1	Broad-Spectrum Antifungal Activities and Mechanism of Drimane
2	Sesquiterpenoids
3	Edruce Edouarzin ¹ , Connor Horn ² , Anuja Paduyal ² , Cunli Zhang ¹ , Jianyu Lu ¹ , Zongbo Tong ¹ ,
4	Guri Giaever ³ , Corey Nislow ³ , Raja Veerapandian ² , Duy H. Hua ¹ and Govindsamy
5	Vediyappan ^{2*}
6	¹ Department of Chemistry, 1212 Mid Campus Drive North, Kansas State University, Manhattan,
7	KS 66506 U. S. A.
8	² Division of Biology, 1717 Claflin Road, Kansas State University, Manhattan, KS 66506
9	U. S. A.
10	³ Faculty of Pharmaceutical Sciences, University of British Columbia, Vancouver, BC Canada
11	V6T 1Z3
12 13 14 15 16 17 18 19	Running title: Drimenol, a broad-spectrum fungicidal antifungal agent
20 21	Corresponding author
22 23 24	* gvediyap@ksu.edu

25 ABSTRACT

26 Eight drimane sesquiterpenoids including (-)-drimenol and (+)-albicanol were synthesized from (+)-sclareolide and evaluated for their antifungal activities. Three compounds, (-)-drimenol, 27 28 and (1R,2R,4aS,8aS)-2-hydroxy-2,5,5,8a-tetramethyl-decahydronaphthalene-1-(+)-albicanol. 29 carbaldehyde (4) showed strong activity against C. albicans. (-)-Drimenol, the strongest inhibitor 30 of the three, (at concentrations of $8-64 \mu g/ml$, causing 100% death of fungi), acts not only against 31 C. albicans as a fungicidal manner, but also inhibits other fungi such as Aspergillus, Cryptococcus, 32 Pneumocystis, Blastomyces, Fusarium, Rhizopus, Saksenaea and FLU resistant strains of C. 33 albicans, C. glabrata, C. krusei, C. parapsilosis and C. auris. These observations suggest drimenol 34 is a broad-spectrum antifungal agent. At high concentration (100 µg/ml), drimenol caused a 35 rupture of the fungal cell wall/membrane. In a nematode model of *C. albicans* infection, drimenol 36 rescued the worms from C. albicans-mediated death, indicating drimenol is tolerable and bioactive 37 in a metazoan. Genome-wide fitness profiling assays of both S. cerevisiae (nonessential 38 homozygous and essential heterozygous) and C. albicans (Tn-insertion mutants) collections 39 revealed putative genes and pathways affected by drimenol. Using a C. albicans mutants spot 40 assay, the Crk1 kinase associated gene products, Ret2, Cdc37, and novel putative targets 41 orf19.759, orf19.1672, and orf19.4382 were revealed to be the potential targets of drimenol. 42 Further, computational modeling results suggest possible modification of the structure of drimenol 43 including the A ring for improving antifungal activity.

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- 47

48 INTRODUCTION

49 Life-threatening fungal infections are an important cause of morbidity and mortality, 50 particularly for patients with immune deficiency and those who are undergoing chemotherapeutic 51 treatments. Some of the leading invasive fungal pathogens include *Candida* sp., *Aspergillus* sp. 52 and *Cryptococcus* sp. Currently, the antifungal therapeutic options are limited, especially when 53 compared to available antibacterial agents (1-4). Among the five classes of antifungals, azoles, 54 echiocandins, polyenes, allylamines, and pyrimidine derivatives, only three are used clinically; 55 azoles, echiocandins, and polyenes. Azole drugs, such as fluconazole (FLU), inhibit ergosterol 56 synthesis through inhibition of lanosterol $14-\alpha$ -demethylase, impairing formation of the fungal 57 cell wall. Echocandins, such as caspofungin (CAS), block 1,3-β-glucan synthase and lead to 58 depletion of glucan in fungal cell wall. Polyenes, including amphotericin B (AMB), bind to 59 ergosterol in fungal cell membrane and change the cell membrane transition temperature, resulting 60 in leakage of ions and small organic molecules, and eventual cell death. Allylamines, such as 61 amorolfin, affect ergosterol synthesis by inhibition of squalene epoxidase. Pyrimidines, such as 62 flucytosine (or 5-fluorocytosine), block nucleic acid synthesis, leading to the inhibition of protein 63 synthesis (5, 6). No new antifungal agents have been approved by Food and Drug Administration 64 since 2006 (2) and the latest antimycotic agents, echinocandins, were developed over 30 years ago 65 (7, 8). Thus, new broad-spectrum antifungal agents are sorely needed to overcome the increasing 66 emergence of antifungal drug resistance.

C. albicans is the most frequently found fungal pathogen in humans and costs the US health
care system around \$3 billion annually in treatment and lost productivity. *C. albicans*, a
polymorphic fungus, exists as yeast, pseudohyphal and hyphal forms, with each contributing to its
virulence. While the yeast form is essential for dissemination, the hyphal form is critical for

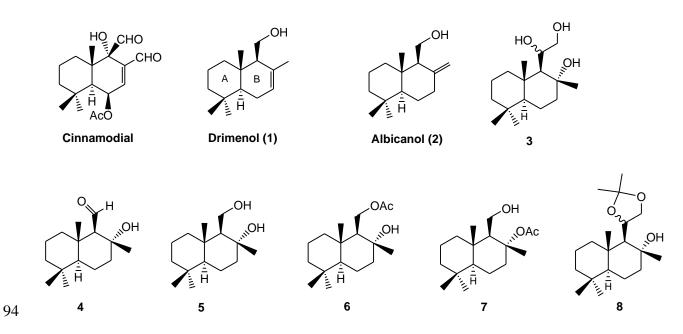
71 invasion of cells, immune evasion, and biofilm formation. Furthermore, the ability to switch 72 between forms is also essential for pathogenicity. Traditional antimycotics have many drawbacks, 73 including toxicity to human cells, a limited range of cellular targets, the development of antifungal 74 resistance (9-12), and the failure to successfully control pathogenesis. To develop new antifungal 75 agents based on drimane sesquiterpenes, we have investigated synthetic drimane terpenes, (-)-76 drimenol (1) and (+)-albicanol (2), along with six analogs, 3 - 8 (Fig. 1), for their antifungal 77 activities and identified (-)-1 as a potent broad-spectrum fungicidal agent. Moreover, we 78 determined their mechanism of action through forward genetic screening of mutant libraries of C. 79 albicans and baker's yeast and found that (-)-1 affects the fungal activities of protein secretion, 80 vacuolar biogenesis, chromation remodeling and cyclin dependent protein kinases (CDK) activity.

81

82 **RESULTS**

83 Several drimane sesquiterpenoids were synthesized in our laboratory during the total synthesis of 84 (+)-chloropuupehenone, a natural product from marine sponges (13). Based on the 85 antimycobacterial activity of sesquiterpene natural product, cinnamodial, isolated from the 86 liverwort plant, Warburgia salutaris (14), we anticipated that drimane sesquiterpenes and closely 87 related compounds (15) could be effective antimycotics for C. albicans. Five representative 88 drimane terpenes, 1-5, along with their derivatives, 6-8 (Fig. 1), were screened for their ability 89 to inhibit *C. albicans* growth. It was assumed that additional hydroxyl group(s) or oxygen atoms 90 in the molecule enhances water solubility and may improve bioactivity (16). Molecules 3-791 possess extra hydroxyl, aldehyde, or acetoxy function and molecule 8 contains an acetonide moiety 92 in the drimane structure.

