¹ PI-Plat: A high-resolution image-based 3D reconstruction

2 method to estimate growth dynamics of rice inflorescence

3 traits

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24 Abstract

25 Background

- 26 Recent advances in image-based plant phenotyping have improved our capability to study vegetative stage 27 growth dynamics. However, more complex agronomic traits such as inflorescence architecture (IA), which 28 predominantly contributes to grain crop yield are more challenging to quantify and hence are relatively less
- 29 explored. Previous efforts to estimate inflorescence-related traits using image-based phenotyping have been
- 30 limited to destructive end-point measurements. Development of non-destructive inflorescence phenotyping
- 31 platforms could accelerate the discovery of the phenotypic variation with respect to inflorescence dynamics
- 32 and mapping of the underlying genes regulating critical yield components.

33 **Results**

34 The major objective of this study is to evaluate post-fertilization development and growth dynamics of 35 inflorescence at high spatial and temporal resolution in rice. For this, we developed the Panicle Imaging Platform (PI-Plat) to comprehend multi-dimensional features of IA in a non-destructive manner. We used 36 11 rice genotypes to capture multi-view images of primary panicle on weekly basis after the fertilization. 37 38 These images were used to reconstruct a 3D point cloud of the panicle, which enabled us to extract digital 39 traits such as voxel count and color intensity. We found that the voxel count of developing panicles is 40 positively correlated with seed number and weight at maturity. The voxel count from developing panicles 41 projected overall volumes that increased during the grain filling phase, wherein quantification of color intensity estimated the rate of panicle maturation. Our 3D based phenotyping solution showed superior 42 43 performance compared to conventional 2D based approaches.

44 Conclusions

For harnessing the potential of the existing genetic resources, we need a comprehensive understanding of the genotype-to-phenotype relationship. Relatively low-cost sequencing platforms have facilitated highthroughput genotyping, while phenotyping, especially for complex traits, has posed major challenges for crop improvement. PI-Plat offers a low cost and high-resolution platform to phenotype inflorescencerelated traits using 3D reconstruction-based approach. Further, the non-destructive nature of the platform facilitates analyses of the same panicle at multiple developmental time points, which can be utilized to explore the genetic variation for dynamic inflorescence traits in cereals.

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53 Keywords

plant phenotyping, rice, inflorescence dynamics, 3D imaging, panicle volume, voxel count, paniclematuration, grain filling

57 Background

58 With increasing world population, climatic variability and declining arable land resources, the need to 59 increase global food production is paramount [1-3]. Two components that are essential for achieving global 60 food security involve precise agronomic management and genetic improvement of major crops such as rice, 61 wheat, and maize. Integral to both components is the development of data-driven tools that increase precision in implementation and enhance predictive capabilities. Moreover, strategic selection and 62 adaptation of yield-related traits to maximize agricultural production holds the key to achieve sustainable 63 64 food security [4–6]. Inflorescence architecture (IA) is an important phenotypic feature that ultimately 65 contributes to most of the grain crop yield components such as grain number, size, and weight [7–9]. However, the complexity of IA, especially in cereals, is a limiting factor for accurate determination of yield 66 67 traits. Estimating the yield-related traits by conventional methods is subjective, laborious, and error-prone 68 [10]. Also, the scope of the detectable yield-related traits is limited by manual measurements, which 69 increases the chances of damaging the inflorescence.

70 Advances in automation of plant phenotyping technologies, mainly in reference to image-based 71 phenotyping, have increased the depth and the scale of measuring vegetative traits [11–19]. However, only 72 a few studies have used the phenotyping platform to screen IA [16, 20–22]. Some platforms have utilized 73 machine-vision-based approaches to estimate inflorescence-related parameters [23–26]. In addition, two-74 dimensional (2D) imaging platforms have been employed, for example, Tassel Image-based Phenotyping System (TIPS) quantifies morphological traits from freshly harvested maize tassels, while PAnicle 75 76 STructure Analyzer for Rice (PASTAR/PASTA), Panicle TRAit Phenotyping (P-TRAP), and PANorma 77 analyze rice panicle length and branching [20, 21, 27, 28]. Both P-TRAP and PANorma have been used for 78 genome-wide association studies (GWAS) with respect to rice panicle traits [27, 29–31]. Recently, Zhou et 79 al [22] developed Toolkit for Inflorescence Measurement (TIM) to estimate sorghum panicle volume 80 derived from two planar imaging data. The derived panicle-related traits of sorghum were used for GWAS 81 to facilitate gene discovery.

