1 Retinoic acid accelerates the specification of enteric neural progenitors from *in*

2 vitro-derived neural crest

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4 Thomas J.R Frith^{1*}, Antigoni Gogolou¹, James O.S Hackland², Ivana Barbaric¹,

- 5 Nikhil Thapar^{3,4,5,6}, Alan J. Burns^{3,7}, Peter W Andrews¹, Anestis Tsakiridis^{1*},
- 6 Conor J. McCann^{3*}
- 7 *To whom correspondence should be addressed.
- 8 TF: <u>t.j.frith@sheffield.ac.uk</u>
- 9 AT: a.tsakiridis@sheffield.ac.uk
- 10 CJM: <u>conor.mccann@ucl.ac.uk</u>
- 11
- 1. University of Sheffield, Department of Biomedical Science, Sheffield S10 2TN, UK
- 13 2. The Center for Stem Cell Biology, Memorial Sloan Kettering Cancer Center, New York, NY 10065, USA.
- 14
 3. Stem Cells and Regenerative Medicine, UCL Great Ormond Street Institute of Child Health, 30 Guilford Street, London,
 15
 UK.
- $16\,$ $\,$ 4. Neurogastroenterology and Motility Unit, Great Ormond Street Hospital, London, UK $\,$
- 17
 5. Department of Gastroenterology, Hepatology and Liver Transplant, Queensland Children's Hospital, Brisbane,
 18
 Australia
- 19 6. Prince Abdullah Ben Khalid Celiac Research Chair, College of Medicine, King Saud University, Riyadh, KSA
- 20 7. Department of Clinical Genetics, Erasmus University Medical Center, Rotterdam, The Netherlands.
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22 Summary

23 The enteric nervous system (ENS) is derived primarily from the vagal neural crest, a migratory multipotent cell population emerging from the dorsal neural tube between 24 25 somites 1-7. Defects in the development and function of the ENS give rise to a range of disorders, termed enteric neuropathies and include conditions such as 26 Hirschsprung's disease. Little is known about the signalling that specifies early ENS 27 28 progenitors. This has, thus far, limited progress in the generation of enteric neurons 29 from human Pluripotent Stem Cells (hPSCs) that could provide a useful tool for disease modelling and regenerative medicine. We describe the efficient and 30 31 accelerated generation of ENS progenitors from hPSCs, revealing that retinoic acid is critical for the acquisition of both vagal axial identity and early ENS progenitor 32 33 specification. These ENS progenitors generate enteric neurons in vitro and following in vivo transplantation, achieving long-term colonisation of the ENS in adult mice. 34 35 Thus, hPSC-derived ENS progenitors may provide the basis for cell therapy for defects 36 in the ENS.

38 Introduction

39 The enteric nervous system (ENS) is the largest branch of the peripheral nervous 40 system and consists of an extensive network of neurons and glia controlling critical 41 intestinal functions such as motility, fluid exchange, gastric acid/hormone secretion 42 and blood flow (reviewed in (Furness, 2012; Sasselli et al., 2012). In amniote embryos, the ENS is derived predominantly from the vagal neural crest (NC), a multipotent cell 43 44 population that is specified at the neural plate border between the presumptive neural and non-neural ectoderm between somites 1-7. Vagal NC also contributes to 45 46 structures in various other organs such as the heart, thymus and lungs (Le Douarin et 47 al., 2004; Hutchins et al., 2018; Simkin et al., 2018; Espinosa-Medina et al., 2017). 48 After delaminating from the dorsal neural tube, vagal NC cells migrate first in a ventromedial direction and enter the foregut. Following entry into the gut, enteric neural 49 50 progenitors colonise the entire developing gut in a rostro-caudal direction. A number 51 of studies have provided valuable insights into the determinants of ENS progenitor 52 migration, proliferation and differentiation. These include the RET-GDNF (Heanue and 53 Pachnis, 2008; Durbec, Marcos-Gutierrez, et al., 1996; Durbec, Larsson-Blomberg, et 54 al., 1996) and Endothelin3-EDNRB (Baynash et al., 1994; Hosoda et al., 1994) 55 signalling pathways as well as the transcription factors SOX10, PHOX2B and ASCL1 56 (Elworthy et al., 2005; Bondurand et al., 2006; Memic et al., 2016). However, the 57 signals that shape an early ENS identity within vagal NC precursors remain less welldefined. 58

59 The axial identity of vagal NC cells is characterized by the expression of members of the HOX gene paralogous groups (PGs) 3-5 (Parker and Krumlauf, 2017; 60 61 Kam and Lui, 2015; Diman et al., 2011; Fu et al., 2003) and is patterned mainly by the 62 action of somite-derived retinoic acid (RA) signalling, which acts by "posteriorising" 63 cranial HOX-negative NC progenitors (Stuhlmiller and García-Castro, 2012; Frith et 64 al., 2018; Ishikawa and Ito, 2009). Studies on Xenopus embryos have also indicated an earlier role for RA in neural crest induction (Villanueva et al., 2002; Bang et al., 65 1997). Further, gain- and loss-of-function studies in embryos have pointed to a critical 66 role for RA in the specification of downstream vagal NC derivatives (Niederreither et 67 al., 2001; Niederreither et al., 2003; Robrini et al., 2016). This role is especially 68 prevalent in the development of the ENS where RA signalling components have been 69 70 shown to control ENS progenitor migration and proliferation (Uribe et al., 2018) 71 (Niederreither et al., 2003).

