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- 1 **Running Title:** Epigenetic repression of the SHATTERPROOF 1 gene is
- 2 associated with pod shatter resistance
- 3
- 4 An Epigenetic LTR-retrotransposon insertion in the upstream
- 5 region of BnSHP1.A9 controls quantitative pod shattering
- 6 resistance in *Brassica napus*
- 7
- 8 **Authors:** Jia Liu<sup>1\*</sup>, Rijin Zhou<sup>1\*</sup>, Wenxiang Wang<sup>1</sup>, Hui Wang<sup>1</sup>, Yu Qiu<sup>2</sup>,
- 9 Raman Rosy<sup>2</sup>, Desheng Mei<sup>1</sup>, Raman Harsh<sup>2</sup>, Qiong Hu<sup>1†</sup>

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# 11 **Affiliations:**

- <sup>1</sup>Oil Crops Research Institute, Chinese Academy of Agricultural Sciences,
- 13 No.2 Xudong 2nd RD, 430062 Wuhan Hubei, P.R. China

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- <sup>15</sup> <sup>2</sup>NSW Department of Primary Industries, Wagga Wagga Agricultural Institute,
- 16 PMB, Wagga Wagga, NSW 2650, Australia

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- 20
- 21
- 22
- 23

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# 24 Email addresses:

- Jia Liu: liujia02@caas.cn
- Rijin Zhou: weiming3130@163.com
- 27 Wenxiang Wang: wangwenxiang@caas.cn
- Hui Wang: wanghui06@caas.cn
- 29 Rosy Raman: rosy.raman@dpi.nsw.gov.au
- 30 Yu Qiu: yqiu@csu.edu.au
- 31 Desheng Mei: meidesheng@caas.cn
- 32 Harsh Raman: harsh.raman@dpi.nsw.gov.au
- 33 Qiong Hu: huqiong01@caas.cn
- 34
- 35 Manuscript with 2 tables , 7 figures, 8 supplemental tables and 1
- 36 supplemental figure
- 37
- <sup>38</sup> \*These authors contributed equally to this work.
- 39
- 40 <sup>†</sup>Correspondence:
- 41 **Prof. Qiong Hu**
- 42 Email: huqiong01@caas.cn
- 43 Tel: +86 027 86711556

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#### 4

#### 53 Abstract

54 Seed loss resulting from pod shattering is a major problem in oilseed rape 55 (Brassica napus L.) production worldwide. However, the molecular mechanisms underlying pod shatter resistance are not well understood. Here 56 we show that the pod shatter resistance at quantitative trait locus, gSRI.A9.1 57 58 is controlled by a SHATTERPROOF1 (SHP1) paralog in B. napus 59 (BnSHP1.A9). Expression analysis by quantitative RT-PCR showed that BnSHP1.A9 was specifically expressed in flower buds, flowers and 60 61 developing siliques in the oilseed rape line (R1) carrying the qSRI.A9.1 allele with negative effect, but not expressed in any tissue of the line (R2) carrying 62 the positive effect qSRI.A9.1 allele. Transgenic plants constitutively 63 expressing BnSHP1.A9 alleles from pod resistant and pod shattering 64 parental lines showed that both alleles are responsible for pod shattering via 65 promoting lignification of enb layer, which indicated allelic difference of 66 BnSHP1.A9 gene per se is not the causal factor of the QTL. The upstream 67 sequence of BnSHP1.A9 in the promotor region harboring highly methylated 68 69 long terminal repeat retrotransposon insertion (LTR, 4803bp) in R2 70 repressed the expression of BnSHP.A9, and thus contributed to the positive 71 effect on pod shatter resistance. Genetic and association analysis revealed 72 that the copia LTR retrotransposon based marker BnSHP1.A9-R2 can be 73 used for breeding for pod shatter resistant varieties and reducing the loss of 74 seed yield in oilseed rape.

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Keywords: Oilseed rape, pod shattering, genetic analysis, natural
 variation, LTR-retrotransposon, gene expression

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# 81 Introduction

Oilseed rape (Brassica napus L.) is not only a major source of edible 82 vegetable oil for human consumption, but also provides an important energy 83 resource for stock-feed and biodiesel production. Upon maturity, siliques 84 (pods) of oilseed rape open, dehiscing seed and causing significant yield 85 loss; particularly if oilseed is harvested after the full maturity (BBCH scale 95). 86 Pod shattering usually accounts for an approximately 10% yield loss on an 87 88 average, however in certain environments it can cause yield loss up to 50% (Kadkol et al., 1984; Wang et al., 2007). In order to reduce such loss, oilseed 89 rape is either harvested manually or mechanically before full maturation of 90 91 seeds (windrowing). Premature harvest can reduce yield loss, but immature 92 seed can lead to lower oil content (Østergaard et al., 2006) and higher chlorophyll content. In recent years, due to the shortage and high cost of 93 labour, broad-acre cropping of oilseed rape has been preferentially combine 94 harvested by farmers. Varieties with improved pod shatter resistance, 95 amenable for mechanical harvesting provide a cost-effective solution for 96 97 commercial oilseed rape production worldwide.

Genetic variation for pod shatter resistance exists in *Brassica napus*, *Brassica rapa*, *Brassica juncea* and *Brassica carinata* germplasm (Hu et al.,
2012; Liu et al., 2016; Raman et al., 2014; Raman et al., 2017; Kadkol et al.,
1984), and can be exploited to breed commercial varieties with improved pod
shatter resistance. Genetic studies have revealed that pod shatter resistance

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103	is controlled by multiple genes. Several quantitative trait loci (QTL)
104	associated with this trait have been localized on genetic and physical maps
105	of oilseed rape (Hu et al., 2012; Raman et al., 2014; Liu et al., 2016).
106	However, the identification of corresponding genes underlying QTL for pod
107	shatter resistance in <i>B. napus</i> has not been reported yet.

108 In the model plant Arabidopsis thaliana, which belongs to the same 109 Brassicacae family as oilseed rape, gene network involved in pod 110 development and dehiscence has been elucidated (Liljegren et al., 2004). 111 For example, MADS-box transcription factors SHATTERPROOF1 (SHP1) 112 and SHATTERPROOF2 (SHP2) (Liljegren et al., 2000), basic helix-loop-helix (bHLH) gene INDEHISCENT (IND) (Liljegren et al., 2004), and ALCATRAZ 113 (ALC) (Rajani and Sundaresan, 2001) regulate the differentiation of the 114 115 dehiscence zone. The activity of valve margin identity genes is repressed by FRUITFULL (FUL) in the valves (Gu et al., 1998), and REPLUMLESS (RPL) 116 in the replum (Roeder et al., 2003). Several phytohormones such as auxin, 117 118 cytokinin and gibberellin are also essential for pod development and the expression of valve margin identity and IND genes (Larsson et al., 2014; 119 Simonini et al., 2017; Arnaud et al., 2010; Zuniga-Mayo et al., 2014). Braatz 120 121 et al (2018a and 2018b) have shown that induced mutations in IND and ALC homologues are linked with pod shatter resistance in oilseed rape. Ectopic 122 expression of FUL gene from Arabidopsis has been shown to result in pod 123 124 shatter resistance via inhibiting SHP expression in B. juncea (Ostergaard et

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al., 2006). However for commercial production of 'conventional' oilseed rape,
a fine tuning of these genes is required to develop a desirable level of
dehiscence.

