# Dynamic shifts of visual and saccadic signals in prefrontal cortical regions 8Ar and FEF

Sanjeev B. Khanna<sup>1,2,3</sup>, Jonathan A. Scott<sup>3</sup>, Matthew A. Smith<sup>1,2,3,4,5</sup>

- 1: Dept. of Ophthalmology, Univ. of Pittsburgh, Pittsburgh, PA, USA
- 2: Center for the Neural Basis of Cognition, Univ. of Pittsburgh, Pittsburgh, PA, USA
- 3: Dept. of Bioengineering, Univ. of Pittsburgh, Pittsburgh, PA, USA
- 4: Dept. of Biomedical Engineering, Carnegie Mellon University, Pittsburgh, PA, USA
- 5: Carnegie Mellon Neuroscience Institute, Pittsburgh, PA, USA

#: Address correspondence to:
Matthew A. Smith
Department of Biomedical Engineering and Neuroscience Institute
Carnegie Mellon University
4400 Fifth Avenue Room 115
Pittsburgh, PA, 15213
Tel: (412) 268-9989
Email: matt@smithlab.net

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## 1 Abstract

2 Active vision is a fundamental process by which primates gather information about the 3 external world. Multiple brain regions have been studied in the context of simple active vision 4 tasks in which a visual target's appearance is temporally separated from saccade execution. 5 Most neurons have tight spatial registration between visual and saccadic signals, and in areas 6 such as prefrontal cortex (PFC) some neurons show persistent delay activity that links visual 7 and motor epochs and has been proposed as a basis for spatial working memory. Many PFC 8 neurons also show rich dynamics, which have been attributed to alternative working memory 9 codes and the representation of other task variables. Our study investigated the transition 10 between processing a visual stimulus and generating an eye movement in populations of PFC 11 neurons in macaque monkeys performing a memory guided saccade task. We found that 12 neurons in two subregions of PFC, the frontal eye fields (FEF) and area 8Ar, differed in their dynamics and spatial response profiles. These dynamics could be attributed largely to shifts in 13 14 the spatial profile of visual and motor responses in individual neurons. This led to visual and 15 motor codes for particular spatial locations that were instantiated by different mixtures of neurons, which could be important in PFC's flexible role in multiple sensory, cognitive, and 16 17 motor tasks.

18

#### 19 New and Noteworthy

A central question in neuroscience is how the brain transitions from sensory representations to motor outputs. The prefrontal cortex contains neurons that have long been implicated as important in this transition and in working memory. We found evidence for rich and diverse tuning in these neurons, that was often spatially misaligned between visual and saccadic responses. This feature may play an important role in flexible working memory capabilities.

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- 27

## 28 Introduction

29 The process of gathering information about the external world and acting on it 30 necessitates sensorimotor integration and is crucial for survival and adaptation to the 31 environment. In a simple idealized organism, visual space could be directly mapped onto motor 32 responses. This type of architecture would be well suited to direct orienting responses in a hardwired fashion, where a stimulus and resulting movement need to be processed and 33 34 generated rapidly. Within the oculomotor domain, one example of a behavior that could be accomplished by such a direct mapping is the generation of a rapid, ballistic eye movement 35 (saccade) to a visual stimulus. Neurons in the superior colliculus (SC), a midbrain region tightly 36 37 coupled to the brain stem circuits that move the eyes, have overlapping visual and movementrelated activity (Wurtz & Goldberg 1972). Such a tight alignment might be ideal for the rapid 38 39 translation of perception to action, and is particularly important in cognitive functions such as attention that involve a tight interaction between visual and saccadic maps (Krauzlis et al 2013). 40 However, in many instances, stimuli must be represented first and acted on later with one of 41 42 several motor output modalities in the context of different cognitive constraints. The means by which such flexible sensorimotor behavior is achieved is an important mystery in neuroscience. 43 44 Sensorimotor signals have been extensively studied in the context of eye movements, 45 which are a critical part of primate behavior but also have the advantage of high repeatability 46 and a limited number of degrees of freedom. In particular, tasks in which the onset of the visual 47 stimulus and the eve movement are temporally separated (such as the memory-guided 48 saccade, or MGS) have been used to study the transformation from perception to action. 49 Neurons in oculomotor regions of the cortex typically do not respond exclusively to a visual 50 stimulus or an eye movement. Instead, they demonstrate a wide variety of activity profiles

51 relating to their visuomotor response properties and the timing and duration of their activity. Two 52 prefrontal cortical regions which contain neurons with these diverse response properties are the 53 frontal eye fields (FEF) and the pre-arcuate gyrus (Bullock et al 2017, Kiani et al 2015, Preuss & 54 Goldman-Rakic 1991, Schall et al 1995). In both regions, neurons respond to visual stimuli, eye 55 movements, or both in varying degrees (Boch & Goldberg 1989, Bruce & Goldberg 1985, 56 Funahashi et al 1991). Additionally, some neurons fire transient bursts often aligned to stimulus 57 or saccade onset, while others maintain their activity throughout the period between the visual 58 stimulus and saccade (Funahashi et al 1989, Funahashi et al 1990, Fuster & Alexander 1971). 59 Activity that is elevated and sustained during the entire delay epoch (the period of time after the spatial location is stored in memory but before it must be retrieved), referred to as persistent 60 activity, has been proposed to underlie spatial working memory (Goldman-Rakic 1995). 61 62 However, while some neurons maintain relatively constant activity in the delay period, many others exhibit changes over time such as ramping up or down or shifts in preference. This has 63 64 led to ongoing debate about the nature of neural signals related to working memory (for review, 65 see Constantinidis et al (2018) and Lundqvist et al (2018)) and also provides insight into the 66 transition between visual and motor signals.

67 The predominant observation of FEF neurons has been of alignment between sensory and motor responses in representing the contralateral visual field (Bruce & Goldberg 1985), 68 69 similar to SC. However, a small subset of FEF neurons have ipsilateral receptive fields (Crapse 70 & Sommer 2009, Schall 1991) and can even show misalignment between their delay period and 71 peri-saccadic tuning (Lawrence et al 2005). In 8Ar, there are also neurons with ipsilateral 72 receptive fields (Mikami et al 1982, Suzuki & Azuma 1983) and bilateral responses (Bullock et al 73 2017). Some PFC neurons change their tuning during the delay epoch (Parthasarathy et al 74 2017, Spaak et al 2017), which has led some to propose alternatives to the persistent activity 75 model of working memory, such as "activity silent" mechanisms (Stokes 2015), or oscillatory

76 dynamics (Lundqvist et al 2016). Setting aside the question of how working memory is stored, 77 there is abundant evidence that neurons in PFC represent a myriad of perceptual and task-78 related variables, such as reward (Leon & Shadlen 1999, Watanabe 1996), abstract rules 79 (Wallis et al 2001), time during the delay (Jun et al 2010, Spaak et al 2017), previous trial 80 outcome (Donahue & Lee 2015), and stimulus shape and color (Riley et al 2017). A 81 misalignment of visual and eye movement signals could be the consequence of, and potentially 82 beneficial for, a flexible coding architecture in which multiple perceptual inputs (e.g., visual or auditory) are associated with multiple motor outputs (e.g., a saccade or a reach). In this case, 83 84 the dynamics of activity during the delay period may not solely represent the evolution of a working memory signal, but also the transition between two different representations in the 85 neuronal population. We wondered if and how the visual and saccadic signals align in FEF and 86 8Ar, and whether there were systematic rules by which neurons shifted their response profiles. 87

To answer these questions, we recorded from groups of 8Ar and FEF neurons in 88 89 macague monkeys performing a memory guided saccade task. The reliable timing of the task 90 and saccadic response allowed us to isolate visual and motor signals. We first characterized the receptive field structure of 8Ar neurons in response to a briefly flashed visual stimulus as well as 91 92 the motor response field around the time of the saccade. Importantly, we used a dense mapping of space to achieve a high-resolution spatial response profile. We found a remarkable amount of 93 94 diversity, both spatially and temporally, in the response properties of 8Ar neurons. A key pattern 95 in this diversity was spatial and temporal opponency – many neurons were suppressed at 96 spatial locations opposite their preferred response, and their preferences shifted over time from 97 shortly after stimulus onset to the time of the saccade, sometimes to the opposite hemifield. To 98 guantitatively assess at the population level the observations that we made in individual 99 neurons, we measured the ability to decode the target location in 8Ar and FEF neurons. We 100 found that the diverse response properties observed in 8Ar are less prominent in FEF, and that

the population code in 8Ar is more dynamic, meaning that the visual and motor codes were less
aligned. Taken together, our results are consistent with a neural circuit structure in which the
visual and saccadic representations are gradually segregated with increasing distance from the
motor output. This may aid in 8Ar playing a flexible role in various types of sensorimotor
behavior and cognitive states, associating a variety of sensory inputs with potential motor
outputs.

