Broadly neutralizing plasma antibodies effective against diverse autologous circulating viruses in
infants with multivariant HIV-1 infection.

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Abstract

Due to the extensive antigenic diversity of human immunodeficiency virus-1 (HIV-1), broadly
neutralizing antibodies (bnAbs) develop in a subset of infected individuals over 2-3 years of
infection. Interestingly, infected infants have been shown to develop plasma bnAbs frequently and
as early as one-year post-infection, with features atypical than adult bnAbs, suggesting that the
factors governing bnAb induction in infants are distinct from that in adults. Understanding the viral
characteristics in infected infants with early bnAb responses will provide key information on the
antigenic triggers driving B cell maturation pathways towards the induction of bnAbs. Herein, we
evaluated the presence of plasma bnAbs in a cohort of 51 HIV-1 clade C perinatally infected infants
of Indian origin and identified viral factors associated with early bnAb responses. Plasma bnAbs
targeting V2-apex on the env were predominant in infant elite and broad neutralizers. Circulating
viral variants in infant elite neutralizers were susceptible to known bnAbs against V2-apex while
varied resistance profile to other bnAb classes was observed. In infant elite neutralizers, multivariant
infection was associated with plasma bnAbs targeting diverse autologous viruses. Our data provides
information supportive of polyvalent vaccination approaches capable of inducing V2-apex bnAbs
against HIV-1.

Introduction

An effective human immunodeficiency virus – 1 (HIV-1) vaccine that can curtail the AIDS pandemic is
the need of the hour. The HIV-1 envelope glycoprotein (env), is a trimer of non-covalently linked

heterodimers (gp120/gp41)$_3$, and is the primary target of broadly neutralizing antibodies (bnAbs).

The bnAbs are capable of neutralizing diverse circulating variants of HIV-1 and are generated in rare

subsets of infected individuals. Passive administration of such bnAbs in animal models and in

recently conducted human clinical trials with bnAbs alone, or in combination with antiretroviral

therapy, have shown protection from HIV-1 infection [1,2]. HIV-1 bnAbs are categorized based on their

recognition of five distinct and largely conserved epitopes on the envelope spike that are promising

vaccine targets: the N160 glycan located within the V2 loop at the trimer apex (V2-apex), high

mannose patch centered around N332 in the V3 region, the CD4 binding site (CD4bs), the membrane

proximal external region (MPER), and the N-glycans located at the gp120–gp41 interface [1,2]. A

prolonged exposure to the viral env during natural infection has been implicated as a prerequisite

for the development of broadly neutralizing antibodies (bnAbs) capable of neutralizing diverse viral

strains, as is observed in select HIV-1-infected adults, who develop such bnAbs after a minimum of

two to three years of infection [3–6].

In HIV-1 infected children, plasma bnAbs arise earlier in infection, and show higher potency and

breadth compared to adults [7–11]. We observed the presence of cross-neutralizing antibodies in HIV-1

clade C chronically infected children [11] and recently generated a bnAb AIIMS-P01 from an elite

pediatric neutralizer AIIMS_330 [12]. Further in this cohort of chronically infected children, AIIMS_329

and AIIMS_330, a pair of identical twins, showed elite plasma neutralizing activity [13]. A longitudinal

analysis of the plasma antibody response and circulating viral strains showed the presence of diverse

circulating in both twins, with varied susceptibility to neutralization by plasma antibodies and bnAbs,

irrespective of their similar genetic makeup and source of infection. Studies undertaken in infants

have, however, documented that HIV-1 infected infants develop potent plasma bnAbs as early as

one-year post-infection [9,10] suggesting that an effective vaccine in infants may perhaps be able to

trigger the immune system and elicit an early bnAb response thus providing an impetus to evaluate

the antibody response in a cohort of perinatally infected infants. Moreover, the bnAbs isolated from

infected children show features atypical of adult bnAbs suggesting that the factors governing bnAb

induction in infants are distinct from those in adults [12,14].

Infants infected via mother-to-child transmission (MTCT), with the well-defined genetic bottleneck

leading to infection with a minor variant [15], provide a unique setting to understand the viral factors

associated with induction of bnAbs. Herein, we evaluated the characteristic features of circulating

viral strains in infants that show an early bnAb response to understand the antigenic triggers that

drive B cell maturation pathways towards induction of bnAbs.

Results

Identification of HIV-1 infected infants with elite plasma neutralization activity

In order to identify infants with potent plasma nAbs in our cohort of 51 infants, HIV-1 specific plasma

bnAb breadth was assessed. We first evaluated nonspecific inhibitory effect by assessing the

inhibition of MuLV infection in a TZM-bl based pseudovirus neutralization assay. Of the 51 plasma

samples, 4 showed nonspecific inhibitory effect and were excluded from further analysis. To identify

infants with early bnAb responses, we next performed plasma neutralization activity of the

remaining 47 infants against a panel of 12 genetically divergent pseudoviruses [16,17], representing

global viral diversity, in order to capture plasma bnAbs targeting diversity encountered in the

context of global HIV-1 pandemic. Cross-clade neutralization activity (CrNA), the ability to neutralize

non-clade C pseudoviruses (different clade than the infecting clade) at ID_{50} titers >50, was observed

in 19 of the 47 infants at a median time of 12-months post-infection (p.i.) (range = 6 – 24 months)

(**Fig. 1A**). Further, plasma neutralization activity against an 8-virus panel of Indian origin was

assessed [13,17]. While the geometric mean titres were comparable for infants with both the global

panel and Indian clade C panel, infants had higher breadth against Indian clade C panel (**Fig. S1A –**

C).

