

1 **The novel microRNAs hsa-miR-nov7 and hsa-miR-nov3 are over-**  
2 **expressed in locally advanced breast cancer**

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## 48 **Abstract**

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50 miRNAs are an important class of small non-coding RNAs, which play a versatile role in gene  
51 regulation at the post-transcriptional level. Expression of miRNAs is often deregulated in  
52 human cancers.

53 We analyzed small RNA massive parallel sequencing data from 50 locally advanced  
54 breast cancers aiming to identify novel breast cancer related miRNAs. We successfully  
55 predicted 10 novel miRNAs, out of which 2 (*hsa-miR-nov3* and *hsa-miR-nov7*) were  
56 recurrent. Applying high sensitivity qPCR, we detected these two microRNAs in 206 and 214  
57 out of 223 patients in the study from which the initial cohort of 50 samples were drawn. We  
58 found *hsa-miR-nov3* and *hsa-miR-nov7* both to be overexpressed in tumor versus normal  
59 breast tissue in a separate set of 13 patients ( $p=0.009$  and  $p=0.016$ , respectively) from whom  
60 both tumor tissue and normal tissue were available. We observed *hsa-miR-nov3* to be  
61 expressed at higher levels in ER-positive compared to ER-negative tumors ( $p=0.037$ ).  
62 Further stratifications revealed particularly low levels in the her2-like and basal-like cancers  
63 compared to other subtypes ( $p=0.009$  and  $0.040$ , respectively).

64 We predicted target genes for the 2 microRNAs and identified inversely correlated  
65 genes in mRNA expression array data available from 203 out of the 223 patients. Applying  
66 the KEGG and GO annotations to target genes revealed pathways essential to cell  
67 development, communication and homeostasis.

68 Although a weak association between high expression levels of *hsa-miR-nov7* and  
69 poor survival was observed, this did not reach statistical significance. *hsa-miR-nov3*  
70 expression levels had no impact on patient survival.

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## 95 Introduction

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97 miRNAs are an important class of small non-coding RNAs, playing a versatile role in the  
98 gene regulation at the post – transcriptional level [1-5]. These molecules have proven to be  
99 involved in vital cellular functions, such as development, differentiation and metabolism [6-8].  
100 In recent years there has been increased focus on the role of miRNAs in cancer [9], and the  
101 implementation of next generation sequencing (NGS) has lead to the identification of multiple  
102 novel miRNAs as well as linked individual miRNA expression and combined signatures to  
103 tumor characteristics [10]. Currently there are 2656 distinct human miRNAs identified in the  
104 miRbase v22 [11], including more than 700 found to be deregulated in cancers [12].

105 Breast cancer is the most common malignancy in women. While outcome has  
106 improved significantly over the last three decades, resistance to therapy still presents a major  
107 challenge causing breast cancer related deaths [13]. As for chemoresistance in general, the  
108 underlying biological mechanisms remain poorly understood [14].

109 Merging evidence has indicated miRNA deregulation to play a role in breast cancer  
110 biology and outcome. Dysregulation of miRNAs may affect signal transduction pathways by  
111 targeting oncogenes and tumor suppressor genes [15], important to cancer development,  
112 progression, metastasis and potentially therapy response [16, 17]. Thus, while miR-10b,  
113 miR-125b, and miR-145 are generally downregulated, other miRNAs, like miR-21 and miR-  
114 155, are generally upregulated in breast cancer as compared to normal breast tissue [18].  
115 Further, several miRNAs have revealed strong associations to clinical parameters [19, 20]:  
116 For example, differential expression of miR-210, miR-21, miR-106b\*, miR-197, miR-let-7i,  
117 and miR-210, have been identified as a signature with prognostic value and also linked to  
118 invasiveness [21]. Moreover, miR-21 has been found linked to breast cancer metastasis and  
119 poor survival [22], while mir-29a overexpression has been shown to reduce the growth rate  
120 of breast cancer cells [23].

121 miRNAs are also known to be differentially regulated across different subclasses of  
122 breast cancer. E.g. while members of the mir-181 family are up regulated in breast cancer in  
123 general, miR-181c in particular is activated by the expression of HER2 gene [24]. Also, miR-  
124 140 has been found suppressed by estrogen stimulation in ER $\alpha$ -positive breast cancer cells,  
125 most likely due to ER response elements in the flanking element of the miR-140 promoter  
126 [25].

127 In the present study, we analyzed global miRNA expression in 50 locally advanced  
128 breast cancers using NGS, aiming to identify novel, potentially breast cancer specific  
129 miRNAs. We identified and validated two novel miRNAs (one not previously described and  
130 one not previously reported in breast cancer), and subsequently evaluated their expression  
131 in an extended patient series (n=223), by high sensitivity qPCR. Both were found over-  
132 expressed in breast cancer as compared to normal breast tissue. Considering different  
133 breast cancer subtypes, *hsa-miR-nov3* was expressed at particular high levels in ER-positive  
134 tumors contrasting lower levels in basal-like and Her2-like tumors. No similar patterns were  
135 observed for *hsa-miR-nov7*.

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## 142 **Materials and Methods**

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### 144 **Patients**

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146 In the present work we have analyzed biopsy material from two breast cancer studies.

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148 1) In the first study, incisional biopsies were collected before chemotherapy from 223  
149 patients with locally advanced breast cancer in a prospective study designed to identify the  
150 response to epirubicin (n = 109) and paclitaxel (n = 114) monotherapy. Primary response to  
151 therapy as well as long-term follow up (>10 years or death) was recorded for all patients.  
152 This cohort has been described in detail previously [26].

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154 2) In the second study, tumor breast tissue and normal breast tissue from tumor bearing and  
155 non-tumor bearing quadrants were collected from 46 anonymous breast cancer patients  
156 undergoing mastectomy, with the purpose of determining tissue estrogens. This cohort is  
157 described in detail in [27].

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159 Using NGS, we analyzed miRNA expression in 50 patients from study 1). Next, candidate  
160 miRNAs were quantified using qPCR in all 223 patients from study 1), as well as 13  
161 randomly selected patients from study 2), where RNA was available from tumor tissue and  
162 matching normal breast tissue (7 ER-positive and 6 ER-negative tumors). In addition, mRNA  
163 expression array data was available for 203 out of the 223 patients in study 1).

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165 All patients provided written informed consent, and the studies conducted in accordance to  
166 national laws, regulation and ethical permissions.

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### 168 **Tissue Sampling and RNA extraction**

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170 Tissue samples were snap-frozen in liquid nitrogen in the operating theatre and stored in  
171 liquid nitrogen until further processing. Total RNA was extracted from the biopsies using  
172 miRvana™ kit (ThermoFisher), according to the manufacturer's instructions. RNA integrity  
173 and concentration were determined using Bioanalyzer 2000 and Nanodrop ND2000  
174 spectrophotometer, respectively.

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### 177 **miRNA-sequencing**

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179 Sample preparation and single-end sequencing were performed at the core facility of the  
180 Norwegian Genomics Consortium in Oslo, on Illumina HiSeq 2500, 1x50bp. De-multiplexing  
181 was performed using the Illumina CASAVA software. FastQC was run on all samples with  
182 the main purpose to assess sequence quality.

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### 185 **Novel miRNA prediction**

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187 The raw sequencing files (fastq) were processed using the novel miRNA prediction algorithm  
188 mirdeep v2.0.0.5 [10]. Potential novel miRNAs were identified using the human reference

189 genome (hg19) and already identified miRNAs from humans and other hominids from  
190 miRbase 20 [28]. In the mirdeep2 algorithm, filtering parameters randfold P-value less than  
191 0.05 and scores greater than or equal to 10 were applied. Precursor structures obtained after  
192 filtering were manually identified based on the presence of 1-2 mismatches in the stem  
193 region, a loop sequence of 4–8 nt, and the presence of mature sequence in the stem region  
194 (See Suppl. Info.) [29].

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### 197 **cDNA synthesis and qPCR**

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199 cDNA from miRNAs was prepared using Exiqon's Universal cDNA synthesis kit II, with 20 ng  
200 of total RNA as input. qPCR was performed using Exiqon's miRCURY LNA™ Universal RT  
201 microRNA PCR system, with custom Pick-&-Mix ready to use PCR plates with an inter-plate  
202 calibrator, on a LightCycler 480 instrument (Roche). Relative expression levels for each  
203 sample were calculated by dividing the expression of the gene of interest on the average  
204 expression of two reference miRNAs: miR-16-5p and miR-30b-5p.

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### 207 **miRNA cloning and capillary sequencing**

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209 End products from custom miRNA specific qPCR were cloned into pCR 2.1 TOPO-TA vector  
210 (Life Technologies) by TOPO-TA cloning according to the manufacturer's instructions. The  
211 generated plasmids were amplified by transformation and cultivation of *E. coli* TOP10 cells  
212 (Life Technologies). The plasmids were then isolated using the Qiagen miniprep kit  
213 according to the manufacturer's instructions.

214 Sequencing was performed using the BigDye v.1.3 system (Applied Biosystems) and  
215 the primers following thermocycling conditions as previously described [30]. Capillary  
216 electrophoresis and data collection were performed on an automated capillary sequencer  
217 (ABI3700).

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### 220 **Target prediction and pathway analysis**

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222 Target prediction was performed using the offline algorithm miRanda [31, 32] and the online  
223 algorithms miRDB [33] and TargetScanHuman Custom (Release 5.2) [34].

224 miRanda predicts gene targets based on position specific sequence complementarity  
225 between miRNA and mRNA using weighted dynamic programming, an extension of the  
226 Smith-Waterman algorithm [35]. Also, the miRanda algorithm uses the free energy estimation  
227 between duplex of miRNA:mRNA (Vienna algorithm [36]) as an additional filter.

228 The miRDB is an online database of animal miRNA targets, which uses SVM  
229 (Support Vector Machine) machine-learning algorithm trained with miRNA-target binding data  
230 from already known and validated miRNA-mRNA interactions [33, 37].

231 TargetScanHuman Custom predicts biological miRNA targets by searching for match  
232 for the seed region of the given miRNA that is present in the conserved 8-mer and 7-mer  
233 sites [34]. It also identifies sites with conserved 3' pairing from the mismatches in the seed  
234 region [38, 39].

