1	Suppression of DSB Formation by Polß in Active DNA
2	Demethylation is Required for Postnatal Hippocampal
3	Development
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## 1 Abstract

2 Genome stability is essential for brain development and function. However, the 3 contribution of DNA repair to genome stability in neurons remains elusive. Here, we 4 demonstrate that the base excision repair protein Polß is involved in hippocampal 5 neuronal differentiation via a TET-mediated active DNA demethylation during early 6 postnatal stages. Polß deficiency induced extensive DNA double-strand breaks (DSBs) in 7 hippocampal neurons, and a lesser extent in cortical neurons, during a period in which 8 decreased levels of 5-methylcytosine were observed in genomic DNA. Inhibition of the 9 hydroxylation of 5-methylcytosine by microRNAs miR29a/b-1 expression diminished 10 DSB formation. Conversely, its induction by TET1 overexpression increased DSBs. The 11 damaged hippocampal neurons exhibited aberrant neuronal gene expression profiles and 12 dendrite formation. Behavioral analyses revealed impaired spatial learning and memory 13 in adulthood. Thus, Polß maintains genome stability in the active DNA demethylation 14 that occurs during postnatal neuronal development, thereby contributing to differentiation 15 and subsequent behavior.

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## 17 Keywords

18 DNA repair, DNA demethylation, DNA double-strand break, hippocampal development,
19 learning and memory, neuronal differentiation.

## 1 Introduction

2 Genome stability is crucial for both genetic and epigenetic regulation underlying gene 3 expression in the brain throughout life. DNA repair is essential to maintain genome 4 stability and has been well characterized through studies on cancer and immune cell 5 differentiation in mammals (Alt et al, 2013; Lindahl & Wood, 1999). In the nervous 6 system, mouse models reveal that DNA repair dysfunction in neural progenitors 7 frequently leads to genome instability and neuronal apoptosis during the period of 8 neurogenesis (Deans et al, 2000; Gao et al, 1998; Gu et al, 2000; Lee et al, 2001; Lee et 9 al, 2009; Pulvers & Huttner, 2009; Sugo et al, 2000). In addition, genetic diseases related 10 to DNA repair defects include microcephaly, developmental disorders, and psychiatric 11 disorders (Madabhushi et al, 2014; McKinnon, 2013). Accumulation of somatic 12 mutations in neurons during development has been implicated in developmental brain 13 disorders such as autism and schizophrenia (McConnell et al. 2017; McKinnon, 2013; 14 Poduri et al. 2013). These studies suggest that DNA repair is likely to be critical for 15 normal brain development and function. However, while DNA repair has been 16 characterized in mitotic cells including neural progenitors, its role in neurons as 17 postmitotic cells remains unclear. In brain development, postnatal neuronal 18 differentiation is also a core process for circuit formation and activity-dependent 19 refinement (Flavell & Greenberg, 2008; Kolodkin & Tessier-Lavigne, 2011). Thus, it is 20 important to uncover novel aspects of DNA repair in neuronal differentiation and function.

21 Base excision repair (BER) is mainly involved in the removal of DNA base 22 damage and apurinic/apyrimidinic sites (Wilson et al, 2000). In addition, recent studies 23 have revealed that BER also plays a role in the active DNA demethylation process as an 24 epigenetic regulation (Schuermann et al, 2016; Wu & Zhang, 2010). In this process, 5-25 methylcytosine (5mC) is initially oxidized by TET enzymes and is converted to 5-26 hydroxymethylcytosine (5hmC) (Ito et al, 2010; Ito et al, 2011; Tahiliani et al, 2009); the 27 modified base is finally recognized by thymine DNA glycosylase and replaced with 28 cytosine by DNA polymerase  $\beta$  (Pol $\beta$ ) and the Xrcc1/Lig3 complex (Cortellino et al. 2011,

1 Cortázar et al, 2011, He et al, 2011, Weber et al, 2016). DNA methylation and 2 demethylation often play a central role in cell differentiation (Moore et al, 2013; Wu & 3 Zhang, 2017). In the neuronal epigenome, dynamic changes in the DNA methylation level 4 are observed during brain development (Lister, 2013; Sharma, 2016; Simmons et al, 5 2013) and affect neuronal gene expression, which is implicated in neurogenesis, 6 maturation, and plasticity (Feng et al, 2010; Moretti, et al, 2006; Sanosaka et al, 2017). 7 This regulation also contributes to learning and memory (Gontier et al, 2018; Kaas et al, 8 2013; Li et al, 2014; Rudenko et al, 2013).

9 Studies using conventional  $Pol\beta$ -deficient mice show increased neuronal 10 apoptosis during the period of neurogenesis in the developing nervous system rather than 11 in other tissues, and the mice die just after birth (Sugo et al, 2000). The p53-dependent 12 pathway regulates neuronal apoptosis after the final mitosis (Sugo et al, 2007; Sugo et al, 13 2004). Our previous study focusing on spatiotemporal roles using forebrain-specific conditional knockout Emx1-Cre/PolB<sup>fl/fl</sup> and Nex-Cre/PolB<sup>fl/fl</sup> mice indicates that PolB 14 deficiency in neural progenitors rather than in postmitotic neurons specifically leads to 15 16 an increase of DNA double-strand breaks (DSBs) associated with replication in the 17 embryonic cortex (Onishi et al, 2017). The accumulation of DSBs frequently induces 18 neuronal apoptosis and abnormal axon projection. Furthermore, impairment of the DNA 19 demethylation process is a potential cause of DSBs in Polß-deficient progenitors, 20 suggesting that epigenetic regulation via BER including  $Pol\beta$  in neural progenitors is 21 essential for neuronal survival and differentiation. However, how Polß contributes to 22 subsequent neuronal development, gene expression, and further cognitive function is not 23 fully understood.

To address this issue, we investigated the role of Pol $\beta$  using *Nex-Cre/Pol\beta^{n/n}* mice, in which postmitotic excitatory neurons lack Pol $\beta$  expression. We found that the mutant mice exhibited extensive DSB formation, but not apoptosis, in hippocampal neurons more so than in cortical neurons during early postnatal stages, in which the levels of 5mC and 5hmC in the genome decreased. *In vivo* manipulation of active DNA 1 demethylation during this period altered the extent of DSBs in Polβ-deficient neurons.

2 Furthermore, Polβ deficiency affected gene expression profiles and dendritic morphology

3 of developing hippocampal neurons, and impaired hippocampus-related learning and

4 memory. These findings suggest that genome stability mediated by  $Pol\beta$  is required for

5 active DNA demethylation leading to normal postnatal neuronal development and

- 6 memory function.
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## 1 Results

## Polβ-deficient neurons show accumulation of DNA double-strand breaks in postnatal development

4 To investigate the spatiotemporal role of Pol $\beta$  in postmitotic neuronal development, we 5 used Nex-Cre/Polb<sup>fl/fl</sup> mice (Onishi et al, 2017). In control Polb<sup>fl/fl</sup> mice, Polb 6 immunoreactivity was roughly ubiquitous throughout the neocortex and the hippocampus 7 at P2, and its subcellular localization was predominantly nuclear (Supplemental Figure 8 (Figure S) 1A, B). As expected, excitatory neurons including Ctip2-positive cells lost 9 Pol $\beta$  expression in the neocortex and the hippocampus of Nex-Cre/Pol $\beta^{l/l}$  mice (Figure 10 S1A, B). However, the cortical laminar organization and hippocampal cytoarchitecture in Nex-Cre/Pol<sup>β/l/l</sup> mice seemed to be similar to those in control Pol<sup>β/l/l</sup> mice (Figure S1A-11 12 C).

To examine whether Polß deficiency affects genome stability in neuronal 13 14 development, DSB formation was investigated at embryonic (E16.5 and 18.5) and 15 postnatal stages (P2, 15, 28 and 90) by immunohistochemical analysis with an antibody 16 against yH2AX, a DSB marker (Onishi et al, 2017, Rogakou et al, 1999, Rogakou et al, 1998). Strong signals of  $\gamma$ H2AX foci were frequently found in Nex-Cre/Pol $\beta^{fl/fl}$ 17 18 hippocampal pyramidal cell nuclei at P15, while only a few cells were focus-positive in 19 controls (Figure 1A, B). Quantitative analyses showed that both the number of foci in a 20 nucleus and the fraction of focus-positive cells were significantly larger in Nex-*Cre/Pol* $\beta^{fl/fl}$  pyramidal cells than in control *Pol* $\beta^{fl/fl}$  (Figure 1D, E). Consistent with these 21 22 observations, immunostaining with 53BP1, a protein involved in non-homologous end joining (NHEJ), also showed focus formation in Nex-Cre/Pol<sup>β/l/fl</sup> mice (Figure S1D), 23 24 strongly indicating that the foci were due to DSB formation (Schultz et al, 2000). The 25 developmental time course further demonstrated that yH2AX foci were undetectable 26 during the embryonic stages (Figure S2A) and appeared from P2 (Figure 2A). The signals 27 just peaked at P15 and then decreased until the 3-month adult stage (Figure 2A, C, D).

Similarly, a fraction of neocortical neurons in *Nex-Cre/Pol* $\beta^{n/n}$  mice also exhibited a transient increase of  $\gamma$ H2AX focus formation with a comparable developmental time course (Figure 1A, C-E and 2B, E, F), although the increase was less marked than in hippocampal pyramidal neurons (Figure 1D, E; the fraction of  $\gamma$ H2AX focus-positive cells at P15 was 79% in the hippocampus and 20% in the neocortex). These results indicate that Pol $\beta$  deficiency transiently increases DSB formation during postnatal neuronal development, although the extent of DSBs differs between brain regions.

8 A next question is whether DSB accumulation induces neuronal apoptosis as 9 observed in Emx1-Cre/Polp<sup>fl/fl</sup> mice (Onishi et al, 2017). Anti-cleaved caspase-3 immunohistochemistry was performed in Nex-Cre/PolB<sup>fl/fl</sup> mice. Unexpectedly, few 10 cleaved caspase 3-positive cells were observed in Nex-Cre/PolB<sup>fl/fl</sup> hippocampus and 11 12 cortex at P2 and P15, during which DSB formation increases. The abundance of apoptotic cells was similar to that in control  $Pol\beta^{fl/fl}$  mice (Figure S2C). Taken together, these results 13 14 suggest that Polß deficiency leads to genome instability, but does not affect cell survival, 15 in hippocampal and cortical neurons during postnatal development.