Though the plasma neutralization activity against the 12-virus global panel in infants was relatively

broad with 21% (10/47) of infants neutralizing ≥50% of the pseudoviruses, their potency in

comparison to plasma neutralization activity from previously characterized cohorts of chronically

infected children and adults from our lab showed relatively lower magnitude (**Fig. 1B and C**), which

prompted us to define pediatric elite neutralizers and broad neutralizers in the context of a modified

breadth-potency matrix. For infant plasma samples, percent neutralization for each plasma-virus

combination was recorded as a breadth-potency matrix at a fixed dilution of 1/50: >80%

neutralization received a score of 3, >50% a score of 2, >20% a score of 1, and <20 received a score

of 0. Maximum cumulative score for a given plasma was 36, and neutralization score was given as

the ratio of cumulative score for respective plasma to the maximum cumulative score, providing

neutralization score on a continuous matrix of 0 to 1, with values closer to 1 implying strong plasma

neutralization activity. The normalized neutralization scores, predictive of geometric mean titres and

cross-clade neutralization, were calculated (**Fig. 1D**). A cut-off of 0.7 defined the 90th-percentile

boundary and was used to define elite neutralizers (neutralizing ≥90% pseudoviruses), whereas a

cut-off of 0.3 (75th-percentile) was used to define broad neutralizers (neutralizing ≥50%

pseudoviruses) (**Fig. S2A – B**). The neutralization scores for known elite and broad neutralizers from

previously reported pediatric [11] and adult [18] cohorts were also calculated based on the same

modified breadth-potency matrix, and the normalized neutralization score defined herein could

categorize pediatric and adult elite and broad neutralizers (**Fig. S2B**). Based on this scoring system,

four infants were classified as elite neutralizers (AIIMS704, AIIMS706, AIIMS709, and AIIMS743) and

six infants as broad neutralizers (AIIMS731, AIIMS719, AIIMS736, AIIMS744, AIIMS732 and

AIIMS738) (**Table S1**).

V2-apex targeting bnAbs predominated in infants with elite and broad plasma neutralization

activity

Next, to delineate the epitope specificities of the plasma bnAbs from these infant elite and broad

neutralizers, we used the HIV-25710_2_43 mutant pseudoviruses containing key mutations within

the epitope for V2-apex, V3-glycan, CD4bs, gp120-gp41 interface and MPER. The plasma bnAbs of

majority of the infants (8/10) were directed against the V2-glycan, with two elite neutralizers

(AIIMS704 and AIIMS706) showing multi-epitope dependency, a feature reported to be typically

associated with chronic antigenic exposure [8,13] (**Fig. 2A**). For AIIMS709 and AIIMS736, no

dependence on any of the five epitopes was observed. To further validate that the high frequency of

V2-apex targeting plasma nAbs were not specific to HIV-25710_2_43 pseudoviruses, infant plasmas

that showed V2-apex dependence were further mapped with 16055, CAP45 and BG505 N160A

mutant pseudoviruses (**Fig. 2B**). For AIIMS706, additionally, N332A mutants of BG505, CAP256 and

ConC were used as the plasma also had nAbs targeting V3-glycan (**Fig. 2C**). Though the extent of

dependence varied from one pseudovirus to another, the expanded mapping showed similar trend

as initial mapping done with HIV-25710_2_42, confirming high frequency of V2-apex plasma bnAbs

in this cohort of infants. Interestingly, in AIIMS704, in addition to V2-apex targeting plasma nAbs,

MPER dependence was also observed. MPER-directed bnAbs are rare in individuals with acute

infection, highlighting the uniqueness of our observation of MPER plasma bnAbs in a 12-month old

infant. To address whether the pan-neutralization of the global panel by AIIMS704 plasma nAbs

were MPER mediated or an additive effect of having two distinct plasma nAb specificity, we depleted

the MPER antibodies from AIIMS704, and checked the neutralization of global panel with MPER-

depleted AIIMS704 plasma. Depletion of MPER antibodies from plasma was confirmed by binding

ELISA against MPER-C peptide (**Fig. S3A – B**). MPER-depleted AIIMS704 plasma antibodies

neutralized 50% of the global panel (6/12), and showed a 2.14-fold reduction in GMT titres. All

circulating recombinant viruses from the global panel (246F3, BJOX2000, CH119, CNE8 and CNE55)

became resistant after depletion of MPER-specific plasma nAbs (**Fig. 2D, Fig. S3C**).

Infants with elite neutralizing plasma bnAbs had multivariant HIV-1 infection

We next focused our analysis on three parameters (viral load, CD4 count and duration of infection)

to identify factors driving bnAb induction. No significant association was observed between CD4

counts or duration of infection with neutralization breadth (**Fig. S4A – B**), suggesting that these

parameters did not influence bnAb induction in this cohort of infants. High viral load showed

negative correlation with neutralization breadth (r = -0.497, p = 0.002) (**Fig. S4C**). Another factor

influencing bnAb induction is the diversity in the viral envelope glycoprotein (env). Diversity in the

viral env generated as a result of immune selection pressure (neutralization escape) or

superinfection can independently drive bnAb induction [5,6,13]. To define viral diversity in the context

of bnAb induction, we performed SGA analysis of env sequences [19] (V2C5 region of HIV-1 gp120,

HXB2 position 6690 – 7757) from circulating viral variants in elite and broad neutralizers to assess

the impact of viral diversity on bnAb induction. From elite and broad neutralizers, a total of 377 env

gene sequences were obtained with more than 35 env sequences from each infant, giving a 90%

confidence interval of sequencing circulating variants present at 5% population frequency (**Table 1**

and Table S2). Sequences containing large deletions or G-to-A hypermutations were excluded. All

sequences were predicted to be clade C throughout the env gene reading frame, and had the

highest phylogenetic relatedness to the reference sequence C.IN.95IN21068.AF067155 (GenBank

accession number AF067155) complied in HIV database. Co-receptor usage was inferred based on V3

loop sequences, and all 377 sequences were predicted to use CCR5.

