

1 **Natural Killer cells dampen the pathogenic features of recall responses to influenza**
2 **infection.**

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20 Keywords: NK cell; influenza; lung; vaccine; diphtheria toxin; mice

21 **Abstract**

22 Despite evidence of augmented Natural Killer (NK) cell responses after influenza vaccination,
23 the role of these cells in vaccine-induced immunity remains unclear. Here, we hypothesized that
24 NK cells might increase viral clearance but possibly at the expense of increased severity of
25 pathology. On the contrary, we found that NK cells serve a homeostatic role during influenza
26 virus infection of vaccinated mice, allowing viral clearance with minimal pathology. Using a
27 diphtheria toxin receptor transgenic mouse model, we were able to specifically deplete NKp46+
28 NK cells through the administration of diphtheria toxin. Using this model, we assessed the effect
29 of NK cell depletion prior to influenza challenge in vaccinated and unvaccinated mice. NK-
30 depleted, vaccinated animals lost significantly more weight after viral challenge than vaccinated
31 NK intact animals, indicating that NK cells ameliorate disease in vaccinated animals. However,
32 there was also a significant reduction in viral load in NK-depleted, unvaccinated animals
33 indicating that NK cells also constrain viral clearance. Depletion of NK cells after vaccination,
34 but 21 days before infection, did not affect viral clearance or weight loss - indicating that it is the
35 presence of NK cells during the infection itself that promotes homeostasis. Further work is
36 needed to identify the mechanism(s) by which NK cells regulate adaptive immunity in influenza-
37 vaccinated animals to allow efficient and effective virus control whilst simultaneously
38 minimizing inflammation and pathology.

39 **Introduction**

40 Influenza viruses are a significant cause of respiratory tract infections, leading to seasonal
41 epidemics and unpredictable pandemics. Globally, influenza affects approximately 20-30% of
42 children and 5-10% of adults, resulting in 3-5 million cases of severe illness with up to 500,000
43 deaths, annually (WHO, 2014). The very young, old, and immunocompromised are at greatest
44 risk of succumbing to severe illness and death (Lewis, 2006). Currently, vaccination with live-
45 attenuated or inactivated influenza virus is the most effective method for reducing infections at
46 both the individual and population level (Cox and Subbarao, 1999; Ting et al., 2017).

47 Although adaptive immune responses play a key role in the resolution of influenza infection,
48 innate immune responses play an essential role in restricting virus replication and thus limiting
49 the scale of the initial infection (Iwasaki and Pillai, 2014). Amongst other innate immune players,
50 Natural Killer (NK) cells can kill virus-infected cells and help to orchestrate nascent adaptive
51 immune responses (White et al., 2008). Defects in NK cells are associated with increased
52 susceptibility to viral infections (Biron et al., 1989; Orange, 2002). NK cell killing is mediated by
53 cell surface cytotoxicity receptors (such as the natural cytotoxicity receptors NKp30, NKp44 and
54 NKp46) binding to viral components or stress-induced ligands on the surface of virus-infected
55 cells, leading to directed release of granzyme- and perforin-containing cytotoxic granules and/or
56 Fas/Fas-ligand interactions (Smyth et al., 2005). Additionally, cytokine (particularly interferon
57 (IFN)- γ) production by NK cells plays an integral role in shaping adaptive immunity (Vivier et
58 al., 2008; Wagstaffe et al., 2018). For example, NK-derived IFN- γ can activate dendritic cell
59 migration, thus promoting T cell priming (Ge et al., 2012). Evidence for the importance of NK
60 cells in influenza virus infection comes primarily from studies in mice, although conclusions vary
61 depending on the precise model employed (Table 1). For example, depletion of NK cells with

62 anti-asialo GM1 antibody in mice and hamsters resulted in increased morbidity and mortality
63 from influenza A virus infection (Stein-Streilein and Guffee, 1986). In addition, influenza
64 infection is lethal in mice lacking the NK cell specific receptor NKp46 (NCR1) (Gazit et al.,
65 2006), which has been reported to be a receptor for the influenza hemagglutinin (HA) protein
66 (Arnon et al., 2001;Mandelboim et al., 2001). Conversely, others have reported that NK cell
67 deficiency (whether through depletion with anti-asialo GM1 or anti-NK1.1, or due to a lack of
68 interleukin (IL)-15) reduced weight loss and increased survival (Nakamura et al., 2010;Abdul-
69 Careem et al., 2012;Zhou et al., 2013). Moreover, little is known regarding the role of NK cells in
70 vaccine-induced immunity to influenza. We set out, therefore, to address the role of NK cells
71 during acute influenza infection, before and after vaccination, using diphtheria-toxin (DT)
72 mediated ablation of NK cells in genetically modified mice in which NKp46 expression drives
73 expression of the DT receptor. Given that IL-2 produced from influenza-specific T cells is
74 dependent on the NK cell driven IFN- γ response in early influenza infection (He et al.,
75 2004;Wagstaffe et al., 2018), we hypothesized that NK cell ablation would impair viral clearance
76 and increase disease severity.

77

78 **Materials and Methods**

79 **Ethics statement**

80 All experiments were performed in accordance with United Kingdom (UK) Home Office
81 Regulations under Project License 70/8291 and were approved by the animal welfare and ethical
82 review board of the London School of Hygiene and Tropical Medicine (LSHTM).

83 **Mice**

84 C57BL/6J and C57BL/6-Gt(ROSA)26Sor^{tm1(HBEGF)Awai}/J mice were purchased from Jackson
85 Laboratories via Charles River (Tranent, UK). C57BL/6-NKp46:iCre^{+/+} mice were kindly
86 provided by Professor Eric Vivier (Centre d'Immunologie de Marseille-Luminy, Institut
87 Universitaire de France, France). C57BL/6-Rosa26iDTR^{+/+} and C57BL/6-NKp46:iCre^{+/+} mice
88 were crossed to generate F1 NKp46-iCre/Rosa26iDTR^{+/-} (referred to as NKp46-DTR). Groups of
89 NKp46-DTR mice were age- and sex-matched for all experiments, with influenza challenge
90 occurring between 8 and 10 weeks of age. Both sexes of the F1 generation were used in this
91 study, with 68 female and 106 male NKp46-DTR mice being used in total. The sexes of mice
92 used in each experiment are shown in the figure panels ({M} male, {F} female) and figure
93 legends. All mice were maintained in individually ventilated cages in a specified pathogen free
94 facility.

95 **Viral infection and vaccination**

96 Egg grown influenza A/California/4/2009 virus was kindly provided by Dr. John McCauley
97 (Crick Worldwide Influenza Centre, The Francis Crick Institute, London UK) at 128
98 hemagglutination units (HAU)/mL and stored at -80°C until use. For infections, mice were
99 anesthetized by intraperitoneal (i.p.) injection of ketamine (100 mg/kg)/xylazine (10 mg/kg) and
100 then inoculated intranasally (i.n.) with 30 µL of virus (0.5 HAU) diluted in Dulbecco's phosphate-
101 buffered saline (DPBS) (Gibco, Loughborough UK). Mock-treated control mice were inoculated
102 similarly with DPBS. Mice were monitored and weighed daily to assess infection and euthanized
103 at day 4 post-infection (p.i.), or if they reached the humane endpoint of 20% loss of body weight
104 at an earlier time point. Twenty eight days prior to challenge, some mice were vaccinated by i.p.
105 injection of the human 2015-2016 seasonal influenza vaccine (human Sanofi-Pasteur-MSD
106 inactivated trivalent influenza vaccine (split-virion) containing influenza haemagglutinin (HA),

107 including A/California/7/2009 (H1N1) pdm09-like strain NYMC X-179A), diluted 1:3 in DPBS
108 with 500 μ L per mouse with a final dose of 5 μ g of each HA.

109 **Depletion of NK cells**

110 For selective depletion of NK cells, NKp46-diphtheria toxin receptor (NKp46-DTR) mice were
111 injected i.p. with 1.25 μ g DT (diluted in 100 μ L DPBS) on days 25 and 28 post-vaccination.
112 Alternatively, mice were injected once with 2.5 μ g DT and allowed to recover for 3 weeks prior
113 to influenza challenge. Control (mock depleted) NKp46-DTR mice were injected i.p. with 100
114 μ L DPBS.

