Evaluation of Cell Type Deconvolution R Packages on Single Cell RNA-seq Data

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Abstract

Annotating cell types is a critical step in single cell RNA-Seq (scRNA-Seq) data analysis. Some deconvolution methods have recently emerged to enable automated cell type identification. However, comprehensive evaluations of these methods are lacking to provide practical guidelines. Moreover, it is not clear whether some deconvolution methods originally designed for analyzing other omics data are adaptable to scRNA-Seq analysis. In this study, we evaluated ten cell-type deconvolution methods publicly available as R packages. Eight of them are popular methods developed specifically for single cell research (Seurat, scmap, SingleR, CHETAH, SingleCellNet, scID, Garnett, SCINA). The other two methods are repurposed from deconvoluting DNA methylation data: Linear Constrained Projection (CP) and Robust Partial Correlations (RPC). We conducted systematic comparisons on a wide variety of public scRNA-seq datasets as well as simulation data. We assessed the accuracy through intra-dataset and inter-dataset predictions, the robustness over practical challenges such as gene filtering and high similarity among cell types, as well as the capabilities on rare and unknown cell-type detection. Overall, methods such as Seurat, SingleR, CP, RPC and SingleCellNet performed well, with Seurat being the best at annotating major cell types. Also, Seurat, SingleR and CP are more robust against down-sampling. However, Seurat does have a major drawback at predicting rare cell populations, and it is suboptimal at differentiating cell types that are highly similar to each other, while SingleR and CP are much better in these aspects.

KEYWORDS: Single cell; Single cell RNA sequencing; scRNA-seq; cell type; annotation; classification; deconvolution; benchmark; simulation;

Introduction

Single cell RNA sequencing (scRNA-seq) has emerged as a powerful tool to enable the characterization of cell types and states in complex tissues and organisms at the single-cell level [1–5]. Annotating cell types amongst the cell clusters is a critical step before other downstream analyses, such as differential gene expression and pseudo time analysis [6][7][8][9]. Conventionally, a set of priorly known cell-type specific markers are used to label the cell types of the clusters manually. This process is laborious and often is a rate-limiting step for scRNA-seq analysis. This approach is also prone to bias and errors. The marker may not be specific enough to differentiate the cell subpopulations in the same dataset, or it may not be generic enough to be applied from one study to another. Automating the cell type labeling is critical to enhance reproducibility and consistency among single cell studies.

Recently some deconvolution methods have emerged to systematically assign cell types in the new scRNA-seq dataset, based on existing annotations from another dataset. Instead of using only top differentiating markers, most methods project or correlate the new cells onto similar cells in the well-annotated reference datasets, by leveraging the whole transcriptome profiles. These deconvolution methods are developed rapidly, at the same time benchmark datasets that the bioinformatics community agrees upon are lacking. These issues pose the urgent need to comprehensively evaluate these deconvolution methods using datasets with different biological variabilities, protocols and platforms. It is essential to provide practical guidelines for users. Additionally, identification of limitations of each method through comparisons will also help boost further algorithmic development, which in turn will benefit the scRNA-Seq community.

In this study, we evaluated ten cell deconvolution methods publicly available as R packages (**Table 1**). Eight of them are popular methods developed specifically for single cell research (Seurat [10], scmap [11], SingleR [12], CHETAH [13], SingleCellNet [14], scID [15], Garnett [16], SCINA [17]). Those methods can be further divided into two categories: Seurat, scmap, CHETAH, SingleCellNet, and scID utilize the gene expression profile as a reference without prior knowledge in signature sets, while Garnett and SCINA require additional pre-defined gene markers as the input. Additionally, to potentially leverage exiting deconvolution methods for other omics data, we also included two modified methods: Linear Constrained Projection (CP) and Robust Partial Correlations (RPC) that are popular in DNA methylation analysis [18]. We

82 conducted systematic comparisons on six publicly available scRNA-seq datasets (**Table 2**) varying

83 by species, tissue and sequencing protocol, as well as six sets of simulation data with known truth

84 measure.

Results

Intra-dataset accuracy evaluation

We first tested the deconvolution accuracy of 10 methods (**Table 1**) on six publicly available

scRNA-seq datasets (**Table 2**). These datasets include two human pancreatic islet datasets

(GSE85241 and GSE86469), two whole mouse datasets (Tabula Muris, or TM-Full), and two

peripheral blood mononuclear cells datasets (PBMC). Since Tabula Muris datasets are

heterogeneous in terms of tissue contents, to evaluate the tools' performance on homogeneous

data, we down sampled them separately into two mouse lung datasets (Tabula Muris-Lung, or TM-

Lung) by taking cells from lung tissue only. This results in eight real scRNA-seq datasets (**Table**

1). To avoid potential bias, we used the 5-fold cross validation scheme to measure the averaged

accuracy in the 1-fold holdout subset. We used three different performance measurement metrics:

overall accuracy, adjusted rand index (ARI), and V-measure [19] [20] (see **Methods**). The

evaluation workflow is depicted in **Figure S1**.

Figure 1A-C show the classification accuracy metrics on eight datasets. The top-five

performing deconvolution methods are Seurat, SingleR, CP, singleCellNet and RPC. Seurat has

the best overall classification performance in the 5-fold cross validation evaluation. On average,

the three accuracy metrics from Seurat are significantly higher (Wilcoxon paired rank test, P<0.05)

than 9 other methods. SingleR has the second-best performance after Seurat, with all three metrics

higher than 8 other methods, among which 6 pair-wise method comparisons achieved statistical

significance (Wilcoxon paired rank test P < 0.05). Though slightly lower in average metric scores,

the classification performance of both singleCellNet and CP are comparable to SingleR.