Sequences were aligned, visually inspected using the highlighter tool and maximum likelihood

phylogeny tree were generated to identify the pattern of viral transmission. Pairwise raw distance

distribution (nucleotide substitution per site) and env gene diversity (mean genetic distance) were

assessed to calculate the intra-host diversity. All four elite neutralizers (AIIMS704, AIIMS706,

AIIMS709 and AIIMS743) showed evidence of multivariant HIV-1 infection (distinct clusters on

highlighter plots and distinct branches on phylogeny tree with high degree of bootstrap support [20–

25]), with one infant, AIIMS709, showing two highly divergent variants, plausibly due to

superinfection, that needs to be further explored (**Fig. 3A – B, Fig. 4A – D**). SGA env sequences from

broad neutralizers (AIIMS731, AIIMS719, AIIMS736, AIIMS744, AIIMS732 and AIIMS738) were

monophyletic (**Fig. 3A**). Within-patient diversity in elite neutralizers ranged from 0.6 to 15.1 (**Table**

1). Such extent of within-patient diversity are typical of multivariant infections [19,21,22,24]. AIIMS709

had the maximum within-patient diversity, further confirming infection with highly divergent viruses.

For broad neutralizers, within-patient diversity ranged from 0.3 to 0.7, a feature typical of infection

with a single virus or multiple closely related viruses (**Table 1**). Significantly higher evolutionary

divergence (nucleotide substitutions per site) was observed in elite neutralizers compared to broad

neutralizers (p = 0.0095) (**Fig. S5A – B**). Moreover, the multivariant infection in this infant cohort was

significantly associated (odds ratio >35, p = 0.043) with development of plasma breadth, though a

small sample size might have skewed the association.

Contemporaneous plasma bnAbs are effective against autologous circulating viruses in infant elite

neutralizers

To gain insight and evaluate the impact of multivariant infection and decipher viral characteristics

associated with the development of plasma nAbs in infant elite neutralizers, we next generated

functional pseudoviruses from all four infant elite neutralizers. The generated pseudoviruses were

tested for neutralization by autologous nAbs. Distinct viral populations in each of the infected infant

showed varied susceptibility to autologous plasma nAbs, with several variants sensitive and others

moderately sensitive to autologous plasma bnAbs (**Fig. 5A**), an observation we previously reported

to be a plausible driver of elite plasma neutralization activity [13]. Moreover, none of the circulating

viral strains in four infants were resistant to autologous plasma nAbs. In addition, susceptibility of

the pseudoviruses from these infant elite neutralizers to known bnAbs and non-nAbs was also

assessed. Majority of the pseudoviruses showed similar neutralization susceptibility profile to V2-

apex targeting bnAbs while the susceptibility to other bnAb classes varied between pseudoviruses.

Notable sequence similarity was observed in the strand B and C of the V2-loop of the pseudoviruses

(**Fig. S6**). No reactivity was observed with non-nAbs for majority of the pseudoviruses, suggesting a

well-ordered trimeric configuration (**Fig. 5A**). To study the antigenic and conformational

characteristics of intact, native env trimers, we transiently transfected HEK293T cells with respective

env clones from each infant elite neutralizer that showed maximum susceptibility to autologous

plasma nAbs and the panel of known bnAbs. No effect of sCD4 on the binding of 2G12, which binds

an exposed glycan epitope on gp120, was observed suggesting CD4 binding did not induce gp120

dissociation (**Fig. 5B-E**). CD4-induced non-neutralizing antibodies, 17b and A32, that bind the open

trimer showed no binding and in presence of sCD4, only weak binding could be observed.

Predominant binding of trimer-specific bnAbs PGDM1400, and CAP256.25 was observed, though

binding of 10-1074 and PGT151 did vary between envs. The viral variants from these infant elite

neutralizers were susceptible to bnAbs, showed diverse susceptibility profile to autologous plasma

nAbs, and plausibly adopted closed trimeric conformations.

Discussion

Broadly neutralizing antibody (bnAbs) responses in HIV-1 infected adults have been well established,

whereas limited number of studies exist assessing these responses in HIV-1 infected children. Apart

from select studies, information on the neutralization activity of plasma antibodies in infants is

lacking. Herein, we observed cross-clade neutralizing activity in 42% of the infected infants, and even

though we used a less stringent cut-off of 1/50, the virus panel utilized in this study had a relatively

higher percentage of difficult to neutralize pseudoviruses (normalized tier scores of 2.5 to 3) [16,26]. In

the study conducted by Goo et. al. [9], 71% of the infected infants showed cross-clade neutralization.

Prevalence of crNA in HIV-1 infected individuals from different cohorts has been shown to be 10 to

30%. The GMT values observed in this study were on the lower side, plausibly due to limited

exposure to the antigen with a median infection duration of 12-months. Development of potent

plasma antibodies usually requires two to four years post-seroconversion, and is aided by chronic

antigen exposure [3,4,11,18,27].

Currently reported bnAbs primarily target five epitopes on env: glycan dependent sites within the V2

and V3 (V2-apex and V3-glycan) regions, CD4 binding site (CD4bs), gp120/gp41 interface, and

membrane proximal external region (MPER) [1,2]. The plasma antibodies of majority of the infants in

this study were found to be directed against the V2-apex. Despite the high variability in terms of the

sequence, glycosylation and length of the V2-apex of HIV-1 envelope, bnAbs directed against V2-

apex are elicited relatively early and are one of the most potent classes of bnAbs [1–4]. The findings

herein of a high frequency of V2-apex bnAbs in infants with cross-clade neutralization activity is in

consensus with previous observations in infected children [8]. Given the relatively higher frequency,

earlier induction, moderate level of somatic hypermutation, and consistent cross-clade neutralizing

activity of V2-apex bnAbs in conjunction with cross-species conservation of the epitope given its

critical function for trimer disassembly during viral entry [3,4,27–30], this bnAb epitope has been focus of

recent immunogen design approaches. Our results further support the notion for exploring V2-apex

targeting bnAbs, either as prophylactics or immunogen targeted induction, in the field of HIV-1

vaccinology. In two infant elite neutralizers, multi-epitope dependency was observed. Antibodies

targeting multiple epitopes in children have been reported, though chronic exposure has been

suggested as one of the mechanisms for the development of multiple antibody lineage.

