Title: Diet-induced changes to host gut microbiota is linked to foraging innovation in a wild bird

Authors: Gabrielle Davidson[a,b,*] , Niamh Wiley[c,d], Amy C. Cooke[a], Crystal N. Johnson[c,d], Fiona
Fouhy[c,d], Michael S. Reichert[a, e], Iván de la Hera[a], Jodie M.S. Crane[a,f], Ipek G. Kulahci[a], R. Paul
Ross[c,d], Catherine Stanton[c,d], John L. Quinn[a,*]

* Correspondence: Gabrielle Davidson email: gd339@cam.ac.uk; John Quinn email: j.quinn@ucc.ie.

Tel: +44(0)1223 747321

a. School of Biological, Earth and Environmental Sciences, Distillery Fields, North Mall,
8   University College Cork, Cork, Ireland.
9   b. Department of Psychology, Downing Street, University of Cambridge, Cambridge, UK.
10   c. APC Microbiome Ireland, University College Cork, Cork, Ireland.
11   d. Teagasc Food Research Centre, Moorepark, Fermoy, Ireland.
12   e. Department of Integrative Biology, Oklahoma State University, USA
13   f. Kākāpō Recovery Programme, Department of Conservation, 7th Floor, 33 Don Street,
14   Invercargill 9810, New Zealand.

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ABSTRACT: The microbial community in the gut is influenced by environmental factors, especially diet, which can moderate host behaviour through the microbiome-gut-brain axis. However, the ecological relevance of microbiome-mediated behavioural plasticity in wild animals is largely unexplored. We presented wild-caught great tits (*Parus major*) with a problem-solving task and showed that performance was weakly associated with natural variation in the gut microbiome. We then manipulated the gut microbiome by feeding birds one of two diets that differed in their relative levels of fat, protein and fibre content: an insect only diet (low content), or a seed, nut and beef suet diet (high content). We presented these same individuals with the same problem solving task after the dietary manipulation to test whether any observed dietary-induced gut microbiome alterations predicted their subsequent problem solving performance. Microbial communities changed substantially and were less diverse among individuals given the insect but not the seed diet. Individuals were less likely to problem-solve after being given the insect diet, and problem-solving performance was positively associated with microbiome diversity. The same microbiota metrics that were altered as a consequence of diet were also those that correlated with variation in problem solving performance. Although the effect on behaviour may not be solely or directly a consequence of the gut microbiota manipulation, this nevertheless represents the first evidence for an association between behaviour, diet and the gut microbiome in a wild animal system, and points to an overlooked but potentially crucial mechanism underlying individual behavioural plasticity in evolutionary ecology.

INTRODUCTION

The enteric microbial community, frequently referred to as the gut microbiome, is an important ecosystem that contributes to host behaviour (Cryan & Dinan, 2012; Sherwin, Bordenstein, Quinn, Dinan, & Cryan, 2019). Recent evidence points to a bidirectional communication link between the host's brain and their own gut microbiome, known as the microbiome-gut-brain axis (Diaz Heijtz et al., 2011; Foster & McVey Neufeld, 2013). Experimental alteration of the gut microbiome can impact important behaviours and cognition, including learning, memory, anxiety, activity levels and social interactions (Clarke et al., 2012; Diaz Heijtz et al., 2011; Desbonnet, Clarke, Shanahan, Dinan, & Cryan, 2014; Hoban et al., 2017; Magnusson et al., 2015), as well as cause changes to neurogenesis (Ogbonnaya et al., 2015) and protein expression in the brain (Clarke et al., 2012; Gareau et al., 2011; Hoban et al., 2017). Neurotransmitters and short chain fatty acids released by microbes act as signals that can be communicated to the brain (reviewed in Cryan & Dinan, 2012) and the extent to which signalling occurs is dependent on the microbial taxa present (Stilling et al., 2016). Evidence of the microbiome-gut-brain axis affecting behaviour is limited to experiments on model laboratory animals and to correlational studies on mental health in humans (Cryan & Dinan, 2012; Foster & McVey Neufeld, 2013). Little is known about whether these findings can be applied to natural populations, where microbiome-host interactions are predicted to have important effects on traits that directly impact animal fitness (Davidson, Cooke, Johnson, & Quinn, 2018), such as cognition (Morand-Ferron, Cole, & Quinn, 2016) and foraging behaviour (Stephens & Krebs, 1986).

