- The Derived-Band Envelope Following Response and its Sensi-
- 2 tivity to Sensorineural Hearing Deficits
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- 22 Abstract
- The envelope following response (EFR) is a sensitive marker of synap-
- topathy in animal models. However, its amplitude is affected by the spread

of basilar-membrane excitation and other coexisting sensorineural hearing deficits. This study aims to (i) improve frequency specificity of the EFR by introducing a derived-band EFR (DBEFR) technique and (ii) investigate the effect of lifetime noise exposure, age and outer-hair-cell (OHC) damage on DBEFR magnitudes. Additionally, we adopt a modelling approach to validate the frequency-specificity of the DBEFR and test how different aspects of sensorineural hearing loss affect peripheral generators. The combined analysis of simulations and experimental data proposes that the DBEFRs extracted from the [2-6]-kHz frequency band is a sensitive and frequency-specific measure of synaptopathy in humans. Individual variability in DBEFR magnitudes among listeners with normal audiograms was explained by their selfreported amount of experienced lifetime noise-exposure and corresponded to amplitude variability predicted by synaptopathy. Older listeners consistently had reduced DBEFR amplitudes in comparison to young normal-hearing listeners, in correspondence to how age-induced synaptopathy affects EFRs and compromises temporal envelope encoding. Lastly, OHC damage was also seen to affect the DBEFR amplitude, hence this marker should be combined with a marker sensitive to OHC-damage to offer a differential diagnosis of synaptopathy in listeners with impaired audiograms.

44 Keywords

- derived-band envelope following response; cochlear synaptopathy; sen-
- 46 sorienural hearing-loss; supra-threshold hearing deficits

1. Introduction

Struggling to understand speech in noisy environments is a prevalent complaint of the ageing population, even if they have normal audiometric thresholds. Although thresholds are informative about the sensory function of the cochlea, they are insensitive to auditory-nerve (AN) fiber loss, which is the first sign of permanent hearing damage (Kujawa and Liberman, 2009; Liberman and Kujawa, 2017) and related to supra-threshold hearing (Bharadwaj et al., 2014). Recent animal studies have shown that overexposure to noise, ageing and ototoxicity can lead to an irreversible loss of AN synapses, i.e. cochlear synaptopathy (CS), and delayed degeneration of cochlear neurons, while leaving the cochlear sensory hair cells intact (Kujawa and Liberman, 2009; Lin et al., 2011; Liu et al., 2012; Furman et al., 2013; Lobarinas et al., 2017; Valero et al., 2017). Even if the noise exposure dose only causes a temporary threshold shift (Kujawa and Liberman, 2009), noiseinduced AN fibers degeneration can progress through the lifespan and yield an increased sensitivity of the ear to age-induced hearing dysfunction (Fernandez et al., 2015). Additionally, reduced numbers of spiral ganglion cells in post-mortem histology of human temporal bones with preserved sensory cells, confirmed the existence of age-related CS in humans (Makary et al., 2011; Viana et al., 2015; Wu et al., 2019). Thus, noise exposure and ageing are important causes of CS, a deficit which compromises the temporal coding fidelity of supra-threshold sound as a result of a reduced number of afferent AN synapses innervating the inner hair cell (Bharadwaj et al., 2014, 2015). Since the discovery of CS, several attempts have been made to associate changes in indirect and non-invasive measures of auditory function such as scalp-recorded auditory evoked potentials (AEPs) to the histologically quantified degree of AN fibers loss in animals. For example, auditory brainstem responses (ABRs), evoked by transient stimuli and reflecting the synchronized onset responses of AN fibers (Don and Eggermont, 1978) showed a decreased wave-I amplitude after synaptopathy due to noise-exposure (Kujawa and Liberman, 2009; Lobarinas et al., 2017; Lin et al., 2011), despite recovered normal distortion product otoacoustic emission (DPOAE) and ABR thresholds. The number of AN fibers can also be quantified using envelope following responses (EFRs), which capture how well AN fibers can phase-lock to the stimulus envelope (Joris and Yin, 1992). The EFR can be extracted from scalp-electrodes in response to a sinusoidally amplitude modulated (SAM) pure-tone stimulus (Bharadwaj et al., 2014), and has been proposed as an AEP-based measure of CS (Shaheen et al., 2015; Parthasarathy and Kujawa, 2018). Despite the strong relation between AEP markers and CS in animal studies, the indirect nature of AEP recordings hinders a clear and direct interpretation of response strength in terms of CS. First of all, a mixture of sources contribute to scalp potentials, some of which are electrical activity induced by subject-specific factors and unrelated to the sound-driven response (e.g. head size, age, sex, geometry of the generators and physiological noise level, Trune et al., 1988; Mitchell et al., 1989; Bharadwaj et al., 2014; Plack et al., 2016). Other sources relate to the sound-driven response but depend on outer-haircell (OHC) health (Gorga et al., 1985) or cochlear tonotopy (Don and Eggermont, 1978). Lastly, the scalp-recorded AEP is strongly influenced by stimulus characteristics and the corresponding spread of basilar-membrane (BM)

excitation, which can confound a frequency-specific diagnosis of CS (Bharadwaj et al., 2014, 2015; Verhulst et al., 2018a; Encina-Llamas et al., 2019). To address these issues, several studies have proposed differential/relative AEPbased metrics: the EFR amplitude slope as a function of modulation depth (Bharadwaj et al., 2014, 2015), ABR wave-V latency changes in different 101 levels of background noise (Mehraei et al., 2016), EFR magnitude differences 102 to stimuli with different modulation depths (Bharadwaj et al., 2015; Guest 103 et al., 2018), or the combined use of EFR signal-to-noise ratios (SNRs) with 104 ABRs to segregate mixed hearing pathologies and normalize inter-individual 105 variabilities (Vasilkov and Verhulst, 2019). Secondly, a number of techniques 106 have been proposed to confine ABR generation to specific frequency bands: 107 the use of simultaneous off-frequency masking paradigms, i.e. the derived-108 band ABR (Eggermont, 1976; Don and Eggermont, 1978), tone-burst ABRs (Rasetshwane et al., 2013) and notched noise paradigms (Abdala and Fol-110 som, 1995). Lastly, asynchrony of low-spontaneous rate (LSR) AN fibers to 111 the transient stimulus (Bourien et al., 2014) may limit the use of the ABR wave-I amplitude to capture all aspects of CS, as noise-induced CS might 113 preferentially affect LSR AN fibers (Furman et al., 2013). This study proposes the use of a relative derived-band EFR method 115 (DBEFR), to confine the EFR to a specific frequency band. We hypothesize 116 that the relative metric design of the DBEFR reduces the impact of subject-117 specific factors and increase its sensitivity to individual sensorineural hearing 118 deficits. DBEFR magnitudes were extracted from individuals in four groups to study their applicability to diagnose sensorineural hearing deficits: (1) a young normal-hearing control group, (2) a group with self-reported hearing