72 Human pluripotent stem cell (hPSCs) differentiation offers an attractive 73 approach for dissecting the molecular and signalling basis of early developmental cell 74 fate decisions. To date, a few studies have described the *in vitro* generation of ENS progenitors and enteric neurons from PSCs providing promising indications that these 75 76 cell populations can be effectively utilised for the modelling and treatment of 77 aganglionic gut conditions such as Hirschsprung disease (HSCR) (Barber et al., 2019; 78 Fattahi et al., 2016; Workman et al., 2016; Kawaguchi et al., 2010; Schlieve et al., 79 2017; Lai et al., 2017; Li et al., 2016). These protocols rely on dual TGFB/BMP inhibition to initially induce an anterior neuroectodermal intermediate that is 80 81 subsequently converted into NC through WNT and BMP signalling while a vagal axial identity is induced through RA supplementation to eventually yield ENS progenitors 82 after 10-15 days in culture (Fattahi et al., 2016; Lau et al., 2019; Workman et al., 2016). 83 84 However, there is mounting evidence, both *in vitro* and *in vivo*, that the neural crest is in fact specified directly from cells of a pre-gastrulation identity through intermediate 85 86 levels of BMP and early activation of the WNT signalling pathway (Basch et al., 2006; 87 Hackland et al., 2017; Prasad et al., 2019). This suggests a more direct route of enteric 88 neural crest induction is possible. Furthermore, the precise timing and concentration 89 of RA signalling that controls the positional identity of vagal neural crest cells has not 90 been clearly defined and it is not yet clear whether RA imparts an early enteric neural 91 identity in hPSC-derived vagal neural crest or acts solely as a positional identity 92 specifier.

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94 We recently described a robust protocol for the efficient production of putative 95 neural crest cells from hPSCs, (Hackland et al., 2017; Frith et al., 2018) that can acquire a vagal axial identity by exposure to RA (Frith et al., 2018). We have now 96 97 utilised this *in vitro* differentiation system to thoroughly investigate the role of RA in 98 both NC posteriorisation and ENS identity specification. We show that RA acts in a 99 dose-dependent manner on pre-specified NC precursors, rather than pluripotent or 100 neurectodermal cells, to induce expression of HOX genes indicative of a vagal 101 character. This process appears to take place in parallel with the induction of early 102 ENS progenitor markers. Crucially, we demonstrate that this effect of RA can be 103 exploited to direct the accelerated production of ENS progenitors (within 6 days of 104 differentiation), which are capable of generating enteric neurons and glia *in vitro* and

105 which have the ability to colonise the ENS of adult mice following long-term 106 transplantation. Our findings provide an efficient platform for the *in vitro* modelling of 107 human ENS development and enteric neuropathies, as well as the development of cell 108 therapy-based approaches for the treatment of such conditions.

109

110 **RESULTS**

111 The timing of retinoic acid signalling affects neural crest specification *in vitro*

We have previously shown that RA treatment of cranial NC precursors induces a vagal 112 113 axial identity as defined by expression of HOX PG members 1-5 (Frith et al 2018). To 114 define precisely the developmental time window during which RA exerts its action as 115 a posteriorising signal without perturbing NC specification, we exposed differentiating 116 hPSCs to 1µM all-trans RA at different stages of our NC differentiation protocol (Figure 1A). Induction of the NC markers p75 and SOX10, was assessed by flow 117 cytometry following antibody staining in a SOX10-GFP reporter hPSC line (Chambers 118 119 et al., 2012). Adding RA at day 0 of differentiation (the day of plating) did not result in any SOX10:GFP+/p75+ cells detected at day 5, whereas addition of RA at later time 120 points (day 3 or 4 of differentiation), was compatible with the production of 121 considerable numbers of SOX10:GFP+/p75+ cells (Figure 1B, C). Immunostaining 122 123 for SOX10 expression in two additional independent hPSC lines (H7 and MasterShef7) confirmed the same temporal effect of RA addition on NC differentiation 124 125 from hPSCs (Figure S1). These data suggest that RA signalling perturbs NC induction during the early stages of hPSC differentiation. Our findings also indicate that RA 126 127 exerts its effects exclusively on cells committed to a NC fate rather than earlier 128 ectodermal precursors or undifferentiated hPSCs.