82 Most of these 2D image-based IA approaches have discussed only the mature or end-point traits 83 and do not capture the growth dynamics of developing inflorescence. Furthermore, biplanar images can 84 only provide 2D projections of a 3D structure, thus accounting for substantial loss of spatial information 85 [32]. 3D imaging has started to gain momentum to circumvent limitations of 2D imaging [33]. Different 3D imaging methods, for example time of flight (ToF), laser scanning, stereovision among others, have 86 87 been applied for remote sensing or field-based phenotyping platforms In addition, depth cameras are also 88 widely used for capturing an entire plant or large plants parts [34]. Stereovision, which considers object 89 images from different angles to reconstruct 3D surfaces, offers an inexpensive, accurate and efficient 90 method for on-site 3D plant imaging [32, 35, 36]. The recent introduction of freely available software –

91 Multi-View Environment (MVE) offers an end-to-end 3D reconstruction solution [37]. MVE combines the 92 multi-view stereo (MVS) and structure-from-motion (SfM) algorithms to generate dense point clouds for 93 3D object reconstruction [37]. The MVS-SfM approach has been used to reconstruct 3D meshes of leaves, 94 canopy or whole plant [38–41]. However, this approach has not been used to characterize IA. Here, we present the results from characterizing rice panicles using the 3D reconstruction-based approach. The main 95 objectives of our study were to (a) capture multi-dimensional, high-resolution images of 'panicle on plant' 96 after the fertilization to reconstruct 3D plant cloud of inflorescence, (b) use 3D point clouds to derive 97 98 inflorescence-related traits, and (c) use the derived traits to monitor growth dynamics of developing 99 inflorescence and distinguish inherent genetic and morphological diversity in crop species.

100 However, it is challenging to perform 3D reconstruction of rice panicles to achieve our objectives. 101 First, a rice panicle is often occluded by other plant components such as leaves and other panicles. 102 Therefore, the existing solutions by moving cameras [42] are not entirely suitable to generate un-occluded images for a panicle. Second, a panicle is non-rigid and typically is not located in the center of a plant, 103 making it difficult to apply the existing solutions based on plant rotation [42]. Third, rather than destructive 104 105 methods [22], non-destructive methods are needed to keep a panicle alive, as the growth dynamics of a 106 panicle is of interest in this study. Fourth, the size of a panicle is relatively marginal, and the depth-camera 107 based solutions [34] may not provide sufficient resolutions to capture the 3D details of a panicle.

108 To address these challenges, we developed an *in-house Panicle Imaging Platform* (PI-Plat) to 109 capture the dynamics of developing panicles in rice from a range of genetically diverse rice genotypes. A 110 panicle is isolated to generate un-occluded images in a non-destructive manner. In addition, a panicle stays still at the center in the PI-Plat and cameras rotate around it, thus minimizing the vibration and allowing 111 generation of a more stable 3D point cloud. The resolution of the cameras is ensured to capture details of a 112 113 panicle in 2D images, leading to high-resolution 3D reconstruction results. A total of 11 genotypes, *indica* and *japonica* sub-populations were selected. Post fertilization, primary panicles were imaged on a weekly 114 115 basis (week 1, 2, and 3) by using the PI-Plat. The captured images were used for 3D reconstruction to extract digital phenotypic attributes: voxel count and color intensity. We reported increased sensitivity in 116 117 panicle trait prediction from 3D reconstruction when compared to direct end-point measurements of yield components. Although the PI-Plat is designed for rice panicles, it can be extended for other small plant 118 119 components such as new branches or leaves for cereals.

120

122 Material and methods

123 Plant material

Surface-sterilized seeds of 11 rice accessions were germinated on half strength Murashige and Skoog media for 3 days in dark, followed by a day in light (list of the genotypes used in the study; Additional File 1). Initially, two uniformly germinated seedlings were transplanted to a 4-inch square shaped pot filled with pasteurized field soil. Throughout the growing season, the pots were maintained in standing water. After 10 days of transplanting, seedlings were thinned to retain one plant per pot per genotype.

129

130 Temperature treatment

Plants were grown under control conditions (16-hour light and 8-hour dark at 28±1°C and 23±1°C) till anthesis. One day after 50% of the primary panicle completely fertilized, half of the plants from each genotype were transferred to greenhouse having high night-time temperature (HNT; 16-hour light and 8-hour dark at 28±1°C and 28±1°C). HNT treatment was maintained until maturity. Two or three replicates per treatment per genotype from the current set were used to establish image-based phenotyping workflow (Figure 1).

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138 **PI-Plat: Panicle Imaging Platform**

We constructed a low-cost *P*anicle *I*maging *P*latform (PI-Plat) to capture the growth parameters of rice
panicles after flowering (Additional File 2). The PI-Plat is comprised of three main parts: (i) a customized
wooden chamber with black interior, (ii) a rotating imaging system, and (iii) color checkerboards.

142

143 Customized wooden chamber and rotating imaging system

144 To host the PI-Plat, a wooden chamber (height: 75-inch, width: 52.5-inch, length: 55-inch) was customized (Additional File 2). The interior of the chamber was painted black to reduce the light interference and 145 146 increase the quality of image segmentation during the image processing procedure. Inside the chamber, a 147 circular wooden board (diameter: 37-inch) having an aperture at its center was fixed at a height of 52.5-148 inch. The top surface of the circular wooden board was painted black as well. For imaging, plants were placed under the circular wooden board, and the panicle of interest (primary panicle) was gently passed 149 through the aperture. To adjust for variable plant height, we used an electric scissor lift table (Additional 150 151 File 2). A metal hook attached to the ceiling of the circular wooden chamber was adhered to top of the 152 panicle for stabilizing the panicle (Additional File 2). 153 Also, a rotary double-ring apparatus having an inner and an outer ring is fixed on top of the circular

