# **1** Comprehensive analysis of IncRNAs reveals candidate prognostic

# 2 biomarkers in multiple cancer types

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# 12 ABSTRACT

- 13 Long non-coding RNAs (IncRNAs) are increasingly recognized as functional units in can-
- 14 cer pathways and powerful molecular biomarkers, however most IncRNAs remain un-
- 15 characterized. Here we performed a systematic discovery of prognostic IncRNAs in 9,326
- 16 patient tumors of 29 types using a proportional-hazards elastic net machine-learning
- 17 framework. IncRNAs showed highly tissue-specific transcript abundance patterns. We
- 18 identified 179 prognostic IncRNAs whose abundance correlated with patient risk and im-
- 19 proved the performance of common clinical variables and molecular tumor subtypes.
- 20 Pathway analysis revealed a large diversity of the high-risk tumors stratified by IncRNAs
- 21 and suggested their functional associations. In lower-grade gliomas, discrete activation
- 22 of *HOXA10-AS* indicated poor patient prognosis, neurodevelopmental pathway activation
- and a transcriptomic similarity to glioblastomas. *HOXA10-AS* knockdown in patient-de-
- rived glioblastoma cells caused decreased cell proliferation and deregulation of glioma
- 25 driver genes and proliferation pathways. Our study underlines the pan-cancer potential
- 26 of the non-coding transcriptome for developing molecular biomarkers and innovative
- 27 therapeutic strategies.

#### 29 INTRODUCTION

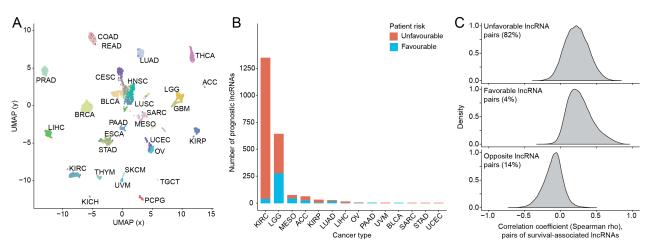
30 The human genome encodes numerous long non-coding RNAs (IncRNAs) that lack protein-cod-31 ing potential and are sparsely annotated [1, 2]. A recent survey annotated nearly 20,000 high-32 confidence human IncRNA genes of at least 200 nucleotides in length, indicating that IncRNAs 33 are at least as common as protein-coding genes [2]. Globally, IncRNAs are transcribed at lower 34 levels compared to protein-coding genes and exhibit transcript abundance patterns specific to 35 tissue types and developmental stages [1, 3]. IncRNAs are involved in the regulation of cellular 36 processes through multifunctional interactions with the genome, transcriptome and proteome [4, 37 5]. Individual IncRNAs are increasingly recognized as key players in diverse biological pro-38 cesses such as chromatin remodeling in X chromosome inactivation [6], post-transcriptional 39 gene regulation through alternative splicing [7], and epigenetic silencing through histone modifi-40 cation [8]. Computational analysis of IncRNAs enables systematic functional insights and gene 41 prioritization. For example, k-mer analysis identified non-linear sequence similarities between 42 IncRNAs that were informative of protein-RNA interactions and sub-cellular localization [9]. 43 However, the vast majority of IncRNAs lack functional annotations and most of our knowledge of 44

non-coding genes is based on a few well-studied examples.

45 IncRNAs are increasingly implicated in cancer hallmark pathways such as proliferation, angio-46 genesis, growth suppression, cell motility and immortality [10]. Specific well-studied IncRNAs 47 are now recognized as biomarkers for diagnosis, prognosis and therapy of cancer. The first 48 IncRNA-based biomarker gene PCA3 is specifically expressed in prostate cancer tissue relative 49 to normal prostate tissue [11] and is now used in non-invasive tests that complement standard 50 serum-based tests of prostate-specific antigen [12]. The IncRNA HOTAIR is involved in cancer 51 progression and metastasis through chromatin remodeling and its increased transcript abun-52 dance in breast cancer is a robust predictor of tumor metastasis and patient survival [13]. Tran-53 scriptional profiling of normal and tumor samples has revealed numerous tissue-specific 54 IncRNAs [1, 15, 16], indicating further potential for discovery and development of cancer bi-55 omarkers based on the noncoding transcriptome. Some IncRNAs are also frequently mutated in 56 cancer genomes and recent studies have identified candidate driver mutations by surveying 57 whole-genome sequencing data in multiple cancer types [17, 18]. Projects such as The Cancer 58 Genome Atlas (TCGA) [19], International Cancer Genome Consortium (ICGC) [20], METABRIC 59 [21] and others have accumulated multi-omics datasets and patient clinical profiles for thou-60 sands of cancer samples. These resources have enabled biomarker studies that associated