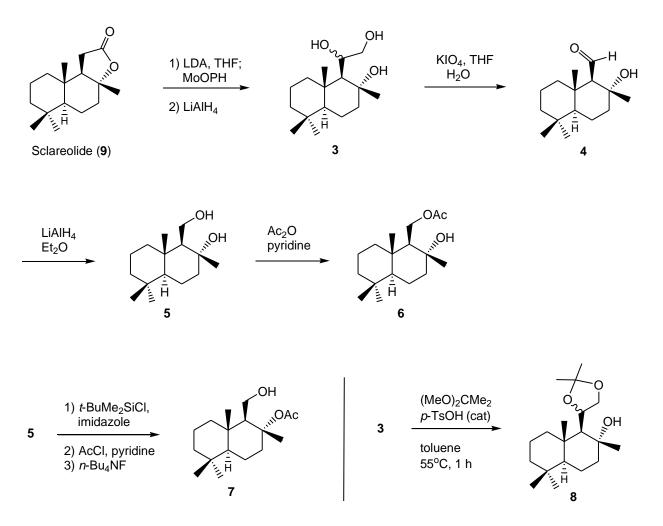
93



95 FIG. 1. Drimane sesquiterpenoids bioevaluated for their antifungal activities.

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97 Compounds 1 - 5 were prepared by following previously reported methods (13). Molecule 98 6 was prepared from a mono-acetylation of 5 with acetic anhydride and pyridine in 99 dichloromethane (Scheme 1). Molecule 7 was made by a sequence of three reactions: (i) silvlation 100 of the primary alcohol with t-butyldimethylsilyl chloride and imidazole in dichloromethane; (ii) 101 acetylation of the tertiary alcohol with acetyl chloride and pyridine; and (iii) removal of the silyl 102 ether group with tetra-*n*-butylammonium fluoride in THF. Compound 8 was produced from the 103 treatment of triol 3 with 2,2-dimethoxylpropane and a catalytic amount of *p*-toluenesulfonic acid 104 in toluene. The experimental procedures were described in Materials and Methods section.



106 Scheme 1. Synthesis of drimane sesquiterpenoids 3 - 8.

105

107 Examinataion of the antifungal activities of these molecules along with the mechanistic study of the most active molecule may allow future improvement in bioactivity and reduction in 108 109 toxicity. We used C. albicans strain SC5314 for our initial screening of antifungal activities of 110 drimane sesquiterpenoids 1-8. The compounds were solubilized in dimethyl sulfoxide (DMSO), 111 10 mg/ml, as stock solutions and stored at -20°C. Prior to assays, stock solutions of compounds 112 were diluted to $200 - 12.5 \,\mu$ g/ml in the growth media for yeast antifungal assays using the Clinical 113 and Laboratory Standards Institute (CLSI) M38-A2 method (17). Fortuitously, we found (-)-114 drimenol (1) and (+)-albicanol (2) along with compound 4, inhibit C. albicans SC5314 growth (Table 1). Among these three compounds, we identified 1 being more potent (with MIC value 115

 $\sim 30 \,\mu g/ml$) than other compounds (~60 $\mu g/ml$); therefore **1** was used for further studies including

117 mechanistic investigation.

118

- 119 **Table 1.** Antifungal activities of drimane sesquiterpenoids 1 8 against *C. albicans* SC5314.
- 120 Highest activity: ++++; medium activity: +++; and low activity: ++.

121

Molecule	1	2	3	4	5	6	7	8
Antifungal	++++	++	Inactive	+++	Inactive	Inactive	Inactive	Inactive
activity								

122

123 Antifungal activities of drimenol against various pathogenic fungi

Since our initial assay with *C. albicans* confirmed the antifungal activities of **1**, we extended the susceptibility assays to other pathogenic fungi including FLU resistant *C. albicans*, various species of candida, *Cryptococcus*, *Aspergillus* and a dermatophyte fungus. The CLSI broth dilution methods of M27-A3 for yeasts and M38-A2 for filamentous fungi (17) were used to determine the susceptibility. Molecule **4** was not investigated due to the presence of an aldehyde function, which may react with biological molecules.

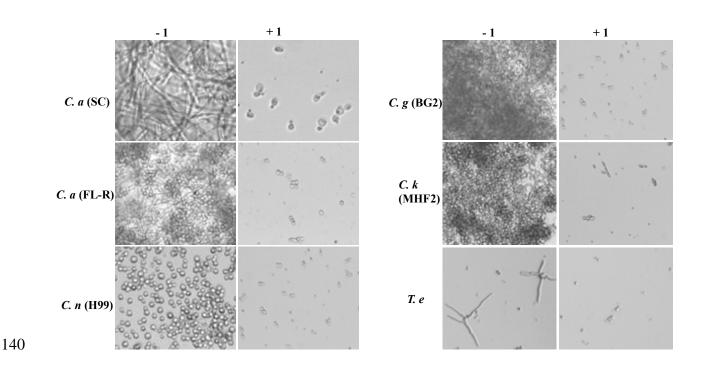
Briefly, yeast cells or conidia (for filamentous fungi) were suspended in RPMI 1640 medium to a final concentration of 10^5 cfu/ml and distributed in 96-well microplate to a total volume of 100 µl/well. Molecules **1** was added to the wells and two-fold serial dilutions prepared. Duplicates were used for each concentration and wells with or without DMSO served as controls. Plates were incubated without shaking at 37°C for 24 – 48 h for yeasts and 30°C for 4 days for filamentous fungi (*Aspergillus* and *Trichophyton* sp). The MIC was defined as the lowest

136 compound concentration at which no growth occurred, as determined visually and microscopically

137 (an inverted microscope). The results are shown in Fig. 2 and some (C. glabrata [BG2], C.

138 *albicans* [FLU resistant], *C. auris*, *T. equinum*) in **Table 2**.

139



142 FIG. 2. Antifungal activity of 1 against various human pathogenic fungi. The CLSI broth dilution 143 methods of M27-A3 for yeasts and M38-A2 for filamentous fungi were used. Drimenol (1) was 144 dissolved in DMSO and a two fold serial dilution was used between 200 - 12.5 µg/ml. 145 Representative microscopic images (Leica, inverted microscope) taken at 200X magnification 146 from 1 with cells exposed 50 μ g/ml (MIC), except *T. equinum* which was exposed to 15 μ g/ml at 147 30 °C, are shown. The MIC for 1 is 50 μ g/ml under these assay conditions except mentioned 148 otherwise. C. a (SC), Candida albicans (SC5314); C. a (FL-R), C. albicans FLU-resistant; C. g 149 (BG2), C. glabrata (BG2); C. k. (MHF2), C. krusei (MHF2); C. n (H99), Cryptococcus 150 neoformans (H99); T. e, Trichophyton equinum.