Comparative mapping studies have shown that the SHATTERPROOF 128 129 paralogs of A. thaliana (SHP1 and SHP2) are located in the vicinity of the 130 QTL associated with pod shatter resistance on chromosome A9 (designated 131 as BnSHP1.A9 and BnSHP2.A9) in Australian and Chinese oilseed rape 132 populations, including the R1/R2 DH population utilized in this study (Liu et 133 al., 2016; Raman et al., 2014). SHP1 and SHP2 are MADS-box genes, 134 which regulate cell differentiation of the valve margin and promote 135 lignification (Liljegren et al., 2000). Both genes are highly homologous and 136 functionally redundant.

In the present study, we cloned the *BnSHP1.A9* gene underlying the resistant QTL qSRI.A9.1 for pod shatter resistance and characterized its functional role by employing comparative expression analysis using quantitative RT-PCR, anatomical and transgenic approaches. Our findings suggested that the LTR retroelement insertion silences the expression of *BnSHP1.A9* epigenetically via DNA methylation, and contributes to the pod shatter resistance in oilseed rape.

#### 144 Materials and Methods

145 Plant materials and evaluation of resistance to pod shattering

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146	For genetic analysis we used parental lines, R1 (pod shatter resistant), R2
147	(pod shatter prone) and a mapping population comprising of 96 DH lines that
148	showed segregation for pod shatter resistance, developed from a cross
149	between R1 and R2 (Liu et al., 2013). In addition, we used a total of 135
150	diverse accessions, comprised of four winter type, 119 semi-winter type and
151	12 spring type (Supplemental table 1) to validate the association between
152	pod shatter resistance index (PSRI) and the BnSHP1.A9 promoter specific
153	marker. All accessions were evaluated for pod shatter resistance using
154	random impact test as described previously (Liu et al., 2016).

#### 155 Sequence analysis of BnSHP1.A9

Total DNA was extracted from the leaves of 4 weeks old seedlings by CTAB 156 157 method (Stein et al., 2001). The reference sequence of BnSHP1.A9 (BnaA09g55330D) 158 from the В. napus Darmor CV. genome (www.genoscope.cns.fr/brassicanapus) was used as a template to design 159 160 specific primer pairs (Table1) for cloning the genomic sequence and open 161 reading frame of BnSHP1.A9. DNA amplification was performed in a 20 µl reaction system comprising 2 µl (10 µM) of forward and reverse primers, 4 µl 162 5× TransStart<sup>®</sup>FastPfu Fly buffer, 2 µl 2.5mM dNTPs, 2 µl 5× PCR stimulant, 163 0.4 µl 50mM MgSO<sub>4</sub>, 1 U TransStart<sup>®</sup> FastPfu Fly DNA Polymerase (Beijing 164 TransGen Biotech Co., Ltd., Product Code: AP231), and 20-30 ng template 165 166 DNA. After initial denaturation of template DNA at 95 °C for 3 min, PCR was

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carried out following 34 cycles of 20 seconds at 94 °C, 30 seconds at about 5 167 168 °C lower than melting temperature of the primer pair used, 1 min/kb at 72 °C with a final extension of 5 min at 72 °C. The PCR products were separated by 169 electrophoresis in 0.8% low melting agarose gels, the target bands were 170 171 purified, cloned into the pEASY-Blunt Zero cloning vector (Beijing TransGen Biotech Co. Ltd., Product Code: CB501-02) and then transformed into Trans1-172 173 T1 competent E. coli cells by the heat shock method (Beijing TransGen 174 Biotech Co. Ltd., Product Code: CB501, CT101). Four to six positive clones 175 were randomly selected and sequenced with M13 sequencing primers at 176 Shanghai Sangon Biotech Co. Ltd (http://www.sangon.com/). Sequences were 177 then analyzed by MultAlin online software (Corpet, 1988). To determine the physical location and sequence similarities of BnSHP1.A9 clones, the 178 BLASTn the 179 was used against Darmor reference sequence 180 (http://www.genoscope.cns.fr/brassicanapus/). То clone the upstream sequence of BnSHP1.A9, we developed two specific primer pairs for R1 181 182 (BnSHP1.A9p1) and R2 (BnSHP1.A9p2) that are listed in Table 2.

#### 183 **Development and assay of** *BnSHP1.A9***-specific marker**

The genomic sequences of *BnSHP1.A9* from R1 and R2 were aligned in MEGA7 (Kumar et al., 2016) using both the reference genomic and coding sequences (BnaA09g55330D) of Darmor-*bzh*. Based on the sequence differences we developed a co-dominant Indel marker (*BnSHP1.A9*-IF4)

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188	targeting the indel difference of the first intron of BnSHP1.A9 between the
189	parental lines of the R1/R2 population for genetic mapping and the allelic
190	diversity analysis (Table 1). PCR was performed in a volume of 10 $\mu$ l system,
191	including 5 $\mu$ l 2×Taq MasterMix, 1 $\mu$ l (10 $\mu$ M) of each primer, 2 $\mu$ l ddH <sub>2</sub> O, 1 $\mu$ l
192	genomic DNA (20-30 ng). The PCR condition used was as following: 3 min at
193	94 °C, 35 cycles of 30 s at 94 °C, 30 s at 57 °C, 30 s at 72 °C, with a final
194	extension of 5 min at 72 $^{\circ}$ C. The PCR products were examined on either 3%
195	agarose gel by electrophoresis at 130 V for 1 hour or using capillary
196	electrophoresis on an automated CEQ2000 system (Beckman-Coulter) as
197	described previously (Raman et al., 2005). The gels were stained with Syber-
198	green and visualized under UV light. The PCR yielded a 267-bp product from
199	R1 (pod shatter resistance allele) and a 290-bp product from R2 (pod shatter
200	prone allele).

# 201 QTL mapping

The 96 DH lines derived from R1/R2 cross were genotyped with an InDel marker *BnSHP1.A9-IF4* and other new InDel and SSR makers developed based on sequence variation between the two parental lines in the vicinity of qSRI.A9 region (Supplemental table 2). This polymorphic data was integrated with the Illumina Brassica 60K Infinium ® SNP array and pod shatter resistance data generated in our previous study (Liu et al., 2016). To determine putative QTL for pod shatter resistance, we performed the

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209	composite interval mapping (CIM) using WinQTL Cartographer 2.50 (Zeng,
210	1994; Wang et al., 2006). The genome scan was performed at every 2 cM to
211	estimate the likelihood of a QTL and its corresponding phenotypic effect ( $R^2$ ).
212	The empirical threshold was computed using 1,000 permutations (overall error
213	level 5%) as described in Churchill and Doerge (1994).

