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2 Insights into the origin of metazoan multicellularity from predatory unicellular relatives of

3 animals

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18

# 19 Abstract

20 The diversity and biology of unicellular relatives of animals has strongly informed our 21 understanding of the transition from single-celled organisms to the multicellular Metazoa. Here 22 we analyse the cellular structures and complex life cycles of the novel unicellular holozoans 23 *Pigoraptor* and *Syssomonas* (Opisthokonta). Both lineages are characterized by complex life 24 cycles with a variety of cell types, the formation of multicellular aggregations and syncytium-25 like structures, and an unusual diet for single-celled opisthokonts (partial cell fusion and joint 26 sucking of a large eukaryotic prey), all of which provide new insights into the origin of 27 multicellularity in Metazoa. The ability to feed on large eukaryotic prey could have been a 28 powerful trigger in the formation and development both aggregative (e.g., joint feeding, which also implies signalling) and clonal (e.g., hypertrophic growth followed by palintomy) 29 30 multicellular stages that played important roles in the emergence of multicellular animals.

31

# 32 Introduction

The origin of animals (Metazoa) from their unicellular ancestors is one of the most important evolutionary transitions in the history of life. Questions about the mechanisms of this

35 transformation arose about 200 years ago, but is still far from being resolved today. Most 36 investigations on the origin of Metazoa have focused on determining the nature of the shared, multicellular ancestor of all contemporary animals (Moroz et al., 2014; Srivastava et al., 2008; 37 38 2010). However, even the branching order of early, non-bilaterian lineages of animals on 39 phylogenetic trees is still debated: some consider either sponges (Porifera) (Feuda et al., 2017; 40 Philippe et al., 2009; Simion et al., 2017;) or Ctenophora (Dunn et al., 2008; Ryan et al., 2013; 41 Whelan et al., 2015) or Placozoa (Schierwater et al., 2009; Signorovitch et al., 2007;) to be the 42 first branch of extant metazoans. While molecular clock-based studies and paleontological 43 evidence indicate that multicellular animals arose more than 600 million years ago (Maloof et 44 al., 2010; Sharpe et al., 2015), we know less about how animals arose. To establish the sequence 45 of events in the origin of animals from unicellular ancestors, we also need to investigate their 46 closest relatives, the unicellular opisthokont protists. Information on the diversity and biology of 47 the unicellular relatives of animals, their placement within the phylogenetic tree of opisthokonts, 48 and the identification of molecular and morphological traits thought to be specific for animals 49 within their unicellular sisters, have all strongly informed our understanding of the transition 50 from single-celled organisms to the multicellular Metazoa (King et al., 2008; Suga et al., 2013; 51 Suga, Ruiz-Trillo, 2013; Torruella et al., 2015).

52 Until recently, only three unicellular lineages, the choanoflagellates, filastereans, 53 ichthyosporeans, as well as Corallochytrium limacisporum, a mysterious marine osmotrophic 54 protist described in association with corals, have been described as collectively being sisters to 55 animals. Together with animals they form the Holozoa within the Opisthokonta (Aleshin et al., 56 2007; Lang et al., 2002; Torruella et al., 2015). These unicellular organisms have extremely 57 variable morphology and biology. Choanoflagellates represent a species-rich group of filter-58 feeding, bacterivorous, colony-forming protists, which possess a single flagellum surrounded by 59 a collar of tentacles (microvilli). They are subdivided into two main groups – the predominantly 60 marine Acanthoecida and the freshwater and marine Craspedida (Carr et al., 2017). Filastereans 61 are amoeboid protists producing pseudopodia. Until recently, they were represented by only two 62 species: the endosymbiont of a freshwater snail, *Capsaspora owczarzaki*, and the free-living 63 marine heterotroph, Ministeria vibrans (Hertel et al., 2002; Shalchian-Tabrizi et al., 2008), 64 which was recently shown to also possess a single, real flagellum (Mylnikov et al., 2019). 65 Ichthyosporeans are parasites or endocommensals of vertebrates and invertebrates characterized 66 by a complex life cycle, reproduction through multinucleated coenocytes colonies and flagellated 67 and amoeboid dispersal stages (Suga, Ruiz-Trillo, 2013). Corallochytrium is a unicellular coccoid organism, which produces rough, raised colonies and amoeboid limax-like spores 68

69 (Raghukumar, 1987.). Additionally, molecular data predict a cryptic flagellated stage for
70 *Corallochytrium* (Torruella et al., 2015).

A large number of hypotheses about the origin of multicellular animals have been 71 72 proposed. The most developed model for the origin of metazoan multicellularity is based on a 73 common ancestor with choanoflagellates (James-Clark, 1866; Ivanov, 1967; King et al., 2008, 74 Mikhailov et al., 2009; Nielsen, 1987, Ratcliff et al., 2012). This idea was initially based on the 75 observed similarity between choanoflagellates and specialized choanocyte cells in sponges. 76 Molecular investigations also supported the idea by consistently indicating that choanoflagellates 77 are the closest sister group to Metazoa. However, molecular phylogeny itself does not reveal the 78 nature of ancestral states, it only provides a scaffolding on which they might be inferred from 79 other data. The evolutionary positions of the other unicellular holozoans (filastereans, 80 ichthyosporeans, and *Corallochytrium*) are less clear and sometimes controversial (e.g. Cavalier-81 Smith, Chao, 2003; del Campo, Ruiz-Trillo, 2013; Hehenberger et al., 2017; Medina et al., 2003; 82 Ruiz-Trillo et al., 2008; Shalchian-Tabrizi et al., 2008; Torruella et al., 2012, 2015;).

As noted above, many molecular traits that were thought to be "animal-specific" are now known to be present in unicellular holozoans, while conversely the loss of other traits have been shown to correlate with the origin of the animals. But gene content alone is not sufficient to provide a comprehensive understanding of the cell biology, life cycle and regulation capabilities of the unicellular ancestor, it requires also analysis of the biology of the extant unicellular relatives of animals (Sebé-Pedrós et al., 2017).

89 Recently we described a phylogenomic and transciptome analyses of three novel 90 unicellular holozoans (Hehenberger et al., 2017), which are very similar in morphology and life 91 style but not closely related. Pigoraptor vietnamica and Pigoraptor chileana are distantly related 92 to filastereans, and Syssomonas multiformis forms a new phylogenetic clade, "Pluriformea", with 93 *Corallochytrium.* Both new genera of unicellular holozoans form the shortest and most slowly 94 evolving branches on the tree, which improved support for many nodes in the phylogeny of 95 unicellular holozoans. Also, comparison of gene content of the new taxa with the known 96 unicellular holozoans revealed several new and interesting distribution patterns for genes related 97 to multicellularity and adhesion (Hehenberger et al., 2017).

Here we report the detailed morphological and ultrastructural analyses of these new species, as well as describing their life cycle in culture, which are important implications for understanding the origin of animals as are the genetic analyses. All three species are shown to be predatory flagellates that feed on large eukaryotic prey, which is very unusual for unicellular Holozoa. They also appear to exhibit complex life histories with several distinct stages, including interesting multicellular structures that might offer important clues as to precursors of 104 multicellularity. On the basis of these findings we discuss the current hypotheses about the origin

105 of multicellular animals from their unicellular ancestors.

106

# 107 **Results and discussion**

108

109 Detailed morphological descriptions of the cells and their aggregates are presented below.

Syssomonas multiformis Tikhonenkov, Hehenberger, Mylnikov et Keeling 2017

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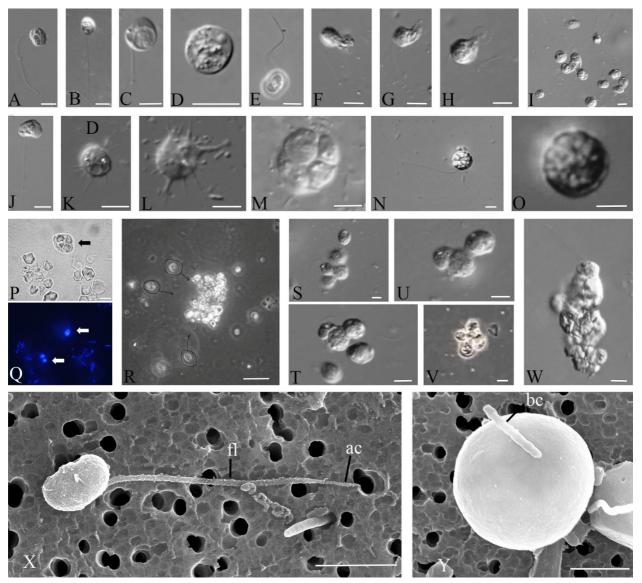
# 113 Morphology and life cycle

114 The organism is characterized by a large variety of life forms including flagellates, 115 amoeboflagellates, amoeboid non-flagellar cells, and spherical cysts. The most common stage in 116 the life cycle, a swimming flagellate cell, resembles a typical opisthokont cell, reminiscent of 117 sperm cells of most animals and zoospores of the chytrid fungi. Cells are round-to-oval and 118 propel themselves with a single, long posterior flagellum (Fig. 1A-C, X). The flagellum is 119 smooth and emerges from the middle-lateral point of the cell, turns back and always directs 120 backwardduring swimming. The cell rotates during swimming (Video 1). Flagellar beating can 121 be very fast, which can create the appearance of two flagella. Motile flagellates can suddenly 122 stop and change the direction of movement. The flagellated cells measure 7-14 µm in diameter. 123 The flagellum length is 10-24, rarely 35  $\mu$ m. Cyst diameter is 5  $\mu$ m (Fig. 1D, Y).