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Results summarized in **Fig. 2** show that **1** has broad-spectrum fungicidal activity against various fungi including FLU resistant *C. alibcans* and *Cryptococcus* sp albeit, at a higher (50 μ g/ml) MIC concentration. However, for a dermatophyte fungus, the MIC value was lower, 15 μ g/ml. When compared to DMSO controls, fungi exposed to **1** showed absence of fungal growth. At higher concentration of **1** (100 μ g/ml), *C. albicans* yeast cells lysed and released their cellular contents (**Fig. 3**, arrow). Consistent with this observation, **1** inhibited the germination of *A. nidulans* spores and appeared to cause swelling of germinating spores (**Fig. 3**, lower right).

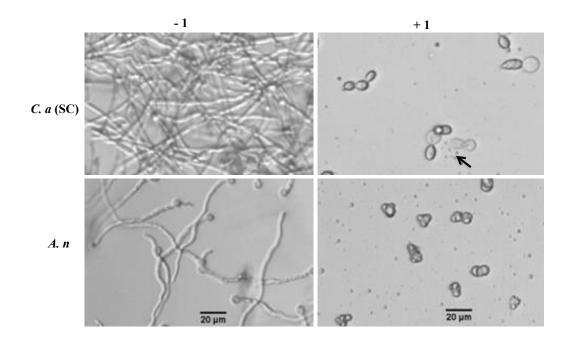




FIG. 3. Antifungal activity of 1 against *C. albicans* and *A. nidulans*. The CLSI broth dilution
methods of M27-A3 for yeasts and M38-A2 for filamentous fungi were used. *C. albicans*(SC5314) showed lysis of yeast cells (arrow) at 100 μg/ml of 1 compared to the control where a
network of hyphal growth was observed. Similarly, the germination of *Aspergillus nidulans* spores

was inhibited by 1 (lower, right panel). Representative microscopic images (Leica, inverted
microscope) were shown. Scale bar, 20 μm.

167

To extend our antifungal screening with **1** against additional human pathogenic fungi, we have used the non-clinical and pre-clinical service program offered by the NIH NIAID supported fungus testing center at the University of Texas Health Sciences Center, San Antonio. The fungus testing center used the CLSI M38-A2 method (17) to determine the MIC of **1** after 24 - 72 hours incubation with concentrations ranging from 0.125 - 64 μ g/ml. Positive control antifungals (FLU, posaconazole and voriconazole) were also included in parallel. The results are summarized in **Table 2**.

175

176 **Table 2**. Drimenol (1) activities against various pathogenic fungi. The MIC (100% growth 177 inhibition) of 1 ranges from 8 μ g/ml to 64 μ g/ml. Fluconazole, posaconazole, and voriconazole 178 were used as controls.

Antifun	Drimenol		Fluconazole	Posaconazole	Voriconazole	
Species	Isolate	50%	100%	50%	100%	100%
	No.					
C. parapsilosis	CLSI QC	32	32	1	-	-
C. krusei	CLSI QC	32	>64	16	-	-
P. variotii	CLSI QC	16	32	-	≤0.03	0.125
C. albicans	CA1	32	32	0.125	-	-
	CA2	32	>64	0.25	-	-
	CA3	32	32	>64	-	-
C. neoformans CN1		16	32	4	-	-
	CN2	8	64	64	-	-
	CN3	16	32	64	-	-
A. fumigatus	AF1	16	32	-	-	0.5
	AF2	8	32	-	-	2
	AF3	16	32	-	-	4
Fusarium	FO1	>64	>64	-	-	4

FO2	>64	>64	-	-	8
FS1	32	64	-	-	8
LP1	16	>64	-	-	>16
SA1	16	>64	_	_	1
SB1	16	>64	_	_	2
RA1	32	32	_	0.25	-
RA2	32	32	-	0.25	-
RA3	64	>64	_	0.25	-
AP01	32	>64	_	≤0.03	-
AP02	32	>64	_	0.25	-
SAK1	16	16	_	≤0.03	-
SAK2	32	64	-	0.06	-
SAK3	4	32	-	≤0.03	-
BD1	8	8	-	-	0.5
BD2	4	16	-	-	0.06
BD3	4	8	_	_	≤0.03
BG2	30	50	_	_	-
95-98-flu	30	50	_	_	-
resistant					
	30	50	-	-	-
	-	15	-	-	-
	FS1 LP1 SA1 SB1 RA1 RA2 RA3 AP01 AP02 SAK1 SAK2 SAK3 BD1 BD2 BD3 BG2 95-98-flu	FS1 32 LP1 16 SA1 16 SB1 16 RA1 32 RA2 32 RA3 64 AP01 32 AP02 32 SAK1 16 SAK2 32 SAK3 4 BD1 8 BD2 4 BD3 4 BG2 30 95-98-flu 30 resistant 30	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	FS132 64 -LP116> 64 -SA116> 64 -SB116> 64 -RA132 32 -RA232 32 -RA3 64 > 64 -AP01 32 > 64 -AP02 32 > 64 -SAK11616-SAK2 32 64 -SAK34 32 -BD188-BD348-BG2 30 50 -95-98-flu 30 50 -30 50 -	FS13264LP116>64SA116>64SB116>64RA13232-0.25RA23232-0.25RA364>64-0.25AP0132>64- 0.25 AP0132>64- 0.25 SAK11616- ≤ 0.03 SAK23264- 0.06 SAK3432- ≤ 0.03 BD188BD2416BD348BG230503050

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Next, we determined the viability of fungal cells that were exposed to **1**. Cells exposed to 50 µg/ml (MIC) for 24 h or at 100 µg/ml for 48h were used. To determine the viability of treated fungal cells, small volumes (1 - 5 µg/ml) of mixed cell suspensions were removed from wells and spotted on YPD agar medium. The agar plates were incubated at 30°C for 24 h - 72 h and the growth of fungi were recorded. Growth of yeasts occur in 24 h and filamentous fungi in 48 - 72 h for control (without **1**) but not for those treated with **1** suggesting that it acts as a fungicidal compound (data not shown).

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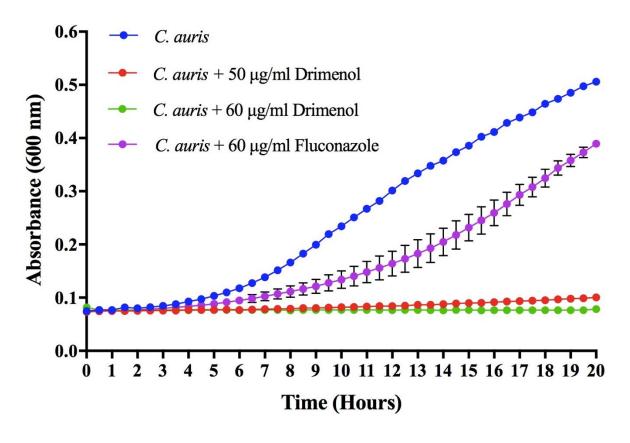
189 Drimenol acts better than fluconazole against Candida auris growth

190 C. auris is an emerging multidrug resistant fungal pathogen that is known to cause nosocomial

191 infections with 'superbug"-like traits (18). C. auris was first discovered in 2009 in Southeast Asia

192 and now it is present in 33 countries across 6 continents. Since this fungus is resistant to all 193 antifungals and is invasive, the mortality rate is high (19). Recently, CDC has issued a clinical 194 health emergency warning about this fungus. Since 1 showed a broad-spectrum fungicidal activity, 195 we determined its effect against C. auris growth using a bioscreen-C growth monitoring system. 196 C. auris was grown in the presence or absence of 1 in RPMI medium (CLSI method) (17) for 24 197 h at 37° C. Negative controls (solvent) and positive controls (FLU) were included in parallel. 198 Results depicted in **Fig. 4** indicate that 1 inhibited C. auris growth completely at 60 μ g/ml. In 199 contrast, FLU at the same concentration (60 μ g/ml) showed poor inhibition of growth. Thus, 1 200 could be useful as a broad-spectrum fungicidal compound.





