1	BIOLOGICAL SCIENCES
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3	CLATHRIN LIGHT CHAIN DIVERSITY REGULATES MEMBRANE DEFORMATION IN
4	VITRO AND SYNAPTIC VESICLE FORMATION IN VIVO
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# 28 ABSTRACT

29

30	Clathrin light chain (CLC) subunits in vertebrates are encoded by paralogous genes CLTA
31	and CLTB and both gene products are alternatively spliced in neurons. To understand how
32	this CLC diversity influences neuronal clathrin function, we characterised the biophysical
33	properties of clathrin comprising individual CLC variants for correlation with neuronal
34	phenotypes of mice lacking either CLC-encoding gene. CLC splice variants differentially
35	influenced clathrin knee conformation within assemblies, and clathrin with neuronal CLC
36	mixtures was more effective in membrane deformation than clathrin with single neuronal
37	isoforms nCLCa or nCLCb. Correspondingly, electrophysiological recordings revealed that
38	neurons from mice lacking nCLCa or nCLCb were both defective in synaptic vesicle
39	replenishment. Mice with only nCLCb had a reduced synaptic vesicle pool and impaired
40	neurotransmission compared to wild-type mice, while nCLCa-only mice had increased
41	synaptic vesicle numbers, restoring normal neurotransmission. These findings highlight
42	differences between the CLC isoforms and show that isoform mixing influences tissue-
43	specific clathrin activity in neurons, which requires their functional balance.
44	
45	KEY WORDS

46 Clathrin, membrane traffic, coated vesicle formation, protein isoforms, neuronal synapse

# 48 SIGNIFICANCE STATEMENT

- 49
- 50 This study reveals that diversity of clathrin light chain (CLC) subunits alters clathrin
- 51 properties and demonstrates that the two neuronal CLC subunits work together for optimal
- 52 clathrin function in synaptic vesicle formation. Our findings establish a role for CLC diversity
- 53 in synaptic transmission and illustrate how CLC variability expands the complexity of clathrin
- 54 to serve tissue-specific functions.

#### 56 INTRODUCTION

57

58 Clathrin mediates vesicle formation from the plasma membrane and endosomal 59 compartments (1). Recruited by cargo-recognising adaptor proteins, triskelion-shaped 60 clathrin proteins assemble into polyhedral lattices to capture membrane-associated cargo 61 and promote membrane bending into clathrin-coated vesicles (CCVs). Through sequestration 62 of a variety of cargo, CCVs play fundamental roles in general cellular physiology including 63 regulation of nutrient uptake and signalling, as well as in tissue-specific membrane traffic 64 such as synaptic vesicle (SV) generation (2). This range of clathrin functions has been 65 attributed to adaptor and accessory protein variation (3). However, functional diversity is also 66 generated by variability of clathrin subunits. Vertebrates have two types of clathrin heavy 67 chains with distinct functions (4). The major vertebrate clathrin is formed from clathrin heavy 68 chain CHC17 (herein referred to as CHC) associated with clathrin light chains (CLCs), which 69 do not bind the minor CHC22 clathrin isoform. Vertebrate CLCs are encoded by two different 70 genes CLTA and CLTB (in humans), producing CLCa and CLCb isoforms of about 60% 71 sequence identity (5, 6). Their sequence differences have been conserved during evolution, 72 after the encoding genes arose through duplication, suggesting the two isoforms can mediate 73 distinct functions (7, 8). Expression levels of the CLCa and CLCb isoforms are tissue-specific 74 (9), and further variation is created by alternative gene splicing during development (10) and 75 in brain (5, 6). Here we address how CLC diversity affects the biophysical properties of 76 clathrin and how the resulting variation affects the specialised function of clathrin in synaptic 77 vesicle (SV) replenishment.

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Limited functional differences between CLCa and CLCb have been observed in cell culture
with respect to clathrin dynamics (11), during focal adhesion formation (12), cancer cell
migration (13) and in epithelial polarity (14), with mechanisms attributed to isoform-specific
differences in CLC binding proteins and post-translational modification (15). Loss of CLCs in

83 culture and *in vivo* affects CCV uptake of some, but not all cargo, possibly reflecting 84 variability in mechanical demand for packaging different cargo into CCVs (9, 15, 16). The 85 capacity for biophysical differences in clathrin comprising different CLC isoforms and their 86 splicing variants to influence tissue-specific clathrin functions has not yet been considered. 87 CLC variability has potential to affect clathrin function as CLCs interact with key domains of 88 the clathrin triskelion that contribute to clathrin-mediated membrane bending. They bind the 89 trimerisation domain (TxD) of the triskelion vertex where the three component CHCs interact 90 (17, 18), and extend along the triskelion leg to the bend at the knee where CLCs regulate 91 conformation (19). Like various other endocytic proteins (3), CLCs undergo neuron-specific 92 splicing (20), which introduces one exon in CLCb (encoding 18 residues) and two exons 93 (encoding 30 residues) in CLCa at equivalent positions near the CLC C-termini, resulting in 94 higher molecular weight forms nCLCb and nCLCa (5, 6), suggesting neuron-specific 95 functions for these variants. In vitro, CLCs are required for efficient clathrin-mediated 96 membrane vesiculation at low temperature (21). Thus, CLCs could affect clathrin-mediated 97 membrane deformation directly through their influence on clathrin lattice properties (21, 22), 98 as well as indirectly through cellular interaction with proteins that influence the actin 99 cytoskeleton (14, 23-25). 100

101 SV replenishment by membrane traffic following neuronal degranulation is critical for 102 sustained neurotransmission. Endocytosis is required to regulate synaptic plasma membrane 103 surface area and to retrieve SV proteins (26), and SVs are generated from the plasma 104 membrane and endosome-like compartments (27). The essential sites of clathrin function in 105 these pathways are debated (2, 28), but dysfunction of clathrin-associated endocytic proteins 106 is associated with neurological defects observed in Parkinson's disease (29), Alzheimer's 107 disease (3) and in numerous animal models in which such proteins have been genetically 108 deleted (30-35).

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110 Here, we assess how clathrin lattices formed with neuronal and non-neuronal CLC variants 111 could differentially affect function by correlating their in vitro biophysical properties with in 112 vivo neuronal phenotypes of mice lacking CLC-encoding genes. We found that CLC 113 composition significantly influenced clathrin lattice properties and the ability to form vesicles. 114 CLC splice variation impacted assembly curvature by regulating CHC knee conformation, 115 resulting in decreased ability of individual neuronal splice variants to deform liposome 116 membrane in vitro. This effect was ameliorated for lattices formed from a mixture of neuronal 117 CLC isoforms. Mice lacking either CLCa or CLCb isoforms showed corresponding 118 differences in SV replenishment and synaptic neurotransmission compared to their wild-type 119 (WT) littermates expressing both isoforms, and to each other. Collectively, these functional 120 differences between CLC isoforms observed in vitro and in vivo establish a role for CLC 121 diversity in regulating clathrin function in neurons and suggest a critical role for isoform 122 balance.

#### 124 RESULTS

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126 CLC splice variants differentially influence lattice curvature via the triskelion knee 127 Within clathrin lattices, the knee bending angle determines whether a hexagon (non-128 curvature inducing) or pentagon (curvature-inducing) is formed (36, 37). In addition, the 129 characteristic pucker at the triskelion vertex and the crossing angle of interacting CHC legs 130 can further modulate lattice curvature (38, 39) (Fig. 1a). This versatility of clathrin assemblies 131 allows clathrin to sequester cargo of various sizes as well as to form stable, flat assemblies 132 that serve as signalling hubs (3, 40). For each closed cage, there is a fixed number of 12 133 pentagons, but a varying number of hexagons (41) (Fig. 1a). Thus, larger cages (i.e. lattices 134 of lower curvature) have a smaller pentagon to hexagon ratio. CLCs maintain the puckered 135 conformation of triskelia in flat assemblies (21) and structural studies showed that nCLCb 136 can influence conformation of the triskelion knee up to a degree that inhibits assembly (19). 137 Considering these effects on CHCs, we hypothesized that sequence differences between the 138 CLC isoforms and alterations due to splicing might modulate CLC influence on triskelion 139 conformation and lattice curvature.