Also, a rotary double-ring apparatus naving an inner and an outer ring is fixed on top of the circular
 wooden board (Additional File 2). A 24-inch aluminum-based outer ring with snow-ball bearings is used
 to hold two Sony α6500 cameras for imaging and LED lights (ESDDI PLV-380, 15 Watt, 5000 LM, 5600K)

156 for light source, which undergo a 360° rotation around the panicle. The rotation is controlled by an electric 157 motor system. The rotary double-ring apparatus has three major parts: (a) a toothed wheel connected to the 158 electric motor, (b) a small smooth pulley and a cylindrical sleeve used to adjust tension in the belt, and (c) 159 a rotatable ring apparatus that rotates the cameras where the outer ring is covered with a toothed belt. Our 160 camera selection is based on high sensitivity and high stabilization to reduce image distortion during camera 161 motion. The camera also supports customized applications for remote-controlled imaging. We utilized the 162 camera's time-lapse feature to capture multiple images at the rate of one image per second. Sixty images 163 were captured by each camera per minute, and in total 120 images were taken for each panicle for each 164 time-point and treatment. For labeling, we used quick response (QR) codes as plant identifiers (IDs), which were tagged to the primary panicle. Plant IDs were generated from the images of during the later imaging 165 166 processing stage. The PI-Plat were constructed mostly using commercial off-the-shelf components at a 167 comparably low cost.

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169 *Color checkerboards*

170 Color checkerboards printed on white letter-size papers were pasted on all four sides of wooden chamber 171 and on the top surface of the circular wooden board (Additional File 2). Each checkerboard included $20 \times$ 20 squares (1 cm^2) with colors that were randomly generated in the RGB color space. Color checkerboards 172 were used to provide additional feature points in the 3D reconstruction process. These feature points were 173 174 used to recover camera parameters, which included the intrinsic calibration (i.e., radial distortion of the lens 175 and the focal length) and the extrinsic calibration (i.e., the position and orientation of the camera) [37]. 176 These additional feature points were important for generating a stable 3D point cloud because the panicle 177 itself had a relatively uniform color and similar patterns, which might not provide enough feature points for 178 the 3D reconstruction without a color checkerboard.

179

180 Image Acquisition

181 The supplementary video shows image acquisition process using the PI-Plat (Additional File 3). To capture 182 the growth dynamics of panicles, we performed non-destructive imaging of primary panicle corresponding 183 to control and HNT treated plants at one (W1), two (W2) and three-weeks (W3) post-fertilization.

184

185 Image Processing

186 *3D point cloud reconstruction*

187 For 3D point cloud reconstruction, we used the MVE pipeline [37]. First, we converted all the RGB (red,

188 green, and blue) images into the HSV (hue saturation value) space. Then, the background in all images (i.e.,

the part corresponding to the walls and the circular wooden board) was segmented [43] and removed using

190 the same threshold. With the removal of the background, the amount of feature points in the 3D 191 reconstruction process, as well as the computation time, was reduced. Since all images were taken in the 192 PI-Plat chamber with a constant light, the same threshold worked optimally for all the panicles. Multiple 193 tests using the 'colorthresholder' application in Matlab showed that the background can be effectively 194 removed if hue, saturation, and value were controlled in the ranges of 0.2-0.5, 0.5-1, and 0.2-0.7, 195 respectively. After background removal, denoising on the images was performed and the components that 196 did not belong to a panicle (e.g., the turntable ring, the residues of checkerboards, etc.) were considered as 197 noise and removed. These pre-processed images were used to reconstruct the 3D point clouds for each 198 panicle at a given time-point. For this, the corresponding feature points in images were detected and 199 matched to form a sparse point cloud in an incremental SfM process. Then, depth maps were reconstructed 200 for each view and merged into a dense point cloud.

201

202 Trait extraction using 3D point cloud

Once a point cloud at each time point was generated, we were able to extract traits of interest from the 203 204 reconstructed 3D structure of panicles from these time-varying point clouds. First, each point cloud was 205 segmented into different components (such as a panicle, the color checkboards, and the rotary double-ring 206 apparatus) by leveraging their distinct positions or colors. For example, the color checkboards were 207 approximately located on the boundaries (i.e., the locations of walls and the top surface of the circular 208 wooden board) of a point cloud, and the metal hook was located at the top of the point cloud and has a gray 209 color. Second, the point clouds need to be scaled and aligned, as different point clouds may have different 210 scales and orientations after reconstruction. In this work, the geometries of the color checkboards and the 211 rotary double-ring apparatus were constant during imaging acquisition. Thus, we scaled and aligned the 212 color checkboards and the apparatus across the point clouds. In this way, the rest of the point clouds were scaled and aligned as well, such that panicles in different point clouds can be compared at the same scale 213 214 [44]. Third, each point cloud was voxelized for volume quantification [45]. The same bounding box was 215 employed to enclose each point cloud. The bounding box was cube-shaped and aligned across the point 216 clouds with respect to the color checkboards and the apparatus. Then, an equivalent discrete voxel-based 217 grid was generated. The grid size was obtained by dividing each edge of the bounding box by 1000. Thus, a volume with a resolution of $1000 \times 1000 \times 1000$ was generated to sample the 3D space. Finally, the 218 219 points not belonging to a panicle were removed. Therefore, some voxels were filled with a group of panicle 220 points and the other voxels were empty. For each filled voxel, we computed the average color (i.e., RGB) 221 intensity of the points contained in the voxel. Subsequently, the following features were extracted from a 222 volume: (a) voxel count: the number of the filled voxels, and (b) color intensity: the sum of color intensities 223 of all filled voxels.

