The potential for a released autosomal X-shredder becoming a driving-Y chromosome and

invasively suppressing wild populations of malaria mosquitoes

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Abstract

Synthetic sex-ratio distorters based on X-chromosome shredding are predicted to be more efficient than sterile males for population suppression of malaria mosquitoes using genetic control. X-chromosome shredding operates through the targeted elimination of X-chromosome-bearing gametes during male spermatogenesis, resulting in males that have a high fraction of male offspring. Strains harboring autosomal constructs containing a modified endonuclease I-*Ppo*I have now been developed in the malaria mosquito *Anopheles gambiae*, resulting in strong sex-ratio distortion towards males. Data are being gathered for these strains for submission of regulatory dossiers for contained use and subsequent field release in West Africa. Since autosomal X-shredders are transmitted in a Mendelian fashion and can be selected against their frequency in the population is expected to decline once releases are halted. However, any unintended transfer of the X-shredder to the Y-chromosome could theoretically change these dynamics: This could lead to 100% transmission of the newly Y-linked X-shredder to the predominant male-biased offspring and its insulation from negative selection in females, resulting in its potential spread in the population and ultimately to suppression. Here, we analyze plausible mechanisms whereby an autosomal X-shredder could become linked to the Y-chromosome after release and provide data regarding its potential for activity should it become linked to the Y-chromosome. Our results strongly suggest that Y-chromosome linkage through remobilization of the transposon used for the initial genetic transformation is unlikely, and that, in the unexpected event that the X-shredder becomes linked to the Y-chromosome, expression and activity of the X-shredder would likely be inhibited by meiotic sex chromosome inactivation. We conclude that a functioning X-shredding-based Y-drive resulting from a naturally induced transposition or translocation of the transgene onto the Y-chromosome is unlikely.

Introduction

Mosquito species of the *Anopheles gambiae* complex are the main vectors of human malaria and pose an enormous burden on global health and economies. Every year, 300–500 million people are infected with malaria and approximately half a million die as a result of these parasitic infections, 70% of these being children less than 5 years old [1]. The progressive spread of insecticide resistant insects [2,3], combined with the current lack of an efficient malaria vaccine, has prompted the development of new methods. One of the most promising is genetic control, based on the release of laboratory-modified insects into the environment; these individuals mate with wild individuals and transmit control traits that can suppress or modify the targeted population[4,5]. The most commonly used approach to genetically control insects has been the mass release of sterile males — the so-called Sterile Insect Technique (SIT) [6,7]. Through mating with released sterile males, wild females are effectively sterilized, if they only mate once during their lifetime, because offspring of sterile males are incapable of development. If sufficient numbers of sterile males are released over a long enough period, the wild population can be effectively suppressed or even eradicated. However, the economic costs associated with mass production of sterile males, the difficulty of covering very large areas, combined with the need to maintain sterile releases for continued suppression, have restricted the implementation of this method to date.

One way to improve the efficiency of such approaches is through the release of fertile males that are daughterless. Since male mosquitoes do not contribute to disease transmission, releasing males that have viable and fertile sons can help to temporarily maintain the control trait in the population. Two strategies based on such fertile males have been developed in mosquitoes: fs-RIDL (for female-specific Release of Insects carrying Dominant Lethals) and sex ratio distorters

based on X-chromosome shredding. fs-RIDL is based on a construct that is lethal to females that

inherit it, so that daughters of released transgenic males born in the field and inheriting the

transgene die before maturing, but sons survive and pass the transgene to their offspring [8,9]. Sex-

ratio distortion based on X-chromosome shredding instead relies on the expression of a sequence-

specific endonuclease during male spermatogenesis that recognizes and cleaves sequences that are

both specific and abundant on the X-chromosome [10,11]. As a result, X-chromosome-bearing

gametes are excluded from the fertilizing-sperm population, biasing offspring sex-ratios towards

males [12–14]. Mathematical models suggest that both approaches can be more efficient than SIT

in terms of the number of modified males that need to be released to achieve a similar level of

population suppression [15,16]. Despite being more efficient, both fs-RIDL and autosomal X-

shredders (where the transgene is located on an autosome) are self-limiting—i.e. the constructs

underlying the phenotype will not spread in the population—because they are inherited in a

Mendelian fashion and do not provide any fitness advantage over the wild type, unlike self-

sustaining approaches such as those incorporating gene drive constructs [17,18]. Of the two male

daughterless systems, autosomal X-shredders are predicted to be more efficient because daughters

are effectively replaced with male-forming gametes pre-zygotically. Therefore, on average, twice

the number of transgenic male descendants are produced per released male, increasing the effective

number of modified males compared to fs-RIDL males. X-shredding also has the potential to be

used for self-sustaining genetic control applications, in the form of Y-chromosome drive as

originally proposed by Hamilton [5]. This could be done by linking a functional X-shredder to the

Y-chromosome, in which case both the Y-chromosome and the X-shredder gain a transmission

advantage through preferential inheritance of male-forming gametes [19].