### 214 Analysis of BnSHP1.A9 transcript levels

215 To detect the dynamic expression patterns of the BnSHP1.A9 gene, tissues of root, stem and young leaf were collected from 4 weeks old plants, while 216 217 bud, fully-open flower, and developing pods were collected at 10, 20, 30 and 218 40 days after flowering (DAF) from R1 and R2 parental and DH lines (DH56 219 and DH82), and snap frozen in liquid nitrogen. For each sample, including 220 pods at different developmental stages, three biological replicates were used 221 for semi-quantitative reverse transcription PCR (RT-PCR). Total RNA was 222 extracted using the RNAprep Pure Plant Kit (TIANGEN Biotech Beijing Co., 223 Ltd. Product code: DP432). DNAse I-treated RNA was reverse transcribed 224 using the cDNA synthesizing kit following the manufacturer's instructions 225 (TIANGEN Biotech Beijing Co., Ltd. Product code: KR106-02). To eliminate 226 interference from other paralogues, an allele-specific primer pair 227 BnSHP1.A9-RT (F/R) was designed (Supplemental table 3) and the 228 specificity was validated by PCR product sequencing. The actin gene was

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used as an internal control for semi-quantification of relative expressionvalues.

#### 231 **DNA methylation analysis**

232 McrBC endonuclease (NEB, M0272S) was firstly employed to analyze the DNA methylation status of BnSHP1.A9 promoter region and genic region. 0.5 233 234 µg genomic DNA extracted from 20 DAF siliques of R1 and R2 was digested respectively with 5 U McrBC overnight at 37 °C, the methylated plasmid DNA 235 236 in the product was used as positive control. The McrBC-digested DNA was 237 then used as template to amplify BnSHP1.A9 promoter and genic region with 238 allele specific primer pairs (Supplemental table 4). The Chop PCR products 239 were then checked by agarose gel electrophoresis and stained with Syber-240 green and visualized under UV light.

241 Detailed analysis of promoter DNA methylation was then performed with 242 bisulfite sequencing method (Gruntman et al., 2008). Genomic DNA of R1 243 and R2 from 20 DAF siliques was bisulfite treated using EpiTect Bisulfite Kit 244 (Qiagen 59104) following the instructions of manufacturer. Bisulfite 245 sequencing primers were designed with MethPrimer online tool 246 (http://www.urogene.org/cgi-bin/methprimer/methprimer.cgi) and primer 247 designing tool in NCBI. The bisulfate-treated DNA was used as template to amplify the target fragments of R1/R2 promoter with specific bisulfite primers 248 249 (Supplemental table 5) and the resulting PCR fragments were cloned into 250 pTOPO-T simple vector (Aidlab CV1501). At least 24 positive clones were

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251	sequenced from each fragment. Vector sequences together with primer
252	sequences of sequencing results were first trimmed out and the remaining
253	sequences were then blasted against Brassica napus reference genome
254	database (http://www.genoscope.cns.fr/brassicanapus/) to confirm the
255	specificity. The methylation status of the specifically amplified fragments was
256	then analyzed by Kismeth online tool
257	(http://katahdin.mssm.edu/kismeth/revpage.pl). The data of methylated
258	cytosines (CG, CHG and CHH) between the two parental lines were collected
259	and compared by t-test.

#### 260 Generation and analysis of transgenic lines overexpressing *BnSHP1.A9*

To examine the function of different BnSHP1.A9 alleles from R1 and R2 261 parental lines, two overexpression vectors driven by CAMV35S promoter, 262 35S::BnSHP1.A9-R1 and 35S::BnSHP1.A9-R2, were constructed by cloning 263 BnSHP1.A9 coding sequence from R1 and R2 into the pCAMBIA-1301 264 265 vector using Nco I-BstE II cloning sites. BnSHP1.A9 cDNA was amplified 266 from developing pods using primer pair BnSHP1.A9orf (Table 2). The two 267 overexpression vectors were then transformed into Agrobacterium tumefaciens GV3101 strain individually and then used for transformation of 268 the B. napus line R1 (resistant to pod shatter) by A. tumefaciens mediated 269 270 method (Hood et al., 1993). Transgenic T0 and T1 plants were confirmed by 271 PCR detection of hygromycin resistance gene with primer pair HptII-F/R

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272	(Supplemental table 6). The expression level of <i>BnSHP1.A9</i> in transgenic
273	plants was evaluated by RT-PCR. Since CAMV35S promoter could drive
274	constitutive expression of downstream target genes in all tissues of
275	transgenic plant, for the convenience of RNA extraction and early detection,
276	we used leaf tissue for determining the expression level of BnSHP1.A9 in
277	transgenic plant. Transgenic lines of the T1 generation derived from both
278	constructs were evaluated for pod shatter resistance using the random
279	impact test as described previously (Liu et al., 2016).

#### 280 **Results**

#### 281 Sequence variation of *BnSHP1.A9* underlying pod shatter resistance

282 We isolated the full length of BnSHP1.A9 gene from both the R1 and R2 283 parental lines of the DH mapping population. The size of BnSHP1.A9 genomic sequences varied from 2,737 bp in R1 to 2,757 bp in R2, with 7 exons and 6 284 285 introns (Figure 1C). Sequence alignments revealed polymorphisms in the form of SNPs and InDel between the two parental lines. There were 9 SNPs in the 286 287 exon sequences, including 2 nonsynonymous SNPs in exon1 at #25 (T/G) and # 203 (G/T), leading to tyrosine (Y) to aspartic acid (D) and arginine (R) 288 289 to leucine (L) amino acid variation, respectively. The point mutation in exon 3 ( 290 # 1751,T/C) causes Y to H (histidine) amino acid alteration, while A/T 291 mutation at #2480 in exon 6 leads to D to valine (V) amino acid change 292 (Supplemental table 7). However, the most abundant sequence divergence

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293	was found in the first	t intron including 2	21 SNPs and	10 InDels between R1 and

294	R2, which enabled	us to develop a gene	e specific marker for BnS	HP1.A9.
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295 In order to determine whether the polymorphism in BnSHP1.A9 is associated with pod shatter resistance in a DH population derived from R1 and R2, we 296 297 developed a primer pair (IF4) for specific amplification of BnSHP1.A9 alleles (Table 1). IF4 amplified a 267-bp fragment from R1 and a 290-bp fragment 298 299 from R2. Genotypic analysis of all the 96 DH lines showed a segregation for a 300 single locus, with 35 lines containing the R1 allele and 55 lines containing the 301 R2 allele ( $\chi_2 = 4.44$ , P = 0.035) (Supplemental table 8). These marker data 302 were integrated with previously obtained SNP data from the R1/R2 DH 303 population (Liu et al., 2016). QTL analysis identified two genomic regions, qSRI.A9.1 and qSRI.A9.2 for pod shattering resistance on chromosome A9, 304 305 explaining 11.26% - 12.07% and 27.58% - 38.11% phenotypic variation, respectively (Figure 1A; Table 2). At gSRI.A9.1, the R2 allele contributed a 306 positive effect to pod shatter resistance, whereas the R1 allele contributed a 307 308 negative effect. In contrast, at *qSRI.A9.2*, the R1 allele contributed a positive 309 effect to pod shatter resistance, whereas the R2 allele contributed a negative 310 effect. Linkage analysis revealed the order of markers to be ni113 -311 BnSHP1.A9 – BrEMS4; the BnSHP1.A9 gene was mapped within the 312 *qSRI.A9.1* genomic region for pod shatter resistance (Table 2, Figure 1A, B).