cancer patient prognosis with transcript abundance of protein-coding genes and their genetic 61 62 and epigenetic alterations [22-25]. However, associations of IncRNAs with cancer patient sur-63 vival and biological function remain largely unexplored. A recent study characterized recurrent hypomethylation patterns affecting a thousand IncRNAs in the TCGA PanCanAtlas cohort and 64 65 identified the EPIC1 IncRNA as a marker of poor prognosis in a subset of breast cancers [26]. 66 Another TCGA study associated mutations and transcript abundance profiles of IncRNAs with 67 regulatory networks and molecular pathways and nominated candidate oncogenic and tumor 68 suppressive lncRNAs, some of which were functionally validated in cancer cell lines [27]. Analy-69 sis of cell-cycle correlated IncRNAs revealed a subset of S-phase enriched IncRNAs whose 70 transcript abundance profiles correlated with patient survival in multiple TCGA cohorts [28]. 71 However, those studies did not analyze robust prognostic performance of IncRNAs using ma-72 chine-learning and cross-validation approaches, indicating further potential to systematically dis-73 cover lncRNAs as candidate prognostic biomarkers of multiple cancer types.

74 Here we evaluated the transcript abundance profiles of nearly 6,000 IncRNAs as prognostic bi-75 omarkers in human cancers. Using a comprehensive machine-learning analysis, we compiled a 76 robust catalogue of prognostic IncRNAs across nearly 10,000 tumors of 29 types from the 77 TCGA PanCanAtlas project [22, 29]. The majority of our candidate IncRNAs showed improved 78 prognostic potential compared to standard clinical features and molecular tumor subtypes. We 79 associated prognostic IncRNAs with large-scale deregulation of hallmark cancer pathways, re-80 vealing extensive functional diversity of high-risk tumors and potential roles of IncRNAs. Using 81 functional experiments in patient-derived glioma cell lines, we show that knockdown of the 82 IncRNA HOXA10-AS led to reduced cellular proliferation and transcriptional de-regulation of 83 hallmark cancer pathways and driver genes. Our study highlights the translational utility of the 84 human non-coding transcriptome for cancer biomarker discovery and provides a catalogue of 85 high-confidence lncRNAs for functional experiments and biomarker studies.



**Figure 1. Tissue specificity and patient survival associations of IncRNAs in multiple cancer types. A.** Unsupervised clustering of IncRNA transcript abundance across 29 cancer types in TCGA indicates high tissue-specificity of IncRNA transcription. **B.** Thousands of individual IncRNAs are significantly associated with overall patient survival in multiple cancer types (Cox PH, *FDR* < 0.05). **C.** Survival-associated IncRNAs are characterized by highly redundant transcript abundance profiles. Density plots show correlation coefficients from an exhaustive pair-wise analysis of all survival-associated IncRNAs. IncRNA pairs with matching risk profiles (both unfavourable, top; both favourable, middle) are often positively correlated while IncRNA pairs with opposing risk profiles are often negatively correlated in transcript abundance. Thus the non-coding transcriptome represents a redundant space for prognostic marker discovery that is confounded by gene regulatory and clinical features of tumors.

#### 87 RESULTS

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#### 88 Long non-coding RNAs (IncRNAs) show tissue-specific transcript abundance and patient

#### 89 survival associations in multiple cancer types

90 We first characterized the transcript abundance of IncRNAs across 9,326 patients from 29 can-91 cer types with matched RNA-sequencing (RNA-seq) data and clinical annotations of the TCGA 92 PanCanAtlas dataset [22, 29] (Supplementary Table 1). We identified 5,785 high-confidence 93 IncRNAs that were annotated by both the FANTOM CAT project [2] and the Ensembl database 94 [30] (Supplementary Table 2). We first asked whether the IncRNAs showed tissue-specific 95 transcript abundance patterns in the TCGA pan-cancer dataset. Unsupervised clustering of IncRNA transcriptomes using the UMAP dimensionality reduction algorithm [31] revealed a ro-96 97 bust grouping of tumor samples by organ systems and histological subtypes (Figure 1A), akin 98 to multi-omics data of protein-coding genes [29]. For example, the clusters indicated IncRNA-99 based transcriptional similarity of lower-grade gliomas and glioblastomas of the brain (LGG, GBM), colon and rectum adenocarcinomas (COAD, READ), and four types of squamous carci-100 101 nomas (BLCA, LUSC, HNSC, CESC). Highly tissue-specific IncRNA abundance patterns sug-102 gest that the non-coding transcriptome includes uncharacterized diagnostic and prognostic bi-103 omarkers.

104 As a pilot study of IncRNAs as prognostic markers in human cancers, we associated IncRNA 105 transcript abundance with overall patient survival using Cox proportional-hazards (PH) models. 106 We used individual IncRNAs as predictors in combination with standard clinical variables such 107 as patient age, sex, tumor stage and/or grade available in TCGA. Nearly half of IncRNAs were 108 significantly associated with overall patient survival in at least one cancer type (2,740 of 5,785, 109 47%, Wald test, FDR < 0.05), with the majority of IncRNAs found in kidney renal cell carcinoma 110 (KIRC) and lower-grade glioma (LGG) (Figure 1B). Most of these IncRNAs were associated 111 with survival in only one cancer type (2,203/2,740 or 80%), confirming tissue-specificity of 112 IncRNA transcription. The majority of IncRNAs appeared hazardous (81%) as their transcript 113 abundance was associated with poor prognosis. Interestingly, 18% of IncRNAs were zero-di-114 chotomized based on their discrete transcriptional activation patterns, as one group of patients 115 showed high transcript abundance of a given IncRNA while the other patient group showed 116 complete IncRNA silencing. These characteristics suggest a high potential for biomarker discov-

117 ery in non-coding cancer transcriptomes.