235 An in-house pan-cancer panel of 283 tumor suppressor genes was used to filter  
236 target genes of interest. The panel was generated based on the tumor suppressors within the

237 CGPv2/3-panels [40], Roche's Comprehensive Cancer Design as well as a manual literature  
238 search (Supporting Table 1).

239 Further, we used GATHER, a functional gene enrichment tool, which integrates  
240 various available biological databases to find functional molecular patterns, in order to find  
241 biological context from the target gene list [41]. With the help of GATHER, we did KEGG  
242 pathway [42], and GO (gene ontology) enrichment analyses for the common genes predicted  
243 by all three prediction algorithms.

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## 246 **mRNA expression**

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248 In the interest of validating miRNA targets, we analyzed inverse correlations between miRNA  
249 expression and mRNA levels. mRNA expression levels were extracted from microarray  
250 analyses performed on a Human HT-12-v4 BeadChip (Illumina) after labeling (Ambion; Aros  
251 Applied Biotechnology). Illumina BeadArray Reader (Illumina) and the Bead Scan Software  
252 (Illumina) were used to scan BeadChips. Expression signals from the beads were normalized  
253 and further processed as previously described [43]. We re-annotated the data set using  
254 illuminaHumanv4.db from AnnotationDbi package, built under Bioconductor 3.3 in R [44], to  
255 select only probes with "Perfect" annotation[45]. The probes represented 21043 identified  
256 and unique genes (13340 represented by single probe and 7703 represented by multiple  
257 probes). In the cases of multiple probes targeting the same gene, we calculated fold  
258 difference for these probes. This was done to avoid losing potentially relevant biological  
259 information if expression of one probe was significantly higher than expression of another.  
260 However, for no genes did we find a fold difference >2 fold. Therefore, the mean expression  
261 for each such gene, was calculated based on the values from each probe, weighted  
262 according to the number of beads per probe.

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## 265 **Statistics**

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267 Expression levels of miRNAs in tumor versus normal tissue were compared by Wilcoxon  
268 rank tests for paired samples. Inverse correlations between miRNA expression and mRNA  
269 expression were assessed by Spearman tests. The potential impact of the novel miRNAs on  
270 long-term outcome (relapse-free survival and disease-specific survival) in breast cancer  
271 patients was calculated by Log-rank tests and illustrated by Kaplan-Meier curves, using the  
272 SPSS software v.19. All p-values are reported as two-sided.

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## 285 Results

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### 287 Novel miRNA prediction

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289 In order to identify novel miRNAs, 50 patients with locally advanced breast cancer (from  
 290 study 1, see materials and methods) were subject to global miRNA-sequencing using  
 291 massive parallel sequencing. On average, the dataset resulted in 3 million reads per sample.  
 292 Using the miRNA identifier module in miRDeep2, we detected 10 novel miRNAs (Table 1).  
 293 Eight out of these 10 miRNAs were detected in a single sample only, while two were  
 294 expressed in two or more patients and therefore regarded as the most reliable predictions.  
 295 These two miRNAs, here temporarily named *hsa-miR-nov3* and *hsa-miR-nov7*, were found in  
 296 tumor samples from 2 and from 6 patients, respectively. For both of these novel miRNAs, we  
 297 identified precursor structures with not more than one or two mismatches in the stem region,  
 298 as well as the presence of mature miRNA sequences (Fig 1; Supporting Fig 1). Therefore,  
 299 we selected these two miRNAs for further analyses. Notably, while this work was conducted,  
 300 *hsa-miR-nov7* was identified by another team in lymphomas, and reported as miR-10393-3p  
 301 [46].

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304 **Table 1:** Novel miRNA sequences as predicted by mirdeep v2.0.0.5 from massive parallel  
 305 sequencing of total miRNA in 50 locally advanced breast cancers.

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miRNA	Co-ordinate	Mature sequence	Strand	Number of samples
<i>hsa-miR-nov2</i>	chr2:36662749..36662809	AAAAACTGCGATTACTTTTGCA	-	1
<i>hsa-miR-nov3</i>	chr3:186505088..18650514 9	AAAGCAGGATTCAGACTACAAT AT	+	2
<i>hsa-miR-nov3_2</i>	chr3:132393169..13239322 4	CAAAAACTGCAATTACTTTTGC	+	1
<i>hsa-miR-nov4</i>	chr4:155140075..15514013 4	AAAAGTAATCGCTGTTTTTG	+	1
<i>hsa-miR-nov7</i>	chr7:138728845..13872890 3	AATTACAGATTGTCTCAGAGA	-	6
<i>hsa-miR-nov8</i>	chr8:116546693..11654676 2	TTAGAGCTTCAACCTCCAGTGTG A	-	1
<i>hsa-miR-nov10</i>	chr10:31840034..31840078	CGCGGGTGCTTACTGACCCT	+	1
<i>hsa-miR-nov10_2</i>	chr10:72163928..72163994	GCGGCGGCGGCGGCGGCG	+	1
<i>hsa-miR-nov17</i>	chr17:36760852..36760906	CCCAGCCCCACGCGTCCCATG	-	1
<i>hsa-miR-nov20</i>	chr20:26189318..26189366	TGGCCGAGCGCGGCTCGTCGCC	-	1

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309 **Fig 1: Predicted novel miRNAs.** Depiction of novel miRNAs (A) *hsa-miR-nov3* and (B) *hsa-*  
 310 *miR-nov7*, identified by miRDeep2, showing (i) predicted mature and star sequences, **exp**,  
 311 probabilistic model expected from Drosha/Dicer processing and **obs**, observed sequences  
 312 from sequencing data (ii) density plot for read counts for mature and star sequences as well  
 313 as (iii) miRNA secondary structure.

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## ***In-vitro* validation of novel micro RNAs**

Next, we aimed to validate our *in-silico* predictions and confirm that the sequences from which we identified *hsa-miR-nov3* and *hsa-miR-nov7* represented bona-fide novel miRNAs expressed in the patients. Utilizing total RNA from the patients found to express the two predicted novel miRNAs, we performed global poly-adenylation and cDNA synthesis followed by miRNA-specific qPCR amplification. For both miRNAs we observed positive qPCR reactions. Further, end products of the qPCRs were then ligated into carrier-plasmids and sequenced. We confirmed the resulting plasmids to contain the predicted miRNA sequences. Further, in both cases, the sequences were flanked by a poly A tail, confirming that the original molecules used as input in the poly-adenylation were present as short 22nt RNAs (Fig 2).

**Fig 2: miRNA sequences.** Chromatogram of capillary-sequenced qPCR products after *hsa-miR-nov3* (A) and *hsa-miR-nov7* (B) amplification. Highlighted background indicates the 22nt miRNA-sequence region (reverse complementary), followed by the Adenine homopolymer indicating *in vitro* adenylation at the expected site.

## **Overexpression of *hsa-miR-nov7* and *hsa-miR-nov3* in breast cancer**

Given that the sensitivity for the novel miRNAs was better in the qPCR than in the miRNA massive parallel sequencing (MPS) analysis, we aimed to assess whether the miRNAs were expressed in a limited number of breast cancer patients only (as indicated by their detection in 2 and 6 out of 50 patients in the MPS analysis), or if they were detectable in a higher fraction of patients, when applying a more sensitive detection method. We therefore performed qPCR to quantify the expression levels of *hsa-miR-nov7* and *hsa-miR-nov3* in tumor tissue across the entire cohort of patients from study 1 (n = 223). With this method, we detected *hsa-miR-nov7* and *hsa-miR-nov3* in 206 and 214 samples out of total 223 samples respectively, albeit at variable levels (Fig 3).

Interestingly, while no difference in the expression levels of *hsa-miR-nov7* was observed between breast cancer subgroups, we found a significant difference in the expression levels of *hsa-miR-nov3* related to estrogen receptor status. Thus, the expression levels of *hsa-miR-nov3* were higher in ER-positive as compared to ER-negative tumors (p=0.037; Fig 4A). Further, assessing the expression levels of the two miRNAs in mRNA-based subclasses of breast cancer according to the Perou classification [47], comparing all five classes, we observed a significant difference between the subtypes with respect to *miR-nov3* expression (p=0.041; Kruskal-Wallis test; Fig 4c). We found *hsa-miR-nov3* levels to be lower in HER2 like (p = 0.009; Mann-Whitney test) and basal-like (p = 0.04; Mann-Whitney) tumors as compared to tumors of the other classes.

Following the finding that the two miRNAs were detectable in more than 90 percent of patients, in order to assess whether the expression of these miRNAs were tumor specific we compared the levels of *hsa-miR-nov7* and *hsa-miR-nov3* expression in breast cancer tissue versus normal breast tissue. For this purpose, we randomly selected 13 patients from a study where samples of breast tumor tissue and matching normal tissue from a non-tumor bearing



362 quadrant of the same breast were available (study 2, see materials and methods) [27]. We  
363 detected expression of the novel miRNAs in both tumor- and normal tissue samples for all 13  
364 patients. Notably, we found *hsa-miR-nov3* expression to be elevated in tumor compared to  
365 normal tissue in 10 out of the 13 patients ( $p=0.009$ ; Wilcoxon test; Fig 5A). Similar findings  
366 were observed for *hsa-miR-nov7* with elevated expression in 10 out of 13 tumors (Wilcoxon:  
367  $p = 0.016$ ; Fig 5B). The level of overexpression (i.e. the ratio of expression levels in tumor  
368 versus normal tissue) for the two miRNAs did not correlate to each other ( $p>0.2$ ; Spearman).

369 Notably, overexpression of *hsa-miR-nov7* in tumor versus normal tissue was  
370 observed predominantly in ER-positive tumors (overexpression in 7 out of 7 ER-positive  
371 tumors, contrasting 3 out of 6 ER-negative tumors;  $p=0.070$ ; Fischer exact test).

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374 **Fig 3: Expression of novel miRNAs in breast cancer tissue.** Bars indicate the relative  
375 expression of *hsa-miR-nov3* (A) and *hsa-miR-nov7* (B) in 223 breast cancer patients.

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377 **Fig 4: Expression of novel miRNAs in breast cancer tissue.** Expression levels stratified  
378 by ER-status (A, B) and by expression subtypes (C, D).

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380 **Fig 5: Expression of novel miRNAs in breast cancer tissue.** Bars indicate the ratio of  
381 expression in tumour tissue vs. matched normal breast tissue in 13 breast cancer patients,  
382 for *hsa-miR-nov3* (A) and *hsa-miR-nov7* (B).