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### 108 Materials and Methods

109 Neuronal recordings

110 Surgical Preparation. A 96-electrode "Utah" Array (Blackrock Microsystems, Salt Lake City, UT) was implanted into two adult, male rhesus macaques (Macaca mulatta) in dorsolateral prefrontal 111 112 cortex using sterile surgical techniques under isoflurane anesthesia. The array was implanted in right 8Ar for Monkey Pe and left 8Ar for Monkey Wa, on the pre-arcuate gyrus immediately 113 114 anterior to the arcuate sulcus and medial to the principal sulcus (Figure 1A). For FEF recordings, two adult male rhesus macagues (Macaca mulatta; monkeys Ro and Wi) were 115 surgically implanted with FEF recording chambers (aimed for the anterior bank of the arcuate 116 117 sulcus, centered at stereotaxic coordinates: 25 anterior, 20 lateral) and extracellular activity was recorded with a 16-electrode linear microelectrode array (U-Probe, Plexon, Dallas TX) with 118 119 contacts spaced 150  $\mu$ m apart. Linear arrays were lowered into FEF daily using a custom 120 designed mechanical microdrive (Laboratory for Sensorimotor Research, National Eye Institute, 121 Bethesda, MD) through a plastic grid with 1 mm spacing. The FEF recordings included in this 122 analysis were part of a larger dataset previously published (Khanna et al 2019). The location of 123 FEF was first identified by physiological response properties to visual stimuli and saccades, and 124 then confirmed through microstimulation. Recording sites were considered to be in FEF if 125 saccades could be reliably (>50%) evoked using low threshold microstimulation ( $\leq$  50  $\mu$ A, 0.25

126 ms pulse width, 70 ms pulse train duration, 350 Hz stimulation frequency) (Bruce et al 1985) at 127 that location or at an immediately neighboring grid location (1mm away). Of note, we were not 128 able to induce eye movements by stimulating any electrodes on the 8Ar arrays, even with 129 microstimulation up to currents of 150  $\mu$ A. The head was immobilized during recordings with a 130 titanium headpost attached to the skull with titanium screws, implanted in a separate procedure 131 before the array or chamber implants. All procedures were approved by the Institutional Animal 132 Care and Use Committee of the University of Pittsburgh and complied with guidelines set forth in the National Institute of Health's Guide for the Care and Use of Laboratory Animals. 133

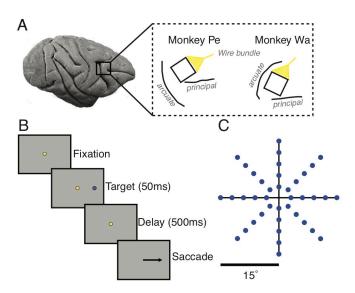
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Data Collection. Stimuli were displayed on a 21" cathode ray tube monitor with a resolution of 135 136 1024x768 pixels and a refresh rate of 100 Hz at viewing distance of 36 cm. Stimuli were generated using custom software written in MATLAB (MathWorks, Natick, MA) with the 137 Psychophysics Toolbox extensions (Brainard 1997, Kleiner et al 2007, Pelli 1997). Eye position 138 139 was tracked monocularly using an infrared system at 1000 Hz resolution (EyeLink 1000, SR Research, Mississauga, Canada). In both the FEF and 8Ar recordings, extracellular activity was 140 recorded from the array, band-pass filtered (0.3 - 7.500 Hz), digitized at 30 kHz, and amplified 141 by a Grapevine system (Ripple, Salt Lake City, UT). Waveforms that exceeded a threshold were 142 143 saved and stored for offline wave classification. The threshold was set by taking a value (typically -3) and multiplying it by the root mean squared noise measured on each channel. 144 145 Waveforms were automatically sorted using a competitive mixture decomposition algorithm (Shoham et al 2003) and later refined manually based on waveform shape characteristics and 146 147 inter-spike interval distributions using custom time amplitude window discrimination software 148 written in MATLAB (https://github.com/smithlabvision/spikesort).

After the waveforms were sorted, the signal-to-noise ratio (SNR) was calculated for each identified unit as the ratio of the average waveform amplitude to the standard deviation of the

151 waveform noise (Kelly et al 2007). We considered only candidate units with an SNR above 2.5 152 as isolated single neurons for the purpose of further analysis. This resulted in a total of 2511 153 neurons across 39 recording sessions in 8Ar (Monkey Wa: 1179 units, 20 sessions; Monkey Pe: 154 1332 units, 19 sessions) and 889 neurons across 50 sessions in FEF (Monkey Wi: 305 units, 14 sessions; Monkey Ro: 584 units, 36 sessions). We did not attempt to determine whether the 155 156 same units were recorded across multiple days with the Utah array recordings. It is likely that this did occur in some cases, although our recording sessions from 8Ar were often spaced out 157 by a week or more as the animals were also performing an unrelated experiment at the same 158 159 time. Results from a single session from each animal are shown in Figure 11, and show the representative features of the data from the full population analysis. In FEF, because the U-160 Probe was inserted in each recording session and in multiple different chamber locations. 161 recording from the same unit across days was not a concern. 162

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#### 164

Figure 1: Electrode array locations and task. A) 96 channel Utah arrays were placed in dorsolateral prefrontal cortex (8Ar) on the prearcuate gyrus, anterior to the arcuate sulcus and medial to the principal sulcus. The line drawings indicate visible sulcal patterns through the durotomy and are not meant to represent the full extent of the arcuate and principal sulci. B) Memory guided saccade task. Each trial began with the subject fixating on a central dot. After 200 ms of fixation, a target appeared briefly in the periphery for 50 ms. Following a delay of 500 ms, the fixation point was extinguished, signaling the subject to saccade to the remembered location of the target. C) Targets appeared at 1 of

40 locations, varying in amplitude and direction (only 8 directions with a single amplitude were used for FEF).

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## 173 Experimental design and statistical analysis

174 Behavioral task. Monkeys performed a standard memory guided saccade (MGS) task (Figure 175 1B) (Hikosaka & Wurtz 1983). The trial commenced when the subject fixated a small blue dot 176 (0.5° diameter) at the center of the screen. For 8Ar recordings, after fixation was established (200 ms), a target appeared in the periphery at one of eight angular directions ( $0^{\circ}$ ,  $45^{\circ}$ ,  $90^{\circ}$ , 177 178 135°, 180°, 225°, 270°, 315°) and one of five eccentricities (5°, 7.5°, 9.9°, 12.3°, 14.7°) (40 total 179 possible locations, Figure 1C) for 50 ms. The animal was required to maintain fixation for 500 180 ms after the target was extinguished, at which point the central fixation point would disappear, 181 signaling the animal to saccade to the remembered location of the stimulus. The monkey had 182 500 ms to initiate the saccade, and once it had been initiated (defined as the monkey's eve position leaving a window 1.8° in diameter around the fixation point) the monkey's eve position 183 had to reach the saccade target within 200 ms and maintain gaze within 2.7° of the location for 184 185 150 ms to receive a liquid reward. Each block consisted of pseudorandomized presentations of all 40 conditions, with at least 40 blocks gathered per session (average 58). For 4 of the 20 186 sessions in Monkey Wa, the angular directions and target eccentricities were different (angles 187 26°, 71°, 116°, 161°, 206°, 251°, 296°, 341°; amplitudes 2.6°, 3.9°, 5.2°, 6.5°, 7.8°). Data from 188 these sessions were included in population analyses when possible by using the large-189 190 amplitude trials (7.8°) but were not included in the population average response field analyses 191 (Figure 4A, B). For FEF recordings, the same behavioral task (MGS) was used, with stimuli 192 appearing at one of eight angular directions ( $0^\circ$ ,  $45^\circ$ ,  $90^\circ$ ,  $135^\circ$ ,  $180^\circ$ ,  $225^\circ$ ,  $270^\circ$ ,  $315^\circ$ ) but at 193 only one amplitude (10°). The fixation time before target onset (200 ms) and target duration (50 194 ms) were equal to 8Ar, however the delay epoch was 600 ms (as opposed to 500ms for 8Ar). 195 Each block consisted of pseudorandomized presentations of all eight conditions, with at least 50 196 blocks gathered per session (average 132). On a subset of days, after the fixation point was

extinguished and the monkey began its saccade, the target was re-illuminated to aid in saccade
completion. The analyses presented here were not affected by this target because all analysis
windows were constructed to end prior to any possible visual transient in response to this target.

201 *Neuron selection*. All neural firing rates were measured during stimulus presentation, the 202 memory epoch, and the perisaccadic epoch. To determine the ideal response epoch in which to 203 measure tuning, we used a method described in Smith et al (2005) in which the variance 204 (across the 40 conditions) was calculated for each neuron in a sliding window of 50 ms. For a 205 neuron tuned to the spatial location of the visual stimulus or the saccade, the variance is largest 206 when the window is aligned to the latency of the neuron (when it exhibits that tuning in the form 207 of spatially variable responses). To isolate the visual and perisaccadic responses, we measured 208 the latency of the visual response from 0 ms to 400 ms after stimulus onset for all neurons. 209 These outer boundary values were determined by visually examining the PSTHs of individual 210 neurons and the population response. Similarly, the saccade response was measured 100 ms 211 before to 50 ms after the saccade. Once the optimal window was identified for each neuron, we determined whether the neuron had significant (p < .01) spatial tuning in that response window 212 213 using a Kruskal-Wallis one-way analysis of variance on the average firing rates with location as 214 the factor.

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*Response field calculation.* The center of each neuron's response field during the visual and saccade epoch was calculated as follows. For each stimulus location, activity during the time epoch desired (visual, saccade, or across the delay in a sliding window analysis) was baselinecorrected by subtracting the average activity across all conditions (since they were the same prior to stimulus onset) from 30 ms to 180 ms after fixation was established (170 ms to 20 ms before stimulus onset). The resulting baseline subtracted activity was averaged across the trial

222 repeats for each condition, and then linearly interpolated to obtain a map with a resolution of 223 0.25° x 0.25°. This map was smoothed using a gaussian filter with a standard deviation of 1°. 224 The center of the response field was defined as the center of mass for all locations with 225 responses  $\geq$ 75% of the maximum for a given response field map (Zirnsak et al 2014). Only 226 responses above baseline (as opposed to responses suppressed below baseline) were 227 considered for the center of mass calculation. All response field spatial maps are displayed such 228 that the left side of each image corresponded to the contralateral visual hemifield. This required 229 reflecting the spatial response maps for Monkey Wa (where recordings were made from an 230 array implanted in left 8Ar), so that data from both monkeys were displayed with the same 231 coordinate frame.