202

FIG. 4. Drimenol (1) inhibits *C. auris* growth better than fluconazole. *C. auris* was grown in
 honeycomb microtiter wells containing RPMI medium in the presence and absence of 1 for 20 h

at 37° C. Fungus growth was measured by absorbance at OD 600 nm using Bioscreen-C growth
monitor. Growth curves showed the mean of triplicates and experiments were repeated at least
twice. Error bars are SD and were too short to appear in the line graphs.

208

209 Drimenol (1) is tolerated by C. elegans and protects it from fungal mediated death

Invertebrate animal models provide an inexpensive and powerful platform to test antifungal compounds for their efficacy and toxicity simultaneously. We evaluated **1** for its antifungal activity and tolerance in *C. elegans* infection model of candidiasis as described before (20). Results shown in **Fig. 5** indicate that **1** can protect worms from *C. albicans* mediated death and that the worms were not adversely affected by **1**, as judged by their motility and viability following compound exposure.

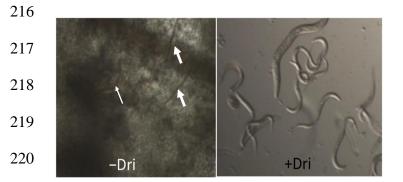


FIG. 5. Protection of *Caenorhabditis elegans* worms from *C. albicans* mediated death by drimenol. *C. albicans* (yeast cells) fed larvae were incubated in RPMI medium without and with $1 (+Dri) (50 \mu g/ml)$ in a 96 well microtiter plate and incubated at 30 °C for 2 – 3 days. Left panel without drimenol shows died worms (straight and immobile, thick arrows) due to *C. albicans* growth. Thin arrow shows a weakly moving worm. Right panel shows 1 containing well where worms are alive as judged by their movements and the lack of fungal growth.

228

229 Mechanism of drimenol (1) antifungal activity

230 To understand the compound's mechanisms of action (MOA), researchers have used pooled library 231 of genome-wide barcoded mutant collections of Saccharomyces cerevisiae or C. albicans for drug-232 induced sensitivity assay or the haploinsufficiency (HIP) assay (21-23). For example, if 1 can 233 inactivate partially or completely its protein target in the heterozygous mutant pool, the resulting 234 growth defect of that mutant(s) can be measured quantitatively by sequencing the tagged unique 235 This approach will help narrowing down the putative target(s). barcodes. Similarly, a 236 homozygous nonessential mutant library can be used as a complementary approach to the 237 heterozygous essential mutant collection to verify the target pathway/genes of compounds. In this 238 case, if the homozygous mutant of a gene is sensitive to the compound, then that gene may not be 239 the drug target (21) as the homozygous mutant lacks the gene product. This imples that the 240 compound may exert its effect via drug-induced synthetic lethality. Thus, by combining data from 241 both heterozygote and homozygote screens one may determine the compound's MOA.

242

In this study, we used *S. cerevisiae* barcoded homozygous nonessential and heterozygous essential, and *C. albicans* barcoded heterozygous Tn mutant (23) libraries. Briefly, IC-50 of **1** for *C. albicans* and *S. cerevisiae* was determined in yeast growth (YPD) condition (**Fig. 6**). Based on this assay results, IC-50 of 25 μ g/ml for *C. albicans* and 15 μ g/ml for *S. cerevisiae* was calculated for **1**. Two different sub-MIC concentrations of **1** were selected for determining the mechanism of action against mutant libraries.

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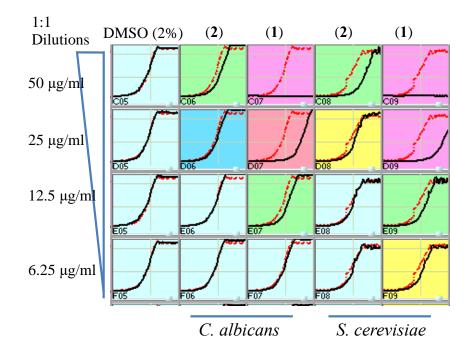
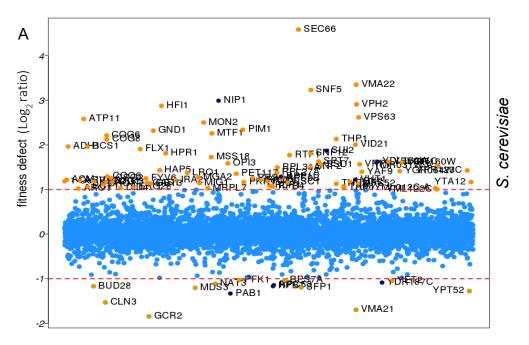




FIG. 6. Determination of IC-50 for drimenol (1) activity against *C. albicans* and *S. cerevisiae*. Yeast growth conditions (YPD medium at 30°C) were used to determine the IC-50 values. The red line in each panel indicates growth of the DMSO reference. An IC-50 of ~25 μ g/ml for *C. albicans* and ~15 μ g/ml for *S. cerevisiae* were calculated for **1**. Albicanol (**2**) showed weaker activity against both fungi and was not considered for further analysis.

Next, pooled *S. cerevisiae* and *C. albicans* mutant collections were grown separately in the presence or absence of compounds (with DMSO) for 20-generations, barcodes from genomic DNA were amplified and relative strain abundance were quantified based on TAG microarray signals. The log₂ ratio of tag signals between DMSO control and **1** exposed samples were presented in scatter plots as the "fitness defect" (**Figs. 7A & B**). Mutants that were depleted from the growth pool due to **1** are indicated by circles. Mutants that were highly susceptible to **1** are shown with high log ratio (*e.g.* SEC66 in **Fig. 7A**) (highly depleted in the pool) and considered putative targets.

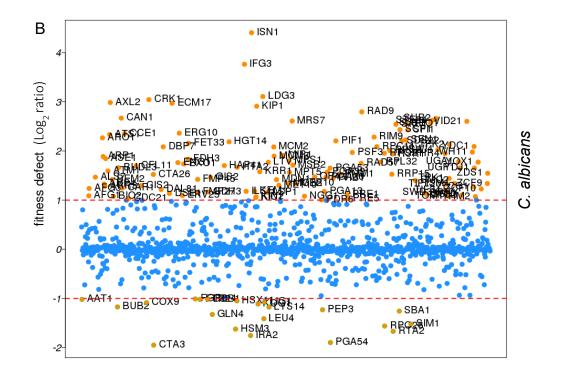
264 Lists of S. cerevisiae and C. albicans mutants that are highly sensitive to 1 were given in



265 **Supplementary Tables 1 and 2,** respectively.