115 **Isolation of lung cells and viral supernatant**

116 Lungs were aseptically removed from euthanized mice and stored in 5 mL DPBS on ice until
117 processing. Lung single-cell suspensions were obtained using methods previously described
118 (Sauer et al., 2007). Briefly, isolated lungs were cut into 2-3 mm² sections and resuspended in 5
119 mL digestion solution of Roswell Park Memorial Institute (RPMI) 1640 Medium (Gibco)
120 supplemented with 5 mM GlutaMax (Gibco), 5% (v/v) fetal bovine serum (Gibco), and 1% (v/v)
121 Penicillin-Streptomycin solution (10,000 units/mL, Thermo Fisher Scientific, Loughborough
122 UK). Digestion solutions contained DNaseI (20 μ g/mL) (Roche, Basel Switzerland), and
123 LiberaseTM (0.2 digestion units/lung) (Roche). The lung suspensions were digested at 37°C for
124 60 minutes with gentle rotating and then processed on a gentleMACS dissociator (Miltenyi,
125 Surrey UK). Lung homogenates were then sieved through a 40 μ m nylon filter to remove debris
126 (BD Biosciences, Berkshire UK) and sieve washed with 5 mL DPBS. After centrifugation, an
127 aliquot of lung supernatant was frozen at -70°C for downstream viral qPCR and IL-6 protein
128 ELISA. Cell pellets were then resuspended in 5 mL ACK Lysis Buffer (Lonza, Edinburgh UK),
129 washed and resuspended with DPBS for flow cytometry.

130 **Flow cytometry**

131 Cells were stained in 96-well U-bottom plates as described previously (Mooney et al., 2015).
132 Briefly, cell suspensions were stained with antibodies to cell surface markers, fixed
133 (Cytofix/Cytoperm; BD Biosciences) and stained for intracellular markers. Antibodies and dyes
134 used for flow cytometry staining are shown in Table 2. Cells were acquired on an LSR II flow
135 cytometer (BD Biosciences) using FACSDIVA software. Data were analyzed using FlowJo V10
136 (Tree Star). Gates were initially set on singlets, followed by leukocytes (removing high SSC-A
137 and low FSC-A) and live cells. Subsequent gates were based on unstained and/or FMO controls.

138 **Viral burden determination**

139 Influenza burden in lung-purified ribonucleic acid (RNA) was determined by one-step
140 quantitative reverse transcription polymerase chain reaction (RT-qPCR) for the HA gene, as
141 previously described (Lam et al., 2010). RNA was isolated from 500 μ L of frozen lung cell
142 supernatant (PureLink Viral RNA Mini Kit, Thermo). As a standard, RNA was isolated from
143 stock virus (128 HAU/mL) and diluted 1:5 starting from 2.3 HAU equivalents per well. Purified
144 RNA (5 μ L of 25 μ L elution) was mixed with 5 μ L of TaqMan Fast Virus 1-step Master Mix
145 (Thermo), 7 μ L of ultra-pure water, and 1 μ L each of forward primer SWH1-1080 (0.8 μ M,
146 GATGGTAGATGGATGGTACGGTTAT), reverse primer SWH1-1159 (0.8 μ M,
147 TTGTTAAGTAATYTCGTC AATGGCATT), and probe SWH1-1128 (0.25 μ M, FAM-
148 AGGATATGCAGCCGACCT-NFQMGB) with amplification conditions: 55°C, 30min; 95°C,
149 2min; 40 cycles of 95°C, 15 sec and 60°C, 30sec, on a 7900HT Fast RT-PCR system (Applied
150 Biosystems, Loughborough UK). For viral burden from whole lung tissue, 500 ng of purified
151 RNA (as reported below) was assayed in the same manner as above.

152 **RNA analysis from tissue**

153 Whole lung tissue was stored in RNAlater (Ambion, Loughborough UK) at post-mortem
154 examination. RNA was extracted from tissue using RNeasy extraction kit (Qiagen, Manchester

155 UK) according to the manufacturer's instructions, using a TissueRuptor probe (Qiagen) for tissue
156 homogenization. RNA was then treated with DNaseI (Ambion) to remove genomic
157 deoxyribonucleic acid (DNA) contamination. For a quantitative analysis of messenger RNA
158 (mRNA) levels, 1 μ g of total RNA from each sample was reverse transcribed in a 20 μ L volume
159 (SuperScript IV VILO Master Mix; Thermo), and 2 μ L of complementary DNA (cDNA) was
160 used for each real-time reaction. RT-qPCR was performed using the primers listed in Table 3,
161 SYBR green (Applied Biosystems) and 7500 Real-Time PCR System (Applied Biosystems).
162 Data were analyzed using the comparative threshold cycle (cT) method (Applied Biosystems).
163 Target gene transcription of each sample was normalized to the respective levels of beta-Actin
164 mRNA and represented as fold change over gene expression in control animals.

165 **Plasma analysis**

166 Plasma was isolated by centrifugation of whole blood taken via cardiac puncture into a
167 heparinized syringe and stored at -70°C. Plasma IL-6 levels were determined by sandwich
168 enzyme-linked immunosorbent assay (ELISA) (Biolegend ELISA MAX Deluxe, London UK).
169 Values below the blank were reported at the limit of detection for statistical purposes. To
170 determine circulating influenza HA antibodies following influenza vaccination, a direct ELISA
171 was done. Here, flat MaxiSorp 96-well plates (Nunc) were coated overnight with the vaccine
172 (diluted 1:3000 in DPBS, or 0.01 μ g/mL HA) or live virus (1.28 HAU/mL) at 100 μ L. Using a
173 commercial ELISA reagent kit (eBioscience catalogue number BMS412, Loughborough UK),
174 plates were washed three times and blocked for 1 hour with 200 μ L of assay buffer. After
175 washing, 100 μ L of serially-diluted plasma was incubated at room temperature (RT) for 2 hours.
176 After washing, 100 μ L of sheep, anti-mouse immunoglobulin (Ig)-G horseradish peroxidase
177 (HRP)-linked secondary antibody (Amersham catalogue number NA931, GE Healthcare,
178 Buckinghamshire UK) diluted 1:4000 was incubated at RT for 2 hours before final washes and

179 3,3',5,5'-Tetramethylbenzidine (TMB) substrate development. Absorbance was determined at 450
180 nm by SpectraMax M5 microplate reader (Molecular Devices, Wokingham UK). Vaccine take
181 was confirmed for all vaccinated mice with HA-IgG ELISA at 1:100 dilution of plasma.

182 **Histopathology**

183 Sections were cut at 4 μ m thickness from formalin-fixed, paraffin-embedded whole lung tissues
184 and stained with hematoxylin and eosin (H&E) by the University of Edinburgh Easter Bush
185 Pathology Laboratory and scored, in a blinded fashion, for inflammation (vasculitis,
186 bronchiolitis, and alveolitis), oedema (perivascular oedema, peribronchiolar oedema, and alveolar
187 oedema), leukocyte infiltration (perivascular, peribronchiolar, and in alveolar walls) and
188 neutrophil infiltration (perivascular, peribronchiolar, and in alveolar walls). Each criterion was
189 scored numerically as unremarkable (0), mild (1), mild to moderate (2), moderate (3), moderate
190 to marked (4), and marked (5). Photomicrographs of histological sections were taken using a 20x
191 objective with an Olympus BX41 microscope using an Olympus DP72 camera.

192 **Statistical analysis**

193 Differences between groups were analysed by Mann-Whitney U test on combined data from two
194 independent experiments, unless otherwise noted in legends. Spearman's correlation was used to
195 identify statistically significant associations between weight loss, influenza burden and lung
196 neutrophils. All analyses were conducted using GraphPad Prism 7.

197

198

199 **Results**

200 **Influenza vaccination reduces weight loss and viral burden in mice.**

201 To characterize the role of NK cells in influenza infection and immunization, we established a
202 model of acute influenza infection in C57BL/6J mice (Fig. 1). C57BL/6J mice were infected i.n.

203 with 0.5 HAU of influenza strain A/California/04/2009. Infected mice developed an acute
204 infection, losing 20% of their body weight by 4 days post-infection (Fig. 1A). Mice were also
205 vaccinated, intraperitoneally with the human Sanofi-Pasteur-MSD inactivated trivalent influenza
206 vaccine (split-virion), four weeks prior to influenza challenge. Vaccinated mice lost significantly
207 less weight (Fig. 1A) and had lower viral burden in their lungs (Fig. 1B) compared to
208 unvaccinated mice; the reduction in influenza burden in the lung correlated with reduced weight
209 loss (Fig. 1C).