Nevertheless, the exact factors that predispose children for the development of multiple bnAb

lineages are unknown and need to be addressed. Antibodies against MPER are rarely elicited in

children, plausibly due to the structural constraints in accessing the MPER as well as autoreactivity of

MPER bnAbs. Our findings of MPER nAbs in a 12-month old infant (AIIMS704) suggests similar bnAbs

can be induced early with targeted vaccination strategies that can plausibly overcome the linked

immune tolerance mechanisms blocking such nAbs.

Diversity in the viral env, either generated by immune selection pressure (neutralization escape) or

superinfection, has been shown to be an independent driver of bnAb induction [5,6,13]. In our cohort, a

significant association between multivariant infection and elite plasma neutralization activity was

seen, and though at the time of recruitment, all infants were in Fiebig stage VI, the extent of

diversity observed could not be explained with established models of mutations gained due to

escape mutations as a result of selection due to plasma nAbs [19,21,25]. Multivariant HIV-1 infection is

more commonly seen in adults than in children who have acquired the infection by vertical

transmission [19–21,31]. In both children and adults, the stringent genetic bottleneck for transmission

often leads to infection by a single viral variant [32–34]. HIV-1 multivariant infection is defined as one

person infected with two or more different HIV-1 strains. According to the timing of infection with

the second strain, multivariant infections can be divided into co-infection [33] (acquisition of a second

strain either simultaneously or before seroconversion) and superinfection [34,35] (acquisition of a

second strain after seroconversion), but given the cross-sectional nature of this study, we could only

categorically decipher the timeline of the multivariant infections, and hence used the broad term of

multivariant infection.

A better understanding of the mechanisms that determine the wide range of neutralization

sensitivity and antigenic landscape of circulating primary HIV-1 isolates would provide important

information about the natural structural and conformational diversity of HIV-1 env and how this

affects the neutralization phenotype. Circulating viruses in infected individuals who develop potent

plasma bnAbs characteristically develop resistance to autologous plasma nAbs as a result of the

mutations acquired due to immune selection pressure. Herein, the circulating viruses sensitive to

autologous plasma nAbs in all four elite neutralizers were observed. Interestingly, despite the

presence of V2-apex targeting plasma nAbs, circulating viral variants of AIIMS704, AIIMS706 and

AIIMS743 retained epitope-defining sequences and N-glycosylation sites at V2-apex. In addition,

epitope defining key residues for all bnAb classes (V2-apex, V3-glycan, CD4bs, gp120-gp41 interface

and MPER) were retained on circulating viruses from AIIMS704 and AIIMS706. A fundamental

challenge in HIV-1 vaccine strategy has been the development of native-like trimers capable of

expressing bnAb epitopes while occluding immune-dominant non-neutralizing antibody epitopes.

Preferential binding of bnAbs and not nnAbs has been correlated with efficient cleavage of env

gp160 polypeptide into its constituent subunits (gp120 and gp41). For immunogen design, efficient

cleavage of candidate envs is a desirable property. For majority of the envs from elite neutralizers,

CD4i targeting antibodies (17b, 48d and A32) showed negligible binding following sCD4 triggering

while trimer-specific bnAbs showed prominent binding, suggesting a stable and homogenous

conformational and antigenic state. In addition, recent line of evidence suggest that select HIV-1

viral variant have the capability to initiate bnAb responses [36]. Given that these infants generated

remarkable antibody response within a year of infection, envs from these infants can be explored as

potential immunogen candidates.

In this cross-sectional study on a small cohort of HIV-1 clade C infected infants, we have

demonstrated an association of multivariant infection with the development of plasma bnAb

response. Though exposure to two distinct viral variants in adults has been shown to be not

sufficient to broaden neutralizing antibody responses [37,38], our findings of an early bnAb response in

context of multivariant infection can be a distinct feature of infant immune response to HIV-1

infection. This needs to be validated in established cohorts of HIV-1 infected infants. Our data

provides key evidence for exploring polyvalent vaccination approaches for pediatric HIV-1 infection.

Polyvalent vaccines have been less explored due to immunodominance of HIV-1 which in turn can

diminish the efficacy of vaccines [39,40]. We observed the plasma nAbs in infants with multivariant

infection to target both variants, suggesting env specific antibodies generated in context of two

distinct viral variants can target epitopes on both envelopes. In addition, viral variants from these

infants can be explored as candidate priming immunogens to elicit V2-apex targeting bnAbs.

Furthermore, longitudinal analysis in established infant cohorts should be undertaken to understand

how the immune system in infants responds to multiple HIV-1 strains which will provide key insights

for guiding the early development of such bnAbs. To conclude, our results further add to a growing

body of literature suggesting infants may have different immunological tolerance mechanisms and

may be permissive for the development of bnAbs.