An autosomal X-shredding sex-distorter was first developed in *An. gambiae* by Galizi et

al. [11]. They used variants of the I-*Ppo*I endonuclease that specifically cut a specific DNA target

sequence within the 28S ribosomal DNA locus, which in *An. gambiae* is located exclusively on

the X chromosome in approximately 200-400 copies [20]. The I-PpoI protein was modified to

restrict its activity to spermatogenesis, since expression of the native I-*Ppo*I resulted in male

sterility through postcopulatory, embryonic carryover and consequent dominant embryonic arrest

due to the shredding of the maternally inherited X-chromosome [21]. These I-*Ppo*I variants were

fused to eGFP and driven by the *An. gambiae beta-2 tubulin* regulatory regions, which become

active in primary spermatocytes entering male meiosis [22]. The resulting transformation

constructs also included the *DsRed* transformation marker driven by the neuron-specific *3xP3*

promoter, and the entire cassette was flanked by piggyBac-specific left and right arms containing

the inverted terminal repeat sequences (ITRs) (Figure 1A). These ITRs are characteristic for

transposable elements and are required for recognition by the co-injected piggyBac (PB)

transposase that catalyzes integration of the construct in the *An. gambiae* genome at TTAA sites.

A number of transgenic strains carrying independent autosomal insertions of the I-*Ppo*I protein

variants were generated by micro-injecting embryos of the *An. gambiae* G3 laboratory strain. Of

all the transgenic strains examined, [gfp]124L-2, since renamed by Target Malaria as Ag(PMB)1 (for

An. gambiae Paternal Male Bias strain 1), showed high sex ratio distortion among progeny of

transgenic males (approximately 95% males), without significantly affecting male fertility and

fitness [11]. Ag(PMB)1 contains a transgene that encodes a variant of I-*Ppo*I with an amino acid

substitution at position 124 in its dimerization domain and which is fused to eGFP with the F2A

self-cleaving peptide (eGFP(F2A)I-*Ppo*I-124L). This integrated into chromosome 3R-36D in

position 47,762,151bp, from where the sex-distortion phenotype was stably inherited over

consecutive generations. In large cage experiments, weekly inoculative releases of transgenic

Ag(PMB)1 males led to a reduction in frequency of females and egg productivity of the population

over successive generations consistent with model predictions [23].

Since Ag(PMB)1 males are fertile their release could produce viable offspring in the field,

unlike sterile males. This would provide invaluable information about how transgenic, laboratory-

reared males of *An. gambiae* disperse spatially once released through detection of their offspring,

even from small-scale releases aimed at capacity-building and methodology development.

However, unlike fs-RIDL strains that were directly developed for deployment, the lack of a

conditional expression system to control activity of eGFP(F2A)I-*Ppo*I-124L makes this strain

unsuitable for large-scale programs aiming directly for population suppression. The Ag(PMB)1

transgene was not designed for gene drive in its current form - for example it is not able to home

into targeted sequences. It also does not display any fitness advantage over wild type mosquitoes.

Consistent with this, models have shown that the Ag(PMB)1 transgene would disappear over time

when releases are discontinued [16].

However, one feature that is unique to an autosomal X-shredder is the possibility that it

could move to the Y-chromosome after release. If active, a Y-chromosome linked X-shredder

could directly benefit from the increased transmission of the Y-chromosome, thus increasing in

frequency, persisting longer and dispersing further than initial planned. The sequential events

required for such a driving Y to occur can be mapped to pathways to harm using a problem

formulation approach adopted widely in environmental risk assessments (Supplementary Figure

1, Supplementary Figure 2). Three requirements must be fulfilled for a driving Y to occur: (1) the

autosomal X-shredder must first move from its original autosomal position and become physically

linked to the Y-chromosome; (2) the X-shredder would need to be expressed from its new position

on the Y-chromosome during late spermatogenesis in a spatiotemporal manner that is similar to

its original expression from the autosome; and (3) it should impart no significant cost to male

fertility or male viability as a result of its new Y-chromosome-linkage (Supplementary Figure 1,

Supplementary Figure 2).

With regard to requirement (1), there are two possible mechanisms that could result in an

autosomal transgene moving to the Y-chromosome: (i) a transposase-mediated transposition to the

Y-chromosome of the PB transposable element that was used to create the transgenic strain, or (ii)

a recombination-mediated reciprocal translocation resulting in large chromosomal rearrangements

between the autosome and the Y-chromosome. Of the two mechanisms, translocation is the less

likely route, because translocations between autosomal segments and the Y-chromosome occur

very rarely in nature (see Discussion). Furthermore, because these events are so rare, they are

unlikely to be detected in small laboratory reared populations. On the other hand, transposition

from the autosome to the Y through the remobilization of the PB transposon could be possible, if

the X-shredder transgene co-occurs in a genome containing an active PB transposase.

In this paper, we addressed the possibility of transgene remobilization by examining whole

genome sequence data generated from individuals of the Ag(PMB)1 strain, the wild type G3

laboratory colony, and field-derived wild-caught individuals from Burkina Faso for the presence

of the PB transposase. With regard to requirement (2) above – expression from the Y – we

generated two independent transgenic strains containing the eGFP(F2A)I-*Ppo*I-124L (the same in

Ag(PMB)1) construct on the Y-chromosome and evaluated the level of expression and sex-ratio

distortion. Finally, we discuss implications for male fertility depending on the route of movement

to the Y-chromosome.