129

Retinoic acid induces both vagal and enteric neural progenitor identities in a dose-dependent manner

132 RA has been shown to induce *HOX* gene expression in a dose-dependent manner *in* 133 *vitro* (Okada et al., 2004; Simeone A, 1990) and *in vivo* (Papalopulu et al., 1991; 134 Shimozono et al., 2013). To examine how the levels of RA signalling shape the 135 acquisition of axial identities in hPSC-derived NC cells, we treated day 4 HOX-136 negative cranial NC precursors with varying concentrations of RA ranging from 10^{-9} M 137 (1nM) to 10^{-6} M (1µM). This was followed by examination of the expression of various 138 HOX genes as well as NC and ENS progenitor markers (Figure 2). We found that expression of HOXB1 and HOXB2, was induced by RA at all concentrations in a dose-139 140 dependent manner (Figure. 2B, Figure. S2). In contrast, HOX genes marking vagal 141 NC (HOXB4, B5 and B7) were only induced when higher concentrations of RA were 142 employed (Figure. 2B). These data are consistent with previous findings showing that 143 higher concentrations of RA induce more caudal identities (Okada et al., 2004; 144 Simeone A, 1990). No expression of HOXC9 was observed with any RA concentration, 145 in line with other reports demonstrating that a trunk axial identity is mediated by WNT 146 and FGF signalling (Frith et al., 2018; Frith and Tsakiridis, 2019; Abu-Bonsrah et al., 2018; Bel-Vialar et al., 2002; Lippmann et al., 2015; Mazzoni et al., 2013; Metzis et 147 148 al., 2018; Denham et al., 2015; Hackland et al., 2019).

Expression of the NC markers SOX10, PAX7 and PAX3 was unaffected by the 149 150 levels of RA (Figure 2C, Figure S2) confirming our previous observation that NC 151 induction is not dependent on exogenous RA signalling (Figure 1). The highest 152 concentrations of RA also triggered the initiation of an ENS progenitor transcriptional 153 profile as defined by the expression of ASCL1 and PHOX2B that mark migrating ENS 154 progenitors (Blaugrund et al., 1996; Lo et al., 1991; Elworthy et al., 2005). Collectively, 155 these results indicate that acquisition of a vagal axial identity and ENS progenitor 156 specification in NC progenitors are tightly coupled events that are dependent on RA 157 signalling.

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RA-induced vagal NC/ENS progenitors generate putative enteric neurons *in vitro*

161 To test whether day 6 RA-treated vagal NC cells treated with 1µM RA possess ENS 162 progenitor potential we tested their ability to form enteric neurons in vitro. Day 6 RA-163 treated vagal NC cells were first cultured in the presence of WNT and FGF signals in 164 non-adherent conditions to generate spheres (Figure 3A), as described previously (Fattahi et al., 2016). Flow cytometry and fluorescence microscopy analysis showed 165 that these spheres retained SOX10:GFP expression and immunoreactivity to the NC 166 markers p75 and CD49d (Figure 3B, C). Retention of an ENS progenitor identity in 167 168 non-adherent culture conditions was also indicated by the sustained expression of 169 ENS precursor markers SOX10, PAX3, PAX7 and ASCL1 (Figure 3D). Spheres were 170 re-plated in conditions containing GDNF, ascorbic acid and NOTCH signalling 171 inhibition, which promotes enteric neuron differentiation (Fattahi et al., 2016; Okamura 172 and Saga, 2008; Theocharatos et al., 2013). One week following plating of spheres, we observed the emergence of cells with a neuronal morphology that expressed the 173 174 enteric neuronal markers TUJ1, RET, TRKC and PERIPHERIN (Figure 3F). Similar 175 results were obtained with two additional independent hPSC lines (Figure S3). 176 Markers of both enteric neurons and glia were detected by guantitative real time PCR (qPCR) in day 22 cultures (Figure 3G). Furthermore, ChAT, 5-HT, TH and ASCL1 177 178 expression further confirmed the presence of early enteric neurons in the cultures (**Figure 3G**). Transcripts for the early glial markers SOX10 and S100 β were also 179 detected in day 22 cultures, but expression of GFAP, that is characteristic of more 180 mature enteric glia, was not observed (**Data not shown**). Together these observations 181 182 suggest that day 6 RA-induced NC cells can give rise to enteric neurons and glia in 183 vitro.