difficulties in noisy environments. (3) a group of older listeners with normal audiograms and (4) an age-matched group with sloping high-frequency 123 audiograms. We assumed that the second group might be affected by CS due to noise overexposure or ageing and that the third group might be affected by age-induced CS, without co-occurring OHC damage. Aside from collecting DBEFRs, we assessed individual OHC function using audiomet-127 ric and DPOAE thresholds. In line with animal studies of age-related and 128 noise-induced synaptopathy, we expect that the DBEFR will be reduced in all but the control group. However, a direct assessment of the individual degree of OHC and AN damage is impossible and hence we complemented our 131 experimental work with a modelling approach to better understand the re-132 lationship between sensorineural pathologies and their effect on the DBEFR 133 magnitudes. Models can study the impact of AN fibers and sensory hair cells damage on the EFR generators independently and concomitantly to under-135 stand their respective roles on DBEFR generation (Verhulst et al., 2016, 136 2018a,b). We adopt a biophysically inspired model of the human hearing periphery calibrated for ABR and EFR simulation (Verhulst et al., 2018a) and considered the simulations together with the data to interpret the implications of our findings for DBEFR-based hearing diagnostics.

2. Materials and Methods

Two experiments were conducted at two recording locations. In the first experiment (University of Ghent), normal-hearing (NH) and listeners with self-reported hearing difficulties (NHSR) participated. In the second experiment (University of Oldenburg), a total of 43 participants were recruited in

three groups: a young NH control group (yNH), an older NH group (oNH) and an older group with sloping high-frequency audiogram (oHI). Ethical approvals were obtained from University of Ghent and Oldenburg and all participants were informed about the experimental procedure and an informed consent was obtained from each subject before the experiment.

2.1. Participants

16 NH listeners with ages between 18 and 30 (NH: 24.21 ± 4.10 years, five 152 females) and 9 NH subjects with self-reported hearing difficulties (NHSR) with ages between 23 to 49 (NHSR: 33.78±8.57 years, three females) participated in the first experiment. The NHSR participants were recruited using a flyer asking whether they had speech understanding difficulties in the presence of background noise, while not presently being treated for hearing disorders. Measurements were conducted in two sessions per subject, 158 with a maximum sound exposure time of 90 minutes per session. The partic-159 ipants filled out a questionnaire, in which they were asked how often (yearly, monthly, weekly or daily) they had been playing a musical instrument in a band, attended festivals, concerts or discotheques and used noisy tools during their lifetime. Moreover, the total number of noise-exposed sessions, 163 their duration and estimated noise loudness (a score between 1 to 5) were also 164 obtained (Degeest et al., 2014). Audiograms were measured with an Interacoustics Clinical Computer Audiometer (AC5) at ten standard frequencies between 0.25 and 8 kHz. 167 The second experiment was conducted with three participant groups com-168 posed of: 15 young normal-hearing (yNH: 24.53±2.26 years, eight female), 169 16 old normal-hearing (oNH: 64.25±1.88 years, 8 female) and 12 old hearingimpaired (oHI: 65.33 ± 1.87 years, seven female) participants. All yNH participants had pure-tone thresholds below 20 dB-HL at all measured frequencies between 0.125 and 10 kHz (Auritec AT900, Hamburg, Germany audiometer). In both experiments, the audiometrically better ear was chosen for the experiment and stimuli were presented monaurally while participants were seated in a comfortable chair in an acoustically and electrically shielded sound booth, watching silent movies with subtitles to stay awake. Figure 1 shows audiograms of the subjects in all groups. From here on, \triangle stands for the NH group in the first experiment, \square for NHSR group, \diamondsuit for yNH in the second experiment, \bigcirc for oNH and \vartriangleleft for oHI group.

2.2. Distortion Product Otoacoustic Emissions (DPOAEs)

In the first experiment, DPOAEs were recorded to ten primary-level pairs, 182 (L_1, L_2) , at nine primary-frequency pairs: $f_2 = [546, 780, 1002, 1476, 1998,$ 183 3012, 3996, 6006, 8003] and $f_1 = f_2/1.2$. L₂ ranged from 20 to 65 dB-SPL in 5 dB steps and $L_1 = 0.4L_2 + 39$ dB, according to the scissors paradigm 185 (Kummer et al., 1998). The nine primary frequency pairs were chosen to have complete stimulus periods of the primaries in each pair. For each frequency and level pair, 45 repetitions were generated in MATLAB 2016b and 188 an ER-10X extended-bandwidth Etymotic Research probe system was used 189 to deliver the two pure tones via a loudspeaker/microphone probe inserted 190 in the ear-canal using a silicone eartip. The response was recorded and digitized using a Fireface UCX external sound card (RME). The pure tones 192 were calibrated separately using a B&K artificial ear and B&K sound level 193 meter at each primary frequency, separately. The time-domain ear-canal 194 recordings were converted to pressure using the microphone sensitivity (50

 $\frac{\text{mV}}{\text{Pa}}$) and pre-amplifier gain (40 dB). Then, I/O functions were calculated for the measured primary-frequency pairs by defining the L_{DP} as the averaged spectrum magnitude at the 2f₁-f₂ cubic distortion frequency, multiplied by $\frac{2}{N\sqrt{2}}$, where N is the number of samples at each f₂ response. Finally, a linear function L_{DP} =a L_2 +b was fit to the bootstrapped data-points and the crossing point with $L_{DP}=0$ Pa was defined as the DPOAE threshold at the 201 measured f₂ frequency. DPOAEs in the second experiment were acquired 202 using a custom-made software (Mauermann, 2013) which implements a pri-203 mary frequency sweep method at a fixed f_2/f_1 of 1.2 (Long et al., 2008). The primary frequencies were swept across an 1/3 octave range around the $f_2 = 4kHz$ geometric mean with a duration of 2s/octave Primary levels were chosen according to the scissors paradigm (Kummer et al., 1998). DPOAE thresholds were calculated by fitting a linear function to the bootstrapped data-points and was extrapolated to cross L_{DP}=0 Pa. Additional details on 209 the experimental procedure can be found in Verhulst et al. (2016).