Results

Evaluating the remobilization potential of the autosomal Ag(PMB)1 X-shredder transgene

The Ag(PMB)1 strain was generated by Galizi et al. [11] by micro-injecting *An. gambiae* G3

embryos with a mixture of the transformation plasmid (pBac[3xP3-DsRed]β2-eGFP(F2A)I-*Ppo*I-

124L) and a helper plasmid, containing the PB transposase expressed from the *vasa* regulatory

regions [24], which direct expression in germline tissues (Figure 1A) [25]. By providing the PB

transposase only in *trans* from a transiently co-injected helper plasmid, the transformation

construct itself becomes immobilized once it is integrated in the genome. This is because, unlike

the complete PB transposable element, the transgene lacks the complete transposase enzyme that

is required for remobilization. Therefore, integrated PB transgenic constructs can only be

remobilized in mosquito transgenic strains, if a PB transposase source is available [26] .

To assess the stability of the Ag(PMB)1 transgene, we first evaluated whether the original

insertion site, as described in Galizi et al. [11], has remained stable in chromosome 3R-36D at

position 47,762,151 bp, over the approximately 100 generations since its initial generation. We

designed PCR primers that span the PB transgene and genomic boundary as originally reported

and repeated the PCR using genomic DNA from four pools of five transgenic larvae each of the

Ag(PMB)1 strain. PCR amplicons were Sanger sequenced and blasted against the *An. gambiae*

genome assembly. Compared to the inverse PCR results from Galizi et al. [11], we found that the

genomic integration site has remained identical (Supplementary Figure 3). This suggests that the

Ag(PMB)1 transgene has not remobilized in the strain, indicating that PB transposase does not

occur naturally in the genome of the laboratory colony. It also suggests that none of the other

naturally occurring transposable elements present in this strain are able to remobilize the

Ag(PMB)1 transgene, in the absence of PB.

To confirm this result, we next tested whether we could detect the gene encoding PB

transposase in the genomes of the G3 or Ag(PMB)1 strains. This would exclude the possibility

that PB transposase gene is present but is either non-functional, e.g. through mutations in its open

reading frame, or suppressed by gene silencing by piRNAs [27,28]. To do this, we generated whole

genome sequence (WGS) libraries from genomic DNA extracted from 10 individuals (5 females,

5 males) of the Ag(PMB)1 strain and downloaded WGS libraries from 24 previously sequenced

G3 individuals from the same insectary colony (PRJNA397539). We mapped the WGS data to the

PB helper plasmid originally used to generate the Ag(PMB)1 transgenic strain, containing the PB

transposase driven by the 5' and 3' regulatory regions of *An. gambiae vasa* gene. Mapping WGS

reads against the helper plasmid ensured that the coding sequence evaluated is experimentally

verified to catalyze excision of PB transgenes, instead of a different transposable element that may

be related at the sequence level but is unable to excise PB transgenes. Conveniently, the helper

plasmid included internal positive controls, in the form of regulatory sequences from the

endogenous single-copy *vasa* gene and parts of the flanking PB left and right arms of the

Ag(PMB)1 transgene (Figure 1A). We observed a high number of mapping WGS reads from G3

samples against the *vasa*-derived regulatory sequences on the helper plasmid, but no continuous

mapping in the region corresponding to the PB transposase enzyme (Figure 1B). For the

Ag(PMB)1 stain, genomic reads mapped to both the endogenous *vasa* regulatory sequences and

to internal sequences of the PB ORF that correspond with the parts of PB left and right arms

included in the transformation construct used to generate Ag(PMB)1, as expected (Figure 1A). No

reads were detected on the PB coding sequence that is excluded in the transformation construct,

thus making it non-autonomous (Figure 1A and B). We then repeated the same analysis using

single-mosquito WGS data from 81 field-caught individuals collected in Burkina Faso in 2012

(PRJEB1670), which is the site of previous releases of genetically sterile male mosquitoes

(Supplementary Figure 4)[29] . Similar to the results from the G3 samples, no reads mapped to the

part of the helper plasmid encoding the PB transposase open reading frame with reads mapping

exclusively to the regions of the endogenous *vasa* gene. Together, these results suggest that the

PB transposase is unlikely to be in the same background as the autosomal Ag(PMB)1 transgene

that is being considered for release.

X-shredder expression from the Y-chromosome during spermatogenesis

The second requirement for the Ag(PMB)1 X-shredder to display gene drive and invasiveness,

assuming the transgene has first moved to the Y-chromosome, is that it is expressed in a correct

spatiotemporal manner from its new location. In the Ag(PMB)1 strain, X-shredding is achieved

through the expression of the eGFP(F2A)I-*Ppo*I-124L transgene from the *An. gambiae beta2-*

tubulin regulatory regions, which is active shortly before the first meiotic division in primary

spermatocytes and continues throughout the subsequent stages of spermatozoa differentiation [30].

In previous work, we have shown that transgenes driven from this promoter are strongly expressed

when located on *An. gambiae* autosomes, but when they are inserted on the X-chromosome

expression is undetectable [31]. This includes various X-chromosome-linked X-shredder variants,

where no significant expression or sex bias was observed [11]. Similar observations of X-linked

transgene transcriptional suppression around meiosis have been made in other species as well [32–

34]. This phenomenon, called meiotic sex chromosome inactivation (MSCI), is thought to be one

of the main driving forces leading to the observed paucity of sperm-specific genes on the X

chromosome, both in *An. gambiae* mosquitoes and in other species [35–37]. By comparison, much

less is known about transgene expression during spermatogenesis from the *An. gambiae* Y

chromosome, which is estimated to be around 26 Mbp long, approximately 10% of the mosquito

genome [38], and is composed nearly entirely of a few massively amplified, tandemly arrayed

repeats and five known genes [39].