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## **17** Polβ is required for base excision repair in postmitotic neurons

18 Polβ is a key enzyme in BER but not in DSB repair (DSBR) (Sobol et al, 1996, Wilson 19 et al, 2000). The DSB formation in Pol $\beta$ -deficient neurons may be due to accumulation 20 of single-strand breaks (SSBs) as BER intermediates (Caldecott, 2003). To test this 21 possibility, immunohistochemical analysis with an antibody against XRCC1, an SSB 22 marker (Caldecott, 2003, El-Khamisy et al, 2003), was performed. Fluorescence intensity 23 of XRCC1 was significantly increased in P15 Nex-Cre/Polß<sup>fl/fl</sup> hippocampal CA1 pyramidal cell nuclei compared to control *PolB*<sup>fl/fl</sup> nuclei (Figure 3A-C). In addition, the 24 25 XRCC1 intensity increased even during normal hippocampal development from P2 to 26 P15 (Figure 3A, C), similar to developmental changes of the yH2AX foci in Nex-*Cre/Pol* $\beta^{fl/fl}$  mice (Figure 2). These results suggest the possibility that an abnormal level 27

1 of SSBs in *Nex-Cre/Pol* $\beta^{fl/fl}$  mice leads to DSB formation.

2 The sensitivity of Polß-deficient neurons to specific DNA-damaging agents was 3 examined to reveal the role of Polß in BER and DSBR. Primary cultured neurons from 4 E16.5 control Nex-Cre/Pol<sup>βfl/fl</sup> mouse or cortex were treated with 5 methylmethanesulfonate (MMS), which induces base damage (Beranek, 1990; Kulkarni 6 et al, 2008; Sobol et al, 1996), or etoposide, an inhibitor of topoisomerase II that induces 7 DSBs (Dobbin et al, 2013; Ross et al, 1984). Polß-deficient neurons showed higher 8 sensitivity to MMS than control (Figure 3D, E). In contrast, there was no significant 9 difference following the etoposide treatment (Figure 3D, E). In addition, yH2AX focus 10 formation after MMS treatment was significantly increased in neuronal cultures from 11 *Nex-Cre/Pol* $\beta^{fl/fl}$  mice compared to those from *Pol* $\beta^{fl/fl}$  mice (Figure 3F-H). Taken together, 12 these results demonstrate that Polß is required for BER rather than DSBR, suggesting that 13 highly accumulated SSBs are converted to DSBs in Polß-deficient neurons during 14 postnatal development.

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#### 16 Loss of Polβ in active DNA demethylation causes DSBs in developing neurons

17 To examine the possibility that active DNA demethylation was a cause of the DSB 18 formation in Polß-deficient neurons during postnatal development (Lister et al, 2013; 19 Sharma et al, 2016; Wu & Zhang, 2017), developmental changes in 5mC and 5hmC levels 20 were quantified between P2 and P28. Immunoblot analysis with specific antibodies 21 revealed that both 5mC and 5hmC levels decreased strongly in control  $Pol\beta^{fl/fl}$ 22 hippocampus between P2 and P15 (Figure 4A, B), during which the extent of SSB and 23 DSB formation increased (Figure 2, 3A-C). This suggests that many DNA demethylation 24 reactions occur on the genome during this period.

We examined whether inhibition of the active DNA demethylation process could affect DSB formation in Polβ-deficient hippocampal neurons *in vivo*. Overexpression of microRNAs miR29a and miR29b-1 has been reported to inhibit expression of several

1 genes involved in DNA methylation and demethylation processes, resulting in a decrease 2 in 5hmC level in transfected cells (Cheng et al, 2013; Hysolli et al, 2016). The miRNA expression vector was transfected into CA1 neurons in Nex-Cre/PolB<sup>fl/fl</sup> hippocampus 3 using an *in utero* electroporation technique. miR29a/b-1 efficiently decreased the 5hmC 4 5 level in the transfected hippocampal neurons (Figure 4C). The numbers of  $\gamma$ H2AX foci 6 and of focus-positive cells in yH2AX foci were significantly lower in the transfected neurons than in the surrounding untransfected neurons of P15 Nex-Cre/PolB<sup>fl/fl</sup> 7 8 hippocampus (Figure 4D-G), indicating that inhibition of active DNA demethylation 9 suppresses DSB formation.

10 Conversely, we tested whether induction of active demethylation promotes DSB 11 formation in Polß-deficient cortical neurons. TET1 catalytic domain (TET1CD), which 12 induces 5hmC more efficiently than full-length TET1 (Tahiliani et al, 2009), was 13 overexpressed in cortical neurons using in utero electroporation. As expected, the 5hmC level increased in both the transfected  $Pol\beta^{fl/fl}$  and Nex-Cre/Pol $\beta^{fl/fl}$  cortical neurons at P7 14 (Figure 4H). The yH2AX foci were increased in the transfected neurons compared to the 15 16 untransfected neurons of *Nex-Cre/Pol* $\beta^{fl/fl}$  cortex, but not of *Pol* $\beta^{fl/fl}$  cortex (Figure 4I). Quantitative analysis also demonstrated that both parameters of  $\gamma$ H2AX foci were 17 18 significantly increased in the transfected neurons relative to the surrounding neurons in Nex-Cre/Pol<sup>β/l/l</sup> cortex, indicating that induction of active DNA demethylation is 19 20 sufficient to promote DSB formation in Polß-deficient cortical neurons (Figure 4J, K).

21 Finally, to modulate the endogenous active DNA demethylation process, cultured cortical neurons from E16.5 Nex-Cre/PolB<sup>fl/fl</sup> and PolB<sup>fl/fl</sup> mice were treated with 22 23 vitamin C, which induces TET1 activity (Blaschke et al, 2013). Immunocytochemistry 24 with anti-5hmC antibody showed an apparent increase in 5hmC level following 24-h 25 vitamin C treatment in both control and Polß-deficient neuronal nuclei (Figure S3A). 26 Analysis of the DSB formation under this culture condition demonstrated that both the 27 number of yH2AX foci and the proportion of focus-positive cells were significantly increased in Nex-Cre/Pol<sup>β<sup>(l/fl</sup></sup> neurons but not in controls (Figure S3B-D). Together, these 28

1 results suggest that active DNA demethylation is a primary cause of DSB formation in

2 Polβ-deficient neurons during postnatal development.

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# 4 Polβ deficiency affects gene expression and dendrite morphology of hippocampal 5 neurons during postnatal development

6 To investigate the possibility that active DNA demethylation defects and/or DSB 7 formation alter gene expression in Polß-deficient neurons, RNA-seq analysis was performed with RNA extracted from P15 control Polphill and Nex-Cre/Polphill 8 9 hippocampus. Overall, 219 genes were found to be downregulated, and 199 upregulated, 10 in the Nex-Cre/Pol $\beta^{fl/fl}$  hippocampus compared to the control (Figure 5A; n = 3, p < 0.05, fold change > 1.2). A functional annotation analysis of these 418 differentially expressed 11 12 genes (DEGs) was performed using Ingenuity Pathway Analysis (IPA) software (Figure 13 S4A-C). Genes related to nervous system development and function ( $p = 1.41 \times 10^{-2}$ ) and to neurological diseases (p =  $1.26 \times 10^{-4}$ ), in addition to cancer, were significantly 14 15 enriched in the DEGs (Figure 5B, C). In the canonical pathways identified by the IPA, 16 signaling pathways related to cell cycle regulation, DNA damage response, and cancer 17 cells were primarily suggested (Figure 5D), which may reflect a response to DSB 18 formation in Polß-deficient neurons. In addition, among the top hits, the assembly of RNA 19 polymerase I complex (p = 0.012), the opioid signaling pathway (p = 0.013), and the 20 Wnt/Ca+ pathway (p = 0.016), which are known to relate to neuronal development and 21 learning and memory in the hippocampus, were included (Capitano et al, 2016; Inestrosa 22 & Varela-Nallar, 2015; Williams et al, 2001). These results indicate that Polβ deficiency 23 affects the regulation of genes involved in neuronal development and function. 24 Furthermore, a marked similarity was identified in the gene expression profiles between 25 the Polß-deficient hippocampus and TET3 shRNA-transfected hippocampal neurons (Yu 26 et al, 2015) using Illumina correlation engine software (Figure S4D), suggesting that 27 some of the overlapped genes are under the control of active DNA demethylation.

