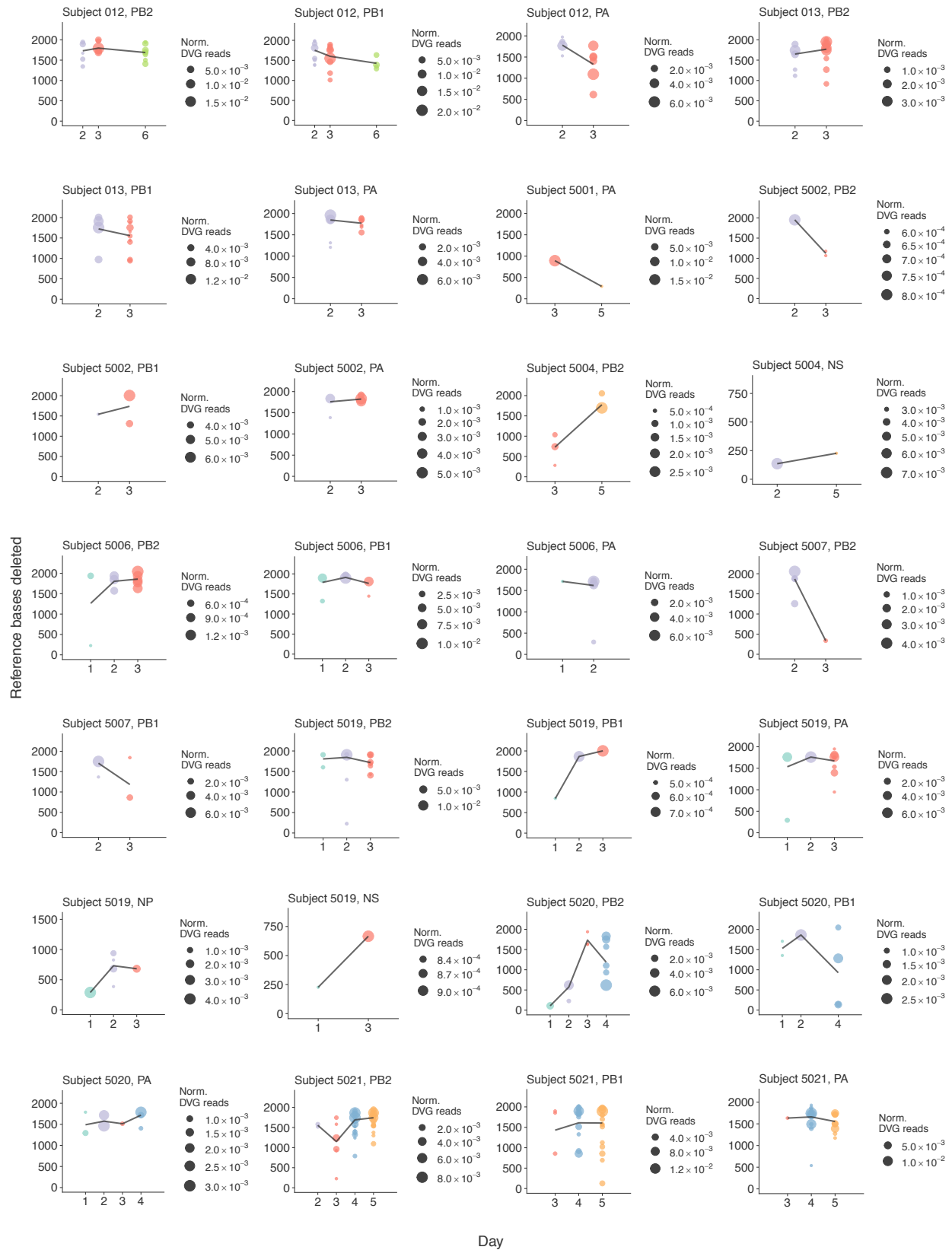
642 Figure S5. Histograms (100 bins) showing the cumulative relative read support values for the 3'
643 (green) and 5' (orange) junction locations (compared to the reference) of the deletions generating
644 individual DVG species. The relative read support for DVGs observed within individual samples
645 was first normalized to the total number of reads mapped to that gene segment and then summed
646 across subjects. Data are shown for DVGs observed in the A) PB2, B) PB1, and C) PA genes.



648 Figure S6. Stacked area plots representing the defective viral genome (DVG) species within each
649 gene for subjects with DVGs observed on multiple days. Each color represents an individual
650 DVG species. The height of each region represents the normalized number of DVG reads
651 supporting that DVG. DVG colors are not consistent between subjects.