210 Acute influenza infection was marked by a significant increase in the frequency of CD11b+ cells
211 in the lung, with a decrease in proportions of T (%CD3+) and B cells (%CD19+) (Fig. 1D). The
212 frequency of NK cells, identified by NK1.1 and NKp46 co-expression (Walzer et al., 2007;Zhou
213 et al., 2013), did not change after influenza infection (Fig 1D). However, there was a significant
214 increase in the side-scatter (SSC) of the NK cell population (Fig. 1E), indicating increased
215 activation (Brady et al., 2004;Skak et al., 2008;Marçais et al., 2014) and expression of NKp46, an
216 activating NK cell receptor reported to bind influenza-derived HA (Gazit et al., 2006;Achdout et
217 al., 2010;Glasner et al., 2012), was also increased in infected animals. Lastly, there was a
218 significant increase in the frequency of NK cell activation marker CD69 (Fig. 1E). Together,
219 these results suggest that the immune response to acute influenza infection, whether in a naïve or
220 vaccinated animal, is characterized by an influx of CD11b+ cells and activated NK cells.

221
222 **Depletion of NK cells prior to influenza challenge reduces lung virus burden but increases**
223 **weight loss in vaccinated mice.**

224 To evaluate the contribution of NK cells to vaccine-induced protection from influenza infection,
225 transgenic mice (NKp46-DTR) were selectively depleted of NK cells by injection of DT (Fig.

226 2A) three days before infection. DT treatment resulted in a robust depletion of NK cells from the
227 lung (Fig. 2B) but did not change circulating antibodies established by vaccination (Fig. 2C).

228
229 Depletion of NK cells prior to influenza challenge infection led to a significant decrease in
230 influenza burden in the lung, regardless of vaccination status (Fig. 2D). Viral burden was 2.9 fold
231 higher in naïve NK cell-intact mice than in naïve NK cell-depleted mice ($p=0.002$) and 2.6 fold
232 higher in vaccinated NK cell-intact mice than in vaccinated NK cell-depleted mice ($p=0.007$)
233 (Fig. 2D). However, infected NK-depleted animals lost more weight in the 4 days post infection
234 compared to NK-cell sufficient mice (Fig. 2E, male, and Fig. 2F, female). While unvaccinated
235 mice reached their humane endpoint of 20% weight loss by 6 days post infection, vaccinated
236 animals lost only 5% of their body weight and recovered to pre-infection weights by day 8 (Fig.
237 2G). However, vaccinated and infected animals which lacked NK cells had prolonged weight loss
238 which was more severe (10%) than in NK cell-intact vaccinated mice (5%) and recovered to
239 baseline only by day 14 (Fig. 2G).

240
241 **Reduction of IFN- γ with influenza and NK cell depletion.**

242 Given that vaccinated NK cell-depleted animals lost more weight than vaccinated NK cell-intact
243 animals despite a lower viral burden (Fig. 2), we next looked at inflammatory markers in the lung
244 and plasma. In agreement with data from lung supernatants (Fig. 2D), viral burden determined
245 from whole lung RNA preparations was significantly lower after NK cell depletion in
246 unvaccinated animals ($p=0.002$), and not in vaccinated animals ($p=0.08$) (Fig. 3A). While *Il6* and
247 *Ifn γ* expression were increased with influenza infection (Fig. 3B), regardless of vaccination status
248 and viral burden (Fig. 3A), transcripts of *Ifn γ* were lower in NK cell depleted mice than in NK-
249 intact mice (naïve $p=0.004$, vaccinated $p=0.057$).

250
251 Given that neutrophil influx into an influenza-infected lung can enhance viral control (Dienz et
252 al., 2012), but can also contribute to tissue damage through the release of extracellular traps
253 (Narasaraju et al., 2011), we wondered whether increased neutrophil activity in NK cell-depleted
254 mice might explain our findings. We therefore determined transcript levels of neutrophil
255 chemokines (*Cxcl1*, *Cxcl2*) and neutrophil secreted protein *Lcn2* but found no significant
256 differences in transcript concentrations between NK cell-deficient and NK cell-intact animals
257 (Fig. 3C). Lastly, we assessed circulating levels of IL-6 (which have been implicated in
258 neutrophil-mediated effects (Dienz et al., 2012)) and found a tendency towards lower plasma IL-
259 6 concentrations in both vaccinated and unvaccinated NK-depleted mice compared to NK cell-
260 intact mice (vaccinated $p=0.15$, unvaccinated $p=0.06$) (Fig. 3D), reflecting lower transcript levels
261 in the lung (Fig. 3B). At the protein level in whole lungs after enzymatic digestion, IL-6 is lower
262 in unvaccinated NK-depleted mice but not in vaccinated mice (vaccinated $p=0.74$, unvaccinated
263 $p=0.05$) (Fig. 3E).

264
265 **Influenza-mediated lung pathology in vaccinated and NK cell-depleted mice.**
266 Given the decreased viral burden but increased weight loss upon influenza challenge in
267 vaccinated NK cell-depleted mice compared to NK cell-sufficient mice (Fig. 2), we examined the
268 lungs for histological evidence of pathology. H&E stained lung sections were assessed for
269 pulmonary inflammation (vasculitis, bronchiolitis, and alveolitis), edema (perivascular,
270 peribronchiolar, and alveolar), and infiltrating lymphocytes and neutrophils (perivascular,
271 peribronchiolar, and alveolar) (Fig. 4; see Supplementary Table 1 for individual mouse data).
272 Influenza infection alone induces severe pathology (Fig. 4). Reduced viral load after vaccination
273 was accompanied by reduced pulmonary leukocyte influx ($p=0.024$), with a trend for reduced

274 pulmonary inflammation ($p=0.065$) and edema ($p=0.09$). NK cell depletion *per se* (i.e. in the
275 absence of infection) induced mild lung pathology with evidence of inflammation ($p=0.008$) and
276 leukocyte infiltration ($p=0.006$). However, after influenza infection, pathology was significantly
277 less severe in NK-depleted mice compared to NK cell-sufficient mice (inflammation $p=0.04$,
278 leukocyte infiltration $p=0.048$). Interestingly, while weight loss was more severe in vaccinated
279 and influenza challenged NK-depleted mice than in NK cell-sufficient mice, pathological
280 changes in the lungs were not significantly different between the two groups of mice (Fig. 4).

281
282 Finally, we quantified infiltrating leukocytes and neutrophils in the lung by flow cytometry (Fig.
283 5). Influenza infection led to an infiltration of activated (CD69+) CD3+ T cells into the lung; this
284 was not affected by NK-depletion but was attenuated in vaccinated mice (Fig. 5A). There were
285 no obvious effects of infection or vaccination on CD19+ B cell populations in the lung; the
286 modest apparent increase in B cell proportions in NK cell-depleted mice may simply reflect
287 distortion of cell percentages by the absence of NK cells (Fig 5B). However, given that influenza
288 infection increases the influx of CD11b+ cells into the lung (Fig. 1D), we further characterized
289 influx of both inflammatory monocytes (%Ly6C-high) and neutrophils (%Ly6G+) in the lungs of
290 NK-depleted mice. The modest reduction in leukocyte infiltration in the lung that was evident
291 from histology (Fig. 4), was reflected in reduced infiltration of inflammatory monocytes into the
292 lung in vaccinated NK cell-sufficient animals compared to unvaccinated animals ($p=0.005$) and
293 in infected NK cell-depleted animals compared to NK cell intact animals ($p=0.002$) (Fig. 5C) but
294 there was no additional effect of combining vaccination and NK cell depletion ($p=0.99$).
295 Neutrophil infiltration into the lung was also reduced by vaccination in NK cell intact mice ($p =$
296 0.007) but in this case, the effect was reversed by NK cell depletion such that neutrophil
297 infiltration was higher in vaccinated NK cell-depleted mice than in vaccinated NK cell intact

298 mice, $p=0.03$ for proportion of neutrophils (Fig. 5D) and $p=0.06$ for absolute counts (data not
299 shown), and correlated with weight loss (Fig. 5E). Taken together, these data suggest that the
300 increased weight loss observed with influenza infection in NK cell-depleted vaccinated mice
301 compared to NK cell intact vaccinated mice may be due, in part, to failure of NK cell-depleted
302 mice to control neutrophil infiltration into the lungs, despite reduced viral burden.