In order to test the influence of cell-type number on tool's performance, we next evaluated the

TM-Full and TM-Lung results. As shown in **Table 2**, for two TM-Full datasets from 10X and

Smart-Seq2 platforms, which contain a large number of cell types (32 and 37 cell types,

respectively), we took a subset of cells from the lung tissue and created two TM-Lung datasets

that are relatively small in cell-type numbers, with 8 and 10 cell types for 10X and Smart-Seq2

platforms, respectively. Most methods perform well for both TM-Lung datasets with ARI > 0.9.
However, some of the methods had a drop of performance on the two TM-Full datasets. The
increased classification labels imposed a challenge. Garnett failed to predict on such large TM-
Full datasets. Additionally, SCINA, CHETAH and scmap have significantly lower classification
metrics on TM-Full datasets, compared to those on TM-Lung datasets. On the contrary, the
previously mentioned top-five methods are more robust despite the increase of complexity in TM-
Full datasets. Again, Seurat yields the best metric scores in both TM-Full datasets, demonstrating
its capability at analyzing complex datasets.

Cross-dataset accuracy evaluation

To evaluate the deconvolution tools in a more realistic setting, we conducted cross-dataset
performance evaluation on 10 datasets (5 pairs), where the referencing labels were obtained from
one dataset and the classification was done on another dataset of the same tissue type (**Table 2**).
Within a pair, we used sorted, purified dataset as the reference data, and the remaining one as the
query data (see **Methods**). Among the 5 pairs of datasets, 4 are real experimental data: PBMC cell
pair with PBMC-sorted-ref and PBMC-3K-query; pancreas cell pair with pancreas-celseq2-ref and
pancreas-fluidigm-query; TM-Full pair with TM-Full-smartseq2-ref and TM-Full-10X-query;
TM-Lung pair with TM-Lung-smartseq2-ref and TM-Lung-10x-query. The last pair is simulation
datasets with the pre-defined truth, where the true assay without dropouts (simulation_true-ref)
was used as the reference and the raw assay with dropout mask (simulation_raw-query) was used
as the query.

Figure 1D-F shows the classification accuracy metrics on the above mentioned 5 pairs of query
and reference datasets. The top 3 performing deconvolution methods in the descending rank order
are Seurat, SingleR, and CP, the same as those in the same-dataset cross validation results (**Figure
1A-C**). In particular, they all perform very well on the simulation data with known truth measures,
as all three accuracy metrics are above 0.96. RPC is ranked 4th, slightly better than SCINA. Similar
to the 5-fold cross validation evaluation, methods such as scID, CHETAH, scmap and Garnett are
persistently ranked among the lowest-performing methods for accuracy. Interestingly,
singleCellNet, the method that performs relatively well (ranked 4th) in the same-dataset 5-fold
cross validation, is now consistently ranked on the 6th, behind RPC and SCINA, due to drop of
performance in TM-Full datasets. Besides deconvolution methods, the accuracy scores are also

much dependent on the datasets. For example, on complex PBMC datasets, even Seurat only

reaches 0.76 for ARI. A further examination of the confusion matrices (**Figure S3**) for Seurat,

SingleR, CP, singleCellNet and RPC reveals that the challenge comes from distinguishing highly

similar cell types such as CD4+ T cells vs. CD8+ T cells or Dendritic cells vs. CD14+ Monocytes

in the PBMC datasets.

Altogether, these results from both experimental and simulation data indicate that Seurat has

the best overall accuracy among the deconvolution methods in comparison, based on intra-dataset

prediction and cross-dataset prediction [10].

The effect of cell type similarity on deconvolution performance

Since it is challenging to distinguish highly similar cell populations using cross-data evaluation,

we next conducted simulations. We designed four simulation data sets composed of five cell

groups with varying levels of differential expression. We used Splatter [21] to pre-define the same

set of differential expression (DE) genes in the four simulation datasets, and only differed the

magnitudes of DE, from low, low-moderate, moderate to high (**Figure 2A**). When cell populations

are more separable, the classification task is easy for the majority of methods. As the cell

populations become less separable, all methods show a decrease in their performance (**Figure 2B-**

D). The degrees of such decrease vary among the methods though. SingleR, RPC, Seurat,

singleCellNet and CP are in the first class that are relatively more robust than the other five

methods. SingleR and RPC are ranked the 1st and 2nd for their robustness against cell type

similarity, with all three metric scores above 0.9. Seurat is ranked the 4th after singleCelNet (the

3rd) when the samples are least separable, exposing its slight disadvantage.

The effect of gene filtering on deconvolution performance

We also evaluated the stability of deconvolution methods in inter-dataset classification, by varying

the number of query input features. For this purpose, we used the human pancreas data pair (**Table**

2). We randomly down sampled the features from Fluidigm data into 15,000, 10,000 and 5,000

input genes, based on the original log count distribution (**Figure 3A**). When the number of features

decreases, most methods show decreased metric scores as expected (**Figure 3B**). Seurat and

SingleR are the top 2 most robust methods over the decrease of feature numbers, and their ARI

scores remain high across all sampling sizes (ARI > 0.9). Again, methods such as Garnett, scID

and scmap are more susceptible to low feature numbers, since their performances decrease as the

feature number decreases. Therefore, using query data with fewer features than the reference data

may affect the prediction performance of those methods. Alternatively, we also downsized the

samples by reducing the number of raw reads before alignment and tag counting steps (**Figure**

3C). While most methods show fairly consistent accuracy scores with reduced raw reads as

expected, a couple of methods, such as singleCellNet and scID, are perturbed by this procedure

(**Figure 3D**).

Evaluation of rare population detection

Identifying rare populations in single cells is a much biologically interesting aspect. We evaluated

the inter-dataset classification accuracy per cell population for the top 5 methods: Seurat, SingleR,

CP, singleCellNet and RPC (**Figure 1A-B**). We used a mixture of 9 cell populations with a wide

variety of percentages (50%, 25%, 12.5%, 6.25%, 3.125%, 1.56%, 0.97%, 0.39%, 0.195%) in a

simulation dataset (**Figure 4A**). When the size of the cell population is larger than 50 cells out of

2000 cells, all five methods achieve high cell-type specific accuracy of over 0.8 (**Figure 4B**).