The altered gene expression in the Nex-Cre/PolB<sup>fl/fl</sup> hippocampus may affect 1 2 development of hippocampal neurons. Dendritic morphology of CA1 pyramidal neurons 3 was examined in the Nex-Cre/Pol $\beta^{fl/fl}$  and Pol $\beta^{fl/fl}$  hippocampus. To visualize the 4 morphology of individual neurons, sparse cell labeling was performed with the 5 Supernova system using *in utero* electroporation (Luo et al, 2016; Mizuno et al, 2014). 6 While both apical and basal dendrites of Nex-Cre/Polß<sup>fl/fl</sup> CA1 neurons appeared to be similar to those in the control (Figure 5E), dendritic width (Polß<sup>fl/fl</sup> vs Nex-Cre/Polß<sup>fl/fl</sup>; 7  $301 \pm 28 \ \mu\text{m}$ ,  $228 \pm 13 \ \mu\text{m}$ , p = 0.0204, ANOVA) and total dendrite length (*PolB*<sup>fl/fl</sup> vs 8 *Nex-Cre/Pol* $\beta^{fl/fl}$ ; 4775 ± 263 µm, 3997 ± 207 µm, p = 0.0248, ANOVA) were 9 significantly lower in *Nex-Cre/PolB*<sup>l/fl</sup> than in *PolB*<sup>l/fl</sup> neurons (Figure 5F). These results</sup></sup> 10 11 suggest that Polß is required for dendrite formation in the developing hippocampus. 12

# Nex-Cre/Polβ<sup>fl/fl</sup> mice show impaired spatial reference memory and contextual fear memory

To further examine the involvement of Polβ in neuronal functions, *Nex-Cre/Polβ<sup>fl/fl</sup>* mice 15 16 and their littermates were subjected to a comprehensive behavioral test battery (Shoji et 17 al, 2018). Significant behavioral differences between control and mutant mice were found 18 in several behavioral tests (Supplemental Table (Table S) 1). Notably, in the Barnes maze 19 test, which is widely used for assessing spatial learning and memory, the number of errors to reach the target was significantly larger in Nex-Cre/Pol $\beta^{fl/fl}$  mice than in control Pol $\beta^{fl/fl}$ 20 21 mice (Figure 6A; p = 0.0003). Consistent with this, the traveling distance and the latency 22 were also significantly different between the two groups (Figure 6B, C). In the probe test 23 at one day after final trial of the acquisition test, Nex-Cre/Pol $\beta^{n/n}$  mice spent significantly 24 less time around their targets compared to the control (Figure 6D,  $34.6 \pm 3.9\%$ ,  $20.7 \pm$ 25 2.1%, p = 0.0031), confirming impaired spatial learning and memory due to distal 26 environmental cues. This behavior was observed at one month after the acquisition test 27 (Table S1). In the contextual and cued fear conditioning test, which is used to assess fear 1 memory, no difference was found in freezing time during the conditioning phase and at 2 one day after the conditioning (Figure 6E, Table S1). However, Nex-Cre/PolB<sup>fl/fl</sup> mice showed a shorter freezing time in the contextual test at one month after the conditioning, 3 but not in the cued test (Figure 6F, G). In addition, Nex-Cre/Polß<sup>fl/fl</sup> mice also showed 4 reduced anxiety behavior in the elevated plus maze (Figure 6H, I). Taking into 5 6 consideration that spatial memory, contextual fear memory, and anxiety behavior are 7 dependent on hippocampus function (Jimenez et al, 2018; Kim & Fanselow, 1992; 8 Koopmans et al, 2003), these results suggest that the lack of Polß tends to impair 9 hippocampus-dependent functions.

10

## 1 **Discussion**

2 The present study demonstrated that the loss of Polß leads to DSB accumulation in 3 developing hippocampal neurons, and to a lesser extent in cortical neurons, which is 4 attributable to a failure of active DNA demethylation. The DSB accumulation in Polß-5 deficient neurons did not induce apoptosis, but affected gene expression and dendritic 6 morphology in hippocampal neurons. Furthermore, behavioral tests demonstrated that the 7 loss of Polß impaired hippocampal-dependent function. These results suggest that 8 genome maintenance by Polß contributes to hippocampal neuronal differentiation and 9 functional circuit formation via epigenetic regulation of gene expression (Figure 6J).

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## Polβ-dependent BER is involved in active DNA demethylation during postnatal development of the nervous system

13 Our results show that Pol $\beta$  plays a role in an active DNA demethylation process in 14 postmitotic neuronal development (Figure 4), giving a first insight into its function in 15 epigenome regulation in vivo (Weber et al, 2016). In the case of DNA demethylation in 16 postmitotic cells, the TET-dependent active process involving BER seems to be a major 17 reaction because the passive process coupled with DNA replication is dysfunctional 18 (Schuermann et al, 2016; Wu & Zhang, 2017). Indeed, the decrease in DNA methylation 19 level was paralleled by accumulation of SSBs, which may be BER intermediates, in the 20 developing hippocampal genome (Figure 3A, B, 4A, and B). The timing between P2 and 21 P15 is roughly consistent with previous studies (Lister et al, 2013; Simmons et al, 2013). 22 Data in the culture experiment (Figure S3A) suggest that vitamin C is also important to 23 promote active DNA demethylation during this period (Blaschke et al, 2013).

Moreover, Pol $\beta$  deficiency altered expression of neuronal genes that are involved in transcription regulation (Olig2, Hdac9), the cytoskeleton (Flna, Homer2), and synaptic transmission (Drd2, Chrna5) (Figure 5A-C). The altered gene expression patterns were observed consistently in all tested samples of hippocampus in *Nex-Cre/Pol\beta^{n/n}* mice,

1 meaning that the alterations are determinate rather than stochastic, as is different from 2 spontaneous DNA base damage (Figure 5A-C). Expression profiles of TET3 shRNA 3 knockdown hippocampal neurons are also similar to those of Nex-Cre/Polg<sup>fl/fl</sup> 4 hippocampus (Figure S4D) (Rudenko et al, 2013, Yu et al, 2015). These results support 5 the notion that  $Pol\beta$  contributes to specific gene regulation via active DNA demethylation 6 during hippocampal development. The greater abundance of DSBs in hippocampal than 7 in cortical neurons may reflect distinct gene expression profiles during this period (Figure 8 1). Therefore, it is likely that Polß-dependent active DNA demethylation is involved in 9 epigenetic regulation of nervous system development, although we need more studies 10 focusing on neuronal cell types, developmental stages, and neural activities (Gontier et 11 al, 2018; Kaas et al, 2013; Li et al, 2014; Yu et al, 2015; Zhu et al, 2016).

12

## Genome instability arising from Polβ deficiency leads to long-lasting effects on the genome and epigenome, rather than to apoptosis

15 We found that  $Pol\beta$  is required for the genome stability of postmitotic neurons (Figure 1). 16 Combining this observation with previous studies, Polß-dependent BER appears to play 17 a key role in suppressing DSB formation at two distinct developmental stages, namely 18 neurogenesis and postnatal neuronal differentiation. Why does the loss of Polß induce 19 DSBs in developing neurons? Polß deficiency generally increases nicks and/or gaps as 20 SSBs in the genome (Sobol et al, 1996). Indeed, SSBs increased significantly in Polβ-21 deficient neurons in vivo (Figure 3A-C). Although DNA replication can promote DSB 22 formation from a single SSB in the case of mitotic neural progenitors (Kuzminov, 2001; 23 Onishi et al, 2017), accumulation of SSBs may directly induce DSBs in postmitotic 24 neurons. Supporting this notion, base damage introduced by MMS treatment induced 25 DSBs in Polß-deficient neuronal cultures (Figure 3F–H). On the other hand, neuronal apoptosis was undetectable in Nex-Cre/Pol<sup>β/l/fl</sup> hippocampal neurons (Figure S2C). 26 27 Induction of apoptosis seems to be dependent on not only the extent of DSBs but also p53

1 pathway activity (Chong et al, 2000; Sugo et al, 2004).

2 The collapse of the active DNA demethylation process due to loss of Polß causes 3 DSB formation in postmitotic neurons (Figure 1). However, the extent of  $\gamma$ H2AX foci in 4 *Nex-Cre/Pol*<sup>β/l/l</sup> mice declined after a peak at P15 (Figure 2). This suggests that DSBs in 5 Polβ-deficient neurons are repaired by the DSBR pathway. Considering that postmitotic 6 neurons only have NHEJ activity, which is error-prone DNA repair compared to 7 homologous recombination in mitotic cells, mutations such as insertions/deletions are 8 likely introduced into the DSB sites (Lieber, 2010). It will be interesting to determine 9 whether DSBs and/or DSB-induced de novo somatic mutations are intensively introduced 10 into mC-rich enhancer and promoter regions in neuronal genes. Indeed, the human 11 neuronal genome and epigenome are drastically altered in the developing brain and this 12 probably has a long-lasting influence on the brain into adulthood. (Cai et al, 2014; Lodato 13 et al, 2015; Rehen et al, 2005; Stroud et al, 2017; Wei et al, 2016). Recent work has 14 revealed that such alterations is increased in psychiatric diseases, although the underlying 15 mechanism remains uncertain (Bundo et al, 2014; Fromer et al, 2014; Iossifov et al, 2014; 16 McConnell et al, 2013). Our findings indicate that active DNA demethylation-associated DNA damage is a potential cause of *de novo* somatic mutations and an aberrant 17 18 epigenome in brain developmental disorders.

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## 20 The role of Polβ in structure and function of the cerebral cortex

Polβ plays a role in the molecular bases underlying dendrite formation (Figure 5E, F). To date, TET1 and TET3 have also been shown to be involved in synaptic excitability and plasticity (Rudenko et al, 2013, Yu et al, 2015). These data suggest that DNA demethylation is necessary for neuronal development. On the other hand, it has also been demonstrated that the DNA methyltransferases Dnmt1, Dnmt3a, Dnmt3b, and the methyl-CpG binding protein MeCP2 are involved in dendritic arborization (Cohen et al, 2011; Feng et al, 2010; Golshani et al, 2005; Moretti et al, 2006; Zhou et al, 2006). Therefore, gene expression mediated by the bidirectional regulation of DNA methylation and
 demethylation may be crucial for neuronal development and circuit formation.

3 A comprehensive behavioral test with Nex-Cre/Polß<sup>fl/fl</sup> mice showed a 4 remarkable impairment in spatial reference memory and contextual fear memory (Figure 5 6A-G). This concurs with recent reports suggesting that active DNA demethylation is 6 involved in learning and memory in adult mice (Gontier et al, 2018; Kaas et al, 2013; Li 7 et al, 2014; Rudenko et al, 2013). However, considering that DSB accumulation in Polβ-8 deficient neurons is most prominent in early postnatal stages, we propose that DSBs 9 and/or DSB-induced *de novo* mutations arising from the impairment of active DNA 10 demethylation alter gene expression leading to circuit formation, and have a long-lasting 11 influence on learning and memory. Indeed, the functional impairment was striking in the 12 hippocampus (Figure 6, Table S1), in which DSBs accumulated extensively during the 13 early postnatal stages (Figure 1, 2).