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141 To address this, we produced clathrin triskelia of defined CLC composition by reconstituting 142 tissue-derived CHC triskelia (42) with recombinantly expressed CLCa, CLCb, nCLCa or 143 nCLCb (SI Appendix, Fig. S1a-d). We then induced assembly of these different clathrins into 144 closed cages and analysed cage diameter as a measure of lattice curvature by electron 145 microscopy. Previous studies showed that tissue-derived, CLC-bound clathrin (native) forms 146 two major size classes, while CHC-only triskelia predominantly form small-sized cages (43-46). Our results confirmed that without CLCs, CHC formed predominantly cages of less than 147 148 90 nm in diameter with an average size of around 70 nm, representing cages of up to 60 149 triskelia (45). Clathrin with each of the CLC variants was further able to form larger cages 150 with an average diameter of around 110 nm (140 triskelia) for those cages. The degree to

151 which larger cages were formed varied significantly between splice variants (Fig. 1b, c),

152 indicating that the neuronal splice inserts regulate the influence of CLCs on lattice curvature.

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154 To establish whether differences in larger cage formation resulted from CLC influence on the triskelion vertex or on the CHC knee conformation or both, we dissected the effect of CLCs 155 156 separately for each domain. To test whether CLC diversity exerts influence through varying 157 CHC knee conformation, we produced clathrin cages from two CHC fragments that together 158 constitute a full-length clathrin triskelion "cut" at the knee (Fig. 1d) (47). The Hub fragment 159 (residues 1074-1675) was reconstituted with different CLCs (48) and combined with the 160 terminal-distal leg segment (TDD, residues 1-1074, SI Appendix, Fig. S1e) under conditions 161 promoting their co-assembly (47). Cages produced when TDD was combined with CLC-162 reconstituted Hub fragments were similar in size to fragment cages without CLCs, and the 163 larger cages observed for CLC variants associated with intact CHC did not form (Fig. 1d, e). 164 This result demonstrates that for CLC diversity to exert an effect on lattice curvature, the 165 CHC knee must be intact, and shows that CLC splice variants differ in their influence on CHC 166 knee conformation. Formation of larger cages by neuronal CLCs suggests that the neuronal 167 splice inserts restrict the conformational flexibility of the CHC knee and could thereby reduce 168 the likelihood of pentagon over hexagon formation. Non-neuronal CLCs appear to support a 169 less biased variety of knee conformations, as cages of the two size classes are observed at 170 almost equal frequency (Fig. 1b, c). To establish whether cage size differences also 171 correlated with CLC effects at the TxD, the different CLCs were compared for their ability to 172 stabilise the TxD (SI Appendix, Fig. S2) in an assay that measures triskelion dissociation. 173 While dissociation differences were observed between triskelia reconstituted with the 174 different CLC isoforms and their splice variants, these effects did not correlate with cage size 175 differences, suggesting that CLC influence at the vertex is not a major factor in lattice 176 curvature.

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#### 179 CLC diversity modulates mechanical properties of the clathrin lattice

180 We next investigated whether the influence of CLCs on the CHC knee had mechanical 181 consequences for their ability to support membrane deformation. Mechanical properties of 182 clathrin lattices were previously shown to be CLC-dependent and reduced ability of CLC-free 183 clathrin to bend membranes in vitro was correlated with reduced planar lattice quality when 184 assembled on an electron microscopy (EM) grid (21). To establish whether CLC diversity 185 influences lattice quality in the same assay, clathrins with different CLC composition were 186 assembled on EM grids coated with a clathrin-binding fragment of epsin1 ( $H_6$ - $\Delta$ ENTHepsin<sup>144-575</sup>, SI Appendix, Fig. S1f) (49). The flat lattices formed were visualized by EM and 187 188 their periodicity (quality) assessed by Fourier transform analysis. Lattice quality was 189 significantly reduced for clathrin with the neuronal splice variants of either CLCa or CLCb 190 compared to clathrin reconstituted with their respective non-neuronal variants, and was 191 significantly improved if formed from a 1:1 mix of nCLCa clathrin and nCLCb clathrin (Fig. 2). 192 To visualise the nature of CLC distribution in these mixtures, lattices were generated using 193 His-tagged nCLCa clathrin or nCLCb clathrin combined with the cognate untagged CLC and 194 labelled with Ni-NTA-gold (SI Appendix, Fig. S3), confirming a uniform distribution of each 195 neuronal CLC within the mixed lattice. In contrast to the behaviour of the neuronal CLCs, 196 lattice quality was reduced in mixtures of CLCa clathrin and CLCb clathrin compared to 197 lattices formed by clathrin with only CLCa or CLCb.

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199 To determine whether CLC-related variation in lattice quality correlated with membrane 200 bending efficacy, as seen for complete absence of CLCs, we tested the function of the CLC-201 reconstituted clathrins using a low temperature in vitro budding assay (21). In this assay, H<sub>6</sub>-202  $\Delta$ ENTH-epsin<sup>144-575</sup>, coupled to liposomes via modified Ni-NTA lipids, captures clathrin at the 203 liposome surface. Lattice assembly on these liposomes can generate mature buds, which 204 remain attached to the liposome due to lack of dynamin, needed for scission (21, 49). The 205 efficiency of clathrin with different CLC composition to form such coated buds was assessed 206 at 15°C, a temperature permissive for budding by native clathrin but reduced for CLC-free

207 clathrin (21). For each reconstituted clathrin, we measured the diameter of clathrin-coated 208 membrane profiles in thin-section electron micrographs and assessed budding efficiency by 209 the percentage of mature clathrin-coated buds (defined by a fitted diameter < 200 nm) 210 relative to the total clathrin-coated membrane observed including shallow pits and flat clathrin 211 assemblies (defined by a fitted diameter > 200 nm) (Fig. 3a). In line with previous findings, 212 we found that at 15°C native clathrin was about twice as effective in membrane deformation 213 as CLC-free clathrin (21), and that budding efficiency varied with the CLC composition of 214 clathrin tested (Fig. 3b). CLCa or CLCb clathrin lattices generated mature membrane buds 215 more efficiently than clathrin with either single neuronal clathrin variant (Fig. 3b, c), 216 demonstrating that CLC splicing affects budding. Visualising the clathrin-coated liposomes 217 absorbed to EM grids confirmed that all clathrins tested assembled into intact lattices or buds 218 on the liposome surface (SI Appendix, Fig. S4), suggesting that the observed variation in 219 budding was not due to impaired lattice formation, but CLC-dependent lattice properties (21). 220 Notably, mixing reconstituted neuronal clathrins significantly improved budding efficiency 221 compared to clathrins with only one type of neuronal CLC (Fig. 3d), overall reflecting the 222 same pattern as observed for both lattice quality and curvature (Table 1), suggesting all three 223 parameters result from differential CLC effects at the triskelion knee. Furthermore, all three 224 assays demonstrated that neuronal clathrin benefits from cooperative co-assembly of clathrin 225 with both isoforms.