To confirm whether MSCI has a similarly inhibitory effect on transgene expression during

spermatogenesis on the Y-chromosome as the X-chromosome, we generated two independent

transgenic strains harboring the Ag(PMB)1 transgene, eGFP(F2A)I-*Ppo*I-124L, on the *An.*

gambiae Y-chromosome. The first transgenic strain, called YpBac-β2-gfp124L, was generated by

random PB integration. We sequenced the insertion site of the YpBac-β2-gfp124L transgene by

inverse PCR on genomic data extracted from transgenic males and found that the construct had

inserted within the ubiquitous Y-chromosome-specific transposable element *zanzibar* [40] (Figure

2A). The second transgenic strain, called YattP-β2-gfp124L, was obtained by secondary φC31 site-

specific integration into an AttP docking site we previously inserted on the Y-chromosome [41].

Similar to the YpBac-β2-gfp124L strain, the AttP site is located in a region of the Y-chromosome

containing the *zanzibar* repeat, though it is not possible to estimate the distance between these two

insertions given the lack of a continuous Y-chromosome genome assembly [40].

As would be expected from Y-linked insertions, transgenic offspring from males of both

Y-linked strains and wild-type G3 females were exclusively male. Testes from transgenic males

of both strains displayed no detectable eGFP signal by fluorescence microscopy, which would be

expected if the eGFP(F2A)I-*Ppo*I-124L X-shredder transgene was expressed (Figure 2B).

Conversely, expression from the 3xP3-DsRed transformation markers in both strains was

phenotypically indistinguishable from autosomal insertions (data not shown), suggesting that Y-

chromosome linkage does not interfere with somatic expression of transgenes, at least from these

two positions [41]. We next analyzed the levels of eGFP(F2A)I-*Ppo*I-124L transcription in the

testes of the YattP-β2-^{gfp}124L and YpBac-β2-^{gfp}124L strains, compared to the Ag(PMB)1 strain.

As a control, we also evaluated expression in testes of two additional strains from the Galizi et al.

[11] study (^{gfp}124L-3 and ^{gfp}111A-2, called here Ag(PMB)2 and Ag(PMB)3, respectively) and

wild-type male. Results from the quantitative RT–PCRs show no significant levels of

eGFP(F2A)I-*Ppo*I-124-L expression in the testes from both Y-linked transgenic lines (Figure 2C).

Consistent with the lack of X-shredder expression, we did not detect any sex bias among progeny

when transgenic males from each Y-linked strain were crossed to wild type G3 females (Figure

2A). These results highlight that, as would be expected from MSCI, the Y-chromosome is not

permissive to transgene expression from the *beta2-tubulin* promoter during late spermatogenesis,

similarly to the X-chromosome.

260 **Discussion**

Genetic control strategies that aim to suppressing wild populations of mosquito disease vectors

have garnered significant interest, and field trials of a number of these systems, including classical

SIT, IIT (*Wolbachia*-based sterility), and RIDL are now underway [42,43]. Synthetic sex-ratio

distorters based on X-chromosome shredding have now been developed in *An. gambiae* [11,44]

and more recently in *Dr. melanogaster* [45]. This system has been shown both theoretically and

experimentally to be more efficient than classical SIT, in terms of the number of insects that need

to be released [11,15,16]. In their most basic form, autosomal X-shredder constructs are designed

to be self-limiting, and their release can potentially result in local and limited suppression if

sufficient males are released over a long enough period. Nonetheless, field releases of fertile

autosomal X-shredder males have not yet been conducted.

Here, we have evaluated the theoretical possibility whereby a released autosomal X-
shredder could convert into a self-sustaining, driving Y-chromosome after release. The
requirements for such an event to occur include: (1) movement of the X-shredder to the Y-
chromosome; (2) its subsequent expression from the Y during late stages of spermatogenesis; and,
(3) no cost to male fitness. We reason that there are two possible mechanisms that could result in
the linkage of the autosomal Ag(PMB)1 to the Y-chromosome: (1) a transposase-mediated
remobilization of the transgene and (2) a large chromosomal rearrangement resulting in the
reciprocal translocation between the region of chromosome 3R containing the transgene and the
Y-chromosome.

We have evaluated the potential of remobilization of the Ag(PMB)1 transgenic construct
through transposition, mediated by the intact PB inverted terminal repeats (ITRs) on either side of
the transgene cassettes, which were used for the initial generation of the strain. Their presence
makes it at least theoretically possible that the Ag(PMB)1 transgene could remobilize from its
position on chromosome 3R, if a source of the PB transposase occurs in *trans*. We therefore
evaluated whether the PB transposase is present in the genomes of the laboratory Ag(PMB)1 and
G3 colonies, and also in field-derived samples from Burkina Faso. We found no evidence of the
complete PB transposase coding sequence in any of the samples we sequenced, suggesting that PB
is not present at appreciable frequencies in *An. gambiae* mosquitoes sampled from nature. This
result is supported by the long-term stability of the Ag(PMB)1 insertion site over 100 generations
since its original construction, a result that suggests that other naturally occurring repetitive
elements in the genome of the Ag(PMB)1 are not functionally capable of PB transgene
remobilization.