14 While active DNA demethylation is revealed as a potential source of DNA damage during early postnatal stages in Nex-Cre/Pol $\beta^{n/n}$  mice, we cannot completely rule 15 16 out the possibility that oxidative stress causes DNA damage, leading to cognitive 17 dysfunction in the adult (Wilson & McNeill, 2007). Indeed, Polß-dependent impairment 18 of cognitive function is reportedly accelerated in aged brains and Alzheimer's disease 19 models (Sykora et al, 2015). Moreover, the expression of DNA repair enzymes including 20 Polβ gradually decreases with increased oxidative DNA damage associated with aging 21 (Lu et al, 2004; Nowak et al, 1990; Rao et al, 2001; Wilson & McNeill, 2007). Therefore, 22 although pathological changes with aging can affects the role of Pol $\beta$  in neurons, our 23 findings provide a key insight into its role during early postnatal development, which has 24 long-lasting cognitive and behavioral outcomes.

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#### 1 Methods

#### 2 Animals

All experiments were conducted under the guidelines for laboratory animals of the 3 4 Graduate School of Frontier Biosciences, Osaka University. The protocol was approved 5 by the Animal Care and Use Committee of the Graduate School of Frontier Biosciences, 6 Osaka University and Fujita Health University. Nex<sup>Cre/+</sup>Pol<sup>β<sup>fl/fl</sup></sup> (Nex-Cre/Pol<sup>β<sup>fl/fl</sup></sup>) mice 7 were generated as described previously (Goebbels et al, 2006; Gu et al, 1994; Iwasato et 8 al, 2000; Onishi et al, 2017). Both male and female mice were used in all experiments 9 expect RNA-seq analysis and the behavioral test. Noon of the day on which the vaginal 10 plug was detected was designated as embryonic day 0.5 (E0.5) and the day of birth was 11 designated as postnatal day 0 (P0). Genotyping was performed using the following 12 Polß 5'-CCACACCGAAGTCCTCTGAT-3', 5'primers: locus: 13 AGGCTGGCCTCAGACTCATA-3' and 5'-CTGGCTCACGTTCTTCTC-3'; Cre locus: 5'-14 5'-GCAGAACCTGAAGATGTTCGCGAT-3' and 15 AGGTATCTCTGACCAGAGTCATCC-3'.

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## 17 Cell cultures

18 Pregnant mice were deeply anesthetized with pentobarbital (50 mg/kg, i.p.). Cortices 19 were dissected from E16.5 embryos in ice-cold HBSS and then minced with fine scissors 20 in PBS. The minced tissues were incubated with 0.125% trypsin and 0.02% EDTA in PBS 21 for 5 min at 37°C, and then triturated thoroughly using a fire-polished Pasteur pipette. 22 After centrifugation, the cells were resuspended in DMEM/F12 medium (Life 23 Technologies) supplemented with B27 (Life Technologies) and 5% fetal bovine serum (Hyclone). A suspension containing  $2.0 \times 10^5$  cells was plated with culture medium on a 24 25 12 mm micro coverglass (Matsunami) in a multi-well dish (Thermo Scientific) coated 26 with 0.1 mg/ml poly-L-ornithine (Sigma, P3655). The cultures were maintained at 37°C 27 in an environment of 5% CO2 and humidified 95% air.

## 1 Plasmids

pFN21AE2295, containing HaloTag-human TET1 cDNA, was purchased from Promega. 2 To generate TET1 catalytic domain (TET1CD) expression vector pCAGGS-TET1CD, 3 4 TET1CD was amplified from pFN21AE2295 by PCR with the following primers: 5 TET1CD-F (+start) 5'-ATGGAACTGCCCACCTGCAGCTGTCT-3' and TET1CD-R 6 (+stop) 5'-TCAGACCCAATGGTTATAGGGCCCCG-3'. The PCR product was 7 subcloned into pGEM-T Easy vector (A1360, Promega). An EcoRI-digested fragment 8 containing TET1CD was then ligated to EcoRI-digested pCAGGS vector (Niwa et al, 9 1991). To generate pCAGGS-miR29a/b-1, the miR29a/b-1 cluster locus was amplified from mouse genomic DNA by PCR with the following primers: miR29a/b-1 F: 5'-10 11 TGTGTTGCTTTGCCTTTGAGAGGA-3' and miR29a/b-1 R: 5'-CACATAGGGATAGTCACCTAGCCTG-3'; the product was subcloned into pGEM-T 12 13 Easy vector. An EcoRI-digested fragment containing miR29a/b-1 locus was then ligated 14 to EcoRI-digested pCAGGS vector. Supernova vectors pTRE-Flpe-WPRE (pK036) and pCAG-FRT-stop-FRT-tRFP-ires-tTA-WPRE (pK037) were kindly gifted from Dr. 15 16 Iwasato (Luo et al, 2016). These vectors were cotransfected with pCAGGS-EGFP. All 17 plasmids were purified with the PureLink HiPure Plasmid Maxiprep Kit (Invitrogen), and 18 then dissolved in PBS.

19

#### 20 In utero electroporation

In utero electroporation was performed on E15.5 pregnant mice as previously described (Fukuchi-Shimogori & Grove, 2001, Tabata & Nakajima, 2001, Tomita, Kubo et al, 2011).
Pregnant mice were deeply anesthetized with isoflurane (Wako Chemicals) using inhalation anesthesia equipment (KN-1071-1, Natsume). Plasmids (1-3 μg) were injected to the lateral ventricle with a glass micropipette connected to an injector (IM-30, Narishige). Electric pulses were delivered with disc-type electrodes (LF650P3 or LF650P5, BEX) connected to an electroporator (CUY21, BEX). Five 35-V pulses of 50 1 ms duration were applied at intervals of 950 ms.

2

#### **3 Pharmacological treatment**

4 For a cell survival assay, cells at 3–4 DIV were incubated with culture medium containg 5 0-0.8 mM methylmethanesulfonate (MMS, Sigma, 129925) or 0-8 µM etoposide (Sigma, 6 E1383) for 1 h, washed once with DMEM/F12 medium, and allowed to recover in 7 conditioned medium for 24 h. To induce DNA base damage, cells at 14 DIV were treated 8 with culture medium containing 0.4 mM MMS for 1 h, and then fixed. To induce Tet-9 dependent DNA demethylation, cells at 14 DIV were treated with culture medium 10 containing 100 µg/ml L-ascorbic acid 2-phosphate (vitamin C, Sigma, 49752) for 24 h, 11 and then fixed.

12

#### 13 Immunostaining

14 Mice were deeply anesthetized and perfused with phosphate buffered saline (PBS, pH 15 7.4) followed by 2% paraformaldehyde (PFA) in 0.1 M phosphate buffer (PB, pH 7.4). 16 Their brains were postfixed in the same fixative on ice for 2 h, equilibrated with 25% 17 sucrose in PBS, frozen in OCT compound (Sakura Finetech), and then sectioned at 10 or 18 20 µm using a cryostat (CM1850, Leica). The sections were permeabilized and blocked 19 for 1 h at room temperature in buffer G (0.1 or 1.0% Triton X-100, 5% normal goat serum 20 (Vector Laboratories) in PBS). They were then incubated at 4°C overnight with the 21 following primary antibodies diluted in buffer G: rabbit polyclonal anti-cleaved caspase-22 3 (Asp175) (Cell Signaling, #9661) at 1:250, rabbit polyclonal anti-histone H2AX 23 phosphor Ser139 (Active Motif, AR-0149-10) at 1:200, rabbit polyclonal anti-53BP1 24 (Gene Tex, GTX102595) at 1:200, rabbit monoclonal anti-XRCC1 (Abcam, ab134056) 25 at 1:200, rat polyclonal anti-Ctip2 (Abcam, ab18465) at 1:800, rat monoclonal anti-GFP 26 1:1000. (Nacalai Tesque. GF090R) at and rabbit polvclonal anti 5-27 hydroxymethylcytosine (5hmC) (Active Motif, 39769) at 1:20000. Immunostaining of

1 Polß was performed as described previously (Onishi et al, 2017). For XRCC1 and 5hmC 2 immunostaining, the sections were treated with 10 mM sodium citrate buffer (pH 6.0) for 3 10 min at 98°C using an autoclave. For co-immunostaining with anti-5hmC and -GFP 4 antibodies, anti-GFP antibody was preincubated overnight at 4°C before the antigen 5 retrieval step. Primary antibodies were detected by incubation with the secondary 6 antibodies Alexa488-conjugated anti-rabbit IgG (A-11034, Invitrogen), Alexa488-7 conjugated anti-rat IgG (A-11006, Invitrogen), Alexa594-conjugated anti-rabbit IgG 8 (A21207, Invitrogen), Cy3-conjugated anti-mouse IgG (AP192C, Millipore), Cy3-9 conjugated anti-rabbit IgG (AP182C, Millipore), and Cy3-conjugated anti-rat IgG 10 (AP136C, Millipore), in all cases diluted at 1:400 in buffer G for 2 h at room temperature. 11 Finally, the sections were mounted with a medium containing 0.1% 4', 6-diamidine-2'-12 phenylindole (DAPI, Sigma), 1, 4-diazabicyclo [2, 2, 2] octane (Sigma) and 50 or 80% 13 glycerol in 50 mM Tris-HCl (pH 8.0).

14 For morphological analysis of dendrites, mice were perfused with 4% PFA in 0.1 M PB (pH 7.4). The brains were postfixed in the same fixative for 24 h at 4°C, and 15 16 equilibrated with 25% sucrose-PBS overnight at 4°C. The brains were cut into 200-µm 17 coronal sections using a vibratome (DTK-1000, D.S.K.). The free-floating sections were 18 permeabilized and blocked in buffer G for 1 h at room temperature. They were then 19 incubated with primary antibodies, rat monoclonal anti-GFP (Nacalai Tesque, GF090R) 20 at 1:2000 and rabbit polyclonal anti-tRFP (Evrogen, AB233) at 1:2000, in buffer G 21 overnight at 4°C. The sections were washed three times with 0.1% Triton X-100 in PBS 22 (PBST) for 1 h, and incubated with secondary antibodies in buffer G overnight at 4°C. 23 The sections were then washed three times with PBST for 1 h and mounted with DAPI-24 containing mounting medium.