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185 **RA-induced vagal NC/ENS progenitors colonise the adult mouse ENS** *in vivo.*

186 To assess the developmental potential of hPSC-derived vagal neural crest/ENS progenitors in vivo, we performed transplantations into the caecum of adult 187 immunodeficient Rag2^{-/-};yc^{-/-};C5^{-/-} mice. To track cells post transplantation, we used 188 189 the human induced pluripotent stem (iPS) cell line SFCi55-ZsGr that contains a 190 constitutive ZsGreen fluorescent reporter in the AAVS safe harbour locus (Lopez-191 Yrigoyen et al., 2018). ZsGreen+ iPS cells were differentiated toward vagal NC/ENS 192 progenitors as described above (Figures 2 & 3) and spheres were generated from 193 sorted p75⁺⁺ cells (Figure 4A, B). The cells were then transplanted to the serosal 194 aspect of the caecum in adult (4-8 week old) immunodeficient Rag2-/-;yc-/-;C5-/- mice and analysed for integration and differentiation at timed intervals. At 2 weeks post-195 196 transplantation ZsGreen+ cells were observed at the serosal aspect both within the 197 caecum and proximal colon. ZsGreen+ cells expressing the neuronal marker TUJ1 198 were also observed (Figure 4C; left; Arrowheads). Such ZsGreen+/TuJ1+ cells were 199 found to form filamentous and interconnecting projections along the serosal surface at 200 this timepoint. At 4 weeks post-transplantation ZsGreen+ cells were again observed 201 on the serosal surface at the presumptive site of transplantation. Importantly, 202 ZsGreen+ cells were also found within the tunica muscularis at the level of the 203 myenteric plexus. Within the tunica muscularis ZsGreen+ cells were found to co-204 express the neuronal marker TUJ1 both within myenteric ganglia-like structures and 205 as intramuscular neurons (Figure 4C; right). Additionally, ZsGreen+ cells, which were 206 also positive for the glial marker GFAP and located at the level of the myenteric plexus 207 were also detected (**Figure 4C**; right) suggesting that hPSC-derived ENS progenitors 208 have the potential to differentiate to the main enteric cell types after transplantation in 209 vivo. Moreover, 3 months after transplantation ZsGreen+ cells could be identified 210 across the gut wall both within individual myenteric ganglia (Figure 4D; left) and within 211 the submucosa, surrounding cryptal structures (Figure 4D; right). At this timepoint, we 212 observed the presence of ZsGreen+ cells which had differentiated into major enteric 213 neuronal subtypes defined by the expression of either neuronal nitric oxide synthase 214 (nNOS; Figure 4D; left) or vesicular acetylcholine transporter (vAChT; Figure 4D; 215 right). Together these results suggest that hPSC-derived ENS progenitors can 216 integrate into recipient gut tissue where they are maintained in the long-term and have 217 the ability to differentiate to multiple neuronal subtypes and glia.

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- 219

220 **Discussion**

221 We have described an efficient differentiation system that can be employed in multiple 222 hPSC lines, based on the use of retinoic acid to drive the concomitant induction of 223 both a vagal and an ENS progenitor identity in cranial neural crest progenitor cells. 224 The generation of early ENS progenitors after just 6 days, is quicker than other 225 previously published protocols that describe the production of similar cell populations 226 from hPSCs (Fattahi et al., 2016; Workman et al., 2016; Barber et al., 2019). The 227 common feature of these protocols is the induction of an anterior neuroectodermal 228 intermediate by dual-SMAD inhibition to produce NC that then becomes 229 "posteriorised" into a vagal axial identity by RA to give rise to ENS progenitors after 230 10-15 days. A likely reason for the accelerated production of similar progenitors in the 231 protocol we describe is that we employ a strategy that generates an NC precursor 232 population from hPSCs through WNT and intermediate levels of BMP signalling, which 233 then acquires a vagal/ENS identity through the action of RA. This is in line with our 234 previous findings (Frith et al., 2018), as well as with other studies (Basch et al., 2006; 235 Leung et al., 2016; Prasad et al., 2019) suggesting that NC specification occurs 236 independently of a neurectodermal precursor intermediate. Our protocol does not 237 include any serum replacement and is fully defined to reduce variability within 238 components of the differentiation media. We also use 'Top-Down Inhibition' to control

BMP signalling to reduce further variability and improve robustness across
independent hPSC lines (Hackland et al., 2017).

241 RA also appears to drive specification of early ENS progenitors as indicated by 242 expression of SOX10, ASCL1 and p75 (Figure 2), consistent with previous studies 243 that reveal a critical role for RA signalling in promoting ENS progenitor migration, 244 proliferation and differentiation (Niederreither et al., 2003; Uribe et al., 2018; Simkin et 245 al., 2013). Further, ENS progenitor identity is acquired alongside a vagal axial identity 246 during neural crest specification, in a manner that is dependent on the dose of RA. 247 This finding suggests that the gene regulatory networks that control axial identity and 248 cell fate are inter-linked and inter-dependent. RA signalling may control these 249 processes through the induction of vagal level HOX family members such as HOXB3 and HOXB5 as well as their TALE family co-factors, such as the MEIS family of genes, 250 251 which have been reported to regulate downstream ENS development (Chan et al., 2005; Kam et al., 2014; Kam and Lui, 2015; Uribe et al., 2018; Uribe and Bronner, 252 253 2015) by acting upstream of Ret (Zhu et al., 2014) and preventing apoptosis (Kam et 254 al., 2014).