We were not able to test the second possibility of remobilization by chromosomal

translocation, as these occur very rarely during meiosis. Under standard laboratory rearing of the

Ag(PMB)1 strain in over 5 years, translocations involving the autosomal transgene and the Y-

chromosome have never been detected – an event that would be immediately noticeable since

fluorescent transgenic individuals would only be male. This extends to scaled experimental

conditions, in which large numbers of Ag(PMB)1 individuals were screened by high-throughput

sorting of individuals using the COPAS sorter based on the 3X-P3-DsRed marker and then

subsequently separated by sex at the pupal stage [23]. This is, in part, expected because of how

rarely such events occur, meaning that experimentally verified rates for such events are not readily

available for the Ag(PMB)1 strain. When translocations between autosomes and the Y are desired

they can be artificially induced in the laboratory, for example with ionizing radiation, chemical

agents, or UV radiation. This is commonly done for insects to link selectable phenotypes to the Y-

chromosome, in so-called genetic sexing strains (GSSs). GSSs are developed so that males can be

separated from females on a large scale in insect bio-factories that produce animals for genetic

control programs, such as SIT [46]. This is done by linking selectable traits, for example insect

color or high temperature tolerance, to the Y-chromosome using induced reciprocal translocations

of mutant alleles located on autosomes. Once generated, these GSSs are then maintained in large

numbers, with billions of insects being produced weekly. The large colony size makes it possible

to detect rare events that result in the breakdown of linkage between maleness and selectable trait.

One of two ways this can happen is through a "reverse" reciprocal translocation involving the

previously modified Y-chromosome and an autosome (known as a type 2 recombination event)

[47]. Because such events lead to a breakdown of the genetic sexing system and restore male

fertility of the semi-sterile males (arising from the translocation itself) leading to their

accumulation, their occurrence is tightly monitored in large-scale rearing operations. In the only

report that quantified the rate of type-2 recombination and distinguished it from type-1 (which

does not involve the translocated Y- chromosome and is more common among the two) in a GSS

of the Mediterranean fruitfly *Ceratitis capitata*, the rate was estimated to be 10^{-5} or less, i.e.

occurring in less than 1 out of 100,000 male individuals [47]. In this case however, there are two

significant factors that would indicate that the rate of an initial, uninduced autosome-Y

translocation would be much lower. First, the rate of recombination in the GSS describes an event

reversing a previously induced autosome-Y translocation, which is likely to be largely mediated

by homologous sequences that are now present on the two translocated Y fragments; a homology

that does not normally exist between autosomes and the Y chromosome. Therefore, the expected

recombination rate resulting in a reciprocal translocation between an autosome and Y would be

lower. Second, the reversion of the previously translocated autosomal fragment on the Y restores

male fertility, that was first compromised by the translocation to the Y (because of gamete

chromosomal imbalance – discussed below) [47]. This means that type-2 recombinant males will

have more viable offspring increasing their rate of occurrence in the population. Together, these

factors suggests that the probability of a translocation event involving the autosomal Ag(PMB)1

transgene and the Y-chromosome in progeny of released males born in the field is much lower

than 10^{-5}. This rate would depend on the size of the Y-chromosome and the relative rate of

recombination in the male germline, which in *An. gambiae* is approximately 1.6 cM Mb^{-1} for

chromosome 3R [48] and similar between males and females [49]. Finally, it is worth noting that

since there is no recombination in *Drosophila melanogaster* males, data on autosome-Y

translocation frequencies do not exist in this insect model, to our knowledge.

In the unlikely event that the transgene was to move to the Y-chromosome, we provide

data regarding the expression of the X-shredder from this chromosome, and conclude that MSCI

during spermatogenesis does affect the Y-chromosome of *An. gambiae*. Our results from two

transgenic strains harboring the Ag(PMB)1 X-shredder transgene in two different positions on the

Y-chromosome, reveal transcriptional suppression during late spermatogenesis from the *beta2-*

tubulin promoter, complementing our previous work which confirmed this for the *An. gambiae* X-

chromosome [11,35,36]. We found no evidence of X-shredder expression by RT-PCR, nor by

fluorescence microscopy of transgenic testis. Offspring of transgenic males from both Y-linked

strains therefore had sex-ratios similar to wild-type males. Hence, even if the Ag(PMB)1 transgene

successfully moved to the Y-chromosome by transposition it is unlikely that the X-shedder would

be active. Since MSCI-factors regulating transcriptional suppression physically spread across the

sex chromosomes after becoming localized on their unsynapsed axes [50,51], it is also expected

that translocated autosomal fragments would become suppressed by MSCI during meiotic stages

of spermatogenesis. Therefore, the weight of evidence argues strongly against the likelihood of

movement of the Ag(PMB)1 transgene to the Y chromosome, particularly via transposition.

However, the equally necessary perquisite for a pathway to a driving Y, namely expression of the

X-shredder on the Y chromosome during male meiosis, seems highly implausible based on the

evidence presented here.