### A LTR retrotransposon is inserted in *BnSHP1.A9* promoter of R2

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314	To gain an understanding on promoter region of the two BnSHP1.A9 alleles,
315	we sequenced and analyzed the upstream region of BnSHP1.A9 from both
316	parental lines of the mapping population. We identified a 4,803 bp long
317	terminal repeat (LTR)/copia retrotransposon insertion at 252 bp upstream the
318	start codon of BnSHP1.A9 in R2 in opposite orientation (Figure 1C). This
319	LTR retrotransposon contains the typical retro-transposable element
320	structure, including a predicted 4,356 bp single open reading frame with
321	conserved gag, prot, int, RT and RNaseH domains, two identical 169 bp 5'
322	and 3' LTRs flanked by 5 bp direct repeat sequence (5'-GAGGT-3') (Cao et
323	al., 2015). Sequence alignment of this LTR retrotransposon against the NCBI
324	database revealed 100% sequence identity with a B. rapa A9 scafford
325	(LR031568.1), which suggested this LTR retrotransposon insertion may have
326	been originated from <i>B. rapa</i> in R2 paternal line of R1R2 DH mapping
327	population.

# 328 Overexpression of *BnSHP1.A9* alleles promoted pod shattering in pod 329 shatter resistant 'R1' line

Sequence analysis of *BnSHP1.A9* revealed 4 nonsynonymous SNPs in the coding sequence of *BnSHP1.A9* alleles of the two parental lines and three of them are located in the predicted conserved MADS-box and K-box domains. To verify whether these SNPs have any 'phenotypic' effect on gene function, we overexpressed *BnSHP1.A9* coding sequence (CDS) under the control of

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335	constitutive promoter from either R1 or R2 in pod shatter resistant R1
336	background respectively (named $BnSHP1.A9_{R1}$ and $BnSHP1.A9_{R2}$ hereafter).
337	A total of 71 transgenic plants were generated and subsequently assessed for
338	pod shatter resistance index by RIT. The PSRI of the transgenic T1 plants
339	varied from 0.35-0.53, in comparison with the 'wild-type (untransformed)' R1
340	plants, which averaged 0.83 (n = 8). However, no statistically significant
341	difference was found for PSRI between the transgenic BnSHP1.A9-R1 and
342	BnSHP1.A9-R2 overexpression lines (OE). For example, four OE lines T18,
343	T24, T26 and T33 of $BnSHP1.A9{R1}$ had similar PSRI as that of the T9 line of
344	BnSHP1.A9- <sub>R2</sub> (Figure 2).
345	Expression of BnSHP1.A9 in developing pods in 15 selected transgenic T1
346	plants (three from each T1 line) were examined using semi-quantitative RT-
347	PCR. All the tested T1 plants exhibited elevated level of BnSHP1.A9
348	expression (Supplemental figure 1). These results proved that both alleles of
349	BnSHP1.A9 gene (R1 and R2) are functional in promoting pod shattering in B.
350	napus. To explore whether overexpression of BnSHP1.A9 causes dehiscence
351	zone differentiation in siliques, we analyzed pod anatomical structure of

353 (2017). A more compact arrangement of the '*en*b' layer at the valve margin 354 was observed in pod cross sections of the *BnSHP1.A9*-<sub>R1</sub> and *BnSHP1.A9*-<sub>R2</sub> 355 OE T1 lines than in the wild type (Figure 3). Our results indicate that 356 *BnSHP1.A9* gene promotes pod shattering through the increase of the

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BnSHP1.A9-R1 and BnSHP1.A9-R2 OE lines as described in Raman et al.

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number of lignified cells in the '*en*b' layer , thus enhancing tension caused by
 differential contraction of the fruit wall tissue in oilseed rape.

### 359 Expression of *BnSHP1.A9* is repressed in R2

To test the dynamic expression of BnSHP1.A9, we investigated its 360 361 expression pattern in root, stem, leaf, bud, flower, and developing siliques in 362 R1 and R2 parental lines of the mapping population. Since there is a highly 363 homologous copy of SHP1 on chromosome C08 (BnaC08g29520D), to 364 eliminate potential nonspecific amplification, we designed a specific RT-PCR 365 primer pair for BnSHP1.A9 based on SNPs between coding sequences of these two homologues. The result showed that BnSHP1.A9 was expressed 366 367 exclusively in bud, flower and developing siliques in R1. In contrast, almost 368 no expression in either vegetative organs or reproductive organs could be 369 detected in R2 (Figure 4A).

### 370 The LTR insertion correlates with *BnSHP1.A9* repression in DH lines

Since the LTR element insertion locates in the upstream of the start codon of the R2 *BnSHP1.A9* allele, it may play a role in the repression of *BnSHP1.A9* expression. To further test this hypothesis, we investigated the 96 R1/R2 DH lines using promoter specific primers of *BnSHP1.A9* and selected two DH lines (DH56 and DH82 lines) that had the same genotype of *BnSHP1.A9* allele as R1 and R2, respectively. We then analyzed *BnSHP1.A9* expression level in 10, 20, 30 DAF siliques of DH56 and DH82 lines by RT-PCR. A weak

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378	band was visible in the siliques of all three developing stages of DH56, which
379	does not contain the LTR insertion (LTR <sup>-</sup> ). There was no amplification in any
380	of the three silique samples in DH82 containing the LTR insertion (LTR <sup>+</sup> )
381	(Figure 4B). On the basis of these data together with the expression pattern
382	of BnSHP1.A9 in the two parental lines, our results suggest that the LTR
383	insertion in upstream of BnSHP1.A9 is responsible for repression of
384	BnSHP1.A9 transcript.