303
304 **Depletion of NK cells after vaccination, and subsequent repopulation, does not alter the**
305 **response to influenza challenge in mice.**

306 To determine if the effects of NK cell depletion on post vaccination immunity to influenza were
307 mediated by NK cells present at (and potentially affected by) vaccination (Goodier et al., 2016),
308 we depleted NK cells (by a single treatment with 2.5 μg of DT) 3 weeks after influenza
309 vaccination (as previously) but then waited another 3 weeks before challenging the mice (to
310 allow repopulation) (Fig. 6A). NK cells were initially (after 3 days) very effectively depleted by
311 the DT treatment and, as predicted, the NK cell compartment did partially recover by the time of
312 influenza challenge (Fig. 6B). In this case, removal of NK cells present at vaccination and
313 subsequent NK cell repopulation resulted in influenza infections that were not significantly
314 different from those in intact mice, with no significant differences in disease severity (weight
315 loss; Fig. 6C), lung viral load (Fig. 6D) or circulating IL-6 concentrations (Fig. 6E). This
316 experiment suggests that the effects of NK cell depletion on post vaccination immunity are due to
317 the lack of all NK cells, rather than a lack of NK cell populations that were primed or activated
318 by vaccination.

319

320

321 **Discussion**

322 The key findings of this study, summarized in Fig. 7, are that: (1) influenza vaccination is
323 effective in reducing viral burden and weight loss in mice; (2) in both vaccinated and
324 unvaccinated mice, NK cells ameliorate disease (weight loss) at the expense of delaying viral
325 clearance; (3) that the magnitude of the effect on disease is greater in vaccinated than
326 unvaccinated mice (2.5-fold greater weight loss vs 1.11-fold, respectively), and (4) the depletion
327 of any ‘memory’ NK cells that might have been induced by vaccination did not alter the response
328 to influenza virus in vaccinated mice. These data suggest that NK cells play an important
329 homeostatic role, allowing influenza virus to be controlled without causing severe disease, and
330 that this effect is enhanced by vaccination – indicating a role for NK cells in regulating the
331 adaptive immune response. Our study therefore supports previous data suggesting an important
332 role for NK cells in moderating adaptive immune effector mechanisms (Pallmer and Oxenius,
333 2016).

334
335 Previous work has suggested that the role of NK cells during influenza infection is dependent on
336 the infecting dose of the virus, with NK cells being protective during low dose infection (0.5
337 HAU) and pathogenic during high dose infection (5 HAU) (Zhou et al., 2013). Although we used
338 the A/California/4/2009 strain of influenza rather than PR8 strain used by Zhou *et al*, we used an
339 infecting dose that is similar to the low dose used by Zhou et al. (0.5 HAU) and saw a similar
340 trend. Interestingly, an opposite effect was seen during murine cytomegalovirus (MCMV)
341 infection where NK cells prevented pathology at high viral doses but enhanced disease at low
342 doses (Waggoner et al., 2011). In our study, NK cell-depleted unvaccinated male mice lost
343 significantly more weight than intact mice after infection (Fig. 2E); however this effect was not
344 seen in female mice (Fig. 2F) suggesting that NK cells moderate disease severity differently in
345 male and female mice despite the two sexes having similar viral loads. Regardless, in NK-dell

346 depleted and vaccinated mice we saw significant weight loss in both sexes (Fig. 2E and 2F),
347 suggesting that sex differences are ablated by vaccination.

348

349 The mechanisms by which NK cells moderate adaptive immune responses are not fully
350 understood. Our observation that NK cell depletion tended to increase neutrophil influx into the
351 lungs of influenza infected mice, and significantly increased neutrophil accumulation in lungs of
352 vaccinated mice, suggests that NK cells may serve to limit neutrophil migration to sites of
353 infection. This would be in line with studies showing that neutrophils are important in controlling
354 influenza virus infection (Tate et al., 2008;Tate et al., 2009;Tate et al., 2011) but can contribute to
355 severe pulmonary pathology (Tumpey et al., 2005;Perrone et al., 2008;Feng et al., 2015).

356

357 Another possibility is that NK cells regulate the accumulation of adaptive, cytotoxic (CD8+)
358 effector T cells at the site of infection, thereby reducing tissue damage but slowing viral
359 clearance. In RSV infection, for example, CD8+ cells are directly associated with weight loss and
360 depleting them reduces disease (Tregoning et al., 2008). NK cells have been shown to eliminate
361 activated CD4+ and CD8+ T cells in different model systems (Waggoner et al., 2011;Lang et al.,
362 2012;Pallmer and Oxenius, 2016), mediated by either perforin or TNF-related apoptosis-inducing
363 ligand (TRAIL) (Peppas et al., 2013;Schuster et al., 2014). However, thus far, in influenza
364 vaccination models CD8+ T cells appear to contribute to both reduced viral load and reduced
365 disease severity (Lambert et al., 2016). Unfortunately, we did not determine CD8 T cell
366 responses in this current study and therefore further work is needed to determine whether the lack
367 of NK cells removes the brake on adaptive T cell responses during influenza infection.

368

369 In a recent study (Zamora et al., 2017), murine NK cells licensed on self MHC were shown to
370 localize to infected lung tissue and produce IFN- γ after influenza A (strain PR8) infection.
371 Unlicensed NK cells, in contrast, were enriched in draining (mediastinal) lymph nodes, produced
372 GM-CSF and promoted dendritic cell infiltration and CD8⁺ T cell responses. It is therefore likely
373 that distinct subsets of NK cells may selectively promote inflammation or antiviral immunity.
374 Furthermore, this may differ depending on levels of adaptive immunity.

375

376 In humans, memory-like NK cells can be generated by cytokine or influenza virus pre-activation;
377 these cells show enhanced responses to cytokines or influenza virus upon re-stimulation
378 (O'Sullivan et al., 2015; Goodier et al., 2016; Wagstaffe et al., 2018). It is conceivable that prior
379 activation of NK cells, for example by vaccine-induced inflammatory cytokines, could influence
380 the migration and function of these cells upon viral challenge. Certainly, human nasal challenge
381 with Fluenz induces a local (nasal mucosal) innate cytokine signature with potential NK cell
382 activating capacity (Jochems et al., 2018). Nevertheless, we wondered whether a similar
383 phenomenon might also be apparent in this mouse model (where more precise dissection of the
384 underlying local mechanism of NK cell enhancement might be possible). Therefore, we depleted
385 NK cells immediately after vaccination (thus removing any putative 'memory' NK cells, defined
386 as cells with altered function after prior exposure), and rested the mice for three weeks to allow
387 repopulation before challenging with influenza virus. We observed no differences in the outcome
388 of influenza infection between these NK cell-depleted/repopulated vaccinated mice and intact,
389 vaccinated mice. These data suggest that "memory" NK cells are not induced by influenza
390 vaccination in mice or that any such cells are no more effective at moderating adaptive responses
391 to influenza than are "naïve" NK cells. In mice, cytokine-induced memory-like NK cells can be
392 adoptively transferred and maintained by homeostatic proliferation (Keppel et al., 2013), but have

393 to date not been demonstrated after influenza vaccination. Human studies have been limited to
394 peripheral blood and further investigations are needed to test how NK cells influence
395 inflammatory cellular infiltrates at the site of virus infection. Vaccination and challenge studies
396 (with local mucosal sampling for virus, cellular infiltrates and cytokine production) are now
397 needed to reveal the impact of vaccine-induced NK cell priming and “memory” generation on
398 virus replication and pathology.