232

*Visual/motor index calculation.* To understand how neurons in each population responded to the
visual stimulus relative to the saccade, a visuomotor index (VMI) was calculated using the
formula below (Bruce & Goldberg 1985, Lawrence et al 2005, Sato & Schall 2003, Sommer &
Wurtz 2000):

$$VMI = \frac{V - M}{V + M}$$

238

Where *V* is the response in the visual window and *M* is the response in the saccade window, with no baseline subtraction. Therefore, a VMI corresponding to 1 indicates a response exclusively for the visual stimulus, -1 exclusively for the saccade, and 0 indicates equal responses for the visual stimulus and saccade. For FEF, VMI was calculated individually for the 8 conditions and then averaged across conditions to produce a single VMI value per neuron. For 8Ar, a subset of conditions (8 of the 40 conditions at a single amplitude) which most closely

approximated the amplitude and direction of the FEF stimuli were selected to facilitatecomparison between the two areas.

247

248 Cross-temporal decoding analysis. A Poisson Naïve Bayesian decoder was implemented to 249 determine the working memory signal readout of 8Ar and FEF populations. For both regions, a 250 pseudo-population was created by combining neurons across recording sessions. Trial to trial 251 dependencies within a session were removed by shuffling the order of the repeats. Any 252 recording session with less than 40 repeats of each condition (1 session for FEF, 4 sessions for 253 8Ar), and any units that did not have an SNR greater than 2.5 or fire at least 1 spike per second 254 during the delay period of at least one condition were omitted (leading to the removal of 93 FEF 255 units and 561 8Ar units). The instantaneous firing rate of each neuron (100 ms overlapping 256 windows stepped by 50 ms) was used to build a decoder to predict the 8 saccade directions in FEF, and the 8 saccade directions closest in amplitude to the FEF saccade directions for 8Ar (8 257 258 of the 40 conditions). The training data set contained 80% of the trials, creating a Poisson 259 distribution model for each direction ( $\theta$ ) using the average spike count for each unit ( $n_{spike}$ ) in the 260 time epoch specified. The remaining 20% of trials were used for testing, at time windows 261 beginning at fixation and ending after the saccade. For a given test trial, the direction with the maximum prediction probability,  $P(\theta | n_{spike})$ , was defined as the predicted saccade direction. 262 263  $P(n_{spike}|\theta)$ , was calculated using the Poisson distribution model that resulted from the training 264 data. We used 5-fold cross validation, rotating the training and testing data such that each trial 265 was used once for testing, with the average decoding accuracy computed across folds.

266 
$$P(\theta|n_{spike}) = \frac{P(n_{spike}|\theta) * P(\theta)}{P(n_{spike})}$$

*Comparison of decoding between 8Ar and FEF.* To compare overall decoding accuracy
between FEF and 8Ar, we randomly selected a single set of 8Ar neurons (770 neurons) from
the total 8Ar population (1722 neurons) to match the size of the recorded population in FEF.
When comparing decoding accuracy as a function of other properties (number of neurons,
directional selectivity, and reliability) we used the training and testing time point that had the
highest accuracy for each area during the delay period (FEF: 50 ms to 150 ms after stimulus
offset, 8Ar: 100 ms to 200 ms after stimulus offset).

274

275 Decoding accuracy and reliability. We developed an index of the reliability of a neuron by 276 calculating a tuning curve separately for the even and odd trials in the time bin with the highest 277 decoding accuracy (see *Methods* above). The reliability was calculated as the Pearson 278 correlation coefficient of the even and odd trial tuning curves. A neuron with an identical tuning curve on even and odd trials would have a reliability of 1, while a neuron in which the tuning 279 280 curves on the even and odd trials were independent would have a reliability of 0 (on average). 281 Reliability values less than 0 could occur by chance, but would not be expected on average because it would require the tuning curve to shift systematically in preferred direction between 282 283 the even and odd trials. Each neuron in the pseudo-population was then sorted according to their reliability. Subpopulations of 100 neurons were used to decode eye movement direction, 284 285 starting with the 100 neurons with the highest reliability, then the next 100 ranked neurons in 286 non-overlapping bins until the remaining population did not have 100 neurons. For the FEF population, this resulted in 7 bins (ranked neurons 1-100, 101-200, 201-300, 301-400, 401-500, 287 288 and 501-600, and 601-700). For the 8Ar population, the number of 100-neuron bins was larger 289 due to the larger number of 8Ar neurons recorded.

290

291 Decoding accuracy and tuning selectivity. The selectivity of each 8Ar and FEF neuron was

292 computed during the time window of maximum decoding accuracy (see Comparison of

293 *decoding between 8Ar and FEF*) using a normalized vector strength metric (Smith et al 2002).

294 To measure the selectivity of each neuron's tuning curve, we calculated the complex summed

295 response vector (where  $i = \sqrt{-1}$ )

296 
$$v = \sum_{n=1}^{N} R_n e^{(i2\theta_n)}$$

Where  $R_n$  is the response magnitude during the delay period,  $\theta_n$  is the stimulus location, and n is an index from 1 to the number of points, 8, in the tuning curve. This was then normalized by the summed magnitude of all the response vectors:

300 
$$selectivity = \frac{|v|}{\sum_{n=1}^{N} |R_n|}$$

A selectivity of 0 corresponded to a neuron that fired for all conditions equally while a value of 1 indicated a neuron that responded exclusively to one condition. We ranked and grouped neurons based on their selectivity for the decoding analysis in the same manner described above for the reliability analysis.

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# 306 Results

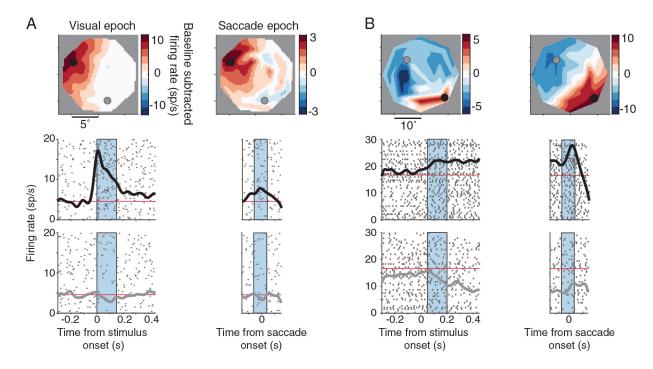
We recorded from 2511 8Ar neurons across 39 sessions and 889 FEF neurons across 50 sessions (see *Methods*) in four macaque monkeys while the animals performed a memory guided saccade task (Figure 1B). We sought to understand the principles by which visual and motor signals align and evolve over time during sensorimotor integration. By comparing two brain regions, one closer to the motor output and one further (Leichnetz & Goldberg 1988, Segraves & Goldberg 1987, Sommer & Wurtz 2000), we were able to directly compare the

strength and alignment of visual and motor signals from the appearance of a visual stimulus tothe execution of a saccade.

315

316 Spatial constancy in 8Ar single neurons

317 To understand how visual and motor signals are processed at the population level in 318 8Ar, we first wanted to ensure robust responses were observed at the single neuron level. 319 Previous studies examined visual (Funahashi et al 1989) and motor (Funahashi et al 1991) 320 responses in 8Ar during an oculomotor task, reporting a wide variety of response properties 321 including significant tuning for the visual, delay, and/or saccade epochs, ipsilateral and 322 contralateral tuning, and both excitation and suppression in delay period activity. Having visual 323 stimuli and saccades of numerous amplitudes and directions allowed us to form detailed 324 response fields in the visual and saccade epochs for all neurons recorded. In Figure 2, we show two example 8Ar neurons with large responses during the visual and saccade epochs. Of note, 325 326 each neuron had a spatially defined area of high firing rate (red) that remained localized to the 327 same region of retinotopic space between visual and saccade epoch - in other words, the tuning was aligned. We observed three key features of 8Ar neuronal responses that are evident 328 329 in the examples in Figure 2: (1) neurons exhibited both excitation and suppression relative to their baseline rate (particularly evident in Figure 2B), and regions of peak suppression tended to 330 331 be located 180 degrees away from regions of peak excitation, (2) neurons typically were tuned 332 in their responses smoothly across the whole tested visual field, as opposed to the punctate 333 receptive fields characteristic of early visual cortex, and (3) excitatory response regions could be 334 located either contralateral (Figure 2A) or ipsilateral (Figure 2B) to the recorded hemisphere.



336 Figure 2: Spatial constancy in 8Ar neurons. A) Top: Response field map for an example neuron during the visual and saccade epoch. Firing rate was baseline subtracted (170 ms to 20 ms before stimulus onset) such that red colors 337 338 indicate activity above baseline, blue colors activity below baseline, and white near baseline. Middle: PSTHs aligned to 339 stimulus onset and saccade onset for a condition close to the center of the response field (black circle). Bottom: PSTHs 340 aligned to stimulus onset and saccade onset for a condition in the opposite hemifield of the center of the response field 341 (grav circle). The blue shaded regions indicate the time period in which the firing rate was calculated during the visual 342 and saccadic epochs for the response field maps. This neuron had a robust visual and saccadic response that was 343 localized to the contralateral hemifield and was spatially congruent between the visual and saccade epoch. B) An 344 example neuron with a robust and spatially congruent visual and saccadic response localized to the lower portion of 345 the ipsilateral hemifield. Spatial locations opposite the center of the response field were suppressed below baseline. 346 Note: all response field maps were flipped such that the left hemifield represented the contralateral hemifield. Example 347 A was from monkey Wa and example B was from monkey Pe.