However, the classification performances drop drastically for Seurat and singleCellNet when the

cell population is 50 or less. Interestingly, bulk-reference based methods such as SingleR, CP and

RPC are extremely robust against the size changes of a cell population, revealing their advantages

in classifying rare cell types.

Evaluation of unknown population(s) detection

Among the scRNA-seq specific deconvolution tools, five methods (Garnett, SCINA, scmap,

CHETAH, scID) contain the rejection option that allows 'unassigned' labels. This is a rather

practical option, as the reference data may not contain all cell labels present in the query data. In

order to assess how accurate these methods are at labeling 'unassigned' cells, we used the scheme

of "hold-out one cell type evaluation" on the same simulation dataset pair used in cross-dataset

prediction. That is, we remove the signature of one cell type in the reference matrix while keeping

the query intact. The evaluation repeated five times for all five cell types. For each method, we

measured the average classification accuracy excluding the hold-out group, and the accuracy of

assigning unlabeled class to the leave-out group in the query. Among the five methods compared,

SCINA, scmap and scID all have metrics scores above the average level of all tools tested (**Figure**

4C). In particular, scmap and SCINA have the 1st and 2nd best-ranked accuracies persistently.

However, SCINA has better accuracy in rejecting cell groups existing in the query dataset but not
in the reference (**Figure 4D**). The caveat here, however, is that none of the rejection-enabled
methods are among the best performing methods in terms of overall accuracy, stability and
robustness to cell type similarities. Since accuracy, stability and robustness are probably more
important attributes to assess these methods, the practical guide value based on the results of
unknown population detection is limited.

Time and memory comparison

In order to compare the runtime and memory utilization of the deconvolution methods, we
simulated six data sets each composed of 20,000 genes, with 5 cell types of equal proportion
(20%), in total cell numbers of 5,000, 10,000, 15,000, 20,000, 25,000, 50,000, respectively (see
Methods). All methods show increases in computation time and memory usage when the number
of cells increases (**Figure 5**). Of the five top-performing methods in the intra-data and inter-data
deconvolution evaluations (**Figure 1**), singleCellNet and CP outperform others on speed (**Figure
5A**). As the dataset size increases beyond 50,000 cells, methods such RPC require a runtime as
large as 6 hours. For memory utilization, singleCellNet and CP consistently require less memory
than other top performing methods (**Figure 5B**). Notably, the best performing method Seurat (by
accuracy) requires memories as large as 100GB, when dataset size increases beyond 50,000 cells,
which is significantly larger than most other methods. In all, based on computation speed and
memory efficiency, singleCellNet and CP outperform others among the top-class accurate
deconvolution methods.

Discussion

In this study, we presented comprehensive evaluations of 10 computational deconvolution
methods in R packages, on single cell RNA-Seq data. Of the 10 methods, 8 of them are designed
for single-cell RNA-seq data, and 2 of them are our unique adaptation from methylation-based
analysis. We evaluated these methods on 6 publicly available scRNA-seq datasets as well as
several additional simulation datasets. We systematically assessed accuracy (through intra-dataset
and inter-dataset predictions), the robustness of each method with challenges from gene filtering,
cell-types with high similarity and the capabilities on rare population detection and unknown
population detection. In summary, we found that methods such as Seurat, SingleR, CP, RPC and

SingleCellNet performed well overall, with Seurat being the best-performing methods in
annotating major cell types. Methods such as Seurat, SingleR and CP are more robust against
down-sampling. However, Seurat does have a major drawback at predicting rare cell populations,
as well as minor issues at differentiating highly similar cell types, while SingleR and CP are much
better in these aspects.

During the preparation of the manuscript, another evaluation paper was published in a special
edition of Genome Biology [22]. We, therefore, address the similarity and difference between
these two studies' methodologies, before discussing our own findings in detail. For computational
methods, we only selected the packages in R, as R is still the most popular bioinformatics platform
for open-source scRNA-Seq analysis packages (e.g. the arguably most popular method Seurat,
which the other study omitted). Also, we uniquely introduced and modified two methods: Linear
Constrained Projection (CP) and Robust Partial Correlations (RPC). Although they were originally
developed for DNA methylation data deconvolution, their regression-based principle could be
adapted to scRNA-seq deconvolution. We modified the final regression coefficients as the
probability of one specific cell type label, rather than the cell content as in DNA methylation-based
deconvolution. As the results indicated, CP has comparable prediction with SingleR, the overall
second best method. This shows the potential of repurposing existing deconvolution methods from
another omics analysis. For benchmark datasets, we used fewer real experimental datasets.
However, we uniquely included many simulated datasets while the other study did not use any.
We argue that it is important to have additional simulation datasets, because evaluation based on
manually annotated cell-type-specific markers in the experimental data is prone to bias. On the
contrary, one can introduce simulation datasets with 'ground truth' and unbiasedly assess the tricky
issues, such as identifying highly similar cell populations or very rare cell populations. We do
think, however, that the simulations may overly simplify the complexity of single cells in the
heterogeneous tissue, and further methodological development is needed to generate simulation
datasets better mimicking real data.