#### 385 DNA methylation of LTR retroelement insertion is responsible for the

#### 386 repression of *BnSHP1.A9*

387 To understand the basis of LTR insertion mediated repression of BnSHP1.A9, 388 we performed site-specific chop-PCR and bisulfite sequencing of genomic 389 DNA extracted from 20 DAF silique of R1 and R2 to analyze DNA methylation status of the upstream and genomic regions of BnSHP1.A9. 390 391 Chop-PCR amplified no bands from the LTR insertion fragments (b3, b4, b5 392 and b6) of R2 (Figure 5C and 5E) because of hypermethylation of the 393 sequences, whereas same bands were amplified from other fragments of R2 394 as those from R1 (Figure 5B and 5D). These observations hinted that DNA methylation mainly occurred in the LTR insertion. The cytosine residues of 395 LTR inner region were also decayed along far away the central insertion 396 397 region.

398 The bisulfite sequencing results showed that the LTR retrotransposon and

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399 the transcription start region of BnSHP1.A9 in R2 was hypermethylated, 400 while the promoter region of BnSHP1.A9 in R1 was hypomethylated (Figure 401 6B). The methylation level of mF4 region in R2 located at about 1.8 kb 402 upstream the LTR element and the corresponding region of mF3 in R1 are 403 much lower than the downstream regions close to the start codon (Fig. 6B 404 and 6C). In mF1 region, we found the 100% cytosine (C) residues in all sites 405 of CG and CHH (H rerpresents any residues other than G) were methylated 406 in R2 because of the LTR insertion, whereas only 7.84% cytosine residues of 407 the corresponding sites were methylated in R1. The mF2, mF3 and mF4 408 regions in R1 were hypomethylated from 2.37% to 6.10%. We investigated 409 the methylation level of mF2 and mF3 of the LTR region in R2. In the mF2 410 region, 97.49% of cytosine residues was hypermethylated, while only 411 50.47% of cytosine residues were methylated in mF3 region. Among these cytosine residue containing sites in mF3 region, cytosine residues of CG 412 413 were still hypermethylated, however the methylation of cytosine residues of 414 CHG and CHH were significantly decreased to 43.85% and 17.53%. For 415 mF4, the upstream region of about 2 kb away from the LTR and 7 Kb away 416 from the transcription start codon of BnSHP1.A9, the methylation level was 417 significantly decreased to a normal level of about 6.10% in both lines. Thus, 418 methylation by the LTR retrotransposon in the vicinity of BnSHP1.A9 419 promoter region in R2 may directly affect in the down-regulation of 420 BnSHP1.A9 expression.

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# 421 The LTR insertion correlates with pod shatter resistance in diverse 422 oilseed rape germplasm

423	To verify the linkage between this LTR retrotransposon insertion and pod
424	shatter resistance, we tested 135 diverse accessions with an allele specific
425	diagnostic marker for LTR detection (BnSHP1.A9p1/p2, Table 1). The
426	homozygous BnSHP1.A9 (R1) allele (R1 specific, LTR <sup>-</sup> ) and homozygous
427	BnSHP1.A9 (R2) allele (R2 specific, $LTR^+$ ) were detected in 63.7% (86) and
428	15.6% (21) accessions, respectively, and heterozygous BnSHP1.A9 (H) was
429	found in 11.9% (16) accessions (Supplemental table 1). A significant
430	association between the BnSHP1.A9 (R2, LTR <sup>+</sup> ) promoter allele and pod
431	SRI was observed among the tested oilseed rape accessions (Figure 7). The
432	average pod SRI of the lines with BnSHP1.A9 (R2, LTR*) genotype was
433	significantly higher than that of lines with BnSHP1.A9 (R1, LTR <sup>-</sup> ), indicating
434	that BnSHP1.A9 (R2, LTR <sup>+</sup> ) allele could increase PSRI compared to
435	BnSHP1.A9 (R1, LTR <sup>-</sup> ) allele.

436

#### 437 **Discussion**

#### 438 SHATTERPROOF 1 gene, BnSHP1.A9 underlies pod shatter resistance

In this study, we delineated two QTL; *qSRI.A9.1* and *qSRI.A9.2* with large
allelic effects, on chromosome A9 in a DH population from R1/R2. QTL
accounting for large phenotypic variance of pod shatter resistance have been

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442 identified in various *B. napus* populations (Hu et al., 2012; Raman et al., 2014; 443 Liu et al., 2016). Since a SHP1 paralog of A. thaliana is located in the vicinity 444 of the significant SNP markers associated with pod shatter resistance on chromosome A9 (Raman et al., 2014; Liu et al., 2016, this study), we were 445 446 interested in determining whether SHP1 indeed contributes to the genetic 447 variation for pod shatter resistance in *B. napus* germplasm. Through genetic 448 analyses (using a DH population from R1/R2 and a set of 135 diverse lines of 449 oilseed rape breeding germplasm) and functional characterization via 450 comparative expression analysis and transgenic approaches, we showed that 451 the SHP1 paralog, BnSHP1.A9 underlies pod shatter resistance at qSRI.A9.1 452 in oilseed rape. In this study, we revealed that BnSHP1.A9 is the functional gene regulating 453

pod shatter resistance in R1/R2 DH population and in diverse *B. napus* lines. 454 By overexpressing BnSHP1.A9 cDNA from both R1 and R2 alleles, an 455 average of 50% of PSRI decrease was found in T1 lines, thus confirming the 456 457 function of BnSHP1.A9 in pod shatter regulation. This functional analysis 458 result is in accordance with the expression pattern of BnSHP1.A9 in the R1 459 and R2 parental lines. In R2 which contributed positive effect to PSRI on this locus, the expression of BnSHP1.A9 was repressed, indicating that the down-460 461 regulation of the target gene enhances PSRI. Overexpression of BnSHP1.A9 462 only partly decreased the pod shatter resistance of R1. This can be attributed 463 to other loci known to contribute positive effect in R1 for pod shatter

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464	resistance, such as the <i>qSRI.A9.2</i> as well as other <i>SHP1</i> or <i>SHP2</i>
465	homologues which are known to act redundantly and control dehiscence zone
466	differentiation (Liljegren et al., 2000). Although the expression difference of
467	BnSHP1.A9 exists in lines with contrast genetic effects of this locus, the allelic
468	variation of BnSHP1.A9 per se is not the causal factor for the phenotypic
469	variation of pod shatter resistance, as overexpression of both alleles of the
470	BnSHP1.A9 gene facilitated pod shattering in B. napus.