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227 Membrane deformation could result either from lattice formation at constant curvature or 228 through transitioning from flat to curved lattices (Fig. 3e). Morphological analyses of clathrin-229 coated pits in human cell lines suggest that clathrin initially assembles into flat lattices at the 230 plasma membrane and then gradually deforms the underlying membrane into coated buds 231 (50, 51). To determine whether clathrin displayed these budding characteristics in our in vitro 232 system and whether they are influenced by CLCs, we adopted the same EM-based 233 approach. In micrographs of clathrin buds formed on liposomes, we measured the angle 234 between the convex side of the coat and the coat-free membrane (Fig. 3e), coat curvature

235 (i.e. diameter of the clathrin-coated structure), coat length and neck width for all coated 236 membrane profiles (50) and then correlated these measurements. We observed a variety of 237 coat curvatures (Fig. 3f) and found that neck width decreased with bud angle (Fig. 3g), as 238 would be expected for both modes of deformation. Additionally, coat diameter correlated with 239 the bud angle, characteristic of curvature transition (Fig. 3h), while we found no correlation 240 between coat length and budding angle (Fig. 3i). Although this analysis is based on fixed 241 structures at equilibrium, results were consistent with a flat to curved lattice transition, rather 242 than assembly with constant curvature (Fig. 3h, i). Thus, we conclude that budding in our in vitro system displays the same characteristics as observed in cells (50, 51), where curvature 243 244 is most likely generated gradually through lattice rearrangement. Collectively, our results 245 suggest that CLC splice variants and mixtures thereof differ in their ability to promote this 246 transition and that this is due to variable restrictive influence on the flexibility of the triskelion 247 knee within the lattice, which in turn affects clathrin's mechanical ability to deform membrane 248 into coated buds (Table 1).

249

#### 250 CLC composition affects SV pool size and replenishment

251 Our in vitro experiments suggest that the CLCs differentially affect clathrin's ability to deform 252 membrane, with the neuronal isoforms working in conjunction for efficient membrane 253 budding. Therefore, we predicted that neurons of animals with only one CLC isoform might 254 be defective in clathrin-mediated pathways that rely on SV formation. This hypothesis was 255 investigated in knock-out (KO) mice lacking the Clta or Cltb genes in all tissues (CLCa KO 256 and CLCb KO mice). We previously generated CLCa KO mice (9) and have now produced 257 CLCb KO mice. Loss of Cltb in CLCb KO mice was confirmed by PCR, and no CLCb or 258 nCLCb protein was detected in all tissues analysed (SI Appendix, Fig. S5). Whereas wild-259 type (WT) mice express a mixture of CLCs in most tissues (9), CLCa KO mice express only 260 CLCb or nCLCb, and CLCb KO mice express only CLCa or nCLCa, enabling functional 261 analysis of clathrin with only one type of CLC in neurons (Fig. 4a).

262

263	Hippocampal neurons have frequently been used to study the function of endocytic proteins
264	in synaptic transmission (27, 30, 31, 33, 34, 52). H&E staining of the hippocampus showed
265	no morphological abnormalities in either KO strain, indicating that absence of either CLC
266	isoform does not affect gross hippocampus development in the CLC KO mice (SI Appendix,
267	Fig. S6). We therefore analysed the adult hippocampus in the CLC KO and WT mice to
268	evaluate the function of mature excitatory synapses in the CA1 region, a region of well-
269	defined neuronal architecture and neurophysiological circuitry. For electrophysiological
270	recordings at Schaffer collateral (SC)-CA1 synapses in acute hippocampal slices, a
271	stimulating electrode was placed in the SC fibres of the CA3 region and responses were then
272	recorded in the CA1 pyramidal cell layer (Fig. 4b).
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274 To determine the role of CLC diversity in SV pool replenishment in vivo, we recorded the 275 responses to a prolonged high-frequency stimulus, which maximally depletes presynaptic 276 terminals of the readily releasable pool (RRP) of SVs (53-55). Under these conditions, initial 277 responses would draw from the pre-existing SV pool, while sustained neurotransmission 278 would rely on the efficiency of SV pool replenishment (54). Using this approach, we were 279 able to assess CLC-dependency for different stages of SV exo- and endocytosis from these 280 recordings. We found that the initial fusion efficiency of SVs in either KO strain was similar to that of their WT littermates, indicating that packaging of fusion-mediating cargo was not 281 282 affected by changes in CLC composition (Fig. 4c, d and SI Appendix, Fig. S7). Instead, both 283 KO models had a decreased SV recycling rate characteristic of a defect in acute SV pool 284 replenishment (Fig. 4c, d and SI Appendix, Fig. S7). This finding correlated with our 285 expectation from the *in vitro* studies that showed clathrin lattices with only one type of 286 neuronal CLC were different from mixed lattices in their assembly properties (Table 1). 287 288 Further calculations based on data obtained from sustained trains of action potentials

289 indicated that the RRP was larger in the CLCb KO mice but reduced in the CLCa KO mice

when compared to WT littermates (Fig. 4c, d and SI Appendix, Fig. S7), revealing a

291 phenotype that we did not expect from our *in vitro* data. To establish whether the SV 292 formation was altered in the CLC KO mice rather than the ability to mobilise SVs from other 293 SV pools, we assessed the ultrastructure of excitatory synapses in the CLC KO mice and 294 their WT littermates (Fig. 4e). Indeed, SV density was significantly reduced in hippocampal 295 neurons in the CLCa KO animals. In contrast, SV density in equivalent neurons of the CLCb 296 KO mice was significantly increased compared to their WT littermates (Fig. 4f, j), in line with 297 our RRP estimates from electrophysiological recordings (Fig. 4c, d). Other parameters such 298 as individual SV size (Fig. 4g, k), postsynaptic density (PSD) length (Fig. 4h, l) and excitatory 299 synapse density (Fig. 4i, m) were similar between both KO strains and respective WT 300 littermates. Together, these data show that CLCs are required for efficient and sustained 301 synaptic function and suggest that loss of either CLC decreases acute SV replenishment by 302 affecting immediate formation, correlating with expectations from reduced in vitro budding 303 efficiency, but that the KO strains differ in their ability to generally maintain SV pools. Thus, 304 CLC composition influences SV density in excitatory neurons of the hippocampus, and 305 nCLCa and nCLCb function differently in SV generation such that mice with only nCLCa can 306 sustain a compensatory pathway for SV generation and mice with only nCLCb cannot. 307

# 308 CLCa KO and CLCb KO mice have distinct neurological and behavioural defects

309 To further investigate the differential consequences of CLCa or CLCb KO for synapse 310 function, we conducted additional electrophysiological experiments to characterise synaptic 311 transmission in our KO animals. Analyses of the evoked excitatory postsynaptic current 312 (EPSC) responses to stimuli of increasing magnitude revealed decreased EPSC response 313 amplitude in the CLCa KO mice compared to WT littermates, suggesting impaired basal 314 synaptic transmission in the CLCa KO mice (Fig. 5a). In contrast, the evoked EPSC 315 response amplitude in hippocampal slices from the CLCb KO mice was of similar or higher 316 magnitude than that of their WT littermates, suggesting that basal synaptic transmission was 317 intact and even enhanced (Fig. 5b). These differences in synaptic connectivity between 318 hippocampal function in the two KO strains could arise from defects in presynaptic

neurotransmitter release correlating with differences in SV pool size in the KO animals
relative to their WT littermates (Fig. 4).