2.3. Envelope Following Responses (EFRs)

The EFR stimuli in the first experiment were five filtered 70 dB-SPL 212 white noise carriers, which were 100% modulated with a 120-Hz sinusoid. To 213 generate them, the white noise was filtered between the following frequency 214 regions: [0.25-22], [0.5-22], [1-22], [2-22] and [4-22] kHz, using a 1024th order 215 FIR band-pass filter designed by the Blackman-window method. In each frequency band, a stimulus with a duration of 1.25 s was generated in MATLAB 217 2016b, windowed with a 1.25% cosine-tapered window and delivered monau-218 rally over ER-2 earphones, connected to a Fireface UCX external sound card 219 (RME) and a TDT-HB7 headphone driver. A uniformly-distributed random

silence jitter was applied between consecutive epochs (200 ms±20 ms) of the 370 stimulus presentations. The stimuli were calibrated to have the same 222 spectral magnitude using a B&K sound-level-meter type 2606. Hence, the 223 narrower stimuli had lower sound pressure levels than the broader condition. Figure 2a shows spectral illustration of the designed stimuli. Scalp-recorded potentials were obtained with a 64-Channel Biosemi EEG recording system 226 and a custom-built trigger box using a sampling frequency of 16384 Hz. The 227 electrodes were placed according to the 10-20 standard, using highly conduc-228 tive gel (Signa gel). The Common Mode Sense (CMD) and Driven Right Leg (DRL) electrodes were placed on top of the head. Six external channels were used as well, i.e. two earlobe electrodes as reference and the remaining 231 electrodes were placed on the forehead and cheeks to record electrical ac-232 tivity induced by horizontal and vertical eye movements. All channels were re-referenced to the average of the two earlobe electrodes. In the second experiment, four EFR stimuli with white noise carriers were band-pass filtered using the same filter as in the first experiment in $\left[\frac{0.5}{\sqrt{2}}\right]$ 16], $\left[\frac{1}{\sqrt{2}},\ 16\right]$, $\left[\frac{2}{\sqrt{2}},\ 16\right]$ and $\left[\frac{4}{\sqrt{2}},\ 16\right]$ kHz frequency regions. Stimuli were 95% modulated with a 120-Hz pure tone and presented at 70 dB SPL using the same configuration as the first experiment. The stimuli had a duration of 400 ms, were 2.5% ramped with a tapered-cosine window and presented 1000 times using a uniformly distributed random inter-stimulus silence jitter of 100 ms±10 ms. The calibration was performed in the same way as for the first experiment, but using B&K sound level meter type 2610. A 64-channel Biosemi EEG system was adopted to record the responses using EEG caps with equidistant electrode spacing. The CMS and DRL electrodes

were located on the fronto-central midline and on the tip of the nose of the participants, respectively.

48 3. EFR Analysis

Acquired EFRs were first filtered using an 800th order Blackman window-249 based FIR filter between 60 and 600 Hz, using the filtfilt function of MAT-250 LAB to avoid time delays and phase shifts. Signals were broken into 1-s long epochs relative to the trigger onset, from 0.25 to 1.25 s in the first and into 0.3-s long epochs, from 0.1 to 0.4 s in the second experiment. Baseline correction was applied before the epochs were averaged across trials. 30 and 254 100 epochs were rejected on the basis of the highest peak-to-trough values 255 in the first and second experiment, respectively. Since the firing patterns of neurons are influenced by factors such as instantaneous external inputs, previous firing patterns and the general state of the system, the interpretation of the raw EFR spectrum resulting from the Fast Fourier Transform (FFT) 259 of the averaged epochs is challenging. Synaptic delays and axon conduction limitations cause a $\frac{1}{f}$ behaviour in EEG (Buzsaki, 2006, Chapter 10) and it is 261 crucial to suppress this noise-floor to analyse the stimulus-driven spectrum. The bootstrapping approach proposed in Zhu et al. (2013) was employed to estimate the $\frac{1}{f}$ noise-floor component. First, 340 epochs were drawn ran-264 domly with replacement, among the 340 epochs (900 epochs in the second 265 experiment). Then, the FFT of these epochs were averaged. This procedure 266 was repeated $N_1=200$ times ($N_2=400$ for the second experiment), resulting in a nearly Gaussian distribution of raw, averaged spectra. The average value of this distribution yielded the frequency domain representation of the EFRs.

Afterwards, the same procedure with $M_1=1000$ repetitions ($M_2=1200$ for the second experiment) and phase-flipped (180°) odd epochs was followed to estimate the spectral noise-floor as a function of frequency. The idea behind this approach is that the time-locked response is suppressed if the averaging is repeated sufficiently across phase-inverted epochs. Finally, the averaged absolute values of the estimated noise floors were subtracted from the averaged absolute values of the EFR spectra amplitudes to obtain the stimulus-driven EFR spectrum:

$$EFR_{Spec}(f) = \frac{2}{n_p} (|\frac{\sum_{i=1}^{N} FFT(X_i)}{N_p}| - |\frac{\sum_{j=1}^{M} FFT([-1]^j X_j)}{M_p}|)$$
(1)

X represents the epochs vector, N the number of bootstrap repetitions, M the number of repetitions to estimate the noise-floor, p the experiment number (i.e. one or two) and n equals the number of FFT points ($n_1=16384$ and $n_2=8192$).

EFR_{Spec} peak values, which were four standard deviations above the noise-floor at 120 Hz, i.e. the modulation frequency, and the following two harmonics at 240 and 360 Hz, were added to yield EFR magnitude of the corresponding condition.

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$$EFR_{PtN} = \sum_{k=0}^{2} EFR_{Spec}(f_k), \qquad f_k = 120 \times (k+1)$$
 (2)

To construct DBEFRs, the calculated EFR_{PtN} for each narrower-band condition was subtracted from the following wider-band condition using:

$$DBEFR_{PtN} = \begin{cases} (EFR_{PtN})_{wide} - (EFR_{PtN})_{narrow}, & (EFR_{PtN})_{wide} > (EFR_{PtN})_{narrow} \\ 0, & (EFR_{PtN})_{wide} \le (EFR_{PtN})_{narrow} \end{cases}$$

$$(3)$$

Derived frequency bands from EFRs to the first experimental stimuli are shown schematically in Fig. 2b.

²⁹¹ 4. Questionnaire analysis

The completed questionnaires from the participants in the first experi-292 ment were used to estimate the individual life-time noise exposure dose. To this end, the collected individual data related to the frequency and duration 294 of experienced noise exposure were converted to a number of sessions per year 295 multiplied by the duration and the personal estimated noise loudness scores, 296 i.e. a number between 1 and 5. We followed the procedures as described in Degeest et al. (2014). The scores were separately calculated for questionnaire 298 categories: (i) playing musical instrument in a band, (ii) attending festivals, 299 concerts and discotheques and (iii) using noisy tools. Outcomes were nor-300 malized across NH and NHSR groups participants by the highest reported 301 dose, i.e. 30600, 18480 and 26000 hours in each category, respectively.