# 471 LTR retrotransposon insertion in the upstream region regulates 472 BnSHP1.A9 epigenetically

473 Comparative and association analyses revealed that the LTR 474 retrotransposon insertion was significantly associated with pod shatter 475 resistance among 135 collected accessions, and in R1/R2 DH population. The BnSHP1.A9 (R2 allele) promoter region, including LTR retrotransposon 476 insertion was found to be highly methylated, which is responsible for the 477 478 depression of BnSHP1.A9 expression and the positive effect to pod shatter 479 resistance phenotype. Transposable elements (TEs) are well known to play 480 positive roles in generating genomic novelty and diversity in plants (Song et al., 2017). TEs are frequent found in B. napus genomes (Chalhoub et al., 481 482 2014; Sun et al., 2017) and have been implicated in DNA methylation and 483 H3K9me2 modification (Eichten et al., 2012; Gent et al., 2013), altering gene expression both genetically and epigenetically (Cui and Cao, 2014). In fact, 484

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485	several oilseed rape genes related to morphological or physiological traits
486	have evolved from TE insertions (Hou et al., 2012; Zhang et al., 2015; Gao et
487	al., 2016; Shi et al., 2019). The current study implies that DNA methylation of
488	the Copia-LTR insertion spreads to BnSHP1.A9 cis-regulatory region. This
489	epigenetic modification may change the accessibility of RNA polymerase II
490	and transcription factors to the BnSHP1.A9 promoter, and ultimately altering
491	transcription patterns (Zhao et al., 2013).

B. napus is an allotetraploid originated from natural hybridization of B. rapa 492 and Brassica oleracea. The LTR insertion in BnSHP1.A9 promoter region 493 494 showed 100% sequence identities with B. rapa. This suggests that the 495 insertion of LTR retrotransposon event took place before the generation of B. napus as a species. However, only 15.6% of the natural *B. napus* population 496 497 was found to contain the LTR insertion, which indicates that the LTR insertion might be lost in the process of domestication or breeding of rapeseed. As 498 pod shattering is beneficial to seed release, the loss of LTR insertion is 499 500 evolutionarily advantageous. This is further consistent with the theory that 501 pod shatter resistance was selectively against during natural evolution or 502 domestication.

503 We thus propose a simple model to explain the *BnSHP1.A9* dependent pod 504 shatter resistance in *B. napus*. The non-LTR *BnSHP1.A9* (in R1, LTR<sup>-</sup>) is in a 505 transcriptionally active state. DNA methylation of the LTR insertion in 506 *BnSHP1.A9* promoter (in R2, LTR<sup>+</sup>) spreads to the transcription initiation region, thus converting *BnSHP1.A9* from an active state to a silenced state.
In this study, hypermethylation of the *BnSHP1.A9* promoter region, mainly
through CG and CHH methylations, appears to be the major epigenetic
factor in the regulation of gene expression. It seems reasonable for plants to
evolve such an epigenetic regulatory mechanism to gain functional variation
for pod shatter resistance.

#### 513 Application of *BnSHP1.A9* gene for oilseed rape breeding

514 In this study we investigated the molecular basis of pod shatter resistance in 515 oilseed rape utilizing natural variation in *B. napus* germplasm and showed that 516 a single gene, BnSHP1.A9, controls genetic variation for pod shatter resistant 517 at gSRI.A9.1 locus. Our research provides two gene-specific markers, one co-518 dominant marker (BnSHP1.A9IF4) detecting sequence difference within the 519 CDS of BnSHP1.A9, and the other co-dominant marker (BnSHP1.A9P1/P2) 520 detecting presence/absence variation (PAV) of LTR insertion in BnSHP1.A9 521 promoter region. Both markers can be applied for the efficient selection of this 522 QTL for pod shatter resistance in *B. napus* breeding programs starting from 523 early generations. Previously, there were only linked markers available for 524 marker assisted selection for pod shatter resistance in *B. napus* to PSRI QTL 525 reported and could be used for breeding (Raman et al., 2014; Liu et al., 2016). 526 Our two gene-specific markers developed in this study could be used to further improve the resistance potential by introducing the BnSHP1.A9 (R2) 527

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allele with positive effect into lines containing the *BnSHP1.A9* (R1) allele for genetic improvement. These markers could be easily assayed via conventional agarose gel electrophoresis and high throughput capillary electrophoresis platforms. The sources of pod shatter resistance identified herein can be used for introgression of favorable alleles and enrichment of alleles in the breeding germplasm.

534 Recently CRISPR-Cas9 genome editing and other genetic transformation 535 platforms have become available for oilseed rape improvement (Zaman et al., 536 2019). Editing IND and ALC genes has improved the pod shatter resistance in 537 B. napus (Braatz et al., 2018a; b). Our results clearly revealed that down-538 regulation of *BnSHP1.A9* could increase PSRI. Genome editing can not only 539 mutate BnSHP1.A9, but also other functionally redundant homologous of SHP1, as well as the homologous of SHP2. Knockdown the multiple copies of 540 functional redundant genes or homologues has more effect on phenotypic 541 542 variation. However, these approaches are difficult to deploy commercially due 543 to the legal restrictions on GMO crops in some countries, such as Europe. In 544 countries that are open to gene edited crops, our finding on the down-545 regulation of the BnSHP1.A9 having a positive effect on pod shatter 546 resistance can be used to manipulate the gene expression by mutation of this 547 gene and its homologs for the improvement of pod shatter resistance in B. 548 napus.

549 In conclusion, we showed that a SHP1 paralog, controls pod shatter

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550	resistance at qSRI.A9.1 QTL in the DH population from R1/R2 and in a						
551	diversity panel of oilseed rape. Our results suggest that upstream promoter						
552	region of BnSHP1.A9 controls pod shatter resistance, rather than the coding						
553	sequence. This study provides a novel source of germplasm, gene-specific						
554	markers and insights on molecular basis of SHP1 mediated resistance to pod						
555	shatter in oilseed rape. These resources will facilitate the genetic						
556	improvement of pod shattering resistance in oilseed rape.						
557							
558							
559	DECLARATIONS						

- 560 Ethics approval and consent to participate
- 561 Not applicable
- 562
- 563 **Consent for publication**
- 564 Not applicable
- 565

#### 566 **Competing interests**

567 The authors declare that they have no competing interests.

568

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578

#### 579 Authors' contributions

QH and HR designed the research. JL, RZ, WH, WW, DM and TC performed genetic analysis and field research. RZ performed genetic transformation and DNA methylation analysis, JL and RZ analyzed data. YQ and RR performed SHP1 analysis using capillary electrophoresis, JL wrote the first version of the manuscript. All authors reviewed the manuscript.

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inhibition. *Front. Plant Sci.* 5, 191.