653 Figure S7. Dot plots representing the defective viral genome (DVG) species within each gene for
654 subjects with DVGs observed on multiple days. The x-axis represents the 3' junction location
655 and the y-axis represents the 5' junction location. Dot size is dependent on the number of reads
656 supporting a given DVG species, normalized by the number of reads mapped to that gene
657 segment. Color represents sampling day.



659 Figure S8. Dot plots representing the number of reference bases deleted in the observed defective
660 viral genomes (DVG) species with each gene for subjects with DVGs observed on multiple days.
661 Dot size is dependent on the number of reads supporting a given DVG species, normalized by
662 the number of reads mapped to that gene segment. Color represents sampling day. Trend lines
663 connect the mean number of reference bases deleted on each given day, weighted by the
664 normalized number of supporting reads.

TABLE S1 Viral load and symptom scores

	Cohort			p-value
	All (mean [sd])	Standard (mean [sd])	Early (mean [sd])	
Peak viral titer ($\log_{10}(\text{TCID}_{50}/\text{mL})$)	4.46 [1.15]	4.55 [0.84]	4.34 [1.48]	0.922
Time to peak viral titer (hours)	57.85 [25.75]	64.95 [27.01]	47.71 [19.82]	0.080
Duration of infection (hours)	99.71 [38.57]	117.80 [37.23]	73.86 [22.32]	0.035
Peak SS	6.47 [4.75]	6.10 [4.25]	7.00 [5.35]	0.922
Time to peak SS (hours)	46.65 [22.18]	59.30 [17.57]	28.57 [14.09]	0.003
Duration of symptoms (hours)	113.06 [36.98]	134.20 [17.21]	82.86 [36.71]	0.005
Cumulative SS	39.88 [42.94]	43.30 [49.99]	35.00 [29.43]	0.887

665
666 Table S1. Summary statistics for peak viral titer ($\log_{10}(\text{TCID}_{50}/\text{mL})$), time to peak viral titer
667 (hours), duration of infection, peak Modified Jackson symptom score, time to peak symptom
668 score (hours), duration of symptoms (hours), and cumulative symptom score. Mean and
669 population standard deviation are presented for all subjects, those in the early (treatment with
670 oseltamivir on the evening of the first day post challenge) cohort, and those in the standard
671 (treatment with oseltamivir on the evening of the fifth day post challenge) cohort. P-values
672 comparing the early and standard treatment cohorts resultant from Mann-Whitney *U* tests are
673 shown at right.

TABLE S2 Clinical data by subject

Subject	Inoculum (TCID ₅₀ /ml)	Treatment cohort	Peak viral titer (TCID ₅₀ /ml)	Time to peak viral titer (hours)	Duration of infection (hours)	Peak symptom score	Time to peak symptom score (hours)	Cumulative symptom score
001	6.41	Standard	4.25	24.0	48.0	9	45.0	40
006	5.25	Standard	5.00	48.0	168.0	7	36.0	55
008	5.25	Standard	4.75	48.0	74.0	10	60.0	60
010	4.41	Standard	3.75	74.0	120.0	5	93.0	22
012	4.41	Standard	5.01	48.0	168.0	4	69.0	18
013	3.08	Standard	5.50	74.0	96.0	2	69.0	12
015	3.08	Standard	4.50	120.0	144.0	2	45.0	9
5001	5.50	Early	2.75	95.0	124.5	2	0.0	11
5002	5.50	Early	5.50	42.0	70.0	14	40.0	63
5004	5.50	Standard	2.50	76.5	118.0	3	56.0	23
5006	5.50	Early	6.25	42.0	76.5	8	40.0	45
5007	5.50	Early	4.00	42.0	70.0	2	32.0	6
5017	5.50	Early	1.75	42.0	53.0	1	16.0	1
5018	5.50	Early	5.00	29.0	53.0	7	40.0	33
5019	5.50	Early	5.12	42.0	70.0	15	32.0	86
5020	5.50	Standard	5.00	42.0	100.5	16	40.0	184
5021	5.50	Standard	5.27	95.0	141.5	3	80.0	10

674

675 Table S2. Clinical data, including inoculum dose ($\log_{10}(\text{TCID}_{50}/\text{mL})$), treatment cohort, peak
676 viral titer ($\log_{10}(\text{TCID}_{50}/\text{mL})$), time to peak viral titer (hours), duration of infection (hours), peak
677 Modified Jackson symptom score, time to peak symptom score (hours), and cumulative symptom
678 score, by subject