255 Critically, our differentiation strategy rapidly yields (by day 6 of differentiation) 256 a promising well-defined cell population that can efficiently generate enteric neurons 257 and glia in vitro (Figure 3), and so may provide a potential cellular donor for the 258 treatment of enteric neuropathies. In contrast to previous in vivo studies utilising 259 hPSC-derived ENS progenitors, we chose to transplant our ENS progenitors into the gut of immunodeficient Rag2^{-/-};yc^{-/-};C5^{-/-} mice. This approach eliminates the 260 requirement for chemical immunosuppression and allows long-term study of donor cell 261 262 survival and integration within a "normal" host ENS microenvironment. Crucially, we 263 found that the hPSC-derived neurons were present within endogenous ENS ganglia 264 of adult mice up to 3 months post-transplantation, expressing the same characteristic 265 markers (nNOS and vAChT positive neurons) as the mouse neurons in their host environment (Figure 4). Further, the transplanted human cells populated both the 266 267 myenteric and submucosal plexuses of the gut, demonstrating that they are able to 268 migrate extensively within the gut wall and form neuronal networks even though the 269 host ENS remained intact (Figure 4). Recent studies have demonstrated the potential 270 of cellular transplantation for the treatment of enteric neuropathies. Importantly, both 271 postnatally-derived human and murine endogenous enteric neural stem cells (ENSC) 272 have been used for *in vivo* applications, in mice, demonstrating functional integration

(J.E. Cooper et al., 2017; J.E. Cooper et al., 2016; Stamp et al., 2017), and functional
rescue of an enteric neuropathy (McCann et al., 2017). Similarly, transplanted hPSCderived ENS progenitors generated through dual-SMAD inhibition have been shown
to integrate and migrate extensively within a mouse model of Hirschsprung disease
leading to increased survival (Fattahi et al., 2016). Our work here extends and
complements these studies providing further evidence in support of the use of hPSCs
as a promising platform for the development of cell therapies to treat ENS dysfunction.

281 Methods and Materials

282 hPSC culture

The human pluripotent stem cell lines H7 (WA07), H9 (WA09) (Thomson, 1998), H9:SOX10 (Chambers et al., 2012), Mastershef7 (Gouti et al., 2014), and SFCi55-ZsGr (Lopez-Yrigoyen et al., 2018) were grown in mTESR (Stem Cell Technologies # 85850) on 1:100 dilution of Geltrex (ThermoFisher A1413202) in DMEM/F12 (Sigma D6421). Cells were passaged at 80-90% confluency using ReLeSR (Stem Cell Technologies Catalog # 05873). Cells were incubated at 37°C in 5%CO₂.

289

290 Directed Differentiation

291 For vagal neural crest differentiation, we use a previously described protocol (Frith et 292 al., 2018). hPSCs at approximately 80% confluency were detached using Accutase 293 (Sigma-Aldrich A6964) for 10 minutes at 37°C to generate single cells. Cells were counted manually and plated at 50,000 cells/cm² on Geltrex coated plates 294 (ThermoFisher A1413202). Neural Crest differentiation media is comprised of 295 296 DMEM/F12 (Sigma-Aldrich), supplemented with 1x N2 (ThermoFisher 17502048), 297 NEAA (ThermoFisher 11140050), Glutamax (ThermoFisher 35050061), 1µM CHIR99021 (Tocris 4423), 2µM SB431542 (Tocris 1614/1), 1µM DMH-1 (Tocris 298 299 4126/10), 20ng/ml BMP4 (ThermoFisher PHC9533). All-Trans Retinoic Acid (Sigma R2625) was diluted in DMSO. 10µM Y-27632 dihydrochloride (Tocris 1254/1) was 300 301 added at day 0 until day 2 to assist attachment. For all vagal neural crest induction alltrans Retinoic acid was added at a final concentration of 1µM on day 4 unless specified 302 303 in the results. Media was changed every other day until day 5/6.

305 Spheres were generated as previously described (Fattahi et al., 2016). Day 6 cells 306 were treated with accutase to form a single cell suspension and replated in a media 307 containing a 1:1 mix of DMEM/F12 (Sigma) with Neurobasal (ThermoFisher 308 21103049) supplemented with 1x N2, 1x B27, 1x NEAA, 1x Glutamax, 3µM 309 CHIR99021, 10ng/ml FGF2 (R&D systems 233-FB/CF). Sphere media supplemented 10µM of Y-27632 dihydrochloride (Tocris) to ensure sphere formation and left until day 310 10. One well of a 6 well plate was plated into one well of an Ultra-Low Attachment 6 311 312 well plate (Corning 3471) or 6 well plates with a coating of 1% w/v agarose.