The final requirement for a Y-linked X-shredder to spread through populations is that its

movement to the Y-chromosome and subsequent expression from it would have no significant

fitness costs to males harboring it. Such fitness costs would counteract the theoretical advantage

gained by the Y-linked X-shredder from increased transmission through elimination of X-bearing

sperm. Among the factors determining these fitness costs, the largest contributors would likely be

the mechanism leading to Y-linkage and the outcomes of this movement on each chromosome.

Reciprocal translocations between an autosome, in this case 3R, and the Y-chromosome typically

result in significant male fertility costs [52]. Because of the simultaneous segregation of non-

homologous centromeres (adjacent-1 segregation) during meiosis, only 50% of the offspring

produced by males are genetically balanced, i.e. males are 50% sterile [53]. In certain cases, this

semi-sterility can be even higher, for example in an *An. arabiensis* GSS showing 73.3% male

sterility [53]. Therefore, a Y-linked X-shredder that arose through a translocation event would

likely display such high male fertility costs that it would rapidly disappear from the population.

For transposition-mediated Y-linkage male fitness costs cannot be predicted *a priori*, as gamete

balance and genic content would depend on both the excision event (i.e. how much of the

surrounding chromosome is excised) and on the integration position on the Y-chromosome (i.e.

subsequent knock-out of genes essential for male fitness such as the male-determining gene).

In summary, the findings of the current study support the low probability of Y-chromosome

linkage through remobilization of the transposon used for the initial genetic transformation.

Moreover, even if such as rare event occurred, where the X-shredder would become linked to Y-

chromosome, activity of the X-shredder at the required stage of spermatogenesis would likely be

impeded via chromosome wide suppression of gene expression on sex chromosomes. Prospects

for the systematic generation of an X-shredding-based Y-drive for mosquito control in the future,

will need to find ways to circumvent this transcriptional suppression, for example using alternative

germline specific promoters [37].

Methods

Mosquito rearing

Wild-type *An. gambiae* strain (G3) and transgenic mosquito strains were reared under standard conditions at 28 °C and 80% relative humidity with access to fish food as larvae and 5% (wt/vol) glucose solution as adults. For egg production, young adult mosquitoes (3–5 d after emergence) were allowed to mate for at least 6 d and then fed on mice. Three days later, an egg bowl containing rearing water (dH$_2$O supplemented with 0.1% pure salt) was placed in the cage. One to two days after hatching, the larvae (L1 stage) were placed into rearing water containing trays.

Assaying transgene chromosomal location

Primers were designed to amplify across the 5' and 3' genomic insertion boundaries of the Ag(PMB)1 transgene. DNA was extracted from 4 individual pools (containing 5 transgenic larvae each) of Ag(PMB)1 mosquitoes following >100 generations of lab maintenance. PCR across the 5' and 3' transgene flanking regions was performed using: pB-5SEQ (5′-CGCGCTATTTAGAAAGAGAGA) with genomic primer RH57 (5'-GAAAACCTTACAAGCGTCTTCAA) to amplify the 5′ junction and pB-3SEQ (5′-CGATAAAACACATGCGTCAATT) with RH53 (5'-GATATTATCGCGCTCGGTCC) to amplify the 3′ junction. Each PCR amplicon was Sanger sequenced using both forward and reverse primers and the resulting sequences were aligned to compare genomic flanking and transgenic sequences to the Galizi 2014 data [11].

Mosquito whole genome sequencing and read mapping

Anopheles gambiae WGS reads from 81 individuals collected in Burkina Faso in 2012 were

downloaded from the European Nucleotide Archive (Study Accession: PRJEB1670;

Supplementary Table 1). WGS data from the G3 laboratory colony were downloaded from the

SRA (Bioproject Accession: PRJNA397539). Genomic DNA from 10 Ag(PMB)1 individuals was

extracted using the Blood and Tissue Kit (Qiagen). For each sample, 100ng of input gDNA was

sheared using Covaris for a 350bp insert size. Library preparation was performed using the

Illumina TruSeq Nano kit. Each sample was tagged with a unique barcode, followed by three

2×150bp High Output V2.5 paired-end sequencing runs on the Illumina NextSeq550 platform,

obtaining an average of 265M reads per sample. WGS data from the Ag(PBM)1 have been

deposited and will be made available for submission of this manuscript. Fastq reads were quality

checked with FastQC [54] and converted to fasta format. Reads were then mapped against the *vasa*

driven piggyBac plasmid [24] using blast blast-2.2.26/bin/blastall -i db.fa -d sample.fasta -p blastn

-F "m L" -U T -e 1-e4 -a 40 -v 5 -b 40000 -K 40000. Only alignments with 98% identity over the

entire read length were kept. Coverage was computed for each sample and normalized to the read

depth of the most deeply sequenced sample using the following formula $X_i = X_i/(X_i/X_{max})$. To

clarify plotting, read depth is reported every 10 bp.