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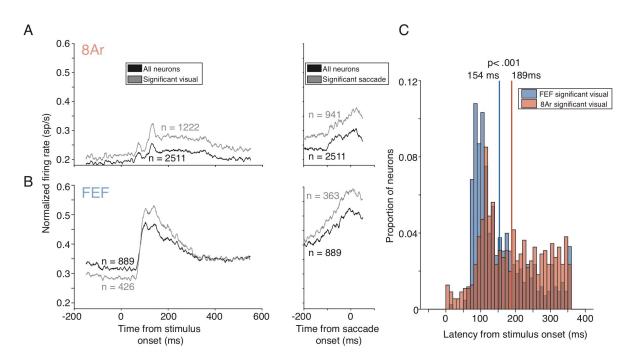
349 Response latency in FEF and 8Ar

350 Having confirmed that 8Ar neurons had distinct and spatially localized response fields in

both the visual and saccade epochs, we next identified the ideal time window to accurately

- 352 capture the visual and saccadic responses. Previous studies have demonstrated 8Ar visual
- responses can have a variety of time courses (Mikami et al 1982, Suzuki & Azuma 1983):
- transient bursts of excitation or suppression after stimulus onset or perisaccadically, sustained
- modulation throughout the entire delay period, or a combination thereof. We first examined the
- population PSTH aligned to the visual stimulus or saccade and measured the overall time

357 course of 8Ar activity. The 8Ar population had a small visual transient with a longer sustained 358 period of activity during the delay period, which then rose perisaccadically and peaked after 359 saccade onset (Figure 3A). This contrasted with the FEF population PSTH, which had a more 360 phasic visual transient at a shorter latency, as well as perisaccadic activity that peaked closer to 361 saccade onset (Figure 3B). To determine the latency of each neuron in response to a visual 362 stimulus and relative to a saccade, we calculated the variance across the target conditions in 50 363 ms windows (see *Methods*). To ensure a fair comparison between 8Ar and FEF latencies, the 364 same window width and epoch times were used. For neurons with significant visual responses 365 (p < .01, Kruskal-Wallis test), 8Ar had a significantly longer latency when compared to FEF 366 (Figure 3C, 8Ar mean = 189 ms, FEF mean = 154 ms; two sample t-test p < .001), consistent 367 with our visual observations of the PSTHs in the two areas. Our estimate in FEF was later than 368 other reports (such as (Mayo et al 2015, Schmolesky et al 1998), in part because our latency 369 metric measures peak tuning and not response onset as in some other studies. This latency 370 difference, combined with the visual comparison of the PSTHs, suggest a substantially more 371 robust and earlier visual response in FEF than in 8Ar.



373 Figure 3: Latency in 8Ar and FEF. A) Population PSTH for all 8Ar neurons (black line, n = 2511 neurons) and 374 significantly tuned 8Ar neurons (grey line; p < .001 Kruskal Wallis test) in the visual (left) or saccade (right) epoch. 375 Significant visual neurons (n = 1222 neurons) passed the significance test in the visual epoch while significant 376 saccade neurons (n = 941 neurons) passed the test in the saccade epoch. Each neuron's PSTH was normalized by 377 the maximum firing rate in either the visual/delay epoch (0 ms to 550 ms after stimulus onset) or the saccade epoch (-378 200 ms to 50 ms before saccade onset). B) Same convention as in A, but with all FEF neurons (black line; n = 889 379 neurons) and significantly tuned neurons (grey line) in the visual (n = 426 neurons) or saccade (n = 363 neurons) 380 epoch. The 8Ar population PSTH had less modulation with respect to baseline and a longer latency compared to FEF 381 in the visual and saccade epochs. C) Distribution of single neuron latencies for FEF (blue) and 8Ar (orange) during 382 the visual epoch. Only neurons with significant visual responses were included (8Ar = 1222 neurons; FEF = 426 383 neurons). The 8Ar distribution had a significantly longer visual latency compared to FEF (p < .001; two sample t-test).

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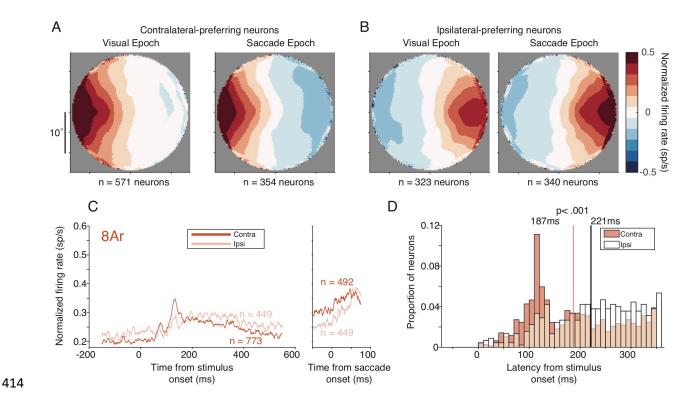
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### 385 Hemifield tuning differences in 8Ar

386 Previous 8Ar studies have found neurons tuned to stimuli in the ipsilateral visual field 387 (Funahashi et al 1989, Funahashi et al 1991), unlike most earlier visual areas which are entirely 388 contralateral or extend only minimally into the ipsilateral hemifield (Gattass et al 1981, Gattass 389 et al 1988). Our observations of individual example neurons (Figure 2) extend beyond a simple 390 classification of contralateral or ipsilateral - individual neurons demonstrated smoothly varying responses across the whole tested visual field. We sought to determine whether this 391 392 observation in individual neurons was representative of the entire population, and then asked 393 how the ipsilateral and contralateral representations of space differ in 8Ar neurons.

394 We first defined a neuron as ipsilateral or contralateral based on the location of the 395 center of mass calculated on the response that was elevated above baseline (the red region of 396 the response maps, see *Methods*). For each group (ipsilateral and contralateral), we rotated the 397 response field map of each neuron such that its center of mass was on the horizontal meridian. 398 Ipsilateral and contralateral tuned neurons were then combined separately to form a population 399 response field map for the visual epoch and the saccade epoch. For the contralateral tuned 400 population, suppression in the opposite hemifield was more apparent in the saccade epoch 401 compared to the visual epoch (Figure 4A). For the ipsilateral tuned population, suppression was 402 equally present in both visual and saccade epochs (Figure 4B). The observation of stronger 403 suppression in the ipsilateral tuned population compared to the contralateral tuned population 404 during the visual epoch agrees with a previous finding (Bullock et al 2017).

405 We then investigated the contralaterally and ipsilaterally tuned population PSTHs aligned to stimulus and saccade onset. For the visual epoch, the ipsilateral tuned population 406 407 had a later and weaker visual transient, coupled with a stronger sustained level of activity in the 408 delay period after the visual transient (Figure 4C). During the saccade epoch the ipsilateral 409 population began at a lower level of activity and increased more sharply perisaccadically. 410 Comparing the visual latency distributions, ipsilateral neurons had significantly longer visual latencies (p < .001, two sample t-test) and had a more uniform distribution of latencies 411 412 compared to the contralateral distribution, which had a clear peak around 187 ms (Figure 4D). 413



415 Figure 4: Hemifield tuning differences in 8Ar. A) Population response field maps for contralateral tuned neurons in the 416 visual (left) and saccade (right) epoch. Each neuron's response field map was normalized by the maximum response 417 and rotated to the horizontal meridian. All normalized and rotated maps were then averaged across neurons to yield 418 the population response field map. B) same convention as in A but for the ipsilateral tuned neurons. The ipsilateral and contralateral populations had similar suppression during the saccade epoch, however less suppression was observed 419 420 for the contralateral population during the visual epoch. C) Population PSTHs for contralateral (dark orange) and 421 ipsilateral (light orange) neurons aligned to stimulus onset or saccade onset. During the visual epoch, the ipsilateral 422 population PSTH had less modulation relative to baseline and a later latency than the contralateral population. During 423 the saccade epoch, the ipsilateral population PSTH had more modulation relative to baseline but still had a longer 424 latency. D) Distribution of latencies for contralateral (filled) and ipsilateral (open) neurons during the visual epoch. Only 425 neurons with significant visual responses were included (contralateral = 773 neurons; ipsilateral = 449 neurons). The 426 ipsilateral distribution had a significantly longer visual latency compared to the contralateral distribution (p < .001; two 427 sample t-test).

428 Dynamic selectivity in 8Ar

429 So far, we have demonstrated 8Ar neurons had a wide variety of spatial tuning and

430 latencies across the visual and saccade epochs. Given this variety, we sought to understand

- 431 how these visual and motor signals coexisted within 8Ar. One particularly intriguing aspect of
- dynamic selectivity in 8Ar, observed at the single neuron level, is an alteration of the spatial
- 433 response preferences during the delay period of a working memory task, often referred to as
- 434 mixed selectivity (Parthasarathy et al 2017, Spaak et al 2017). However, typical investigations of
- this property employed a limited set of conditions, displaying stimuli at only one eccentricity. Our

experimental paradigm tiled a substantially larger portion of visual space resulting in a more
detailed estimate of each neuron's visual and saccadic response field. Using this high
resolution, we sought to confirm the extent of dynamic selectivity across the population of 8Ar
neurons and determine if there were systematic rules by which this representation evolved over
the time period between the visual stimulus and the saccade.
We found that so-called mixed selectivity existed in a subset of 8Ar neurons, and
comparing single neuron examples illuminated subtle differences in how individual neurons

443 changed their tuning. For some neurons, the center of the response field shifted drastically

between the visual and motor epochs, such as a 90-degree rotation (Figure 5A). For other

neurons, the response field during the visual epoch broadened during the saccade epoch, such

that stimuli that were suppressed during the visual epoch became regions of peak activity during

the saccade epoch (Figure 5B). Finally, some neurons shifted the center of their response field

180-degrees, where the area of maximum activity during the visual epoch was suppressed

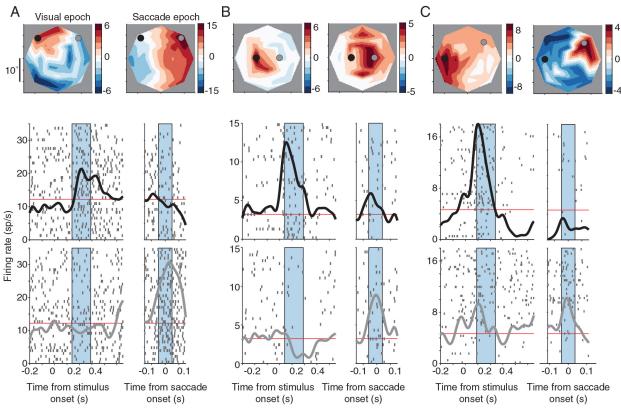
below baseline during the saccade epoch (Figure 5C). These results provide clear examples of

450 single neurons shifting their tuning preferences between the visual and saccade epochs and

451 highlight the diversity of spatial shifts observed across individual neurons.