118 Having identified thousands of survival-associated IncRNAs in the pilot analysis, we asked

119 whether these represented robust and independent signals of transcript abundance. We per-

- 120 formed an exhaustive co-expression analysis of all 1,116,955 pairs of survival-correlated
- 121 IncRNAs in their corresponding cancer types and found that a large fraction (35%) were signifi-
- 122 cantly correlated in transcript abundance (Spearman correlation, rho >  $\pm 0.3$  and *FDR* < 0.05;

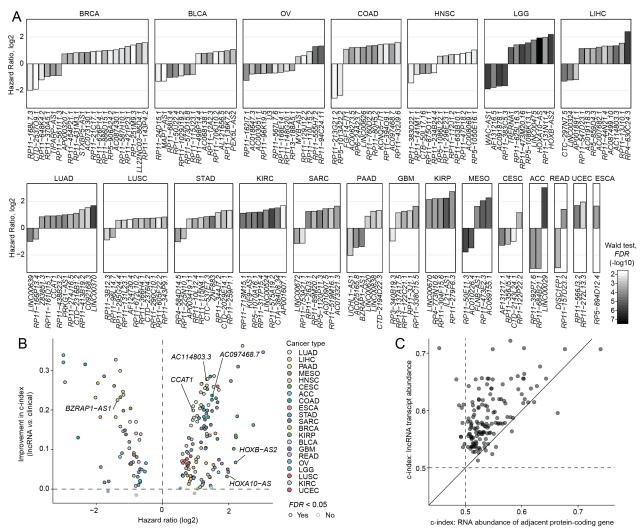
123 **Figure 1C**). As expected, IncRNA pairs with matching prognostic risk were often positively cor-

related while pairs of IncRNAs with opposing risk correlated negatively. Thus, this large pool of

125 putatively survival-associated lncRNAs represent a considerably narrower space of transcrip-

tional signatures that are confounded by factors such as epigenetic or transcriptional co-regula-

- 127 tion, patient clinical characteristics and tumor subtypes. This analysis indicates that many
- 128 IncRNAs are expected to be transcriptionally correlated with patient survival in statistical tests
- 129 however their confounders and high rate of co-expression limit their use in prognostic models
- 130 designed to evaluate previously unseen patients. A systematic computational strategy is needed
- to distinguish representative and robust lncRNAs as prognostic biomarkers.



**Figure 2. Elastic net proportional-hazards framework identifies 179 prognostic IncRNAs. A.** The catalogue of 179 prognostic IncRNAs detected in 21 cancer types. IncRNAs are ordered by hazard ratios (HR) from the most to the least favourable in each cancer type and colored by statistical significance (Wald test, *FDR* < 0.05). **B.** Univariate prognostic models of 179 IncRNAs outperform baseline models of clinical variables in cross-validation experiments. Prognostic model performance is quantified using the concordance index (c-index). **C.** 179 IncRNAs show superior prognostic performance compared to adjacent protein-coding genes.

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#### 133 Elastic net proportional-hazards framework identifies 179 prognostic IncRNAs

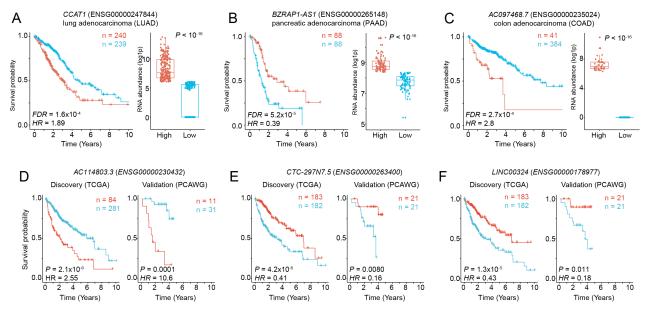
- 134 To identify robust and non-redundant prognostic IncRNAs, we implemented a machine-learning
- 135 strategy of Cox-PH models with elastic net regularization by adapting earlier studies on the
- prognostic evaluation of omics data [25, 32] (Supplementary Figure 1). Briefly, multivariate re-
- 137 gression models with high-confidence IncRNAs as predictors and patient overall survival as re-
- sponse were fitted separately for each cancer type across 1,000 cross-validations with 70/30%

139 data splits for training and testing. Each model initially included a pool of nominally survival-as-140 sociated IncRNAs for the given cancer type that were evaluated based on training data (Cox PH 141 P < 0.05). The subsequent feature selection step extracted a subset of IncRNAs as high-confi-142 dence predictors for that cross-validation iteration. These multivariate models were then evalu-143 ated on test data using the concordance index (c-index), an accuracy measure for risk models 144 with censored survival data [33]. We also fitted baseline models as controls that included only 145 clinical variables as predictors (e.g., tumor stage, grade, patient age and sex, as available in 146 TCGA), and additional combined models that included as predictors both the set of clinical vari-147 ables and all pre-selected transcript abundance profiles of IncRNAs. We evaluated the entire 148 series of multivariate IncRNA-based survival models trained through cross-validations.