399
400 There are some important caveats to keep in mind, however. Firstly, in contrast to previous
401 studies using mice lacking NK cells at the time of infection or vaccination, or depleted of NK
402 cells with antibodies on animals of different sexes (Table 1), we used an inducible, endogenous
403 method of NK cell depletion which may have fewer limitations (Walzer et al., 2007).
404 Nevertheless, DT treatment alone lead to some limited weight loss and pulmonary pathology,
405 likely due to death of approx. 15% of lung leukocytes, although there was no induction of
406 inflammatory cytokines nor any influx of immune cells into the lung. It remains a possibility,
407 therefore, that the mild inflammation induced by NK cell depletion may have affected the
408 outcome of the experiments. Further, sex differences in influenza-mediated lung pathology have
409 been noted (Klein et al., 2012). Secondly, the ethically-approved humane end point for our study
410 was deemed to be 20% weight loss (after which animals were euthanized), essentially precluding
411 determination of long-term survival after NK cell depletion but some studies have shown only
412 limited correlation between weight loss and long term survival (Abdul-Careem et al., 2012), with
413 viral challenge dose a greater predictor of survival despite similar weight loss kinetics (Zhou et
414 al., 2013). A further caveat of the Rosa-DT conditional ‘NK cell’ depletion system used here is a
415 potential parallel depletion of mature NKp46+ ILC1 and ILC3 cells (Cortez et al., 2015). Studies
416 in deficient *ncr1*^{gfp} mice, which are susceptible to lethal influenza A infection, demonstrate

417 systemic loss of ILC1, whilst maintaining NKp46 negative NK cell subsets (Gazit et al.,
418 2006;Wang et al., 2018). Although rare in the non-pathologic lung, our studies do therefore not
419 exclude a potential contribution of ILC-1s to inflammatory processes after influenza vaccination
420 or challenge infection. Lastly, characterization of cytokine protein levels in the bronchoalveolar
421 lavage fluid would be beneficial in understanding transcriptional changes observed in this study.

422
423 To summarize, we have demonstrated that NK cells play a homeostatic role in adaptive immunity
424 to influenza infection in mice. While the precise mechanism by which NK cells modulate
425 adaptive immunity remains unclear, their presence is crucial for resolving infection with minimal
426 immune pathology. Further studies to determine the mechanism(s) at play may inform the design
427 of safer and more effective influenza vaccines.

428
429 **Acknowledgements**
430 We thank Professor Eric Vivier (Aix-Marseille, France) for generously supplying breeding pairs
431 of C57BL/6J-NKp46:iCre^{+/+} mice, the LSHTM animal care staff for their technical assistance,
432 John McCauley and Andreas Wack (Francis Crick Institute) for advice on the mouse influenza
433 model and Christian Bottomley (LSHTM) for statistical advice.

434
435 **Author Contributions Statement**
436 Study concept and design: JM, MG, and ER; data generation and analysis: JM, TQ,
437 MK, AP, HG; drafting and revision of manuscript: JM, TQ, MK, AP, JT, MG, and ER;
438 critical appraisal and approval for submission: all authors.

439

440 **Conflict of Interest Statement**

441 The authors have no conflicts of interests to declare.

442

443 **Funding**

444 This work was funded by the UK Medical Research Council (MRC) and the UK Department for

445 International Development (DFID) under the MRC/DFID Concordat agreement (ER; G1000808).

446 MG was supported by the Innovative Medicines Initiative 2 Joint Undertaking (no. 115861) – a

447 joint undertaking receives support from the Europeans Union’s Horizon 2020 Research and

448 Innovation Programme and Association. Lastly, HG was supported by an MRC DTP studentship

449 (no. MR/K501281/1).

450 **Data Availability Statement**

451 The raw data supporting the conclusions of this manuscript will be made available by the authors,

452 without undue reservation, to any qualified researcher.

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- 634

635 **Figure Legends**

636

637 **Figure 1. Primary influenza infection induces rapid weight loss and NK cell activation in**
638 **lung but vaccination reduces weight loss and lung viral burden.** C57BL/6 female mice were
639 challenged intranasally with 5 hemagglutination units (HAU) of influenza A/California/4/2009
640 (Flu) or mock treated with DPBS (Mock). Four weeks prior to challenge, mice were vaccinated
641 intraperitoneally with the trivalent Sanofi influenza vaccine (Vac). **(A)** Weight loss over 4 days.
642 **(B-E)** At day 4 post infection, lungs were excised and cell-free supernatant was analyzed by
643 qPCR for influenza viral burden (plotted against a dose curve of Flu with known HAU, giving
644 HAU equivalents) **(B)** and plotted against weight loss **(C)**. Data fitted to a non-linear regression
645 line with R square value shown **(C)**. **(D-E)** Lung cell pellets were analyzed by flow cytometry for
646 **(D)** cellular abundance and **(E)** Natural Killer (NK) activation markers. Weight loss and viral
647 burden data are a pool of two independent experiments (n=8-9/group), while flow data is one
648 experiment (n=5/group). Dots represent individual mice with bars showing mean. Line data
649 shown as mean±SEM. Significance determined by Mann-Whitney U test.

650

651 **Figure 2. NK cell depletion reduces lung viral burden and increases weight loss in**
652 **vaccinated, influenza-challenged mice.** Transgenic C57BL/6 mice with NKp46 driven
653 expression of diphtheria toxin (DT) receptor were vaccinated 28 days prior to intranasal influenza
654 (flu) challenge, as in Fig. 1. **(A)** Immediately prior to infection, a subset of mice received two
655 intraperitoneal injections of DT (1.25 µg). **(B)** Levels of NK1.1+, NKp46+ NK cells in the lung,
656 as a proportion of singlet, live leukocytes at 4 days post infection (necropsy, nx). **(C)** Circulating
657 IgG antibodies to both the vaccine and challenge virus with or without DT treatment at 4 days
658 post infection. **(D)** Lung cell-free supernatants were analyzed by qPCR for influenza viral burden

659 (plotted against a dose curve of IFA with known HAU, giving HAU equivalents). **(E-F)** Weight
660 loss at day 4 in Male (E) and female (F) mice. **(G)** Weight loss followed for 14 days post
661 challenge. (D-E) A pool of two independent experiments (n=8-9/group), while (B-C) is one
662 experiment (n=5/group); all mice were male {M}. (F) A pool of three experiments (n=9-
663 13/group) and (G) one experiment (n=4-5/group); all mice were female {F}. Dots represent
664 individual mice with bars showing mean. Line data shown as mean±SEM. Significance
665 determined by Mann-Whitney U test, ns = not significant. * represents p<0.05.

666
667 **Figure 3. NK cell depletion reduces lung viral burden and lung IFN- γ in vaccinated,**
668 **influenza-challenged mice.** Four days post infection in the model described in Fig. 2A, **(A)**
669 Lung RNA was analyzed by qPCR for influenza viral burden (plotted against a dose curve of IFA
670 with known HAU, giving HAU equivalents per 5ug RNA tested). **(B-C)** Transcript levels of
671 inflammatory cytokine genes **(B)** *Il6* and *Ifn γ* and **(C)** neutrophil-related chemokines *Cxcl1* and
672 *Cxcl2*, along with neutrophil lipocalin protein (*Lcn2*). RNA induction normalized to
673 housekeeping gene β -actin and displayed as induction over mock-treated control mice. **(D)**
674 Plasma levels of IL-6 (pg/mL). **(E)** IL-6 levels in lung supernatants after whole lung enzymatic
675 digestion for single cell isolation and viral burden quantification. (A-E) A pool of two
676 independent experiments (n=5-9/group; (A-D) were female {F} and (E) were male {E} mice
677 used for single cell isolation). Dots represent individual mice with bars showing mean.
678 Significance determined by Mann-Whitney U test, ns = not significant. Spearman correlation
679 coefficient shown.

680
681 **Figure 4. NK cell depletion in vaccinated, influenza-challenged mice does not alter**
682 **pathology.** Four days post infection in the model described in Fig. 2A, whole lungs were excised,

683 stored in 10% formalin, and embedded on paraffin for hematoxylin and eosin staining. Pathology
684 was scored for: **(A)** Inflammation (vasculitis, bronchiolitis, and alveolitis), Edema (perivascular,
685 peribronchiolar, and alveolar), Leukocytes and Neutrophils (in perivascular space,
686 peribronchiolar space, and alveolar wall). Full scoring details in supplementary files. Pathology
687 scored from a pool of two independent experiments (n=5-9/group); all female {F} mice. Dots
688 represent individual mice with bars showing mean. Significance determined by Mann-Whitney
689 test, ns = not significant. **(B)** Representative photomicrographs of pathological changes observed.
690 Arrows, bronchiolitis with exudates in bronchiolar lumina. * Perivascular and peribronchiolar
691 edema. Scale bar in mock equals 50 μ m.