Generation of Y-chromosome linked X-shredder transgenic strains

The YpBac-β2-gfp124L transgenic strain was generated as described in Galizi et al. [11]. Briefly,

An. gambiae G3 embryos were injected with a mixture of 0.2 µg µl−1 of the pBac[3xP3-DsRed]β2-

eGFP(F2A)I-*Ppo*I-124L plasmid and 0.4 µg µl−1 of helper plasmid containing a *vasa*-driven

piggyBac transposase [24]. The hatched larvae were screened for transient expression of the

DsRed marker and positives (~54%) crossed to wild-type mosquitoes. F1 progeny were analysed

for DsRed fluorescence and positives were crossed individually with wild-type mosquitoes to

obtain transgenic lines. The transgene of one strain derived from a G0 male was identified that was

transmitted exclusively to F1 sons, indicating Y-chromosome integration. The stain, now called

YpBac-β2-^{gfp}124L was established and maintained by crossing to wild type females. The YattP-

β2-^{gfp}124L strain was generated by co-injecting the pBac[3xP3-DsRed]β2-eGFP(F2A)I-*Ppo*I-

124L construct with a *vasa*2-driven ΦC31 integrase helper plasmid [24] into eggs of a strain

containing a Y-chromosome AttP docking site [41]. Crosses and screening were performed as

above.

Sex ratio and fertility assays

To assay adult sex ratio, 10 transgenic males of each line were crossed to 10 wild-type females. In

all crosses, mosquitoes were allowed to mate for 3-5 days after the blood meal gravid females were

placed individually in oviposition cups. Larvae were reared to adulthood and sex was counted.

The number of eggs laid as well as the number of larvae hatching were also counted, but only for

the YattP- β2-^{gfp}124L to assay male fertility.

qRT-PCR analysis

qRT-PCRs were performed on mosquito total RNA as described in Galizi et al. [11]. Briefly, 10

pairs of testes from each transgenic strain were pooled to constitute a biological replicate for total

RNA and protein extraction using TRI reagent (Ambion). RNA was reverse-transcribed using

Superscript II (Invitrogen) after TURBO DNA-free (Ambion) treatment following the

manufacturer's instructions. Quantitative real-time–PCRs (qRT–PCR) analyses were performed

on cDNA using the Fast SYBR-Green master mix on a StepOnePlus system (Applied Biosystems).

Ribosomal protein Rpl19 gene was used for normalization. At least two independent biological

replicates from independent crosses were subjected to duplicate technical assays. We used primers

RPL19Fwd   (5′-CCAACTCGCGACAAAACATTC-3′),   RPL19Rev   (5′-

ACCGGCTTCTTGATGATCAGA-3′), eGFP-F (5′-CGGCGTGCAGTGCTTCA-3′) and eGFP-R

(5′-CGGCGCGGGTCTTGT-3′).

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Author Disclosure Statement

No competing financial interests exist.

Figure 1. piggyBac transposase components in laboratory and wild type individuals. (A)

Schematic of a wild type piggyBac (PB) transposon from *Trichoplusia ni* (middle; NCBI accession

DQ236240.1), the Ag(PMB)1 transformation construct (top) and the PB helper plasmid (bottom).

Shown are the regions of the endogenous PB locus present in the two microinjected plasmids

highlighting how both the transformation and helper plasmid lack the complete machinery required

for transposon mobility. pBacL (left) and pBacR (right) arms present in the pBac[3xP3-DsRed]β2-

eGFP(F2A)I-*Ppo*I-124L transformation construct contain the entire flanking inverted terminal

repeats (ITRs) and partial regions of the PB open reading frame (ORF). The helper PB plasmid

containing the complete PB ORF driven from the *vasa*2 regulatory regions lacks the flanking ITRs.

Sequences of the transformation construct are integrated in the genome are shown in solid lines,

whereas sequences present only transiently in injected individuals are shown in dashed lines. **(B)**

Mapping of whole genome sequencing reads from the G3 and Ag(PMB)1 controls (bottom in

negative y-axis; grey and red) and the 81 wild type individuals collected in Burkina Faso villages

(top; blue). The position of the *An. gambiae vasa* regulatory regions (yellow boxes) and the PB

transposase ORF (black box) is also shown. Reads are normalized by scaling counts to the number

of reads in the most abundant sample.

Figure 2. Transcriptional suppression of Y-linked X-shredder constructs abolishes sex ratio

distortion. (A) eGFP fluorescence from dissected wild type (WT), Ag(PMB)1 and YpBac-β2-

gfp124L testis. **(B)** Quantitative RT-PCR showing the relative expression of eGFP(F2A)I-*Ppo*I

variants in different X-shredder strains, compared to expression in the Ag(PMB)1 strain.

Expression of the X-shredder is undetectable in both Y-chromosome insertions. Expression levels

from two additional autosomal strains, Ag(PMB)2 and Ag(PMB)3, which also led to sex ratio

distortion are shown. **(C)** Progeny analysis of males from the two Y linked X-shredder strains

crossed to wild-type females. Shown is the average number of eggs laid per n females analyzed (±

represents the standard error of the mean; SEM). Average percentage of larvae hatching from the

eggs (±SEM), from n females analyzed. Average percentage of males in the progeny (±SEM) from

n females. The total number of eggs or individuals counted in each experiment is given in

parentheses. Sequences (20bp each side) flanking the PB integration site (TTAA) of the

transformation constructs are also shown.

Supplementary Figure 1. Potential Pathways to harm by piggyBac transposition of

Ag(PMB)1 to the Y chromosome.

Supplementary Figure 2. Potential Pathways to harm by reciprocal translocation of the

autosomal Ag(PMB)1 to the Y chromosome.