224

225 2D pixel count extraction from multi-view images of developing panicles

226 For a comparison purpose, conventional 2D based image analysis of panicles was also employed. 227 Specifically, the total pixel count of a panicle was calculated from its corresponding 120 images captured 228 from multiple views. To achieve this, first, each pre-processed image was segmented using the 229 *colorthresholder*' application in Matlab. This resulted in a set of separated regions. Second, because the 230 checkerboards used in our experiment had green squares whose color was similar to a panicle, the squareshaped regions were detected using solidity. For each region, its solidity is defined as the ratio of the 231 region's area to the region's convex hull area. The solidity of each region was calculated using the 232 233 'regionprops' function in Matlab. We did not account for regions that had solidity values larger than 0.7. 234 In addition, given the relatively marginal size of a panicle, a region larger than certain pixels (800 pixels in 235 our study) was filtered out. Therefore, only the pixels of the panicle remained, and the pixel count of the panicle in an image was calculated. We summed the pixel count obtained from each of the 120 multi-view 236 237 images of the panicle as the total pixel count.

238

239 Scanning of Mature Panicles using Flatbed Scanner

240 Next, we analyzed mature primary panicle to gain ground truth and derive features, which were compared 241 with the developing panicle. For this, the primary panicles were harvested, and scanned images were obtained using an Epson Expression 12000 XL scanner (600 dpi resolution). Branches on primary panicles 242 243 were carefully spread out to avoid overlaps in the scanned images. These scanned images were used to 244 extract the following traits: projected surface area of the primary panicle, projected seed count of the 245 primary panicle, average of major (seed length) and minor (seed width) axis, and area of the individual seed on the primary panicle. In this set of images, the panicles were placed over black background. We 246 247 segmented the panicles from the background using color thresholding and obtained the binary images. As 248 a panicle was mostly yellowish in color and the background was black, an image was transformed in the 249 HSV color space to segment the panicle (setting for range: hue 0-0.3, saturation 0.2-1, and value 0.5-1). In 250 principle, a harvested mature panicle has all the seeds attached to the rachis. Therefore, we first used 251 morphological opening [46] to process the images. As the branches were relatively thin and the seeds were 252 relatively thick, most regions of the seeds were disconnected from each other after morphological opening by removing the branch pixels. As the seeds have an oval shape, the regions that were too thin were 253 254 removed. The remaining regions corresponded to seeds. The length, width, and area of a seed was calculated 255 from its region using the 'regionprops' function in Matlab.

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258 Manual Phenotyping of the Mature Panicle

Next, we manually measured the yield traits on mature primary panicle after harvesting. For this, we collected data for (a) total seed weight, (b) total seed number, (c) weight per seed, and (d) number of fertile and sterile seeds to calculate percentage fertility.

262

263 Correlation Analysis

264 For pairwise correlation analysis, the 3D reconstruction-based features (voxel count and color intensity) 265 and the total pixel count (2D) derived from the multi-view images of developing panicle were compared with end-point measurements at maturity. For the end-point measurements, the traits derived from flatbed 266 267 scanned images as well as manual measurements from the primary panicle at maturity were considered. 268 These traits were collected from 11 rice genotypes with two to three replicates per genotype and per 269 treatment (control and HNT). A total of 55 observations were used for Pearson correlation analysis. The 270 correlation analysis was performed using R v. 3.4.3 [47] and RStudio v.1.1.419 [48]. Correlation matrices containing Pearson correlation coefficients and p-values were obtained using the `rcorr` function in 271 272 "Hmisc" package [49]. Matrix displaying correlation between selected traits was plotted using 'chart.Correlation' in the "PerformanceAnalytics" package [50]. Both the raw data and the complete 273 274 correlation matrix are provided (Additional File 4 and 5).

275

276 Data Accessibility

277 The text-based raw data generated from 3D reconstruction-based approach, flatbed scanner, and manual

278 measurements for this work is provided as additional files with this submission. Raw image data is large

and hence only part of them is shared for user testing on a UNL Box repository

280 (https://unl.box.com/s/g0bof1mpfp33hn66b2qabrk9kiwmhbzv).

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283 **Results**

284 Workflow of PI-Plat

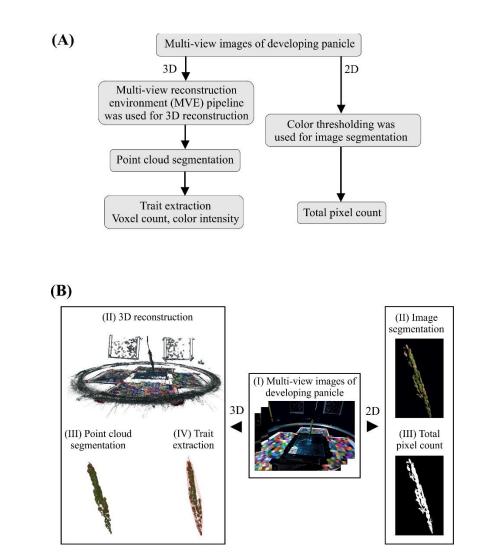
Evaluation of inflorescence-related parameters is limited by traditional phenotyping methods. Advances in plant phenotyping methodology have enhanced our understanding of vegetative organs and overall plant structures. However, we still need to capitalize on the technological advancement in optics, computer vision, and software design, to capture complex plant structures. In this study, we developed a *P*anicle *Imaging Plat*form (PI-Plat) to understand yield-related parameters by reconstructing 3D space to derive digital traits (Additional File 2).