321

322 We then performed paired-pulse ratio (PPR) recordings experiments, an approach that 323 allows assessment of defects in neurotransmitter release (56, 57). In excitatory neurons such 324 as those analysed here, a second stimulus pulse (P<sub>2</sub>) fired in short succession after an initial 325 pulse (P<sub>1</sub>) results in a larger response than the first stimulus. This facilitation is dependent on 326 changes in the probability of neurotransmitter release. PPR is inversely correlated with 327 release probability, as a lower initial release probability leaves more vesicles remaining at the 328 terminal which are then capable of being released after the second stimulus (56, 57). We 329 observed that, compared to WT littermates, the PPR was increased in CLCa KO mice, 330 consistent with a reduction in release probability (Fig. 5c). In contrast, no differences in PPR 331 were observed in CLCb KO mice compared to WT littermates (Fig. 5d). Thus, specific loss of 332 nCLCa impairs presynaptic function compromising neurotransmitter release at the SC-CA1 333 synapse. Collectively, our electrophysiological data support the morphological data (Fig. 4e-334 m), indicating that neurons with only nCLCa clathrin can compensate for defective SV 335 regeneration by expanding their SV pool from another pathway, while neurons with only 336 nCLCb clathrin cannot compensate and show decimated SV pools from impaired 337 replenishment.

338

339 Ongoing breeding of the CLCa KO colony confirmed a 50% survival rate compared to that 340 expected for homozygous CLCa KO mice (9), whereas homozygous CLCb KO mice had no 341 survival defects (Fig. 5e). Survival phenotypes similar to the CLCa KO animals have been 342 reported for other genetic deletions in endocytic pathways, which also displayed neuronal 343 phenotypes (30) in the hippocampus and other regions of the brain (32). Therefore, we 344 assessed performance in the rotarod test for neuro-motor coordination for both CLC KO mice 345 (58). Compared to their WT littermates, surviving CLCa KO mice displayed defects in rotarod 346 balance, whereas CLCb KO mice did not (Fig. 5f). Further assessment of sensorimotor

347	function revealed that CLCa KO mice exhibited defects in a grid-walking test (Fig. 5g), but
348	not in grip strength (Fig. 5h), suggesting neurological rather than muscular dysfunction in the
349	CLCa KO animals, supporting the notion that loss of nCLCa is less tolerable than loss of
350	nCLCb. Together, the phenotypes of the KO animals indicate that neuronal CLC isoforms are
351	differentially able to support compensatory mechanisms for adjusting SV pools hippocampal
352	synapses with impaired acute SV replenishment, and suggest that clathrin with only nCLCa
353	enables more functions than clathrin with only nCLCb, while a mix of both neuronal CLCs is
354	necessary for optimal clathrin function in neurons, in keeping with their synergistic
355	contribution to clathrin function in vitro.

#### 357 DISCUSSION

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359 To understand the consequences of CLC diversity for clathrin function, we characterised the 360 biophysical properties of in vitro assemblies formed from clathrin comprising single CLC 361 isoforms and correlated these properties with neuronal phenotypes observed in KO animals 362 expressing single CLC isoforms. We found that neuronal CLC splicing affects lattice 363 properties (Fig. 1b and Fig. 2b) by diversifying the CLC influence on the CHC knee to 364 regulate lattice curvature (Fig. 1c, d) and deform membrane (Fig. 3b). Our in vitro studies 365 further suggest that lattices formed from mixtures of clathrin with nCLCa and nCLCb have 366 different assembly properties and are more efficient in membrane deformation compared to 367 clathrin with only one type of neuronal CLC (Fig. 2b, 3d). Consistent with this observation, 368 neurons from both CLCa and CLCb KO mice showed defects in synaptic transmission that 369 indicated acute clathrin-dependent SV replenishment was impaired (Fig. 4c, d). However, the 370 CLCa KO mice had reduced numbers of SVs in their hippocampal synapses, while the CLCb 371 KO mice had more SVs than their WT littermates (Fig. 4f, j). Thus, although acute SV 372 replenishment was impaired in both KO strains, clathrin with only nCLCa (in the CLCb KO 373 mice) was able to support a compensatory pathway of SV formation, while clathrin with only 374 nCLCb (in the CLCa KO) was not (Fig. 5a-d). These findings establish functional differences 375 between CLC isoforms in vitro and in vivo and demonstrate how resulting clathrin diversity. 376 as well as CLC isoform balance, are important for clathrin function in neurons. 377

378 Neuronal splicing predominates for CLCb in neurons (59), while neuronal CLCs are not

present in other brain cells such as glial or Schwann cells (20), indicating that the CLC splice

variants segregate within brain cell types to fulfil distinct functions. Neuronal CLC variation

381 due to splicing had a significant influence on lattice curvature and budding efficiency.

382 Compared to clathrin with non-neuronal CLCs, clathrin with neuronal CLCs formed

383 predominantly large cages in solution (with low a pentagon to hexagon ratio), suggesting that

384 through their influence on the CHC knee (Fig 1d), the spliced inserts reduce triskelion

385 flexibility to accommodate pentagonal faces. When constrained to a flat, solid surface (EM 386 grid), lattice assembly requires significant deformation of triskelia (21). The quality of lattices 387 formed by clathrin with neuronal CLCs under these conditions was reduced compared to 388 clathrin with non-neuronal CLCs, further suggesting that neuronal CLCs restrict clathrin's 389 conformational flexibility. Notably, CLC-dependent lattice quality and cage size correlated 390 with the ability of clathrin with different CLCs to produce mature buds at liposome 391 membranes, which morphological analysis suggested are generated by flat lattice 392 rearrangement, as observed at cell membranes (50, 51). Together, these results suggest 393 that CLC splicing variation influences clathrin's flexibility to form and alternate between a 394 range of morphologies in order to deform lipid membrane into mature clathrin-coated buds, 395 and that this is attributable to CLC influence on the CHC knee domain. 396 397 Given that the inserted sequences are located near the TxD where the C-termini of CLCs are

398 bound (arrowheads, Fig. 6a), we propose that the splice inserts affect the conformation of the 399 adjacent knee of a neighbouring triskelion, which is closer to the inserted sequences than the 400 knee of the triskelion to which the CLC is bound (Fig. 6b). This intermolecular influence could 401 involve splice inserts at the C-terminus (stars, Fig. 6b) interacting with the neighbouring CHC 402 knee or with the N-terminal domain (N) of a CLC bound to the neighbouring knee (Fig. 6b). 403 Considering the average 40% sequence differences between CLCa and CLCb isoforms and 404 their even greater variation at the N-terminus, inter-CLC interactions could vary depending 405 on which splice isoform interacts with which CLC isoform N-terminus, thereby influencing 406 overall lattice properties. This imputed interaction may be lost from clathrin with non-neuronal 407 CLCs, which would explain why mixing non-neuronal CLC isoforms does not affect in vitro 408 membrane deformation to the same extent as mixing neuronal CLC isoforms (Fig. 3d).

409

Here, we characterise the properties of homo- and hetero-assemblies of clathrin comprising
single CLC isoforms. In brain, CLC isoforms are apparently randomly distributed on triskelia
(60), in which case neuronal inserted sequences could still influence lattice curvature via

interactions with an adjacent triskelion knee. The presence of nCLCb would serve as an
attenuator of nCLCa-specific interactions and vice versa. Further, in cells where CLCb
expression is transiently increased (61), newly synthesized triskelia would be generally
occupied by a single CLC isoform as clathrin subunit turnover is slow (62). The resulting
homotypic clathrins would then participate mixed in lattices, as we have studied here. Thus,
neuronal CLC isoform expression ratios (and/or local abundance within cells) could tailor
clathrin lattice properties specifically to particular needs.