1

		Forward/Reverse (5'-3')		
Primer name	Amplification objective			Products
				length (bp)
	Quantin	F:		
BnSHP1.		AGAAGTGTCTGAAATCAAA	57	R1: 1928
A9g	analysis	GTGGTA		
		R:		
		CCTTAACTATGAATAAGAAT	57	R2: 1953
		GTCGC		
		F:		
BnSHP1.	Promoter of R1	CCGAGTCCCACGCAAAATA	59	R1: 2264
A9p1		G		
		R:		
		CGGCCACGAGTGGAAAAG	61	
		AT		
		F:		
BnSHP1.	Promoter of R2	TCATTGAAAACGCCGAAGG	61	R2: 6122
A9p2		GTT		
		R:		
		AGCATACCTGTTGCTGGCG	62	

2

			т			
IF2	1	InDel marker analysis	F: ACTTGGGACATAGCCTAAT GATG	58	R1: 267	
			R: TCGTACCACTTTGATTTCA GACA	58	R2: 290	
Tab	le 2. QT	<sup>-</sup> L associated wi	ith pod shatter resistance in	a d	oubled haploid	
рор	Table 2. QTL associated with pod shatter resistance in a doubled haploid population from R1 (pod shatter resistant)/R2 (pod shatter susceptible). DH lines were evaluated for resistance using random impact test. $R^2$ refers to phenotypic					
	ance exp					
		Confid	ent Interval		Additive Effect	

QTL Year LOD score R2 (%) (parental (cM) allele)

						3
qSRI.A9. 1	2013	86.5-93.2	4.91	11.26	-0.07 (R2)	
	2014	88.1-96.6	4.76	12.07	-0.15 (R2)	
qSRI.A9. 2	2013	109.6-113.5	13.43	38.11	0.12 (R1)	
	2014	109.0-112.1	10.32	27.58	0.23 (R1)	

4

# 26 Figure legend

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25

28 Figure 1. Genetic mapping of the QTL; qSRI.A9.1 and underlying the candidate 29 SHATTERPROOF1 paralog of A. thaliana in B. napus, BnSHP1.A09. A. The schematic diagram of the LOD curve for the QTL of pod SRI of the A9 linkage 30 31 group. The abscissa coordinates represent to the genetic linkage group, and the 32 ordinate represents to the LOD value. B. Partial linkage map of chromosome A09, illustrating of the genetic position (cM) of BnSHP1.A9 locus underlying 33 34 **qSRI.A9.1** for pod shatter in R1/R2 mapping population. The left indicates the tag name (the newly developed Indel marker and SSR markers) and corresponding 35 genetic map on the linkage group, corresponding to the location of the 36 37 corresponding QTL for the pod SRI. C. The structural variations present in the 38 BnSHP1.A9 gene between the parental lines of the DH mapping population R1 and R2. QTL was mapped using gene specific InDEL markers. 39

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Figure 2. Pod shatter resistance index (PSRI) of different transgenic plants (T1) and two parental lines. PSRI was assessed with random impact test and 20 pods/each line were assessed with two replications. Standard deviation of each line is also shown.

45

Figure 3. Histological analysis of 30-DAP silique cross-sections of
35S: *BnSHP1*.A9 transgenic rapeseed oil lines.

(A and B) Microscopy observations of cross-sections of R1 siliques. Bars, 200 µm and 40
 µm. (C and D) Microscopy observations of cross-sections of 35S:BnSHP1.A9 transgenic
 rapeseed oil siliques. Scale bars, 200 µm and 40 µm.

51

#### 52 Figure 4. Expression status of *BnSHP1.A9* correlates with LTR retrotransposon

insertion in parents and DH lines. A, *BnSHP1.A9* expression analysis of different
tissues and silique, taken at different developmental stages of R1 and R2. *BnActin*gene was used as an internal control for relative expression analysis. 1: Root; 2:
Stem; 3: Leaf; 4: Bud; 5: Flower; 6: 10d silique; 7: 20d silique; 8: 30d silique; 9: 40d
silique. B, *BnSHP1.A9* expression status in DH56 and DH82 lines. 1: 10d silique; 2:

- 5
- 58 20d silique; 3: 20d silique. C: Genotyping of R1, R2, DH56 and DH82 with specific
- 59 promoter primers of proR1, proR2 and InDel marker of *BnSHP1.A9*.
- 60

# 61 Figure 5. Cross validation of DNA methylation status of *BnSHP1.A9* in

### 62 **R1/R2 silique genomic DNA.**

- 63 **A**, amplified fragments illustrated in *BnSHP1.A9* DNA from R1/R2
- 64 **B, D**: chop-PCR with *BnSHP1.A9* gDNA template and McrBC digested gDNA of 20 DAF
- 65 silique from R1 without McrBC digestion; a1: -2412/-1542, a2: -1616/-882, a3: -902/-30,
- 66 a4: -49/+1413, a5: +1034/+2007
- 67 C, E: chop-PCR with BnSHP1.A9 gDNA template and McrBC digested gDNA of 20 DAF
- 68 silique from R2. b1: -7412/-6823, b2: -6753/-5765, b3: -3191/-2285, b4: -2538/-1788, b5:
- 69 -1707/-1027, b6: -1035/-102, b7: -49/+436, b8: +1035/+2012, b9: +1883/+2793
- 70 M: Trans 2k plus.
- 71

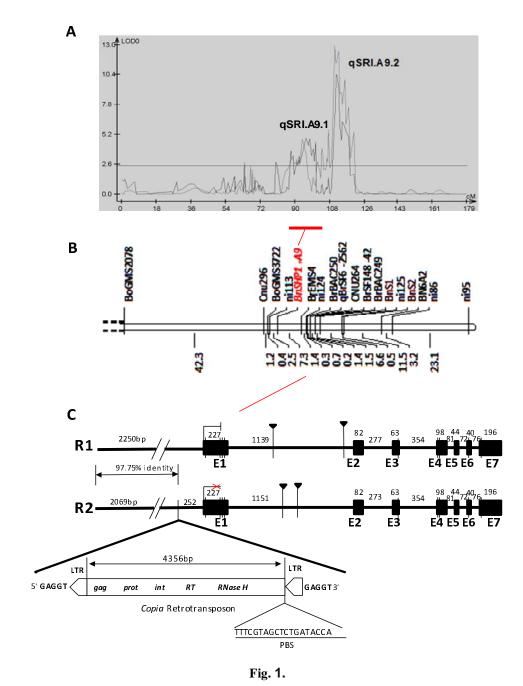
#### 72 Figure 6. Methylation analysis of upstream promoter region of the

#### 73 BnSHP1.A9 comparison between R1 and R2

- A The positions of BSP analysis in the upper 5' UTR region of BnSHP1.A9 (black line) and
- 4803 bp *copia* LTR-retrotransposon insertion (red box) are illustrated. Red lines indicate
   the position of the bisulphite sequencing (BSP) analyses.
- B Methylation of cytosine residues in CG, CHG and CHH sites (purple, green and blue lines, respectively) was revealed by bisulphite sequencing of the four BSP regions.
- <sup>78</sup> lines, respectively) was revealed by bisulprine sequencing of the four DSF regions.
- 79 C Methylation data of cytosine residues in CG, CHG and CHH sites from the four BSP 80 regions
- 81

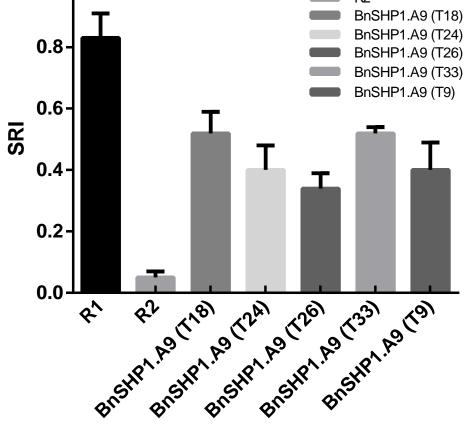
#### 82 Figure 7. The distribution of *BnSHP1.A9* promoter allele in natural population