Table S3 Sequencing data

Subject	Day	Mean [sd] read length (bp)							
		PB2	PB1	PA	HA	NP	NA	M	NS
Challenge	0	70.86 [0.15]	70.90 [0.17]	71.14 [0.17]	71.18 [0.16]	71.10 [0.14]	70.98 [0.18]	71.23 [0.18]	70.99 [0.22]
	1	70.91 [0.17]	70.83 [0.21]	70.82 [0.20]	71.14 [0.20]	70.81 [0.18]	70.99 [0.19]	71.05 [0.19]	70.70 [0.27]
	2	71.35 [0.14]	71.23 [0.18]	71.29 [0.17]	71.65 [0.15]	71.28 [0.15]	71.44 [0.17]	71.58 [0.17]	71.45 [0.25]
001	1	71.16 [0.15]	70.96 [0.21]	71.15 [0.18]	71.17 [0.19]	70.94 [0.16]	71.12 [0.18]	71.09 [0.19]	71.11 [0.24]
	3	70.75 [0.16]	70.50 [0.24]	70.66 [0.20]	70.96 [0.19]	70.60 [0.21]	71.15 [0.19]	71.05 [0.20]	71.01 [0.27]
006	2	70.78 [0.17]	70.83 [0.19]	70.73 [0.19]	70.96 [0.18]	70.47 [0.18]	70.88 [0.19]	71.13 [0.18]	70.45 [0.27]
	3	71.11 [0.15]	71.10 [0.17]	71.32 [0.14]	71.25 [0.19]	71.06 [0.16]	70.90 [0.19]	71.54 [0.15]	71.43 [0.24]
008	2	70.94 [0.16]	70.91 [0.17]	70.66 [0.19]	71.15 [0.16]	71.12 [0.15]	71.06 [0.19]	71.38 [0.17]	71.11 [0.21]
	3	70.84 [0.25]	71.05 [0.26]	71.12 [0.26]	71.27 [0.22]	70.92 [0.24]	71.12 [0.28]	71.21 [0.18]	71.52 [0.23]
010	6	71.13 [0.16]	71.10 [0.21]	71.14 [0.20]	71.51 [0.17]	71.10 [0.16]	71.29 [0.21]	71.41 [0.17]	71.46 [0.23]
	2	70.62 [0.18]	70.54 [0.20]	70.79 [0.18]	71.01 [0.17]	70.81 [0.18]	70.97 [0.18]	71.00 [0.19]	70.65 [0.28]
012	3	70.78 [0.16]	70.80 [0.20]	70.99 [0.19]	71.09 [0.18]	70.97 [0.18]	70.91 [0.20]	71.17 [0.20]	71.32 [0.28]
	4	70.59 [0.18]	70.61 [0.18]	70.81 [0.16]	71.00 [0.16]	70.95 [0.15]	70.82 [0.18]	71.46 [0.15]	70.99 [0.21]
013	2	70.95 [0.15]	70.96 [0.17]	70.94 [0.17]	71.13 [0.20]	70.86 [0.18]	70.88 [0.22]	71.19 [0.18]	71.00 [0.27]
	3	70.80 [0.16]	70.78 [0.17]	70.98 [0.17]	70.98 [0.18]	70.98 [0.16]	71.15 [0.17]	71.21 [0.17]	70.92 [0.24]
5001	5	71.10 [0.15]	71.13 [0.17]	71.33 [0.16]	71.24 [0.17]	71.17 [0.16]	71.37 [0.16]	70.90 [0.21]	71.13 [0.25]
	2	70.70 [0.17]	70.93 [0.19]	70.98 [0.18]	70.93 [0.20]	70.72 [0.17]	71.05 [0.17]	70.97 [0.22]	70.69 [0.31]
5002	3	71.12 [0.16]	70.82 [0.24]	70.97 [0.19]	71.21 [0.18]	70.81 [0.17]	70.97 [0.18]	71.22 [0.16]	71.16 [0.23]
	2	70.77 [0.17]	70.96 [0.16]	70.90 [0.17]	70.97 [0.19]	70.84 [0.16]	70.84 [0.19]	70.98 [0.22]	71.10 [0.28]
5004	3	70.79 [0.15]	70.77 [0.19]	70.92 [0.17]	71.02 [0.17]	70.94 [0.17]	71.10 [0.18]	71.30 [0.17]	70.80 [0.24]
	4	70.69 [0.22]	70.42 [0.24]	70.58 [0.47]	70.83 [0.23]	70.69 [0.20]	70.94 [0.25]	70.56 [0.22]	70.39 [0.50]