Materials and Methods

Study design and participants

The current study was designed to decipher the viral characteristics that influence the early

induction of plasma bnAbs in HIV-1 infected infants. Antiretroviral naïve and asymptomatic HIV-1

infected infants below the age of 2-years visiting the Pediatric Chest Clinic, Department of Pediatrics,

AIIMS during the duration of this study were recruited randomly. A total of 51 antiretroviral naïve

and asymptomatic HIV-1 infected infants were recruited for this study. After written informed

consent from guardians, blood was drawn in 3-ml EDTA vials, plasma was aliquoted for plasma

neutralization assays, viral RNA isolation, and viral loads. The study was approved by institute ethics

committee of All India Institute of Medical Sciences. The median age for infected infants was 12-

months (IQR, 8 − 19), the median CD4 count was 1731 cells/mm^{3-} (IQR, 1498 − 2562) and the median

viral load on log scale was 5.804 RNA copies/ml (IQR, 5.331 − 6.301).

Plasmids, viruses, monoclonal antibodies, and cells

Plasmids encoding HIV-1 env genes representing different clades, monoclonal antibodies and TZM-bl

cells were procured from NIH AIDS Reagent Program. 10-1074 and BG18 expression plasmids were

kindly provided by Dr. Michel Nussenzweig, Rockefeller University, USA. CAP256.09, CAP256.25 and

b6 were procured from IAVI Neutralizing Antibody Centre, USA. HEK293T cells were purchased from

the American Type Culture Collection (ATCC).

Neutralization assay

Neutralization assays were carried out using TZM-bl cells, a genetically engineered HeLa cell line that constitutively expresses CD4, CCR5 and CXCR4, and contains luciferase and β-galactosidase gene under HIV-1 tat promoter, as described before [13]. Neutralization studies included 47 heat-inactivated plasmas from infants, 19 bnAbs (PG9, PG16, PGT145, PGDM1400, CAP256.09, CAP256.25, 10-1074, BG18, AIIMS-P01, PGT121, PGT128, PGT135, VRC01, N6, 3BNC117, PGT151, 35O22, 10E8 and 4E10) and 6 non-nAbs (b6, F105, 17b, 48d, A32, 447-52D). Briefly, envelope pseudoviruses were incubated in presence of serially diluted heat inactivated plasmas, bnAbs or non-nAbs for one hour. After incubation, freshly Trypsinized TZM-bl cells were added, with 25 µg/ml DEAE-Dextran. The plates were incubated for 48h at 37°C, cells were lysed in presence of Bright Glow reagent, and luminescence was measured. Using the luminescence of serially diluted bnAbs or plasma, a non-linear regression curve was generated and titres were calculated as the bnAb concentration, or reciprocal dilution of serum that showed 50% reduction in luminescence compared to untreated virus control. For plasma samples, percent neutralization for each plasma-virus combination was recorded as a breadth-potency matrix at a fixed dilution of 1/50: >80% neutralization received a score of 3, >50% a score of 2, >20% a score of 1, and <20 received a score of 0. Maximum cumulative score for a given plasma was 36, and neutralization score was given as the ratio of cumulative score for respective plasma to the maximum cumulative score, providing neutralization score on a continuous matrix of 0 to1, with values closer to 1 implying strong plasma neutralization activity. For epitope mapping, HIV-25710-2.43 pseudoviruses with key mutations within major bnAb epitopes were used [13], and greater than 3-fold reduction in ID50 titres were classifies as dependence. HIV-25710-2.43 mutants included N160K (V2-apex), N332K (V3-glycan), R456W (CD4 binding site), A512W-G514W (Interface) and W672L-F673L (MPER). Extended mapping with N160K mutants of 16055_2_3, CAP45_G3 and BG505_W6M_C2, and N332K mutants of CAP256_SU, BG505_W6M_C2, and ConC was performed for samples that showed V2-apex and V3-glycan dependence respectively.

Depletion of MPER plasma antibodies and binding ELISAs

4 wells in 96-well ELISA plates were coated with MPER-C peptide overnight at 4°C. 100 µl of plasma was added and following a 45-minute incubation, plasma was iteratively adsorbed in the remaining three wells. MPER binding ELISA was performed as described previously [13]. Briefly, 96 well ELISA plates (Corning, USA) was coated with 2 µg/ml of MPER-C peptides overnight at 4°C. Coated plates were washed with PBS containing 0.05% Tween 20. Plates were blocked with 5% skimmed milk in blocking buffer. A 50-fold dilution of plasmas, was added, titrated in 2-fold dilution series, and incubated at 37°C for I hour. Unbound plasma antibodies were washed with wash buffer and plates

were incubated with peroxidase conjugated goat anti-human IgG at a dilution of 1:1000. Following

secondary antibody incubation, the wells were washed, and TMB substrate was added. After color

development, reaction was stopped with 0.2 M H_2SO_4 and absorbance was measured at 405 nm.

HIV-1 envelope sequences and phylogenetic analysis

HIV-1 envelope genes were PCR amplified from plasma viral RNA by single genome amplification and

directly sequenced commercially. Individual sequence fragments of SGA amplified amplicons were

assembled using Sequencher 5.4 (Gene Code Corporation). Subtyping for SGA sequences was

performed with REGA HIV subtyping tool (400bp sliding window with 200bp steps size). Inter-clade

recombination was examined with RIP 3.0 (Recombinant Identification Program) and with jpHMM.

Nucleotide sequences were aligned with MUSCLE in MEGA X. Maximum-likelihood trees were

computed with MEGA X using a general-time reversal substitution model incorporating a discrete

gamma distribution with 5 invariant sites. Evolutionary divergence within each infant's SGA

sequence was conducted in MEGA X and was calculated as number of base substitutions per site

from averaging over all sequence pairs. Analyses were conducted using the Maximum Composite

Likelihood model. The rate variation among sites was modelled with a gamma distribution (shape

parameter = 5). This analysis involved 18 nucleotide sequences. Codon positions included were

1st+2nd+3rd+Noncoding. All ambiguous positions were removed for each sequence pair (pairwise

deletion option). There were a total of 2622 positions in the final dataset.