291 For method validation, we used 11 rice genotypes, from the *indica* and *japonica* rice sub-292 populations (Additional File 1). Once 50% of primary panicle underwent flowering, a subset of plants was 293 maintained under control conditions and the rest were moved to a greenhouse with high night temperature 294 (HNT) condition [51]. The motivation for HNT treatment is to explore the phenotypic variation in rice germplasm as rice grain development is known to be sensitive to HNT [52–54]. The primary panicles from 295 each plant and treatment were imaged three times on a weekly basis (week 1, 2, and 3) using the PI-Plat. 296 297 For imaging, two visible cameras, held at two different positions, were employed on a rotating imaging 298 system. Sixty images per camera, corresponding to an image clicked every six degrees, aided in capturing 299 multiple views covering 360° of the panicles (Additional File 3). In total, 19,800 images were captured for 300 the 11 genotypes. Each panicle image was segmented and used to reconstruct 3D point clouds which were 301 used to extract phenotypic traits such as (i) voxel count and (ii) color intensity (Figure 1 and Table 1). 302

Phenotyping	Analysis method	Traits extracted	Description
Developing Panicle (week 1, 2 and 3 post-	Reconstruction of 3D point cloud from multi-view images	Voxel count	Total number of points in 3D reconstructed point cloud, which can be used to estimate the overall volume
fertilization)		Color sum – R, G, B	sum of color intensities of signals from R, G, and B channels.
		Color intensity – ratio of R to G	Ratio of intensity in red channel and the intensity in green channel
	Multi-view 2D image analysis	Pixel count	Total pixel counts to estimate 2D surface area of the panicle
Mature	Single-view conventional 2D scanning	Projected seed count	Estimation of total number of seeds
Panicle		Projected surface area	Estimation of total surface area
		Seed area	Mean area of all seeds
		Seed major and minor axis length	Mean major and minor axis length of all seeds
	Manual measurement	Yield-related traits	Total number of seeds, total seed weight, fertility and weight per seed

Table 1: Overview of the phenotyping methodology and trait derived from the corresponding methods in the study.

304 R, Red; G, Green; B, Blue. n, normalized.



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Figure 1: Multi-view image analysis of developing panicle using PI-Plat. (A) Flowchart and (B) graphical
 representation of the multi-view image analysis using 3D reconstruction and 2D approach.

310 Correlation between traits derived from multi-view images of developing panicle and yield related 311 components at maturity

First, we aimed to determine if the traits derived from 3D reconstruction of the developing panicle correlate 312 313 with the yield related components at maturity. For this, the 3D reconstruction-based point cloud features 314 derived from multi-view images (voxel count, color intensity) were compared to end-point measurements 315 of the mature panicle (Additional File 5). The end-point measurements correspond to (i) flatbed scanned 316 images (projected surface area at the panicle level, projected seed count, and morphometric measurements 317 at individual seed level; seed area, seed length and width) and (ii) manual measurements (total seed weight, 318 seed number, weight per seed, and fertility) of the mature panicle. Among all the traits derived from 3D 319 reconstruction, only voxel count of developing panicle exhibited significant positive correlation with

projected surface area (r_{w1} , r_{w2} , r_{w3} ; 0.64, 0.55, 0.82), total seed weight (r_{w1} , r_{w2} , r_{w3} ; 0.48, 0.50, 0.74) and seed number (r_{w1} , r_{w2} , r_{w3} ; 0.67, 0.61, 0.70) at maturity (Figure 2, Additional File 5). The correlation of the voxel count with projected surface area (r_{w1} = 0.64) and total seed weight was relatively low at week 1 (r_{w1} : 0.48) and increased with later weeks, week 2 and 3 ($r_{w1} < r_{w2} < r_{w3}$; Figure 2). On the other hand, the correlation between the voxel count of a developing panicle and the seed number at maturity remained stable (Figure 2). Notably, the color intensity derived from 3D reconstruction did not exhibit meaningful correlation with any of the endpoint measurements (Additional File 5).