124 Solitary cells of Syssomonas can temporary attach to the substrate by the anterior part of 125 the cell body. They produce water flow by rapid flagellum beating posteriorly and in that state 126 resemble cells of choanoflagellates or choanocytes from sponges (Fig. 1E, Video 2). Floating 127 flagellated cells can also move to the bottom and transform to amoeboflagellates (Fig. 1J, Video 128 3) by producing both wide lobopodia and thin short filopodia. Flagellar beating becomes slower 129 and then stops. Amoeboflagellates crawl along the surface using their anterior lobopodia and can 130 take up clusters of bacteria. The organism can lose the flagellum via three different modes: the 131 flagellum may be abruptly discarded from its proximal part of the cell; a stretched flagellum may 132 be retracted into the cell; the flagellum may convolve under the cell-body and then retract into 133 the cell as a spiral (Video 4). As a result Syssomonas turns into an amoeba (Fig. 1K,L, Video 4). 134 Amoeboid cells produce thin, relatively short filopodia and sometimes have two contractile 135 vacuoles. Amoeboid cells are weakly motile. The transformation of amoeboflagellates and 136 amoebae back to flagellates was also observed.

Amoeboid cells can also retract their filopodia, become roundish and transform into a cyst
(Fig. 1D, Video 5). Palintomic divisions may occur inside the cyst and up to 16 (2, 4, 8, or 16)

- 139 flagellated cells are released as a result (Fig. 1M, Video 6). Division into two cell structures was
- 140 also observed in culture (Video 7), but it is hard to tell whether a simple binary longitudinal
- 141 division of a Syssomonas cell with retracted flagellum has taken place, or the final stage of a
- 142 division inside the cyst has been observed.



144 Fig.1. External morphology and life forms of Syssomonas multiformis. A-C – swimming 145 flagellated cells; D - cyst; E - attached flagellated cell; F-H - sucking of eukaryotic prey; I simultaneous joint feeding of three cells of Syssomonas on one prey cell with attaction of other 146 147 speciment to the feeding spot; J - amoeboflagellate; K,L - amoeboid cell; M - palintomic celldivision inside the cyst; N - cell with inside vesicules; O - cyst with vesicules; P,Q - cells of 148 149 Syssomonas engulfed starch granules (bright field (P) and fluorescent microscopy, DAPI staining); R - cells of Syssomonas with engulfed starch granules hiding into the starch druse. S-150 151 U, W – cell aggregations of Syssomonas near the bottom of Petri dish; V – floating aggregation 152 of flagellated cells; X – general view of flagellated cell (SEM), Y – cyst (SEM). Scale bars: A-P, 153  $S-W - 10 \mu m$ ,  $R - 100 \mu m$ ,  $X - 3 \mu m$ ,  $Y - 2 \mu m$ .

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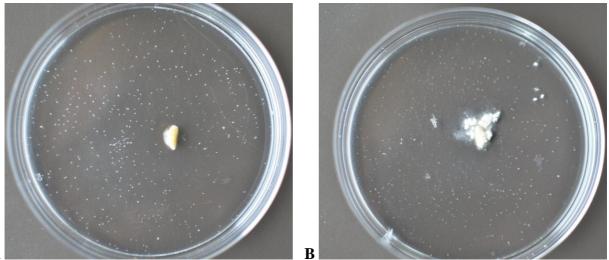
155 Floating, flagellated cells containing vesicular structures were observed (Fig. 1N, Video 8),

156 however the process of formation and the purpose of these vesicles is unknown. After some time

such cells lose their flagellum and transform into vesicular cysts with a thick cover (Fig. 1O).
Division inside vesicular cysts was not observed within 10 days of observation. Such structures
could represent resting cysts or dying cells containing autophagic vacuoles (the partial
destruction of one such cyst was observed after 4 days of observation, see Video 8).

161 The organism is a predator; it takes up other flagellates (e.g. Parabodo caudatus and Spumella sp.) which can be smaller, about the same size, or larger than Syssomonas. But in 162 163 contrast to many other eukaryotrophic protists, Syssomonas does not possess any extrusive 164 organelles for prey hunting. After initial contact, Syssomonas attaches to the prey cell and sucks 165 out their cytoplasm (without ingesting the cell membrane) (Fig. 1F-H, Video 9). The organism 166 feeds better on inactive, slow moving or dead cells and can also capture intact prey cells and 167 cysts by means unobserved. After attaching to the prey, many other Syssomonas cells become 168 attracted to the same prey cell (likely by chemical signaling) and try to attach to it. Joint feeding 169 was observed: several cells of Syssomonas can suck out the cytoplasm of the same prey cell 170 together (Fig. 1I, Video 9).

In culture, *Syssomonas* can take up starch granules from rice grains, the granules can be the same size as the cells (Fig. 1P,Q). In the presence of *Syssomonas* cells, rice grains in Petri dishes crumble into small fragments and separate granules of starch (Fig. S1). Cells of *Syssomonas* with engulfed starch granules can hide within the starch druses and lose the flagellum (Fig. 1R). Numerous cysts integrated into the starch matrix were often observed in culture.



- 176
- Fig. S1. Rice grain destruction in Petri dish with Pratt medium and presence of the cells of
   *Parabodo caudatus* (prey) only (A) and *Syssomonas multiformis* (B) after 9 days of
   incubation.
- 180

181 The organism can also feed on clusters of bacteria (Video 10) using short pseudopodia. 182 After feeding, *Syssomonas* cells become 2-3 times bigger and a large food vacuole is formed at 183 the posterior end of the cell-body (Fig. 1C). In the absence of eukaryotic prey (cultivation on bacteria and/or rice grain/starch only), *Syssomonas* either dies or forms resting cysts. Bacteria
alone are not sufficient food for *Syssomonas*.

Solitary cells of *Syssomonas* can partially merge and form temporary cell aggregations. They are usually shapeless, observed near the bottom, and consist of about 3-10 flagellated or non-flagellated cells (Fig. 1S-U, Video 11). Another type of aggregation is formed by only flagellated cells with outwards-directed flagella that can float in the water column and resemble the rosette-like colonies of choanoflagellates (Fig. 1V, Video 12). Both types of aggregations break up easily and it seems that the membranes of such aggregated cells are not fused.

192 However, in rich culture, solitary cells of Syssomonas can sometimes merge completely at 193 the bottom of the Petri dish and form syncytium-like (or pseudoplasmodium) structures (it seems 194 that nuclei do not merge after cell fusion). The budding of young flagellated daughter cells from 195 such syncytia was observed (Video 13).Such syncytial structures with budding daughter cells 196 have not been observed in other eukaryotes, to our knowledge, but multinucleated structures 197 arising as a result of multiple cell aggregations or fusions of uninuclear cells are also known in Dictyostelia (Eumycetozoa) and Copromyxa (Tubulinea) in the Amoebozoa (sister group of 198 199 Opisthokonta), as well as in other protists, such as Acrasidae in the Excavata, Sorogena in the 200 Alveolata, Sorodiplophrys in the Stramenopiles, and Guttulinopsis in the Rhizaria (Brown et al., 201 2012). Within the opisthokonts, aggregation of amoeboid cells is only known in the sorocarpic 202 species Fonticula alba (Holomycota) (Brown et al., 2009). We should also note that a syncytium 203 is not an unusual cell structure in many fungi and animals; e.g. most of the cytoplasm of glass 204 sponges (Hexactinellida), the teguments of flatwormsas well as the skeletal muscles and the 205 placenta of mammals (Gobert et al., 2003; Leyset al., 2006) have a syncytial structure.

In *Syssomonas*, the processes of cells merging attracts (again, likely by chemical signalling) many other cells of *Syssomonas*, which actively swim near aggregates or syncytiumlike structures and try to attach to them. Some of the these cells succeed to merge and the aggregates grow.

All aggregations and syncytial-like structures do not seem to form by cell division, but rather by a merger of the population of cells in the culture (although all cells in the clonal culture are offspring of a single cell of *Syssomonas*).

All of the above-described life forms and cellular changes do not represent well-defined phases of the life cycle of *Syssomonas*, but rather embody temporary transitions of cells in culture which are reversible.

Syssomonas grows at room temperature (22°C) and can survive at temperatures from +5 to 36 °C. At high temperature (30-35 °C) the prey cells (bodonids) in culture become immobile and roundish; *Syssomonas* actively feeds on such easily accessible cells, multiplies and produces

high biomass. In the absence of live eukaryotic prey, increasing the incubation temperature does
not lead to an increase in cell numbers. The cells grow at pH values from 6 to 11. Agitation of
culture does not lead to the formation of cell aggregates as was observed in the filasterean *Capsaspora* (Sebé-Pedrós et al., 2013b).

223

### 224 Cell ultrastructure

The cell is naked and surrounded by the plasmalemma. The naked flagellum ends in a short, narrowed tip – the acroneme (Fig. 1X, 2D). A single spiral or other additional elements (e.g. a central filament typical for choanoflagellates) in the transition zone of the flagellum were not observed (Fig. 2B, 2C). The flagellar axoneme has an ordinary structure (9+2) in section (not shown). The flagellum can be retracted into the cell (Fig. 2E). A cone-shaped rise at the cell surface around the flagellum base was observed (Fig. 2B, 2C). The flagellar transition zone contains a transversal plate which is located above the cell surface (Fig. 2B).