453



454 Figure 5: Dynamic selectivity in single neurons. A) Top: response field map of the baseline subtracted firing rate for 455 an example neuron. Bottom: Average PSTH for a condition close to the center of the visual response field (black) and 456 close to the center of the saccade response field (grey). This example neuron was contralaterally tuned during the 457 visual epoch but rotated its response field 90-degrees to the ipsilateral hemifield during the saccade epoch. B) 458 Example neuron with contralateral tuning during the visual epoch, with the ipsilateral hemifield suppressed. During 459 the saccade epoch, the response field broadened such that the neuron fired above baseline for the condition that was 460 previously suppressed. C) Example neuron with a robust visual response in the contralateral hemifield that is 461 suppressed during the saccade epoch. The response field shifted nearly 180-degrees between the visual and 462 saccade epochs. Examples A and B were from monkey Pe, example C from monkey Wa.

463 The previous examples of mixed selectivity compared the tuning of individual neurons in 464 specific windows during the visual and saccade epochs. If the visual stimulus and saccade 465 signals are indeed separately coded in 8Ar, and the switch from a visual to a saccadic code 466 accounted for much of the diversity seen in the 8Ar neuronal response, we hypothesized the 467 maximum difference in the response field of the visual and saccade epochs should occur 468 between the peak of the visual response and the onset of the saccade. To determine whether 469 this was the case, we calculated the center of the response field in 50 ms windows throughout the entire trial (fixation onset to saccade onset). To understand how the center of the response 470

471 field shifted over the course of the trial, we subtracted the angle associated with the center of 472 the response field in the ideal visual epoch window (see *Methods*) from the angle associated 473 with the center of the response field calculated in sliding windows throughout the trial. If a 474 neuron maintained the spatial location of its visual response field throughout the entire trial, 475 subtracting by the preferred location would yield an angular difference of 0 throughout the trial 476 (Figure 6A, top). Conversely, if a neuron shifted its tuning during the saccade epoch, we would 477 predict the angular difference to be low in the visual epoch (as it is close to the ideal visual time 478 window) but increase as the time window approached the saccade (Figure 6A, bottom). The 479 same process was repeated for the ideal saccade window, where the center of the response field throughout the trial was subtracted by the ideal saccade window. These angular difference 480 curves throughout the trial were calculated for each neuron and then averaged across neurons 481 to yield a population metric. We found the maximum angular difference between the center of 482 mass at a given time in the trial and the ideal visual window corresponded to saccade onset, 483 484 while the minimum angular difference was observed around the mean visual latency of the population (180ms after stimulus onset) (Figure 6B, left). Conversely, the maximum angular 485 difference for the ideal saccade window was after stimulus onset, and the minimum angular 486 487 difference was at saccade onset (Figure 6B, right). Thus, the spatial shifts in 8Ar neurons were most obvious when comparing the visual and saccade-aligned responses. 488

Large shifts in the center of the response field could be confounded by neurons that did not fire in the other epoch, thus creating a noisy estimate of the center of the response field. To address this, we selected 8Ar neurons which were tuned both to the location of the visual stimulus as well as the saccade. We found those neurons in the population which had significant tuning (Kruskal Wallis test, p < .001) in at least 50% of the time points following the visual stimulus (50 ms to 350 ms after stimulus onset) and preceding the saccade (-100 ms to 0 ms before saccade onset). The rationale was these neurons maintained their tuning in the visual

and saccade epochs, and thus any shifts in tuning were not due to neurons that had a strong
spatial preference in one epoch but weak or noisy responses in the other. Within this
subpopulation, the same angular difference trends were maintained (Figure 6C). In summary,
the maximum shift in response fields occurred between the visual and saccade epochs and this
shift was not confounded by neurons that were untuned in either of the epochs. This is
consistent with the hypothesis that some of the rich dynamics observed in 8Ar emerge due to a
transition between separate visual and motor representations in the population.

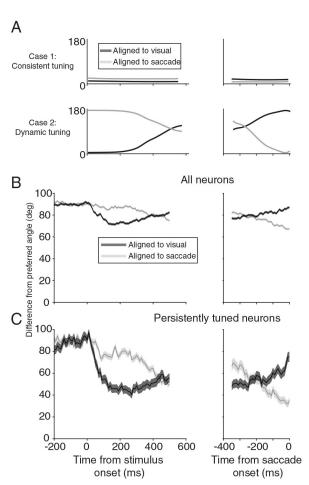


Figure 6:Time course of visual and motor selectivity. A) Illustration of the angular difference expected throughout the time course of the trial for an idealized neuron with consistent tuning (top) and dynamic tuning (bottom) between the visual and saccade epochs (with a zero-latency visual response). B) Angular difference for all 8Ar neurons (n = 2511 neurons) between the center of the response field at a specific time during the trial and the center of the response field for the ideal latency in the visual (black) or saccade (grey) epoch aligned to stimulus (left) or saccade (right) onset. C) same convention as in B however only for a subpopulation of neurons that had persistent significant tuning during the

511 visual and saccade epochs (n = 158 neurons). The time during the trial at which the response field center was furthest 512 from the response field calculated during the ideal visual latency was saccade onset. Conversely, the time during the 513 trial at which the response field center was furthest from the response field calculated during the ideal saccade latency 514 was in the 200ms following stimulus onset.

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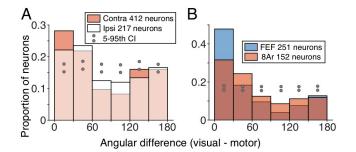
516 *Comparison between FEF and 8Ar* 

Based on the results presented up to this point, it is clear a subpopulation of 8Ar 517 neurons altered their tuning between the visual and saccade epochs even though the visual 518 519 stimulus and saccade endpoint were at the same spatial location. Furthermore, this was not simply due to a loss of tuning during one of the epochs. If mixed selectivity occurred in 8Ar, a 520 521 natural first step would be to determine whether this property was unique to a subpopulation of 522 8Ar neurons, such as the ipsilateral neurons that we found had longer response latencies and 523 different patterns of suppression opposite the response field. In addition, we considered whether other cortical regions exhibited similar changes in tuning, or whether this property was unique to 524 525 8Ar. To determine the relative prominence of neurons with shifting tuning in 8Ar, we compared 526 our observations at a population level with FEF. Given FEF is more closely linked to the 527 generation of saccades, we hypothesized more FEF neurons would have a congruent alignment 528 of their visual and motor signals compared to 8Ar.

529 We first asked whether there was any pattern to how 8Ar neurons shifted their response 530 profiles between the visual and saccade-related responses. To do this, we included only 531 neurons that were selective in both the visual and saccade epochs (p < .001; Kruskal Wallis 532 Test; Contra: n = 412 neurons; Ipsi: n = 217 neurons). We then computed the angular difference 533 between the center of the response field in the ideal visual and saccade epoch and binned 534 these angular differences in six 30° bins. We compared the distribution of these visual-motor 535 angular differences to a null distribution obtained by associating each neuron's preferred visual 536 response angle with the preferred saccadic response angle of a different neuron. We repeated 537 this process 1000 times to obtain the 5<sup>th</sup> and 95<sup>th</sup> confidence intervals for each 30° bin. For both

the contralateral and ipsilateral populations in 8Ar, we found that roughly half of the neurons had
visual and saccadic peak response angles within 60° (Figure 7A). Of the remaining neurons,
there was a tendency for a mirror inversion (> 120° shift) more often that an intermediate
rotation (60-120°).

To compare the shifts we observed in 8Ar with a baseline from an area that has been 542 studied extensively in visuomotor tasks, we matched our data in the two areas across conditions 543 and trial repeats (see *Methods*). This meant a subset of the 8Ar data were used (1 target 544 amplitude, 8 directions), and all FEF and 8Ar sessions were randomly subsampled to 40 trial 545 546 repeats per condition. The same method for calculating latency was used on this subsampled data from both areas to calculate the ideal visual and saccade response window and to identify 547 significantly tuned neurons (p < .001; Kruskal Wallis test; FEF n = 251 neurons, 8Ar n = 152 548 549 neurons). Our results in 8Ar with this reduced data set were similar to those obtained in the full 550 40-condition data (Figure 7B). As a population, FEF had a greater proportion of well-aligned 551 neurons (< 30° angular difference) than 8Ar (8Ar = 32%; FEF= 48%; p = .0014, Chi-square test). However, both areas contained a subset of neurons that reliably shifted their tuning 552 between the visual and saccadic epochs. 553



# 554

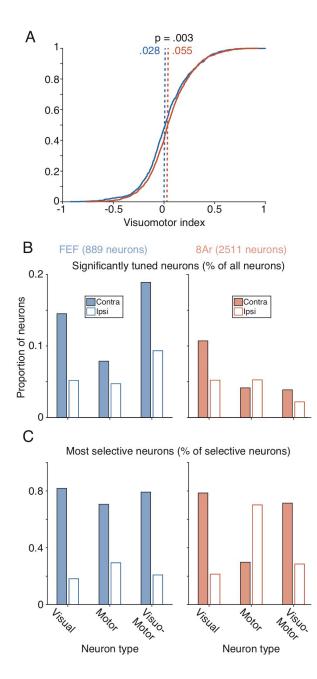
555 Figure 7: Tuning shifts in 8Ar and FEF subpopulations. A) Shifts in response field centers between the visual and saccade epoch as measured by angular difference for contralateral (filled) and ipsilateral (open) tuned neurons. Only 556 557 neurons with significant visual and saccade responses were analyzed (p<.01, Kruskal Wallis Test; Contra n = 412; 558 lpsi n = 217). 5th and 95th percent confidence intervals show the proportion of neurons expected for a given angular 559 difference by chance (upper and lower grey dots). Contralateral and ipsilateral populations had similar distributions 560 with respect to angular difference. Many neurons had consistent visual and saccade alignment, however a substantial 561 portion also shifted their tuning dramatically. B) same conventions as in A but comparing the FEF population to the 8Ar population. Some FEF neurons had substantial shifts in tuning, equivalent to the 8Ar population, however FEF 562 563 also had more neurons with congruent visual and motor response fields.