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### 386 **hsa-miR-nov7 and hsa-miR-nov3 target prediction**

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388 Based on our finding of both novel miRNAs to be overexpressed in breast cancer, we next  
389 aimed to elucidate the functional roles for *hsa-miR-nov7* and *hsa-miR-nov3* by identifying  
390 potential targets. We performed *in silico* target predictions using three different algorithms –  
391 miRanda, miRDB and TargetScanHumanCustom. miRanda, which predicts possible targets  
392 from human transcripts in general, predicted 9200 and 12315 target genes for *hsa-miR-nov7*  
393 and *hsa-miR-nov3*, respectively. miRDB, which contains curated and possible miRNA  
394 targets, predicted 570 and 530 target genes each for *hsa-miR-nov7* and *hsa-miR-nov3*,  
395 respectively, while TargetScanHuman custom predicted 633 target genes for *hsa-miR-nov7*,  
396 and 282 target genes for *hsa-miR-nov3*. For increased stringency in our predictions, we  
397 restricted the potential targets to the ones called by all three algorithms (Fig 6). This left a  
398 total of 97 and 180 potential targets for *hsa-miR-nov3* and *hsa-miR-nov7*, respectively.

399 The two lists of 97 and 180 predicted gene targets were then used for KEGG pathway  
400 analysis and GO enrichment analysis using GATHER. The top 10 KEGG pathways and GO  
401 terms for each microRNAs are listed in Table 2. The KEGG and GO annotations for *hsa-miR-*  
402 *nov3* showed pathways that are important in cell development and communication. Similar  
403 analysis for *hsa-miR-nov7* unveiled pathways playing a vital role in cell functions such as  
404 communication and homeostasis. Thus, both these miRNAs implied cell functions that are  
405 vital to cancer development and progression.

406 In order to further substantiate these *in-silico* predictions, we performed a complete  
407 Spearman correlation analysis between the expression levels of *hsa-miR-nov7* and *hsa-miR-*  
408 *nov3* and mRNA expression array data available for 203 out of the 233 patients in study 1.  
409 Assuming the miRNAs, in general, to execute their function by suppressing gene expression

410 (mRNA degradation), we restricted the analysis to genes which were negatively correlated to  
411 expression of the miRNAs. The top ranking negatively correlated genes are listed in Table 3.  
412 Notably, the only genes with Rho-values  $< -0.2$  were *RMND5A* for *hsa-miR-nov3* and *GLUD1*  
413 and *SASH1* for *hsa-miR-nov7*. Given that the two novel miRNAs were overexpressed in  
414 breast cancer tissue, we went on to restrict the correlation analysis to an in-house list of 283-  
415 tumor suppressor genes previously described (Supporting Table 2). Among these tumor  
416 suppressors, we found 115 to be negatively correlated to *hsa-miR-nov7* and 119 to *hsa-miR-*  
417 *nov3*. Assessing the intersection between these negatively correlated tumor suppressor  
418 genes and the predicted targets, we obtained a list of one gene for *hsa-miR-nov3* (*ATRX*)  
419 and three genes for *hsa-miR-nov7* (*APC*, *SFRP2* and *CDH11*), but the correlations were  
420 non-significant in all 4 cases (Table 4, Fig 7).

421 In order to get a broader overview of potential biological function, we selected the  
422 100 gene transcripts with the strongest positive and the top 100 gene transcripts with the  
423 strongest negative correlation to the two miRNAs (independent of previous target-  
424 predictions) and performed gene ontology analyses. We detected no cancer related  
425 pathways or cellular functions to be significantly associated with *hsa-miR-nov7* (Supporting  
426 Table S3). However, for *hsa-miR-nov3*, KEGG analysis of the negatively correlated genes  
427 revealed associations to Hepatorcellular carcinoma as well as several pathways related to  
428 drug metabolism (Supporting Table S4).

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432 **Fig 6: Target genes predicted.** Venn-diagrams illustrating the number of target genes  
433 predicted by TargetScan, mirDB and Miranda for the two novel miRNAs *hsa-mir-nov3* (A)  
434 and *hsa-mir-nov7* (B).

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436 **Fig 7: Correlations to tumor suppressor genes.** Scatter plots showing correlation of target  
437 tumor suppressors with A) *hsa-miR-nov3* and B) *hsa-miR-nov7*.

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439 **Table 2: Top 10 GO and KEGG annotation.**

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441 A) GO annotation - *hsa-miR-nov3*

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#	Annotation	ln(Bayes factor)	neg ln(p value)	FE: neg ln(p value)	FE: neg ln(FDR)
1	GO:0009653 [3]: morphogenesis	94.88	7.98	100.5	92.91
2	GO:0007275 [2]: development	87.32	7.58	92.89	85.99
3	GO:0007154 [3]: cell communication	85.41	7.46	90.99	84.5
4	GO:0009887 [4]: organogenesis	74.65	6.99	80.24	74.26
5	GO:0048513 [3]: organ development	74.65	6.99	80.24	74.26
6	GO:0007165 [4]: signal transduction	74.2	6.97	79.77	73.97
7	GO:0007242 [5]: intracellular signaling cascade	66.52	6.59	72.18	66.53
8	GO:0007010 [6]: cytoskeleton organization and biogenesis	55.54	6.04	61.15	55.63
9	GO:0009790 [3]: embryonic development	48.63	5.7	54.28	48.88
10	GO:0006928 [4]: cell motility	47.82	5.65	53.49	48.2

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445 B) KEGG annotation - *hsa-miR-nov3*

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#	Annotation	Total Genes With Ann	ln(Bayes factor)	neg ln(p value)	FE: neg ln(p value)	FE: neg ln(FDR)
1	path:hsa04810: Regulation of actin cytoskeleton	35	9.03	4.07	13.96	9.57
2	path:hsa04010: MAPK signaling pathway	36	6.93	3.75	11.8	8.1
3	path:hsa04510: Focal adhesion	32	4.15	3.26	8.94	5.94
4	path:hsa04110: Cell cycle	18	4.1	3.25	9.08	5.95
5	path:hsa04060: Cytokine-cytokine receptor interaction	33	3.23	3.07	7.97	5.24
6	path:hsa04620: Toll-like receptor signaling pathway	17	2.97	3.01	7.92	5.24
7	path:hsa04210: Apoptosis	16	2.13	2.82	7.06	4.55
8	path:hsa04512: ECM-receptor interaction	14	1.17	2.55	6.09	3.72
9	path:hsa04630: Jak-STAT signaling pathway	21	1.01	2.51	5.77	3.52
10	path:hsa05050: Dentatorubropallidoluysian atrophy (DRPLA)	5	0.7	2.41	5.9	3.59

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453 C) GO annotation - *hsa-miR-nov7*

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#	Annotation	ln(Bayes factor)	neg ln(p value)	FE: ln(p value)	neg FE: ln(FDR)
1	GO:0007154 [3]: cell communication	60.17	6.3	65.79	58.14
2	GO:0007275 [2]: development	54.83	6	60.38	53.43
3	GO:0007165 [4]: signal transduction	50.84	5.81	56.44	49.89
4	GO:0009653 [3]: morphogenesis	48.96	5.72	54.56	48.3
5	GO:0050794 [3]: regulation of cellular process	41.31	5.3	46.94	40.9
6	GO:0009987 [2]: cellular process	40.56	5.26	46.33	40.48
7	GO:0009887 [4]: organogenesis	40.37	5.25	45.94	40.24
8	GO:0048513 [3]: organ development	39.98	5.23	45.54	40.09
9	GO:0007242 [5]: intracellular signaling cascade	39.87	5.22	45.58	40.09
10	GO:0050789 [2]: regulation of biological process	39.18	5.18	44.62	39.27

455 D) KEGG annotation - *hsa-miR-nov3*

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#	Annotation	Total Genes With Ann	ln(Bayes factor)	neg ln(p value)	FE: ln(p value)	neg FE: ln(FDR)
1	path:hsa04630: Jak-STAT signaling pathway	27	5.53	3.5	10.48	6.6
2	path:hsa04350: TGF-beta signaling pathway	18	5.22	3.45	10.3	6.6
3	path:hsa04010: MAPK signaling pathway	33	3.15	3.04	7.94	4.57
4	path:hsa04210: Apoptosis	17	2.51	2.91	7.49	4.27
5	path:hsa04620: Toll-like receptor signaling pathway	17	2.28	2.85	7.25	4.24
6	path:hsa04020: Calcium signaling pathway	4	2.23	2.84	0	0
7	path:hsa00471: D-Glutamine and D-glutamate metabolism	3	1.12	2.54	6.48	3.7
8	path:hsa04510: Focal adhesion	29	0.96	2.49	5.64	3.23
9	path:hsa05030: Amyotrophic lateral sclerosis (ALS)	5	-0.17	0	5.04	2.78
10	path:hsa04512: ECM-receptor interaction	13	-0.28	0	4.61	2.39

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469 **Table 3:** Spearman correlation table for *hsa-miR-nov3* and *hsa-miR-nov7* and their top 25 target  
470 genes (ranked by inverse correlation).