5. Model Simulations

A biophysical model of the human auditory periphery (Verhulst et al., 2018a), schematically shown in Fig. 3, was adopted to simulate the experimental conditions and to investigate the effect of different aspects of sensorineural hearing deficits on the EFR_{PtN} and DBEFR_{PtN} magnitudes. The

original implementation of the model is described in Verhulst et al. (2018a) and can be downloaded from "https://github.com/HearingTechnology/ Verhulstetal2018Model". The parameters which determine the weights between the population AN, cochlear nucleus (CN) and inferior colliculus (IC) responses were adjusted along with the AN innervation patterns across CF for the purpose of this study.

5.1. Auditory nerve-fiber distribution

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The original model implementation introduced the same number of synapses between inner-hair-cells (IHCs) and AN fibers for all simulated characteristic frequencies (CF), whereas human and rhesus monkey innervation patterns show a bell-shaped pattern across CF. To make the model more realistic, the averaged synaptic counts of four control rhesus monkeys (seven ears) and nine frequencies (Valero et al., 2017) were mapped to corresponding fractional distances of the human cochlea using the monkey place-frequency map (Greenwood, 1990). Fractional distances from the base of cochlea, d_i , were calculated according to the measured frequency points (f_{RM_i}) :

$$f_{RM_i}[in Hz] = 360(10^{2.1(1-d_i)} - 0.85), \qquad i = 1, 2, ..., 9$$
 (4)

The obtained d_i s were substituted into the analogous Greenwood map equation for humans, yielding the corresponding frequency points (f_{H_i}) :

$$f_{H_i}[in Hz] = 165.4(10^{2.1(1-d_i)} - 0.88), \qquad i = 1, 2, ..., 9$$
 (5)

To calibrate the model with the applied AN pattern, a 70 dB-nHL clicktrain containing both stimulus polarities was presented at a rate of 11 Hz. 328 To perform this calibration, simulated ABR wave amplitudes were matched to the experimental data on the basis of 55 averages. Specifically, the $M_1 = 4.6729 \times 10^{-14}, M_3 = 5.6885 \times 10^{-14} \text{ and } M_5 = 14.641 \times 10^{-14} \text{ param-}$ eters were adjusted on the basis of average NH ABR wave-I, III and V reference data from Picton (2010), i.e. $w_I = 0.15 \mu V_p$, $w_{III} = 0.17 \mu V_p$ and 333 $w_V = 0.61 \mu V_{pp}.$ Using the synapse counts from rhesus monkey and the mapped frequency 335 points for the human cochlea (f_{H_i}), a "smoothing spline" curve was fit to esti-336 mate the number of synapses across all frequency channels in the model. Fi-337 nally, to simulate different AN fiber types, i.e. high spontaneous-rate (HSR), 338 medium spontaneous-rate (MSR) and LSR fibers, and their properties, the obtained population distribution was multiplied by the corresponding AN 340 type proportion factor C, i.e. $C_{\rm HSR}=0.60,~C_{\rm MSR}=0.25$ and $C_{\rm LSR}=0.15$ 341 (Liberman, 1978, cat data), before responses were summed at each simulated CF and fed to the CN model. The simulated frequency-specific AN fibers distribution is shown on the top-right column of Fig. 3.

345 5.2. Stimuli

The model stimuli were matched to the experimental conditions and had a duration of 600-ms in the first experiment (95% modulated and 400-ms for the second experiment). Twenty stimulus repetitions with different white noise iterations were applied to the model and simulations were averaged before the EFR_{PtN} was calculated using the same procedure as in Eq. 2. The amplitudes of the model stimuli were set based on the broadest condition, i.e.

o.25 to 22 kHz for the first experiment and 0.353 to 16 kHz for the second experiment to yield an input of 70 dB SPL. The narrower band stimuli were calibrated relative to the broadest condition, such that they had the same spectral level as the broadband condition but with a different SPL.

5.3. Simulating sensorineural hearing loss

The simulated CS profiles and their corresponding AN fiber types are shown in Fig. 3. Different degrees of CS were modelled by manipulating the number and types of the AN fibers. The table in Fig. 3 shows the simulated synaptopathy profiles. OHC damage was simulated by changing the CF-dependent mechanical gain of the cochlea by moving poles of the BM admittance function to yield a filter gain reduction corresponding to a desired dB-HL-loss, which also yielded wider cochlear filter. The inset in Fig. 3 shows the simulated cochlear gain loss profiles. Procedures are detailed in (Verhulst et al., 2016, 2018a).

6. Results

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$_{8}$ 6.1. EFR and dependence on stimulus frequency

Figure 4 shows individual and group-mean EFR_{PtN} magnitudes to different frequency bandwidths in the first (panel a) and second (panel b) experiments. Despite within-group individual variability, experimental groupmeans revealed approximately constant EFR_{PtN} magnitudes to stimuli with frequencies below 2 kHz and reduced magnitudes to frequencies above 2 kHz and 2.828 kHz in the first and second experiment, respectively. A pairedsample t-test with Bonferroni correction was applied to compare EFR_{PtN} magnitudes to stimuli with different frequency bandwidths in each group. In the first experiment, a single significant difference was observed between the EFR_[2-22] and EFR_[4-22] conditions in NH group (t(11)=7.02, p<0.0000), which disappeared for the NHSR group (t(8)=3.13, p=0.014). In the second experiment, a paired-sample t-test with Bonferroni correction gave a significant difference between EFR_[2.828-16] and EFR_[5.656-16] in yNH (t(12)=7.86, p<0.0000) and oNH groups (t(12)=6.21, p<0.0000), but not in the oHI group (t(9)=2.03, p=0.07). Simulated NH-EFRs are shown in hexagons in Fig. 4 and corroborate experimental findings by showing a minor contribution of stimulus frequencies below 2 kHz on the EFR generation.

6.2. Derived-Band Envelope Following Responses (DBEFRs)

DBEFR_{PtN} magnitudes calculated using Eq. 3 are shown in Fig. 5 for 387 the first and second experiment. A paired-sample t-test with Bonferroni 388 correction comparing the EFR_{PtN} magnitudes in each group revealed only 389 a significant difference between the [1-2] and [2-4] kHz condition in the NH 390 group (t(12) = -3.99, p=0.002). In the second experiment, paired-sample 391 t-test showed significant difference between [0.353-0.707] and [2.828-5.656]kHz conditions only in yNH group (t(11)=-7.00, p<0.000). In support of 393 our experimental findings, simulated NH-DBEFR magnitudes in both ex-394 periments (shown by hexagons Fig. 5a and b) were equal for derived-bands below 2-kHz and increased for DBEFR_[2-4] (in the first experiment) and DBEFR_[2,828-5,656] (in the second experiment). In line with EFR_{PtN} find-397 ings in Section 6.1, experimental and simulated DBEFR_{PtN} magnitudes in both experiments showed an increased contribution of the [2-6] kHz derived frequency band to the EFR generation.