232 Two basal bodies, one flagellar and one non-flagellar (Fig. 2A-C), lie approximately at a 233 45-90 degrees angle to each other (Fig. 2B, 2C). The flagellar root system consists of several 234 elements. Arc-like dense material, representing satellites of the kinetosome, is connected with 235 the flagellar basal body and initiates microtubules which run into the cell (Fig. 2F). Radial fibrils originate from the flagellar basal body (Fig. 3A-C, 3G) and resemble transitional fibres. At least 236 237 two fibrils connect to the basal bodies (Fig. 2B). It can be seen from serial sections that 238 microtubules originate near both basal bodies (Fig. 3 A-F). Dense (osmiophilic) spots are 239 situated near the basal bodies and some of them initiate bundles of microtubules (Fig. 3 I,J,L). 240 Microtubules originating from both basal bodies singly or in the form of a fan probably run into 241 the cell (Fig. 2 B, Fig. 3F–K). One group of contiguous microtubules begins from the dense spot 242 (Fig. 3L) and goes superficially close to the plasmalemma (Fig. 3 E,F,L).

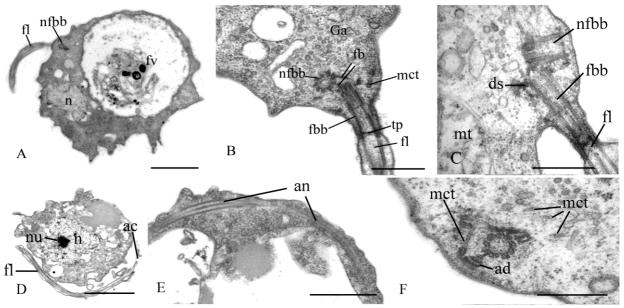
The nucleus is 2.6 µm in diameter, has a central nucleolus and is situated closer to the posterior part of the cell (Fig. 2 A, D, Fig. 4 H). The Golgi apparatus is of usual structure and is positioned close to the nucleus (Fig. 2B, Fig. 4A). The cell contains several mitochondria with lamellar cristae (Fig. 4 B–D). Unusual reticulate or tubular crystal-like structures of unknown nature were observed inside the mitochondria (Fig. 4C, D). A contractile vacuole is situated at the periphery of the cell and is usually surrounded by small vacuoles (Fig. 4E).

A large food vacuole is usually located posteriorly or close to the cell center and contains either remnants of eukaryotic prey, e.g. cells (paraxial flagellar rods are seen) or cysts (fibrous cyst envelope is seen) of *Parabodo caudatus*, or starch granules (Fig. 2A, Fig. 4F–H). Exocytosis occurs on the posterior cell end (Fig. 4I).

253

Thin filopodia are located on some parts of cell surface (Figs. 4D, 4J).

- 254 Storage compounds are represented by roundish (presumably glycolipid) granules 0.8 µm 255 in diameter (Fig. 4 A,D,J).
- 256 A flagellum or flagellar axoneme, or two kinetosomes, as well as an eccentric nucleus, 257 mitochondria with lamellate cristae and dense matrix, and a food vacuole with remnants of the
- 258 prey cells are all visible inside cysts containing dense cytoplasm (Fig. 4K, L).
  - Extrusive organelles for prey hunting were not observed in any cell type.



260

Fig. 2. General view and flagellum structure of Syssomonas multiformis (TEM). A -261 general view of the cell section, B,C - arrangement of flagellum and basal bodies, D - twisting 262 263 of the flagellum around the cell, E – retracted flagellum axoneme inside the cell, F – basal body 264 of the flagellum and nearest structures.

265 ac – acroneme, ad – arc-like dense structure, an – flagellum axoneme, ds – dense spot, fb – 266 fibril, fbb - flagellar basal body, fl - flagellum, fv - food vacuole, Ga - Golgi apparatus, mct -267 microtubule, mt – mitochondrion, n – nucleus, nfbb – non-flagellar basal body, nu – nucleolus, 268 tp – transversal plate. 269

270

Scale bars: A - 2, B - 0.5, C - 0.5, D - 2,  $E - J - 0.5 \mu m$ .

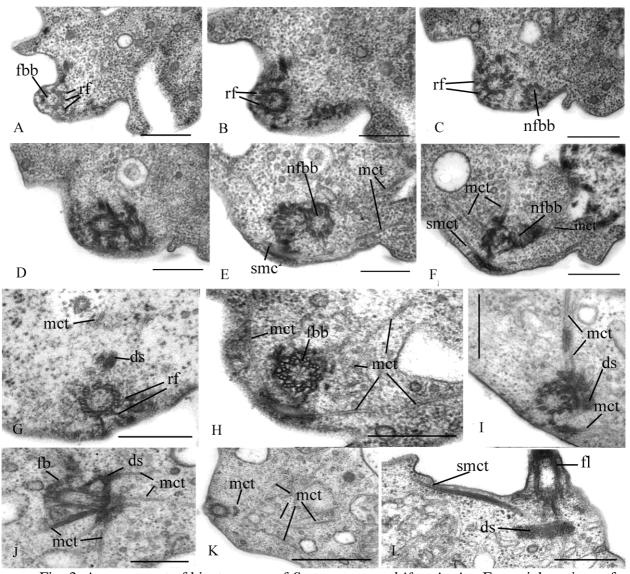


Fig. 3. Arrangement of kinetosomes of *Syssomonas multiformis*. A – F – serial sections of the kinetosomal area, G–L – structures nearby the kinetosomes.

ds – dense spot, fb – fibril, fbb – flagellar basal body, mct – microtubule, nfbb – non flagellar basal body, rf – radial fibrils, smmt – submembrane microtubules.

276 Scale bars: A–J, L – 0.5, K – 1  $\mu$ m.

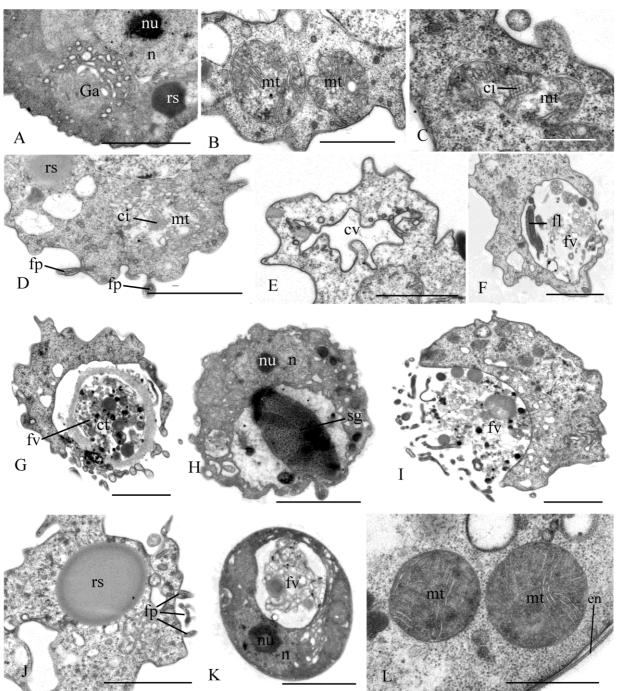


Fig. 4. Cell structures and organelles of Syssomonas multiformis. A - Golgi apparatus, B -280 D - mitochondria, E - contractile vacuole, F - food vacuole with remnants of eukaryotic prey (Parabodo), flagella and paraxial rods are seen, G – food vacuole containing cyst of Parabodo, 281 282 H - food vacuole containing starch granule, I - Exocytosis of food vacuole, J - reserve substance 283 and filopodia, K - L - cysts.

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284
             ci – crystalloid inclusion, ct – cyst of the prey cell, cv – contractile vacuole, en – cyst
285
       envelope, fl - flagellum, fp - filopodia, fv - food vacuole, ga - Golgi apparatus, mt -
286
       mitochondrion, n – nucleus, nu – nucleolus, rs – reserve substance, sg – starch granule.
287
             Scale bars: A – 2, B – 1, C – 0.5, D – 2, E – 2, F – 2, G – 2, H – 2, I – 2, J – 2, K – 2, L – 1
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μm.

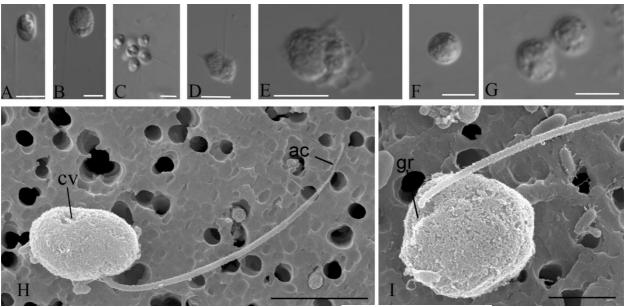
292 Pigoraptor vietnamica Tikhonenkov, Hehenberger, Mylnikov et Keeling 2017

293

#### 294 Morphology and life cycle

295 The uniflagellated, elongated-oval cells are 5-12 µm long (Fig. 5 A,B,H,I). The flagellum 296 length is 9-14 µm. Saturated cells with a large food vacuole become roundish. The body plan, 297 movement, feeding, and growth conditions of Pigoraptor are identical to Syssomonas 298 multiformis, except for the feeding on starch granules, which was not observed in Pigoraptor.

299 The main stage of the life cycle is a swimming flagellated cell, which can form thin long, 300 sometimes branching filopodia that can attach to the substrate (Fig. 5D). Wide lobopodia were 301 also observed on some cells (Fig. 5E). Non-flagellate crawling amoebas were not observed. 302 Pigoraptor cells can retract the flagellum and become roundish. After several hours, such 303 spherical cells either divide into two daughter cells or turn into cysts (Fig. 5F), which stay intact 304 for a long period. Binary division was observed also inside the cyst (Fig. 5G), resulting in two 305 daughter cells that produce flagella and disperse.