Several environmental factors have been shown to contribute to enteric microbial community composition in wild vertebrates, including geographical location (De Filippo et al., 2010; Gillingham et al., 2019), habitat characteristics (Costa, Lopes, Proenca, Ribeiro, & Morais, 2016; Knutie, Chaves, & Gotanda, 2019), and seasonality (Amato et al., 2015; Hicks et al., 2018; Maurice et al., 2015). These temporal and spatial differences in the microbiome, between and within populations, also correlate with variation in diet (Amato et al., 2015; Lozupone, Stombaugh, Gordon, Jansson, & Knight, 2012). While reports of direct effects of dietary manipulations on the gut microbiota in birds to date have been restricted to poultry (Pan & Yu, 2014), changes in diet can lead to a significant alteration to the gut microbiome within 24 hours in humans (David et al., 2014).These changes are dependent on dietary features such as protein levels (Clarke et al., 2014; David et al., 2014), fat levels (Fava et al., 2013), and whether plants are present in the diet (Wu et al., 2016; Zimmer et al., 2012). Furthermore host diet strongly predicts phylogenetic convergence of the gut microbiomes in several vertebrate clades (Youngblut et al., 2019). Thus, microbiome plasticity should be particularly important in wild populations (Davidson et al., 2018), many of which are subject to regular changes in food availability (e.g. Kartzinel, Hsing, Musili, Brown, & Pringle, 2019), and in which individuals typically differ in their foraging strategies (Bolnick et al., 2003).

Studies in model lab organisms show that phenotypic plasticity in cognitive performance can occur in parallel with gut microbiome alterations caused by diet (Magnusson et al., 2015; Li, Dowd, Scurlock, Acosta-Martinez, & Lyte, 2009). For example mice fed beef-chow had a higher microbial diversity than those fed on normal chow, and showed improved working and reference memory (Li et al., 2009). Observational studies also point to a relationship between diet, cognition and mood in humans (Psaltopoulou et al., 2013; Carlson et al., 2018), effects that may be mediated by the host gut microbiome (e.g. Carlson et al., 2018). Although some empirical studies have been published on lab model systems, there is now a need for manipulative experiments using model ecological organisms to understand how dietary induced changes in the microbiome might affect functionally significant behaviours in the wild.

The great tit (*Parus major*) is a highly innovative species that has long been a model organism in field studies on behaviour, ecology and evolution. It is also ideal for short-term laboratory studies because they adapt well to temporary captivity (Dunn, Cole, & Quinn, 2011; Cole, Morand-Ferron, Hinks, & Quinn, 2012; G. Davidson personal observation). 'Problem solving performance', in which individuals must solve a technical problem to obtain a reward, is often described as being underpinned by cognitive mechanisms (Seed & Mayer, 2017). In wild great tits, problem solving performance differed consistently over time and across different tasks among individuals (Cole, Cram, & Quinn, 2011), and has been linked to a range of behaviours and fitness-related traits in the great tit, both in the wild and in the laboratory (Quinn, Cole, Reed, & Morand-Ferron, 2016; Cole et al., 2012; Dunn et al., 2011). Quantitative genetic analysis in one population also showed that problem solving performance was driven by positive correlations with favourable ecological conditions during the

nestling stage, including habitat characteristics strongly linked to diet (Quinn et al., 2016).
Innovativeness is also positively correlated dietary breadth across bird species (Ducatez, Clavel, &
Lefebvre, 2015) because innovations such as problem solving performance may increase their access
to a wide range of resources (Reader & MacDonald, 2003). If problem solvers have a wider dietary
breadth, then we predicted that they would have a more diverse gut microbiome than non-problem
solvers (Davidson et al., 2018), although the direction of causality can also be in the opposite
direction since the microbiome can also affect behaviour directly (Cryan & Dinan, 2012). Thus given
the links between diet, the microbiome and behaviour found in laboratory studies (Li et al., 2009;
Bruce-Keller et al., 2015), the possible links with i) diet diversity and problem solving, and ii) habitat
quality and problem solving, leads to the expectation of a positive correlation between problem
solving and alpha diversity in the great tit.