564

## 565 Visual and motor response properties in FEF and 8Ar

566 In addition to the spatial response profile, we sought to compare the relative strength of 567 visual and motor signals in 8Ar and FEF by computing a visuomotor index (VMI, see *Methods*) 568 across conditions for each neuron in the FEF and 8Ar populations. Broadly, these distributions 569 were guite similar in the two regions - most neurons exhibited some degree of visual and 570 saccadic responses, leading to distributions centered on zero. However, relative to the FEF 571 distribution, 8Ar was significantly (p = .003; two sample t-test) shifted toward 1, meaning 8Ar 572 neurons were more likely to have a stronger visual response compared to a saccade response 573 (Figure 8A). In addition to this difference in the ratio of visual and saccadic responses, FEF had 574 a larger proportion of significantly tuned neurons (p < .001 in the visual, motor, or both epochs: 575 Kruskal Wallis test) in visual, visuomotor, and motor groups (chi squared test; visual: p = .01; motor: p = .007; visuomotor: p < .001) (Figure 8B). When combining the three groups (tuned 576 577 visual, motor, and visuomotor) FEF also had a significantly higher proportion of contralaterally 578 tuned neurons (chi squared test, p = .002; FEF 68% contra, 8Ar 59% contra). The presence of 579 more ipsilateral tuned neurons in 8Ar was even more striking when considering only the most 580 directionally selective (see *Methods*) neurons in each population (Figure 8C). To obtain these selective neurons for a given visual/motor/visuomotor group, a neuron had to be significantly 581 582 tuned (p < .001; Kruskal Wallis test in one or both of the visual and saccadic epochs) as well as 583 have a directional selectivity (see Methods) that was at or above the 90<sup>th</sup> percentile for the 584 epoch. For most of the groups in both 8Ar and FEF, selective neurons had a strong contralateral 585 bias (FEF contralateral: visual 82%, motor 71%, visuomotor 79%; 8Ar contralateral: visual 79%, 586 motor 30%, visuomotor 71%). In FEF, this result is consistent with a previous study by our 587 group that found almost exclusively contralateral RFs in a population of neurons with brisk 588 visual responses to a dynamic dot stimulus (Mayo et al 2015). Interestingly, when considering

589	only these selective neurons, the 8Ar motor population had more ipsilateral than contralateral
590	neurons. Upon visual inspection of these ipsilateral tuned motor neurons, we noted many of
591	them had drastic shifts in their spatial responses between the visual and motor epochs, with
592	contralateral tuned visual responses (that did not pass the significance test for tuning in the
593	visual epoch). Overall, these results indicate that FEF neurons are more strongly tuned, and
594	more contralaterally biased, than 8Ar neurons, which have a more balanced representation of
595	space that also appears more likely to shift between the visual and motor epochs.



#### 596

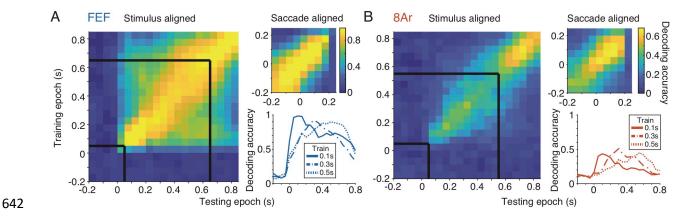
597 Figure 8: Visual/motor tuning in 8Ar and FEF. A) Cumulative distribution of visuomotor index for 8Ar and FEF 598 populations, all neurons included (FEF n = 889 neurons; 8Ar n = 2511 neurons). 8Ar neurons had a stronger visual 599 response when compared to the saccadic response. B) Distribution of visual, motor, and visuomotor neurons within 600 FEF (left) and 8Ar (right), normalized to the total number of neurons recorded. Groups were divided by spatial tuning 601 (contralateral: filled, ipsilateral: open). To be included a neuron needed to have significant tuning in at least one epoch 602 or both (visuomotor group). FEF had more tuned neurons for all groups (visual, motor, visuomotor). C) Same convention 603 as in B, but with the additional criterion that each neuron had to be in the 90th percentile or above ranked by directional 604 selectivity (FEF: visual = 22, motor = 17, visuomotor = 24; 8Ar: visual = 70, motor = 57, visuomotor = 12). A majority of 605 the most selective FEF neurons had contralateral tuning, while in 8Ar, highly selective motor neurons were more likely 606 to be ipsilateral tuned.

607

### 609 Decoding from 8Ar and FEF populations

Individual 8Ar neurons had numerous tendencies consistent with the mixed or dynamic 610 611 selectivity observed by other groups during working memory tasks. One approach to quantify 612 the effect of these changes in individual neurons is to apply decoding analyses to the whole 613 population (Astrand et al 2014, Barak et al 2010, Parthasarathy et al 2017, Spaak et al 2017, 614 Stokes et al 2013). An accumulation of tuning shifts across individual neurons would lead to a 615 spatial representation that did not generalize well across time. In such a situation, a decoder 616 built on data from one time point in the trial would do poorly in predicting target location at 617 another time point. Our results with individual neuron analyses in 8Ar and FEF led us to predict that the population-level signal in FEF would be more temporally generalizable than that in 8Ar. 618 We used a Poisson Naïve Bayes decoder, trained on neural activity from one time 619 620 window in the trial, and tested on all other time points during the trial (see *Methods*). We combined neurons across recording sessions to create a pseudo-population in FEF and 8Ar, 621 622 using 8 conditions (1 amplitude, 8 directions) and, to normalize across sessions, 40 trial repeats 623 randomly selected from each condition with the trial ordering shuffled for each neuron to destroy any correlations between neurons. All decoding accuracies reported were the average across 624 625 the eight conditions, and standard errors were computed across cross-validation folds. For comparisons between FEF and 8Ar, the 8Ar pseudo-population was randomly subsampled to 626 627 match the number of neurons in the FEF pseudo-population, unless stated otherwise. 628 Overall decoding performance, as well as generalizability across time, was higher in the 629 FEF pseudo-population compared to 8Ar. For FEF, decoding was highest shortly after visual 630 onset and around the time of the saccade, but also maintained a high accuracy throughout the 631 delay period (Figure 9A). The generalizability of the FEF population code was seen by 632 examining bins on the off-diagonal, where the training and testing epochs were temporally 633 separated. The decoder trained using FEF activity was more generalizable when compared to

634 8Ar (Figure 9B). We took a cross-section of the decoding performance map and evaluated the testing accuracy across the trial when the training bin was held constant (Figure 9, inset line 635 graphs). If activity in a given training bin was generalizable across time, the resulting accuracy 636 637 curves would be broad, while non-generalizable activity would have a sharp peak corresponding to when the training and testing bins temporally aligned. The accuracy curves for 3 training bins 638 639 throughout the delay period were higher and broader for FEF compared to 8Ar, suggesting the FEF population code had a more accurate readout of the stimulus/saccade encoded during a 640 trial, and that the code was more generalizable throughout the trial. 641



643 Figure 9: Decoding in 8Ar and FEF. A) Decoding performance of the FEF pseudo-population (n = 770 neurons) for 644 various training and testing points throughout the trial, aligned to stimulus onset or saccade onset (inset). Black lines 645 denote the beginning and end of the delay epoch. B) same convention as in A, but with a 8Ar pseudo-population 646 randomly subsampled to have the same size as the FEF pseudo-population (n = 770 neurons of 1722 neurons). Inset) 647 cross-sections of decoding accuracy, where the training bin was fixed to one of three points during the delay period 648 (0.1, 0.3, or 0.5 seconds after stimulus offset) and the testing bins varied across the entire trial. Decoding accuracy was 649 highest for training and testing points that were temporally in the same bin, particularly after stimulus onset and around 650 the time of the saccade. FEF had a higher overall decoding accuracy and a higher accuracy for training and testing 651 points that were temporally separated.