Cultured cells were fixed with 4% PFA in PBS for 10 min at room temperature, washed three times with PBS for 10 min, permeabilized, and blocked in buffer G. The cells were then incubated overnight at 4°C in buffer G with the following antibodies: mouse monoclonal anti-Tuj1 (R&D Systems, MAB1195) at 1:1000, rabbit polyclonal

1 anti-histone H2AX phosphor Ser139 (Active Motif, AR-0149-10) at 1:200, and rabbit 2 polyclonal anti 5-hydroxymethylcytosine (Active Motif, 39769) at 1:20000. For 5hmC 3 immunostaining, the cells were incubated with 1 M HCl for 30 min at 37°C and washed 4 three times with PBS for 30 min before permeabilization. Primary antibodies were 5 detected by incubation for 2 h at room temperature in buffer G with the following 6 secondary antibodies: Alexa488-conjugated anti rabbit IgG (A-11034, Invitrogen) at 7 1:400 and Cy3-conjugated anti-mouse IgG (AP192C, Millipore) at 1:400. The cells were 8 mounted with DAPI-containing mounting medium.

9

## 10 Image analysis

11 Fluorescence images were obtained by confocal microscopy (ECLIPSE FN with EZ-C1; 12 Nikon) with  $10 \times /0.3$ ,  $20 \times /0.75$ , and  $40 \times /0.95$  objective lenses (Nikon). All images were 13 imported into ImageJ to adjust brightness and contrast. To acquire images of yH2AX and 14 XRCC1 in DAPI-stained nuclei, confocal z-stack images were collected at 0.5-µm 15 intervals with a  $40 \times$  objective lens. For focus counting, noise in the images was removed 16 by Gaussian filter and subtraction from the background, and foci were detected with the 17 "Find Maxima" tool in ImageJ. To obtain images of RFP-labeled apical and basal 18 dendrites in dorsal hippocampal CA1 regions, confocal z-stack images were collected at 19 1- $\mu$ m intervals through the 200- $\mu$ m sections using a 20× objective lens. Quantitative 20 analysis of dendrite morphology was performed using the ImageJ plug-ins Simple Neurite 21 Tracer and L-measure (Scorcioni et al, 2008).

22

## 23 Immunoblot analysis

Genomic DNA was extracted using a DNeasy Blood & Tissue kit (QIAGEN). The DNA
was eluted with TE buffer and stored at -30°C until required. The genomic DNA was
denatured in 20 mM Tris-HCl (pH 8.0) for 10 min at 98°C and chilled on ice. Serially
diluted DNA samples (1000, 500, 250, 125 ng/200 µl) were blotted onto a positively

1 charged nylon membrane (Millipore, INYC00010) using a Bio-Dot slot blot apparatus 2 (Bio-Rad). The membrane was air-dried, and UV-crosslinked using a CL-1000 3 Ultraviolet Crosslinker (UVP). The membrane was stained with 0.02% methylene blue 4 (Nacalai Tesque) for 30 min at room temperature as a loading control. The membrane 5 was then washed with Tris-buffered saline containing 0.1% Tween-20 (TBS-T) and 6 blocked in 5% nonfat dry milk (Cell Signaling Technology, #9999) diluted with TBS-T 7 for 1 h at room temperature. The membrane was incubated with the following primary 8 antibodies diluted in 5% nonfat dry milk/TBS-T overnight at 4°C: rabbit polyclonal anti-9 5-hydroxymethylcytosine antibody (Active Motif, 39769) at 1:5000 and mouse monoclonal anti-5-methylcytosine antibody (Active Motif, 39649) at 1:2000. Primary 10 11 antibodies were detected by incubation with the following secondary antibodies diluted 12 in 5% skim milk in TBS-T for 2 h at room temperature: peroxidase-conjugated anti-rabbit IgG antibody (Jackson ImmunoResearch, 711-035-152) at 1:5000 and peroxidase-13 14 conjugated anti-mouse IgG (Nacalai Tesque, 01803-44) at 1:5000. The signal was 15 visualized by chemiluminescence with ECL Select western blotting detection reagent (GE 16 Healthcare) and imaged by LAS-3000UV mini (Fujifilm).

17

#### 18 **RNA seq analysis**

Total RNA was extracted from P15  $Pol\beta^{fl/fl}$  and *Nex-Cre/Pol\beta^{fl/fl}* hippocampus using an 19 20 RNeasy Plus Mini Kit (QIAGEN) following the manufacturer's procedure. Library 21 preparation was performed using a TruSeq stranded mRNA sample prep kit (Illumina) 22 according to the manufacturer's instructions. Whole-transcriptome sequencing was 23 applied to the RNA samples with an Illumina HiSeq 2500 platform in a 75-base single-24 end mode. Illumina Casava ver. 1.8.2 software was used for base calling. Sequenced reads 25 were mapped to the mouse reference genome sequences (mm10) using TopHat ver. 2.0.13 26 in combination with Bowtie2 ver. 2.2.3 and SAMtools ver. 0.1.19. The number of 27 fragments per kilobase of exon per million mapped fragments (FPKMs) was calculated using Cufflinks ver. 2.2.1. Differentially expressed genes were defined by fold change >
1.2, p < 0.05 (n = 3). Functional annotation and pathway analysis were performed with</li>
Ingenuity pathway analysis (QIAGEN). Correlation analysis of expression profiles was
performed with the Illumina correlation engine software (Illumina).

5

## 6 Behavioral test

7 Behavioral tests were carried out at Institute for Comprehensive Medical Science, Fujita 8 Health University (Joint Usage / Research Center for Genes, Brain and Behavior 9 accredited by MEXT). The comprehensive behavioral test was performed as described 10 previously (Shoji et al, 2018) with adult (> 3 month) Nex-Cre/Pol $\beta^{fl/fl}$  mice and their 11 littermate controls. In brief, the Barnes maze test was performed with a white circular 12 surface, 1.0 m in diameter, with 12 holes equally spaced around the perimeter and 13 elevated 75 cm from the floor. A black Plexiglas escape box was located under one of the 14 holes and represented the target. The location of the target was consistent for each mouse 15 but randomized between mice. The visual cues were in the four corners of the 16 experimental room. One or two trials per day were performed. The number of errors, 17 latency to reach the target, and distance traveled before mice first reached their target 18 were automatically calculated by image analysis. One day or one month after the last 19 training session, a probe test was performed without the escape box for 3 min, and time 20 spent around each hole was measured and the ratio of time spent around the target / all 21 holes was quantified.

In conditioning session of contextual and cued fear-conditioning test, each mouse was placed in a transparent acrylic chamber with a stainless steel grid floor (O'Hara & Co.) and allowed to explore for 2 min. White noise (55 dB) was then presented for 30 sec as a conditioned stimulus (CS). A mild footshock (0.3 mA, 2 sec) was presented as an unconditioned stimulus (UCS) during the last 2 sec of the CS. Three CS-UCS pairings were presented with a 2-min interval. One day or one month after the conditioning session, contest tests were conducted in the same chamber as conditioning.
 Cued tests with altered context were then conducted in a triangular and white opaque
 chamber which was located in a different room. In each test, freezing percentage and
 distance traveled in 1 min were quantified.

5 In the elevated plus maze, each mouse was placed in the central square of the 6 maze, which consisted of two open arms (25 x 5 cm) and two closed arms (25 x 5 cm, 7 with 15-cm-high transparent walls), and allowed to explore for 10 min. The number of 8 total entries into the arms, percentage of entries into the open arms, and percentage of 9 time spent in the open arms were quantified.

10

## 11 Statistical analysis

In statistical analysis, the number of samples analyzed is given for each experiment. Significant differences were determined with Student's t-test, one-way ANOVA with Tukey's post-hoc test for multiple comparisons, or repeated-measures ANOVA. All statistical values are presented as mean value ± SEM. All data were analyzed using Excel 2013 (Microsoft), StatView 5.0.1 software (SAS Institute), and JMP (SAS Institute). bioRxiv preprint doi: https://doi.org/10.1101/852053; this version posted November 24, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

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## 8 **Author contributions**

- 9 T.M., T.Y., N.Y. and N.S. conceived and designed the research project. A.U., K.O., T.H.,
- 10 S.H. and N.S. performed the experiments and analyzed the data. A.U., T.M., T.Y., N.Y.
- 11 and N.S. wrote the manuscript, which was discussed and critically edited by all coauthors.

12

## 13 **Declaration of interests**

14 The authors declare no competing financial interests.

15

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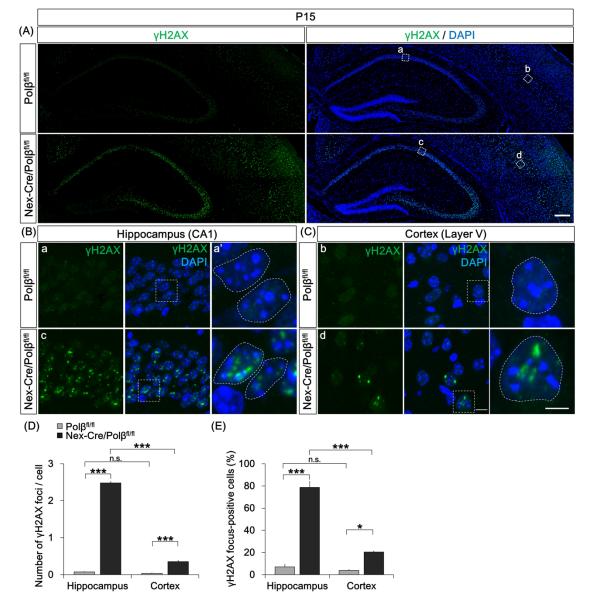
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## 1 Figures and Figure Legends