692

693 **Figure 5. NK cell depletion in vaccinated, influenza-challenged mice increases lung**
694 **neutrophil infiltration.** Four days post infection in the model described in Fig. 2A, whole lungs
695 were excised and single cells isolated for flow cytometry. **(A)** Proportion (%) of CD3+ T cells
696 and active (CD69+) CD3+ T cells, as determined from singlet, live lung leukocytes. Proportion
697 (%) of **(B)** CD19+ B cells, **(C)** Ly6C-high inflammatory monocytes, and **(D)** Ly6G+ neutrophils,
698 with neutrophils plotted against weight loss **(E)**. (A) T cell data from a pool of two independent
699 experiments (n=7-10/group); all mice were male {M}. (B-E) Data a pool of three independent
700 experiments (n=7-10/group of male {M} mice) and (n=4-5/group of female {F} mice). Dots
701 represent individual mice, with bar representing mean. Significance determined by Mann-
702 Whitney U test, ns = not significant. Spearman correlation coefficient and p-value shown.

703

704 **Figure 6. Depletion of NK cells after vaccination, and subsequent repopulation, does not**
705 **alter lung viral burden, disease severity or systemic inflammation after challenge.** **(A)**
706 Transgenic C57BL/6 mice with NKp46 driven expression of diphtheria toxin (DT) receptor were

707 vaccinated 42 days (d) prior to intranasal influenza (Flu) challenge and treated with DT (NK-
708 depleted) 21 days prior to challenge with necropsy (nx) at 4 days post influenza challenge. **(B)**
709 At 3 and 21 days post DT treatment, lungs were excised and single cells isolated for flow
710 cytometry for the proportion (%) of NK1.1+, NKp46+ NK cells. **(C)** Weight loss at 4 days post
711 influenza challenge. **(D)** Lung cell-free supernatants were analyzed by qPCR for influenza viral
712 burden (plotted against a dose curve of Flu with known HAU, giving HAU equivalents). **(E)**
713 Plasma levels of IL-6 (pg/mL). **(B)** NK cell depletion data a pool of two independent
714 experiments, (n=2/group of male {M} mice) and (n=5-6/group of female {F} mice). **(C-D)** Data a
715 pool of two independent experiment (n=4-10/group); all male {M} mice. Dots represent
716 individual mice with bars showing mean. Significance determined by Mann-Whitney test, ns =
717 not significant.

718

719 **Figure 7. Summarized model of changes seen with influenza vaccination and challenge with**
720 **NK cell depletion.** **(A)** Influenza virus infection in naïve mice results in robust lung pathology,
721 characterized by interferon (IFN)- γ mRNA and inflammatory monocytes (%Ly6C-high) influx
722 with rapid weight loss at 4 days post infection. **(B)** Vaccination with the human Sanofi trivalent
723 influenza vaccine reduces lung viral burden, pathology and monocytes with minor weight loss
724 (4%). **(C)** In unvaccinated, naïve animals which were infected with influenza, NK cell depletion
725 reduced viral burden, IFN- γ mRNA, pathology, and monocyte influx. However, these mice still
726 progressed to 20% weight loss by day 4 post infection. **(D)** In vaccinated mice which were
727 lacking NK cells, influenza virus burden was reduced even further and robust IFN- γ from
728 vaccination now lower, yet total pathology score was similar to NK intact mice – however with
729 PMNs now increased in frequency in the lung by flow cytometry. Despite a reduced viral burden,
730 these animals showed sustained weight loss at 11%. Model created with BioRender.

731

732 **Tables:**

733 Table 1: Methods of NK depletion during acute influenza infection.

Author	Mouse Strain	Mouse Sex	Flu Strain	Dose of Flu Challenge	NK Depletion	Main Findings
(Gazit et al., 2006)	129/Sv, C57BL/6	Not specified	A/PR/8/34 (H1N1)	3x10 ³ PFU (or 0.12 HAU), 60-70% survival in WT	NCR1-gfp knockout	Loss of NCR1 (NKp46) results in lethal infection (0% survival)
(Zhou et al., 2013)	C57BL/6	Female	A/PR/8/34 (H1N1)	5 HAU - 0% survival (in WT) 0.5 HAU - 30% survival (in WT) 0.0625 HAU - 80% survival (in WT)	Anti NK1.1*	High dose (5 HAU) infection + NK cell depletion improves survival. Medium dose (0.5 HAU) infection + depletion decreases survival. Low dose (0.0625 HAU) + depletion has no effect.
(Abdul-Careem et al., 2012)	C57BL/6 BALB/C	Male	A/PR/8/34 (H1N1)	5x10 ³ PFU (i.n.) 0% survival (in WT)	IL-15 ^{-/-} KO mice, anti-NK1.1*, anti-asialo GM1 [†]	NK cells depleted with anti-NK1.1 or anti-asialo GM1, and IL-15 ^{-/-} KO mice had increased survival and lower weight loss compared to WT
(Nakamura et al., 2010)	C57BL/6	Not specified	Mouse-adapted A/FM/1/47 (H1N1)	1000 PFU (i.n.) 0% survival in WT	IL-15 ^{-/-} KO mice [‡]	IL-15 deficiency improved survival of virus infected mice
(Stein-Streilein and Guffee, 1986)	B6D2F1	Female	A/PR/8/34 (H1N1)	0.125 HAU (intratracheal) 50% survival in WT mice.	Anti-asialo GM1 [†]	NK cell depleted mice (and hamsters) had decreased survival to infection and increased viral titers
(Nogusa et al., 2008)	C57BL/6	Male	A/PR/8/34 (H1N1)	10 ⁴ TCID50 HAU	Anti-NK1.1*	Mice depleted of NK cells lost significantly more weight than infected WT young mice and had greater viral loads.
(Kos and Engleman, 1996)	C57BL/6	Female	A/WSN	1000 HAU (i.p)	Anti-NK.1.1*	NK cells were essential for the induction of influenza virus-specific CTL responses

734 Note: Such methods of NK cell depletion have been shown not to be specific. *NK1.1 is also used as a marker of NKT cells and

735 [†]anti-asialo GM1 can not only recognize T cells, but administration can also lead to basophil depletion (Nishikado et al., 2011).

736 [‡]IL-15 KO mice, while primarily exhibiting an NK cell deficiency, also suffer from T cell deficiencies and impaired lymphocyte

737 trafficking (Lodolce et al., 1998;Itsumi et al., 2009). Therefore, we used NKp46 expression as the basis for NK cell depletion, as

738 it has been shown to be expressed by mouse NK cells (Biassoni et al., 1999), but also some type 1 innate lymphoid cells (Cortez

739 and Colonna, 2016).

740

741 Table 2: Antibodies and dyes used for flow cytometry

Antigen	Clone
Zombie Aqua Dead/Live	Not Applicable
CD16.2	9e9
NKp46 (CD335)	29A1.4
CD19	6D5
CD3	145.2C11
CD11b	M1/70
NK1.1	PK136
CD69	H1.2F3
CD107a	1D4B
Ly6C	HK1.4
Ly6G	1A8