420

421 There are several pathways involved in SV recycling in neurons and the role of clathrin has 422 been widely debated, possibly because the contribution of each pathway to SV formation is 423 variable between organisms, types of neurons and stimulus (52, 63, 64). SV recycling can 424 either be achieved by direct regeneration of SVs through clathrin-mediated endocytosis from 425 the plasma membrane at low-frequency stimulus, or through clathrin-independent 426 mechanisms, which predominantly mediate retrieval of SV components from the plasma 427 membrane under high stimulus (2, 27, 28, 33, 52). Rapid clathrin-dependent trafficking of SV 428 proteins from the endosomal pathway then leads to re-sorting and formation of SVs at high-429 frequency stimulus (2), which can occur in a timeframe of 1-3 seconds after stimulation (27). 430 In our analysis of neurotransmission, SV recycling defects were detected by 431 electrophysiology within three seconds at high stimulus (20 Hz for 3s), suggesting the 432 defects detected were due to impaired clathrin-mediated regeneration of SVs from the 433 endosomal pathway. These findings are consistent with previously observed roles for CLCs 434 in recycling from non-neuronal endosomal compartments (61, 65). 435 436 That neurons in both CLC KO strains shared an acute defect in SV pool replenishment fits 437 with our in vitro biophysical data that demonstrate single neuronal CLCs are not as efficient

in membrane deformation as a mixture of clathrin with both neuronal CLCs (Fig. 3d). In

439 response to this defect, we found that clathrin with only nCLCa generated an increased

steady-state SV pool in CLCb KO neurons, while clathrin with only nCLCb could not (Fig. 4).

441 CLCa seems to have preferential connection with the actin cytoskeleton compared to CLCb 442 (12, 14), which might account for the ability of nCLCa clathrin to function on its own without 443 nCLCb and benefit from involvement of actin and its accessory molecules in this 444 compensatory pathway. It is possible that in the (normal) presence of nCLCb, the actin 445 interactions of nCLCa may be "diluted down", so that nCLCb works as an attenuating 446 balancing mechanism to control SV pools at steady state. The presence of nCLCb would 447 simultaneously create lattice properties for efficient CCV budding during neurotransmission. 448 In its absence, the acute replenishment pathway would decrease in efficiency but loss of the 449 nCLCb attenuation effect enables a compensatory pathway with nCLCa only (Fig. 6c). Thus, 450 the budding defect resulting from a change in biophysical properties of clathrin is detectable 451 only under acute demand, and when demand is less acute, there are mechanisms by which 452 nCLCa clathrin can generate SVs that are not supported by nCLCb clathrin.

453

454 Considering the whole animal phenotypes, including the increased mortality rate for CLCa 455 but not CLCb KO animals, CLCa seems able to sustain house-keeping clathrin functions, 456 while CLCb functions mainly in conjunction with CLCa, apparently in a regulatory capacity, 457 such that a balance between the two is required for some specialised functions. This is in line 458 with the observation that CLC expression ratios vary with tissue, and while lymphoid cells 459 almost exclusively express CLCa, no tissue has been found to express exclusively CLCb (9, 460 62). CLC isoform splicing changes during cell differentiation, development (10, 59) and ratios 461 of CLCb relative to CLCa are modulated in tumour progression (13) and cell migration (61). 462 These observations, in combination with the *in vitro* and *in vivo* data reported here, support 463 the concept that, in addition to influencing accessory protein interaction, the conserved CLC 464 isoform and splicing differences characteristic of vertebrates facilitate tissue-specific clathrin 465 functions by directly modulating clathrin lattice properties. In particular, CLC diversity 466 regulates clathrin budding efficiency, a property that we show here affects SV formation 467 under acute demand, and is likely to influence other pathways that rely on rapid clathrin-468 mediated membrane traffic.

#### 469 MATERIALS AND METHODS

470

#### 471 **Protein expression and purification**

Except for full-length CHC, all proteins were recombinantly expressed in bacteria and purified
by standard affinity and size exclusion chromatography methods as specified in SI Appendix,
Materials and Methods. Native clathrin and full length CHC were prepared from porcine brain
as specified in SI Appendix, Materials and Methods.

476

#### 477 Characterisation of clathrin lattice properties

478 For lattice curvature determination, cage assembly was induced by dialysis in assembly-479 promoting buffer A (100 mM MES, 1 mM EGTA, 0.5 mM MgCl<sub>2</sub>, 2 mM CaCl<sub>2</sub>) and cage size 480 distributions analysed form EM images as specified in SI Appendix, Materials and Methods. 481 Flat lattices were produced and analysed as previously described (21) and as detailed in SI 482 Appendix, Material and Methods. Lattice regularity was assessed from electron micrographs 483 of similar quality and various focuses for each specimen as a measure of lattice quality. 5-12 484 images were analysed for each reconstituted clathrin isoform within each of three 485 independent sets of experiments. In vitro budding was performed as previously described (49). In brief,  $H_6-\Delta ENTH$ -epsin<sup>144-575</sup> was bound to liposomes made from brain polar lipid 486 extracts containing 5% DGS-Ni-NTA lipids (Avanti). H<sub>6</sub>-ΔENTH-epsin<sup>144-575</sup>-coated liposomes 487 488 were then chilled to 15°C and mixed with clathrin triskelia at 15°C, incubated for 30 min at 489 15°C and then transferred to ice. Samples were then fixed at 4°C overnight and processed 490 for EM analysis. Diameter, coat length, bud angle and neck width of clathrin-coated 491 membrane profiles were measured from electron micrographs using ImageJ (NIH) and data 492 processed using Prism (GraphPad). Between 150 and 200 coat profiles, randomly sampled 493 across four thin sections, were analysed for each sample (> 60,000 nm total coat length per 494 experiment per sample). The proportion of mature buds, defined by a diameter of less than 495 200 nm, of all clathrin-coated membrane profiles examined, was used as a measure of

496 budding efficiency. Results from 3-5 independent sets of experiments were tested for

497 statistical significance.

498

# 499 Generation of CLC KO mice

500 The *Cltb<sup>ko/ko</sup>* mouse strain was created from ES cell clone 19159A-F4, generated by

501 Regeneron Pharmaceuticals, Inc., and obtained from the KOMP Repository (www.komp.org).

502 Methods used to create the CLCb-null ES cell clone have previously been published (66). In

503 brief, the complete coding region of the *Cltb* gene was fully deleted by homologous

recombination using a large BAC-based targeting vector. Targeted ES cells were then

505 injected into albino C57BL/6J-N blastocytes and transferred into foster mothers. Chimeric

506 offspring were mated with C57BL/6J females (Charles River), and germ-line transmission of

507 the *Cltb*-null allele (*Cltb*<sup>ko</sup>) was established. Heterozygote *Cltb*<sup>ko/+</sup> mice were backcrossed on

508 the C57BL/6 background and bred to produce *Cltb*<sup>ko/ko</sup> homozygous mice. CLCa KO mice

509 were derived from C57BL/6 WT mice (9) and produced by breeding *Clta*<sup>ko/+</sup> heterozygotes.

510 WT littermates from the same breedings were used as controls for homozyogous KO

animals. All procedures involving animals were conducted according to the Animals Scientific

512 Procedures Act UK (1986) and in compliance with the ethical standards at University College

513 London (UCL).

514

#### 515 Analysis of protein expression in brain

516 Mouse brains were harvested from six 9-12-month old C57BL/6 WT and homozygous CLCa

517 and CLCb KO mice and snap-frozen in liquid nitrogen and stored at –80°C until further use.