> ** W1 0.34 0.52 0.60 0.57 0.47 0.64 0.55 0.48 0.67 Voxel count ** W2 0.55 0.57 0.69 0.68 0.44 0.64 0.50 0.61 *** **: W3 0.62 0.55 0.71 0.82 0.64 0.74 0.70 *** *** ** *** *** *** W1 0.63 0.61 0.54 0.66 0.60 0.67 2D pixel count W2 0.67 0.53 0.68 0.40 0.56 ** W3 0.58 0.55 0.47 0.48 *** Projected 0.84 0.60 0.75 surface area Projected 0.59 0.79 seed count Seed weight 0.70 Seed number jected W2 area Seed number W1 W2 W1 W3 count Seed weight W3 Projected Voxel count 2D pixel count Traits derived from developing panicle Traits derived from mature panicle



329 Figure 2: Correlation of traits derived from 3D reconstruction, 2D scanning and manual measurements of inflorescence-related traits. Using PI-Plat, developing panicles were imaged on weekly 330 331 basis (week 1, 2, and 3). For a respective panicle, multi-view images were used for 3D reconstruction to 332 extract voxel count. Also, 2D pixel count was estimated for developing panicle. Phenotypic traits from mature panicle were analyzed by flatbed scanner (projected surface area and seed count), and manual 333 334 measurements (seed number and weight). Pearson correlation analysis for traits of primary interest is represented. Similar analysis for other extracted traits is listed in Additional File 4. Histograms and red line 335 represent the distribution of each trait. p-value for significant correlation is shown in red (*** p < 0.001, ** 336 337 p < 0.01, * p < 0.1), n = 55. 338

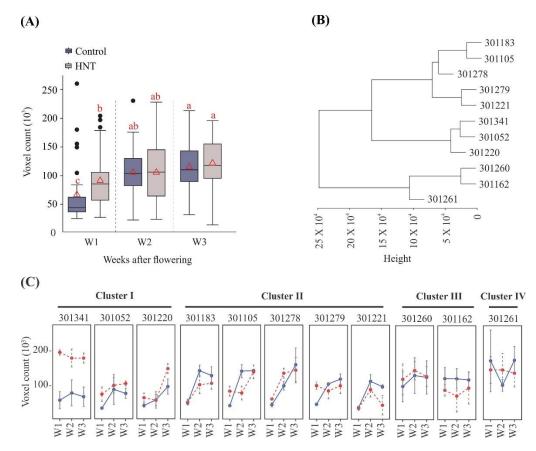
Next, the multi-view images were also used to perform the conventional 2D image analysis to extract the total pixel count of a developing panicle for week 1, 2 and 3 (Figure 1). Then, the derived traits at each week were compared with the end-point measurements (Additional File 5). Consequently, the total pixel count showed a positive correlation with all the traits derived from flatbed scanned images and manual measurements at maturity. The correlation between the total pixel count and the projected surface area as well as the total seed weight was unstable. Surprisingly, these correlations at week 3 were lower than the correlations at week 1 (Figure 2).

346

347 Voxel count – an estimate for grain-filling rate

348 Grain filling rate is the major determinant of mature crop yield. However, evaluating seed weight dynamics 349 usually requires destructive phenotyping methods. In our study, we estimated voxel count from the 3D 350 reconstruction of developing panicles, which represents the overall volume of a panicle, and thus accounts for grain-filling rate. In general, we observed a temporal trend of progressive increase in voxel count over 351 three weeks during the post-fertilization period (Figure 3A). Under control conditions, voxel counts at W2 352 353 and W3 were significantly higher than the one at W1, while no significant difference was observed between 354 W2 and W3 (Figure 3A). These results indicate that substantial gain in overall seed volume occurs before 355 W2. Interestingly, plants treated with HNT, possessed significantly higher voxel count at W1 compared to 356 control. These differences dissipated at W2 and W3, as no significant differences between control and HNT 357 treated plants were observed (Figure 3A).

358 Next, we evaluated the weekly voxel count for individual genotypes grown under control and HNT 359 stress conditions (Figure 3B and C). We performed hierarchical clustering based on voxel count for control 360 condition panicles (Figure 3B). The analyses grouped 11 genotypes into four distinct clusters (Figure 3B and D).). Cluster I was comprised of 301341, 301052, and 301220, cluster II: 301183, 301105, 301278, 361 301279, and 301221, cluster III: 301260 and 301262, and, while cluster IV constituted only one genotype, 362 363 301261 (Figure 3C). Interestingly, the 4/5 genotypes in Cluster II (301183, 301105, 301221, 301279) 364 showed a significant gain in voxel count between W1 and W2 (Figure 3C). For genotypes in Clusters I, III, 365 and IV, the voxel count trend did not show any significant difference between W1, W2 and W3 (Figure 3C).). This could be because these genotypes may have already gained their potential seed size by W1, and 366 367 thereby only incremental changes occur afterwards.



370 Figure 3: Estimation of voxel count. Voxel count derived from 3D point cloud represents overall volume 371 of developing panicle. (A) Average voxel counts from all genotypes for a respective treatment (control and HNT) and time-point (week 1, 2, and 3) is shown. Box plot represents range, median and mean (red triangle) 372 373 for the same. Means connected with similar letter are not significantly different from each other (Student's 374 t-test; p < 0.1). (B) Hierarchical clustering analysis of genotypes based on their voxel count in control conditions. (C) Voxel count for individual genotypes corresponding to cluster I-IV. Y-axis represent voxel 375 376 count, x-axis indicate time-point (week 1, 2, and 3). C: control, HNT: high night temperature. Box plot 377 represents range, median and mean (red triangle) for the same. Means connected with similar letter are not 378 significantly different from each other (Student's t-test; p < 0.1).