Nucleotide sequence accession numbers

The SGA amplified HIV-1 envelope sequences used for inference of phylogeny and highlighter plots

are available at GenBank with accession numbers MN703343 – MN703404.

Cloning of autologous HIV-1 envelope genes and production of replication incompetent

pseudoviruses

Autologous replication incompetent envelope pseudoviruses were generated from AIIMS704,

AIIMS706, AIIMS709 and AIIMS743 (elite neutralizers) as described previously[13]. Briefly, viral RNA

was isolated from 140 µl of plasma using QIAamp Viral RNA Mini Kit, reverse transcribed, using gene

specific primer OFM19 (5` - GCACTCAAGGCAAGCTTTATTGAGGCTTA – 3`) and Superscript III reverse

transcriptase, into cDNA which was used in two-round nested PCR for amplification of envelope

gene using High Fidelity Phusion DNA Polymerase (New England Biolabs). The envelope amplicons

were purified, and ligated into pcDNA3.1D/V5-His-TOPO vector (Invitrogen). Pseudoviruses were

prepared by co-transfecting 1.25 µg of HIV-1 envelope containing plasmid with 2.5 µg of an envelope

deficient HIV-1 backbone (PSG3Δenv) vector at a molar ratio of 1:2 using PEI-MAX as transfection

reagent in HEK293T cells seeded in a 6-well culture plates. Culture supernatants containing

pseudoviruses were harvested 48 hours post-transfection, filtered through 0.4μ filter, aliquoted and

stored at -80°C until further use. $TCID_{50}$ was determined by infecting TZM-bl cells with serially diluted

pseudoviruses in presence of DEAE-Dextran, and lysing the cells 48 hours post-infection. Infectivity

titres were determined by measuring luminescence activity in presence of Bright Glow reagent

(Promega).

Flow cytometric analysis of antibody binding to cell surface expressed envs

1.25×10^{5} HEK293T cells seeded in a 12-well plate were transiently transfected with 1.25 μg of env-

coding plasmids (pcDNA3.1 with cloned env/rev cassettes) using PEI-MAX. 48 hours post-

transfection, cells were harvested and per experimental requirement, distributed in 1.5 ml

microcentrifuge tubes. For sCD4 triggering, 10 μg/ml of 2-domain sCD4 was added and incubated for

30 minutes at room temperature. For monoclonal antibody staining, 10 μg/ml of antibody was used

and titrated 2-fold in staining buffer. 100 μl of primary antibody (HIV-1 specific monoclonals) were

added to HEK293T cells expressing envs, and incubated for 30 minutes at room temperature. After

washing, 100 μl of 1:500 diluted PE conjugated goat anti-human Fc was added, and after 30-minute

incubation, cells were acquired on BD LSRFortessa X20. Data was analyzed using FlowJo software

(version v10.6.1).

Statistical analysis

Mann-Whitney U test and Kruskal-Wallis test were used for comparison of two and three

parameters respectively. All statistical analyses were performed on GraphPad Prism 8. A p-value of

<0.05 was considered significant.

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Data and materials availability: The SGA amplified HIV-1 envelope sequences used for inference of

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496

Fig. 1 – **Identification of plasma bnAb-inducing infants.** (A) Heatmap representing HIV-1 specific neutralization titres (inverse plasma dilution) of plasma nAbs from 47 infant samples against the 12-virus global panel. ID50 values are color-coded per the key given, with darker colors implying higher ID50 titres. (B and C) Comparison of breadth (pseudoviruses showing >50% neutralization at 1/50 plasma dilution) and geometric mean titres of infants with previously established cohorts of chronically infected children (labelled 'adolescents') and adults. (D) Modified neutralization scores predict geometric mean titres.

Fig. 2 – V2-apex targeting plasma nAbs predominate in HIV-1 infected infants. (A) Epitope mapping done by mutant viruses in HIV-25710_2_43 backbone for V2-apex (N160K), V3-glycan (N332A), CD4bs (R456W), Interface (A512W-G514W) and MPER (W672L-F673L). PG9 (V2-apex), 10-1074 (V3-glycan), 3BNC117 (CD4bs), PGT151 (Interface) and 10E8 (MPER) bnAbs were used as positive controls. (B) V2-apex (N160K) dependence of infants with diverse pseudoviral backbones. (C) V3-glycan (N332K) dependence of AIIMS706 plasma nAbs against diverse pseudoviral backbone. (D) Comparison of ID_{50} titres of AIIMS704 plasma (undepleted) and MPER-peptide depleted plasma against the 12-virus global panel.

Fig. 3 – Multivariant infection in infants with elite plasma neutralizing activity. (A) Maximum-
likelihood tree of env SGA amplicons (V2C5 region, HXB2 position 6690 – 7757) of circulating viral
variants from infants with elite and broad plasma neutralizing activity. BG505.W6M.C2 (Clade A,
labelled BG5, yellow) and HXB2 (Clade B, labelled HXB, brown) were used as outgroups. Distinct
multiple branches for AIIMS704 (red), AIIMS706 (green) AIIMS709 (blue), and AIIMS743 (deep pink)
were observed. (B) Maximum-likelihood tree of full length env sequences (HXB2 position 6225 –
8795) from the four elite neutralizers (AIIMS704, red; AIIMS706, green; AIIMS709, blue; and
AIIMS743, deep pink). BG505.W6M.C2 (Clade A, labelled BG5, light pink) and HXB2 (Clade B, labelled
HXB, purple) were used as outgroups. The numerals at the node represent bootstrap value. The
horizontal scale bar represents genetic distance. nt, nucleotide.