6.3. Possible origins of individual EFR differences

Previous studies have shown a dependency of the scalp-recorded AEP 402 magnitude to head size, sex and age (Trune et al., 1988; Mitchell et al., 1989; Makary et al., 2011) and possible coexisting aspects of CS and OHC dam-404 age (Parthasarathy and Kujawa, 2018; Vasilkov and Verhulst, 2019). Hence, 405 the spread of data-points within different recorded test-groups and spectral 406 bandwidths could be explained by subject-specific factors unrelated to hear-407 ing or hearing-related factors associated with the main factors for grouping: (i) self-reported hearing difficulties in noisy environments in the first experi-400 ment, (ii) age and (iii) elevated hearing thresholds in the second experiment. Pooling together the NH and NHSR EFR_{PtN} magnitudes, a regression anal-411 ysis was conducted to investigate the effect of age, 4 kHz threshold, head size and DPTH₃₀₀₀ on the EFR_[2-22] (Fig. 6, left column) and DBEFR_[2-4] magnitude (Fig. 7, left column). None of the regressions showed a relation between tested variables, suggesting that other factors than those reported were responsible for the individual variability among listeners. The regression 416 analysis on EFR_{PtN} and DBEFR_{PtN} magnitudes combined from all experimental groups in the second experiment (Fig. 6 and 7, right column) showed a meaningful correlation of age, threshold, head size and DPTH₄₀₀₀ with the 419 EFR_[2.828-16] magnitude. However, extracting the DBEFR_[2.828-5.656], reduced 420 the correlation with age or 4-kHz threshold and suppressed any meaningful 421 correlation with head-size and DPTH₄₀₀₀. Moreover, excluding the oHI group from the correlation analysis, led to a reduced and insignificant correlation coefficient (R=-0.38, p=0.083) between 4-kHz threshold and DBEFR_[2.828-5.656]. These results suggest that the proposed DBEFR metric is not affected by

head size. Individual variabilities between the yNH and oNH groups in the second experiment might be related to degraded temporal envelope coding as a consequence of CS (Bharadwaj et al., 2015), given the insignificant correlations of DBEFRs with the 4-kHz threshold, DPTH₄₀₀₀ and head size.

6.4. EFR_{PtN} and $DBEFR_{PtN}$ magnitude variability across tested groups To investigate the separability of the recruited groups by means of their 431 DBEFR magnitudes, we analysed the group-mean differences in each experiment. In the first experiment, an independent two-sample t-test compari-433 son between the means of stimulated frequency bandwidths in the NH and NHSR group (Fig. 4a), showed a significant difference only between the [2-22] and [4-22]-kHz conditions (EFR_[2-22]: t(19)=3.36, p=0.003 and EFR_[4-22]: t(19)=2.76, p=0.012). However, significant mean-differences disappeared between similar conditions in the NH and NHSR groups after extracting 438 DBEFR magnitudes in Fig. 5a (DBEFR_[2-4]: t(19)=-0.90, p=0.338). The insignificant difference across groups and insignificant correlation coefficients of DBEFR_[2-4] with subject-specific factors observed in Fig. 7, might partly be explained by the different amounts of experienced lifetime noise exposure reported in the questionnaires and might point to various degrees of 443 noise-induced CS. Although calculated noise scores in Fig. 8 revealed an in-444 significant correlation with DBEFR_[2-4] magnitudes (R=0.13, p=0.089), the 445 highest levels of noise dose were associated with degraded DBEFR_[2-4] magnitudes and increased standard errors in NH (e.g. subject No. 12) and NHSR group (e.g. subjects No. 7 and 9), even though no complaints of hearing difficulties in noisy environments were reported by the NH listeners. In addition, the elevated DBEFR magnitudes observed in the NHSR group, were obtained

for people with low noise scores (e.g. subject No. 1 in NHSR group), despite their reports of hearing difficulties in noisy environments. Therefore, we 452 ascribe the overlapping DBEFR magnitudes in NH and NHSR groups, and 453 consequent insignificant group-means to the insufficient number of the samples and a subject-dependent unreliable discriminating factor between the 455 two groups, i.e. subjective reporting of hearing complaints in noisy environ-456 ments (Coughlin, 1990) and variability in answering lifetime noise-exposure 457 dose questionnaires (Prendergast et al., 2017; Bramhall et al., 2017). In the second experiment, an independent two-sample t-test was applied to investigate the effect of age between the vNH and oNH groups, and elevated 460 high-frequency thresholds between the oNH and oHI groups. This compar-461 ison showed a significant effect of age in all frequency bandwidths and a 462 significant effect of hearing threshold in all frequency bands except for the [2.828-16] kHz band (t(21) = -1.81, p = 0.08). The same comparison for the 464 DBEFR magnitudes revealed a significant effect of age and hearing threshold only in the [2.828-5.656]-kHz derived band condition (t(23) = 3.13, p=0.004)and t(21) = -4.60, p = 0.002, respectively), consistent with the correlation 467 presented in Fig. 7. Our group-mean results combined with the correlation analysis in Section 6.3 suggests that the DBEFR metric removes inter-subject variability unrelated 470 to hearing between vNH and oNH groups, but leaves individual magnitude 471 differences within a group meaningful, given the often non-overlapping standard deviations. Consequently, the significant group-mean difference between yNH and oNH might reflect individual degrees of sensorineural hearing loss. To investigate the diagnostic sensitivity, it is of course necessary to understand the respective role of OHC deficits and CS on DBEFR magnitudes.

Given that oHI listeners may suffer from both OHC deficits and CS, it is

important to study the impact of OHC-damage and CS both independently

and concomitantly.