266





267



gene

268 FIG. 7. Genome-wide screens of S. serevisiae (A) and C. albicans (B) mutant libraries against 269 drimenol (1) for drug induced hypersensitivity. Pooled collections of S. cerevisiae nonessential 270 homozygous and essential heterozygous mutants were grown in the presence and absence of $\mathbf{1}$ at 271 the concentration of 0.025 mg/ml for the indicated number of generations before profiling for their 272 abundance (DNA barcodes). Twenty generations for essential heterozygous and five generations 273 for non essential homozygous mutants were used which gave an optimum of $\sim 20\%$ growth 274 inhibition. Similarly, C. albicans Tn-insertion mutants (heterozygous, 20 generations) was used 275 at 0.025 mg/ml of 1. Each spot represents single mutant. The log ratio of each mutant (1 exposed 276 vs no drug control) was calculated and presented in scatter plots where greater the number the

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277

more sensitive that strain is.

Our results of forward genetic screening from mutant libraries of *C. albicans* and *S. cerevisiae* with **1** indicate that it affects cellular activities involved in protein secretion, vacuolar functions, chromation remodeling and cyclin dependent protein kinases (CDK) (**Fig. 7** and **Supplementary Tables 1 & 2**). For example, SEC66, highly sensitive to **1** (log₂ >4.5, **Fig. 7A**), is a component of Sec63 SECretary complex in *S. cerevisiae* involved in protein targeting and importing into the ER. Similarly, VMA22 is a vacuolar membrane ATPase required for vacuolar H+-ATPase function and localized to the yeast ER (Saccharomyces Genome Database).

286

The *ISN1* ($\log_2 4.4$, **Fig. 7B**) gene product is involved in inosine 5'-monophosphate 5'nucleotidase activity in *C. albicans* (Candida Genome Database). This gene product is uncharacterized and it is present only in fungi and not in human or murine, suggesting that Isn1p a suitable antifungal drug target. *IFG3* is a putative D-amino acid oxidase, which is

291	uncharacterized, and CRK1 is a protein kinase of the Cdc2 subfamily involved in hyphal
292	development and virulence in C. albicans (24). The CRK1 ortholog in S. cerevisiae is SGV1,
293	which is a part of BUR2 kinase complex and plays a major role in transcriptional regulation.
294	
295	Yeasts spot assay to validate drimenol (1) mechanism of action
296	Based on the forward genetic library screening assay results (Fig. 7) and their functions inferred
297	from the available literature, we selected few heterozygous mutants of C. albicans that had high
298	to medium positive log ₂ ratio (hypersensitive, CRK1 and its putative interacting partners proteins
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311	(30 µg/ml)
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FIG. 8. Validation of *C. albicans* Tn-insertion mutant screen data by yeast spot assay. A fourfold serially diluted yeast cultures of indicated *C. albicans* heterozygous mutants (GRACE) were spot tested on YPD agar containing 1 (30 μ g/ml) or DMSO (- 1). Heterozygous mutants (*RET2/-*, *Orf19.759/-*, *Orf19.1672/-*, and *Orf19.4382/-*) affected by 1 directly or indirectly were hypersensitive and showed lack of growth.

319

320 *CDC37, Orf19.759, Orf19.1672 and Orf19.4382*) and few with negative \log_2 ratio (resistance, 321 *VPS53, TSC11 & PHO89*) to verify the genetic screening data. Agar medium containing sub-MIC 322 concentration of **1** (30 µg/ml) or solvent was used to spot test suspensions of various mutants 323 (GRACE mutant collection) (25).

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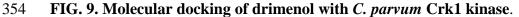
325 Results shown in Fig. 8 confirm the findings of forward genetic screening assays of C. albicans 326 mutants. For example, Crk1 Kinase is predicted to associate with RET2, which involves in 327 retrogradetion of vesicle transport for protein signaling and secretion (SEC66), and plays a role in 328 protein translation with G1/S cell-cycle transition (26). Products encoded by Ret2, orf19.759 329 (SEC21), orf19.1672 (COP1) and orf19.4382 (RET3) are uncharacterized and are likely targets of 330 Crk1 kinase, which are defective in growth on agar medium containing 1 (Fig. 8). Molecule 1 331 induced hypersensitivity of these mutants, which represent candidate targets. The orf19.759 332 (SEC21 ortholog of S. cerevisiae) is uncharacterized in C. albicans. Sec21 involves in transport 333 from endoplasmic reticulum (ER) to Golgi vsicle-mediated transport (anterograde), Golgi to ER 334 (retrograde) and COPI vesicle coat, and endosome localization (27). RET2 is also uncharacterized 335 in C. albicans and the ortholog is a subunit of the coatomer complex (COPI), which coats Golgi-336 derived transport vesicles, involves in retrograde transport between Golgi and ER, and interacts

with Crk1 kinase in the two-hybrid system (28). Crk1 is known to play a role in regulating
trafficking and secretion of effectors by interacting with the early endosome during *Ustilago maydis* (corn smut fungus) infection in corn plants (29, 30).

340

341 Since Crk1 kinase may interact with multiple targets (Ret2, orf19.759, orf19.1672 and orf19.4382) 342 and because Crk1 represents an important antifungal drug target (24), we performed computational 343 molecular docking of 1 with Cryptosporidium parvum Crk1 crystal structure (2QKR-A) (31) using 344 AutoDock Vina software (32). Results showed in Fig. 9, suggest that 1 can interact with the N-345 terminal catalytic domain of C. albicans Crk1 (which has 61% similarity and 40% identical to the 346 C. parvum Crk1). Particularly, noteworthy from our computational docking studies is that 1 has 347 close interactions with Gly 31, Val 37, Gln 148, Leu 151, and Phe 98 amino acid residues. The 348 docked structure shows that an available open space in Crk1 for incorporation of an additional 349 function group onto the cyclohexane A ring of 1 (Fig. 1), signifying a possible modification of 1 350 for future improvement of biological activity. Thus, this CDK member may comprise the target 351 of **1** and it is notable that this conserved gene is present in many of the tested pathogenic fungi 352 (Table 2).