518 Tissue were quickly thawed and homogenised in lysis buffer (50 mM HEPES pH 8.0, 50 mM

519 NaCl, 1% Triton-X 100, 5 mM EDTA, 2 mM CaCl<sub>2</sub>, 1 mM PMSF, cOmplete<sup>™</sup> Protease

- 520 Inhibitor Cocktail mix (Roche)). The homogenate was further incubated on ice for 45 min
- 521 before centrifugation at 21,000 g and 4°C for 2 x 10 min in an Eppendorf 5424 R benchtop
- 522 centrifuge (Eppendorf). Protein content of the lysate was determined by BCA assay (Thermo

- 523 Fisher). For analysis, 25 µg of sample was loaded on 4-15% acrylamide gels (Bio-Rad) and
- 524 subjected to SDS-PAGE and immunoblotting. Primary antibodies used for immunoblotting
- 525 were TD.1 (anti-CHC, made in house) (67), X16 (anti-CLCa, made in house) (68), CLTB
- 526 (anti-CLCb, Proteintech) and anti-beta-actin (Sigma-Aldrich).
- 527

# 528 Electrophysiology

- 529 Experiments were performed in 8-10-month-old mice. Both male and female mice were used
- 530 for electrophysiological experiments. Acute transverse hippocampal slices (300 µm) of
- 531 homozygous CLCa or CLCb KO and control mice were cut on a Leica VT-1000 vibratome in
- 532 ice-cold artificial cerebrospinal fluid (ACSF) bubbled with 95% O<sub>2</sub>/5% CO<sub>2</sub> containing (in
- 533 mM): NaCl (125), KCl (2.4), NaHCO<sub>3</sub> (26), NaH<sub>2</sub>PO<sub>4</sub> (1.4), D-(+)-Glucose (20), CaCl<sub>2</sub> (0.5)
- and MgCl<sub>2</sub> (3). At 5-minute intervals, slices were then transferred into a series of 3 different
- 535 chambers oxygenated (95% O<sub>2</sub>/5% CO<sub>2</sub>) in the same base ACSF but with the following
- temperature and component (in mM) variations: **1.** 21°C initially with MgCl<sub>2</sub>(1) and CaCl<sub>2</sub>
- 537 (0.5) then allowed to heat gradually to  $36^{\circ}$ C; **2.**  $36^{\circ}$ C with MgCl<sub>2</sub>(1) and CaCl<sub>2</sub>(1); and **3**.
- 538 36°C initially with MgCl<sub>2</sub>(1) and CaCl<sub>2</sub>(2) before cooling to 21°C. Slices were then left for at
- 539 least 1 hour before recordings commenced.

- 541 Evoked recordings were performed on an upright microscope continually perfused with
- 542 oxygenated recording solution at room temperature containing the same ACSF composition
- 543 as the third chamber and supplemented with 10 µM bicuculline. Pyramidal cells in the CA1
- region were held at -60 mV in whole-cell voltage-clamp configuration using glass
- 545 microelectrodes (resistance 3-8 MΩ) filled with caesium gluconate intracellular solution
- 546 containing (in mM): D-gluconic acid lactone (130), HEPES (10), EGTA (10), NaCl (10), CaCl<sub>2</sub>
- 547 (0.5), MgCl<sub>2</sub>(1), ATP (1) and GTP (0.5), QX314 (5), pH to 7.2 with CsOH. To evoke
- 548 postsynaptic EPSCs, a bipolar concentric stimulation electrode (FHC) was placed in the SC
- 549 fibres of the CA3 region. For input-output recordings, the stimulus pulse was varied between
- 550 0.2 and 1 mA with a pulse width of 0.1 millisecond (ms) and stimuli were delivered at a rate

551 of 0.1 Hz. Paired pulse stimuli were given at rate of 0.2 Hz with different inter-stimulus 552 intervals, ranging from 25 ms to 200 ms and a stimulation strength set to approximately 50% 553 of the maximal response for each cell. PPR was calculated as the ratio of the peak amplitude 554 of the second response over the first response. Calculation of RRP size, initial fusion 555 efficiency and SV recycling rate was done on ESPC recordings that underwent 3 s duration 556 trains of stimulation at 20Hz and estimated as previously described (54, 69). Briefly, RRP 557 size, fusion efficiency (fe) and vesicle recycling rate ( $\alpha$ ) were evaluated from the cumulative 558 charge during the stimulation train using the following two equations:

559

560 Equation 1: 
$$fe = \frac{r(1)}{r(\infty)} (1 - \exp(-\alpha \Delta t))$$

561

562 563  $fe = \frac{r(1)}{\sum_{i=1}^{s} r(i) \exp(-\alpha(S-i)\Delta t)}$ 563
Equation 2:  $fe = \frac{r(1)}{\sum_{i=1}^{s} r(i) \exp(-\alpha(S-i)\Delta t)}$ 

564

565 r(1) is the charge of the first EPSC in the train

566 *r*(i) the charge passed by the *i*th EPSC

567  $r(\infty)$  was calculated from the average charge of the last 10 EPSCs in the train

568  $\Delta t$  is the stimulus interval in the train

569 The RRP was estimated as RRP=r(1)/fe.

570

571 All currents were recorded using an Axopatch 200B amplifier, filtered (1 kHz) and digitised

572 (10 kHz). Data were monitored online and analysed offline using WinEDR and WinWCP

573 software (available free online at http://spider.science.strath.ac.uk/sipbs/software\_ses.htm).

574 Stimulus artefacts in representative traces were digitally removed for clarity.

575

# 576 Statistical analysis

577 All experiments were performed at least three times. All calculations and graphs were

578 performed with ImageJ, Microsoft Excel and GraphPad Prism software. P-values were

- 579 calculated using two-tailed Student's unpaired or paired (*in vitro* budding) t-tests, two-way
- 580 ANOVA with repeated measures (electrophysiology), one-way ANOVA followed by Holm-
- 581 Sidak correction for multiple comparison or one-way ANOVA for preselected pairs without
- 582 correction for multiple comparison (clathrin biophysical properties). Detailed statistical
- 583 information including statistical tests used, number of independent experiments, P-values
- and definition of error bars is described in individual figure legends.
- 585

# 586 Additional methods

- 587 Details on methods for protein purification and assays, mouse genotyping and behavioural
- tests, electron microscopy and ultrastructure analysis are in SI Appendix, Materials and
- 589 Methods.
- 590

## 591 Data availability

- 592 Protein expression vectors, non-commercial antibodies and mouse strains produced in this
- 593 study are available upon request. All data generated and analysed for this study and
- associated protocols are included in the main text or SI Appendix.

#### 595 ACKNOWLEDGMENTS

- 596 This work was supported by grants to F. M. B. from the Wellcome Trust (107858/Z/15/Z), to
- 597 P.C.S. from the Medical Research Council (MR/M024083/1) and to P.C.S. and E. P. from
- 598 Alzheimer's Research UK (ARUK-PG2018A-002). L. R. was supported by a Wellcome Trust
- 599 4-year interdisciplinary PhD studentship. J. J. B. was supported by MRC funding to the MRC
- 600 Laboratory of Molecular Cell Biology at UCL, award code MC\_U12266B. P. N. D. was
- supported by a UCL Excellence Fellowship. The authors would like to acknowledge UCL
- 602 IQPath, UCL Institute of Neurology, Queen Square London, WC1N 3BG for processing
- 603 tissue slices for H&E staining and Massimo Signore (ICH) and Anna Crowley (KLB
- Transgenic facility, UCL) for the generation of the *Cltb*<sup>ko</sup> mouse strain.
- 605

# 606 AUTHOR CONTRIBUTIONS

- 607 The study was conceived by L. R. and F. M. B. with expert input from P. N. D., F. M., E. P.
- and P. C. S. and the project was managed by F.M.B. Electrophysiology experiments were
- 609 conducted and analysed by F. M. All *in vitro* reconstitution, protein level and EM experiments
- and analyses were carried out by L. R. with expert assistance from J. J. B., F. M., E. P., P. N.
- 611 D. and K. B. Mouse behaviour data and survival characteristics were collected by Y. C. and
- 612 M. D. C. The paper was written by L. R., F. M. B., F. M. and P. C. S. and then all authors
- 613 read and commented on the paper.
- 614