313

For enteric neuronal differentiation, day 10 spheres were plated onto Geltrex coated plates in BrainPhys (Stem Cell Technologies 05790) supplemented with 1x N2, 1x B27 (ThermoFisher 17504044), 100 μ M Ascorbic Acid (Sigma A8960), 10ng/ml GDNF (Peprotech 450-10) and 10 μ M DAPT (Sigma D5942). Media was changed every other day and once a week supplemented with Vitronectin (ThermoFisher A14700)

319

320 RNA extraction, cDNA synthesis & qPCR

RNA was extracted using a Total RNA purification plus kit (Norgen BioTek #48300)
per manufacturer's instructions. RNA concentration was measured using a nanodrop
(ThermoFisher). RNA was stored at -80°C. cDNA was synthesised using the HighCapacity cDNA Reverse Transcription kit (ThermoFisher 4368813) and stored at 20°C.

326 qPCR was performed on QuantStudio 12K Flex thermocycler (Applied Biosystems).

327 CT values were calculated against GAPDH for each sample. Relative quantities 328 calculated using the -2[^]CT method.

329

330 Immunofluorescence

Cells were fixed with 4% PFA for 10 minutes at room temperature and washed 3 times with 1x PBS (no Mg²⁺/ Ca²⁺). Cells were permeabilised and blocked with 1x PBS (no Mg²⁺/ Ca²⁺) supplemented with 10% FCS, 0.1% BSA and 0.3% Triton-X 100 for 1 hour at room temperature. Primary antibodies were diluted in permeabilisation buffer and incubated at 4°C overnight. Secondary antibodies were diluted in permeabilization buffer and stained in the dark at 4°C for one hour. Nuclei were counterstained with Hoechst 33342 (ThermoFisher H3570). Images were taken on an InCell Analyser
2500 (GE Healthcare).

339

340 Image Analysis.

341 Images were quantified using custom made pipelines on CellProfiler 2.2 (Carpenter et 342 al., 2006). Individual nuclei were identified by Hoechst staining and the fluorescence 343 intensity following staining was measured and related to nuclei. Positive staining was 344 scored based on having greater fluorescence intensity values than a threshold value 345 from a secondary only staining control for each biological repeat.

346

Flow Cytometry

Flow cytometry was carried out as described in (Frith et al., 2018). In short, a single cell suspension was generated using Accutase as described above. Cells were pelleted and resuspended in FACs buffer (DMEM/10% v/v FCS) at 1x10⁶ cells/ml. Gating for positive cells was based on a negative control consisting of cells not carrying a reporter or cells stained with P3X, an antibody from the parent myeloma (KOHLER and MILSTEIN, 1975; Hackland et al., 2017).

354

355 **Animals**

Animals were maintained, and experiments were performed, in accordance with the UK Animals (Scientific Procedures) Act 1986 under license from the Home Office (P0336FFB0) and approved by the University College London Biological Services Ethical Review Process. Animal husbandry at UCL Biological Services was in accordance with the UK Home Office Certificate of Designation.

Rag2^{-/-};γc^{-/-};C5^{-/-} mice, which lack innate immunity and are deficient in all lymphocytes
 (R.N. Cooper et al., 2003; Silva-Barbosa et al., 2005),were used as recipients for all
 hPSC-derived vagal neural crest/ENS progenitor transplantations.

364

365 In vivo Cell Transplantation

366 ZsGreen+ spheres were transplanted to the caecum of 4-8 week-old immunodeficient 367 Rag2^{-/-}; $\gamma c^{-/-}$;C5^{-/-} mice, via laparotomy under isofluorane anesthetic. Briefly, the 368 caecum was exposed and ZsGreen+ spheres, containing 1 million cells each, were 369 subsequently transplanted to the serosal aspect of the caecum by mouth pipette, using 370 a pulled glass micropipette. Each transplanted tissue typically received 3 ZsGreen+

371 spheres which were manipulated on the surface of the caecum with the bevel of a 30G 372 needle to ensure correct positioning. Transplanted Rag2^{-/-}; γ c^{-/-};C5^{-/-} mice were 373 typically maintained for up to 3 months post-transplantation before sacrifice and 374 removal of the caecum and proximal colon for analysis.

375

376 Wholemount Gut Immunohistochemistry

377 Wholemount immunohistochemistry was performed on transplanted caecal and proximal colon segments after cervical dislocation and excision. Tissues were fixed in 378 379 ice cold 4% PFA for 45 min at 22°C. After fixation, tissues were washed for 24h in 1x PBS at 4°C. Cells were permeabilised and blocked with 1x PBS supplemented with 380 381 1% Triton X-100 and 10% sheep serum. Primary antibodies were diluted in 382 permeabilisation buffer and incubated at 4°C for 48h. Secondary antibodies were diluted in permeabilization buffer and stained in the dark for one hour at 22°C. Nuclei 383 were counterstained with DAPI (Sigma). Before mounting, tissues were washed 384 thoroughly in 1x PBS for 2h at 22 °C. Tissues were examined using a LSM710 Meta 385 confocal microscope (Zeiss). Confocal micrographs of whole mounts were digital 386 387 composites of the Z-series of scans (0.5-1µm optical sections, 10–50µm thick).