5006	5	71.36 [0.12]	71.35 [0.14]	71.36 [0.14]	71.61 [0.13]	71.38 [0.13]	71.34 [0.16]	71.49 [0.16]	71.38 [0.20]
	1	71.21 [0.12]	71.02 [0.14]	71.21 [0.13]	71.31 [0.15]	71.23 [0.13]	71.17 [0.15]	71.50 [0.15]	71.30 [0.20]
5007	2	70.88 [0.15]	70.80 [0.19]	70.76 [0.18]	71.07 [0.18]	70.82 [0.16]	71.02 [0.17]	70.80 [0.20]	70.53 [0.29]
	3	70.88 [0.17]	71.24 [0.20]	71.13 [0.20]	71.18 [0.19]	71.00 [0.17]	71.06 [0.21]	71.41 [0.19]	71.00 [0.26]
5017	1	71.14 [0.20]	71.17 [0.19]	71.18 [0.19]	71.20 [0.20]	71.00 [0.17]	70.96 [0.30]	71.00 [0.24]	71.27 [0.39]
	2	70.96 [0.14]	70.79 [0.18]	70.84 [0.16]	71.03 [0.16]	70.85 [0.16]	71.20 [0.15]	71.35 [0.17]	71.00 [0.21]
5018	3	70.39 [0.18]	70.48 [0.19]	70.46 [0.19]	70.82 [0.17]	70.65 [0.18]	70.54 [0.20]	70.99 [0.19]	70.77 [0.23]
	2	70.73 [0.18]	70.60 [0.19]	70.52 [0.29]	70.83 [0.22]	70.63 [0.18]	70.87 [0.23]	70.99 [0.22]	70.90 [0.35]
5019	1	70.71 [0.16]	70.65 [0.21]	70.74 [0.21]	70.97 [0.24]	70.37 [0.21]	70.86 [0.23]	70.94 [0.21]	70.87 [0.31]
	2	70.97 [0.15]	70.87 [0.17]	71.02 [0.17]	71.03 [0.19]	70.96 [0.16]	70.98 [0.19]	71.31 [0.17]	71.03 [0.24]
5020	1	70.70 [0.15]	70.44 [0.17]	70.82 [0.15]	70.98 [0.15]	70.85 [0.15]	70.94 [0.16]	70.97 [0.18]	71.06 [0.19]
	2	70.78 [0.15]	70.65 [0.20]	70.67 [0.18]	70.84 [0.19]	70.75 [0.17]	70.67 [0.21]	71.13 [0.18]	70.90 [0.28]
5021	3	71.06 [0.12]	70.75 [0.16]	71.04 [0.14]	71.09 [0.15]	71.00 [0.15]	71.22 [0.14]	71.42 [0.16]	71.12 [0.20]
	1	71.35 [0.13]	71.31 [0.18]	71.17 [0.16]	71.41 [0.16]	71.28 [0.13]	71.17 [0.16]	71.45 [0.16]	71.22 [0.22]
5022	2	71.14 [0.16]	71.24 [0.18]	71.32 [0.16]	71.13 [0.17]	71.11 [0.17]	71.29 [0.19]	71.27 [0.18]	71.39 [0.25]
	3	70.71 [0.15]	70.77 [0.18]	70.72 [0.18]	70.98 [0.17]	70.88 [0.16]	70.98 [0.18]	70.94 [0.19]	70.96 [0.24]
5023	4	71.14 [0.14]	70.82 [0.18]	71.12 [0.16]	71.23 [0.16]	71.12 [0.15]	71.10 [0.18]	71.17 [0.17]	71.05 [0.22]
	1	70.48 [0.17]	70.16 [0.26]	70.62 [0.17]	70.72 [0.19]	70.81 [0.15]	70.80 [0.19]	70.92 [0.21]	71.06 [0.25]
5024	2	71.21 [0.14]	71.36 [0.16]	71.25 [0.15]	71.42 [0.15]	71.41 [0.14]	71.32 [0.16]	71.62 [0.15]	71.55 [0.20]
	3	71.00 [0.14]	70.83 [0.17]	71.03 [0.16]	71.02 [0.18]	71.02 [0.15]	71.12 [0.16]	71.00 [0.20]	70.73 [0.26]
5025	4	70.62 [0.15]	70.43 [0.17]	70.82 [0.16]	70.79 [0.18]	70.62 [0.17]	70.83 [0.18]	70.66 [0.21]	70.81 [0.23]
	5	71.01 [0.15]	70.92 [0.19]	71.19 [0.15]	71.38 [0.17]	70.98 [0.16]	70.74 [0.20]	71.32 [0.17]	71.11 [0.23]