306

307 Fig. 5. External morphology and life forms of *Pigoraptor vietnamica*. A, B, H, I – general 308 view of the cell (DIC and CЭM); C – aggregation of flagellated cells; D – amoeboflagellate with 309 filopodia; E – cell with lobodopia; F – cyst; G – binary division. 310

- ac acroneme, cv contractile vacuole, gr groove.
- 311 Scale bars: A-G - 10; H - 4, I  $- 2 \mu m$ .
- 312

313 Cells of *Pigoraptor vietnamica* also form easily disintegrating aggregations (Fig. 5C) and 314 feed jointly (Video 14). The adjacent cells can partially merge during feeding. These 315 processes also seem to attract many other cells of *Pigoraptor*.

316

#### 317 **Cell ultrastructure**

318 A single, naked flagellum with an acroneme originates from a small lateral groove and 319 directs backward (Fig. 5 H,I). The cell is naked and surrounded by the plasmalemma. Two basal 320 bodies, flagellar and non-flagellar, are located near the nucleus, lie approximately at a 90 degrees 321 angle to each other and are not connected by visible fibrils (Fig. 6 A,B; Fig. 7 A,B; Fig. 8 A–F). 322 The flagellum axoneme has an ordinary structure (9+2) in section (Fig. 6 D,E).A thin central 323 filament, which connects the central pair of microtubules to the transversal plate, was observed 324 (Fig. 6 C,F). The flagellum can be retracted into the cell (Fig. 9C). The flagellar root system is 325 reduced. Radial fibrils arise from the flagellar basal body (Fig. 7C). Microtubules pass near the 326 flagellar basal body (Fig. 7B, Fig. 8 E,F). Serial sections show that the non-flagellar basal body 327 does not initiate the formation of microtubules (Fig. 8 A,B)

328 The roundish nucleus is about 1.5 µm in diameter, contains a prominent nucleolus (Fig. 6 329 A,B, Fig. 7A, Fig. 9 A, D), and is situated closer to the posterior end of the cell. Chromatin 330 granules (clumps) are scattered within the nucleoplasm. The Golgi apparatus is adjacent to the 331 nucleus (Fig. 9B). Cells contain several mitochondria that possess lamellar cristae (Fig. 9 A,C). 332 Rare thin filopodia have been observed on the cell surface (Fig. 9 D,E). Cells usually contain one 333 large food vacuole (Fig. 9F), which contains remnants of eukaryotic prey and bacteria. 334 Exocytosis takes place on the anterior cell end (Fig. 9G). Storage compounds are represented by 335 roundish (presumably glycolipid) granules 0.3-0.4 µm in diameter (Fig. 6A, 7A, 9 C,H). Cells contain symbiotic bacteria, which are able to divide in the host cytoplasm (Fig. 9 H,I). A single 336 337 contractile vacuole is situated close to the cell surface (not shown on cell sections but visualized 338 by TEM, Fig. 5H).

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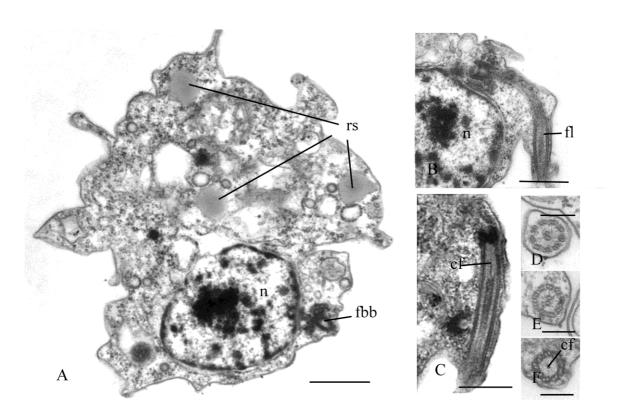
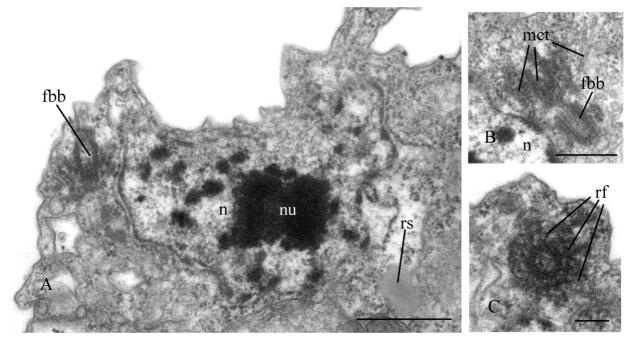


Fig. 6. General view and flagellum structure of *Pigoraptor vietnamica*, TEM. A –
longitudinal cell section. B–C – longitudinal section of flagellum, D–F – transverse flagellum
sections in transitional area.

343 cf - central filament, fbb - flagellar basal body, fl - flagellum, n - nucleus, rs - reserve
 344 substance.

345 Scale bars: A - 1, B - 0.5, C - 0.5,  $D - F - 0.2 - \mu m$ . 346



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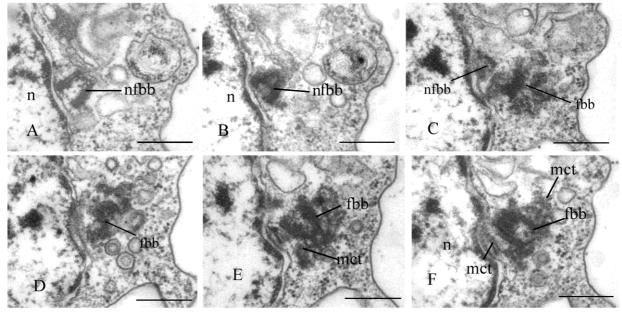
Fig. 7. Nucleus and arrangement of basal bodies of *Pigoraptor vietnamica*. A – part of the
 cell containing nucleus and flagellar basal body, B – non-flagellar basal body, C – flagellar basal
 body and surrounding structures.

fbb – flagellar basal body, mct – microtubule, n – nucleus, nu – nucleolus, rf – radial
 fibrils, rs – reserve substance.

Scale bars: A - 0.5, B - 0.5,  $C - 0.2 \mu m$ .

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Fig. 8. Arrangement of two basal bodies of Pigoraptor vietnamica relative to one another. A - F - serial sections of basal bodies.

fbb – flagellar basal body, mct – microtubule, n – nucleus, nfbb – non-flagellar basal body. Scale bars:  $A - F - 0.5 \mu m$ .

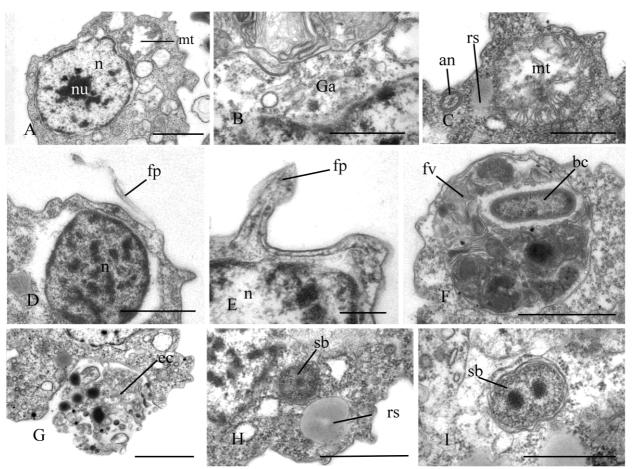




Fig. 9. Sections of nucleus and other call structures of Pigoraptor vietnamica. A - nucleus, B - Golgi apparatus, C - mitochondrion, D - E - nucleus and filopodia, F - food vacuole, G -364 365 exocytosis, H – reserve substance, I – dividing symbiotic bacteria.

366 an – flagellar axoneme, bc – bacterium, ec – ectoproct, fb – filopodium, fv – food vacuole, 367 ga – Golgi apparatus, mt – mitochondrion, n – nucleus, nu – nucleolus, rs – reserve substance, sb 368 – symbiotic bacteria. 369 Scale bars: A – 1, B – 0.5, C – 0.5, D – 0.5, E – 0.2, F – 1, G – 1, H – 1, I – 0.5  $\mu$ m.

- 370
- 0.0
- 371 Pigoraptor chileana Tikhonenkov, Hehenberger, Mylnikov et Keeling 2017
- 372

# 373 Morphology and life cycle

The uniflagellated, roundish cells measure 6-14  $\mu$ m in diameter. The flagellum emerges from a shallow groove and is 8-16  $\mu$ m in length (Fig. 10 A,B,H,I). The flagellum ends with the acroneme. This species is identical to *Pigoraptor vietnamica* in body plan, movement, feeding, growth conditions, joint feeding and aggregation behaviours (Fig. 10 C, Video 15, 16), encystation (Fig. 10 D), binary division (Fig. 10 E), but additionally characterized by the absence of symbiotic bacteria and much reduced capability to produce filopodia and lobopodia (Fig. 10 F,G), which are extremely rare in *Pigoraptor chileana*.

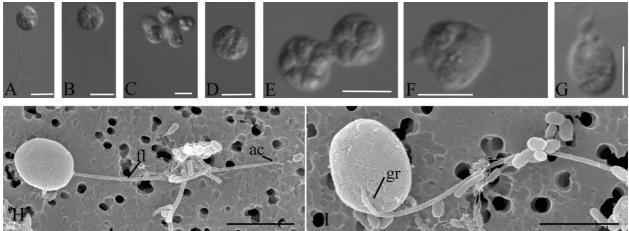


Fig. 10. External morphology and life forms of *Pigoraptor chileana*. A, B, H, I – general view of flagellated cell (DIC and SEM); C – cell aggregation; D – cyst; E – binary division; F,G – cell with short lobopodia and filipodia.
ac – flagella arconeme, gr – groove, fl – flagellum.

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Scale bars: A-G – 10, H – 9, I – 4  $\mu$ m.