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472 A) *hsa-miR-nov3*

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Gene Symbol	Estimate	P.value	Expression (mean)
RMND5A	-0.2018	0.0038	14.0750
YES1	-0.1649	0.0184	17.0218
PALM2-AKAP2	-0.1455	0.0378	13.0997
SLC7A1	-0.1224	0.0811	16.8650
RAPGEF5	-0.1208	0.0853	14.9652
CTDSPL2	-0.1196	0.0885	15.4945
SLC4A5	-0.1077	0.1251	15.0101
HIPK1	-0.1046	0.1366	13.3737
ABHD12	-0.0998	0.1555	16.2313
FMNL2	-0.0982	0.1624	16.0939
POU4F1	-0.0933	0.1844	13.4684
RPS6KA3	-0.0905	0.1981	14.6430
LARP1	-0.0890	0.2054	15.0210
WIP12	-0.0702	0.3184	14.7316
MTCH1	-0.0575	0.4139	18.6604
DIAPH1	-0.0528	0.4530	16.7109
MARCKS	-0.0481	0.4946	18.6286
LUZP1	-0.0453	0.5200	17.1097
DNAJC8	-0.0449	0.5238	18.2152
CLOCK	-0.0436	0.5354	15.7894
SLAMF6	-0.0415	0.5557	15.4277
CDAN1	-0.0405	0.5655	16.6394
PCDH11X	-0.0359	0.6104	13.4661
RYBP	-0.0346	0.6234	16.9184
FGF1	-0.0344	0.6249	13.9423

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B) *hsa-miR-nov7*

Gene Symbol	Estimate	P.value	Expression (Mean)
GLUD1	-0.2274	0.0011	18.0399
SASH1	-0.2095	0.0026	16.9164
MARK1	-0.1883	0.0070	15.0356
ARID5B	-0.1877	0.0072	17.7569
ELOVL5	-0.1854	0.0079	17.5656
PUM1	-0.1707	0.0147	17.8295
PNRC2	-0.1599	0.0224	15.4674
UNC13B	-0.1583	0.0238	15.5633
FLRT2	-0.1581	0.0239	15.7323
ZFHX4	-0.1482	0.0344	14.7383
CHIC1	-0.1479	0.0348	13.5807
MAN1A1	-0.1457	0.0375	15.4956
CPEB2	-0.1387	0.0478	14.6995
PDE4D	-0.1377	0.0495	13.9823
TMED7	-0.1366	0.0514	17.1083
NDFIP1	-0.1280	0.0680	16.1458
CSMD1	-0.1269	0.0704	13.8158
MITF	-0.1187	0.0908	14.0482
ITSN1	-0.1185	0.0915	14.8011
CTDSPL2	-0.1178	0.0932	15.4945
ATAD2B	-0.1178	0.0932	14.9892
SFRP2	-0.1129	0.1080	18.4511
DPP10	-0.1119	0.1110	13.4306
BMPR2	-0.1107	0.1149	17.1664
EIF5A2	-0.1100	0.1174	14.5450

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496 **Table 4:** List of intersection between correlated tumour suppressor genes and the predicted  
497 targets of *hsa-miR-nov3* and *hsa-miR-nov7*.

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<i>hsa-miR-nov3</i>	<i>hsa-miR-nov7</i>
ATRX	APC
	CDH11
	SFRP2

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505 **Expression of *hsa-miR-nov7* and *hsa-miR-nov3* and clinical outcome in breast cancer**

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507 Since both *hsa-miR-nov7* and *hsa-miR-nov3* were overexpressed in the tumor tissue of  
508 breast cancer patients, we assessed whether any of the two novel miRNAs were associated  
509 to clinical outcomes in study 1 (223 breast cancer patients). Given that these patients were  
510 enrolled in a prospective study specifically designed to assess response to primary  
511 chemotherapy administered as epirubicin or paclitaxel monotherapy in a neoadjuvant setting  
512 [26, 48], we assessed the association of *hsa-miR-nov7* and *hsa-miR-nov3* levels with primary  
513 therapy response and with long term survival (10-years).

514 We found no association between any of the two novel miRNAs and primary  
515 response to either epirubicin or paclitaxel (data not shown). Regarding survival, we observed  
516 a weak association between high levels of *hsa-miR-nov7* and poor survival in the paclitaxel  
517 treated arm of the study, with the strongest associations observed for relapse free survival,  
518 however, none of these associations reached statistical significance (Fig 8). No effect was  
519 observed in the epirubicin treated arm. Further, for *hsa-miR-nov3*, no significant correlation  
520 to outcome was recorded.

521 Given the skewed expression levels between breast cancer subtypes for *hsa-miR-*  
522 *nov3*, we performed survival analyses stratified for ER-status and subtypes. These analyses  
523 revealed no significant associations to survival (data not shown).

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526 **Fig 8: miRNAs and breast cancer survival.** Kaplan-Meier curves showing (i) disease-  
527 specific and (ii) relapse-free survival of locally advanced breast cancer patients treated with  
528 epirubicin or paclitaxel monotherapy in the neoadjuvant setting (study 1), with respect to  
529 expression levels of (A) *hsa-miR-nov3* and (B) *hsa-miR-nov7* on all samples.

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## 534 Discussion

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536 We investigated whether we could detect novel, previously undescribed miRNAs and, if so,  
537 address their potential association to other defined biological parameters and to outcome in a  
538 cohort of locally advanced breast cancer. We successfully predicted 10 new miRNAs, out of

539 which 2 were deemed reliable because of their detected presence in more than one patient.  
540 Although these two novel miRNAs (preliminary termed *hsa-miR-nov7* and *hsa-miR-nov3*)  
541 were only predicted from 8 samples among the 50 initially sequenced biopsies, we found  
542 them to be expressed in all patients by highly sensitive qPCR at varying levels. In addition to  
543 our *in vitro* validations, the qPCR detection validated the initial NGS based analysis,  
544 detecting these two miRNAs.

545 Since expression of the two miRNAs was confirmed in breast tumor tissue from the  
546 majority of patients analyzed, we went on to assess the relative expression levels in tumor  
547 versus matched normal breast tissue, collected from a non-tumor bearing quadrant. Our  
548 finding that both novel miRNAs had higher expression levels in tumor than in normal tissue  
549 indicates a potential functional role in breast cancer. However, although being  
550 overexpressed, the biological role of these two miRNAs in cancer should be interpreted with  
551 caution. The expression levels are very low, and it is therefore uncertain whether they will  
552 have a major impact on cellular functions. However, when assessing the potential functional  
553 roles of these microRNAs by *in silico* prediction of targets followed by validation using  
554 correlation to mRNA-array data, the KEGG and GO annotations for these targets revealed  
555 cellular functions of potential importance in development and progression of cancer. As such,  
556 our present findings may warrant further investigations into the functions of the two miRNAs.  
557 Notably, regarding *hsa-miR-nov3*, it was of particular interest that this miRNA was  
558 significantly higher expressed in ER-positive as compared to ER-negative breast cancers.  
559 Accordingly, we found relatively high expression levels of *hsa-miR-nov3* in tumors of the  
560 luminal and normal-like subtypes, contrasting low expression levels in basal-like and her2-  
561 like tumors [49, 50]. This finding may indicate a potential role for *hsa-miR-nov3* restricted to  
562 ER-positive tumors.

563 Regarding potential specific targets, we narrowed these down by first assessing the  
564 intersect of three different target prediction algorithms, and then the intersect of this result  
565 with a predefined list of tumor suppressors. Although none of the remaining genes after this  
566 filtering had a statistically significant inverse correlation with the miRNAs, we identified some  
567 potentially interesting connections: For *hsa-miR-nov3*, we propose *ATRAX* as a target. This is  
568 a gene in the SWI/SNF family, involved in chromatin remodelling, and it has previously been  
569 found subject to loss of heterozygosity (LOH) in breast cancer [51]. Importantly, we recently  
570 reported mutations in the SWI/SNF family genes to be enriched in relapsed breast cancer as  
571 compared to primary cancers [52]. Thus, this supports the hypothesis of a breast cancer  
572 promoting function for *hsa-miR-nov3*. For *hsa-miR-nov7*, we propose *APC*, *SFRP2*, and  
573 *CDH11* as potential targets. Interestingly, the two former are involved in regulation of the  
574 Wnt-signalling pathway [53-55] and both have previously been reported as targets for several  
575 miRNAs in breast cancer [56-58]. Taken together, this may imply a role for *hsa-miR-nov7* in  
576 Wnt signaling. Notably, during our work with the present project, *hsa-miR-nov7*, was  
577 identified by Lim and colleagues and coined miR-10393-3p [46]. They found this miRNA to  
578 target genes involved in chromatin modifications associated with pathogenesis of Diffuse  
579 large B-cell lymphoma (DLBCL). While this differs from our present finding, it may likely be  
580 explained by tissue specific effects of the miRNA.

581 Regarding any predictive or prognostic role for the two investigated miRNAs, we  
582 found no significant impact on survival. While we recorded a non-significant trend towards an  
583 association between miRnov7 expression and overall survival in the paclitaxel arm, further  
584 studies on larger patient cohorts are warranted to clarify this issue. Alternatively, the miRNAs  
585 could play a role in tumorigenesis but not later tumor progression. As such, the observed



586 overexpression in tumor tissue compared to normal breast tissue may be a remaining signal  
587 from tumorigenesis.

588 Whether cancer related overexpression of the two miRNAs described here is merely  
589 consequences of other molecular mechanisms in cancer cells or whether the two miRNAs  
590 may be involved in tumorigenesis, but not subsequent cancer progression, remains  
591 unknown.

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593

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## 604 **Conflict of interest**

605 The authors declare no conflict of interest.

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## 608 **References**

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815  
816  
817

## 818 Supporting information

819  
820 **S1 Fig. Predicted novel miRNAs.** Table on the upper left shows miRDeep2 scores and  
821 read counts. RNA secondary structure for miRNA on the top right. Color code for depiction  
822 as follows mature sequence in red, loop sequence in yellow and purple for star sequences.  
823 Density plot in the middle shows distribution of reads in precursor reads predicted. Dotted  
824 lines illustrate alignment and mm, number of mismatches. Exp, is potential precursor model  
825 predicted by algorithm with taking accounts of stability based on free energy, position and  
826 read frequencies according to Dicer/Drosha processing of miRNA. Obs, is position and reads  
827 found from deep sequencing data. (A) *hsa-miR-nov3* and (B) *hsa-miR-nov7*.  
828

829 **S1 Table. In-house pan-cancer panel of 283 tumor suppressor genes.** Panel generated  
830 based on CGPv2/3-panels [40], Roche's Comprehensive Cancer Design along with manual  
831 literature search, to filter target genes of interest.

832  
833 **S2 Table. Correlation miRNAs and tumour suppressor genes.** Spearman correlation  
834 table for *hsa-miR-nov3* (A) and *hsa-miR-nov7* (B) inversely correlated tumor suppressor  
835 genes.