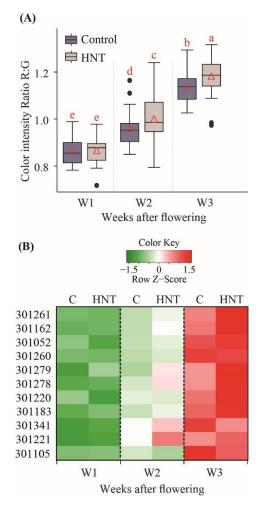
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380 Color intensity – an estimate for rate of maturation

381 Rate of panicle maturation is a well-studied trait that directly impacts final yield [55, 56]. Heat stress 382 impacts rice seed development and hence alters the panicle maturation rate [57, 58]. Therefore, evaluating 383 the dynamic of panicle maturation could be potentially useful in determining the dynamic of stress response 384 in rice. However, evaluation of the respective traits is done by conventional phenotyping methods, which are inherently laborious and subjective. To estimate the panicle maturation dynamics, we extracted intensity 385 386 of the RGB channels from the 3D point cloud. Then, we used the ratio of intensity from R to G channels to estimate the yellowness of developing panicle, which increases as the panicles mature. We observed a 387 temporal trend indicating an increase in the ratio of R to G from W1 to W3 (Figure 4A). This observation 388 is consistent with the progression of panicle maturation as its color changes from green to vellow. 389

- 390 Interestingly, the R to G ratio was significantly higher for plants treated with HNT compared to control,
- 391 suggesting that HNT accelerates the rate of panicle maturation. We next explored the genotypic differences
- for maturation rate (Figure 4B). We observed consistent increase in the R to G ratio from W1 to W3 under
- 393 control and HNT (Figure 4B). The R to G ratio for majority of genotypes was significantly higher for HNT
- treated plants than control (Figure 4B and Additional File 5).
- 395



396

397 Figure 4: Estimation of color intensity.

Color intensity represents sum of color intensities of signals from red (R), green (G), and blue (B) channels.
(A) Average ratio of R to G intensities from all genotypes for a respective treatment (control or HNT) and

- 400 time-point (week 1, 2, and 3) is shown. Box plot represents range, media and mean (red triangle) of the R
- 401 to G ratio. Means connected with same letter are not significantly different from each other (Student's t-
- 402 test; p < 0.1). (B) Heat map of R to G ratio for different genotypes under control and HNT.
- 403

404 Discussion

405 With the recent advances in automated plant image acquisition, accurate quantification of phenotypic traits 406 has become the focal point for realizing the potential of plant phenomics. The primary focus of automated 407 phenotyping platforms has been on the vegetative growth and development and to some extent on the root 408 architectural traits [53-55 and references therein]. Only limited effort has been directed towards more 409 complex yield related traits such as IA in greater detail [16, 20–22, 28, 62]. After flowering, inflorescence undergoes dynamic changes, such as grain filling and maturation, which significantly contributes towards 410 411 the final yield in cereals. Previous attempts to capture inflorescence-related traits have been limited to end-412 point measurements. Further, automated Lemnatech phenotyping system, which is mainly used for whole 413 plant imaging, is not suitable to extract high-resolution data from the inflorescence. Hence, the major goal 414 of this study was to capture the growth and developmental dynamics of inflorescence architecture (IA) at 415 high-resolution in rice. To this end, we have developed a low-cost effective system 'PI-Plat' to comprehend multi-dimensional features of IA (Figure 1). One of the main novelties of the PI-Plat is that it is designed 416 to reconstruct 3D models of smaller plant parts, in this study 'panicle', with a very high resolution. Also, 417 418 compared to the widely used turntable imaging system where cameras rotate [63], the panicle is fixed at the 419 center of the PI-Plat, thus the vibration is avoided, and the 3D point cloud has less noise. This imaging 420 system can be used to image the panicles in a non-destructive manner, which provides an opportunity to 421 perform temporal phenotyping of the same panicle at consequent developmental stages. On similar basis, 422 rice developing panicles were imaged on weekly basis after fertilization to capture growth dynamics. The 423 multi-view images of developing rice panicle were used for 3D reconstruction, which enabled us to capture 424 digital traits, such as voxel count and color intensity.

425 We found that the 3D reconstruction-based feature - voxel count has a positive correlation with 426 seed number and total weight at maturity. Panicle development after fertilization involves change in seed 427 weight and volume, but seed number remains constant. Consequently, we observed the temporal trend for 428 correlation of voxel count with final seed weight but not with seed number (Figure 2). Our correlation 429 analysis signifies that image-based phenotyping of developing panicles can be used to estimate the final 430 yield outcome. This information can be valuable for elucidating the physiological and genetic basis of yield components in rice. Various yield components are determined by numerous genes and pathways, which 431 432 likely influence the yield traits at different developmental phases during panicle development. By using the 433 3D reconstruction-based voxel count during the panicle development, researchers can identify phenotypic 434 variation over time for divergent genotypes, hence increase the mapping resolution for linking genotypes-435 to-phenotype. Furthermore, relatively stable correlation between voxel count and seed number at maturity 436 suggest that image-based phenotyping after fertilization can be used to estimate final seed number. In 437 contrast, the 2D based total pixel count of developing panicle showed relatively lower and unstable