149 Prognostic models of IncRNA-based predictors showed consistently superior performance in 150 terms of concordance index values in nine cancer types, compared to baseline models that only 151 included clinical variables (Wilcoxon rank-sum test, FDR < 0.05; Supplementary Figure 2). 152 Combining clinical variables and IncRNA transcript abundance profiles as predictors further im-153 proved prognostic performance of our models in 12 of 28 cancer types. To evaluate false-posi-154 tive rates of our strategy, we also generated 100 simulated datasets for each cancer type by 155 randomly reassigning patient survival data within each cohort of a specific cancer type. As ex-156 pected, c-indices from the simulated datasets were consistently lower than those obtained from 157 true data and centered on the performance value of a random predictor (c = 0.5), lending confi-158 dence to our strategy (**Supplementary Figure 3**). These observations underline the added 159 value of analyzing IncRNAs as prognostic biomarkers and suggest follow-up validation analyses 160 in additional patient cohorts.

161 We prioritized 179 high-confidence prognostic IncRNAs in 21 cancer types that were detected 162 as strong predictors in at least 50% of cross-validated models following the feature selection 163 step of the elastic net framework (Figure 2A, Supplementary Table 3). The majority of 164 IncRNAs (123/179 or 69%) were detected as unfavorable markers with respect to high transcript 165 abundance (median HR = 2.3) while 56 lncRNAs were detected as favorable (median HR = 166 0.48). The largest numbers of prognostic IncRNAs were detected in multiple common cancer 167 types: breast (21), bladder (14), ovarian (14), colorectal (12) and head and neck cancer (12). 168 Lower-grade glioma (12) showed the strongest IncRNA candidates in terms of statistical signifi-169 cance. To quantify the 179 IncRNAs as prognostic markers individually and in combination with

- 170 commonly used clinical variables, we separately considered each IncRNA regarding its prog-
- 171 nostic model fit and also model performance in cross-validation experiments. The vast majority
- 172 of individual IncRNAs (173/179) showed significantly higher prognostic accuracy across 1,000
- 173 cross-validations compared to baseline models comprising common clinical variables, with me-
- dian increase of 0.11 in concordance index (Wilcoxon rank-sum test, *FDR* < 0.05; **Figure 2B**).
- 175 Thus, our catalogue of IncRNAs provides complementary prognostic information to common
- 176 clinical variables in a diverse set of human cancers.
- 177 We verified that our observed prognostic signals were specific to lncRNAs and did not solely re-
- 178 flect the prognostic signals of adjacent protein-coding genes. We identified 147 protein-coding
- 179 genes located within ±10 kbps of 96/179 lncRNAs, including 106 genes that were antisense to
- 180 IncRNAs (Supplementary Table 4). Prognostic models of IncRNA transcript abundance profiles
- 181 exhibited higher concordance measures overall, compared to matching prognostic models of
- protein-coding genes (Rank-sum test,  $P = 7.88 \times 10^{-22}$ ; **Figure 2C**). IncRNA-based prognostic
- 183 models showed higher concordance index values in 139/147 cases compared to similar models
- 184 of adjacent protein-coding genes, with a median improvement of 0.05 in c-index (c=0.58 for
- 185 IncRNAs vs c=0.53 for protein-coding genes). Thus, the catalogue of prognostic IncRNAs is not
- transcriptionally confounded by adjacent protein-coding genes and represents a distinct non-
- 187 coding search space for prognostic biomarker discovery.



**Figure 3. Examples of prognostic IncRNAs in multiple cancer types. A-C.** Prioritized prognostic IncRNAs with Kaplan-Meier survival plots (left) and RNA abundance profiles as boxplots (right). Median-dichotomized transcript abundance profiles (FPKM-UQ) are shown (above median, red; below median, blue). D-F. prognostic IncRNAs in liver hepatocellular carcinoma (LIHC) with validation in an external cohort. Kaplan-Meier plots for the discovery cohort (left; TCGA) and the validation cohort (right, PCAWG) are shown. Sizes of patient groups are indicated in color. Hazard ratios (HR) and P-values were computed using Wald tests with no adjustment for covariates.