396 Antibodies

	Antibody	Species	Source	Dilution
In Vitro	SOX10	Rabbit	Cell Signalling Technology (D5V9L) #89356	1:500
	RET	Rabbit	Abcam ab134100	1:1000
	TUJ1	Mouse	Abcam ab78078	1:1000
	TRKC	Rabbit	Cell Signalling Technology (C44H5) #3376	1:1000
	PERIPHERIN	Rabbit	Millipore AB1530	1:100
	P3X	Mouse	In House myeloma P3X63Ag8 (Kohler & Milstein 1975)	1:10
	p75	Mouse	In House Hybridoma Clone ME20.4 (Ross et al., 1984)	1:20
	CD49d	Mouse	BioLegend 304302 Clone 9F10	1:100
Transplants	TUJ1	Mouse	BioLegend MMS-435P	1:500
	GFAP	Rabbit	Millipore AB5804	1:500
	nNOS	Rabbit	Invitrogen 61-7000	1:400
	vAChT	Goat	ThermoFisher Scientific OSH00003W	1:200
	DAPI		Sigma D8417	1:1000

Primers

Gene	Forward	Reverse	Roche UPL Probe
GAPDH	agccacatcgctcagacac	gcccaatacgaccaaatcc	60
HOXB1	ccagctaggggggcttgtc	atgctgcggaggatatgg	39
HOXB2	aatccgccacgtctcctt	gctgcgtgttggtgtaagc	70
HOXB4	ctggatgcgcaaagttcac	agcggttgtagtgaaattcctt	62
HOXB5	aagcttcacatcagccatga	cggttgaagtggaactccttt	1
HOXB7	ctacccctggatgcgaag	caggtagcgattgtagtgaaattct	1
HOXC9	gcagcaagcacaaagagga	cgtctggtacttggtgtaggg	85
SOX10	ggctcccccatgtcagat	ctgtcttcggggtggttg	21
PAX3	aggaggccgacttggaga	cttcatctgattggggtgct	13
PAX7	gaaaacccaggcatgttcag	gcggctaatcgaactcactaa	66
ASCL1	cgacttcaccaactggttctg	atgcaggttgtgcgatca	38
PHOX2A	cactaccccgacatttacacg	gctcctgtttgcggaactt	17
PHOX2B	ctaccccgacatctacactcg	ctcctgcttgcgaaacttg	17
SST	accccagactccgtcagttt	acagcagctctgccaagaag	38
CHAT	cagccctgatgccttcat	cagtcttcgatggagcctgt	78
TH	acgccaaggacaagctca	agcgtgtacgggtcgaact	42
5-HT	tgatgtcacttgccatagctg	caggtaaatccagactgcacaa	3
S100β	ggaaggggtgagacaagga	ggtggaaaacgtcgatgag	78
GFRα1	caccattgccctgaaagaat	cgcttttaggggttcaggtc	36

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425 Author Contributions

426 TF, PWA, JOSH, CM, AJB, NT conceived the project. TF, CM designed, performed 427 and analysed experiments with help from AT & AG.

- 428 IB, PWA, AT, NT, AJB and CM provided financial support. TF, AT, CM and PWA
- 429 wrote the manuscript.

430 Figure Legends

Figure 1: Retinoic Acid affects neural crest specification in a time-dependent manner

433 (A) Schematic showing the neural crest differentiation protocol and timepoints434 corresponding to addition of all-trans retinoic acid (RA).

(B) FACs plots after 5 days of differentiation showing representative SOX10:GFP and
p75 expression after RA addition at indicated timepoints during neural crest
differentiation. Gates were set based on the negative control from a GFP negative line
stained with P3X as a staining control.

439 (C) Quantifications of the percentage of cells that display SOX10 expression in three
440 different lines following FACs or immunofluorescence analysis. Graphs show
441 percentages of SOX10+ cells normalised to a control condition where no RA was
442 added. Bars state the mean ± standard deviation of 4 biological repeats for
443 SOX10:GFP hPSCs and 3 biological repeats for H7 & MasterShef7. * P<0.05 **
444 P<0.01 One-way-Anova.

445

Figure 2: Retinoic induces a vagal axial and an early ENS progenitor identity ina dose dependent fashion.

448 (A) Schematic showing the differentiation protocol with RA addition at day 4.

(B) qPCR plots showing the induction of *HOX* genes in day 6 neural crest cells after
exposure to different concentrations of retinoic acid. Data presented are relative
quantities compared to *HOX* negative cells that were not treated with retinoic acid.
Bars represent mean + standard deviation from 3 biological repeats of SOX10:GFP
hPSCs.

454 **(C)** qPCR plot showing the expression of the neural crest markers *SOX10*, *PAX3* and 455 the enteric neural precursor marker *ASCL1* in day 6 cells following 2 days exposure 456 to different concentrations of retinoic acid. Bars represent the mean + standard 457 deviation of 3 biological repeats of SOX10:GFP hPSCs.