Table S3 (cont.) Sequencing data

Subject	Day	Genome	PB2	PB1	PA	Mean [sd] read depth				
						HA	NP	NA	M	NS
Challenge	0	130.06 [52.95]	127.70 [38.73]	91.83 [42.16]	95.24 [39.26]	125.10 [34.74]	198.38 [40.59]	137.17 [39.55]	176.27 [41.50]	150.11 [49.92]
	1	105.56 [41.69]	103.17 [25.92]	68.62 [21.91]	83.20 [25.05]	88.15 [26.48]	142.68 [30.72]	122.12 [27.07]	185.26 [32.26]	116.76 [28.61]
	2	113.22 [41.24]	127.14 [29.16]	69.79 [18.45]	86.60 [25.43]	120.38 [34.46]	158.72 [30.33]	123.17 [27.81]	159.25 [34.73]	94.52 [26.69]
001	1	110.78 [42.77]	116.57 [26.32]	66.61 [24.71]	87.77 [28.21]	94.82 [30.87]	156.83 [26.89]	125.91 [23.33]	171.00 [36.92]	127.25 [37.10]
	3	99.82 [40.89]	110.84 [57.47]	59.49 [15.91]	85.41 [23.48]	100.90 [26.52]	111.04 [23.14]	116.61 [22.68]	153.25 [39.28]	102.94 [28.13]
006	2	113.41 [40.34]	106.35 [24.87]	76.83 [25.04]	92.26 [26.07]	115.97 [24.47]	145.97 [28.23]	128.02 [30.74]	180.31 [34.93]	119.10 [47.41]
008	3	119.43 [43.94]	115.34 [32.97]	86.64 [22.62]	120.70 [35.37]	93.73 [24.75]	156.55 [37.43]	124.88 [34.71]	198.01 [38.01]	100.53 [28.63]
010	2	126.84 [43.61]	115.09 [32.53]	101.59 [29.02]	96.15 [26.89]	137.44 [39.56]	170.32 [36.08]	114.69 [17.74]	190.56 [34.17]	151.51 [40.19]
012	3	63.32 [43.06]	49.91 [39.48]	40.00 [23.27]	40.92 [14.73]	60.78 [26.14]	75.17 [19.73]	53.68 [13.11]	165.06 [40.19]	100.89 [36.52]
	6	95.41 [44.16]	105.38 [39.59]	59.09 [21.16]	63.29 [22.32]	91.49 [36.44]	133.23 [23.12]	90.93 [27.26]	171.92 [34.36]	107.00 [37.60]
013	2	112.48 [38.53]	100.57 [21.73]	79.72 [33.78]	92.37 [27.64]	124.28 [32.24]	140.01 [22.83]	133.02 [21.51]	168.21 [38.61]	111.76 [32.21]
	3	104.34 [34.72]	114.63 [40.05]	75.03 [18.78]	88.39 [24.34]	105.32 [26.22]	130.78 [17.15]	115.17 [25.38]	145.23 [33.25]	81.35 [23.64]
015	4	134.29 [47.29]	104.83 [28.54]	95.00 [25.56]	113.62 [27.78]	138.17 [40.59]	173.60 [24.57]	148.29 [23.56]	216.44 [32.05]	174.65 [46.81]
5001	2	110.54 [36.89]	127.36 [33.58]	93.87 [23.77]	98.40 [31.09]	82.29 [31.53]	136.26 [24.58]	102.39 [29.27]	162.95 [27.35]	104.86 [27.77]
	3	128.28 [40.79]	113.66 [24.05]	104.42 [25.65]	99.62 [25.44]	120.32 [27.06]	175.76 [33.23]	145.06 [24.48]	195.75 [33.56]	129.46 [32.22]
5002	5	118.42 [36.63]	117.02 [27.56]	90.22 [27.35]	98.79 [28.67]	117.00 [32.22]	151.29 [24.31]	137.83 [22.35]	157.81 [31.82]	113.92 [45.35]
	2	108.42 [37.70]	105.78 [30.46]	80.25 [22.42]	90.56 [29.29]	99.65 [26.66]	153.16 [28.78]	140.74 [36.07]	132.97 [27.18]	91.97 [26.91]
5004	3	107.12 [52.31]	103.33 [35.41]	50.00 [22.62]	76.93 [26.53]	93.27 [29.64]	150.14 [31.62]	141.28 [30.65]	207.92 [26.98]	124.62 [34.43]
	2	115.79 [37.03]	105.43 [27.83]	110.79 [33.77]	104.62 [31.04]	101.59 [26.24]	161.45 [29.79]	133.09 [24.60]	129.19 [40.40]	88.16 [39.56]
5006	3	130.44 [39.07]	138.70 [27.24]	90.92 [18.15]	105.39 [23.20]	120.72 [32.72]	160.42 [22.74]	143.18 [21.67]	196.52 [31.48]	146.21 [33.37]
	4	68.14 [42.28]	66.86 [30.54]	58.40 [18.18]	14.78 [9.39]	76.24 [24.65]	113.55 [29.63]	73.08 [18.56]	147.74 [26.75]	35.58 [14.47]