The high deconvolution performance of Seurat on intra-data and inter-data predictions in our
study, is mostly due to the fact that it's an integration method which aligns the query and reference
dataset using Canonical Correlation Analysis (CCA). CCA captures the correlated source of
variation between datasets, and Seurat uses L2 normalization to correct the scales, followed by the

mutual nearest neighbors algorithm to define anchor pairs across datasets. Therefore, the batch-
correcting and data integrating features from Seurat contribute to its good overall performance.
Although having slightly lower accuracy metrics scores, SingleR and CP still have very excellent
performance in overall accuracies, with resilience towards gene filtering and increased complexity
in datasets. In addition, SingleR has better performance than Seurat in predicting rare cell
populations, as well as differentiating highly similar cell types. This advantage of SingleR may
benefit from its method. During the prediction, it uses pseudo-bulk RNA-seq reference to correlate
the expression profiles to each of the single cells in the query data, and uses highly variable genes
to find the best fit iteratively. For Seurat, the annotation of the cell labels on query data is informed
by the nearest anchor pairs. If two or more cell types have similar profiles, their alignments may
overlap which may cause misclassification. Seurat also has some requirements on the minimum
number of defined anchor pairs. In the case of rare cell populations, the lack of the neighborhood
information makes the prediction difficult. Similar to other study [22], we also found that method
that incorporates the prior-knowledge (e.g. Garnett and SCINA) did not improve the classification
performance over other methods that do not have such requirements. In addition, as the number of
cell types increases, the search for the marker genes will become challenging, making these
methods even less desirable.

Compared with intra-data prediction, inter-data prediction is more realistic but also more
challenging. Technical/platform and batch differences in inter-data prediction may impose major
challenges to the classification process, although the tissue and cell type contents are the same.
Among all experimental data used as the benchmark in this study, PBMC datasets had the worst
accuracy results (ARI=0.76 for the best method Seurat). Further inspection of the confusion
matrices revealed that the challenges come from distinguishing highly similar cell types, which
themselves may have some level of inaccuracy from the original experiments. If the upstream
unsupervised clustering methods are not sensitive enough to categorize similar cell populations,
this uncertainty may be carried through to the downstream cell annotation steps. This again
highlights the potential issue of evaluating the deconvolution methods, where we are not certain
about the 'ground truth' of the cell labels to begin with.

Overall, we recommend using Seurat for general deconvolution task for cell types that are
relatively separable and without rare population identification as the objective. For datasets contain

cell types with high similarities or rare cell populations, if a reference dataset with clean
annotations is available, SingleR and CP are preferable.

Materials and methods

Real data sets

Six real scRNA-seq data sets were downloaded and used for evaluations and validations (**Table**
2). The human pancreatic islet datasets were obtained from the following accession numbers:
GEO: GSE85241 (Celseq2) [10,23], GEO: GSE86469 (Fluidigm C1) [10,24]. The *Tabula Muris*
datasets Version 2 (10X Genomics and Smart-Seq2) were downloaded from FigShare:
https://tabula-muris.ds.czbiohub.org/ [5]. The bead-purified PBMC dataset (10X Genomics) was
obtained from the Zheng dataset: https://github.com/10XGenomics/single-cell-3prime-paper, and
the PBMC-3K dataset (10X Genomics) was downloaded from
https://support.10xgenomics.com/single-cell-gene-expression/datasets [25]. These datasets differ
by species, tissue and sequencing protocol. For each of the datasets, we collected both raw counts
and cell-type annotations from the corresponding publications, except PBMC-3k, for which the
cell-type annotations were obtained through the standard single cell RNA-seq analysis and
classified using cell-type-specific marker genes. The extracted cell-type annotations for each
dataset were used as the ground truth for evaluations (**Table S1**).

Data cleaning

Datasets were paired in groups by tissue type (**Table 2**). Within a pair, we used sorted, purified
dataset as reference data, and the other one as the query data. Both reference data and query data
were further processed to make sure the cell types in reference data are larger or equal to the cell
types in the query data. When necessary, the query data were down sampled following the original
cell type count distribution. For the two Tabula Muris (TM-Full) datasets from 10X and Smart-
Seq2 platforms, which contain a large number of cell types (32 and 37 cell types, respectively),
we took a subset of cells from lung tissue and created two TM-Lung datasets that have fewer cell
types, 8 for 10X and and 10 for Smart-Seq2 platform, respectively. As a result, we have four pairs
of experimental datasets: PBMC cell pair with PBMC-sorted-ref and PBMC-3K-query; pancreas
cell pair with pancreas-celseq2-ref and pancreas-fluidigm-query; TM-Full pair with TM-Full-

smartseq2-ref and TM-Full-10X-query; TM-Lung pair with TM-Lung-smartseq2-ref and TM-

Lung-10x-query.

Data downsampling

To explore the effects of different feature numbers and read depths on the performance of tools,

we randomly down sampled features (genes) from human pancreas-Fluidigm dataset into 5000,

10000 and 15000 input genes, following the original log count distribution. We repeated five times

for each downsampling scheme. Alternatively, we also down sampled the reads into 25%, 50%,

75% of the original read depths (with 2 repetitions) using *samtools* on BAM files, and then

realigned following the method provided by the original manuscript [24].

Simulated Data Sets

We simulated a dataset using Splatter, with 4,000 genes and 2,000 cells (Splatter parameters,

dropout.shape=-0.5, dropout.mid=1), and then split each dataset into 5 cell groups with proportions

10%, 30%, 30%, 10% and 20%. In addition, we also generated two additional simulation datasets

to evaluate the robustness of tools. In the first set, we generated 10,000 genes and 2,000 cell (use

Splatter parameters dropout.shape=-0.5, dropout.mid=1), and then split it into 9 cell groups with

proportions 50%, 25%, 12.5%, 6.25%, 3.125%, 1.56%, 0.97%, 0.39%, 0.195%, respectively. The

second set contains four simulation datasets, each composed of 10,000 genes and 2,000 cells

splitting into 5 cell types with equal proportions. These datasets have the same set of differentially

expressed (DE) genes, but differ by the magnitude of DE factors (*de.facScale* parameter in

Splatter). The DE scales and the parameterizations are: low: de.facScale = c(0.1, 0.3, 0.1, 0.3, 0.2);

low-moderate: de.facScale = c(0.3, 0.5, 0.3, 0.5, 0.4); moderate: de.facScale = c(0.5, 0.7, 0.5, 0.7,

0.6); high: de.facScale = c(0.7, 0.9, 0.7, 0.9, 0.8).