2 Fig. 1 *Nex-Cre/Polβ<sup>fl/fl</sup>* mice exhibit DSBs in postnatal hippocampus and cortex.

3 (A) Immunohistochemistry was performed with anti- $\gamma$ H2AX antibody in P15 *Nex-*4 *Cre/Polp*<sup>*fl/fl*</sup> and *Polp*<sup>*fl/fl*</sup> hippocampus and cortex. Scale bar, 400 µm. (B, C) Magnified 5 images of the boxed areas in (A) including hippocampal CA1 pyramidal cell layer (a, c) 6 and cortical layer V (b, d) are shown. Magnified images of the dashed-line boxed areas 7 in the center image are shown in the the rightmost images. The dashed lines in the 8 rightmost images mark the perimeter of the nucleus. Scale bars, 10 (the center) and 5 µm

- 1 (the right). (D, E) Histograms show quantitative analysis of the mean number of  $\gamma$ H2AX
- 2 foci in each nucleus (D) and percentage of γH2AX foci-positive cells (E) in hippocampus
- 3 and cortex of *Nex-Cre/Pol* $\beta^{n/n}$  (n = 492 cells, n = 666 cells) and *Pol* $\beta^{n/n}$  (n = 513 cells, n
- 4 = 791 cells) mice. Data are the mean  $\pm$  SEM from three different brains. Significant
- 5 difference: \*p < 0.05 and \*\*\*p < 0.001, ANOVA with Tukey's post-hoc test.
- 6

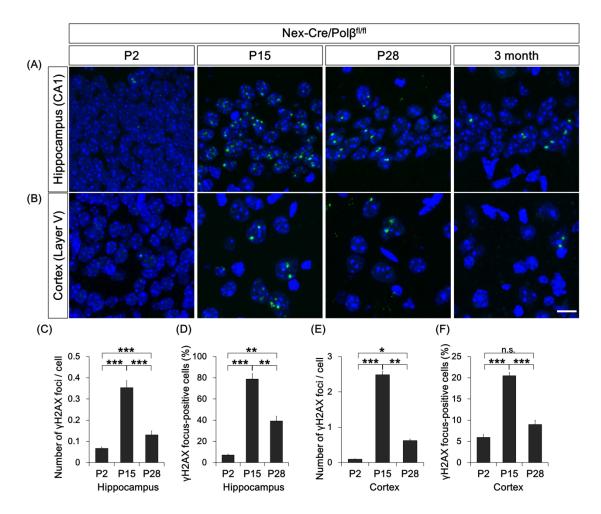
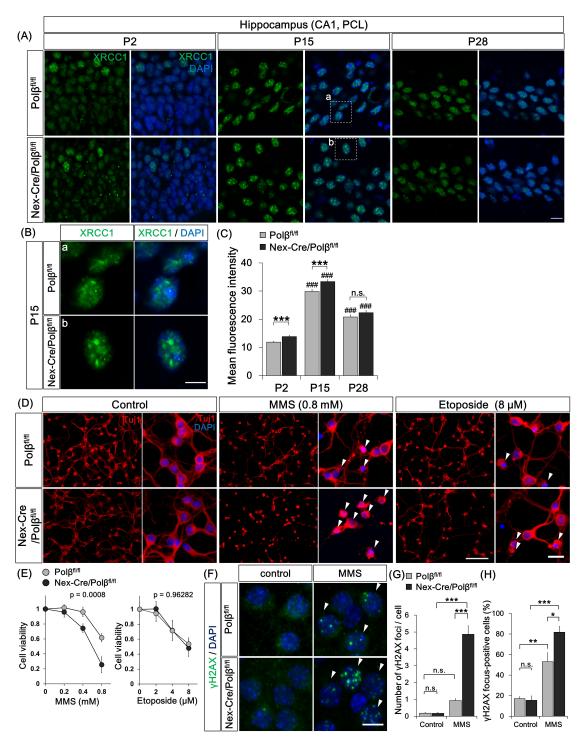


Fig. 2 DSB formation in *Nex-Cre/Polβ<sup>fl/fl</sup>* mice transiently increases during postnatal
 development.

3 (A, B) Immunohistochemistry was performed with anti-yH2AX antibody in Nex-4 *Cre/Polp<sup>fl/fl</sup>* and *Polp<sup>fl/fl</sup>* hippocampus (A) and cortex (B) at P2, P15, P28, and 3 months. 5 Scale bar, 10 µm. (C-F) Histograms show quantitative analysis of the mean number of yH2AX foci in each nucleus (C, E) and yH2AX foci-positive cells (D, F) in hippocampus 6 (C, D) and cortex (E, F) of *Nex-Cre/Polp*<sup>fl/fl</sup> mice at P2 (n = 1160 cells, n = 2155 cells), 7 P15 (n = 492 cells, n = 690 cells), and P28 (n = 477 cells, n = 620 cells). Data are the 8 9 mean  $\pm$  SEM from three independent experiments. Significant difference: \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001, ANOVA with Tukey's post-hoc test. 10

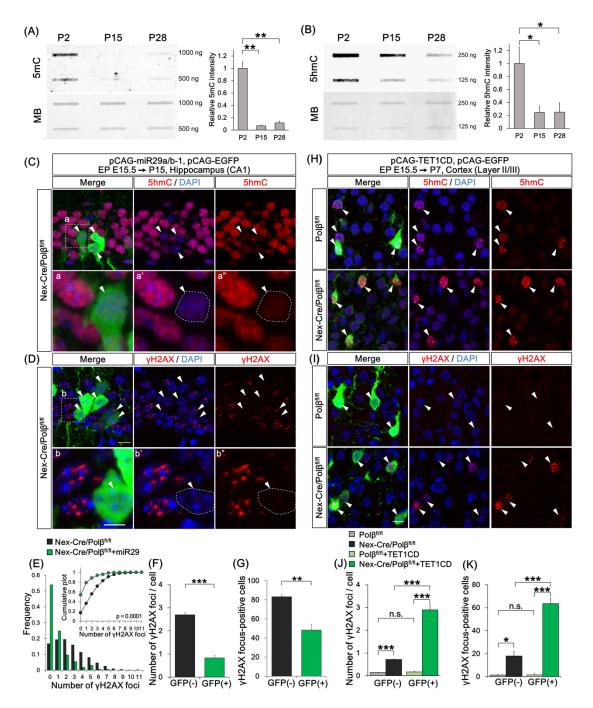


1 Fig. 3 Polβ is required for SSB repair in postmitotic neurons.

(A) Immunohistochemistry was performed with anti-XRCC1 antibody in CA1 pyramidal
cell layers of *Nex-Cre/Polβ<sup>fl/fl</sup>* and *Polβ<sup>fl/fl</sup>* hippocampus at P2, P15, and P28. Scale bar,
10 μm. (B) Magnified images of the boxed areas in (A) are shown. Scale bar, 5 μm. (C)
Histogram shows the mean XRCC1 fluorescence intensity in DAPI-stained nuclei of *Nex-*

 $Cre/Pol\beta^{fl/fl}$  (n = 576, 295, and 234 cells) and  $Pol\beta^{fl/fl}$  (n = 643, 282, and 256 cells) 1 2 hippocampal CA1 cells at P2, P15, and P28. Data are the mean ± SEM. Significant 3 difference from  $Pol\beta^{fl/fl}$  mice: \*\*\*p < 0.001, ANOVA with Tukey's post-hoc test. Significant difference between age groups:  $^{\#\#\#}p < 0.001$ , ANOVA with Tukey's post-hoc 4 test. (D) Primary cultured neurons from E16.5 Nex-Cre/Pol $\beta^{fl/fl}$  or Pol $\beta^{fl/fl}$  cortex were 5 6 treated with MMS or etoposide for 1 h at 3-4 days in vitro (DIV), and fixed after 24 h 7 recovery. Immunocytochemistry was then performed with anti-Tuj1 antibody. 8 Arrowheads indicate dying pyknotic cells. Magnified images are shown in the right 9 panels. Scale bars, 100 and 20 µm. (E) Quantitative analysis of cell viability of Nex- $Cre/Pol\beta^{fl/fl}$  and  $Pol\beta^{fl/fl}$  cortical neurons treated with MMS or etoposide for 1 h. Data are 10 11 mean  $\pm$  SEM from three independent experiments. Significant difference: p-values 12 (repeated measures ANOVA) are indicated. (F) Primary cultured neurons from E16.5 Nex-Cre/Polb<sup>fl/fl</sup> or Polb<sup>fl/fl</sup> cortex were treated with MMS for 1 h at 14 DIV and 13 14 immunocytochemistry was performed with anti-yH2AX antibody. Arrowheads indicate 15  $\gamma$ H2AX focus-positive cells. (G, H) Histograms show quantitative analysis of the mean 16 number of  $\gamma$ H2AX foci in each nucleus (G) and the percentage of  $\gamma$ H2AX focus-positive cells (H). Data are mean  $\pm$  SEM from control or MMS-treated Nex-Cre/Pol $\beta^{fl/fl}$  (n = 79 17 cells, n = 80 cells) and *PolB<sup>fl/fl</sup>* (n = 71 cells, n = 86 cells) neurons in three independent 18 experiments. Significant difference: \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001, ANOVA 19 20 with Tukey's post-hoc test.

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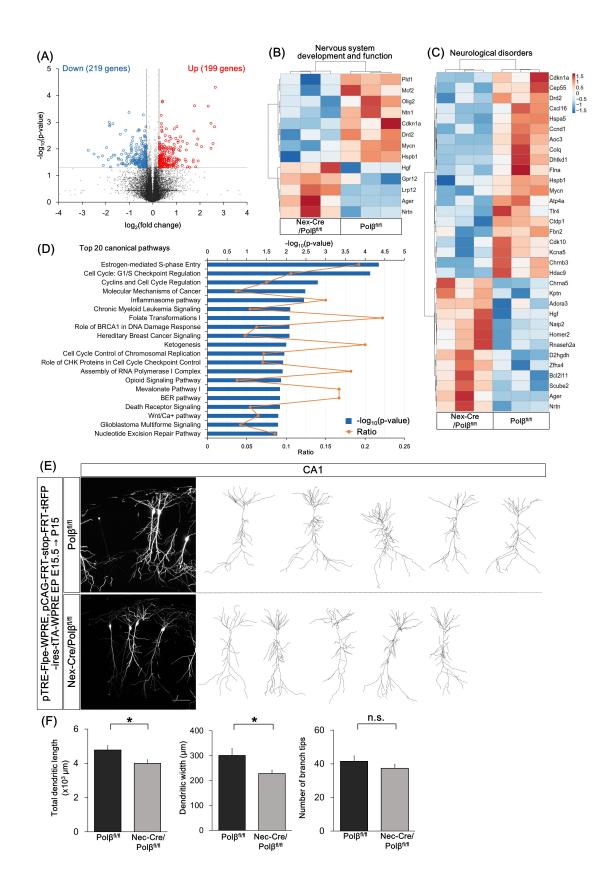


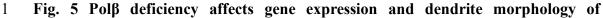
1 Fig. 4 Loss of Polβ in active DNA demethylation causes DSBs in neurons.