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#### 189 Top prognostic IncRNAs in cancer types of unmet need

- 190 We studied the 179 IncRNAs and the adjacent protein-coding genes for known associations with 191 cancer. For example, CCAT1 (ENSG00000247844) located in the chr8p24 super-enhancer lo-192 cus is known to regulate MYC transcription through chromatin long-range interactions [34]. We 193 found CCAT1 as a marker of poor prognosis in lung adenocarcinoma (LUAD) (HR = 1.9, HR 194 range = 1.4-2.5, Cox PH FDR =  $1.6 \times 10^{-4}$ ; Figure 3A). Overall, the 149 protein-coding genes lo-195 cated within ±10 kbps of the 179 IncRNAs included 10 known cancer genes of the Cancer Gene 196 Census database [35] (BCL10, HEY1, HOXA11, HOXA9, IRS4, LASP1, MYB, NCKIPSD, 197 RNF43, SETD2; Fisher's exact P = 0.050), suggesting that a subset of the prognostic lncRNAs 198 may be involved in the regulation of cancer driver genes through transcription regulatory and 199 chromatin architectural interactions. Improved IncRNA-based survival predictions were found in 200 several cancer types with poor outcomes that currently lack reliable prognostic biomarkers, such 201 as colon, pancreatic and liver cancer. We reviewed the top candidates in these cancer types.
- *BZRAP1-AS1* was found as a top significant lncRNA in the pancreatic adenocarcinoma cohort (PAAD) (ENSG00000265148; also known as *TSPOAP1-AS1*). Increased RNA abundance of

204 BZRAP1-AS1 associated with improved patient prognosis (HR = 0.39. HR range = 0.23-0.57. 205 Cox PH FDR =  $5.2 \times 10^{-5}$ ; Figure 3B). Interestingly, BZRAP1-AS1 is partially co-located in the 206 genome with RNF43, a known driver gene with frequent mutations in pancreatic cancer (7%) 207 and a potential therapeutic target [36, 37]. RNF43 mRNA abundance alone did not appear prog-208 nostic in our dataset, potentially highlighting an independent function of this IncRNA. BZRAP1-209 AS1 was recently reported as a survival-associated IncRNA in pancreatic cancer using a com-210 plementary transcriptomics dataset [38], validating our results obtained from the TCGA dataset. 211 AC097468.7 was identified as a top significant IncRNA in the colon adenocarcinoma (COAD) 212 cohort for its unfavorable transcript abundance profile. High abundance of AC097468.7 213 (ENSG0000235024) in a minority of tumors (41/425 or 9.6%; median 1077 FPKM-UQ) was as-

214 sociated with worse prognosis (HR = 2.8, HR range = 1.7-4.9, Cox PH FDR =  $2.7 \times 10^{-4}$ ; Figure 215 **3C**), while the majority of tumors in the COAD cohort showed zero transcript abundance of the 216 IncRNA and relatively better prognosis. The intergenic IncRNA is located between the genes 217 NHEJ1 and IHH within 10 kbps of both genes. NHEJ1 is a core component of the non-homolo-218 gous end joining (NHEJ) pathway that conducts DNA double strand break repair and maintains 219 genome stability [39, 40]. Indian hedgehog (IHH) signaling regulates differentiation of colono-220 cytes while epigenetic activation of IHH causes decreased self-renewal of colorectal cancer-initi-221 ating cells and increased sensitivity to chemotherapy [41][42]. We speculate that the prognostic 222 IncRNA AC097468.7 is involved in the regulation of these pathways through interactions with 223 adjacent protein-coding genes. In summary, these examples demonstrate the potential of our 224 catalogue to develop novel biomarkers and find functional IncRNAs for multiple important can-

cer types.

# 226 Computational validation of AC114803.3, CTC-297N7.5 and LINC00324 as prognostic

# 227 IncRNAs in liver hepatocellular carcinoma

To investigate the 12 prognostic IncRNAs in hepatocellular carcinoma of the liver (LIHC), we studied an additional cohort of 42 patient tumors. The validation cohort was derived from the ICGC/TCGA Pan-Cancer Analysis of Whole Genomes (PCAWG) project [20] and was filtered to exclude tumors from TCGA. We found three IncRNAs with matching prognostic scores and significant P-values in both cohorts based on median dichotomization of transcript abundance values (*AC114803.3, CTC-297N7.5, LINC00324*) (**Figure 3D-F**). 234 AC114803.3 was identified as a top significant IncRNA in both the discovery and the validation 235 cohorts of liver cancer. Increased transcript abundance of this IncRNA was associated with 236 worse prognosis in the TCGA cohort (HR = 2.6, HR range = 1.7-3.7, Cox PH FDR = 1.8x10<sup>-5</sup>) 237 and confirmed in the PCAWG validation cohort (HR = 10.6, HR range = 3.1-36, P = 0.0001) 238 (Figure 3D). In the discovery cohort, AC114803.3 (ENSG00000230432) showed a discrete acti-239 vation pattern with high transcript abundance in a minority of patients with poor prognosis 240 (84/365 or 23% patient tumors with median 4239 FPKM-UQ) whereas a lack of RNA expression 241 was observed in the other lower-risk group representing the majority of patients (0 FPKM-UQ). 242 The discrete activation pattern was also observed in the validation cohort (11/42 tumors with 243 median 0.093 FPKM-UQ, zero otherwise). AC114803.3 is an antisense IncRNA co-located with the PTPRN gene that encodes a signaling protein and autoantigen in insulin-dependent diabe-244 245 tes [43]. A previous study found that DNA hypermethylation of PTPRN was associated with in-246 creased progression-free survival in ovarian cancer [44]. DNA hypermethylation is a repressive 247 epigenetic mark inversely correlated with transcription, thus the study provides complementary 248 evidence to our observation of high transcript abundance of the antisense IncRNA AC114803.3 249 as a hazardous prognostic marker.