Data Preprocessing

Cell and gene filtering

We filtered out cells for which fewer than 200 genes were detected and any genes that were

expressed in fewer than 3 cells.

Normalization

For the deconvolution tools that require a normalized count matrix as input, we performed log-
normalization using a size factor of 10,000.

Pseudo-bulk reference matrix

For the deconvolution tools that use bulk rather than single-cell expression profiles as reference,
we took the average of the normalized count of each cell type group and made a pseudo-bulk RNA-
seq reference.

Marker genes selection

Some deconvolution tools require cell-type specific markers as the input. When such marker
information is neither provided by the corresponding tools nor obtainable by public research, we
extracted them from the reference data by performing differential expression analysis (Wilcoxon
rank sum test by *FindAllMarkers* function from Seurat with parameters only.pos = TRUE, min.pct
= 0.25 and logfc.threshold = 0.25). The top 10 ranked marker genes for each cell type were used
as the input for the corresponding tools.

Deconvolution Methods

We only considered pre-printed or published methods with detailed documentation on installation
and execution. We excluded any methods that required extensive running time, and where we were
unable to customize the reference dataset, or random and inconsistent predictions were produced.
In the end, ten cell deconvolution methods, publicly available as R packages, were evaluated in
this study. This includes eight methods (Seurat, scmap, SingleR, CHETAH, SingleCellNet, scID,
Garnett, and SCINA) commonly used to deconvolute scRNA-seq data. In addition, to investigate
the potential to repurpose deconvolution methods for other omics analysis, we also included and
modified two methods originally designed for bulk DNA methylation that use a different type of
algorithms not yet reported in scRNA-seq specific tools: Linear Constrained Projection (CP) and
Robust Partial Correlations (RPC).

All parameters were set to default values following the author's recommendations or the
respective manuals (**Table 1**). For methods that allow "unknown" assignments (scmap, CHETAH,
scID, Garnett, and SCINA), we modified the parameter to force assignments where possible
(except for the evaluations where unknown assignments were allowed).

Adaptation of CP and RPC methods for scRNA-Seq analysis

In order to accommodate methylation-based methods for scRNA-seq data, we made some
modifications. RPC and CP model the methylation profile of any given single cell sample as a
linear combination of a signature matrix of different cell types. In the modified version, we treated
the estimated weight for each cell type as probability and the cell type with the highest weight was
the identity of the corresponding single cell sample in the query data. We took the subset of pseudo-
bulk RNA-seq data by keeping features that exhibited high cell-to-cell variations in the reference
dataset and had a small condition number below 3 as the signature matrix. We set the highly
variable genes to 2000, using *FindVaraibleFeatures* function from Seurat.

Benchmarking

Five-fold cross validation and cross-dataset prediction

For each dataset in four pairs of the real experimental datasets mentioned above, we used a 5-fold
cross validation where the four-fold data were used as the reference and the remaining one-fold as
the query. For the cross-dataset prediction, in addition to the four pairs of real datasets, we used
simulation datasets containing true assay (without dropouts) as the reference and raw assay (with
dropout mask) as the query.

Performance evaluation on the effect of feature numbers and read depths

To investigate the robustness of different methods with regards to feature numbers and read depths,
we used the down-sampled human pancreas Fluidigm data set as described in the data
downsampling section. In such evaluation, the human pancreas Celseq2 dataset was used as the
reference and the down-sampled human pancreas Fluidigm dataset was used as a query.

Performance evaluation with effect of differential expression (DE) scale among cell groups

In this assessment, we used four simulation data sets containing the same DE gene set but differing
only by DE factors as described earlier in the Simulated Data Sets section. For each simulation
data set, the true assay (without dropouts) was used as the reference and the raw assay (with
dropout mask) was used as the query.

Rare and unknown population detection

The simulation data in the rare population detection evaluation was composed of 10, 000 genes

and 2,000 cells splitting into 9 cell types with proportions 50%, 25%, 12.5%, 6.25%, 3.125%,

1.56%, 0.97%, 0.39%, 0.195%. The simulation dataset in the unknown population detection

evaluation was composed of 4,000 genes and 2,000 cells splitting into 5 cell types. We used the

scheme of "hold-out one cell type evaluation" to evaluate prediction on the unknown population,

that is, removing the signature of one cell type in the reference matrix while predicting the query.

During each prediction, one cell group was removed from the reference matrix and the query

remained intact. We repeated the evaluation five times for all five cell types. Similarly, for each

simulation data set, the true assay (without dropouts) was used as the reference and the raw assay

(with dropout mask) was used as the query.

Runtime and Memory Assessment

In order to compare the computational runtime and memory utilization of deconvolution methods,

we simulate six datasets, with total cell numbers of 5,000, 10,000, 15,000, 20,000, 25,000, and

50,000, respectively, each composed of 20,000 genes, splitting into 5 cell types with the equal

proportion. The true assay (without dropouts) was used as the reference and the raw assay (with

dropout mask) was used as the query. Each execution was performed in a separate R session in our

lab server (4 nodes (Dell PowerEdge C6420) of 2 X Intel(R) Xeon(R) Gold 6154 CPU @ 3.00GHz,

192GB RAM, one node (Dell Poweredge R740) with 2 X Xeon(R) Gold 6148 CPU @ 2.40GHz,

192 GB RAM, and two 16GB Nvidia V100 GPUs) with Slurm job scheduler. One processor and

100GB memory were reserved for each job. From the job summary, we collected 'Job Wall-clock

time' and 'Memory Utilized' for evaluation. We ran each method on each dataset five times to

estimate the average computation time.