388 Cell ultrastructure

389 The cell is naked and surrounded by the plasmalemma. The nucleus is positioned close to 390 the posterior cell end (Fig. 11 A). The flagellum is naked and the flagellar axoneme has an 391 ordinary structure (9+2) in section (Fig. 11 B–D). The flagellum can be retracted into the cell 392 which is visible in some sections (Fig. 11 A, C). Flagellar and non-flagellar basal bodies are 393 located near the nucleus (Fig. 11 A) and lie approximately at a 60-90 degrees angle to each other 394 (Fig. 12 A-F). The flagellar basal body contains a wheel-shaped structure in the proximal part 395 (Fig. 11 E,F). Single microtubules and microtubule bundles are situated near this basal body 396 (Fig. 11 E–H). Some microtubules arise from dense spots close to the basal body (Fig. 11 H).

397 Rare, thin, sometimes branching filopodia may contain superficially microtubule-like 398 profiles (Fig. 12 G–I). The roundish nucleus is about 1.5 µm in diameter and has a central 399 nucleolus (Fig. 11A). Chromatin granules are scattered within the nucleoplasm. The Golgi 400 apparatus was not observed. Mitochondria contain lamellar cristae and empty space inside (Fig. 401 13 A, B). Cells usually contain one large food vacuole (Fig. 11A, Fig. 13 C), which contains 402 remnants of eukaryotic prey and bacteria. Storage compounds are represented by roundish 403 (presumably glycolipid) granules 0.2-0.4 µm in diameter (Fig. 13 C). The single ultrathin section 404 of the dividing cell (possible open orthomitosis) was obtained in metaphase stage (Fig. 13 D). 405

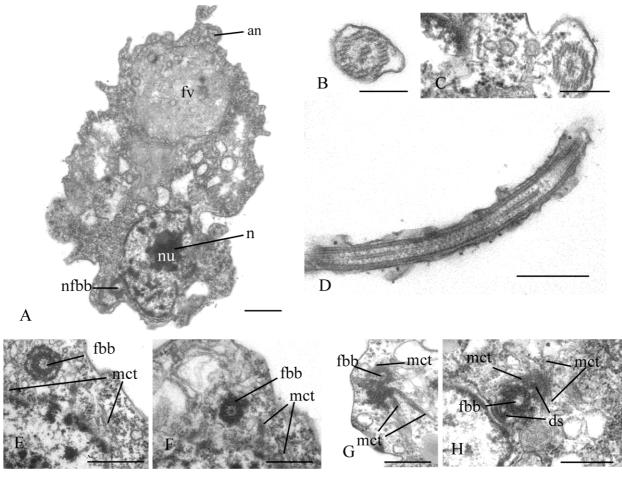
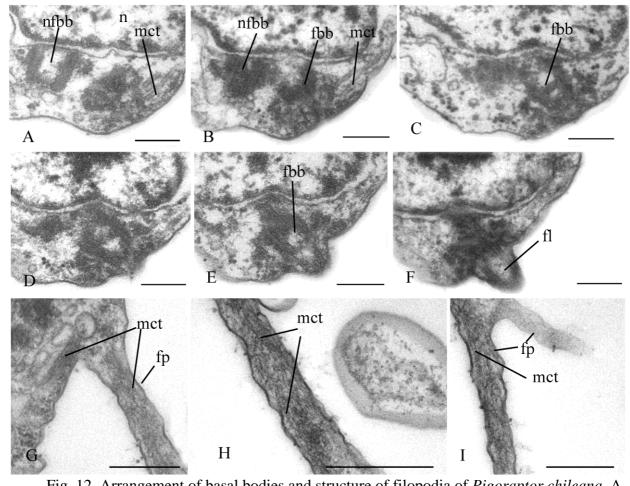




Fig. 11. General view, flagellum and flagella root system of *Pigoraptor chileana*. A – general view of the cell section. B - D - flagellum, E - H - flagellar basal body and surrounding structures.

- an axoneme, ds dense spot, fbb flagellar basal body, fv food vacuole, mct –
  microtubule, n nucleus, nfbb non-flagellar basal body, nu nucleolus.
  Scale bars: A 0.5, B 0.2, C 0.2, D 0.5, E 0.5, F 0.5, G 0.5, H 0.5 µm.
- 413
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416
417 Fig. 12. Arrangement of basal bodies and structure of filopodia of *Pigoraptor chileana*. A
418 - F - serial sections of basal bodies, G - I - filipodia.
419 fbb - flagellar basal body, fl - flagellum, fp - filopodium, mct - microtubule, n - nucleus,

- 420 nfbb non-flagellar basal body.
- 421 Scale bars: A F 0.2,  $G I 0.5 \mu m$ .
- 422

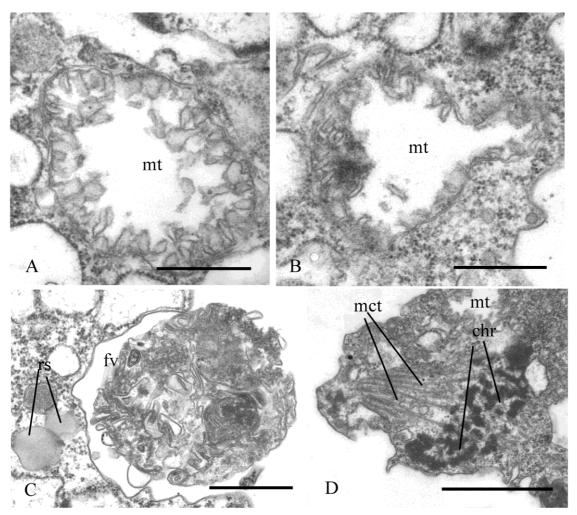


Fig. 13. Mitochondria, food vacuole and nucleus division of Pigoraptor chileana. A, B -425 426 mitochondria, C - food vacuole and exocytose, D - nucleus division in metaphase stage. 427 chr - chromosomes, fv - food vacuole, mct - microtubule, mt - mitochondrion, rs -428 reserve substance. 429 Scale bars: A - 0.5, B - 0.5, C - 0.5,  $D - 1 \mu m$ .

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# Key features of novel unicellular opisthokonts and origin of multicellularity in Metazoa

432 Our understanding of the origin and early evolution of animals has transformed as a result 433 of the study of their most closely related sister groups of unicellular organisms: 434 choanoflagellates, filastereans, and ichthyosporeans (King et al., 2008; Suga et al., 2013; Suga, 435 Ruiz-Trillo, 2013). Our discovery of previously unknown unicellular Holozoa from freshwater 436 bottom sediments in Vietnam and Chile provides new material for analysis.

437

#### 438 **Eukaryotrophy**

439 A distinctive feature of all three new species is their feeding on eukaryotic prey of similar 440 or larger size, which is unusual (if not unique) for unicellular Holozoa. While they consume 441 entire prey cells or only the cytoplasmic contents of eukaryotic cells, which resembles the 442 phagocytotic uptake of the contents of Schistosoma mansoni sporocysts by the filasterean

443 *Capsaspora owczarzaki* in laboratory conditions (Owczarzak et al., 1980), they can also feed on 444 clusters of bacteria, resembling the phagocytic uptake of bacteria by choanoflagellates (Dayel, 445 King, 2014). It is particularly noteworthy that the organisms we discovered, do not possess 446 extrusive organelles for paralyzing and immobilizing the prey, which is typical for 447 eukaryovorous protists. Our observations show that, prior to absorption, they somehow adhere to 448 the surface of the prey cell. Studies on the choanoflagellate Monosiga brevicollis have shown 449 that cadherins, that function as cell-cell adhesion proteins in animals, are located on the 450 microvilli of the feeding collar and colocalize with the actin cytoskeleton (Abedin, King, 2008). 451 M. brevicollis is non-colonial, thus suggesting that cadherins participate in prey capture, not 452 colony formation. In addition, studies of the colonial choanoflagellate Salpingoeca rosetta did 453 not indicate a role of the cadherins in colony formation, further supporting the notion that 454 cadherins do not play a role in cell-cell adhesion between choanoflagellates, and perhaps also did 455 not in the unicellular ancestor of animals (Sebé-Pedrós et al., 2017). In the case of the unicellular 456 predators Syssomonas and Pigoraptor, adherence to a large and actively moving prey seems to 457 be crucial for feeding and important for survival. Interestingly, the Syssomonas transcriptome 458 does not include cadherin genes, but it does express C-type lectins (carbohydrate-binding 459 proteins performing various functions in animals, including intercellular interaction, immune 460 response and apoptosis). A reverse pattern of gene distribution is seen in *Pigoraptor*, where 461 cadherin domain-containing transcripts were found but no C-type lectins (Hehenberger et al., 462 2017).

463 The presence of eukaryotrophy as a type of feeding within both filastereans and 464 Pluriformea suggests that predation could be or have once been widespread among unicellular 465 relatives of animals, and perhaps that the ancestor of Metazoa was able to feed on prey much 466 larger than bacteria. The "joint feeding" we observed many times in cultures of Syssomonas or 467 *Pigoraptor*, including the behaviour where cells are attracted to the large prey by other predators 468 already feeding on it, is probably mediated by chemical signaling of the initially attached 469 predator cell. The newly arriving cells also adhere to the plasmalemma of the prey, partially 470 merging with each other and sucking out the contents of the large prey cell together. The 471 merging of predator cells during feeding is quite unusual, and may represent a new factor to 472 consider in the emergence of aggregated multicellularity. In addition, putative chemical 473 signaling to attract other cells of its species is observed during the formation of syncytial 474 structures in these species. In this context, alpha and beta-integrins and other components of the 475 so-called integrin adhesome, which are responsible for interaction with the extracellular matrix 476 and the transmission of various intercellular signals, were found in the transcriptomes of all three 477 studied species (Hehenberger et al., 2017).