250 Two IncRNAs CTC-297N7.5 and LINC00324 were also found as markers of improved prognosis 251 of LIHC through validation in the external dataset. Increased transcript abundance of CTC-252 297N7.5 (ENSG00000263400) was associated with improved prognosis in the TCGA cohort (HR = 0.41, HR range = 0.29-0.61, FDR = 3.2x10<sup>-5</sup>) and validated in the PCAWG cohort (HR = 253 254 0.16, HR range = 0.032-0.76, P = 0.0080) (Figure 3E). CTC-297N7.5 (also known as 255 TMEM220-AS1) is an antisense IncRNA co-located with TMEM220 encoding a poorly charac-256 terized transmembrane protein. This IncRNA has been reported recently as a prognostic factor 257 in hepatocellular carcinoma [45], further validating our analysis. As the third prognostic IncRNA, 258 increased transcript abundance of LINC00324 (ENSG00000178977) was associated with im-259 proved prognosis in the TCGA LIHC cohort (HR = 0.43, HR range = 0.29-0.62, FDR =  $6.0\times10^{-5}$ ) 260 and validated in the PCAWG cohort (HR = 0.18, HR range = 0.04-0.84, P = 0.011) (Figure 3F). 261 This intergenic lncRNA has been functionally associated with the proliferation of gastric cancer 262 cells [46]. Our computations validation analysis is limited by the available datasets and an over-263 all lower detection of IncRNA transcript abundance in the PCAWG dataset. In summary, compu-264 tational validation of our candidate IncRNAs in additional transcriptomics datasets and inde-265 pendent studies provides further support to these non-coding transcripts as prognostic bi-266 omarkers.

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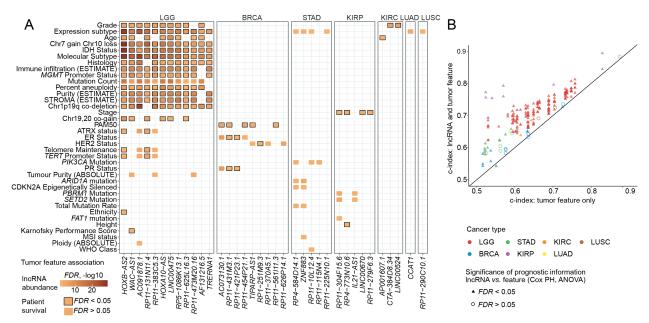


Figure 4. IncRNA transcript abundance improves prognostic performance of known molecular and clinical tumor features. A. RNA abundance of prognostic lncRNAs is associated with molecular and clinical tumor features and subtypes. Coloured boxes indicate significant associations with lncRNA transcript abundance (Chi-square test, FDR < 0.05). A subset of identified tumor features are also independently associated with patient survival (boxes with black frames; Wald test, FDR < 0.05). B. Combined prognostic models with lncRNA transcript abundance and tumor features (y-axis) show consistently higher concordance values compared to baseline models with only tumor features (x-axis). Combined models with statistically significant contribution from lncRNA transcript abundance are indicated with triangles (Cox PH ANOVA, FDR < 0.05). Diagonal shows matching c-index values.

## 269 Transcript abundance information of IncRNAs improves prognostic performance of

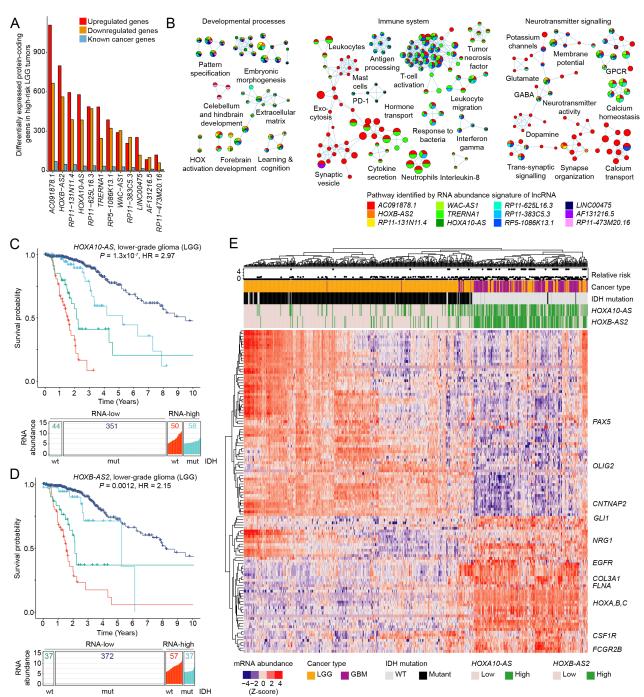
#### 270 known molecular and clinical tumor features