Evaluation Criteria

The prediction results of the methods are evaluated using three different metrics: overall accuracy,

adjusted rand index, and V-measure. The detailed explanations on these metrics were described

earlier [26] [27]. Briefly, *Overall accuracy* is the percent agreement between the predicted label

and the true label. *Adjusted rand index* (ARI) is the ratio of all cell pairs that are either correctly

classified together or correctly not classified together, among all possible pairs, with adjustment

for chance. *V-measure* is computed as the harmonic mean of distinct homogeneity and

completeness score. In specific, homogeneity is used to assess whether each predicted cell type

groups contains only members of a single class, while completeness is used to assess whether all

members of a given class are assigned to the same predicted cell label.

Code Availability

432   All the codes and data are available at:

433   https://github.com/qianhuiSenn/scRNA_cell_deconv_benchmark.

Authors' Contributions

435   LG, and QH envisioned this project. QH implemented the project and conducted the analysis with

436   help from YL and YD. QH and LG wrote the manuscript. All authors have read and agreed on the

437   manuscript.

Competing interests

439   The authors declare no competing financial interests.

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Figure Legends

Figure 1. Accuracy comparison among methods using eight experimental scRNA-seq datasets and one pair of simulated scRNA-seq datasets

(A-C) Within data accuracy comparison, shown as heatmaps of three classification metrics, (**A**) overall accuracy, (**B**) adjusted rand index, and (**C**) v-measure across eight real datasets. For each dataset, a 5-fold cross validation is performed: using four folds as the reference and one-fold as the query. **(D-F)** Between-data accuracy comparison, shown as heatmaps of three classification metrics, (**D**) overall accuracy, (**E**) adjusted rand index, and (**F**) v-measure across four pairs of experimental datasets and one pair of simulation datasets. PBMC cell pair: PBMC-sorted-ref and PBMC-3K-query; pancreas pair: pancreas-celseq2-ref and pancreas-fluidigm-query; TM-Full pair: TM-Full-smartseq2-ref and TM-Full-10X-query; TM-Lung pair: TM-Lung-smartseq2-ref and TM-Lung-10x-query; simulation: true-ref and dropout-masked raw. TM-Lung datasets pair was down sampled from TM-Full datasets pair by taking cells from lung tissue only. Within the simulation datasets pair, the true assay without dropouts (true-ref) was used as the reference and the raw assay with dropout mask (raw-query) was used as the query. The columns are datasets, and the rows are deconvolution methods. The heatmap scale is shown on the figure, where the brighter yellow color indicates a better classification accuracy score. On the right of each heatmap is a boxplot to summarize the classification metrics among methods. Box colors represent different methods as shown in the figure. The methods in the heatmap and the boxplot are arranged in descending order by their average metrics score across all datasets. Some methods failed to produce a prediction for certain data sets (indicated by grey squares).

****: significantly higher ($P<0.05$) than 9 other methods using pairwise Wilcoxon test.

***: significantly higher (P<0.05) than 8 other methods using pairwise Wilcoxon test.

**: significantly higher (P<0.05) than 7 other methods using pairwise Wilcoxon test.

*: significantly higher (P<0.05) than 6 other methods using pairwise Wilcoxon test.

Figure 2. Effect of differential gene expression scale among cell groups on deconvolution
tool performance

(A) PCA plots of four sets of simulation datasets generated by Splatter, each of which is composed
of 10,000 genes and 2,000 cells, splitting into 5 cell types with equal proportion, and contains the
same proportion of differentially expressed genes in each cell type. The datasets differ by changing
the magnitude of DE factors for those DE genes to simulate more or less differences between
groups. Based on the magnitude of DE factors in five cell groups, we generated four datasets with
cell groups similarity ranging from low, low-moderate, moderate to high DE (see **Methods**).
Colors represent different cell types. True assay (without dropouts) is used as the query and raw
assay (with dropout) is used as the reference. **(B-D)** Plots showing three classification metrics to
evaluate each deconvolution method applied to the four datasets in (**A**). The x-axis is the DE scale
for differential expressed genes in each group, and the y-axis is the metric score. Line colors and
point shapes correspond to different methods. The metrics are: (**B**) overall accuracy, (**C**) adjusted
rand index and (**D**) v-measure.

Figure 3. Effects of feature (gene) numbers and read depths on the performance of
deconvolution methods

(A) The features (genes) in the human pancreas-fluidigm dataset are filtered by removing genes
that present in less than 3 cells, resulting in 19211 genes. The filtered features (genes) are randomly
down sampled into 5000, 10000 and 15000 input genes, following the original log count
distribution. Such down-sampling was repeated 5 times. **(C)** The BAM file reads in the human
pancreas-fluidigm dataset are randomly down sampled into 25%, 50%, 75% of the original read
depths. **(B)(D)** Plots depicting the three classification metrics (overall accuracy, adjusted rand
index and v-measure) of each method applied to the down sampling approaches in (**A**) and (**C**)
respectively. The x-axis is the down sampling size for feature numbers or reads, and the y-axis is

the metrics score. Line colors and point shapes correspond to different methods. SCINA failed

when the number of input features reached 5000, thus no point is shown.