### 479

### Starch breakdown by Syssomonas

An interesting phenomenon was observed in clonal cultures of *Syssomonas*, where the predator can completely engulf starch granules of the same size as the cell, also mediating the rapid destruction of rice grains into smaller fragments and individual starch crystals (Fig. S1). It is possible that *Syssomonas* secretes hydrolytic enzymes that provide near-membrane extracellular digestion. Near-membrane extracellular digestion in animals is of great importance for the breakdown of various biopolymers and organic molecules (for example, in the intestinal epithelium of mammals in the zone of limbus strigillatus in the glycocalix layer).

487 This ability of Syssomonas to feed on starch is likely promoted by the expression of 488 numerous enzymes that are putatively involved in starch breakdown (several  $\alpha$ -amylases and  $\alpha$ -489 glucosidases, a glycogen debranching enzyme and a glycogen phosphorylase) (Table 1). For 490 example, Syssomonas has five distinct putative alpha-amylases, one of them not found in any 491 other Holozoa present in our database (Table 1). Similarly, one of the four  $\alpha$ -glucosidases in S. 492 *multiformis* seems to be specific to this lineage, and possibly the Filasterea, within the Holozoa. 493 While  $\alpha$ -amylases and  $\alpha$ -glucosidases are able to hydrolyze  $\alpha$ -1,4-linked glycosidic linkages, 494 mobilization of the starch molecule at the  $\alpha$ -1,6 glycosidic bonds at branch points requires the 495 activity of debranching enzymes. A possible candidate for the catalysis of this reaction is a 496 conserved glycogen debranching enzyme in S. multiformis, orthologous to the human AGL gene 497 (Table 1). Additionally, we identified a transcript for a glycogen phosphorylase (orthologous to 498 the human PYGB, PYGL and PYGM genes), an enzyme involved in the degradation of large 499 branched glycan polymers.

500 Our observations also show that, in the presence of starch in culture, *Syssomonas* can 501 form resting stages of unidentified genesis, which tend to adhere to each other and to starch 502 grains.

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- 512 Table 1. Transcripts for putative starch-degrading enzymes in *S. multiformis* and the
- 513 presence/absence of corresponding orthologs in other unicellular holozoan lineages/Metazoa.
- 514 Accession numbers for *S. multiformis* are from the corresponding TransDecoder output files as
- 515 described in Hehenberger et al., 2017. Pfam domains used to identify candidates in S.
- 516 *multiformis* and/or annotated direct human orthologous genes are indicated in brackets in column
- 517 1. NA, no direct orthologs recovered in our dataset; +, direct orthologs present in phylogeny; (+)
- 518 short fragment of one lineage representative.

	S. multiformis	Ichthyosporea	Filasterea	Choanoflagellates	Metazoa
α-amylases (PF00128)					
1.	Colp12_sorted@267, Colp12_sorted@268, Colp12_sorted@269	+	(+)	+	NA
2.	Colp12_sorted@19603	NA	NA	NA	NA
3.	Colp12_sorted@18987, Colp12_sorted@16467, Colp12_sorted@17178	NA	+	+	+
4.= paralog to 3.	Colp12_sorted@8715	+	+	+	+
5. ( <i>SLC3A1</i> )	Colp12_sorted@10150	NA	NA	+	+
α-glucosidases (PF01055)					
1.	Colp12_sorted@9290, Colp12_sorted@22295	NA	(+)	NA	NA
2.	Colp12_sorted@1339, Colp12_sorted@1341	+	+	NA	+
3.= paralog to 2.	Colp12_sorted@9196, Colp12_sorted@14409, Colp12_sorted@18957, Colp12_sorted@21457, Colp12_sorted@31517	+	+	NA	+
4. (GANAB/GANC)	Colp12_sorted@13767	+	+	+	+
glycogen phosphorylase (PYGB/PYGL/PYGM)					
1.	Colp12_sorted@1564	+	+	+	+
glycogen debranching enzyme (AGL)					
1.	Colp12_sorted@14615	+	+	+	+

# 526 *Structural features*

527 Syssomonas and Pigoraptor both display a broad morphological plasticity: all three 528 species have a flagellar stage, form pseudopodia and cysts, and can form aggregations of several 529 cells. Syssomonas multiformis also has an amoeboid non-flagellar stage. The dominating life 530 form of all three species in culture is the uniflagellar swimming cell. Interestingly, amoeboid and 531 pseudopodial life forms were detected in cultures only after two years of cultivation and 532 observation, suggesting they may be extremely rare in nature. Overall, the morphological 533 differences between cells of the same type of the two genera, Syssomonas and Pigoraptor, are 534 few and subtle. Given these genera are distantly related within the tree of Holozoa, it is 535 interesting to speculate that they may be the result of morphostasis and by extension retain 536 features resembling those of an ancestral state of holozoan lineages.

537 It has been established that single cells of *Syssomonas* and *Pigoraptor* can temporarily 538 attach themselves to the substrate and, by beating their flagellum, can create water currents to 539 putatively attract food particles, similar to choanoflagellates and sponge choanocytes (Fig. 1 E, 540 Video 2). Choanocytes and choanoflagellates possess, in addition to the flagellum, a collar 541 consisting of cytoplasmic outgrowths reinforced with actin filaments (microvilli) that serve to 542 capture bacterial prey. The thin filopodia that are observed on the cell surface of all three Syssomonas and Pigoraptor species may thus be homologous to collar microvilli. But this will 543 544 require further evidence in form of homologous proteins in these structures or evidence of their 545 function in Syssomonas and Pigoraptor. While the filopodia of Syssomonas have no obvious 546 structural contents, the outgrowths of *Pigoraptor* sometimes contain microtubular-like profiles. 547 Cross sections of these structures were not obtained, but they may represent parallel 548 microfilaments such as recently found in the filopodial arms of Ministeria vibrans (Mylnikov et 549 al., 2019). The organisation of the Ministeria filopodial arms in turn resembles the microvilli of 550 choanoflagellates, which have stable bundles of microfilaments at their base. It has been 551 proposed previously that the ancestor of Filozoa (Filasterea+Choanoflagellida+Metazoa) 552 probably had already developed filose tentacles, which have aggregated into a collar in the 553 common ancestor of choanoflagellates/sponges (Shalchian-Tabrizi et al., 2008), and that 554 microvilli were present in the common ancestor of Filozoa (Mylnikov et al., 2019).

A single, posterior flagellum is the defining characteristic of opisthokonts (Cavalier-Smith, Chao, 2003). However, the flagellum has not yet been found in all known Opisthokonta lineages. Torruella et al. (2015) have found several proteins corresponding to key components of the flagellum in *Corallochytrium* and the filose amoeba *Ministeria vibrans*, which have been considered to lack flagella. The authors have shown that the stalk used by *Ministeria* to attach to the substrate is a modified flagellum. Recently, morphological observations on another strain of 561 *Ministeria vibrans* (strain L27; Mylnikov et al., 2019) revealed that this strain lacks the stalk for 562 substrate attachment, but possesses a typical flagellum that projects forward and beats at attached 563 to the substrate cells (see Fig. 2h and Video S1 in Mylnikov et al., 2019). The authors concluded 564 that the filasterean ancestor possessed a flagellum, which was subsequently lost in Capsaspora 565 owczarzaki. In the case of Corallochytrium limacisporum, it was suggested that it has a cryptic 566 flagellate stage in its life cycle (Torruella et al., 2015), as has been proposed for other eukaryotes 567 (Aureococcus and Ostreococcus, for instance) based on their genome sequences (Wickstead, 568 Gull, 2012). Therefore, the flagellate stage could have been the one morphological trait uniting 569 Corallochytrium and Syssomonas within "Pluriformea". Interestingly, the ancestor of 570 ichthyosporeans probably also had a flagellum, which is preserved in the Dermocystida (at the 571 stage of zoospores), but was again lost in the Ichthyophonida.

572 The central filament of the flagellum, which connects the central pair of microtubules 573 with the transversal plate in *Syssomonas* and *Pigoraptor*, is also noteworthy, since this character 574 was previously known only in the choanoflagellates and was considered a unique feature for this 575 lineage. The cone-shaped elevation of the surface membrane around the base of the flagellum in 576 *Syssomonas* was also thought typical for choanoflagellates.

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## Origins of multicellularity

579 As mentioned above, numerous theories about the origin of Metazoa exist. One of the 580 first and widely accepted evolutionary theories on the origin of animals is the Gastrea theory of 581 Ernst Haeckel (Haeckel, 1874). Based on the blastula and gastrula stages that various animals 582 undergo during their embryonic development, Haeckel suggested that the first step in the 583 evolution of multicellularity in animals was the formation of a hollow ball, the walls of which 584 consisted of identical flagellated cells, which he called Blastea. This stage is followed by 585 gastrulation, where the ball invaginated, leading to the primary cellular differentiation into ecto-586 and endoderm. In combination with modern theories, such as the choanoblastea theory, which 587 highlights the similarity between Haeckel's Blastea and the choanoflagellate colony (Nielsen, 588 2008), this model is still the most commonly used explanation for the origin of multicellular 589 animals. An important assumption of the Gastrea theory is that cell differentiation took place 590 only *after* multicellularity arose, suggesting that animals originated from a single cell type. This 591 in turn generated the hypothesis that multicellular animals originated from a choanoflagellate-592 like colony-forming ancestor (Sebé-Pedrós et al., 2017), supported by the possible homology 593 between sponge choanocytes and choanoflagellates (Adamska, 2016), and is consistent with the 594 idea behind the Haeckel-Muller Biogenetic Law, that ontogenesis recapitulates phylogenesis 595 (Hashimshony et al., 2015).