6.5. The EFR relationship to different aspects of sensory hearing-loss

Since OHC-damage and CS might both affect the EFR magnitude (Gar-481 rett and Verhulst, 2019; Vasilkov and Verhulst, 2019), we employed a computational model of the auditory periphery to simulate how different degrees 483 of CS affected the EFR_{PtN} magnitude, both in presence and absence of highfrequency sloping OHC-loss above 1 kHz (simulated high-frequency sloping audiograms in Fig. 3). Only EFRs generated from the most frequencysensitive regions of the cochlea, namely the [2-22] and [4-22] kHz conditions in the first experiment (Fig. 9a) and [2.828-16] and [5.656-16] kHz in the sec-488 ond experiment (Fig. 9b) were considered. Model simulations showed that 489 CS, when no other hearing deficits co-occur, reduces the EFR and DBEFR magnitudes. Applying sloping high-frequency OHC-damage increased the DBEFR magnitudes in both experiments (Fig. 9c and d). According to the simulations, the NH DBEFR magnitude reduced by 46% as a consequence of 493 losing 47% of the AN fibers (i.e., the 10-0-0 CS profile defined in Fig. 3), while 494 the Slope 20 OHC-damage (defined in Fig. 3) increased the NH DBEFR magnitude by 27%. Hence, the effect of OHC-damage on the DBEFR magnitude is smaller than CS alone, however it is not negligible. Therefore, the experimental range of individual EFR and DBEFR magnitudes can be explained by the different degrees of variation simulated by CS and OHC-damage. Simulations captured both the experimental absolute magnitudes and DBE-

FRs and explained the experimental differences between vNH and oNH groups on the basis of age-induced CS and not OHC-damage differences. Further, the simulations suggest that oNH and oHI listeners might both suffer from CS. Results are less clear for the NHSR group where there is a strong overlap with the NH group. However the noise scores from the questionnaires 505 in Fig. 8, could ascribe some spread of DBEFR magnitudes in the NH and 506 NHSR groups to noise-induced CS and to a lesser degree to OHC-damage, 507 given that they all had normal hearing thresholds. It is worthwhile to note that EFR magnitudes in both experiments (Fig. 3a and c), decreased as a result of CS alone and increased by applying high-510 frequency OHC-damage with a severity of less than 20 dB-HL at 8 kHz. 511 However, higher degrees of OHC-damage reduced the EFR magnitudes. We 512 explain this non-monotonic behaviour on the basis of the AN fiber discharge rate-level curve, where increased simulated EFR_{PtN} magnitudes (Fig. 9 c and 514 d) and amplitude-modulated (AM) responses (Fig. 10b) to supra-threshold 515 stimuli (70 dB-SPL) caused by OHC-damage, might stem from the extended dynamic range of the AN fibers for less effective AN-driving levels (Bharadwaj et al., 2014, their Fig.3c). Given that experimental and simulated stimuli were calibrated to have equal spectral magnitudes for all stimulus bandwidths, the narrowest stimulus was presented at a lower overall sound level 520 than the 70 dB-SPL broadband stimulus. Applying more severe OHC-loss, shifted the AN discharge rate and envelope synchrony strength to the envelope to lower values (Verhulst et al., 2018a, Fig.5) and decreased the EFR magnitudes (Verhulst et al., 2018a, their Fig.7). However, DBEFR magnitudes increased monotonically for all simulated degrees of OHC damage

 $_{526}$ (Fig. 9c and d).

7. Discussion

7.1. Tonotopic sensitivity of the EFR generators

Despite the individual variability within groups, experimental group-529 mean EFR_{PtN} magnitudes to broadband stimuli with different bandwidths (Fig. 4a), were equal at frequencies below 4 kHz and reduced in response to [4-22] kHz condition. In the second experiment (Fig. 4b), the EFRs remained equal at frequencies below 5.656 kHz and degraded when the [5.656-16] kHz band was added. Consequently, equal DBEFR_{PtN} magnitudes were obtained 534 for frequencies below 2 kHz. Individual variability was best observed for 535 the DBEFR_{PtN} extracted from the [2-4] kHz (first experiment, Fig. 5a) and 536 [2.828-5.656] kHz (second experiment, Fig. 5b) frequency bands. Simulated EFRs to the experimental stimuli shown with hexagons in Fig. 4 and 5, confirmed observed experimental EFR_{PtN} and DBEFR_{PtN} frequency-dependent 539 behaviour. In addition, the model can be used to study which CF regions 540 along the cochlea contributed strongly to the population EFR response. To this end, we calculated the AM (Fig. 5a) and derived-band AM (DBAM) responses at each CF (Fig. 5b) as follows:

$$AM_{AN}(N_{CF}) = \frac{1}{n} \sum_{i=0}^{2} [2|FFT(AN_{N_{CF}})|]_{f_i},$$

$$N_{CF} = 1, 2, ..., 401, f_i = 120 \times (i+1)$$
(6)

$$DBAM_{AN} = |MR_{AN}(wide) - MR_{AN}(narrow)|$$
 (7)

 $AN_{N_{CF}}$ is the AN-response at N_{CF} channel and $n=n_1$ as was defined in Eq. 1. These simulations corroborate the experimentally-observed minor contribution of low-frequency CF channels to the EFR generation.

In a previous modelling study, we investigated the tonotopic sensitivity of EFR_{PtN} to broadband stimuli and ascribed the poor low-frequency AM coding to a combination of the chosen modulation frequency (120 Hz) and 549 the narrower bandwidth of apical cochlear filters compared to the higher CF 550 filters (Moore and Glasberg, 1983). Model simulations in response to the spectrally broadest condition, i.e. [0.25-22] kHz, modulated with a range 552 of lower modulation frequencies than 120-Hz, suggested that despite an en-553 hanced modulated response at BM, the saturation properties of AN fibers 554 limited the modulation response at all modulation frequencies at higher CFs. 555 This resulted in a degraded response at carrier frequencies above 4 kHz and shifted the frequency sensitivity to the lower CFs at low modulation frequen-557 cies (Keshishzadeh et al., 2019). Since the brain response to modulation fre-558 quencies below 70 Hz may contain cortical as well as brainstem contribution 550 (Purcell et al., 2004; Picton, 2010, Chapter 10), employing low modulation frequencies might render EFR-based CS diagnosis insensitive, even though an improved frequency-sensitivity can be obtained from the apical regions using these lower modulation frequencies. Therefore, the employed experi-563 mental modulation frequency, i.e. 120-Hz in combination with a broadband 564 carrier, might be able to establish a frequency-specific CS diagnosis at frequencies above 2 kHz (Keshishzadeh et al., 2019). In this context, the proposed DBEFR method showed a notable contribution of the [2-4] kHz CF region ([2.828-5.656] kHz in the second experiment) to the EFRs generation

by showing a significantly stronger DBEFR_{PtN} magnitude compared to lower derived-band conditions in the NH group.