458 (D) qPCR plots showing the expression of indicated neural crest and enteric neural
 459 precursor markers in day 6 cells that have been subjected to two days exposure of
 460 1μM retinoic acid.

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463

464 Figure 3: Day 6 putative enteric neural precursors can generate putative enteric 465 neurons *in vitro*.

- 466 (A) Schematic to depict the culture of day 6 cells in non-adherent conditions.
- 467 **(B)** FACs plots showing the retention of SOX10:GFP and p75/CD49d co-expression
- 468 from day 6 to day 10 after culture in non-adherent conditions with WNT/FGF.
- 469 (C) Representative photomicrograph of neural crest spheres at day 8 showing
 470 SOX10:GFP positive cells forming spheres.
- 471 (D) qPCR graph showing that the expression of the neural crest markers SOX10,
- 472 *PAX7, PAX3* and the enteric neural precursor marker *ASCL1* is retained between day
- 473 6 and day 10 following culture in non-adherent conditions. Bars represent
 474 mean±standard deviation of 3 biological repeats.
- 475 (E) Schematic depicting the conditions to generate enteric neurons from day 10476 spheres following plating.
- 477 **(F)** Immunofluorescence analysis shows the presence of cells that are positive for
- TUJ1, RET, TRKC and PERIPHERIN at day 17 of differentiation. Scale bars are $50\mu m$.
- 479 **(G)** qPCR analysis shows the induction of markers for early enteric neurons and early
- glial progenitors at day 22 of differentiation. Expression is shown as relative quantity
 compared to hPSCs. Bars represent mean + standard deviation of 3 biological
 repeats.
- 483 All data shown have been obtained using SOX10:GFP hPSCs.
- 484

Figure 4: hPSC derived enteric neuronal precursors integrate into the mouse
ENS after transplantation.

487 (A) Schematic depicting the experimental procedures for transplantation of hPSC 488 derived ENS progenitors

(B) Sorting strategy to isolate ZsGreen+/p75++ labelled putative ENS progenitors
 following *in vitro* differentiation. P3X antibody is control for antibody staining.

491 (C) Wholemount images of gut tissue corresponding to the indicated regions obtained
492 from immunodeficient mice at 2 weeks and 4 weeks post-transplantation and showing
493 the presence of ZsGreen+ cells that are positive for the neuronal marker TUJ1
494 (Arrows) amongst endogenous TUJ1+ neurons (Arrowheads), and glial marker GFAP
495 after immunostaining. Pr. Colon, proximal colon.

496 (D) Images showing the differentiation of hPSC-derived ENS progenitors into different
 497 subtypes of enteric neurons including nNOS positive and vAChAT positive neurons in
 498 the caecum of Rag2^{-/-};yc^{-/-};C5^{-/-} mice at 3 months post-transplantation. Arrows are

499 transplanted ZsGreen+ cells; Arrowheads are endogenous enteric neurons.

500 **(E)** Table showing the numbers of mice in which ZsGreen+ cells were identified over 501 the total number of transplanted mice analysed at indicated timepoints post-502 transplantation.

503

504 Supplementary Figure 1: RA timing conserved across other hPSC lines.

505 (A-B) Immunofluorescence images showing SOX10 expression at day 5 after RA was

added at different times during neural crest differentiation of H7 (A) and MasterShef7

507 **(B)** hPSCs.

508 **(C)** Quantification of the number of GFP positive cells from SOX10:GFP hPSCs (4 509 biological repeats) and SOX10 positive cells in H7 and MasterShef7 after addition of 510 RA at different time points from 3 biological repeats. Bars are mean ± standard

511 deviation. *P<0.05, N.S= not significant One-Way Anova compared to day 5 cells not

- 512 treated with RA.
- 513

514 Supplementary Figure 2: HOX gene induction and early enteric neural marker 515 induction is dependent on the concentration of RA

516 qPCR analysis showing HOX gene and early ENS progenitor marker induction after 6

517 days of differentiation following RA exposure of H7 (A) and MasterShef7 (B) hPSCs 518

519 Supplementary Figure 3: Generation of enteric neurons in 2 further independent

- 520 hPSC lines.
- 521 (A) Schematic of enteric neuron differentiation protocol.
- 522 **(B)** Immunofluorescence showing the cells that are positive for TUJ1 and TRKC at day
- 523 17 of differentiation. Scale bars are $50\mu m$.
- 524
- 525

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DAY OF RETINOIC ACID ADDITION

DAY OF RETINOIC ACID ADDITION

DAY OF RETINOIC ACID ADDITION



SOX10

ASCL1





S100β

SOX10





Duration	Presence of	
Post Transplant	ZsGreen+ Cells	
2 Weeks	2/2	
4 Weeks	8/9	
3 Months	3/4	
Total	13/15	

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Figure S2