	5	146.08 [42.29]	154.27 [27.75]	108.22 [26.89]	123.64 [35.86]	147.62 [43.76]	191.72 [32.06]	145.11 [24.71]	190.59 [26.93]	148.25 [40.29]
5007	1	165.53 [43.03]	175.88 [29.02]	131.60 [25.84]	152.71 [35.73]	143.46 [36.89]	212.54 [33.32]	174.14 [31.23]	216.26 [31.28]	148.85 [43.29]
	2	119.50 [41.96]	131.30 [31.50]	86.17 [20.91]	93.71 [41.21]	108.39 [33.88]	164.76 [25.35]	137.73 [30.07]	162.43 [34.95]	104.45 [30.76]
5017	3	105.76 [39.33]	110.57 [28.05]	66.47 [21.51]	78.56 [21.71]	105.35 [29.63]	153.19 [31.31]	105.67 [17.87]	158.32 [23.16]	122.84 [34.83]
	1	77.68 [34.32]	66.21 [22.47]	72.25 [22.22]	72.98 [26.40]	83.51 [29.53]	129.63 [31.82]	51.46 [13.22]	107.91 [23.70]	39.23 [16.92]
5018	2	137.64 [47.75]	131.16 [36.17]	92.55 [33.66]	112.74 [29.08]	140.41 [46.79]	174.09 [25.26]	168.71 [36.77]	193.59 [37.90]	151.99 [47.76]
	3	121.60 [37.73]	107.81 [22.88]	90.21 [29.32]	99.59 [25.60]	135.14 [30.84]	151.99 [22.48]	128.28 [24.47]	173.69 [32.53]	145.66 [38.65]
5019	2	90.64 [44.18]	92.17 [34.62]	87.88 [35.07]	42.94 [27.10]	83.79 [28.90]	152.08 [30.09]	98.05 [24.10]	131.47 [35.36]	59.75 [24.48]
	1	92.19 [35.56]	118.53 [27.01]	72.54 [17.66]	69.80 [23.76]	64.42 [22.19]	119.32 [26.95]	88.38 [23.81]	144.81 [29.91]	84.16 [28.46]
5020	2	119.67 [41.05]	121.00 [36.26]	97.33 [29.51]	94.29 [26.36]	98.65 [31.48]	159.58 [29.00]	126.20 [24.09]	184.60 [31.24]	125.66 [35.19]
	1	156.68 [44.40]	135.82 [38.49]	121.54 [30.87]	143.33 [32.70]	162.31 [42.45]	189.70 [30.71]	172.60 [23.12]	200.09 [39.61]	193.60 [49.27]
5021	2	120.85 [45.35]	137.00 [35.58]	79.06 [22.11]	105.55 [29.20]	103.99 [34.07]	175.37 [35.49]	113.37 [23.06]	185.75 [31.28]	102.50 [35.94]
	3	156.41 [44.08]	167.84 [38.59]	111.86 [21.39]	136.82 [36.14]	144.16 [37.54]	181.09 [26.27]	189.70 [28.79]	197.68 [39.11]	172.45 [47.86]
5022	1	137.26 [52.20]	141.90 [38.88]	76.92 [18.67]	111.84 [33.70]	120.94 [38.13]	207.55 [29.35]	157.01 [25.94]	202.69 [29.29]	150.07 [35.68]
	2	109.16 [36.03]	100.37 [26.59]	78.86 [20.88]	95.27 [26.23]	115.49 [31.89]	140.46 [27.21]	115.58 [26.20]	166.18 [28.39]	103.89 [32.33]
5023	3	129.17 [41.06]	140.08 [34.40]	95.70 [23.80]	99.50 [34.00]	131.35 [38.03]	161.50 [26.56]	141.93 [24.15]	174.47 [44.14]	129.35 [32.58]
	4	127.35 [42.12]	123.43 [29.88]	89.79 [27.19]	99.87 [25.11]	127.09 [33.38]	172.44 [27.99]	135.91 [23.15]	186.18 [26.46]	146.02 [48.68]
5024	1	119.23 [48.62]	124.16 [40.50]	54.82 [22.68]	120.59 [30.38]	122.58 [38.86]	176.88 [33.80]	127.77 [27.01]	158.76 [29.81]	105.67 [44.61]
	2	129.49 [43.63]	126.45 [21.87]	82.78 [16.16]	104.53 [27.36]	126.65 [29.59]	172.31 [26.37]	147.77 [26.82]	210.60 [33.68]	131.21 [34.92]
5025	3	133.33 [39.74]	136.60 [33.58]	102.49 [22.82]	114.86 [32.83]	118.42 [30.18]	174.26 [32.23]	162.01 [28.46]	165.12 [37.60]	126.60 [34.94]
	4	135.21 [45.59]	136.99 [46.39]	110.48 [38.74]	122.80 [60.09]	126.64 [30.28]	161.63 [27.12]	153.02 [26.25]	158.88 [42.28]	141.37 [47.72]
5026	5	122.18 [45.43]	123.22 [39.92]	81.08 [33.16]	111.55 [36.85]	105.47 [28.74]	157.43 [24.94]	126.80 [25.93]	200.10 [31.82]	129.41 [39.81]