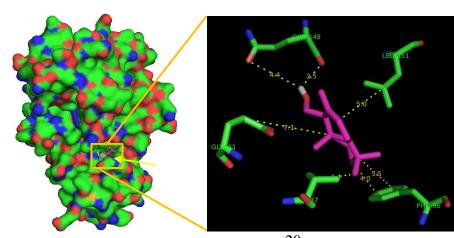
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361



362 **DISCUSSION**

363 Fungi have emerged in the last two decades as major causes of human disease. C. albicans is a 364 major fungal pathogen affecting at all ages and fourth leading cause of nosocomial bloodstream 365 infections in the US (33). C. albicans and other Candida spp cause mucosal, disseminated and 366 invasive candidiasis, especially among patients who are immunocompromised or hospitalized with 367 serious underlying diseases. The overall mortality for invasive diseases caused by *Candida* spp. 368 and Aspergillus spp. is around 50% (33, 34). While there are more than 150 species of Candida, 369 about 15 species are recognized as frequent human pathogens (34, 35). Some of them are: C. 370 albicans, C. glabrata, C. krusei, C. tropicalis and C. parapsilosis. Among these, C. albicans is by 371 far the most common species isolated from humans and is a frequent denizen of the oropharynx, 372 mucousal surfaces, gastrointestinal and genitourinary tracts. In the developing world, there are ~ 1 373 million cases of cryptococcal diseases per year resulting in 675,000 deaths (9, 36). Cryoptococcus 374 *neoformans* is an opportunistic fungal pathogen that causes meningitis in immunocompromised 375 individuals. Often found in soils contaminated with bird feces, C. neoformans enters its host 376 through the lungs via inhalation of spores. Some of the cryptococcal species are hypervirulent 377 (37) and have drawn a considerable public attention due to their causative role in the 378 cryptococcosis outbreak throughout the Pacific Northwest (38, 39). Only few antifungals can be 379 useful to treat cryptococcosis and drug resistant strains are emerging.

380

381 Aspergillus spp are ubiquitous molds found widely in the environment as saprophytes and produce 382 microscopic spores or conidia which upon inhalation, cause invasive pulmonary disease. In 383 immunocompromised patients such as hematopoietic stem cell transplantation, solid organ 384 transplantation and undergoing chemotherapeutic agents or immunomodulatory agents, invasive

385 aspergillosis remains the most important cause of infection-related mortality (40, 41). Among 386 several species of Aspergillus, A. *fumigatus* and A. *flavus* are frequent pathogens. Dermatophytes 387 are another group of keratinophilc pathogenic fungi that causes variety of infections in humans 388 and animals (42). Some of these fungi include Trichophyton tonsurans (scalp ring-worm), T. 389 equinum, and Microsporum gypseum (garderner's ringworm). Emerging fungal disease such as 390 zygomycosis is life-threatening particularly during natural calamity (e.g. the 2004 tsunami, the 391 2008 Katrina and May-2011 Joplin tornado). Novel compounds with broad-spectrum antifungal 392 activity are highly desirable to treat various fungal pathogens.

393

Because fungi are eukaryotes, the development of antifungal therapeutics that are nontoxic to human is challenging due to the availability of relatively few targets. In the last thirty years, only one new class of antimycotic (β -glucan synthase inhibitor, the echinocandins) was introduced into clinical practice. Although this drug is an important addition, it has a number of limitations including ineffectiveness against *Cryptococcus sp* and poor oral bioavailability (43). New drugs are needed to be discovered and developed.

400

In our search for novel antifungal small molecules from our available synthetic terpenoids, we have identified two compounds, (-)-drimenol (1) and (+)-albicanol (2) (**Fig. 1**), that showed strong activity against *C. albicans*. Among these two compounds, **1** showed stronger bioactivity. It acts not only against *C. albicans* as fungicidal but also against *Aspergillus nidulans*, FLU resistant strains of *C. albicans*, *C. glabrata*, *C. krusei*, *Cryptococcus* spp and other dermatophytes, suggesting that **1** is a broad-spectrum antifungal agent (**Figs. 2, 3** and **Table 2**). At an increased concentration (100 μ g/ml), **1** caused rupturing of the fungal cell wall/membrane, *e.g. C. albicans* 408 (Fig. 3) and *Cryptococcus sp.* (data not included). *Candida auris* is an emerging and multi 409 antifungal resistant strain that causes nosocomial infection and has been reported recently across 410 the world (18). Our bioscreen-based growth curve monitoring assay with 1 showed better activity 411 than clinical antifungal drug FLU (Fig. 4) indicating a potential use of 1 against *C. auris* and other 412 drug-resistant fungal pathogens.

413

Molecule **1** is a natural product presents in liverworts and higher plants (44, 45), and its antifungal mechanism against human pathogenic fungi has not been reported previously. A recent study has shown that **1** has antifungal activity against *Botrytis cinerea*, a plant fungal pathogen and the mechanisms appear to act by fungal membrane damage and reactive oxygen species (ROS) production (46).

419

420 In order to develop broad-spectrum novel antifungal compounds, we evaluated 1 against various 421 fungi that are pathogenic to humans and determined its mechanisms of action in C. albicans and 422 S. cerevisiae. Based on our yeasts mutant screening data and subsequent spot assay results, we 423 found that **1** acts as a fungicidal compound by affecting cellular activities targeting protein 424 trafficking between Golgi to ER, protein secretion (Sec system) and cell signaling, possibly 425 through cell division related kinase 1, Crk1 (Figs. 7 & 8). Genetic methods have been used to 426 determine the mechanism of antifungal compounds by drug-induced hypersensitivity assay (22, 427 47). Using similar approaches, we showed that 1-mediated inhibition of *C. albicans* heterozygous 428 mutants of CDC37, Orf19.759, Orf19.1672 and Orf19.4382, the known or putative targets of Crk1 429 kinase, at sub-MIC concentration. In support of this observation, computational molecular 430 docking of **1** with the crystal structure of a fungal (*C. parvum*) Crk1 kinase showed interactions of

431 **1** with the key residues in the catalytic domain (*N*-terminal) of Crk1 (**Fig. 9**).

432

433 Cinnamodial is a closely related compound belong to the drimane sesquiterpenoid family with 434 potent antifungal activity (48), but its chemical structure (containing dialdehyde groups; Fig. 1) 435 and physiological properties are quite different from 1. For example, the antifungal activity of 436 cinnamodial was shown to abolish by amine compounds (likely due to a coupling reaction from 437 the aldehyde functions of cinnamodial with the amino group of amine compounds) or when 438 cinnamodial was incubated in YPD medium (19, 49). In contrast, molecule 1's bioactivity was 439 not affected by amines or YPD medium (Fig. 5). Thus, the antifungal mechanisms of 1 could be 440 different from cinnamodial. Since the synthetic route for 1 and its analogs are well established, 441 improvements of its antifungal properties are possible through medicinal chemistry approaches.

In summary, we have synthesized a focused library of drimane sesquiterpenoid compounds and identified **1** as a broad-spectrum fungicidal compound against various human pathogenic fungi including *C. albicans, C. auris, Cryp. neoformans, Aspergillus, Blastomyces, Scedosporium, Fusarium, Pneumocystis,* and dermatophytes at 8 - 64 μ g/ml. By employing the libraries of barcoded *C. albicans* and *S. cerevisiae* genome-wide mutants, the mechanism of action of **1** was determined. Further evaluation of **1** in animal models of fungal diseases would help develop **1** as an antifungal agent.

449

450 MATERIALS AND METHODS

451

452 Synthesis of drimane molecules. (1*R*,2*R*,4a*S*,8a*S*)-2-Hydroxy-2,5,5,8a-tetramethyl453 decahydronaphthalene-1-carbaldehyde (4). To a solution of 0.20 g (0.74 mmol) of triol 3 (13)

in 10 ml of THF and 2.5 ml of water was added 0.19 g (0.81 mmol) of potassium periodate. The
resulting mixture was stirred at 25°C for 4 hours, diluted with water (50 ml) and extracted three
times with ethyl acetate (50 ml each). The combined extract was washed with water and brine,
dried (anhydrous Na₂SO₄), concentrated, and column chromatographed on silica gel using a
mixture of hexane and ethyl acetate (20:1) as an eluent to give 0.16 g (91% yield) of compound 4,
whose spectral data is in agreement with that reported (13).