836  
837 **S3 Table. Correlations mir7 and gene ontology.**

838  
839 **S4 Table. Correlations mir3 and gene ontology.**

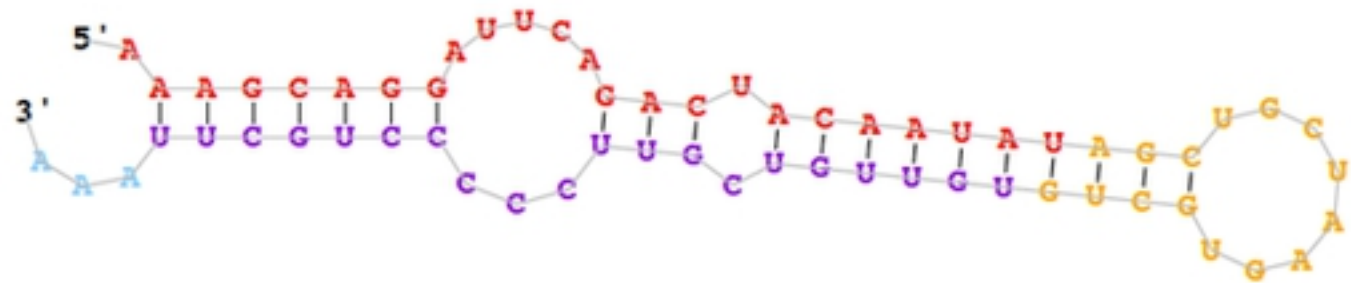
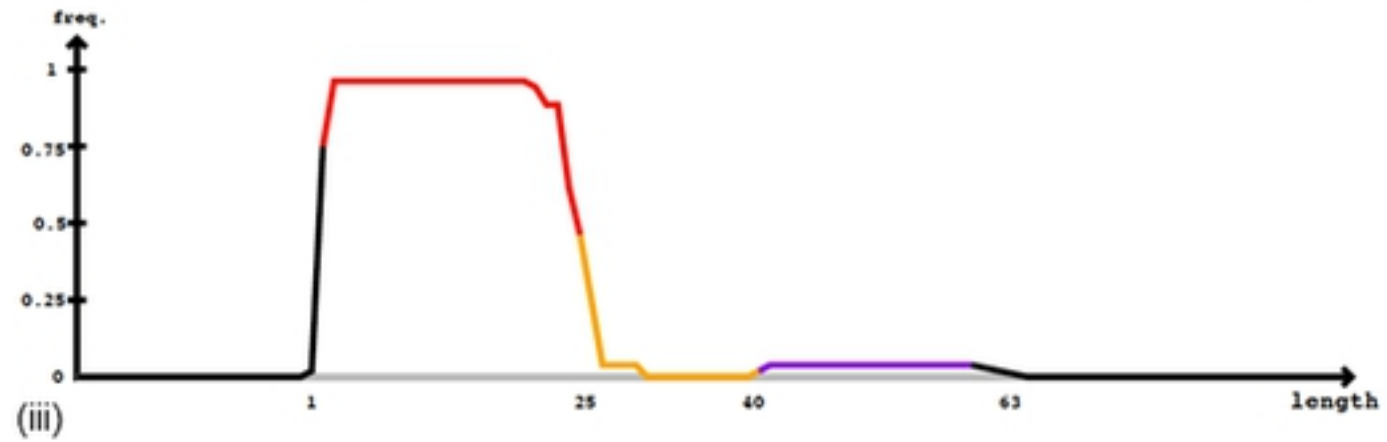
840  
841 **S1 File. Supporting information mirDeep.**

842

Figure 1  
 A. *hsa-miR-nov3*  
 (i)

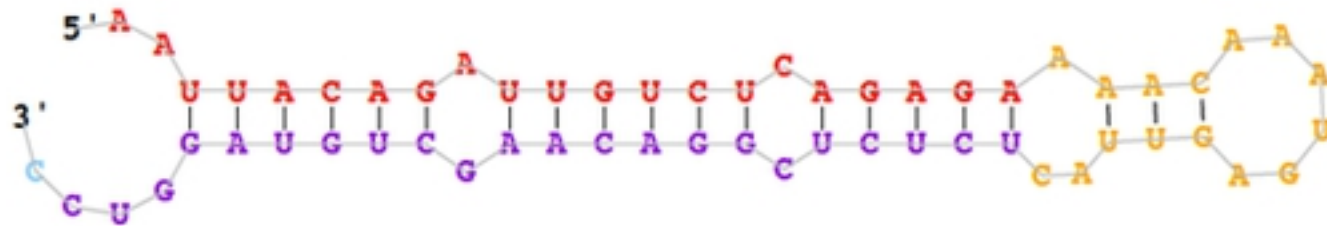
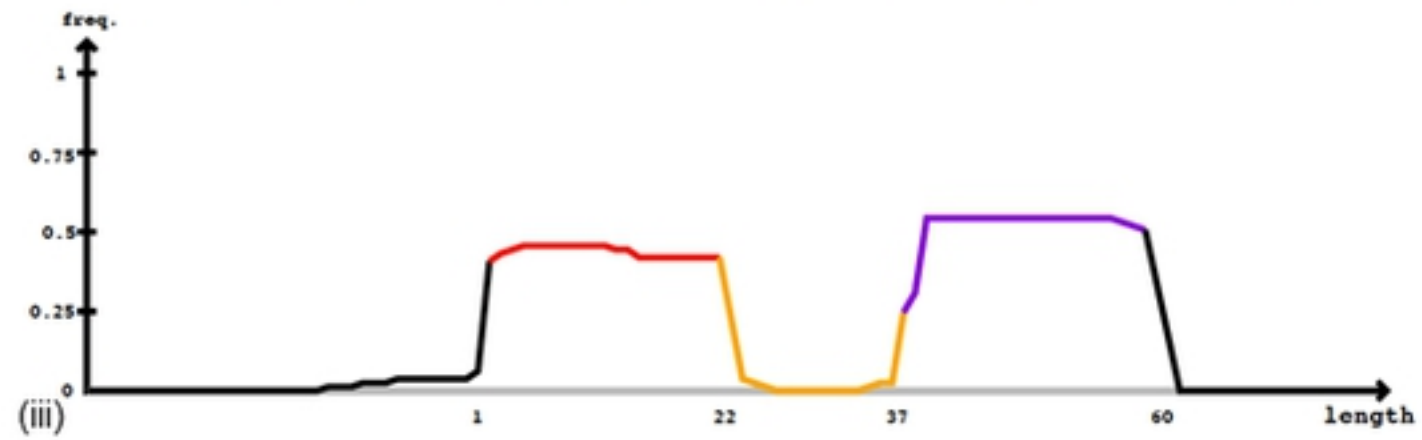
Mature
Star

5'- aaaaugucuggauuuccacuaaagcaggauucagacuaaaauaaagcugcuaagugcuguguuugcguccccugcuaaaaaaaguguuucuaaacuaaccugucug -3' obs  
 (ii) aaaaugucuggauuuccacuaaagcaggauucagacuaaaauaaagcugcuaagugcuguguuugcguccccugcuaaaaaaaguguuucuaaacuaaccugucug exp



B. *hsa-miR-nov7*

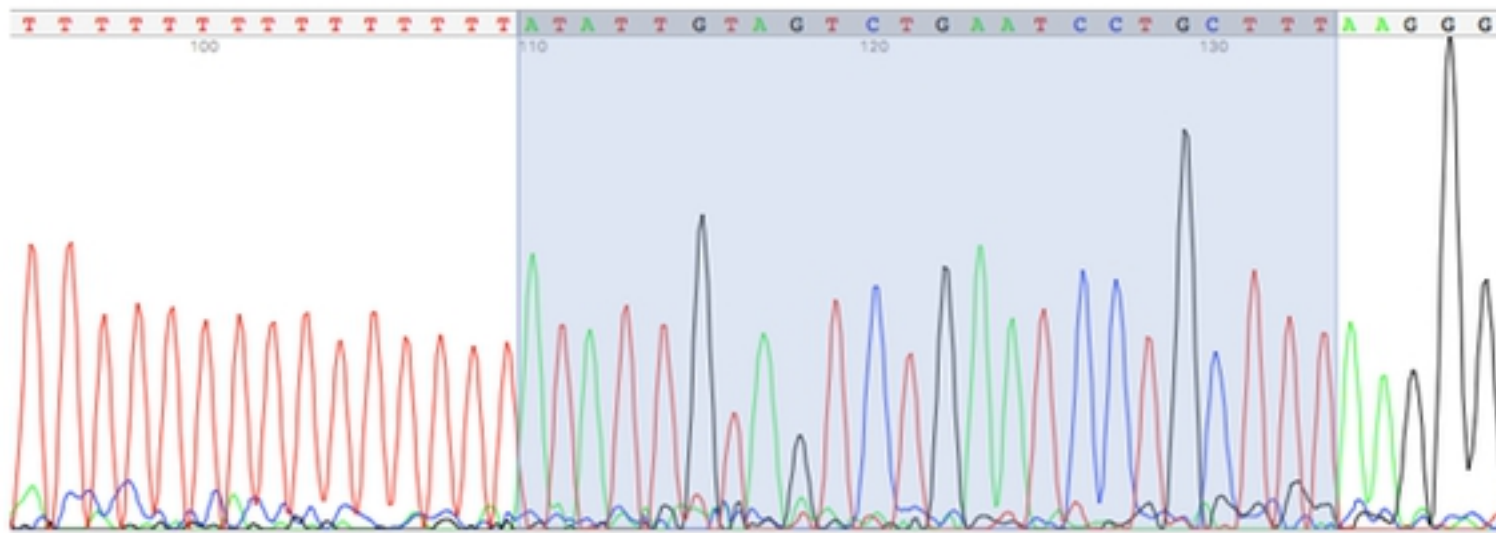
(i)



Figure

Figure 2

A)



B)