# 615 **COMPETING INTEREST**

616 The authors declare no competing interest.

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#### 778 **TABLES**

779

### 780 Table 1: Regulation of clathrin lattice properties by CLC isoforms

	high					low
Lattice curvature	CLCb	CLCa	nCLCa/b	CLCa/b	nCLCa	nCLCb
Lattice quality	CLCb	CLCa	nCLCa/b	CLCa/b	nCLCa	nCLCb
Budding efficiency	CLCb	CLCa	nCLCa/b	CLCa/b	nCLCa	nCLCb

781 782

783

# 784 FIGURE LEGENDS

785

786 Fig. 1: CLCs differentially affect lattice curvature via regulation at the CHC knee. a Pucker 787 (1) and knee (2) angles of the clathrin triskelion (black: CHC, blue: CLC) dictate lattice 788 architecture. Different knee angles (encircled, black straight and dashed lines) are adopted 789 for hexagon (non-curvature inducing) or pentagon (curvature inducing) formation (3, whole 790 triskelion in black and parts of others in grey). Lattice curvature is further amended through 791 changes in pucker angle (4, dashed and black lines), or changes in proximal leg-crossing 792 angle (5). CLC subunits are omitted for simplicity. Different sizes of closed cages are 793 achieved by varying numbers of hexagons and a fixed number of 12 pentagons (filled black, 794 based on (45)). b Quantification of the percentage of small cages (< 90 nm in diameter) in a 795 population of more than 200 cages, generated by in vitro assembly of clathrin reconstituted 796 with indicated CLCs, 1:1 mixtures of reconstituted clathrins (CLCa/b or nCLCa/b) or CHC only (CHC), determined from electron micrographs (mean  $\pm$  SEM, \*P < 0.05, \*\*\*P < 0.001 797 798 one-way ANOVA followed by Holm-Sidak correction for multiple comparison, n = 3). c Cage 799 size distributions from assemblies of triskelia formed from full length CHC as described in **b**. 800 with CLC composition key in d. d Size distributions of cages formed from TDD (black) and 801 Hub (grey) co-assemblies, with Hub fragments reconstituted or not with the indicated CLC

isoforms or 1:1 mixtures thereof. e Representative EM images of cages formed from fulllength CHC (top) or Hub/TDD fragments (bottom) without or following reconstitution with
indicated CLC isoforms. Scale bar: 100 nm.

805

**Fig. 2**: CLC splicing and mixing affects *in vitro* lattice quality. **a** Clathrin reconstituted with indicated CLC isoforms and mixtures thereof were assembled into flat lattices and visualised by negative stain for EM analysis. Scale bar: 200 nm. **b** Quality (regularity quantified by Fourier transform) of lattices generated as in **a**, (mean  $\pm$  SEM, \**P* < 0.05, \*\**P* < 0.01, oneway ANOVA followed by Holm-Sidak correction for multiple comparison, n = 5). **c** Differences in lattice quality between indicated reconstituted clathrins in individual experiments as in **b** (data points and mean  $\pm$  SEM, n = 5).

813

814 Fig. 3: CLC splicing and mixing affects in vitro lattice budding efficiency. a Representative 815 EM images of clathrin reconstituted with indicated CLC isoforms, 1:1 mixtures thereof, CHC only (CHC) and tissue-derived clathrin (native) assemblies on  $H_6$ - $\Delta$ ENTH-epsin<sup>144-575</sup>-coated 816 817 liposomes. Scale bar: 200 nm. b Quantification of the percentage of mature buds (defined as 818 clathrin-coated membrane profiles with < 200 nm fitted diameter) of more than 60,000 nm 819 total clathrin-coated membrane profiles including flat, shallow and mature structures generated as in a (mean ± SEM, \*P < 0.05, \*\*P < 0.01, paired Student's t-test for native and 820 821 CHC, n = 3, one-way ANOVA with repeated measures for CLC-reconstituted clathrin, n = 4). 822 c Differences in percentage of mature buds between indicated reconstituted clathrins in 823 individual experiments in **b** (data points and mean  $\pm$  SEM, n = 4). **d** Quantification of budding 824 efficiency (% mature buds determined as in **b**, normalised to native) of reconstituted clathrins 825 and mixtures thereof (\*P < 0.05, one-way ANOVA for sets of neuronal or non-neuronal 826 samples, followed by Holm-Sidak correction for multiple comparison, n = 3, p = 0.052, 827 Student's t-test for neuronal and non-neuronal mixtures, n = 3). **e** Parameters characterising 828 coated buds (top); coat length c, membrane bud diameter d, neck width n, and budding angle

829 *θ*. Models of clathrin-mediated membrane deformation by transitional curvature generation 830 and lattice rearrangement (middle) or lattice growth under constant lattice curvature (bottom). 831 f Representative coat profiles for flat (left), shallow (middle), and mature budded (< 200 nm 832 fitted diameter, right) structures. g to i Analysis of the dataset generated as shown in a for 833 coated membrane parameters  $n(\mathbf{q})$ ,  $d(\mathbf{h})$  and  $c(\mathbf{i})$  as shown in **e** in relation to the  $\theta$  of each 834 structure measured for all reconstituted clathrins within the same experiment. Inserts in h 835 and i show the different correlations of these parameters as predicted according to the 836 curvature transition (red, straight line) and constant curvature models (red, dashed line) 837 shown in **e**.

838

839 Fig. 4: CLC composition regulates SV pool replenishment in hippocampal neurons. a 840 Immunoblot of CLC KO and WT brain lysate for CHC (TD.1 antibody), CLCa (X16 antibody), 841 CLCb (CLTB antibody) and actin (anti-beta-actin antibody). b Schematic illustration of 842 stimulating and recording electrode setup in acute hippocampal slices. The synapses 843 investigated are formed by a Schaffer-collateral (SC) axon (black) from a pyramidal neuron 844 (black triangles, cell bodies) in the CA3 region synapsing with a receiving pyramidal neuron 845 (grey triangle, cell body) in the CA1 region from which responses are recorded. Black circles 846 denote dentate gyrus (DG) granule cell bodies. c Representative traces of evoked excitatory 847 postsynaptic currents (EPSCs) following a 20 Hz electrical stimulation for 3 s in CLCa KO 848 and WT hippocampal slices (left). Graphs (right) show the mean (± SEM) initial fusion 849 efficiency, SV recycling rate and readily releasable pool (RRP) size calculated for all cells (n 850 = 9-11 cells from 3 animals/genotype; \*P < 0.05 and \*\*P < 0.01, unpaired Student's t-test). d 851 Representative traces of EPSCs following a 20 Hz electrical stimulation for 3 s in CLCb KO 852 and WT hippocampus slices (left). Graphs (right) show the mean  $(\pm$  SEM) initial fusion 853 efficiency, SV recycling rate and RRP size calculated for all cells (n = 11-12 cells from 4 854 animals/genotype; \*P < 0.05, unpaired Student's t-test). e Representative EM images of 855 excitatory synapses in the CA1 region of the hippocampus of CLCa WT, CLCa KO, CLCb

WT and CLCb KO. Scale bars; 300 nm. **f** to **m** Quantification of data extracted from EM images as in **e**. Graphs show SV density within 300 nm of the PSD (**e** and **i**), cumulative frequency distribution of SV size (**g** and **k**), postsynaptic density (PSD) length (**h** and **l**) and synapse density (**i** and **m**) expressed as mean  $\pm$  SEM (\**P* < 0.05, unpaired Student's t-test, n = 3).