460 (1S,2R,4aS,8aS)-1-(Hydroxymethyl)-2,5,5,8a-tetramethyl-decahydronaphthalen-2-ol (5). To

461 a cold (0°C) solution of 1.0 g (4.2 mmol) of aldehyde **4** in 80 mL of diethyl ether under argon, 80 462 mg (2.1 mmol) of lithium aluminum hydride was added in portions. The resulting solution was 463 stirred at 0°C for 30 minutes, diluted with aqueous NH₄Cl, and extracted with diethyl ether three 464 times (50 ml each). The combined extract was washed with water and brine, dried (MgSO₄), and 465 concentrated to give 0.98 g (97% yield) of diol **5**, whose spectral data are in agreement with that 466 reported (13).

467 [(1*S*,2*R*,4*aS*,8*aS*)-2-Hydroxy-2,5,5,8*a*-tetramethyl-decahydronaphthalen-1-yl]methyl

468 acetate (6). To a cold (0°C) solution of 0.10 g (0.40 mmol) of diol 5 in 2 ml of dichloromethane 469 and 0.32 g (4.0 mmol) of pyridine under argon, was added 49 µl (0.48 mmol) of acetic anhydride, 470 and the resulting solution was stirred at 0°C for 30 minutes and 25°C for 1 h. It was diluted with 471 30 ml of aqueous NH₄OH, extracted twice with diethyl ether (30 ml each), and the combined 472 extracts were washed with water and brine, dried (anhydrous Na₂SO₄), concentrated, and column 473 chromatographed on silica gel using a gradient mixture of hexane and diethyl ether as eluents to give 90 mg (80% yield) of acetate 6. Mp. 64 - 67 °C; $[\alpha]^{D}_{22} = -8.2$ (c = 0.55, CHCl₃); ¹H NMR 474 475 $(CDCl_3; 400 \text{ MHz}) \delta 4.35 \text{ (dd, } J = 12, 4 \text{ Hz}, 1 \text{ H}), 4.24 \text{ (dd, } J = 12, 4 \text{ Hz}, 1 \text{ H}), 2.05 \text{ (s, 3 H)}, 1.88$ (dt, J = 12, 2 Hz, 1 H), 1.70 - 0.93 (a series of m, 11 H), 1.17 (s, 3 H), 0.88 (s, 3 H), 0.86 (s, 3 H), 476

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477 0.80 (s, 3 H) ppm; <sup>13</sup>C NMR (CDCl<sub>3</sub>; 100 MHz) δ 171.4, 72.6, 62.6, 60.0, 55.7, 44.0, 41.7, 39.7,
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478 38.1, 33.5, 33.2, 24.6, 21.6, 21.3, 20.3, 18.4, 15.8 ppm. MS (electrospray ionization), m/z 283.1

479 (M+H⁺). HRMS-ESI: m/z [M + H]⁺ calcd for C₁₇H₃₁O₃⁺: 283.2268, found: 283.2273.

480 (1*S*,2*R*,4*aS*,8*aS*)-1-(Hydroxymethyl)-2,5,5,8*a*-tetramethyl-decahydronaphthalen-2-yl

481 acetate (7). Compound 7 was prepared by a sequence of three reactions: (i) silylation of the 482 primary alcohol function of 5 with *t*-butyldimethylsilyl chloride; (ii) acetylation of the tertiary 483 alcohol function with acetyl chloride and pyridine; and (iii) removal of the *t*-butyldimethylsilyl 484 ether protecting group with tetra-*n*-butylammonium fluoride in THF.

485 To a solution of 9.5 mg (40 μ mol) of compound 5, 11 mg (150 μ mol) of imidazole, and 6 mg (49 486 µmol) of 4-(dimethylamino)pyridine in 2 ml of dichloromethane under argon at 25°C, was added 487 14.3 mg (95 µmol) of t-butyldimethylsilyl chloride, and the solution was stirred for 4 h. The 488 reaction mixture was diluted with 10 ml of aqueous ammonium chloride and extracted with diethyl 489 ether three times (10 ml each). The combined extracts were washed with water (10 ml) and brine 490 (10 ml), dried (anhydrous Na₂SO₄), concentrated to give 12.5 mg of the mono-silvlated product. 491 This crude product was used in the subsequent step without purification. To a solution of the 492 above mono-silvlated product and 0.1 ml of pyridine in 0.5 ml of dichloromethane under argon at 493 0°C, was added 10 µl (0.13 mmol) of acetyl chloride. The reaction mixture was stirred at 25°C for 494 2 h, diluted with aqueous ammonium chloride (10 ml), and extracted three times with diethyl ether 495 (10 ml each). The combined extracts were washed with brine, dried (anhydrous Na₂SO₄), 496 concentrated to give the crude product, which was used in the following step without purification. 497 The above crude product was dissolved in 1 ml of dried THF (distilled over sodium/benzophenone) 498 and 0.3 ml (0.3 mmol) of tetra-*n*-butylammonium fluoride (1 M solution in THF) and stirred at 499 25°C under argon for 1 h. The reaction solution was diluted with 0.1 N ammonium hydroxide (10

500 ml) and extracted with diethyl ether three times (10 ml each). The combined extracts were washed 501 with water (10 ml) and brine (10 ml), dried (anhydrous Na₂SO₄), concentrated, and column 502 chromatographed on silica gel using a gradient mixture of hexane and diethyl ether to give 4.2 mg (38% overall yield from diol 5) of compound 7. Compound 7: Mp. 101 - 103 °C; $[\alpha]^{D}_{22} = +0.35$ 503 504 $(c = 0.23, CHCl_3);$ ¹H NMR (CDCl₃; 400 MHz) δ 3.91 (dd, J = 12, 2 Hz, 1 H), 3.84 (dd, J = 12, 2505 Hz, 1 H), 2.95 - 2.90 (m, 1 H), 1.98 (s, 3 H), 1.88 (dt, J = 12, 2 Hz, 1 H), 1.62 (s, 3 H), 1.70 - 0.88506 (a series of m, 10 H), 0.94 (s, 3 H), 0.87 (s, 3 H), 0.82 (s, 3 H) ppm; ¹³C NMR (CDCl₃; 100 MHz) 507 δ 169.9, 84.9, 63.8, 59.8, 55.8, 41.8, 39.5, 38.2, 36.1, 33.5, 33.2, 25.8, 22.8, 21.7, 18.3 (2 C), 16.1 508 ppm. MS (electrospray ionization), m/z 305.1 (M+Na⁺). HRMS-ESI: m/z $[M + Na]^+$ calcd for 509 C₁₇H₃₀NaO₃⁺: 305.2087, found: 305.2082.