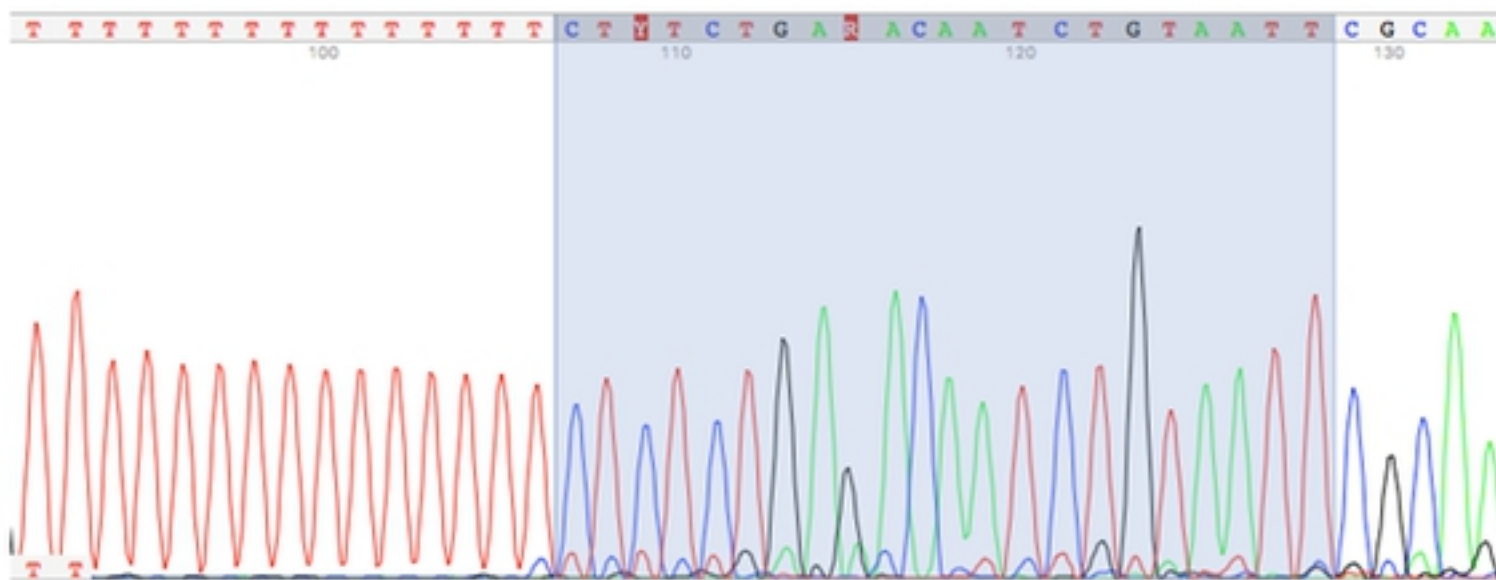
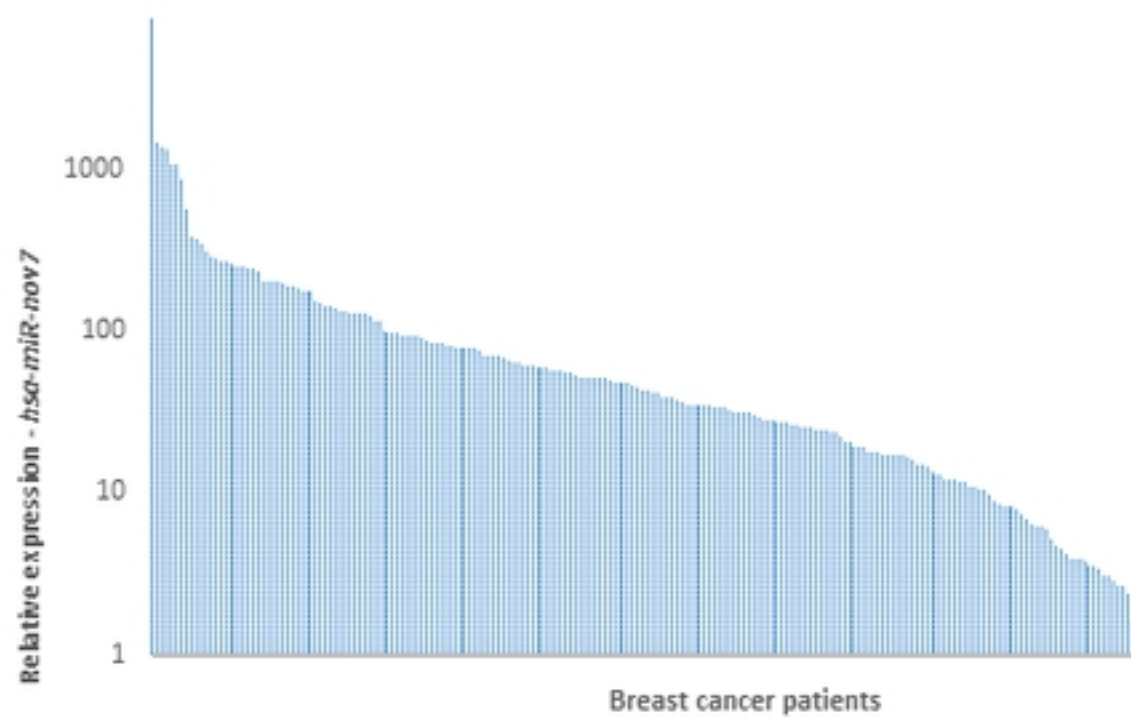
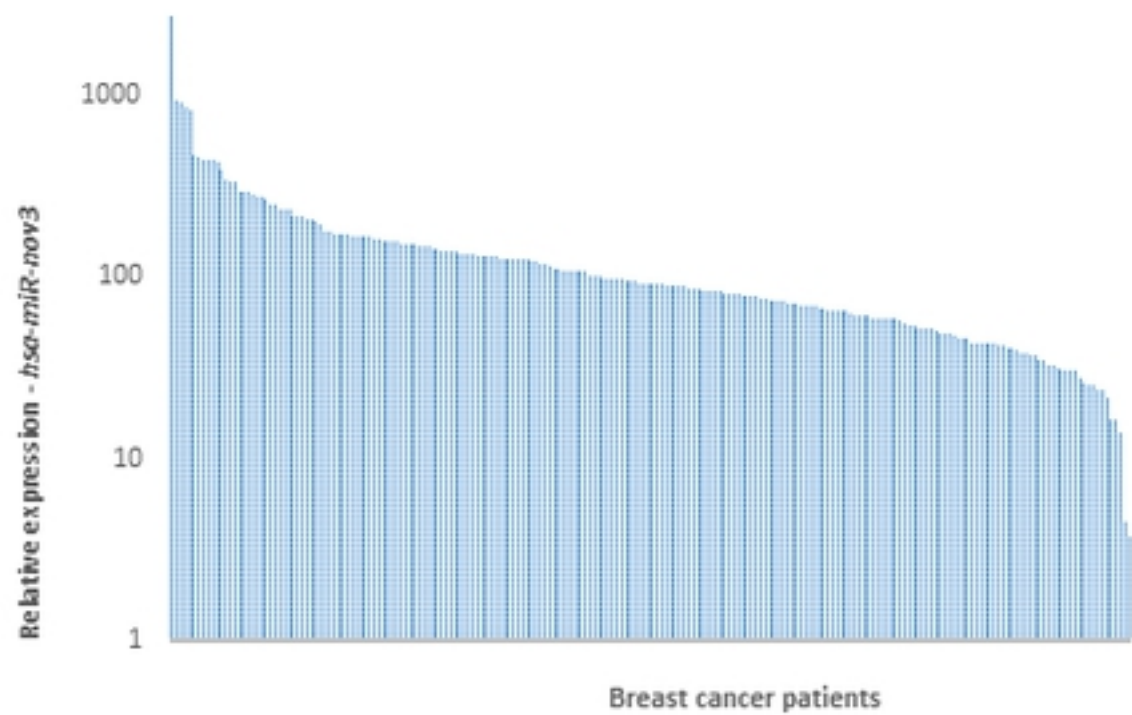




Figure 3



Figure

Figure 4

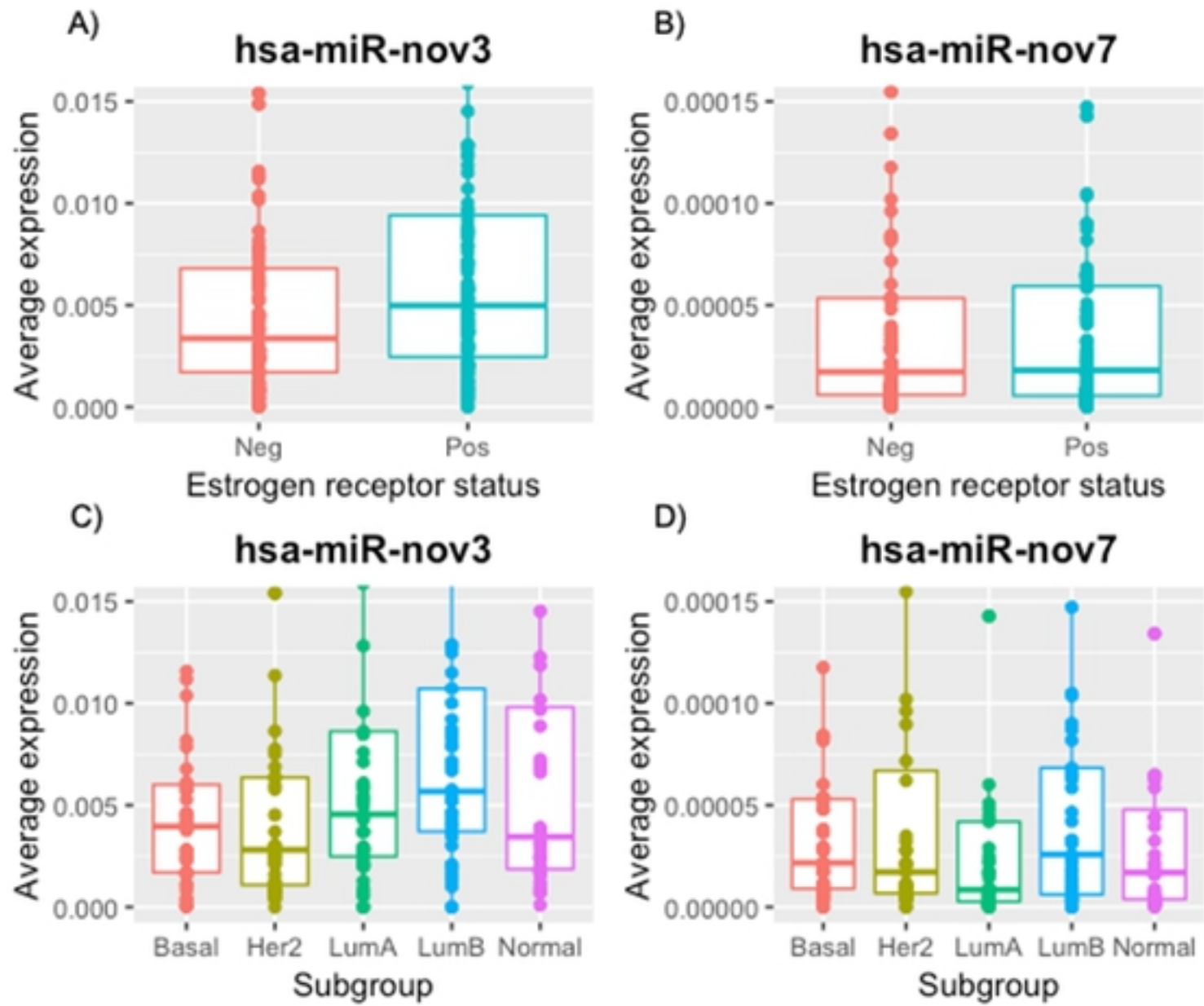
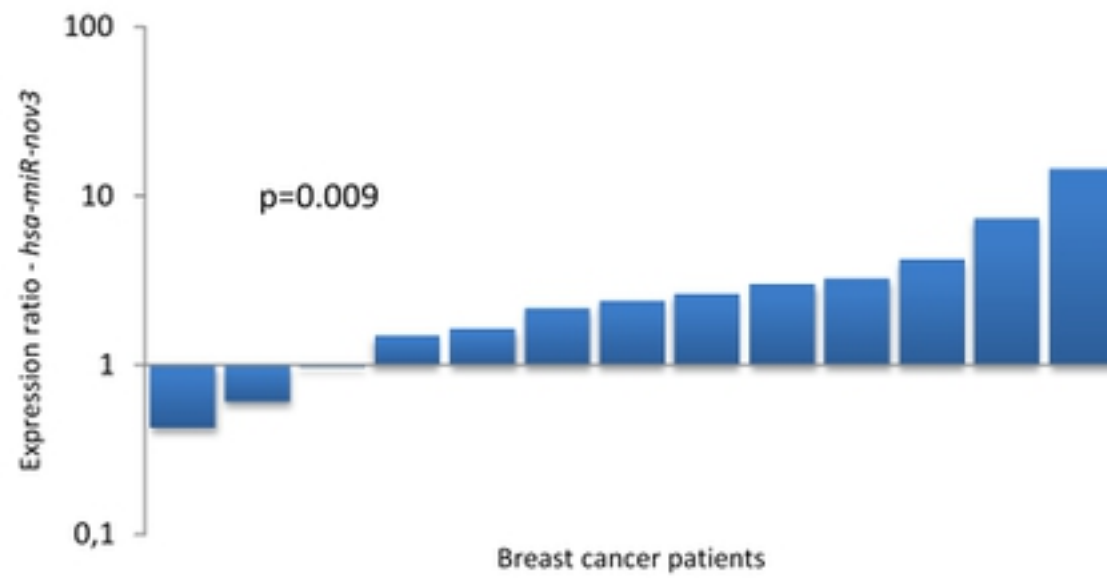