Supplementary Figure 3. Analysis of Ag(PMB)1 transgene insertion. Blue and violet filled

blocks highlight the 5' and 3' genomic sequences flanking the transgene based on sanger

sequencing of the genomic PCRs. Shown are the results from the Galizi et al.[11] inverse-PCR

analysis (below) and the sequencing of genomic PCRs from the present study (above). The location

of Ag(PBM1) transgene is shown in a red box and is located on chromosomal arm 3R upstream of

AGAP010019 gene (grey filled arrow). Base-pair positions on chromosome 3R are also shown.

The analysis confirms that the insertion site of Ag(PMB)1 strain has remained stable over 100

generations of maintenance in the laboratory.

Supplementary Figure 4. Location of Burkina Faso individuals used in this study. Longitude

and latitude of samples collected in 4 locations in Burkina Faso in 2012. Names of villages are

shown as well as the number of individuals from each site.

Supplementary Table 1. Burkina Faso individuals Ag1000gs supplementary information

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Figure 1

Figure 2

A

Strain	Construct	Eggs per female	hatching %	% males	Insertion site
YpBac-β2-^{gfp}124L	pBac[3xP3-DsRed]β2-eGFP::I-PpoI-124L	N/A	N/A	50.6 ±2.9 n=7 (891)	TGCTGAATTCTAAAA GTTTG**TTAA**ACGTAT ATTTGTATCTAGTT
YattP- β2-^{gfp}124L	attB[eCFP]β2-eGFP::I-PpoI-124L	135.5 ±8.5 n=19 (2574)	81.2 ±3.4 n=19	53.4 ±1.2 n=19 (1850)	TCATTGCTAACATGA AGGCA**TTAA**AAACAA AGGATACCAAGTCA

B

eGFP | Transmission

WT

AgPMB1

YpBacβ2-^{GFP}124L

C

Supplementary Figure 1

Potential Pathway to Harm 1:
Ag(PMB)1 transgene moves to Y-chromosome by piggyBac transposition, becoming a driving-Y

Postulated Pathway to Harm	Hypothesis to Interrupt Pathway	Endpoints to Test Hypothesis
Release of Ag(PMB)1 containing the ^{gfp}124L-2 transgene		Assess in whole genome sequence data from Ag(PMB)1 strain for presence of endogenous piggyBac transpose source
Introduction of autosomal Ag(PMB)1 transgene in local *An. gambiae* population	There is no piggyBac transposase source to mobilize Ag(PMB)1 transgene in *trans* in *An. gambiae* populations	Assess in whole genome sequence data of G3 laboratory strain for presence of endogenous piggyBac transpose source
piggyBac transposase and Ag(PMB)1 in *trans*		Assess in whole genome sequence data of local, field caught population of *An. gambiae* for presence of endogenous piggyBac transpose source
piggyBac transposase remobilises Ag(PMB)1 transgene in germline		
Ag(PMB)1 transgene excises from autosome and integrates onto Y-chromosome		
Ag(PMB)1 transgene is expressed during male meiosis from Y-chromosome	The Ag(PMB)1 transgene would not be expressed if located on the Y chromosome	Insert Ag(PMB)1 transgene on the Y-chromosome and assess gene expression and sex ratio distortion phenotype
Ag(PMB)1 transgene causes X-shredding and male bias		
X-shredding and male bias has no cost to male fertility		
Ag(PMB)1 transgene on Y-chromosome becomes a driving-Y		
Driving-Y causes unintended population suppression in *Anopheles gambiae*		

Supplementary Figure 2

Potential Pathway to Harm 2:
Ag(PMB)1 transgene moves to Y-chromosome by reciprocal translocation, becoming a driving-Y

Postulated Pathway to Harm	Hypothesis to Interrupt Pathway	Endpoints to Test Hypothesis
Release of Ag(PMB)1 containing the ^{gfp}124L-2 transgene		Assess evidence of translocation potential of Ag(PMB)1 transgene from laboratory rearing to date
Introduction of autosomal Ag(PMB)1 transgene in local *An. gambiae* population	The Ag(PMB)1 transgene will not translocate to the Y-chromosome	
Recombination causes reciprocal translocation between chr-3R inclusive of the Ag(PMB)1 transgene and Y chromosome		Assess potential and rate in *Anopheles* and other insects for translocation from autosomal locations to Y chromosome
Ag(PMB)1 translocation to Y chromosome is stably inherited		
Ag(PMB)1 transgene is expressed during male meiosis from translocated Y-chromosome	The PMB1 transgene would not be expressed if located on the Y chromosome	Insert Ag(PMB)1 transgene on the Y-chromosome and assess gene expression and sex ratio distortion phenotype
Ag(PMB)1 transgene causes X-shredding and male bias		Evaluate mechanism of transcriptional suppression of MSCI and the potential spread of MSCI in autosomal translocated fragments
Translocation and X-shredding have no fitness costs to male fetilitys	Autosome to Y translocation would have significant fitness costs to male fertility	
Ag(PMB)1 transgene on Y-chromosome becomes a driving-Y		Assess literature for impact on male fitness of autosomal translocations to Y chromosome in insects
Driving-Y causes unintended population suppression in *Anopheles gambiae*		

Supplementary Figure 3

Supplementary Figure 4