Figure 4. Performance comparison on rare cell type and unknown cell types detection among

the deconvolution methods

All datasets are generated by Splatter. **(A)** Cell population distribution of simulation data,

composed of 10,000 genes and 2,000 cells, split into 9 cell types with proportions of 50%, 25%,

12.5%, 6.25%, 3.125%, 1.56%, 0.97%, 0.39%, 0.195%, respectively. **(B)** Plot illustrating cell-type

specific accuracy across 9 cell groups in **(A)**, for deconvolution methods that exceed 0.8 in overall

accuracy and adjusted rand index. The x-axis is the cell groups in the descending order for their

cell proportions, and the y-axis is the cell-type specific classification score. **(C)** Performance

metrics (overall accuracy, adjusted rand index and v-measure) of another simulation data set,

composed of 4,000 genes and 2,000 cells splitting into 5 cell types. True assay (without dropouts)

is used as the reference and the raw assay (with dropout) is used as the query. During each

prediction, one cell group is removed from the reference matrix and the query remains intact. The

x-axis lists methods with rejection options (e.g. allowing 'unlabeled' samples), and the y-axis is

the classification metrics score excluding the hold-out -group. **(D)** Boxplots showing the accuracy

of the top 2 methods in **(C)**, when assigning 'unlabeled' class to the leave-out group in the query.

Figure 5. Speed and memory usage comparison among deconvolution tools

Speed and memory comparison on six pairs of simulation data with increasing numbers of cells

(5000, 10000, 15000, 20000, 25000, 50000). True assay (without dropouts) is used as the reference

and the raw assay (with dropout) is used as the query. Both reference and query contain the same

number of cells. Color depicts different deconvolution methods. **(A)** Natural log of running time

(y-axis) vs. cell size (x-axis) over five repetitions in each data point. **(B)** Natural log of peak

memory usage (y-axis) vs. cell size (x-axis) over five repetitions in each data point.

Tables

Table 1. **List of Single-Cell RNA-sequencing/Methylation Cell Deconvolution tools benchmarked in this study. The tools are compared with respect to their algorithms and characteristics**

Table 2. **Datasets used in this study**

Supplementary material

Supplementary Figures

Supplementary Figure 1

Illustration of the workflow for this study consists of 1) preprocessing 2) prediction 3) evaluations

Supplementary Figure 2. The optimal number of highly variable genes (HVG) to be used in CP and RPC algorithms

The highly variable genes are identified from reference dataset and ranked by standardized variance from mean-variance feature selection methods with variance-stabilizing transformation. **(A)** The boxplot depicts the overall accuracy averaged over five pairs of inter-dataset predictions (pbmc, pancreas, tabula-Full, tabula-Lung, and simulation) with the top 100, 200, 500, 1000, 2000, and 5000 highly variable genes as input features for CP and RPC methods. The x-axis is the number of highly variable features, and the y-axis is the overall accuracy. Methods are reflected by different box colors. **(B)** The boxplot represents the condition number of the pseudo-bulk reference matrix averaged over four combinations of cross-dataset predictions with the top 100, 200, 500, 1000, 2000, and 5000 highly variable genes as input features. The x-axis is the number of highly variable features, and the y-axis is the condition number.

Supplementary Figure 3-7

Confusion matrix of cell-type specific accuracy for each of the four combinations of cross-dataset predictions among top-5-performing deconvolution methods. The x-axis is the predicted label from each algorithm and the y-axis is the true label in the query data.

616   **Supplementary Tables**

617   **Supplementary Table 1. Composition of cell-types in each real dataset.**

A

B

Overall Accuracy | Adjusted Rand Index | V-measure

Feature Numbers

Methods
CP   SingleR   SCINA   Seurat   scID
RPC   Garnett   scmap   CHETAH   singleCellNet

C

D

Overall Accuracy | Adjusted Rand Index | V-measure

Read Depth

Methods
CP   SingleR   SCINA   Seurat   scID
RPC   Garnett   scmap   CHETAH   singleCellNet

A Group Population Scale in the Simulation Data

B Cell-type Specific Accuracy Across Cell Groups

C Overall Accuracy · Adjusted Rand Index · V-measure

Methods with Rejection Option

Methods: Garnett, SCINA, scmap, CHETAH, scID

D Accuracy of the Leave-out Group to be Unassigned

Top Performing Methods

Table 1 List of Single-Cell RNA-sequencing/Methylation Cell Deconvolution tools benchmarked in this study. The tools are compared with respect to their algorithms and characteristics

Software	Method/Algorithm	Bulk/Single Reference	Require Pre-defined Marker Genes	Allow Unknown	Version Under R 3.6.0	Reference
SingleR	Correlation-based with Iterative Tuning	Bulk	No	No	SingleR_1.0.0	[9]
CP	Reference-based method using Constrained Projection	Bulk	No	No	EpiDISH_2.0.2	[15]
RPC	Reference-based Robust Partial Correlations	Bulk	No	No	EpiDISH_2.0.2	[15]
Garnett	Elastic net Multinomial Regression	Single	Yes	Yes	garnett_0.1.4	[13]
SCINA	Bimodal Distribution assumption for marker genes	Single	Yes	Yes	SCINA_1.1.0	[14]
Seurat	Define anchor with CCA, L2-norm and MNN	Single	No	No	Seurat_3.0.1	[7]
singleCellNet	Multi-Class Random Forest	Single	No	No	singleCellNet_0.1.0	[11]
CHETAH	Correlation-based with Hierarchical Classification	Single	No	Yes	CHETAH_1.1.2	[10]
scmap	K-nearest-neighbor classification with cosine similarity	Single	No	Yes	scmap_1.6.0	[8]
scID[a]	Fisher's Linear Discriminant Analysis-like Framework	Single	No	Yes	scID_0.0.0.9000	[12]

[a]Methods are published in preprint.