Here we tested whether habitat and diet predicted gut microbiome in wild-caught great tits, and
whether natural and manipulated gut microbiome profiles correlated with problem solving
performance. First we sampled birds from both rural and urban areas, known to differ in their quality
as habitat for great tits (e.g. Biard et al., 2017), and therefore we expected host gut microbiota may
differ in diversity, community structure (i.e. beta diversity) and/or taxa-level abundance(e.g. Teyssier
et al., 2018), though our replication at the site level was limited. In line with our hypotheses above, we
predicted a positive correlation between problem solving performance and natural microbiome alpha
diversity, although we also tested for differences in microbial community structure
similarity/dissimilarity (i.e. beta diversity) and taxa-level relative abundance between solvers and non-
solvers. Second, we manipulated the diet of wild-caught great tits to test whether microbiota
community structures became more dissimilar, and whether phylum-level and genus-level taxa
changed within and between treatment groups. Given the seed diet was higher in fat, protein and fibre
content than the insect only diet, we expected these individuals to have higher alpha diversity, higher
Firmicutes and lower Bacteroidetes if similar dietary effects occur in great tits as have been reported
in humans (e.g Clarke et al., 2014). Third, we tested whether a change in the gut microbiome as a
consequence of the manipulated diet influenced problem solving performance by presenting these
birds with the same task again. We predicted that if the gut microbiome influenced host behaviour,
rather than the dietary treatment itself doing so, then a change in behaviour should specifically be
associated with the same metrics of the gut microbiome as those that were changed as a result of
dietary manipulation.

MATERIALS AND METHODS

Subjects

Thirty six great tits were captured between January and March 2017 across four sites. Two sites were
within Cork city (urban), 1.6 km apart, and two were in deciduous woodlands (rural) 23 km apart, and
located at least 23km from the urban sites. All birds were banded with rings issued by the British

Trust of Ornithology for individual identification. Upon capture, birds were transported to the aviary

facilities at University College Cork and singly-housed in wire cages (45 × 50 × 60 cm) containing

two wooden perches.

Faecal sampling

Faecal samples were collected within 1 hour of arrival into the aviary, and again on Day 12 of

captivity. A clean sheet of brown paper was placed on the floor of each cage for faecal collection.

Paper was used in order to soak liquid urea away from the faecal matter as urea can act as a

downstream inhibitor to amplification (Khan, Kangro, Coates, & Heath, 1991). Using sterile

inoculation loops, we transferred the faecal matter into tubes containing 1ml of 100% ethanol and

stored tubes at -20 degrees Celsius.

Dietary manipulation

From day 2-13 of captivity, birds were given one of two different dietary treatments designed to

reflect ecological variation seen in the wild, for example changes in the availability of seed or animal

food sources (Perrins, 1991; Vel'ky, Kanuch, & Kristin, 2011), or perhaps reflecting potential

individual differences in dietary specialisations (Serrano-Davies, O'Shea, & Quinn, 2017): 1) Seed

and suet, n = 17; and 2) Insect diet, n = 19. The insect diet consisted of wax moth larvae (*Achroia*

grisella) and mealworm larvae (*Tenebrio molitor*). Notably, these different diets different in the

relative content of protein, fat and fibres (Table S1), features of diets that have previously been

associated with differences in host microbiota in mammals (Singh et al., 2017). Mealworms were

provided ad libitum, and five wax worms were provided each morning and each evening (except

during the problem solving task). The seed diet consisted of sunflower hearts, peanuts and suet. We

provided birds in the seed diet five mealworms and one wax worm on day seven of captivity for

welfare reasons as we did not want to deprive the birds of a preferred food item as preference for

mealworms/wax worms was observed in our population (pers. obs), and on the basis of other work

(e.g. Aplin, Sheldon, & McElreath, 2017).. To limit more general nutritional deficiencies, all birds

received vitamin powder mixed with their food and drops mixed in their water (AviMix®). Birds

were assigned to treatment groups randomly, counterbalanced for age and sex.

Problem solving assay

To quantify individual foraging innovation, naïve birds were presented with a novel problem solving

foraging task, derived from a similar foraging task (Dunn et al., 2011; Cole et al., 2012; Quinn et al.,

2016). The birds were given the task overnight from one hour before sunset to two hours after sunrise,

once on Day 1 of captivity and once on Day 12 of captivity. Due to the length of the trial, birds were

not food deprived for welfare reasons. During the first trial, all birds had access to mealworms,

peanuts and sunflower hearts ad libitum. During the second trial, birds had access to their assigned

diets ad libitum. During both trials, wax worms, a highly preferred food reward (Cole et al., 2011;