861

862 Fig. 5: Neuronal defects are compensated for in CLCb KO but not CLCa KO mice. a Input-863 output relationship of evoked excitatory postsynaptic currents (EPSCs) at CA1 synapses of 864 WT and CLCa KO hippocampus slices. Traces show responses of representative cells at 865 increasing stimulus intensity with an average of 3 responses for each stimulus strength 866 (mean  $\pm$  SEM; n = 9-11 cells from 3 animals/genotype; \*P < 0.05, two-way ANOVA with 867 repeated measures). b Input-output relationship of evoked EPSCs at CA1 synapses of WT 868 and CLCb KO hippocampus slices. Traces show response of representative cells at 869 increasing stimulus intensity with an average of 3 responses for each stimulus strength 870 (mean  $\pm$  SEM; n = 12-15 cells from 4 animals/genotype; \*P < 0.05, two-way ANOVA with 871 repeated measures). c Paired-pulse ratio (PPR) of evoked EPSCs at CA1 synapses of WT 872 and CLCa KO hippocampal slices. Traces show responses of representative cells. Graph 873 displays the mean PPR ( $P_2/P_1 \pm SEM$ ) from all cells (n = 9-11 cells from 3 animals/genotype) 874 at different time intervals between paired pulses (\*\*\*P < 0.001, unpaired Student's t-test). d 875 PPR of EPSCs at CA1 synapses of WT and CLCb KO hippocampal slices. Traces show 876 responses of representative cells. Graph displays the mean PPR ( $P_2/P_1 \pm SEM$ ) from all cells 877 (n = 12-15 cells from 4 animals/genotype) at different time intervals between paired pulses. e 878 Genotype distribution for CLCa KO (n = 590) and CLCb KO (n = 359) mice after weaning 879 compared to expected distribution (\*\*\*\*P < 0.0001, Chi-square test). f Performance in the 880 accelerated rotarod test (latency to fall) by CLC KO (CLCa KO = 8, CLCb KO = 8) and 881 control wild-type littermates (CLCa WT = 8, CLCb WT = 7) expressed as data points and 882 mean  $\pm$  SEM (\*\*\*\**P* < 0.0001, unpaired Student's t-test). **g** Time to cross grid for CLCa KO (n

= 10) and control mice (n = 10) expressed as data points and mean  $\pm$  SEM (\**P* < 0.05,

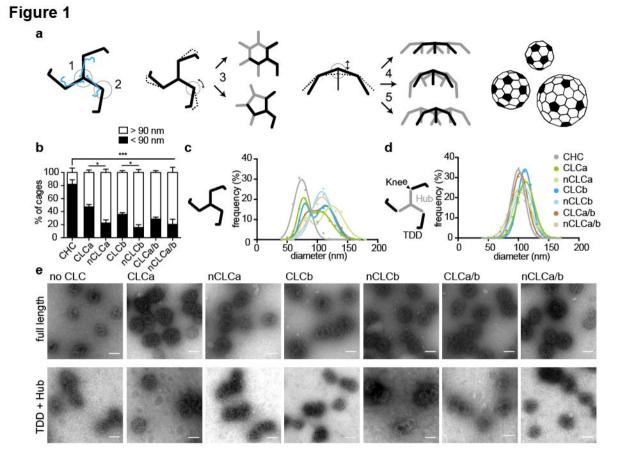
unpaired Student's t-test). **h** Grip strength of CLCa KO (n = 10) and control mice (n = 11,

gram-force relative grip strength over body weight) expressed as data points and mean  $\pm$ 

886 SEM.

887

888 Fig. 6: Model of how neuronal CLC diversity regulates synaptic vesicle recycling and lattice 889 properties. a CLC (cyan) neuronal splice inserts (arrowheads) are located near the TxD of 890 the bound CHC (green, left, PDB: 3LVH). b CLC (cyan) neuronal splice inserts (stars) are 891 near the CHC knee of the neighbouring triskelion (red or orange) within lattices (right, PDB: 892 3IYV). Interaction between a neuronal splicing insert and the knee of an adjacent triskelion 893 and/or adjacent CLC N-terminus (N) could promote conformational change in the CHC knee. 894 As the formation of pentagons and hexagons requires different knee angles, this interaction 895 could consequently influence lattice curvature (see Fig. 1a). c In WT mice (centre), a mix of 896 nCLCa and nCLCb clathrin creates the appropriate biophysical properties to mediate SV 897 generation from endosomal compartments (and possibly the plasma membrane, blue-green 898 arrows). Loss of nCLCa (left) creates nCLCb clathrin lattices that are defective in efficient SV 899 regeneration (blue arrows), resulting in decimated SV pools. Loss of nCLCb (right) creates 900 nCLCa clathrin lattices, which are also less efficient in SV regeneration than WT, but able to 901 maintain an overall increased SV pool by excess budding to compensate for reduced 902 efficiency in acute SV pool replenishment (green arrows).



# Figure 2 а CLCa nCLCa CLCb nCLCb CLCa/b nCLCa/b lattice quality (a.u.) **q** С 15 differences lattice quality (a.u. 10 5 0 CICS CC CC CC CC CC Call

# Figure 3

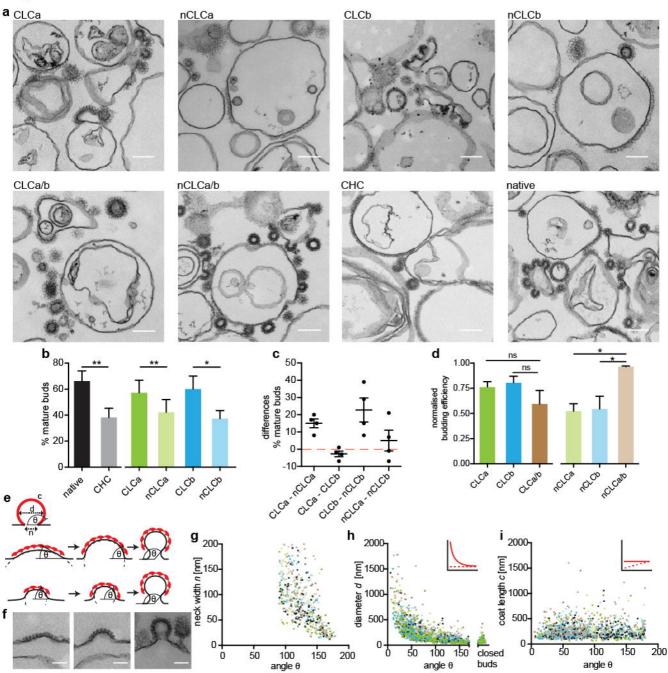


Figure 4

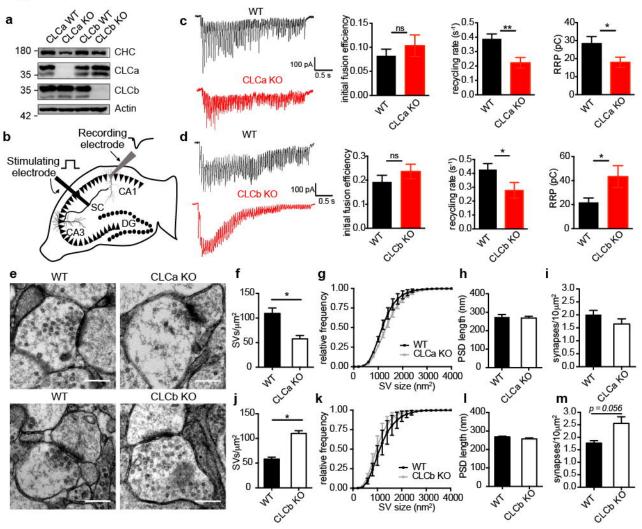


Figure 5