2 (A, B) Immunoblot analyses show amounts of 5mC (A) and 5hmC (B) in genomic DNA 3 from P2, P15, and P28  $Pol\beta^{n/n}$  hippocampus. The membranes were also stained with 4 methylene blue (MB) as a loading control. The relative intensity of 5mC and 5hmC was 5 quantified. Data are mean  $\pm$  SEM from three different brains. Significant difference: \*p 6 < 0.05 and \*\*p < 0.01, ANOVA with Tukey's post-hoc test. (C–G) Hippocampal CA1

1 neurons were cotransfected with pCAG-miR29a/b-1 and pCAG-EGFP by in utero electroporation at E15.5 and analyzed at P15. Immunohistochemistry was performed with 2 anti-5hmC (C),  $-\gamma$ H2AX (D), and -GFP antibodies in Nex-Cre/Pol $\beta^{fl/fl}$  hippocampus. (C, 3 4 D) Magnified images of the dashed-line boxed areas in the upper image are shown in the 5 the lower images. The dashed lines in the lower images mark the perimeter of the nucleus. 6 Arrowheads indicate EGFP-positive transfected cells. Scale bars, 10 (the upper) and 5 7 (the lower) µm. (E) Distribution histogram shows the number of yH2AX foci in the transfected (GFP(+), n = 137 cells) and the surrounding untransfected (GFP(-), n = 5228 cells) nuclei of Nex-Cre/PolB<sup>fl/fl</sup> hippocampus. The Kolmogorov-Smirnov (KS) test 9 shows the significant difference between GFP(-) and GFP(+) cells. (F, G) Histograms 10 11 show the average number of  $\gamma$ H2AX foci (F) and percentage of focus-positive cells (G) in the GFP(+) and the surrounding GFP(-) nuclei of Nex-Cre/PolB<sup>fl/fl</sup> CA1 cells. Data are 12 the mean  $\pm$  SEM from three different brains. Significant difference: \*\*p < 0.01 and \*\*\*p 13 < 0.001, Student's t-test. (H–K) Cortical upper layer neurons were cotransfected with 14 15 pCAG-TET1CD and pCAG-EGFP by in utero electroporation at E15.5 and analyzed at 16 P7. Immunohistochemistry was performed with anti-5hmC (H), -yH2AX (I) and -GFP antibodies in Nex-Cre/PolB<sup>fl/fl</sup> and PolB<sup>fl/fl</sup> cortex. Arrowheads indicate EGFP-positive 17 18 transfected cells. Scale bar, 10 µm. (J, K) Histograms show the average number of yH2AX 19 foci (J) or percentage of focus-positive cells (K) in the GFP(+) and surrounding GFP(-) nuclei of Nex-Cre/Pol $\beta^{fl/fl}$  (n = 160 cells, n = 950 cells) and Pol $\beta^{fl/fl}$  (n = 161 cells and n = 20 21 966 cells) cortex. Data are the mean  $\pm$  SEM from three different brains. Significant difference: p < 0.05, p < 0.01, and p < 0.001, ANOVA with Tukey's post-hoc test. 22

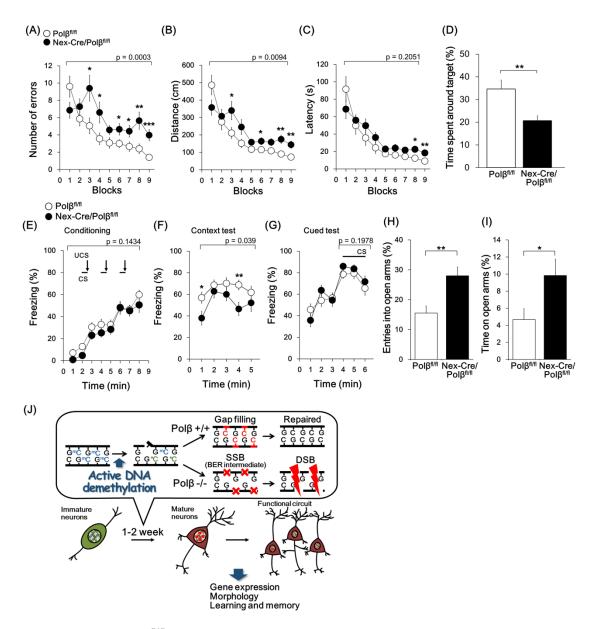
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## 1 hippocampal neurons during postnatal development.

(A) Volcano plot of RNA-seq data from  $Pol\beta^{n/n}$  and  $Nex-Cre/Pol\beta^{n/n}$  P15 hippocampus (n 2 = 3). Differentially expressed genes (DEGs, Nex-Cre/Pol $\beta^{f/fl}$  vs Pol $\beta^{f/fl}$ , p < 0.05, fold 3 change > 1.2) are highlighted in blue (down) or red (up). (B, C) Hierarchical clustering 4 5 with mean-centered log<sub>2</sub>-FPKM of DEGs related to nervous system development and 6 function (B) or neurological disorders (C). Rows and columns represent genes and 7 samples, respectively. (D) Top 20 canonical pathways predicted by Ingenuity Pathway 8 Analysis. (E) Hippocampal CA1 neurons were cotransfected with FLPe-based Supernova 9 vectors by in utero electroporation at E15.5 and analyzed at P15. Immunohistochemistry was performed with anti-tRFP antibody in Nex-Cre/Polp<sup>fl/fl</sup> and Polp<sup>fl/fl</sup> hippocampus. 10 11 Examples of z-projected dendritic morphology of CA1 neurons traced with tRFP labeling 12 are shown. Scale bar, 100 µm. (F) Quantitative analysis for total dendritic length, dendritic width, and number of branch tips. Data are mean  $\pm$  SEM from  $Pol\beta^{fl/fl}$  (n = 18 13 cells of 5 animals) and Nex-Cre/Pol $\beta^{fl/fl}$  (n = 20 cells of 4 animals) mice. Significant 14 difference: \*p < 0.05, ANOVA. 15 16

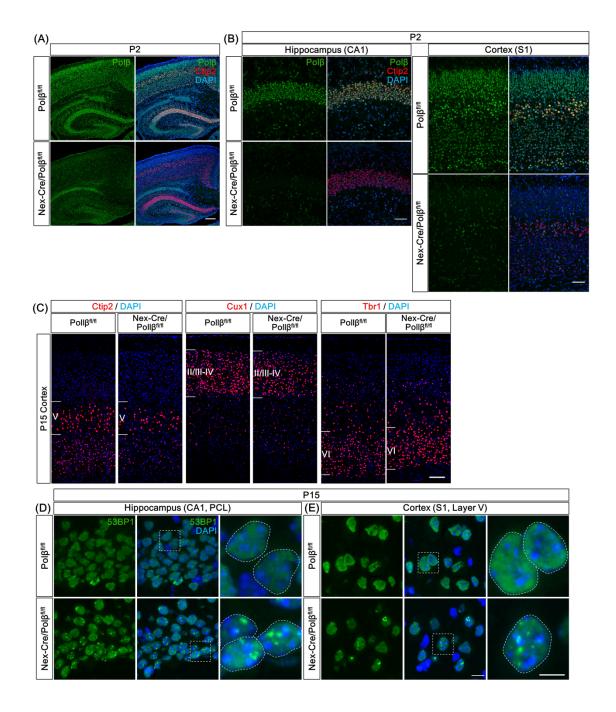


## 1 Fig. 6 Nex-Cre/Pol<sup>β/l/fl</sup> mice show impaired spatial reference memory and contextual

2 fear memory.

3 (A–D) The Barnes maze test was performed for *Nex-Cre/Pol* $\beta^{n/n}$  (n = 20) and *Pol* $\beta^{n/n}$  (n 4 = 20) mice. Quantitative analysis of the number of errors (A), distance traveled (B), and 5 latency (C) before reaching the target hole. Data are mean ± SEM. Significant differences: 6 p-values of repeated measures ANOVA are indicated. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 7 0.001, ANOVA for 2 blocks of trials. (D) Histogram shows percentage of time spent 8 around the target in the probe test at one day after the acquisition test. Data is mean ± 9 SEM. Significant differences: \*\*p < 0.01, ANOVA. (E–G) Quantitative analysis of

- 1 freezing behavior (%) in the conditioning session (E), contextual (F) and cued (G) test in
- 2 the fear conditioning test. Data is mean  $\pm$  SEM from Nex-Cre/Pol $\beta^{n/n}$  (n = 20) and Pol $\beta^{n/n}$
- 3 (n = 20) mice. Significant differences: p-values of repeated measures ANOVA are
- 4 indicated. \*p < 0.05, \*\*p < 0.01, ANOVA for each duration. (H, I) Histograms show
- 5 quantitative analysis of entries into open arms (H) and time spent in open arms (I) in the
- 6 elevated plus maze test. Data are mean  $\pm$  SEM from Nex-Cre/Pol $\beta^{fl/fl}$  (n = 20) and Pol $\beta^{fl/fl}$
- 7 (n = 20) mice. Significant difference, \*p < 0.05, \*\*p < 0.01, ANOVA. (J) Proposed model
- 8 of Polβ-dependent active DNA demethylation during postnatal neuronal development.