510 (1*S*,2*R*,4*aS*,8*aS*)-1-(2,2-Dimethyl-1,3-dioxolan-4-yl)-2,5,5,8*a*-tetramethyl-

511 decahydronaphthalen-2-ol (8). A solution of 18 mg (67 µmol) of triol 3, 50 µl of 2,2dimethoxypropane and 3 mg of anhydrous *p*-toluenesulfonic acid in 1 ml of toluene was stirred 512 513 under argon at 55°C for 1 h. The solution was cooled to room temperature, neutralized with sodium 514 bicarbonate (~3 mg), diluted with 10 ml of water, and extracted with ethyl acetate three times (15 515 ml each). The combined extract was washed with brine, dried (MgSO₄), concentrated and column 516 chromatographed on silica gel using a gradient mixture of hexane and diethyl ether as eluent to 517 give 14 mg (71% yield) of compound 8 as a mixture of two stereoisomers: (the major isomer was 518 partially purified and reported) Mp. 114 – 117 °C; $[\alpha]^{D}_{22} = -25.1$ (c = 1.0, CHCl₃); ¹H NMR 519 $(CDCl_3; 400 \text{ MHz}) \delta 4.96 \text{ (s, 1 H, OH)}, 4.24 - 4.20 \text{ (m, 2 H)}, 3.59 \text{ (td, } J = 8, 4 \text{ Hz}, 1 \text{ H)}, 1.84 \text{ (dt, } J = 8, 4 \text{ Hz}, 1 \text{ H)$ 520 J = 12, 2 Hz, 1 H, 1.70 - 0.83 (a series of m, 11 H), 1.45 (s, 3 H), 1.41 (s, 6 H, 2 CH₃), 0.97 (s, 3 521 H), 0.90 (s, 3 H), 0.83 (s, 3 H) ppm; ¹³C NMR (CDCl₃; 100 MHz) δ 107.4, 73.5, 72.8, 62.2, 55.7, 42.8, 41.5, 40.4, 37.3, 33.6, 33.3, 26.5, 26.2, 25.8, 21.7, 19.7, 18.4 (2 C), 16.1 ppm. MS 522

523 (electrospray ionization), m/z 333.1 (M+Na⁺). HRMS-ESI: m/z [M + Na]⁺ calcd for C₁₉H₃₄NaO₃⁺:
524 333.2406, found: 333.2411.

525

526 Determination of Antifungal activity of synthetic compounds

527 Synthetic pure drimenol or albicanol was dissolved in DMSO (10 mg/ml as stock solution) and 528 used for determining their antifungal activities (minimum inhibitory concentration, MIC) against 529 various fungi according to the microdilution assay of CLSI (17). The CLSI broth dilution methods 530 of M27-A3 for yeasts and M38-A for filamentous fungi were used to determine the susceptibility. 531 Since our initial assay with C. albicans confirmed the antifungal activity of drimenol and albicanol, 532 we extended the susceptibility assay to other pathogenic fungi including FLU resistant *C. albicans*, 533 various species of candida, *Cryptococcus*, *Aspergillus* and a dermatophyte fungus (strains were 534 generously provided by Dr. Ted C. White at The University of Missouri Kansas City (UMKC). C. 535 auris was obtained from Dr. Baha Abdalhamid at The University of Nebraska Medical Center, 536 Omaha NE). Briefly, yeast cells or conidia (for filamentous fungi) were suspended in RPMI 1640 537 medium to a final concentration of 10^5 cfu/ml and distributed in 96-well microplate to a total 538 volume of 100 µl/well. Drimenol or albicanol was added into the wells and a two-fold serial 539 dilution was made. Duplicates were used for each concentration and wells with or without DMSO 540 served as controls. Plates were incubated without shaking at 37°C for 24 - 48h for yeasts and 30°C 541 for 4 days for filamentous fungi (Aspergillus sp and Trichophyton sp). The MIC was defined as 542 the lowest compound concentration at which no growth occurred, as determined visually and 543 microscopically (inverted microscope).

544

545 Determination of *C. auris* growth inhibition by drimenol

546	The effect of drimenol on the growth of C. auris was determined by Bioscreen-C real time growth
547	monitoring system (Oy Growth Curves Ab Ltd, Finland) as described earlier (50). Briefly, 200 μ l
548	of RPMI medium containing exponentially growing C. auris yeast cells (each at 0.07 OD600)
549	were added into the honeycomb wells with or without compound (control) and measured their
550	growth rates for 20 hours at 37°C. Compound treatment was done at two different concentrations
551	for drimenol (50 and 60 μ g/ml). The absorbance was measured at 600 nm at 30 min intervals for
552	24 h at 37°C with shaking for 10 s before each reading. Solvent negative control (DMSO) and
553	FLU (60 μ g/ml; antifungal drug) positive control were included in the study. The experiments
554	were repeated at least two times with three technical replicates.
555	
556	Yeast spot assay
557	Yeast Peptone Dextrose (YPD) agar containing a sub-MIC concentration of drimenol (30 μ g/ml)
558	or an equal volume of DMSO was used to spot test the C. albicans heterozygous mutants (GRACE
559	library (25)). Yeast suspensions of various mutants and the wild type C. albicans were used. Five
560	μ l of a four-fold serially diluted suspension was spotted on the agar plates and incubated at 30°C
561	for yeast growth for 24 h, and photographed. Experiments were repeated at least three times and
562	a representative result was shown.
563	
564	Genome-wide fitness assay

565 The Saccharomyces yeast deletion collection was comprised of approximately 5,900 individually 566 bar-coded heterozygous diploid strains (HIP [haploinsufficiency profiling]) and ~4,800 567 homozygous diploid strains (HOP [homozygous deletion profiling]). Pools of approximately 568 equal strain abundance were generated by robotically pinning (S and P Robotics, Ontario, Canada)

569 each strain (from frozen stocks) onto YPD agar plates as arrays of 384 strains/plate (21, 51, 52). 570 After 2 days of growth at 30°C, colonies were collected from plates by flooding with YPD, and 571 cells were adjusted to an optical density at 600 nm (OD_{600}) of 2. The fitness of each strain in each 572 experimental pool was assessed as described previously (21). The dose that resulted in 15% 573 growth inhibition in S. cerevisiae BY4743 (the parent strain of the yeast deletion collection) was 574 determined by analyzing dose response over the course of 16 h of growth at 30°C. Screens of the 575 homozygous deletion collection were performed for 5 generations of growth and screens of the 576 heterozygous deletion collection were collected after 20 generations of growth. Cells were 577 processed as described previously (21). Genomic DNA was extracted from each sample and 578 subjected to PCR to amplify the unique bar code identifiers. The abundance of each bar code was 579 determined by quantifying the microarray signal as previously described (21).

580 *Candida albicans* pooled screens used the tn-transposon collection (23). Growth assays were 581 performed in duplicate and samples were recovered at 20 generations of growth. Genomic DNA 582 extraction, tag amplification, and hybridization were performed as described above.

583

584 Conflict of interest: A US patent (US 8,980,951 B2) on synthetic drimenol was approved in 2015
585 to Kansas State University Research Foundation (KSURF) with authors GV and DHH.

586

587 Supplementary data.

588 Supplementary Table 1. S. cerevisiae genetic screening data

589 Supplemenary Table 2. C. albicans genetic screening data

590

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