438 correlation with seed number and total seed weight at maturity (Figure 2). Interestingly at W3, 2D based 439 pixel counts had lower correlation with endpoint measurements than voxel counts. For instance, the 440 correlation of voxel count with projected surface area and total seed weight was 0.82 and 0.74, respectively, 441 while the correlation of 2D pixel count with projected surface area and total seed weight was 0.58 and 0.47, 442 respectively. This could be due to the limitation of using convention 2D-based phenotyping to completely 443 capture the growth and color dynamics of developing rice seed. Since voxel count positively correlates with final weight, it can be used to capture the weight or volume dynamics. We observed an increase in voxel 444 445 count from W1 to W3, which is directly related to the increase in size and volume of developing seeds. In 446 context of panicle development, it accounts for rate of grain-filling. Significant gain in the voxel count was 447 achieved by W2 suggesting that substantial seed volume is attained by week 2 (Figure 3). This observation 448 holds true for 4/11 genotypes, while the other seven genotypes do not show such any significant difference 449 between W1, W2, and W3. One possible explanation could be that these genotypes might have accelerated increase in panicle volume and/or seed weight by W1; thus, exhibiting incremental changes during the 450 subsequent two weeks. We observed higher voxel count for HNT treated plants compared to control plants 451 452 at W1 (Figure 3A). Surprisingly, these differences dissipated at W2 and W3, and no significant difference 453 was observed at maturity. These results highlight the importance of temporal phenotyping relative to single 454 time point measurements. Thus, an end-point measurement approach is not practical to identify and hence 455 map traits that are not persistent at maturity. Since, rice and most other grain crops such as wheat and maize 456 are generally more sensitive to environmental stresses, such as heat and drought, the approach of capturing 457 dynamic reproductive traits in a non-destructive manner will be valuable for research aimed at improving 458 vield resilience to environmental stresses. Early detection of transitory phenotypes/traits is also valuable 459 for molecular studies. Measurement of color intensities from 3D point cloud aided us in understanding the 460 dynamics of panicle maturation for diverse genotypes. Notably, panicles from HNT treated plants showed significantly higher R: G indicating that HNT plants undergo faster maturation. These traits derived from 461 462 3D reconstruction of multi-view images provided a close approximation of structural features of the 463 developing rice panicle.

464 To harness the full potential of the existing genetic resources, we need to bridge the gap between genotype and phenotype. In this context, high throughput genotyping has been facilitated by development 465 466 of low-cost sequencing platforms. However, accurate and efficient phenotyping of large-scale populations 467 is a major bottleneck for crop improvement. The emergence of phenotyping platforms specifically targeting 468 inflorescence-related traits promise close approximation of the yield-related parameters. PI-Plat provides 469 an important first step towards achieving higher spatial and temporal resolution in IA phenotyping without 470 destructive sampling. The next step towards achieving high-throughput phenotyping of IA traits is the 471 automation for enabling researchers to develop genotype-to-phenotype linkages. Although, the 3D derived

472	voxel count, and color intensity developed as part of PI-Plat can be used to screen large populations			
473	elucidating phenotypic variability in inflorescence-related traits, it is still a laborious task given the lack of			
474	automation. In summary, PI-Plat-derived 3D traits fills a significant gap in the plant phenotyping toolbox			
475	by providing greater spatial and temporal sensitivity of capturing dynamic inflorescence traits, especiall			
476	for studying abiotic stress responses during reproductive development.			
477				
478	Abbreviations			
479	IA: inflorescence architecture, PI-Plat: panicle imaging platform, 3D: 3 dimensional, 2D: 2 dimensional,			
480	HNT: high night temperature, W1/2/3: one/two/three week after flowering, RGB: red, green, and blue.			
481				
482	Authors' Contribution			
483	HW, HY, YG, PS, and PP conceived and designed the experiment. PP, JS, BKD, FZ, and TG performed			
484	the experiments. FZ and TG performed imaging data analysis. PP and JS analyzed the results and wrote the			
485	manuscript. All authors read and approved the manuscript.			
486				
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489				
490	Availability of data and material			
491	Due to the relatively large size of the raw data, only part of them is shared on a UNL Box repository			
492	(https://unl.box.com/s/g0bof1mpfp33hn66b2qabrk9kiwmhbzv). The raw images used for 3D			
493	reconstruction and manual phenotyping dataset used in this study is available from the corresponding author			
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496	Consent for publication			
497	Not applicable.			
498				
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500	Not applicable.			
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502	Competing interests			
503	The authors declare that they have no competing interests.			
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- 508 <u>Additional Files</u> (Additional data is available online)
- Additional File 1: Genetic and geographical information of the rice genotypes used in the study.
- 510 Additional File 2: PI-Plat and its components.
- 511 Additional File 3: Video showing PI-Plat in motion.
- 512 Additional File 4: Raw data collected from developing (imaging derived) and mature (manual
- 513 measurements) panicles.
- Additional File 5: Pearson correlation analysis for all the traits derived from 3D reconstruction and multi-
- view 2D-pixel count analysis of developing panicle (yellow color coded), and mature panicle derived traits
- 516 from 2D scanning and manual measurement (green color coded). Significant correlation values (p value <
- 517 0.05) are highlighted in red font.
- 518 Additional File 6: Average intensities of (A) red and (B) green channels from all genotypes for a respective
- treatment (control and HNT) and time-point (week 1, 2, and 3).

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