742

743 Table 3: Primers used for lung qPCR

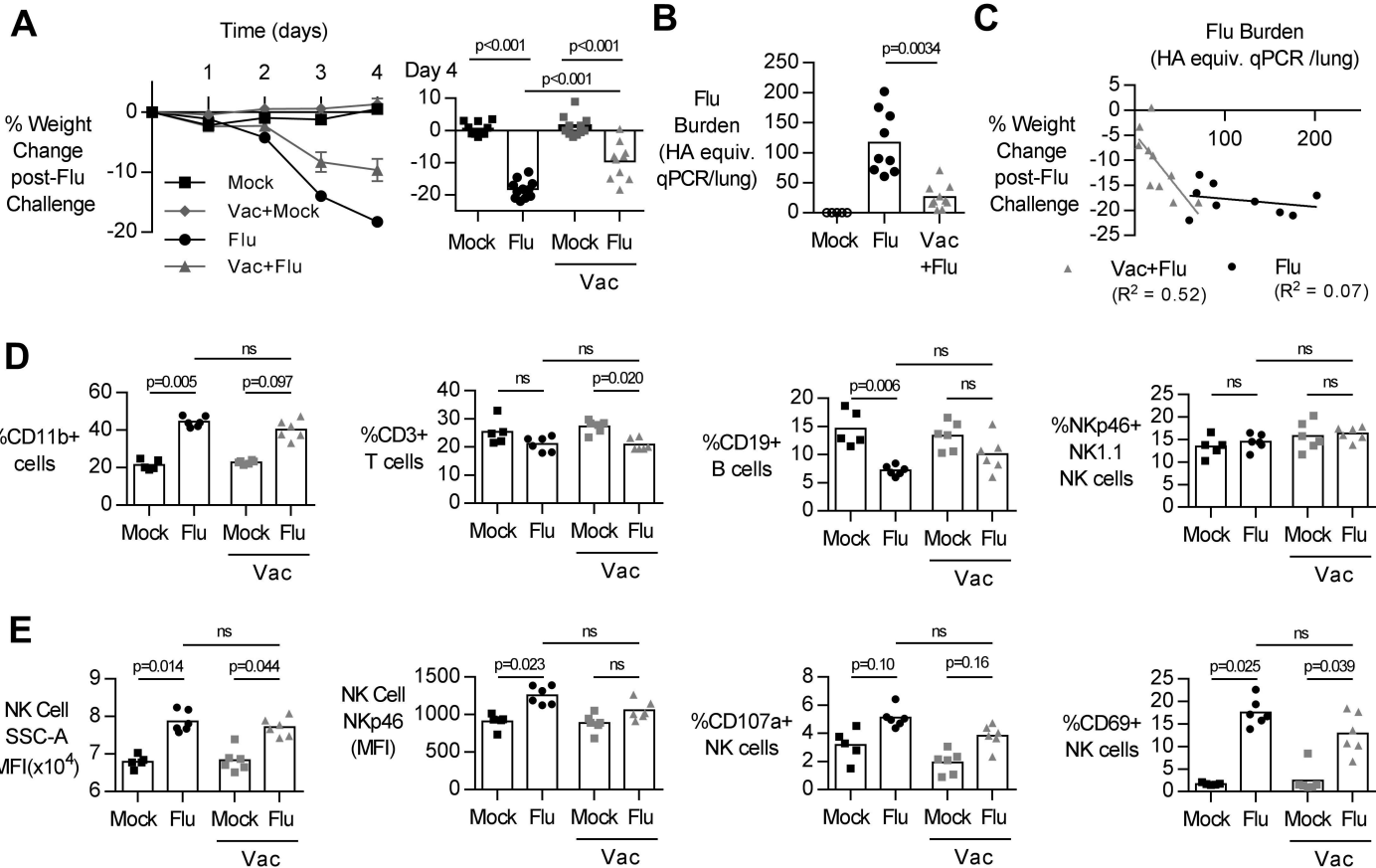
Gene	Forward (Sense)	Reverse (Antisense)
β -Actin	AGAGGGAAATCGTGCGTGAC	CAATAGTGATGACCTGGCCGT
IL-6	GCACAACCTTTTTCTCATTTCACG	GCCTTCCCTACTTCACAAGTCCG
IFN- γ	CAACAGCAAGGCGAAAAAGGATGC	CCCCGAATCAGCAGCGACTCC
CXCL1	GCTTGCCTTGACCCTGAAGCTC	TGTTGTCAGAAGCCAGCGTTCAC
CXCL2	CGCCCAGACAGAAGTCATAGCCAC	TCCTTCCAGGTCAGTTAGCCTTGC
LCN2	ACATTTGTTCCAAGCTCCAGGGC	CATGGCGAACTGGTTGTAGTCCG