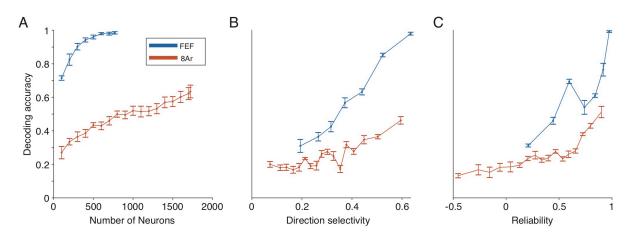
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To understand why the FEF decoder performed better than the 8Ar decoder, we related decoding accuracy to three basic properties of the pseudo-population: the number of neurons in the population, the direction selectivity of the neurons, and their reliability in response (the correlation in tuning curves between even and odd trials, see *Methods*). For this analysis, one time point with the highest decoding accuracy after stimulus onset was selected for testing and 658 training (FEF: 100-150 ms after stimulus onset, 8Ar 150-200 ms after stimulus onset). We first 659 examined decoding accuracy as a function of the number of neurons in the pseudo-population. 660 Across all pseudo-population sizes, the FEF decoder performed better than the 8Ar decoder 661 (Figure 10A). Starting with a population of 100 neurons, the FEF decoder increased in accuracy 662 as more neurons were added and began to asymptote at 100% accuracy for populations over 663 500 neurons. The 8Ar population started at an overall lower accuracy level and monotonically 664 increased as more neurons were added, but the decoder never reached the accuracy of even the smallest population of FEF neurons we tested (FEF accuracy, 100 neurons 71.5%; 8Ar 665 666 accuracy 1722 neurons 63.5%).

Knowing that a decoder trained on activity from a small population of FEF neurons (100 667 neurons) could outperform one trained on the entire 8Ar population (1722 neurons) we 668 669 examined what individual response properties could lead to such a wide margin in decoding. We first examined direction selectivity, a measure of tuning across the 8 conditions (see 670 671 *Methods*). One possibility is that the neurons in FEF were merely more selective, and therefore the population of FEF neurons produced better decoding. For each pseudo-population (FEF and 672 8Ar), each neuron was ranked by their selectivity. Then, nonoverlapping groups of 100 neurons 673 674 were chosen starting with the most selective. Decoding accuracy increased with the directional selectivity of the neurons for both FEF and 8Ar. When we compared subpopulations where the 675 676 average selectivity of the 100 neurons was the same, FEF decoding accuracy was still larger 677 than 8Ar (Figure 10B). However, the much smaller difference in decoding between FEF and 8Ar 678 in matched selectivity groups indicates that one reason for the better decoding in FEF was that 679 FEF neurons were, on average, more selective than 8Ar.

680 We considered a second response property that could influence decoding, which was 681 the reliability of the neurons from trial to trial for the same stimuli (see *Methods*). Using the same 682 methodology as for selectivity, we ranked the neurons and measured decoding in groups of

100. As with selectivity, decoding accuracy increased with reliability for both FEF and 8Ar populations. In groups of neurons matched by their reliability, FEF decoding performance was closer to, yet still slightly exceeding 8Ar performance (Figure 10C). Taken together, these analyses show that the overall higher selectivity and reliability in FEF neurons are important contributors to the higher ability to decode from small populations in FEF compared to 8Ar.





689 Figure 10: Decoding accuracy with single neuron properties. A) Decoding accuracy in FEF (blue) and 8Ar (red) as a 690 function of the size of the pseudo-population. Even the smallest FEF population tested (100 neurons) performed 691 better than the entire sample of 8Ar neurons (1722 neurons). B) Decoding accuracy for groups of 100 neurons (non-692 overlapping) as a function of direction selectivity. For both FEF and 8Ar, as the mean direction selectivity of the 693 population increased, the decoding accuracy increased. The difference in decoding accuracy between 8Ar and FEF 694 neurons decreased when matched for direction selectivity, but the FEF populations still maintained a higher decoding 695 accuracy. C) Same convention as in B but matched for reliability. Similar to the direction selectivity results, decoding 696 accuracy increased as reliability increased, and the difference in decoding accuracy between FEF and 8Ar was 697 reduced when matched for reliability, but the decoding performance in FEF remained higher than 8Ar.

698

#### 699 Discussion

The transition from perception of a visual stimulus to the generation of a saccadic eye

701 movement is fundamental to primate behavior and has been an important model system for

studying the broader process of sensorimotor integration. Using a memory guided saccade task

- that separates responses due to the visual stimulus from those associated with the eye
- 704 movement, we studied the dynamics of high-resolution visual and motor representations in 8Ar
- and FEF. These two cortical regions have been implicated as important in sensorimotor
- transformations, particularly in the context of spatial working memory, with FEF situated closer

to the motor output, with its direct connections to the superior colliculus (Leichnetz et al 1981,
Segraves & Goldberg 1987, Sommer & Wurtz 2000) and brain stem oculomotor nuclei (Huerta
et al 1986, Leichnetz et al 1984), and 8Ar more removed (but see also Borra et al (2015)). We
found 8Ar neurons display a rich set of response properties that were not frequently observed in
FEF, suggesting an important distinction in how these two areas function during perception and
action.

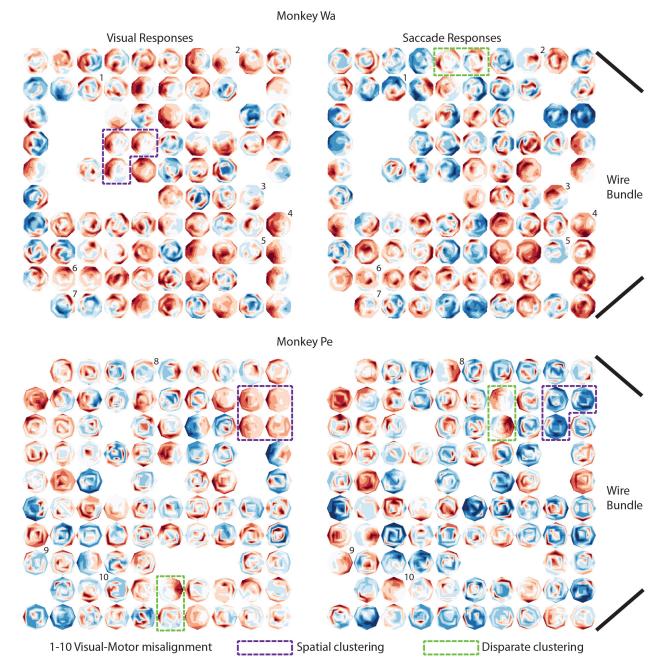
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714 Visual field representation

715 We found that 8Ar neurons in one hemisphere represent the entire visual field. This 716 representation is achieved not only by an enhancement of activity above baseline (a more 717 traditional receptive field), but also through spatially tuned suppression below baseline (an 718 inversion of the traditional receptive field). These regions of excitation and suppression spanned 719 both hemifields, such that a typical 8Ar neuron provided spatial information about the entire 720 visual field rather than a small region typical of receptive fields in early visual cortex. Regions of 721 excitation in 8Ar could be in either the contralateral or ipsilateral hemifield, with suppressive 722 areas typically located directly opposite (by 180°). While nearby neurons in some cases had 723 spatially similar receptive fields, we observed no distinct pattern of topographic organization 724 across the electrode arrays (Figure 11). Taken together, these observations suggest a highly 725 distributed representation of space in 8Ar.

In visual and oculomotor areas, neurons primarily represent the contralateral hemifield.
This includes FEF (Bruce & Goldberg 1985, Mayo et al 2015), LIP (Ben Hamed et al 2001, Blatt
et al 1990, Patel et al 2010), SC (Cynader & Berman 1972, Goldberg & Wurtz 1972, Schiller &
Koerner 1971), and SEF (Schlag & Schlag-Rey 1987). Some cortical areas show evidence of
ipsilateral tuning, such as MT (Gattass & Gross 1981, Van Essen et al 1981), FST (Desimone &
Ungerleider 1986), LIP (Dunn & Colby 2010), SEF (Schall 1991, Schlag & Schlag-Rey 1987),

732 and IT (Ungerleider 1983), although ipsilateral responses in these areas are typically confined to 733 regions of space that are just across the vertical meridian. Our findings of ipsilateral tuning are 734 consistent with earlier reports in 8Ar of visual, delay, and saccadic responses (Funahashi et al 735 1989, Funahashi et al 1990, Funahashi et al 1991, Mikami et al 1982, Suzuki & Azuma 1983). Some previous studies in 8Ar have identified neurons that are suppressed below baseline, 736 737 primarily opposite the receptive field (Bullock et al 2017, Kiani et al 2015), as well as a medial-738 lateral topography for visual eccentricity (Suzuki & Azuma 1983) that mirrors that in FEF (Bruce 739 et al 1985). We did not observe a strong topography in our recordings, but did observe local 740 clustering consistent with a previous report (Leavitt et al 2017), evident from our observation of nearby neurons with similar RFs (Figure 11, dashed purple outline). It is likely that some of the 741 742 variability in previous observations of topography within 8Ar is due to sparse spatial mapping in 743 concert with the rich spatial structure of excitation and suppression.



745

746 Figure 11: Topography of visual and motor responses in 8Ar. Response field maps for one example session in monkey Wa (top) 747 and Pe (bottom) during the visual (left) and saccade (right) epochs. As with previous response field maps, red colors 748 corresponded to activity above baseline, blue below baseline, and white near baseline. The spatial location of each neuron 749 corresponds to its position on the electrode array. The arrays are oriented with the wire bundle coming from the right side of the 750 figure (refer to Figure 1 for the orientation with respect to the brain). Very roughly, the bottom right of the arrays in these 751 figures were most anterior, with the top right being medial. If multiple neurons happened to be recorded on the same electrode, 752 the neuron with largest modulation depth (maximum firing rate – minimum firing rate) was used. Colored boxes highlight 753 illustrative examples of neurons located physically near each other (i.e., recorded with adjacent electrodes) with the same tuning 754 (purple) or disparate tuning (green). Black numbers identify neurons with mixed selectivity between the visual and motor 755 epochs.