O'Shea, Serrano-Davies, Quinn, & Pruitt, 2017; G. Davidson personal observation), were placed

inside a transparent Perspex tube 16cm (height) x 5cm (width). The worms could be accessed by

solving at least one of three solutions: 1) by pulling a lever to drop a platform holding a worm; 2) by

pushing a door to the side; and 3) by pulling a string attached to one of the worms from the top of the

tube. By having multiple access possibilities, problem solving performance could be assessed without

limiting solutions to one particular motor action that may be more feasible in some individuals over

others. At the start of the problem solving assay, a freely available wax worm was placed outside, at

the base of the problem solving device to measure birds' motivation to approach the apparatus and

consume the wax worm. Wax worms were otherwise not provided when the problem solving task was

presented. One bird died of unknown causes following 10 days of captivity and therefore only data

from Day 1 were included for this bird.

Microbiome analysis

Microbial DNA was extracted using the Qiagen QIAamp DNA Stool Kit, following the "Isolation of

DNA from Stool for Pathogen Detection" protocol with modifications described in Zeale *et al*. (2011)

to increase DNA yield and remove excess inhibitors expected to be present in the uric acid of bird

faeces (but see Crouch, Lynch, & Clarke, 2019 where they found no evidence of uric acid in feacal

matter from a subset of avian species). A 0.10 - 0.20 g aliquot of each faecal sample was added to the

kit, alongside a negative control.

The V3-V4 variable region of the 16S rRNA gene was amplified from the DNA extracts using the 16S

metagenomic sequencing library protocol (Illumina) as described in Fouhy *et al* (2019). In the current

study, each PCR reaction contained 23 µl DNA template, 1 µl forward primer (10 µM), 1 µl reverse

primer (10 µM) and 25 µl 2X Kapa HiFi Hotstart ready mix (Roche, Ireland), to a final volume of 50

µl. Two negative controls were run in parallel – one from the DNA extraction and one containing

PCR water instead of DNA template. Of the bird samples, ten failed to amplify and were not pooled

for sequencing (Day 1: n = 1 (seed), Day 13: n = 3 (seed), 6 (insect)). Successful PCR products were

cleaned using AMPure XP magnetic bead based purification (Labplan, Dublin, Ireland). Samples were

sequenced at the Teagasc Sequencing Centre on the MiSeq sequencing platform, using a 2 x 300 cycle

kit, following standard Illumina sequencing protocols.

Three hundred base pair paired-end reads were assembled using FLASH (FLASH: fast length

adjustment of short reads to improve genome assemblies). Further processing of paired-end reads

including quality filtering based on a quality score of > 25 and removal of mismatched barcodes and

sequences below length thresholds was completed using QIIME. Denoising, chimera detection and

clustering into operational taxonomic units (OTUs) (97% identity) were performed using USEARCH

v7 (64-bit). OTUs were aligned using PyNAST (PyNAST: python nearest alignment space

termination; a flexible tool for aligning sequences to a template alignment) and taxonomy was

assigned using BLAST against the SILVA SSURef database release v123. Alpha diversities were
generated in QIIME 4.

Statistical analyses

The QIIME files (Operational Taxonomic Unit table, taxonomic table, phylogenetic tree file) and
metadata files were analysed using phyloseq (McMurdie & Holmes 2013) in R Statistical Software (R
Development Core Team 2011). Sequences with less than 15,000 reads, singletons and taxa present at
<0.005% were removed (Bokulich et al., 2013). Samples were CSS normalised for beta diversity
analysis. We included all taxa in the dataset, most notably those that are often viewed as 'dietary
contaminants' (i.e. microbes originating from ingested food, such as cyanobacteria present in plants).
This is because not all cyanobacteria are dietary contaminants (Di Rienzi *et al.* 2013) and because our
study specifically tested differences between diets. Thereby removal of one so-called 'dietary
contaminant' specific to plants (i.e. cyanobacteria), but none specific to insects (e.g. insect
microbiome) could systematically bias our results.

Linear Mixed Models (LMMs) and Generalized Linear Mixed Models (GLMMs) were run using lme4
(Bates, Maechler, Bolker, & Walker, 2014), and where relevant, p-values were obtained using
lmerTest (Kuznetsova, Brockhoff, & Christensen, 2017) in R (R Development Core Team, 2011).
Terms with $p < 0.1$ were sequentially removed from the models, starting with interaction terms. Site
ID and Bird ID were included as random effects. Response variables were transformed where
necessary to meet assumptions of normality (Natural log (Ln) or square root transformed), and
therefore all models were run with a Gaussian distribution unless stated otherwise.