Fig. 4 – Distinct circulating viral variants in infant elite neutralizers. (A – D) Highlighter plots with
maximum-likelihood trees of 40 SGA env sequences from each infant suggests productive infection
with more than 2 distinct viruses. Maximum-likelihood trees are color coded (AIIMS704 – red,
AIIMS706 – Green, AIIMS709 – Blue and AIIMS743 – Deep pink). Colored hash marks on each
highlighter plot represent nucleotide difference (A – green; T – red, C – blue, and G – orange)
compared to the sequence at the top of the plot. The horizontal scale bar represents genetic
distance. nt, nucleotide.

Fig. 5 –Neutralization profile and antigenic characteristics of pseudoviruses from infant elite neutralizers. (A) Neutralization susceptibility of circulating viruses from elite neutralizers to autologous plasma nAbs and known bnAbs was assessed using TZM-bl cells. The potency of plasma and bnAbs is color coded per the key given. Most potent neutralization was seen with V2-apex targeting bnAbs. (B – E) Surface binding assay with varying concentration of trimer specific V2-apex targeting bnAbs (PGDM1400, CAP256.25), V3-glycan targeting bnAb 10-1074, gp120-gp41 interface targeting bnAb PGT151, CD4-induced nnAbs (17b and A32, in presence and absence of sCD4), and

543 gp120 outer domain targeting bnAb (2G12, in presence and absence of sCD4). All binding
544 experiments were repeated thrice, and shown are the average MFI values. MFI, mean fluorescence
545 intensity.

Infant_ID	Neutralization Category	CD4 Count	Plasma Viral Load (Log)	SGA Amplicons (n)	Sex	Circulating Variant	env gene diversity	env divergence
AIIMS704	Elite	2592	5.59	41	M	4	2.5 (0.6-3.2)	0.026
AIIMS706	Elite	1652	5.62	40	M	3	2.4 (0.4-3.6)	0.029
AIIMS709	Elite	2142	5.33	40	M	4	15.1 (0.4-16.6)	0.097
AIIMS743	Elite	1540	5.81	40	M	4	2.6 (0.4-3.5)	0.022
AIIMS731	Broad	1228	5.62	35	M	1	0.6 (0.1-1.5)	0.002
AIIMS719	Broad	1634	5.07	35	F	1	0.3 (0.1-0.7)	0.004
AIIMS736	Broad	2105	6.04	37	F	1	0.6 (0.6-1.2)	0.002
AIIMS744	Broad	2595	5.89	38	M	1	0.6 (0.2-1.1)	0.002
AIIMS732	Broad	1698	6.31	35	M	1	0.7 (0.6-1.3)	0.003
AIIMS738	Broad	1642	4.59	36	M	1	0.6 (0.3-0.77)	0.003

Table 1 – HIV-1 env analysis of infant elite and broad neutralizers. Circulating variants were
estimated based on highlighter and bootstrapped neighbour-joining trees (Figure 3A – B and Figure
4A – D). env divergence (average evolutionary divergence) among SGA amplicons for each infant was
measured as the number of base substitutions per site from averaging over all sequence pairs within
each group. env diversity (mean genetic distance) for each infant is shown as median with range,
median (range). M – male, F – female.

Supplementary Materials

A

Infant ID	Age (M)	25710	25711	1428	16055	330.16.E6	SV21A	ST5B	329.14.B1	% Breadth	Geometric Mean Titres
AIIMS704	12	324	321	216	162	221	314	297	201	100	250
AIIMS706	9	209	189	254	138	152	369	164	164	100	195
AIIMS709	14	244	281	331	134	224	101	201	171	100	192
AIIMS743	14	357	227	291	113	247	101	252	204	100	207
AIIMS731	9	268	86	124	61	89	97	249	249	100	135
AIIMS719	12	321	310	258	136	112	164	102	118	100	178
AIIMS736	9	421	160	235	103	214	<50	<50	150	75	193
AIIMS744	14	297	89	103	117	144	118	<50	161	88	136
AIIMS732	7	112	110	259	141	137	132	94	112	100	139
AIIMS738	11	128	<50	<50	84	163	102	149	114	75	120
AIIMS721	24	181	66	114	88	162	164	<50	87	87	116
AIIMS703	9	114	247	88	74	84	78	<50	86	87	100
AIIMS705	6	158	87	118	72	87	81	<50	<50	75	97
AIIMS707	24	126	176	<50	<50	<50	91	146	<50	50	131
AIIMS733	12	254	<50	177	<50	264	<50	<50	<50	38	228
AIIMS742	19	114	<50	247	89	110	<50	<50	58	63	110
AIIMS716	24	102	<50	<50	<50	156	64	<50	<50	38	101
AIIMS720	9	135	<50	<50	<50	77	91	<50	<50	38	98
AIIMS702	24	89	<50	147	<50	88	82	<50	<50	50	99
AIIMS727	8	112	157	88	<50	105	<50	<50	<50	50	113
AIIMS735	8	118	138	68	<50	98	<50	<50	<50	50	102
AIIMS741	9	186	<50	214	<50	88	<50	<50	<50	38	152
AIIMS711	7	174	<50	152	64	<50	74	<50	<50	50	106
AIIMS717	8	115	97	<50	86	<50	<50	<50	<50	38	99
AIIMS718	11	116	<50	73	89	<50	<50	<50	<50	38	91
AIIMS712	19	89	<50	141	<50	<50	<50	<50	<50	25	112
AIIMS737	8	76	<50	186	<50	<50	<50	<50	<50	25	119
AIIMS747	7	78	<50	<50	<50	<50	<50	<50	<50	13	78
AIIMS713	12	91	<50	86	<50	<50	<50	<50	<50	25	88
AIIMS715	8	98	74	<50	<50	<50	<50	<50	<50	25	85
AIIMS701	24	91	<50	<50	<50	<50	<50	<50	<50	13	91
AIIMS722	13	98	<50	<50	<50	<50	<50	<50	<50	13	98
AIIMS723	12	89	<50	<50	<50	<50	<50	<50	<50	13	89
AIIMS724	14	78	<50	<50	<50	<50	<50	<50	<50	13	78
AIIMS726	18	67	<50	<50	<50	<50	<50	<50	<50	13	67
AIIMS708	18	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS714	20	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS710	6	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS725	9	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS728	8	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS729	14	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS730	18	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS748	9	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS734	24	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS739	17	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS740	24	<50	<50	<50	<50	<50	<50	<50	<50	0	0
AIIMS746	24	<50	<50	<50	<50	<50	<50	<50	<50	0	0