680

681 Table S3. Sequencing data, including mean [population standard deviation (sd)] read length (bp)
 682 and mean [sd] coverage (reads), for all samples. Data are calculated following read trimming
 683 (removal of Illumina adapters, leading or trailing bases with quality <3, portions of reads where
 684 the average quality per-base in 4-base wide sliding windows was <15 and reads with <50 bases)

685 (46), alignment to the reference genome, realignment to a consensus sequence (47), and removal
686 of PCR duplicates (49). Technical sequencing replicates were processed separately and summary
687 statistics calculated from the combination of the two samples.

TABLE S4 DVG read support

Subject	Day	Normalized DVG reads							
		PB2	PB1	PA	HA	NP	NA	M	NS
Challenge	0					0.0014	0.0014		0.0021
1001	1								0.0013
	2	0.0007	0.0026	0.0015					
1006	1					0.0031			
	3	0.0529	0.0259	0.0154			0.0008	0.0009	
1008	2	0.0006	0.0023		0.0007				
1010	3	0.0099	0.0200	0.0641	0.0098		0.0030		
1012	2	0.0072	0.0131	0.0071					
	3	0.0351	0.0452	0.0204	0.0013				
	6	0.0179	0.0096				0.0047		
1013	2	0.0068	0.0365	0.0141			0.0007		
	3	0.0168	0.0181	0.0074	0.0008		0.0008		
1015	4		0.0019	0.0016					0.0009
5001	3			0.0174					
	5	0.0005		0.0006	0.0007		0.0031	0.0026	0.0069
5002	2	0.0008	0.0033	0.0042		0.0011			
	3	0.0012	0.0106	0.0106					
5004	2			0.0006					0.0072
	3	0.0021						0.0007	
	4						0.0013		
	5	0.0038	0.0034						0.0028
	1	0.0009	0.0072	0.0004					
5006	2	0.0025	0.0132	0.0127	0.0015				0.0023
	3	0.0055	0.0077						
	2	0.0082	0.0083	0.0036					
5007	3	0.0006	0.0029				0.0018	0.0039	
	1					0.0018			
5018	2	0.0044	0.0045		0.0076				
	1	0.0020	0.0005	0.0057		0.0042	0.0023		0.0008
5019	2	0.0157	0.0008	0.0077		0.0035			
	3	0.0132	0.0008	0.0129		0.0017			0.0009
	1	0.0021	0.0016	0.0014					
5020	2	0.0051	0.0027	0.0053					
	3	0.0013		0.0006					
	4	0.0170	0.0053	0.0044			0.0010		
	2	0.0012							
5021	3	0.0062	0.0021	0.0006					
	4	0.0285	0.0351	0.0440			0.0057		
	5	0.0247	0.0392	0.0233				0.0007	0.0055

688

689 Table S4. Normalized DVG read count for all subjects for each gene segment. Values represent

690 the proportion of the reads mapped to a given gene which support DVG species present in both

- 691 technical replicates. Blank cells represent instances where no DVG reads were observed.
- 692 Subjects highlighted in grey represent those in the early treatment (oseltamivir on the first day
- 693 post challenge) treatment cohort.