Dietary effects on microbiome

The effect of diet on the relative abundance (i.e. percentage abundance relative to all other phyla) was
tested for Firmicutes, Proteobacteria, Bacteroidetes, Tenericutes and Actinobacteria as these were the
most abundant phyla. Proteobacteria was modelled as a proportion and run with a binomial
distribution, as it could not be transformed to fit a normal distribution. Three different measures of
alpha diversity were used as response variables to test the effect of diet on the microbiome
community: Shannon's index, total observed species, and Chao1. The above gut microbiome metrics
did not differ between pre-assigned dietary groups, therefore we used diet as a three level factor
accounting for both experimental date as a fixed effect: Diet (pre-dietary assignment (day 1), insect
post-diet (day 12) and seed post-diet (day12)). Habitat (rural, urban), age (juvenile, adult (Svensson,
1992)) and sex were included as fixed effects. To avoid overparameterization of models, we tested the
interaction between diet and habitat only, as we expected inherent differences in diets between birds
from the two habitats. We also investigated the effect of diet on genus-level relative abundance with
mixed regression models using the web-based software Calypso (version 8.72) (Zakrzewski et al.,

2017). ID was included as a random term and significant taxa were adjusted by a false discovery rate
(FDR) whereby p values of less than 0.05 were considered statistically significant.

Dietary effects on problem solving performance

Problem solving performance was modelled as a binomial distribution (solved vs not solved) with diet
(seed, insect), experiment day (day 1, day 12), habitat (rural, urban), age (juvenile, adult) and sex
included as fixed effects. We included interactions between diet and habitat type and diet and
experiment day. To test whether diet affected motivation, we ran a binomial GLMM with
consumption of the freely available wax worm (Yes/No) as the response variable, assuming that birds
who took the waxworm were more motivated to solve than those who were not. The fixed and random
effects were included as described for the problem solving analysis above.

Relationship between problem solving and microbiome

We tested whether natural variation in the gut microbiome correlated with problem solving
performance on Day 1. We tested for associations between microbial community and problem solving
as a binomial response variable (solved vs not solved) in GLMMs for each microbial community
measurement (the top five phyla, and the three measures of alpha diversity), and included habitat, sex
and age as fixed effects and site as a random effect. Beta Diversity was calculated in four ways: Bray-
Curtis, Jaccard, weighted (accounting for relative abundance of taxa) and unweighted
(presence/absence of taxa) unifrac distance matrices. Each matrix was analysed using permutational
multivariate analysis of variance (ADONIS) with 1000 permutations. We also investigated the
relationship between problem solving and relative abundance at the genus-level as described above.
To test whether gut microbiome alteration as a consequence of dietary manipulation caused a change
in problem solving performance, we ran the same analyses described above, but included data from
Day 12 and diet as a fixed effect with bird ID as a random term.

Research and Animal Ethics: This study was conducted under licences from the Health Products
Regulatory Authority (AE19130_P017), The National Parks and Wildlife Services (C11/2017) and
the British Trust for Ornithology, and permission from Coillte Forestry and private landowners. The
research project received ethical approval from the Animal Welfare Body at University College Cork,
and was in accordance with the ASAB (Association for the Study of Animal Behaviour) Guidelines
for the Treatment of Animals in Behavioural Research and Teaching.

RESULTS

Relative abundance, diet and microbial diversity

The most prominent phyla across all samples were as follows (mean percentage relative abundance
±SE): Proteobacteria (55.3%±4.0); Cyanobacteria (14.8%±2.6); Firmicutes (10.2%±2.1); Tenericutes
(9.0%±2.3); Actinobacteria (4.0%±1) and Bacteroidetes (2.0%±0.5). Proteobacteria increased

significantly over the course of captivity in the insect-diet group (z=2.02, p=0.04), but not in the seed-

diet group (z=-0.28, p=0.78), and tended to be higher in adults than juveniles (z=1.84, p=0.07).

Bacteroidetes (natural log (Ln)-transformed) increased in the insect group (t=0.22, p=0.03), but not

the seed group (t=1.01, p=0.32), and tended to be higher in urban compared to rural habitats (t=1.80,

p=0.08). Actinobacteria was significantly higher in birds from urban habitats (t= 2.47, p=0.02). There

was no significant effect of diet, habitat, sex or age on Firmicutes (Ln-transformed) or Tenericutes

(Ln-transformed). There were no significant interactions between diet and habitat for all models.