- 271 We asked whether the prognostic IncRNAs represented the transcriptomic footprints of well-de-
- 272 fined clinical and molecular tumor subtypes. We investigated the statistical interactions of prog-
- 273 nostic lncRNAs and of various molecular and clinical tumor annotations defined by TCGA [47].
- We limited the analysis to a subset of IncRNAs (113/179) that were detected in 12/21 cancer
- 275 types for which annotations of tumor features or subtypes were available in TCGA. We found
- 276 224 instances where transcript abundance of IncRNAs (36/113) associated with clinical or mo-
- lecular tumor features (Chi-square test or Spearman correlation test, *FDR* < 0.05; **Figure 4A**,
- 278 Supplementary Table 5). As expected, the majority of these features were also prognostic indi-
- vidually in univariate survival analyses (175/224 or 78%, Wald test, FDR < 0.05). The prognostic
- 280 IncRNAs we identified in lower-grade glioma (LGG) associated with the largest number of mo-
- 281 lecular and clinical features, likely owing to well-defined subtypes of this form of brain cancer.

For example, transcript abundance profiles of the majority of prognostic IncRNAs in LGG were significantly associated with documented prognostic features such as *IDH* mutation status and *MGMT* promoter methylation [48, 49]. These data indicate that transcript abundance profiles of prognostic IncRNAs capture the transcriptomic signatures of known clinical subtypes and molecular features, further supporting the utility of these IncRNAs as prognostic biomarkers.

287 We asked whether the IncRNA transcript abundance profiles provided complementary infor-288 mation to clinical and molecular tumor features. We investigated the 224 cases where the 36 289 IncRNA transcript abundance profiles significantly associated with various tumor features, by 290 comparing combined prognostic models (*i.e.*, IncRNAs and tumor features as predictors) with 291 control prognostic models (*i.e.*, only tumor features as predictors) (Figure 4B). The majority of 292 combined models (209/224 or 93%) showed improved prognostic performance and model fit 293 (Cox PH ANOVA, FDR < 0.05). For example, combining the transcript abundance of IncRNA 294 RP11-279F6.3 (ENSG00000259641) with tumor stage resulted in an improved prognostic 295 model in renal papillary cell carcinoma compared to a baseline model that only incorporated 296 clinical stage as a predictor (median c = 0.93 vs. c = 0.87; Cox PH ANOVA FDR = 2.0x10<sup>-4</sup>). 297 Similarly, transcript abundance of RP5-1086K13.1 (ENSG00000224950) combined with co-de-298 letion of chr1p and chr19g was a significantly better prognostic model in LGG compared to a 299 baseline model that only used these chromosomal alterations for prediction (median c = 0.71 vs.300 c = 0.59, Cox PH ANOVA; FDR = 4.4x10<sup>-7</sup>). These results are limited by the molecular and clini-301 cal tumor features annotated by TCGA, as well as the lower overall transcript abundance and 302 high tissue specificity of IncRNA transcription. Our analysis shows that integrating transcriptomic 303 profiles of IncRNAs can improve the prognostic potential of previously established tumor fea-304 tures such as molecular subtypes and common genomic mutations.

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**Figure 5.** Prognostic IncRNAs in gliomas associate with deregulated driver genes and neurodevelopmental pathways. A. Prognostic IncRNAs in lower-grade glioma (LGG) associate with differential transcript abundance of protein-coding genes (*FDR* < 0.05), including many known driver genes. *IDH1/2* mutation status was modeled as a covariate in transcript abundance analysis. **B.** Pathway enrichment analysis of IncRNA-associated protein-coding genes in LGG shows de-regulation of neurodevelopmental, immune system and neurotransmitter processes (ActivePathways *FWER* < 0.05). Enrichment map shows nodes as significantly enriched GO biological processes or Reactome pathways, nodes sharing many genes are connected with edges, and nodes are grouped by overall functional themes. Node colors represent the prognostic IncRNAs whose transcriptional signatures associated with the specific pathways. **D-E.** Transcript abundance of *HOXA10-AS* and *HOXB-AS2* combined with *IDH1/2* mutation status improves prognostic models in LGG. Kaplan-Meier plots for *HOXA10-AS* and *HOXB-AS2* (top) and distibutions of patients by transcript abundance (high vs. low; log1p FPKM-UQ) and *IDH* mutation status (wildtype vs. mutant) (bottom). Numbers indicate patient counts. **E.** *HOXA10-AS* and *HOXB-AS2* transcript abundance profiles define a malignancy gradient across LGG and glioblastoma (GBM). Heatmap shows differentially expressed genes in IncRNA-associated brain development pathways. High-risk LGGs with activated transcription of *HOXA10-AS* and *HOXB-AS2* cluster with GBMs and primarily include *IDH*-wildtype tumors. Known driver genes are shown.