TABLE S5 DVG junction sites

	Reference length (nt)	3' junction site			5' junction site			Mean [sd] bases deleted (nt)
		Mean [sd] (nt)	Minimum (nt)	Maximum (nt)	Mean [sd] (nt)	Minimum (nt)	Maximum (nt)	
PB2	2310	325 [295]	84	1814	1971 [236]	398	2177	1646 [392]
PB1	2312	304 [259]	43	2113	1929 [225]	187	2224	1625 [397]
PA	2192	256 [180]	66	1455	1849 [204]	243	2074	1593 [332]

694

695 Table S5. Summary statistics (mean [population standard deviation (sd)], weighted by the
696 normalized DVG read count) for the 3' and 5' junction sites (relative to the reference) of DVGs
697 observed in the PB2, PB1, and PA genes as well as the mean [sd] number of reference bases
698 deleted.

TABLE S6 DVG species observed in multiple samples

Gene	DVG ID	Samples			
PB2	93_1983	013, Day 2	013, Day 3		
PB2	154_2069	5019, Day 2	5019, Day 3		
PB2	170_2035	5021, Day 4	5021, Day 5		
PB2	171_2087	010, Day 3	5007, Day 2		
PB2	172_2079	5019, Day 1	5019, Day 2	5019, Day 3	
PB2	187_2125	5006, Day 1	5006, Day 2	5006, Day 3	
PB2	209_1983	010, Day 3	5006, Day 3		
PB2	211_1956	5021, Day 3	5021, Day 4	5021, Day 5	
PB2	241_1982	013, Day 2	013, Day 3		
PB2	249_2005	5021, Day 4	5021, Day 5		
PB2	356_1937	5021, Day 2	5021, Day 3	5021, Day 4	5021, Day 5
PB2	386_1903	5021, Day 2	5021, Day 4		
PB2	476_703	5006, Day 1	5019, Day 2	5020, Day 2	5021, Day 3
PB2	505_1743	5021, Day 3	5021, Day 4		
PB2	523_1789	013, Day 2	013, Day 3		
PB2	1482_2101	5020, Day 2	5020, Day 4		
PB1	43_1978	5021, Day 4	5021, Day 5		
PB1	90_2073	5021, Day 4	5021, Day 5		
PB1	131_2028	5006, Day 1	5006, Day 2		
PB1	142_2150	013, Day 2	013, Day 3		
PB1	179_2076	5021, Day 3	5021, Day 4	5021, Day 5	
PB1	183_1994	012, Day 2	012, Day 3		
PB1	183_2092	013, Day 2	013, Day 3		
PB1	226_1924	010, Day 3	5021, Day 5		
PB1	231_1746	5021, Day 4	5021, Day 5		
PB1	232_1986	013, Day 2	013, Day 3		
PB1	313_1863	012, Day 2	012, Day 3		
PB1	631_1603	013, Day 2	013, Day 3		
PB1	689_1544	5021, Day 3	5021, Day 4	5021, Day 5	
PA	102_1936	5002, Day 2	5002, Day 3		
PA	124_1891	012, Day 2	012, Day 3		
PA	153_1941	5020, Day 1	5020, Day 4		
PA	153_2015	013, Day 2	013, Day 3		
PA	160_1903	5021, Day 4	5021, Day 5		
PA	175_1892	5020, Day 2	5020, Day 4		
PA	175_1935	5019, Day 1	5019, Day 2	5019, Day 3	
PA	197_1916	5021, Day 4	5021, Day 5		
PA	229_1944	5006, Day 1	5006, Day 2		
PA	263_1968	5021, Day 4	5021, Day 5		
PA	328_1719	5021, Day 4	5021, Day 5		
PA	331_1824	5021, Day 4	5021, Day 5		
PA	476_767	5001, Day 5	5004, Day 2	5006, Day 2	5019, Day 1
NP	229_518	006, Day 1	5018, Day 1	5019, Day 1	
NP	696_1378	5002, Day 2	5019, Day 2	5019, Day 3	Challenge, Day 0
NA	421_803	006, Day 3	013, Day 2		
NA	633_737	010, Day 3	5019, Day 1	5021, Day 4	
NS	291_518	001, Day 1	5004, Day 5	5019, Day 1	5021, Day 5

699

700 Table S6. Defective viral genome (DVG) species observed in multiple samples. DVGs are
 701 organized by gene segment. DVG IDs represent the first_last deleted reference based as
 702 identified using the “jI” SAM tag.