Table 2   Datasets used in this study

Dataset Name	Protocol	No. of Cells	No. of Genes	No. of Cell Types	Species/Tissue/ Description	Reference
PBMC-Sorted	10X	91,649	18,986	7	Human Peripheral Blood Mononuclear Cells	[22]
PBMC-3K	10X	2,467	13,714	6	Human Peripheral Blood Mononuclear Cells	10X Genomics
Pancreas-Sorted	CEL-Seq2	2,285	34,363	13	Human Pancreas	[20] [7]
Pancreas	Fluidigm C1	638	34,363	13	Human Pancreas	[21] [7]
Tabula Muris-Sorted	Smart-Seq2	24,622	22,252	37	Mouse	[2]
Tabula Muris	10X	20,000	17,866	32	Mouse	[2]
Tabula Muris Lung-Sorted	Smart-Seq2	1,563	22,253	10	Mouse Lung	[2]

Tabula Muris Lung	10X	1,303	17,866	8	Mouse Lung	[2]
Simulation1_true	Splatter	2,000	4,000	5	Simulation data for inter-data prediction	
Simulation1_raw[a]	Splatter	2,000	4,000	5	N/A	
Simulation2_true	Splatter	2,000	10,000	9	Simulation data with descending cell proportion for each cell group	
Simulation2_raw[a]	Splatter	2,000	10,000	9	N/A	
Simulation_Low_true	Splatter	2,000	10,000	5	Simulation data with low differential expression scale for each cell group	
Simulation_Low_raw[a]	Splatter	2,000	10,000	5	N/A	
Simulation_Low_Moderate_true	Splatter	2,000	10,000	5	Simulation data with low-moderate differential expression scale for each cell group	
Simulation_Low_Moderate_raw[a]	Splatter	2,000	10,000	5	N/A	
Simulation_Moderate_true	Splatter	2,000	10,000	5	Simulation data with moderate differential expression scale for each cell group	

Simulation_Moderate_raw[a]	Splatter	2,000	10,000	5	N/A
Simulation_High_true	Splatter	2,000	10,000	5	Simulation data with high differential expression scale for each cell group
Simulation_High_raw[a]	Splatter	2,000	10,000	5	N/A

[a] Raw data is true simulation data with the addition of dropouts.

A Overall Accuracy using Different number of HVG

B Matrix Condition Number using Different number of HVG

Supplementary Table 1 Composition of cell-types in each real dataset

	PBMC-Sorted	PBMC-3K	Pancreas-celseq2	Pancreas-Fluidigm	Tabula Muris-Lung-smartseq2	Tabula Muris-Lung-10X	Tabula Muris-Full-smartseq2	Tabula Muris-Full-10X
	B cells (10,084)	B cells (342)	Acinar (274)	Acinar (21)	B cells (57)	B cells (140)	B cells (2029)	B cells (5615)
	CD14+ Monocytes (2,465)	CD14+ Monocytes (2,465)	Activated stella (90)	Activated stella (16)	Cilliated columnar cell (25)		Basal cell (1340)	Basal cell (27)
	CD34+ (6,312)		Alpha (843)	Alpha (239)	Classical monocyte (90)	Classical monocyte (4)	Basal cell of epidermis (1648)	Basal cell of epidermis (2020)
	CD4+ T cells (42,166)	CD4+ T cells (479)	Beta (445)	Beta (258)	Leukocyte (35)	Leukocyte (9)	Basophil (25)	
	CD8+ T cells (22,138)	CD8+ T cells (308)	Delta (203)	Delta (25)	Lung endothelia cell (693)	Lung endothelia cell (24)	Bladder cell (695)	Bladder cell (192)

Cell Type (Cell numbers)								
	Dendritic cells (99)	Dendritic cells (33)	Ductal (258)	Ductal (36)	Monocyte (65)		Bladder urothelial cell (683)	Bladder urothelial cell (141)
	NK cells (8,385)	NK cells (155)	Endothelial (21)	Endothelial (14)	Myeloid cell (85)	Myeloid cell (2)	Blood cell (206)	Blood cell (153)
			Epsilon (4)	Epsilon (1)	Natural killer cell (37)	Natural killer cell (113)	Cardiac muscle cell (133)	
			Gamma (110)	Gamma (18)	Stromal cell (423)	Stromal cell (888)	Cilliated columnar cell (25)	
			Macrophage (15)	Macrophage (1)	T cell (53)	T cell (123)	Classical monocyte (90)	Classical monocyte (4)
			Mast (6)	Mast (3)			DN1 thymic pro-T cell (32)	

	Quiescent stella (12)	Quiescent stella (1)		Endocardial cell (165)	Endocardial cell (1)
	Schwann (4)	Schwann (5)		Endothelial cell (3319)	Endothelial cell (971)
				Endothelial cell of hepatic sinusoid (182)	
				Epithelial cell (201)	Epithelial cell (99)
				Fibroblast (2189)	Fibroblast (4)
				Granulocyte (761)	Granulocyte (73)

	Granulocytopoietic cell (221)	Granulocytopoietic cell (14)
	Hematopoietic precursor cell (265)	Hematopoietic precursor cell (24)
	Hepatocyte (391)	Hepatocyte (374)
	Immature B cell (344)	Immature B cell (3)
	Immature T cell (1337)	Immature T cell (222)
	Keratinocyte (330)	Keratinocyte (1035)

	Kidney collecting duct epithelial cell (121)	Kidney collecting duct epithelial cell (24)
	Late pro-B cell (306)	Late pro-B cell (14)
	Leukocyte (683)	Leukocyte (19)
	Luminal epithelial cell of mammary gland (578)	Luminal epithelial cell of mammary gland (35)
	Lung endothelial cell (693)	Lung endothelial cell (24)

	Macrophage (395)	Macrophage (208)
	Mesenchymal cell (830)	Mesenchymal cell (5200)
	Mesenchymal stem cell (499)	Mesenchymal stem cell (169)
	Monocyte (331)	Monocyte (42)
	Myeloid cell (1208)	Myeloid cell (2)
	Natural killer cell (171)	Natural killer cell (142)
	Skeletal muscle satellite cell	Skeletal muscle satellite cell (11)

		(540)
	Stromal cell	Stromal cell
	(863)	(1153)
	T cell	T cell
	(793)	(1985)