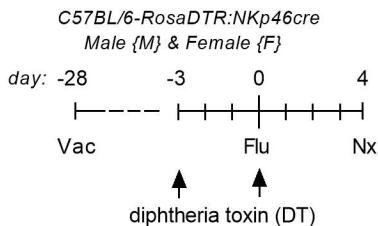
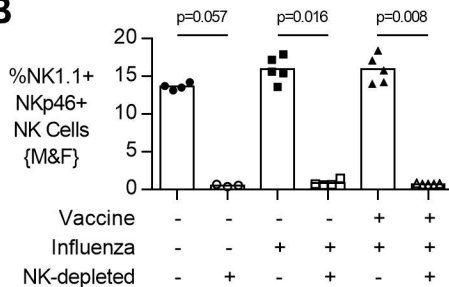
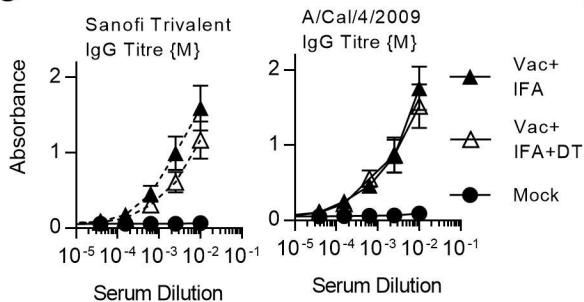
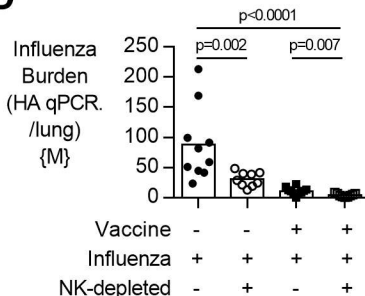
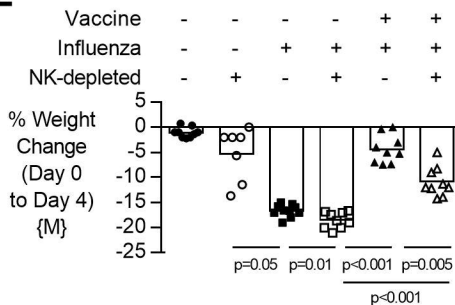
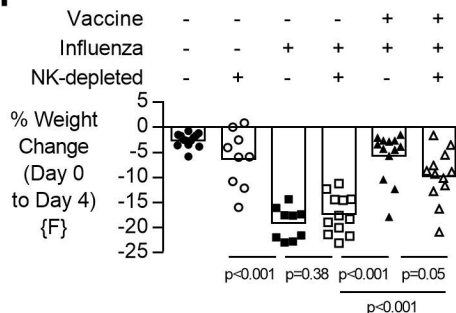
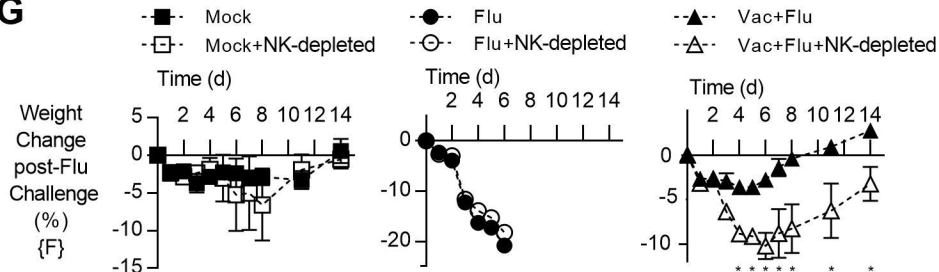
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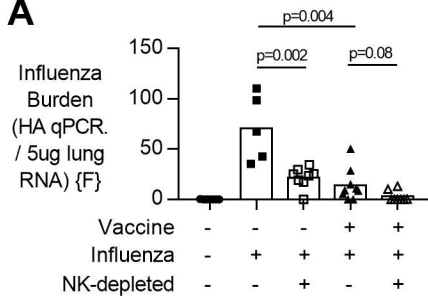
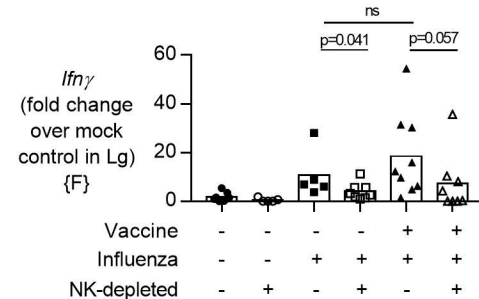
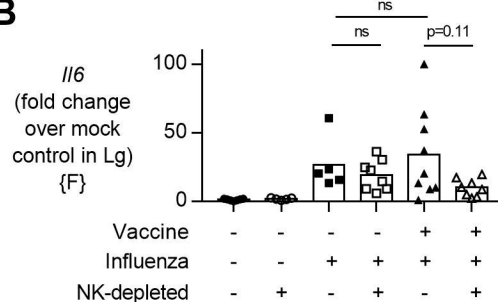
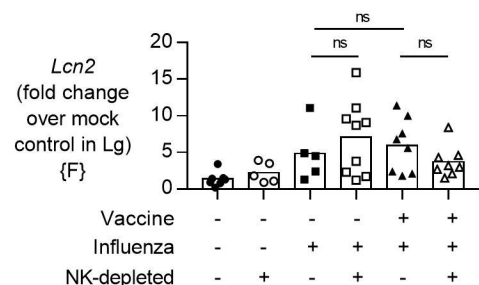
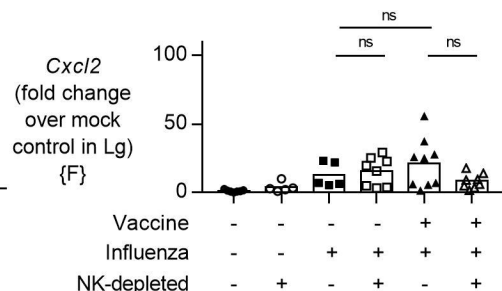
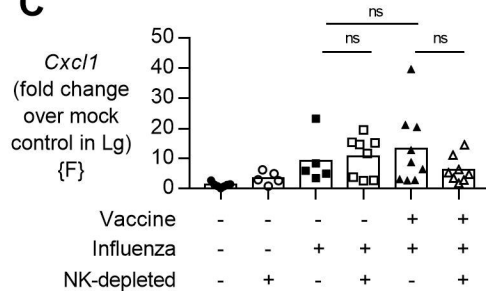
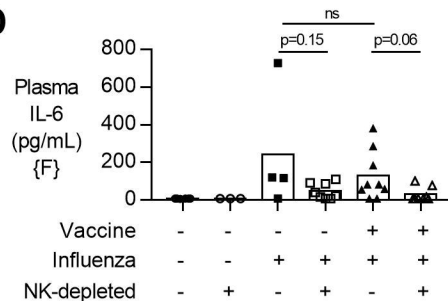
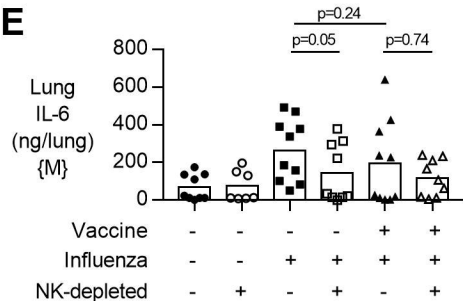
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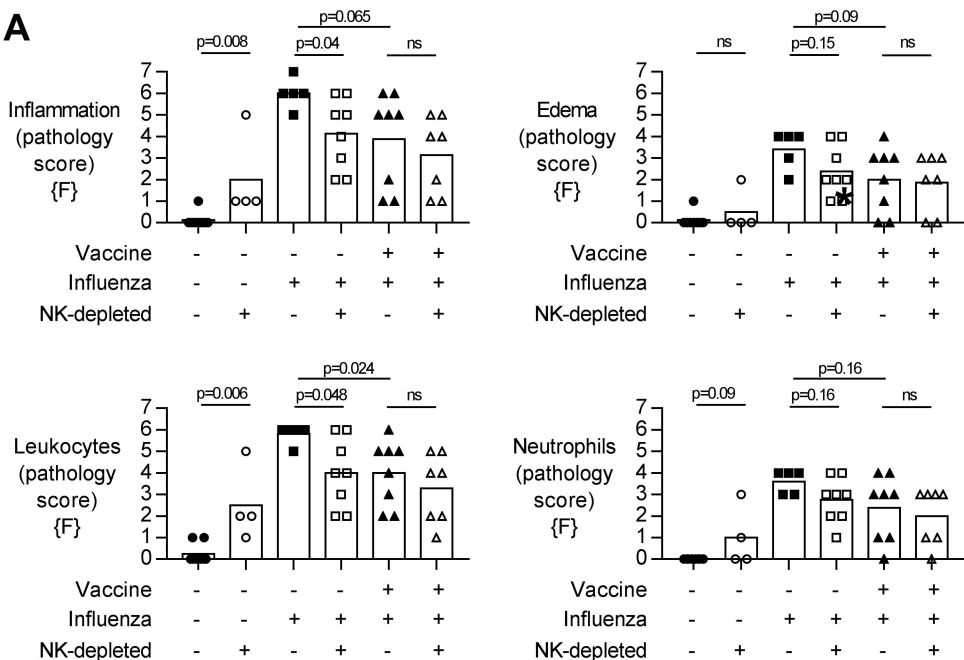
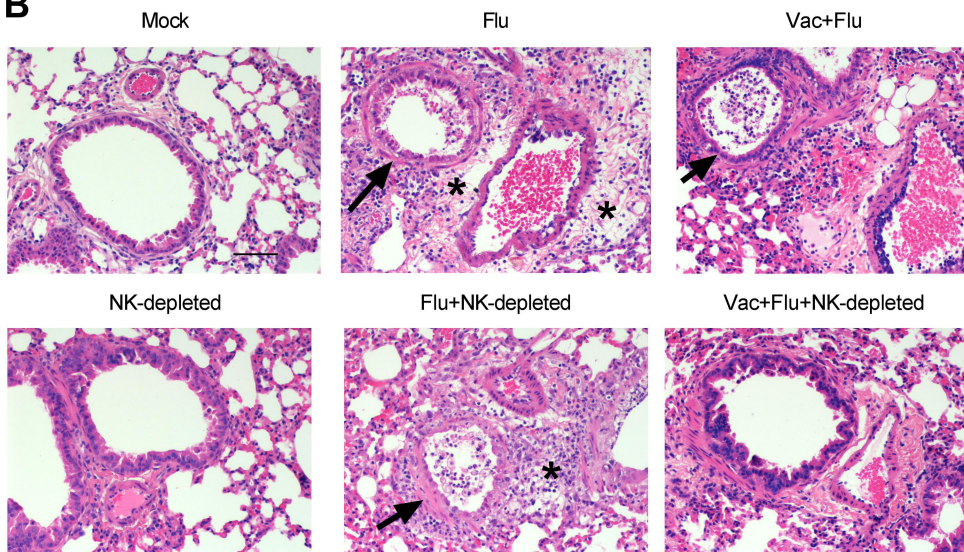
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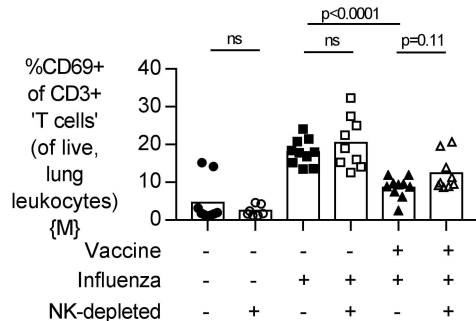
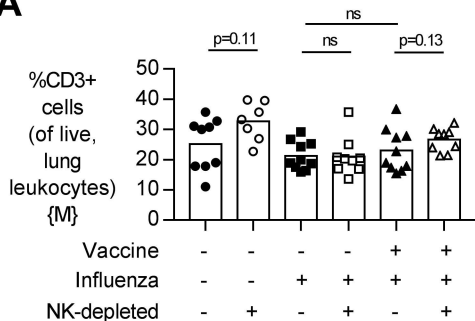
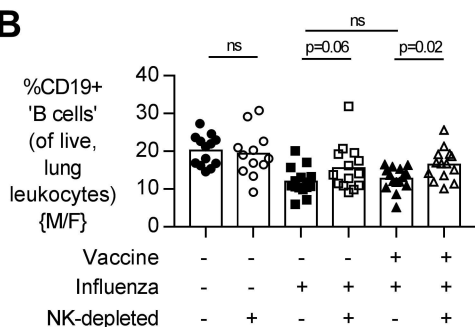
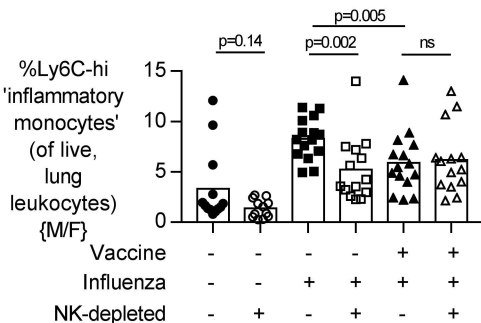
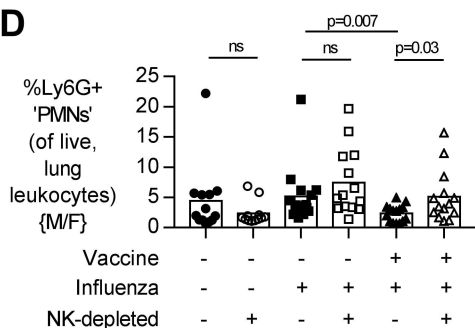
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