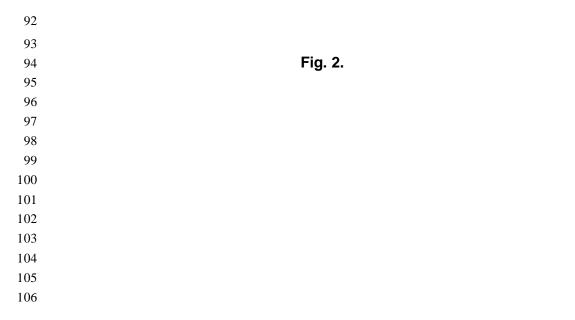
- and corresponding PSRI in 2012 and 2013 environments.
- 84

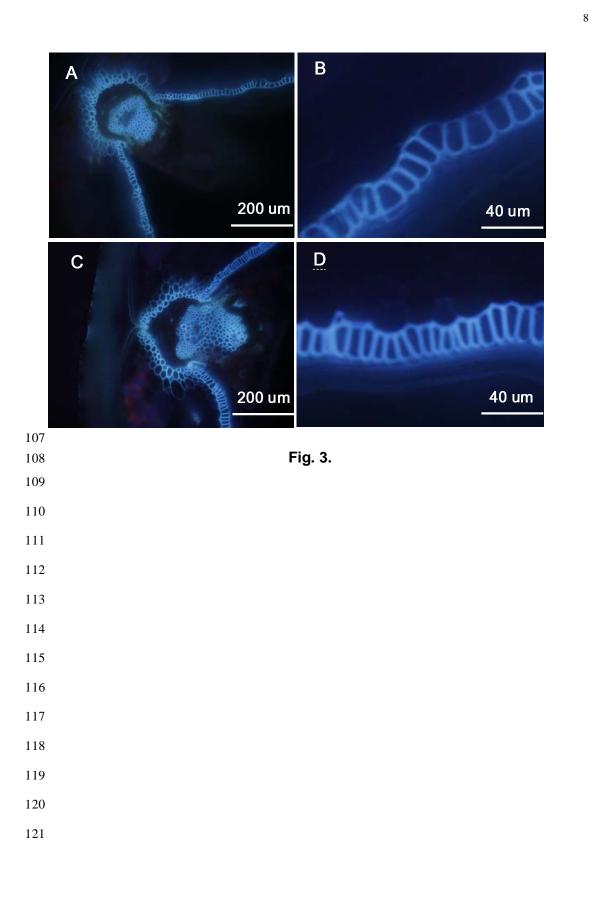


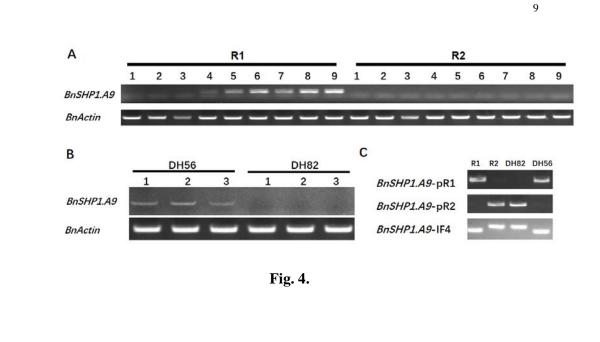
86 87







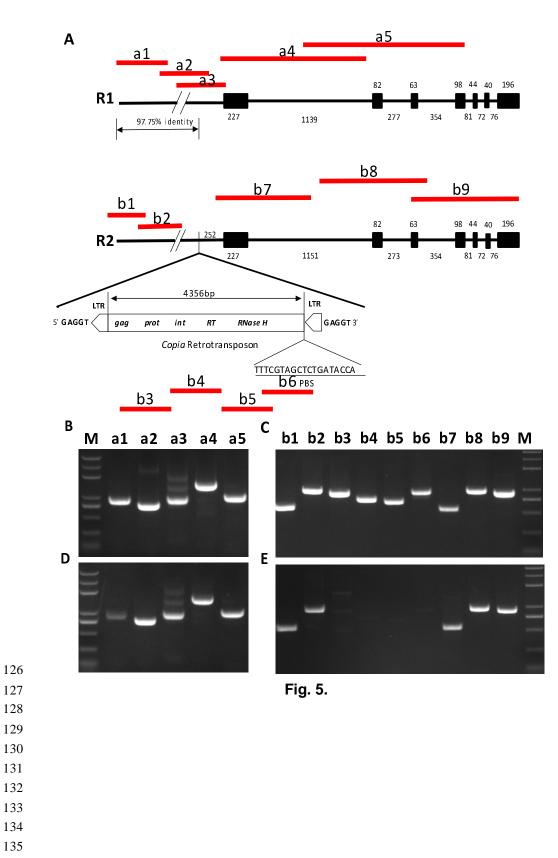


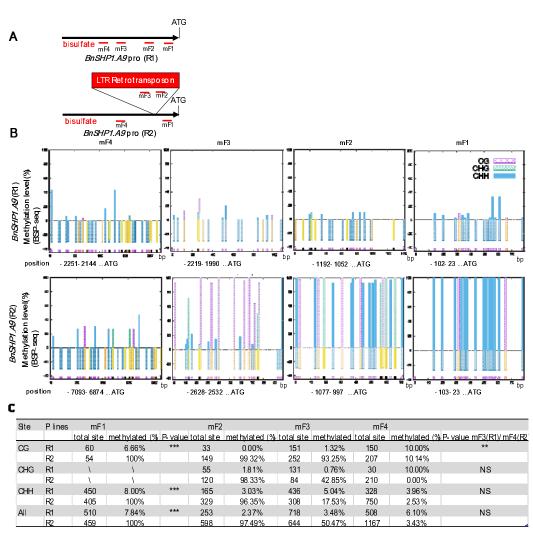


124 125

122

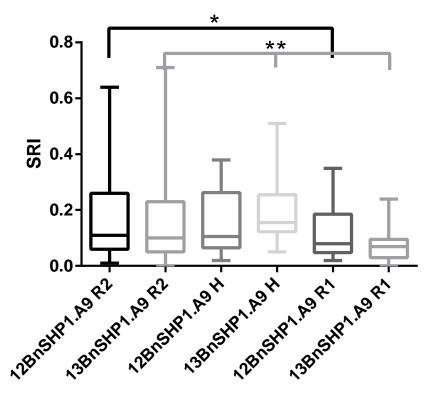
10





Note: p<0.001 \*\*\*; p<0.01 \*\*; NS not significant

Fig. 6.



BnSHP1.A9 promoter genotype

Fig. 7.