B

C

ID50 key
>200
151-200
101-150
50-100
<50

Fig. S1 – Plasma Neutralization Activity of HIV-1 infected infants against viral isolates of Indian origin. (A) Heatmap representing HIV-1 specific neutralization titres (inverse plasma dilution) of plasma nAbs from 47 infant samples against the 8-virus Indian clade C panel. ID50 values are color-coded per the key given, with darker colors implying higher ID50 titres. (B and C) Comparison of geometric mean titres and breadth (pseudoviruses showing >50% neutralization at 1/50 plasma

 dilution) of infants with the 12-virus global panel and 8-virus Indian clade C panel. Data is shown as
562 median with range.

Fig. S2 – Neutralization scores calculated based on modified breadth-potency matrix were
predictive of geometric mean titres. (A) Infant plasmas are ranked based on geometric mean titres.
Green dots represent individual ID50 values against the 12-virus global panel, whereas the blue bar
represents geometric mean titres, red line shows non-linear curve fit of modified neutralization
score. Neutralization scores accurately predict geometric mean titres. (B) Validation of neutralization
score to predict elite and broad neutralizers (red – infants, blue – adolescents and green – adults).
Quartile distribution of neutralization scores show 75th percentile at a cut-off of 0.35 and 90th
percentile at 0.7. Elite neutralizers typically showed neutralization scores in the 90th percentile,
whereas broad neutralizers had a neutralization score in the range of 75th to 90th percentile.

Fig. S3 – AIIMS704 had MPER directed plasma bnAbs. (A) Binding ELISA against MPER-C peptide
using undepleted and MPER-C depleted AIIMS704 plasma showed efficient adsorption and depletion
of anti-MPER plasma bnAbs. (B) 2F5, an anti-MPER bnAb was used as positive control. (C) ID50
values of AIIMS704 undepleted and MPER-C depleted plasma against the 12-virus global panel.
MPER depletion resulted in 2-fold reduction in GMT titres.

Fig. S4 – Influence of host and viral parameter on neutralization breadth. Correlation between (A) duration of infection, (B) CD4 T-cell counts and (C) viral load with neutralization scores for infants with discernible neutralization activity. Infants that showed no neutralization against the 12-virus global panel were excluded from analysis.

Fig. S5 – Viral population in infant elite neutralizers is highly divergent. (A) Average evolutionary divergence (nucleotide substitutions per site) among SGA amplicons for infant elite and broad neutralizers. The number of base substitutions per site from averaging over all sequence pairs within each group are shown. Analyses were conducted using the Maximum Composite Likelihood model [1]. The rate variation among sites was modelled with a gamma distribution (shape parameter = 5). (B) Statistical comparison between elite and broad neutralizers was conducted by Mann Whitney U test. ** represent a p-value of 0.0095.

Fig. S6 – Frequency plot of the V2-loop sequences of infant elite neutralizers. Weblogo plot showing the amino acid frequency plots of V2-loop (HXB2 amino acids 156 – 177) from SGA amplicons of elite neutralizers. Key glycan (N156 and N160) were well-conserved.

Neutralization	Score	Infants

Category		N (%)
Elite	0.7-1	4 (8.51)
Broad	0.3-0.7	6 (12.27)
Cross	0.1-0.3	9 (19.15)
Weak or none	<0.1	28 (59.57)

597 **Table S1 – Categorization of infants with varied viral neutralization activity.** Neutralization
598 categories were defined based on normalized neutralization score against the 12-virus global panel.
599 Scores of ≥0.7 were predictive of elite neutralization activity, scores in the range of 0.3 to 0.7 were
600 predictive of broad neutralization activity, and scores in the range of 0.1 to 0.3 were predictive of
601 cross neutralization activity. N = number of infants.

Infant ID	Clone ID	SGA Clones (Number)
AIIMS704	70401	1
	70402	2
	70403	3
	70405	4
	70406	1
	70408	1
	70411	2
	70412	2
	70414	3
	70416	7
	70421	14
AIIMS706	70607A	2
	70604B	6
	70606Z	4
	70607F	3
	70606F	2
	70607B	11
	70607C	6
	70606A	1
	70606B	5
AIIMS709	70912B	3
	70915B	3
	70931B	4
	70936B	3
	709312B	5
	709316B	21
	70942B	1
AIIMS719	71901A	2
	71904B	9
	71904D	16

	71905G	1
	71906F	7
AIMS731	73105B	4
	73105C	3
	73105H	14
	73106B	2
	73106F	13
	73106G	3
AIIMS732	73201A	3
	73201B	1
	73201C	24
	73201D	4
AIIMS736	73601A	11
	73604D	16
	73602H	2
	73603B	3
	73603D	6
AIIMS738	73801A	1
	73801B	5
	73801C	24
	73801D	5
AIIMS743	74311A	2
	74311B	7
	74311C	5
	74311G	4
	74311K	14
	74311N	8
AIIMS744	74401A	8
	74401C	5
	74401F	4
	74402D	11
	74402E	2

602   **Table S2 – Population frequency of SGA env amplicons from infant elite and broad neutralizers.**