Figure 5

A)



B)

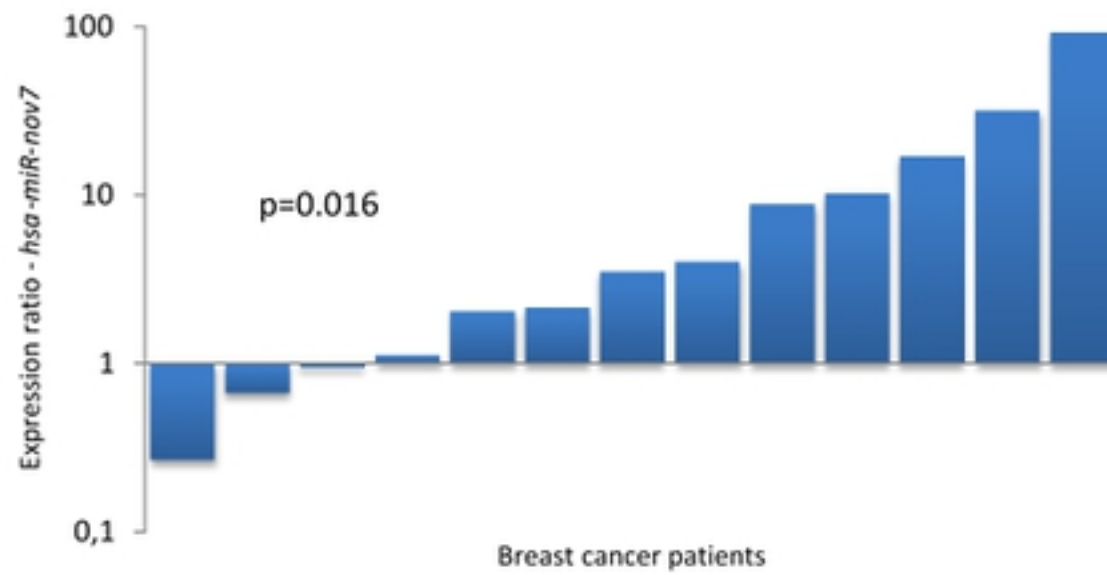
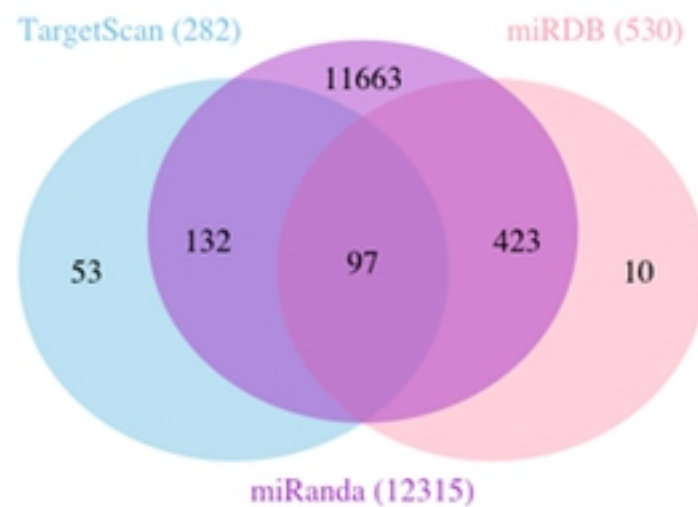


Figure 6

A)  
*hsa-mir-nov3*



B)  
*hsa-mir-nov7*

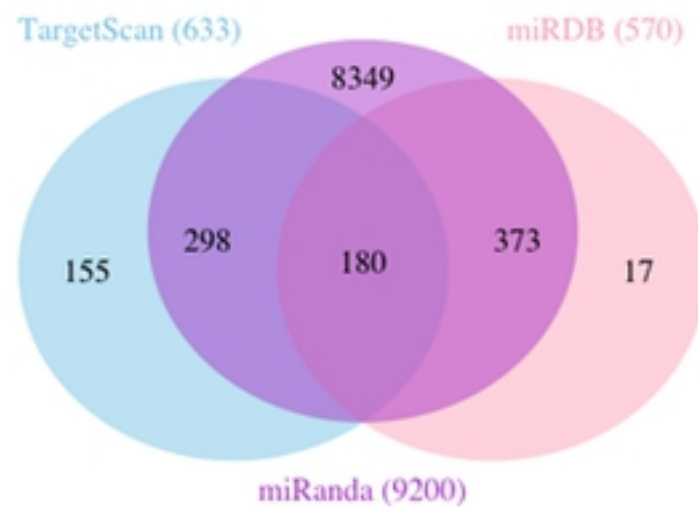
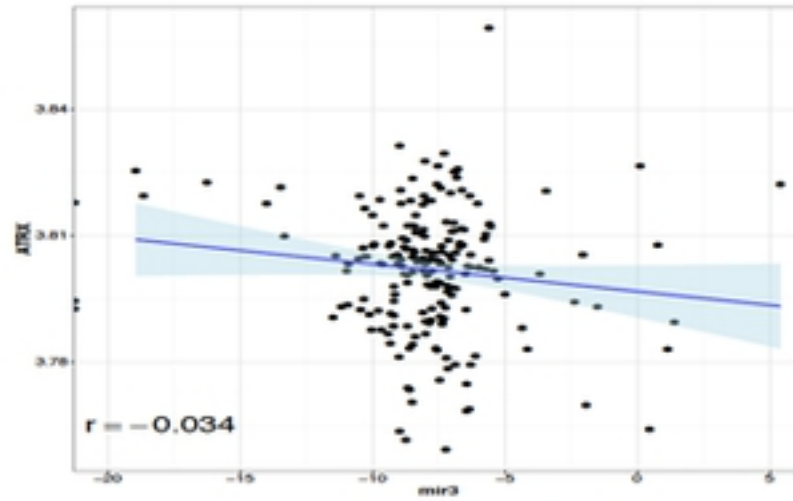