Figures 1 depicts relative abundance across dietary treatments. Full model outputs are provided in

Table S2, supplementary.

Significant differences in genus-level abundance attributed to dietary treatments were found for 22

genera. Birds given the insect diet showed a decrease in *Devosia, Rickettsiella, Sphingomonas,*

Pantoea, Arthrobacter, Brevibacterium, Brachybacterium, Clostridium and Carnobacterium, and an

increase in *Candidatus, Methylobacterium.* Birds given the seed diet showed a decrease in

Cronobacter and *Serratia*, and an increase in *Microbacterium.* Birds in both dietary groups showed a

decrease in *Bradyrhizobium, Staphylococcus and Rahnella*, and an increase in *Lactobacillus, Bacillus,*

Ureaplasma, Delftia, Flavobacterium, Streptococcus and Rhodococcus (Table S3, supplementary).

There was a significant decrease in alpha diversity, specifically Chao1 (square root transformed) in

the insect diet group (t=-2.51, p=0.02), but not in the seed group (t=-0.07, p=0.94) compared to the

pre-diet assignment birds (Figure 2a). A similar pattern was found in Shannon's index, although the

effect of the insect group was marginally non-significant (insect group: t=-2.02, p=0.06; seed group:

t=0.01, p=0.99) (Figure 2b). Number of observed species (Ln-transformed) did not differ significantly

across treatments (insect group t=-1.74, p=0.10; seed group t=0.43, p=0.67) (Figure 2c). There was no

significant effect of sex, age, or habitat type on alpha diversity. There was no significant interaction

between diet and habitat. (Table S2, supplementary). ADONIS tests showed dietary treatment

significantly influenced beta diversity across all four metrics (Figure 3a). Post-hoc tests with

Bonferroni correction indicate that the differences were between day 1 and the post-diet insect group

(R2=0.07, p<0.01), and between the two post-diet groups (R2=0.10, p=0.03). Beta diversity also

significantly differed between habitat types (Table S5).

Problem solving, diet and microbial diversity

The innovation task was solved 17 times, by 15 different individuals across both trial days. None of

the birds from the insect group solved during the post-dietary treatment. Natural variation in beta

diversity prior to dietary manipulation tended to differ between problem solvers and non-problem

solvers (unweighted unifrac distances R = 0.05, p=0.07). All other metrics of natural variation in the

gut microbiome were not significantly associated with problem solving (Table S4). However,

Problem Solving Performance (PSP) across both trials, when controlling for dietary treatment and

repeated measures, was positively correlated with alpha diversity (Shannon: $z=2.22$, $p=0.03$; Chao1
$z=2.13$, $p=0.04$, observed species $z=1.96$; $p=0.06$) (Table S2, Figure 4a,b,c), and beta diversity
(weighted unifrac: $R2=0.12$, $p<0.01$, unweighted unifrac and Bray-Curtis: $R2=0.07$, $p<0.01$) (Table
S5, Figure 3). Phylum-level and genus-level relative abundance was not associated with PSP.

Birds assigned to the seed group solved more than the birds assigned to the insect group ($z=2.22$,
$p=0.03$), and birds tended to be more likely to solve on day 1 than day 12 ($z=1.93$, $p=0.054$), though
this effect was likely driven by the post-diet insect group (Figure 5). There was a tendency for
juveniles to solve more than adults ($z=1.94$, $p=0.053$). Neither the interactions between habitat, nor
between experiment day and diet were significant (Table S2, supplementary). PSP was not attributed
to differences in motivation to consume the food reward as all birds were equally likely to consume
the same reward when it was made freely available to them, regardless of dietary treatment and
experiment day (diet $z=1.18$, $p=0.24$, experiment day $z=0.85$, $p=0.40$), nor was performance attributed
to individual baseline or stress-induced blood-circulating corticosterone levels measured as part of a
separate experiment (in prep, preliminary analysis available on request).

DISCUSSION

We demonstrate that an experimentally induced dietary change caused significant alterations to the
gut microbiome diversity, and to phylum- and genus-level abundance in a wild bird species, which in
turn was correlated with reduced innovative behaviour. To our knowledge, this is the first
experimental evidence from a non-model lab organism that i) microbial communities in the gut are
influenced by diet, and ii) gross microbiota composition influences a behavioural trait, in this case
problem solving performance. We discuss these findings in the context of foraging ecology, the
microbiome-gut-brain axis, and environment-behaviour interactions.