## 757 Transition from visual to motor responses in 8Ar and FEF

758 Our comparison of 8Ar and FEF, from the presentation of a visual stimulus to the 759 execution of an eye movement, revealed key differences in the properties of these two cortical 760 regions that are highly interconnected (Huerta et al 1987, Stanton et al 1993, Stanton et al 761 1995). Visual latencies for 8Ar neurons were slower on average with a broader range than FEF, 762 and the tuning across the population was weaker in all groups (visual, motor, visuomotor) 763 compared to FEF. This led to lower overall decoding accuracy in 8Ar compared to FEF, due to 764 the relatively poorer direction selectivity and reliability of 8Ar neurons. Importantly, we observed 765 many 8Ar neurons changed their tuning between the visual and motor epochs, with a 766 sometimes striking misalignment between their preferences in these two periods of time that 767 was revealed by the dense spatial mapping protocol we employed. These results in 8Ar are 768 consistent with a transition from visual to motor representations that are instantiated with a 769 different mixture of neurons and activity. Evidence for a similar misalignment in preferences has 770 been previously reported in both FEF (Sajad et al 2015, Sajad et al 2016) and SC (Sadeh et al 771 2015) neurons in head unrestrained monkeys, where visual and movement responses most strongly encode target and gaze, respectively. Our findings reflect an even more fundamental 772 773 misalignment between visual and motor target signals in some neurons.

774 The alignment of visual and motor signals in a neuronal population could be beneficial in 775 areas close to the motor output, as a direct mapping provides an efficient means for processing 776 information and generating a rapid movement. This circuitry seems to be implemented in SC, 777 where visual and movement activity is spatially aligned (Wurtz & Goldberg 1972). Given the 778 strong, topographic descending projections from FEF to SC (Stanton et al 1988), and the 779 observation that microstimulation in FEF elicits saccades at very low currents (Bruce et al 780 1985), such an alignment might also be expected in FEF. Indeed, when comparing FEF to 8Ar, visual and motor signals were more aligned in FEF. Why might different strategies be 781

782 implemented in neighboring cortical regions that share involvement in important visuomotor 783 behavior? Despite their proximity and interarea connectivity profile, lesions to FEF and 8Ar have 784 resulted in differentiable deficits. In an anti-saccade task, for example, lesions in adjacent area 785 46 of PFC result in an increased percentage of errors (Pierrot-Deseilligny et al 1991, Ploner et 786 al 2005) while in FEF there is an unchanged error rate but an increased saccade latency 787 (Fukushima et al 1994). Another study which directly compared 8Ar and FEF during a distractor 788 task, found the FEF code was more generalizable (in agreement with our findings), and that the 789 8Ar code morphs to account for the distractor and still preserve information about the stimulus 790 (Parthasarathy et al 2017). A comparison of 8Ar with lateral intraparietal cortex (LIP), an 791 oculomotor region strongly connected with FEF (Barbas & Mesulam 1981, Ferraina et al 2002, 792 Medalla & Barbas 2006), found relatively stable decoding of LIP activity over time and a more 793 dynamic 8Ar code that was more robust in the presence of distractors (Meyers et al 2018). Our 794 observations of the tuning properties of these two regions, and their differing alignment between 795 visual and motor codes, are consistent with 8Ar playing an important role in more flexible (and 796 less reflexive) visuomotor behaviors. One possible advantage of misalignment between the code for a visual stimulus and for the execution of an eye movement could be to avoid one 797 798 signal contaminating the other, enabling an animal to resist moving its eyes to the location of a salient visual stimulus. 799

800

801 Interpretation of dynamic selectivity & implications for working memory models

The first studies investigating the neural correlates of working memory in PFC observed elevated spiking during the memory or delay period (persistent activity), and concluded this was the source of the working memory signal (Fuster & Alexander 1971, Kubota & Niki 1971). However, later work demonstrated many PFC neurons were transiently activated (Romo et al 1999, Warden & Miller 2007, Zaksas & Pasternak 2006) and at the population level, the code

807 appeared to be not persistent, but dynamic (Barak et al 2010, Meyers et al 2008, Stokes et al 808 2013). This body of work has contributed to a vigorous debate on how working memory is 809 represented in cortex, with some supporting a persistent model (Constantinidis et al 2018) and 810 others a dynamic model (Lundqvist et al 2018). While both groups agree activity during the 811 memory epoch is important and that there exists dynamic tuning at the level of single neurons, 812 they differ in what aspects of the activity are proposed to underlie the working memory signal. 813 One model suggests the working memory signal lies in a stable subspace, permitting a fixed 814 population readout despite the dynamics of individual neurons (Murray et al 2017). Others 815 suggest the dynamics are how the memory is encoded, either through an "activity silent" 816 mechanism (Stokes 2015) or through sparse coordinated spiking facilitated by oscillations in 817 local networks (Lundqvist et al 2016).

818 Our study remains agnostic to which is the appropriate model for working memory, and instead focuses on potential origins of dynamic delay activity in individual neurons and 819 820 populations. We found that much of the dynamic evolution of activity in 8Ar could be explained 821 by the transition between representations of perceptual input and motor output that involve different mixtures of neurons in the population. That is, the dynamics we observed were not 822 823 random fluctuations in the activity of individual neurons during the delay period, but rather the transition between two separate spatial tuning functions for visual input and motor output. This 824 825 suggests the 8Ar code is stable but dynamic, a similar interpretation to Spaak et al (2017). 826 Because lateral PFC as a whole has been implicated in a wide range of sensory and cognitive 827 behavior (Tanji & Hoshi 2008), this leads to the speculation that apparent dynamics in 8Ar may 828 be explained by other task variables for which individual neurons are tuned, such as differing 829 sensory input and motor output modalities, reward anticipation, spatial attention, and more. 830 Dynamics at the level of individual neurons could be a natural consequence of the 831 implementation of such a flexible input and output structure instantiated in an overlapping

fashion in a population of neurons. In such an environment, stable population readouts might be achieved in a manner that allows the stored memory item to be separated from the other variables concurrently represented in the network (Murray et al 2017, Rigotti et al 2013).

835

836 Limitations of this study

837 The design of the current study incorporated two choices that are worthy of discussion here. First, our targets were concentrated in the central 30° of visual angle (up to 15° saccade 838 839 amplitudes in 8 directions) in our 8Ar recordings, and fixed at 10° saccade amplitudes (8 840 directions) in FEF (a compromise eccentricity effective in driving responses for many neurons in the region of our FEF electrode tracks). The seminal work measuring topography in 8Ar (Suzuki 841 & Azuma 1983) reported a medial-lateral gradient with smaller, more foveal RFs located 842 laterally and larger, more eccentric RFs located medially. In the region just dorsal to the 843 principal sulcus, where our arrays were implanted, they recorded from some neurons with RFs 844 845 that were centered beyond the extent of our target array. Thus, in some cases we may have recorded from 8Ar neurons in which we found weak or absent tuning merely because we did not 846 present stimuli at the ideal location for each neuron. A hint of this can be seen in our response 847 maps (Figure 11), where some neurons exhibit tuned responses at the edges of the tested 848 region. Prior to establishing the target array tested here we did test each animal with larger 849 850 eccentricity targets (up to 20-25°), and did not observe gualitatively better tuning at the 851 population level, although some individual neurons did have tuning at those eccentricities. 852 Moreover, our findings closely mirror recent studies of 8Ar (Bullock et al 2017, Kiani et al 2015). 853 in terms of the implanted array locations and targets tested. Although the overall trend in this 854 previous work supports a medial-lateral gradient in RF eccentricity, the relatively weak 855 clustering of receptive field location is evident in the large scatter of RF centers we observed for 856 even neighboring electrodes (Figure 11). Overall, our experiments were performed with the goal

of identifying the response properties of neurons in 8Ar and FEF to a canonical set of stimuli for which the population was tuned, not necessarily the ideal stimuli for every neuron in the population. Thus, we cannot rule out that some of the differences we observed between 8Ar and FEF were due to the choices we made in the target locations we tested or sampling differences in our recordings of the two areas.

862 Our task was designed with a fixed 0.2 s pre-stimulus delay period prior to stimulus onset, and a fixed post-stimulus delay period of 0.5 s (or 0.6 s for FEF). We chose this fixed 863 864 delay to maintain as constant a trial structure as possible, but this could have led to influence of 865 the post-saccadic response on the baseline firing rate prior to the stimulus, as well as 866 anticipatory saccade preparation toward the end of the delay period. With a much longer pre-867 stimulus delay (0.65 s). Bullock et al (2017) also reported suppressive regions in the RF often located opposite excitatory regions, and shifts in tuning between visual and perisaccadic 868 epochs. Furthermore, we found suppressive regions often did not directly oppose excitatory 869 870 regions (e.g., Figure 5A), making it unlikely that post-saccadic response alone could explain the 871 suppression. Because our delay period was fixed, subjects could have begun saccade planning prior to the end of the delay period at fixation offset. This paradigm is known to contribute to 872 873 early buildup in motor preparatory activity in the superior colliculus (Dorris & Munoz 1998), and thereby might have contributed to the rapid timescale over which we observed the transition 874 875 from a visual to motor code in the neuronal population. However, such an effect would not have 876 produced the pattern of excitatory and suppressive responses or the spatial shifts in RF tuning 877 that we observed.

878

879 Conclusions

880 Our results extend the literature in three key ways. First, due to the spatial resolution of 881 our task, we were able to with high fidelity map the visual and saccadic responses of

882 populations of 8Ar single neurons. We found a rich pattern of excitatory and suppressive 883 responses in 8Ar that represented the entire visual field through contralateral and ipsilateral 884 tuning. Second, the observed tuning shifted between epochs, quite often to the opposite 885 hemifield, indicating what may be perceived as random dynamics are actually the result of a 886 transition between a visual and motor code. Finally, we compared single neuron and population 887 level responses from 8Ar and FEF, highlighting the unique dynamics of individual neurons and 888 the population code in 8Ar even in a simple memory guided saccade paradigm. Taken together, 889 these results demonstrate rich, but separate, visual and saccadic spatial representations in PFC 890 underlie its flexible role in sensory and motor behavior.

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