596 However, there are, for example, some basic differences between sponges and 597 choanoflagellates in how their collar and flagella interact, so, though choanocytes and 598 choanoflagellates are superficially similar, homology should not be automatically assumed (see 599 Mah et al., 2014 for details). More importantly, recent ultrastructural studies on the structure of 600 kinetids (flagellar apparatus) of various sponge choanocytes and choanoflagellates show that 601 they are fundamentally different in many respects (see Pozdnyakov, Karpov, 2016; Pozdnyakov 602 et al., 2017, 2018 for details). These differences are significant, as the kinetid (consisting of the 603 flagella itself, the transition zone, and the kinetosomes with attached microtubular or fibrillar 604 roots) represents one of the very few ultrastructural systems in eukaryotic cells considered a 605 conservative indicator of phylogenetic relationship (Lynn, Small, 1981; Moestrup, 2000; Yubuki 606 and Leander, 2013). Choanocyte kinetids contain more elements that can be considered 607 plesiomorphic for opisthokonts than do choanoflagellate kinetids. For example, significant 608 differences in the spatial arrangement of the non-flagellar basal body, in the structure of the 609 transitional zone and the flagellar root system were found when comparing the flagellate 610 apparatus of the freshwater sponge Ephydatia fluviatilis (order Haplosclerida) and the 611 choanoflagellates, but in all of these features the *Ephydatia* choanocyte kinetid is similar to the 612 kinetid of zoospores of chytrids, which are more distantly-related Holomycota (Karpov, 613 Efremova, 1994). Flagellated cells of some ichtyosporeans also possess ultrastructural features in 614 common with flagellated fungi from Holomycota. Therefore, the idea that sponges and by 615 extension all Metazoa descend directly from a single-celled organism similar to 616 choanoflagellates is not supported by the results of the kinetid ultrastructure study (Pozdnyakov 617 et al., 2017).

618 A more detailed understanding of the unicellular relatives of animals has, however, raised 619 an alternative to the Gastrea theory. Specifically, the presence of diverse life forms in complex life cycles and the prevalence of cellular aggregations that bear little similarity to the blastula all 620 621 suggest that cell differentiation might have preceded the origin of the blastula. Moreover, 622 unicellular relatives of animals were shown to contain a variety of genes homologous to those 623 involved in cell adhesion, differentiation and development, and signal transduction in Metazoa. 624 Some of them were considered to be unique to animals (e.g. transcription factors T-box and 625 Rel/NF-kappa B, Crumbs protein, integrin beta) as they were absent in choanoflagellates (the 626 closest relatives of Metazoa), but later they were found to be present in other unicellular Holozoa 627 (Mikhailov et al., 2009; Sebé-Pedrós et al., 2013a; Shalchian-Tabrizi et al., 2008). To date, it is 628 well known that homologues of most genes controlling the development of animals, their cell 629 differentiation, cell-cell and cell-matrix adhesion are present in various lineages of unicellular 630 organisms (King et al., 2003; Sebé-Pedrós et al., 2016; Suga et al., 2013; Williams et al., 2014), which suggests that genetic programs of cellular differentiation and adhesion arose relatively
early in the evolution of opisthokonts and before the emergence of multicellularity (King et al.,
2003; Ruiz-Trillo et al., 2007; Shalchian-Tabrizi et al., 2008; Mikhailov et al., 2009; Brunet,
King, 2018).

635 One specific idea positing that cell differentiation preceded the formation of colonies is the "synzoospore hypothesis" (Sachwatkin, 1956; Zakhvatkin, 1949; and see Mikhailov et al. 636 637 2009 for details). In brief, three types of cell cycle alternate in the ontogenesis of multicellular 638 animals: monotomy (alternate phases of cell growth and division of somatic cells), hypertrophic 639 growth (in female sex cells) and palintomy (the egg undergoes a series of consecutive divisions). 640 Zakhvatkin noted that some protists alternate between different types of life cycle, and suggested 641 that the unicellular ancestor of Metazoa already had differentiated cells as a result of such a 642 complex life cycle. The life cycle complexity, in turn, results from the fact that monotomic cells 643 are usually sedentary, or at least less mobile, and can change their phenotype (from flagellated to 644 amoeboid, etc.) depending on the environment; the process of palintomy is necessary for the 645 formation of morphologically identical dispersal cells (spores or zoospores). These dispersal 646 cells remain attached to each other, forming a primary flagellated larva — the synzoospore or 647 blastula (cited from Mikhailov et al., 2009).

648 The synzoospore hypothesis is consistent with recent observations of complex life cycles 649 in unicellular opisthokonts possessing cellular differentiation, the presence of sedentary trophic 650 phases, and a tendency to aggregation; as seen in choanoflagellates (Cavalier-Smith, 2017; Dayel 651 et al., 2011; Dayel, King, 2014; Leadbeater, 1983; Maldonado, 2004), filastereans (Sebé-Pedrós 652 et al., 2013b), Corallochytrium (Raghukumar, 1987), ichthyosporeans (Arkush et al., 2003; 653 Ruiz-Trillo et al., 2007; Suga, Ruiz-Trillo, 2013), chytridiomycetes (Money, 2016), and 654 nucleariid amoebae (Smirnov, 2000). According to this theory, multicellularity in animals arose 655 through the temporal integration of various types of cells, which were already present in 656 different parts of the life cycle. The hypothetical ancestor of animals in this model would thus 657 already have genetic programs for cell differentiation (including cadherins, integrins, tyrosine 658 kinases).

Developing the synzoospore hypothesis further, Mikhailov et al. (2009) proposed an evolutionary mechanism of "*transition from temporal to spatial cell differentiation*" to explain the emergence of multicellular animals. In this model, the ancestor of Metazoa was a sedentary colonial protist filter-feeder with colonies formed by cells of different types, which arose because filtration efficiency is significantly enhanced through the cooperation of cells of different types. Dispersal cells produced by the sedentary stage, the zoospores, remained attached together in early metazoans (which increased survivability) as a synzoospore to form a 666 primary larva, the blastula. Development of a whole colony from such a multicellular larva 667 occurred through the *differentiation of genetically identical* zoospore cells. This was critical for 668 the maintenance of long-term cell adhesion and thus emergence of true multicellularity, as 669 opposite to temporary colonies and aggregations composed of genetically heterogeneous cells. 670 The authors suggest that the dispersal stages of the sedentary trophic body — primary blastula-671 like larvae – acquired adaptations to the predatory lifestyle, which triggered the development of 672 primary intestine, muscular and nervous systems (Mikhailov et al., 2009).

673 The origin of multicellularity can in this view be seen as a transition from temporal to 674 spatiotemporal cell differentiation (Sebé-Pedrós et al., 2017). In a unicellular ancestor of 675 Metazoa that was a sexually reproducing bacteriotroph with many differentiated, temporally-676 separated cells, the transitions between different cell states would be regulated by expression of 677 transcription factor families in response to environmental conditions such as availability of 678 nutrients or preferred bacterial food. These temporally-regulated cell types then became spatially 679 integrated, existing simultaneously but in different parts of a now multicellular conglomerate 680 with different cell types carrying out different functions. Further diversification could then be 681 accompanied by the evolution of additional mechanisms for complex gene regulation networks 682 involving signaling pathways, expansion of transcription factors, and the evolution of new 683 genomic regulatory mechanisms to control spatial differentiation of existing genetic modules 684 specific to a particular cell type. At that point, the life cycle of the protozoan ancestor of animals 685 probably included one or more clonal and/or aggregative multicellular stages (Sebé-Pedrós et al., 686 2017).

687 To distinguish between the models for the origin of animal multicellularity, genomics 688 alone is not sufficient, and data on morphology, life cycle, and structural features of basal 689 holozoans is also needed. From the current analysis, all three novel species of unicellular 690 Holozoa have life histories that are consistent with major elements of the synzoospore model 691 (see Fig. 3A in Mikhailov et al., 2009 and Fig. 5a,b in Sebé-Pedrós et al., 2017). Specifically, 692 these organisms have complex life histories characterized by a variety of forms: flagellates, 693 amoebae, amoeboflagellates, cysts. All three species have the tendency to form aggregations. 694 Syssomonas possesses both clonal and aggregative multicellular stages, as predicted for the 695 ancestor of animals. Moreover, the formation of aggregations can be associated with feeding on 696 large eukaryotic prey, but also by cysts, which can adhere to each other and to starch grains in 697 culture and divide multiply. Both these probably require cellular signaling. Eating a large 698 eukaryotic prey, sometimes exceeding the size of a predator, also leads to hypertrophic cell 699 growth (described as proliferative stage in Sebé-Pedrós et al., 2017) with a subsequent phase of 700 palintomic division (in Syssomonas). All these characters are predicted by the synzoospore

701 model. Interestingly, many of these are apparently triggered by the behaviour of feeding on large

eukaryotic prey, highlighting this as is an interesting and potentially powerful trigger in general

for the formation and development of aggregates (e.g., joint feeding) and clonal multicellularity

704 (e.g., hypertrophic growth followed by palintomy), perhaps playing a role in the origin of

705 multicellularity in ancestors of Metazoa.

706

# 707 Concluding remarks

As we acquire more information about the biology of known unicellular relatives of animals, and even more importantly, describe diverse new species of unicellular Holozoa, more reliable models for the evolutionary histories of specific characteristics that contributed to the emergence of multicellularity in animals are possible. *Syssomonas* and *Pigoraptor* are characterized by complex life cycles, the formation of multicellular aggregations, and an unusual diet for single-celled opisthokonts (partial cell fusion and joint sucking of large eukaryotic prey), all of these features providing new insights into the origin of multicellularity in Metazoa.