7.2. Diagnostic Application 571

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The measured DBEFR magnitudes are individually separable and above the noise-floor even for HI listeners, whose group-mean was significantly above the noise-floor (Section 6.4). In addition, the DBEFR offers a frequencyspecific metric in the [2-6] kHz region to assess supra-threshold temporal coding of the population of AN fibers and brainstem neurons. Despite these promising results, the diagnostic sensitivity of DBEFRs also has limitations. The proposed DBEFR magnitude is sensitive to CS alone, when no other coexisting hearing deficits occur and is hence applicable for use in ageing listeners with normal audiograms and those with self-reported hearing difficulties or prone to noise exposure. However, DBEFRs are also affected 581 by OHC damage. The metric hence needs to be complemented with another supra-threshold metric sensitive to OHC damage to allow a separation of both the CS and OHC aspect of sensorineural hearing deficit from the DBEFR recorded from listeners with impaired audiograms (e.g. Vasilkov and Ver-585 hulst, 2019). 586 Lastly, the employed high modulation frequency, i.e. 120 Hz, suppresses cor-587 tical contributions to the EFR_{PtN} magnitudes, but also degrades AM-coding from lower CFs and thereby limits tonotopic sensitivity of the EFR_{PtN} to frequencies above 2 kHz. Consequently, apical-end supra-threshold hearing deficits would not be reflected in the proposed DBEFR_{PtN} metric even for stimuli which contain frequencies below 2 kHz. These results are consistent with the source generators of derived-band ABRs (DBABR), which

degrades in amplitude for bands below 2 kHz (Don and Eggermont, 1978).
This predominant basal origin of the ABR also confines the potential of
ABR/DBABR-based CS diagnosis (i.e. wave-I amplitude) to basal cochlear
regions.

8. Conclusion

We proposed the use of a relative DBEFR_{PtN} metric to render the EFR_{PtN} 590 frequency-specific and rule out subject-specific factors unrelated to hearing to apply it in the study of the origins of sensorineural hearing deficits and their 601 role in supra-threshold temporal envelope encoding. DBEFR_{PtN} magnitudes 602 from two experiments were analysed and compared to model simulations to 603 conclude that the frequency-sensitivity of DBEFR_{PtN} magnitudes to broadband stimuli is limited to the [2-6] kHz bandwidth. Secondly, we showed that the DBEFR metric eliminates inter-subject variability due to hearing unrelated sources. Model simulations (Fig. 3) explained the significant dif-607 ference between yNH and oNH listeners on the basis of CS, which could 608 relate to age-induced CS in line with human post-mortem studies (Makary et al., 2011; Viana et al., 2015; Wu et al., 2019). Supported by model predictions (Fig. 9d), the significant differences between age-matched oNH and oHI groups was explained by OHC-damage and coexisting CS as a consequence of 612 ageing. Accordingly, profound OHC damages may confound DBEFR-based 613 clinical applications of CS diagnosis. Despite this limitation in the differen-614 tial diagnosis of CS and OHC deficits on the basis of the DBEFR magnitude, the proposed metric can be used to diagnose CS in a frequency-specific manner in listeners with thresholds below 20 dB-HL. Moreover, it provides an

- objective marker of supra-threshold temporal envelope coding ability, which
- can be used to study its role in sound perception studies. Lastly, our results
- 620 clearly demonstrate that older listeners with or without impaired audiograms
- suffer from degraded temporal envelope coding at frequencies above 2 kHz.

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84 Figure Captions

Figure 1. Measured audiograms in the first (left) and second (right) experi-

ment. Markers indicate the audiometric threshold at 4 kHz. The dashed line

is the averaged audiometric threshold at each group and the yellow shading

the standard deviation.

Figure 2. Spectra of the 120-Hz modulated stimuli and derived bands. (a)

Designed stimulus spectra in different frequency bands and specified cut-off

frequencies of the bandpass filter. (b) Derived bands from the EFRs recorded

to the stimuli shown in (a) obtained by spectral subtraction.

Figure 3. Modeling approach. The block-diagram shows different levels of

the auditory pathway modelled in the employed biophysical model of the

hearing periphery (Verhulst et al., 2018a). The top-right graph indicates the

simulated distribution of different types of AN fibers across CF. The table

shows simulated CS profiles and the graph on the bottom right depicts sim-

ulated different degrees of cochlear gain loss. The corresponding simulated

thresholds at 8 kHz are indicated by the legend.

Figure 4. EFR_{PtN} magnitudes to 120-Hz modulated stimuli with different

white noise carrier bandwidths in the (a) first and (b) second experiment.

Individual data-points are depicted with open symbols and standard devi-

ations were obtained using a bootstrapping procedure (Zhu et al., 2013).

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Filled symbols reflect the group-means and their corresponding standard de-

viations. Simulated EFRs from a NH model were added in filled hexagons.

Figure 5. DBEFR_{PtN} magnitudes derived using Eq. 3 for 120 Hz modulated

stimuli with different white-noise-carrier bandwidths in the (a) first and (b)

second experiment. DBEFR_{PtN} for each frequency band was obtained from

a wider and narrower width stimulus. Standard deviations were calculated

using a bootstrapping procedure and stemmed from averaged responses from

20 stimulus iterations in the model simulations. Group means and standard

deviations are depicted using filled symbols.

Figure 6. Correlation analysis of $EFR_{[2-22]}$ ($EFR_{[2.828-16]}$) with age, audio-

metric threshold at 4 kHz, head-size and DPTH₃₀₀₀ (DPTH₄₀₀₀) in the first

(left) and second (right) experiments.

Figure 7. Correlation analysis of DBEFR_[2-4] (DBEFR_[2.828-5.656]) with age,

audiometric threshold at 4 kHz, head-size and DPTH₃₀₀₀ (DPTH₄₀₀₀) in the

first (left) and second (right) experiments.

Figure 8. Bar-plots of noise scores acquired from questionnaires of NH and

NHSR groups, classified in three categories, i.e. experience noise as a conse-

quence of (i) playing a musical instrument in a band, (ii) attending festivals or

concerts and (iii) using noisy tools. Noise scores with high values are colored

in orange and can be compared to the corresponding individual DBEFR_[2-4]

magnitudes of (a) NH and (b) NHSR listeners. Results are shown normalised,

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where the score of 1 corresponds to 30600, 18480 and 26000 hours of accu-

mulated noise dose on the considered categories, respectively.

Figure 9. Experimental EFR_{PtN} and DBEFR_{PtN} magnitudes (colored open

symbols): (a) EFR_{PtN} to [2-22] and [4-22] kHz, (b) EFRs to [2.828-16] and

[5.656-16] kHz and (c) DBEFRs at [2-4] kHz and (d) DBEFRs at [2.828-

5.656] kHz. Simulated EFR_{PtN} (a,b) and DBEFR_{PtN} (c,d) magnitudes are

shown in each panel using filled hexagons and degrees of CS as indicated

on the X axis and CF-dependent patterns of OHC damage as given by the

legend.

Figure 10. Modulated responses calculated at each CF using Eq. 6 and 7

to different experimental conditions for normal listeners and different sen-

sorineural hearing losses at the AN processing level of the model, (a) broad-

band and (b) derived-band. In both panels, dotted lines show AN-responses

to sloping 10 dB-HL OHC-loss at 8 kHz and lighter colors indicate AN re-

sponses to certain degree of CS.