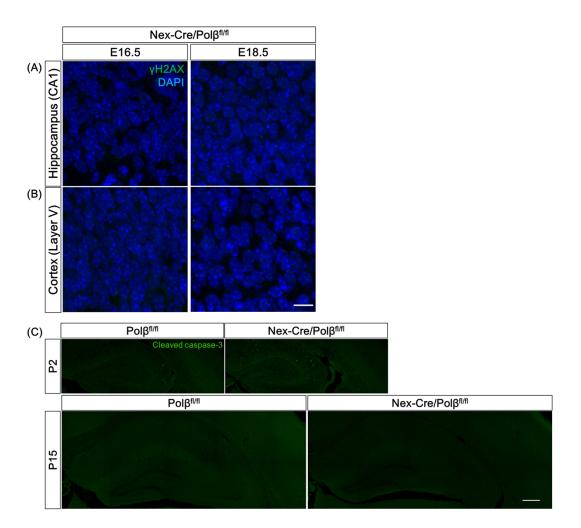


Supplemental Fig. 1 Nex-Cre/Polβ<sup>fl/fl</sup> mice exhibit DSB formation in postnatal
 hippocampus and cortex.

(A) Immunohistochemistry was performed with anti-Polβ and -Ctip2 antibodies in P2 *Nex-Cre/Polβ<sup>π/fl</sup>* and control *Polβ<sup>π/fl</sup>* cortex and hippocampus. Scale bar, 400 µm. (B)
Magnified images are hippocampal CA1 area and somatosensory area 1 (S1) in the cortex.
Scale bar, 100 µm. (C) Immunohistochemistry was performed with anti-Ctip2, -Cux1,
and -Tbr1 antibodies in P15 *Nex-Cre/Polβ<sup>π/fl</sup>* and *Polβ<sup>π/fl</sup>* cortex. Scale bar, 200 µm. (D)

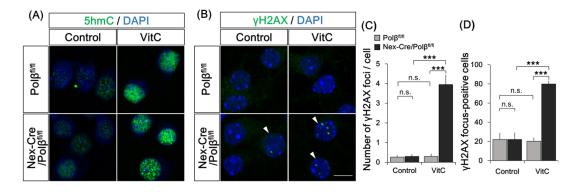
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- 1 Immunohistochemistry was performed with anti-53BP1 antibody in P15 Nex-Cre/Polp<sup>fl/fl</sup>
- 2 and  $Pol\beta^{fl/fl}$  cortex and hippocampus. Magnified images of the boxed areas are shown in
- 3 the right panels. The dashed lines in the rightmost images mark the perimeter of the
- 4 nucleus. Scale bars, 10 (the center) and 5 (the right)  $\mu$ m.
- 5



- 1 Supplemental Fig. 2 Nex-Cre/Polβ<sup>fl/fl</sup> mice exhibit the extent of apoptotic cells similar
- 2 to that control hippocampus and cortex.
- 3 (A, B) Immunohistochemistry was performed with anti- $\gamma$ H2AX antibody in *Nex-*4 *Cre/Pol\beta^{n/n}* and *Pol\beta^{n/n}* hippocampus (A) and cortex (B) at E16.5 and E18.5. Scale bar, 5 10 µm. (C) Immunohistochemistry was performed with anti-cleaved caspase 3 antibody 6 in *Nex-Cre/Pol\beta^{n/n}* and *Pol\beta^{n/n}* hippocampus and cortex at P2 and P15. Scale bar, 400 µm.
- 7

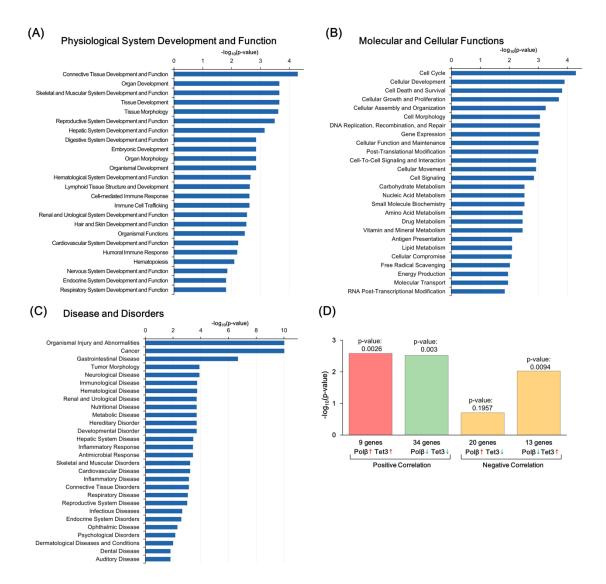
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Supplemental Fig. 3 Loss of Polβ in active DNA demethylation causes DSBs in
 neurons.

(A, B) Primary cultured neurons from E16.5 Nex-Cre/PolB<sup>fl/fl</sup> or PolB<sup>fl/fl</sup> cortex were 3 4 treated with vitamin C (VitC) for 24 h at 14 DIV and immunocytochemistry was 5 performed with anti-5hmC (A) or anti-yH2AX (B) antibodies. Arrowheads indicate  $\gamma$ H2AX focus-positive cells. (C, D) Histograms show the mean number of  $\gamma$ H2AX foci 6 in each nucleus (C) and the percentage of yH2AX focus-positive cells. Data are the mean 7  $\pm$  SEM from control or VitC-treated Nex-Cre/Pol $\beta^{fl/fl}$  (n = 48 cells, n = 62 cells) and 8 9  $Pol\beta^{fl/fl}$  (n = 54 cells, n = 49 cells) cortical neurons in three independent experiments. Significant difference: \*\*\*p < 0.001, ANOVA with Tukey's post-hoc test. 10

11



Supplemental Fig. 4 Polβ deficiency affects gene expression and dendrite
 morphology of hippocampus neurons during postnatal development.

- 3 (A–C) Functional annotation of DEGs between *Nex-Cre/Pol* $\beta^{n/n}$  and *Pol* $\beta^{n/n}$  hippocampus 4 in the three primary categories by IPA (p < 0.05, Fisher's exact test): Physiological System
- 5 Development and Function (A), Molecular and Cellular Functions (B), and Disease and
- 6 Disorders (C). (D) Comparative analysis of DEGs between Polβ-deficient hippocampus
- 7 and TET3 shRNA-transfected hippocampal neuronal culture.
- 8

Test	Measure	p-value
General Health		
Body weight	Weight (g)	0.3496
Body temperature	Temperature (°C)	0.5477
Grip strength	Strength (N)	0.8528
Wire hang	Latency to fall (sec)	0.4578
Light/dark transition test	Stay time in light (sec)	0.8748
	Number of transitions	0.8044
	Latency to light (sec)	0.4936
Open field test	Total distance (cm)	G: 0.9697, G × T: < 0.0001***
	Vertical activity	G: 0.4896, G × T: 0.898
	Center time (sec)	G: 0.8841, G × T: 0.9607
	Stereotypic counts	G: 0.4577, G × T: 0.0032**
Elevated plus maze test	Entries into open arms (%)	0.0023**
	Time on open arms (%)	0.0303*
Rotarod	Latency to fall (sec)	G: 0.0703, G × Tr: 0.825
Hot plate	Latency (sec)	0.6464
Social Interaction (Novel environment)		
	Total duration of contact (sec)	0.0445*
	Number of contacts	0.1277
	Mean duration / contact (sec)	
	Mean duration / contact (sec)	0.5133
3 chamber social approach test	Time an est around stress and a (0/ )	0.7744
Sociability	Time spent around stranger cage (%)	0.7741
Preference	Time spent around stranger cage (%)	0.0748
Prepulse inhibition test		
Startle response	Startle amplitude to 110, 120 dB	G: 0.0062, G × S: 0.0421*
Prepulse inhibition	PPI (PPI 74, 78 dB - startle 110 dB) (%)	G: 0.1219, G × P: 0.8899
	PPI (PPI 74, 78 dB - startle 120 dB) (%)	G: 0.0333*, G × P: 0.1127
Porsolt forced swim	Immobility (%) Day1	G: 0.1147, G × T: 0.2675
	Immobility (%) Day2	G: 0.1134, G × T: 0.0284*
T-maze test	Correct response (%)	G: 0.0256*, G × Tr: 0.3757
(spontaneous alteration)	Latency (sec)	G: 0.1612, G × Tr: 0.2337
Barnes maze test		
Acquisition	Number of errors	G: 0.0003***, G × Tr: 0.0031**
	Distance traveled	G: 0.0094**, G × Tr: 0.0058**
	Latency	G: 0.2051, G × Tr: 0.1263
	Omission errors	G: 0.375, G × Tr: 0.5279
Probe test (1 day)	Time spent around target hole (%)	0.0031**
Probe test (1 month)	Time spent around target hole (%)	0.0254*
Fear conditioning		
Conditioning	Freezing (%)	G: 0.1434, G × T: 0.883
	Distance traveled	G: 0.0181*, G × T: 0.5153
Context testing (1 day)	Freezing (%)	G: 0.0809, G × T 0.8485
	Distance traveled	G: 0.0195 <b>*</b> , G × T: 0.8551
Cued testing with altered context (1	Freezing during CS (%)	G: 0.4288, G × T: 0.8812
day)	Distance traveled during CS	G: 0.4428, G × T: 0.9637
Context testing (1 month)	Freezing (%)	G: 0.039*, G × T: 0.2822
	Total distance traveled	G: 0.0182*, G × T: 0.1719
Cued testing with altered context (1	Freezing during CS (%)	G: 0.1978, G × T: 0.9227
month)	Distance traveled during CS	G: 0.2095, G × T: 0.7771
Tail suspension test	Immobility (%)	G: 0.9557, G × T: 0.1154
Home cage social interaction	Mean number of particles	G: 0.9939 (light: 0.925, dark: 0.8939)
	mean number of particles	a. a.aaaaa (iigin. a.a∠a, uaik. a.aaaa)

Supplemental Table 1. Summary of comprehensive behavioral test battery

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\*p < 0.05, \*\*p <0.01, and \*\*\*p <0.001 (n=20 in each group, one-way or two-way repeated measures ANOVA). In two-way repeated measures ANOVA, p-values of interaction of genotype (G) with time (T), trial (Tr), startle (S), or PPI (P) are shown.