Diet and the microbiome

Seasonal and geographic differences in gut microbial communities in wild mammals have been
attributed to changes in food availability (Amato et al., 2015; Maurice et al., 2015; Hicks et al., 2018).
In a population of wild birds temporarily taken into captivity, we show that changes in microbial
community composition are sensitive to dietary changes, independent of other factors that may differ
with seasonality and impact on gut microbiota, such as hormonal differences (Escallon, Belden, &
Moore, 2019), because the changes here were recorded under controlled conditions over a two week
period. We show that phylum-level and genus-level diversity changes to the gut microbiome were
caused by the diet, which may be attributed to differences in relative protein, fat and fibre content
(Clarke et al., 2014; David et al., 2014). We found higher abundance of Bacteroidetes present in
subjects with lower fat, protein and fibre intake, a finding in line with Clarke et al (2014); in contrast
to that study, however, we did not find a decrease in Firmicutes, and found an increase in
Proteobacteria. These differences in dietary effects on the gut microbiota may be due to differences in

the core microbiome between birds and mammals (Waite & Taylor, 2014), and the type of fat and/or
fibre (reviewed in Singh et al., 2017).

Birds in the insect only diet showed a decrease in alpha diversity, perhaps because the diet itself was
less diverse than the seed and nut diet. While birds in both the seed and insect diets showed both
decreases and increases in genus-level abundance, only birds given the insect diet showed significant
changes in diversity and phylum-level abundance. This could perhaps be explained because our birds
had already been taking seeds at the feeders we used to lure them for capture, and because great tits
consume a high proportion of plant-based foods in the winter (Vel'ky et al., 2011). The use of garden
feeders in both urban and rural environments may also explain why there were no rural versus urban
habitat differences in alpha diversity. There was, however, a significant difference in beta diversity as
well as a higher proportion of Actinobacteria in urban birds compared to rural birds, similar metrics to
those that have previously been shown to be related to urban environments in house sparrows (*Passer*
domesticus) (Teyssier et al., 2018). While our experiment in captivity aimed to mimic variation in
individual food consumption, or in seasonal food availability, in the wild longitudinal sampling of
individuals across seasons would be necessary to confirm whether similar microbial taxa changes
would occur under natural conditions, particularly given that the invertebrate species accessible in the
wild would differ from those provided in our experiment. Whatever the reason for limited effects of
habitat on the microbiome, our manipulation showed clearly that diet does modify the microbiome in
this model species in field ecology, and that more generally environmental drivers of variation in diet
may play a significant role in driving these effects.

Problem solving performance and the microbiome

Dietary manipulation in this experiment affected not just the gut microbiome but also the likelihood of
solving. Those on the insect-only diet had reduced microbiome diversity and were less likely to solve,
suggesting a potential causal link whereby the dietary induced reduction in the microbiome may have
impeded problem solving behaviour via the microbiome-gut-brain axis. Our results showed that the
indices of microbial community diversity that decreased as a consequence of diet (i.e. Chao1 index,
beta diversity) were the same metrics that were associated with variation in problem solving
performance. Proteobacteria and Bacteroidetes increased following an insect-diet, but these two phyla
were not associated with problem solving performance, nor were the genus-level microbial taxa that
were altered as a consequence of diet, suggesting that the microbial community structure as a whole
may be important for regulating behaviour.

Motivation can influence problem solving performance (reviewed in Griffin & Guez, 2014) and an
all-insect diet may have decreased motivation to engage in the problem solving task baited with an
insect reward. However, the insect diet did not influence the birds' motivation to consume the freely
available wax worm, irrespective of dietary treatment; and birds solved on day one when other insects
(i.e. mealworms) were freely available, indicating that wax worms are a highly-valued and preferred

food reward. Furthermore the links between problem solving performance and the microbiota were
significant, even after controlling for dietary manipulation as a fixed effect (see Table S2). Thus we
suggest the change in the microbiome itself may well have caused the change in problem solving
performance.