Figure 7

A)  
*hsa-miR-nov3*



B)  
*hsa-miR-nov7*

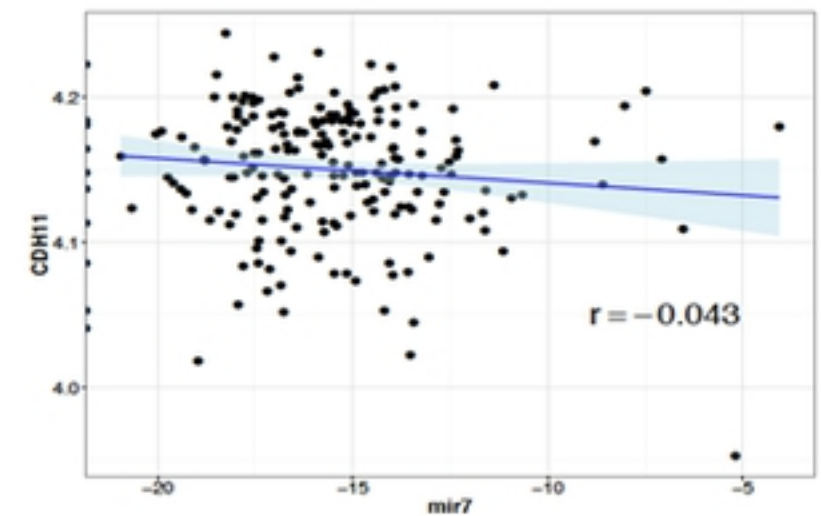
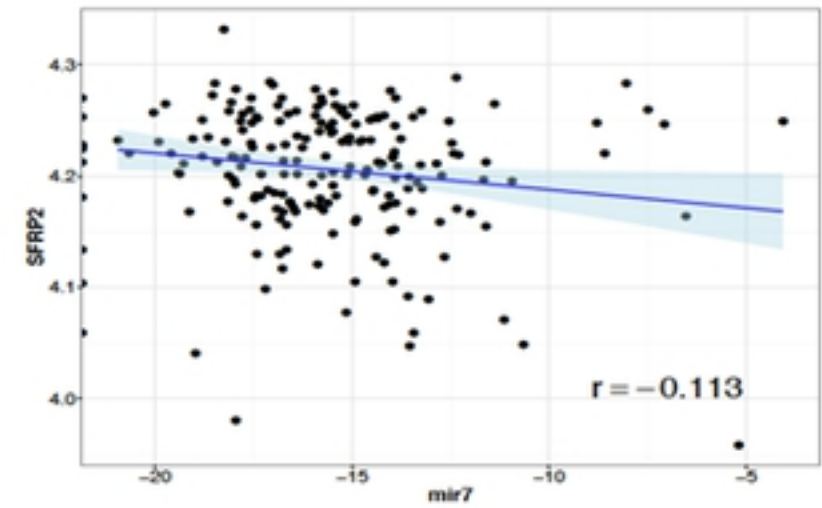
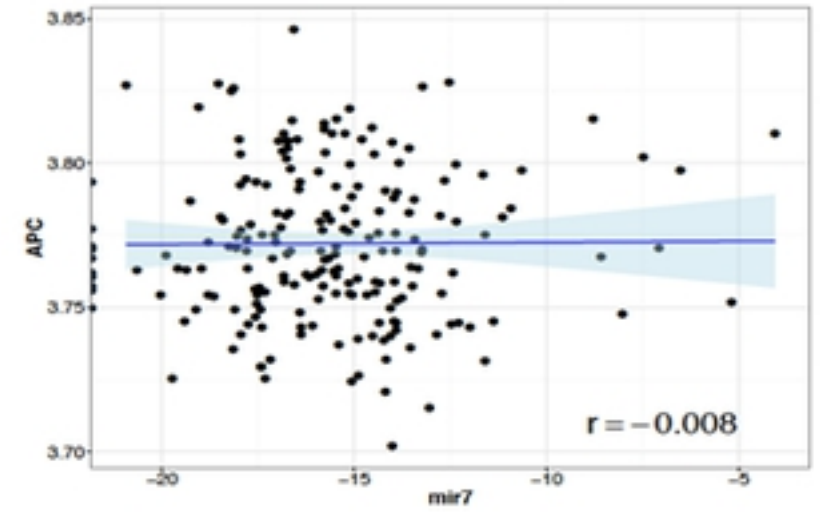
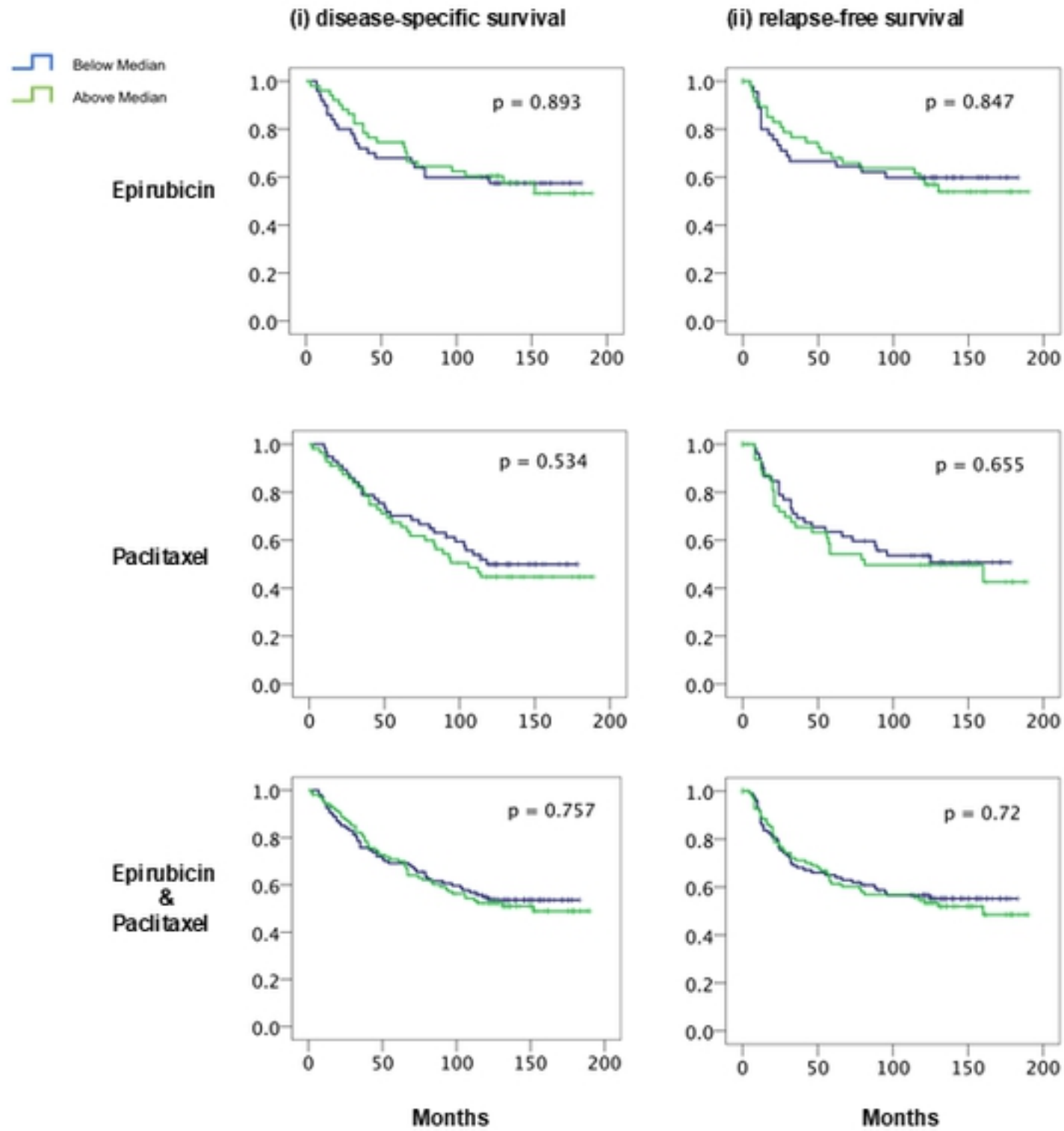


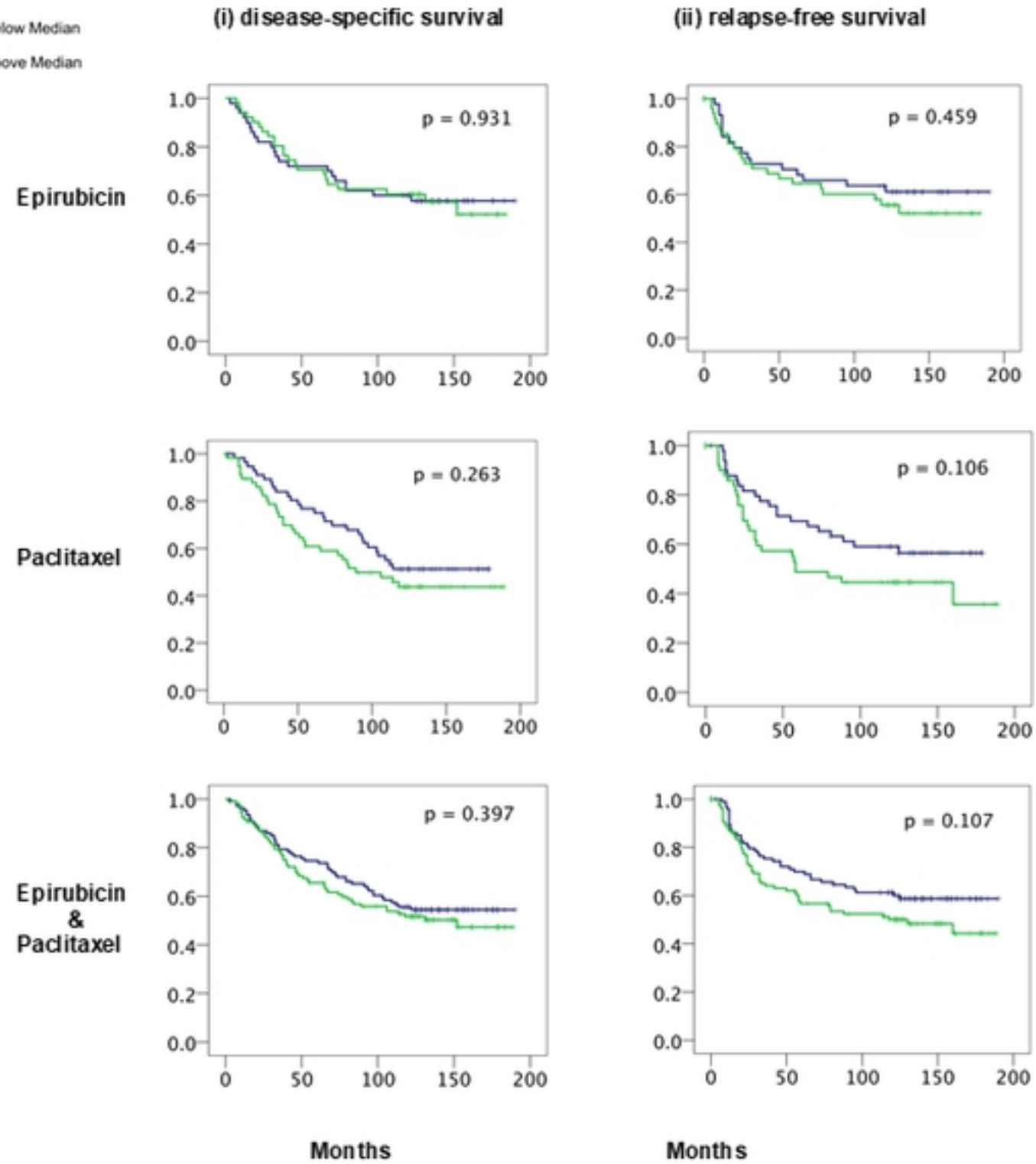
Figure 8

(A) hsa-miR-nov3



(B) hsa-miR-nov7

Below Median  
Above Median



Figure