715 Genomic and transcriptome analysis of unicellular relatives of animals have shown that 716 genes encoding proteins for cellular signaling and adhesion, as well as genes for embryonic 717 development of multicellular organisms, arose before the emergence of multicellular animals 718 (King et al., 2003; Ruiz-Trillo et al., 2007; Shalchian-Tabrizi et al., 2008; Hehenberger et al., 719 2017). While these genes almost certainly have slightly different functions in protists than in 720 animals, they nevertheless probably relate to the ability to recognize the cells of their own 721 species, prey, or organic molecules and contribute to the formation of multicellular aggregations, 722 thus increasing the organism's ability to adapt to environmental change. As we learn more about 723 the natural history and behaviour of these organisms, the importance of these processes becomes 724 even more clear. The ancestor of Metazoa probably formed cells of various types that could 725 aggregate and had molecular mechanisms of cell differentiation and adhesion related to those 726 processes. Therefore, cellular differentiation likely arose before the emergence of 727 multicellularity.

728 The feeding modes of the ancestral metazoan may also have been more complex than 729 previously thought, including not only bacterial prey, but also larger eukaryotic cells and organic 730 structures. Indeed, the ability to feed on large eukaryotic prey could have been a powerful trigger 731 in the formation and development both aggregative and clonal multicellular stages that played 732 important roles in the emergence of multicellularity in animals. Lastly, we wish to point out that 733 other new and deep lineages of opisthokonts undoubtedly exist that have not yet been described, 734 and each of these will play an important role in the development of hypotheses on the origin of 735 multicellular animals in future.

# 736 Materials and Methods

737 Novel unicellular opisthokont predators were found in freshwater biotopes in Vietnam 738 and Chile. Syssomonas multiformis (clone Colp-12) was obtained from the sample of freshwater 739 pool (11°23'08.0"N, 107°21'44.9"E; T = 39°C; pH = 7.18; DO (ppm) = 0.64; conductivity 740  $(\mu S/cm) = 281$ ; TDS (ppm) = 140), Tà Lài, Cát Tiên National Park, Dong Nai Province, S.R. 741 Vietnam on April 29, 2013. Pigoraptor vietnamica (clone Opistho-1) was obtained from freshwater Lake Dak Minh, silty sand on the littoral ( $12^{\circ}54'50''N$ ,  $107^{\circ}48'26''E$ ; T = 27 °C; 742 743 pH=7.03; DO (ppm) = 7.43; conductivity ( $\mu$ S/cm) = 109; TDS (ppm) = 54), Dak Lak Province, 744 S.R. Vietnam on March 26 2015. *Pigoraptor chileana* (clone Opistho-2) was obtained from the 745 bottom sediments of freshwater temporary water body (submerged meadow, 54°02'29.7"S, 746  $68^{\circ}55'18.3''W$ ; T = 16.5°C; pH = 6.62; conductivity ( $\mu$ S/cm) = 141; TDS (ppm) = 72) near the 747 Lake Lago Blanca, Tierra del Fuego, Chile on November 4, 2015.

748 The samples were examined on the third, sixth and ninth days of incubation in accordance 749 with methods described previously (Tikhonenkov et al., 2008). Following isolation by glass 750 micropipette, freshwater clones Colp-12, Opistho-1, and Opistho-2 were propagated on the 751 bodonid Parabodo caudatus (strain BAS-1, IBIW RAS) grown in Pratt's medium or spring 752 water (Aqua Minerale, PepsiCo, Moscow Region, Russia or PC Natural Spring Water, 753 President's Choice, Toronto, Canada) by using the bacterium Pseudomonas fluorescens as food 754 (Tikhonenkov et al., 2014). The clone Colp-12 was perished after five years of cultivation. The 755 clones Opistho-1 and Opistho-2 are stored in the "Live culture collection of free-living amoebae, 756 heterotrophic flagellates and heliozoans" at the Institute for Biology of Inland Waters, Russian 757 Academy of Science.

Light microscopy observations were made by using the Zeiss Axio Scope A.1 equipped with a DIC contrast water immersion objective (63x). The images were taken with the AVT HORN MC-1009/S analog video camera and directly digitized by using the Behold TV 409 FM tuner. Cells with engulfed starch granules were inspected by epifluorescence microscopy after DAPI staining using the Zeiss Axioplan 2 Imaging microscope.

For transmission electron microscopy (TEM), cells were centrifuged, fixed at 1 °C for 15-60 min in a cocktail of 0.6% glutaraldehyde and 2%  $OsO_4$  (final concentration) prepared using a 0.1 M cacodylate buffer (pH 7.2). Fixed cells were dehydrated in alcohol and acetone series (30, 50, 70, 96, and 100%, 20 minutes in each step). Afterward, the cells were embedded in a mixture of Araldite and Epon (Luft, 1961). Ultrathin sections were prepared with an LKB ultramicrotome (Sweden) and observed by using the JEM 1011 transmission electron microscope (JEOL, Japan).

For scanning electron microscopy (SEM), cells from exponential growth phase were fixed as for TEM but only for 10 min at 22 °C and gently drawn onto a polycarbonate filter (diameter 24 mm, pores 0.8  $\mu$ m). Following the filtration, the specimens were taken through a graded ethanol dehydration and acetone, and finally put into a chamber of a critical point device for drying. Then dry filters with fixed specimens were mounted on aluminum stubs, coated with gold-palladium, and observed with a JSM-6510LV scanning electron microscope (JEOL, Japan).

776 Analysis of enzymes involved in starch breakdown was based on transcriptomic data 777 obtained as described earlier (Hehenberger et al., 2017). To identify candidates putatively 778 involved in starch breakdown, we used the results of a previous hmmscan analysis of S. 779 multiformis (Hehenberger et al., 2017) to search for Pfam domains present in known starch-780 degrading enzymes/enzyme families, such as  $\alpha$ -amylases (PF00128), glycoside hydrolase 781 families containing α-glucosidases (PF02056, PF01055, PF03200, PF10566), α-glucan water 782 dikinase 1 (GWD1, PF01326), phosphoglucan phosphatase (DSP4, PF00782 and PF16561), 783 disproportionating enzymes (PF02446) and pullulanases (PF17967). Additionally, we submitted 784 the S. multiformis sorted transcriptome to the KEGG Automatic Annotation Server (KAAS) 785 (Kanehisa et al., 2014) for functional annotation and investigated the output for transcripts 786 involved in starch metabolism. All candidates were investigated using phylogenetic 787 reconstruction. Briefly, they were used as queries in a BLASTp search (e-value threshold 1e-5) 788 against a comprehensive custom database containing representatives of all major eukaryotic 789 groups and RefSeq data from all bacterial phyla at NCBI (https://www.ncbi.nlm.nih.gov/, last 790 accessed December 2017) (Altschul et al., 1990). The database was subjected to CD-HIT with a 791 similarity threshold of 85% to reduce redundant sequences and paralogs (Li and Godzik, 2006). 792 Results from blast searches were parsed for hits with a minimum query coverage of 50% and e-793 values of less than 1e-5. The number of bacterial hits was restrained to 20 hits per phylum (for 794 FCB group, most classes of Proteobacteria, PVC group, Spirochaetes, Actinobacteria, 795 Cyanobacteria (unranked) and Firmicutes) or 10 per phylum (remaining bacterial phyla) as 796 defined by NCBI taxonomy. Parsed hits were aligned with MAFFT v. 7.212, using the-auto 797 option, poorly aligned regions were eliminated using trimAl v.1.2 with a gap threshold of 80% 798 (Katoh and Standley, 2013; Capella-Gutiérrez et al., 2009). Maximum likelihood tree 799 reconstructions were then performed with FastTree v. 2.1.7 using the default options (Price et al., 800 2010). Phylogenies with overlapping taxa were consolidated by combining the parsed hits of the 801 corresponding queries, removing duplicates and repeating the alignment, trimming and tree 802 reconstruction steps as described above.

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- 1060 Legends for videos
- 1061 Video 1. Swimming of *Syssomonas multiformis* cell with rotation.
- 1062 Video 2. Attached cell of *Syssomonas multiformis* and rapid flagellum beating.
- 1063 Video 3. Amoeboflagellate stage of *Syssomonas multiformis*. Cells of eukaryotic prey 1064 *Parabodo caudatus* are also visible.
- 1065 Video 4. Loss of flagellum in *Syssomonas multiformis* and transition to amoeba.
- 1066 Video 5. Transformation of amoeba into a cyst in *Syssomonas multiformis*.
- 1067 Video 6. Palintomic divisions inside the cyst of *Syssomonas multiformis*.
- 1068 Video 7. Division into two cell structures in *Syssomonas multiformis*.
- 1069 Video 8. Cell and cyst of *Syssomonas multiformis* with vesicular structures inside.
- 1070 Video 9. Feeding of *Syssomonas multiformis* on eukaryotic prey.
- 1071 Video 10. Feeding of *Syssomonas multiformis* on bacteria.
- 1072 Video 11. Temporary cell aggregations of *Syssomonas multiformis*.
- 1073 Video 12. Floating rosette-like aggregation of *Syssomonas multiformis*.
- 1074 Video 13. Syncytium-like structures and budding of young flagellated daughter cells in
  1075 *Syssomonas multiformis*.
- 1076 Video 14. Joint feeding of *Pigoraptor vietnamica* on died cell of *Parabodo caudatus*.
- 1077 Video 15. Joint feeding of *Pigoraptor chileana* on died cell of *Parabodo caudatus*.
- 1078 Video 16. Temporary cell aggregation of *Pigoraptor chileana*.
- 1079 *Videos are available at*

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