How the gut microbiome impacts behaviour via the gut-brain axis may be attributed to the metabolic
functions of the microbial community itself (e.g. Stilling et al., 2016), derived from the diets of the
host (e.g. reviewed in Roager & Dragsted, 2019). Studies have attempted to disentangle nutritional
and microbial effects on behaviour by depleting the original microbes of the hosts and re-introducing
specific bacterial organisms, or transplanting gut microbiomes between hosts (e.g. Bruce-Keller et al.,
2015; Mohle et al., 2016). And while we did not manipulate microbiome directly owing to logistical
and ethical constraints, the aim of our study was to test diet-microbiome-behaviour relationships
within an ecologically relevant context that would translate to wild animals in their natural
environment. To control for nutritional deficiencies that may have an impact on behaviour
independent of microbiome, we provided vitamin supplements, though other nutritional differences
cannot be discounted. Fat content and fibre content was five-fold and three-fold higher in the seed diet
compared to the insect diet, respectively. Mice fed on high fat diets, or given microbiome
transplantations from obese donors show poorer cognitive performance than control mice (Magnusson
et al., 2015; Bruce-Keller et al., 2015); whereas, our study showed that birds fed the lower-fat diet
(i.e. insect) had poorer problem solving performance. Having a higher proportion of fibre present in
the seed diet may have offset any negative effects of a high-fat diet. Non-digestible carbohydrates are
fermented by gut microbes in the large intestines, promote the growth of microbial organisms and can
have positive effects on cognition and behaviour in mammals (reviewed in Cryan et al., 2019).
Metabolomics profiling would be an informative future endeavour to provide a functional assessment
of microbial products such as short chain fatty acids involved in gut-brain axis communication
(reviewed in Stilling et al., 2016; Heintz-Buschart & Wilmes, 2018; Cryan et al., 2019) to pinpoint
further the mechanisms underlying phenotypic plasticity in innovative behaviour.

Contrary to our predictions, we did not find that individual variation in problem solving performance
was positively associated with natural variation in microbial alpha diversity, as measured on the first
day in captivity and before dietary manipulation. Instead, beta diversity was dissimilar between
solvers and non-solvers, although this result was marginally non-significant and correlational. One
reason for the lack of an effect is that all of the birds were feeding on the same food at the feeders, and
individual variation in foraging on other food sources may simply not have been pronounced until we
manipulated the diet in captivity. Further manipulative investigations are needed to pinpoint causal
directions of these relationships under natural conditions, in particular whether innovative behaviour
leads to variation in microbial diversity through food access, or indeed whether innovation arises
because of microbial diversity caused by some other mechanism, such as developmental effects
related to habitat quality (Quinn et al., 2016). Together our findings lend support to the hypothesis

that the gut microbiome, innovation and diet are interlinked, and more generally that the microbiome
may be an important source of variation in ecologically important behaviours, especially those linked
to diet and foraging.

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Data accessibility. Our data will be deposited at Dryad, and sequence data uploaded to a repository
such as NCBI Sequence Read Archive, if the manuscript is published.

Authors contributions: GLD, ACC and JLQ designed the experiment with input from CS and RPR.
GLD and ACC ran the experiment with assistance from MSR, IGK, IDH and JMSC. GLD, NW and
CNJ carried out DNA extraction and library prep. FF and GLD analysed data. GLD wrote the
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FIGURES

Figure 1. Relative abundance of top seven phyla across dietary treatments. Proteobacteria and
Bacteroidetes significantly increased following the insect diet, but not the seed diet.

Figure 2. Alpha diversity for birds pre-dietary assignment (Day 1), post-insect diet (P-ID) and post-
seed diet (P-SD) for a) Chao1 (sqrt), b) Shannon's index, c) Observed species (Ln). Coloured points
denote individual data points, black points and line denote mean and ± SE. p values represent the
comparison between post-insect diet group and day 1.

Figure 3. Nonmetric Multidimensional Scaling (NMDS) ordination plots based on (a) weighted unifrac distances of diet and experiment day, and (b) unweighted unifrac distances of problem solving performance. Ellipses represent standard deviations around the centroids of the groups. Numbers in brackets refer to the variance explained by NDMS axes.

Figure 4. Problem solving-alpha diversity relationships in a) Chao1 (sqrt), b) Shannon's index, c) Observed species (Ln) including data points from both day 1 and day 12. Coloured points denote individual data points, black points and line denote mean and ± SE.

625 Figure 5. Problem solving performance as a measure of innovation. Number of individuals that
626 solved (dark grey) and number of birds that did not solve (light grey) pre- and post- dietary
627 treatments.