85 Figures

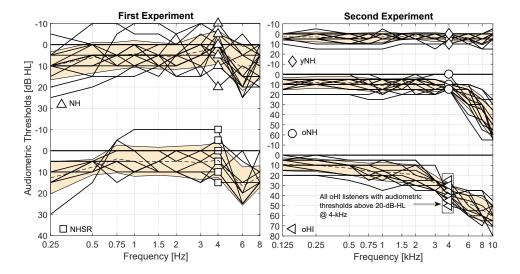


Figure 1

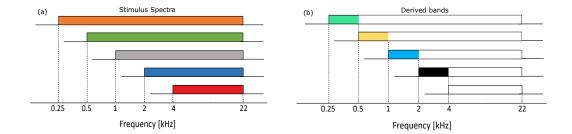


Figure 2

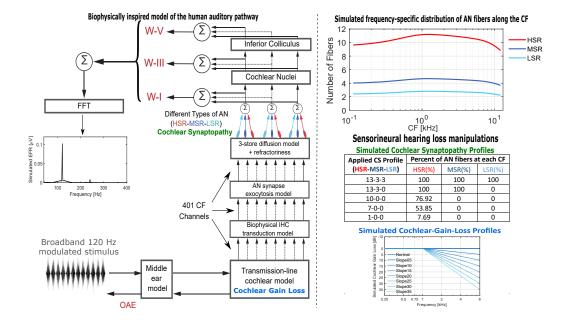


Figure 3

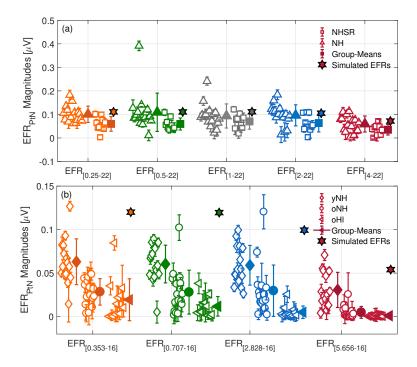


Figure 4

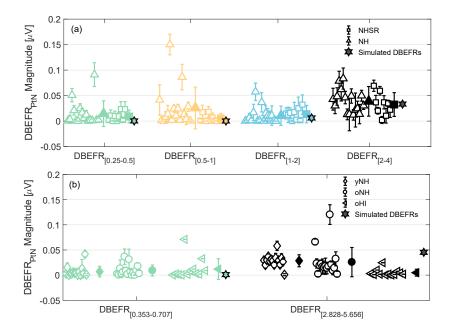


Figure 5

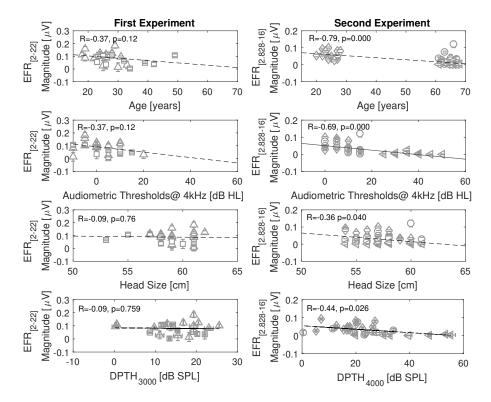


Figure 6

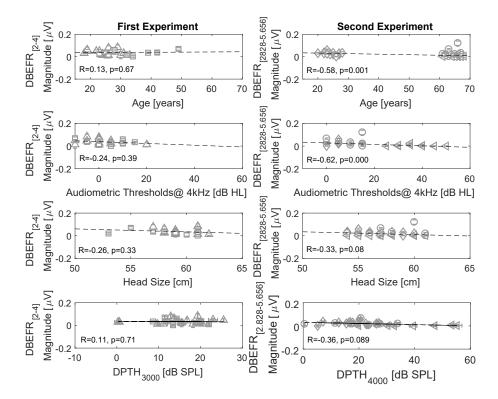


Figure 7

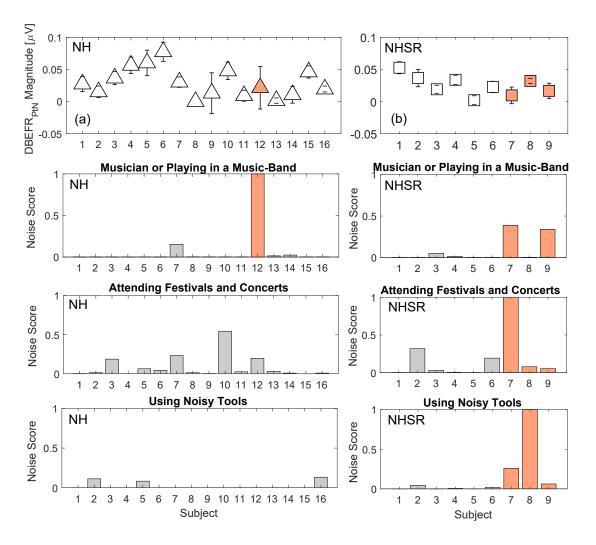


Figure 8

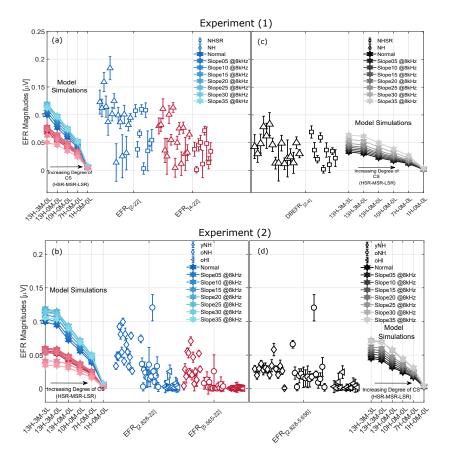


Figure 9

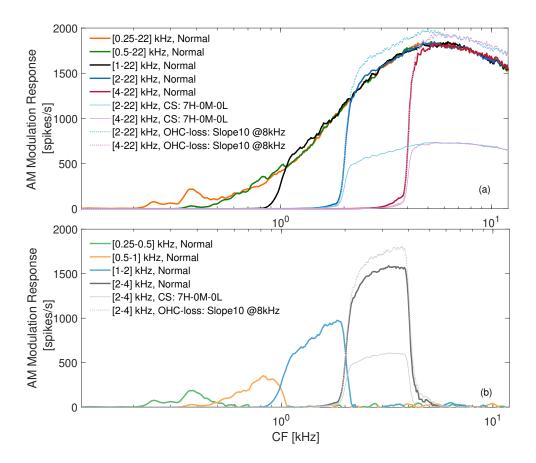


Figure 10