# 306 Prognostic IncRNAs in gliomas are associated with developmental, immune response 307 and neurotransmission pathways

308 To study potential functional associations, we asked whether transcript abundance profiles of 309 prognostic IncRNAs were associated with transcriptome-wide changes in high-risk tumors. For 310 each IncRNA, we identified differentially regulated genes and mapped their biological context 311 using pathway enrichment analysis [50]. The majority of prognostic IncRNAs (121/179 or 68%) 312 associated with clear transcriptional signatures in IncRNA-stratified high-risk tumors, including at 313 least 30 protein-coding genes with a two-fold change in transcript abundance (FDR < 0.05; 314 Supplementary Figure 4, Supplementary Table 6). These genes were enriched in 3,048 GO 315 biological processes and Reactome pathways in total (FDR < 0.01 from g:Profiler; Supplemen-316 tary Table 7). The majority of detected pathways (75%) were enriched in the transcriptional sig-317 natures of a few IncRNAs (one to five) while a small subset of processes (5%) related to extra-318 cellular matrix organization were enriched in the signatures of more than 15 IncRNAs. This pan-319 cancer pathway analysis highlights the extent of functional diversity of high-risk tumors stratified 320 by IncRNA abundance.

321 We studied the 12 prognostic IncRNAs identified in lower-grade glioma and evaluated their tran-322 scriptome-wide associations. We used a stringent approach that systematically accounted for 323 the tumor mutation status of IDH1/2 genes, a known marker of improved prognosis in glioma 324 [51]. All groups of IncRNA-stratified high-risk LGG tumors were characterized by transcriptomic 325 differences that were significant beyond IDH mutations (Figure 5A). To find pathways and pro-326 cesses commonly deregulated in these high-risk tumors, we performed an integrative analysis 327 of the 12 IncRNA-stratified mRNA abundance signatures. This analysis revealed 325 biological 328 processes and pathways that mapped to 1,345 protein-coding genes co-expressed with one or 329 more of the 12 prognostic lncRNAs (ActivePathways [52] FWER < 0.05; Figure 5B). The path-330 way analysis highlighted 70 known cancer genes that were more frequently differentially ex-331 pressed than expected from chance alone (Fisher's exact test, P = 0.006; including key onco-332 genes EGFR and TERT). The pathway analysis revealed three broad functional themes: devel-333 opmental processes (e.g., forebrain development), immune system (e.g., T-cell activation) and 334 neurotransmitters (e.g., trans-synaptic signaling). The majority of pathways (192/325 or 59%) 335 were deregulated in the transcriptomic signatures of multiple prognostic lncRNAs, however only 336 few pathways were apparent in all IncRNA-based transcriptomic signatures. These prognostic

337 IncRNAs of LGG are co-regulated with diverse processes involved in brain development, neuro-

338 transmitter activity and tumorigenesis, suggesting that a subset of IncRNAs modulate cancer-

339 related biological processes in brain tumors.

#### 340 Transcript abundance of *HOXA10-AS and HOXB-AS2* defines a malignancy gradient

#### 341 across low- and high-grade gliomas

342 To further study the functional roles of prognostic lncRNAs in LGGs, we performed a transcrip-343 tome-wide comparison of lower-grade glioma and high-grade glioblastoma (GBM) tumors in 344 TCGA. We focused on neurodevelopmental processes deregulated in high-risk LGG tumors ap-345 parent in our pathway analysis, such as the Reactome pathway activation of anterior HOX 346 genes in hindbrain development during early embryogenesis that was enriched in mRNA signa-347 tures of high-risk tumors (FWER = 0.003). In this pathway, developmental transcription factors 348 HOXA1, HOXA2, HOXA3, HOXA4 and HOXC4 were co-activated with the two prognostic 349 IncRNAs HOXA10-AS and HOXB-AS2 in high-risk LGG tumors. An extended set of significantly 350 enriched GO processes related to brain and central nervous system development was also 351 found. These processes included 118 differentially expressed genes including known brain can-352 cer genes EGFR, GLI1, and CNTNAP2. The potential neurodevelopmental mechanisms altered 353 in high-risk gliomas highlighted the HOX-associated lncRNAs as high-priority targets for further 354 study.

- 355 HOXA10-AS transcript abundance appeared as highly hazardous in the LGG cohort (HR = 3.8,
- 356 HR range = 2.38-5.19, Cox PH *FDR* =  $5.0 \times 10^{-8}$ ) and a similar highly significant association was
- observed for *HOXB-AS2* (HR = 4.6, HR range = 2.2-5.1, FDR =  $1.4 \times 10^{-6}$ ). When combined with
- 358 *IDH* mutation status, zero-dichotomized transcript abundance profiles of *HOXA10-AS* and
- 359 HOXB-AS2 improved LGG prognostic models compared to univariate models with *IDH* mutation
- 360 status alone (HR = 2.97, HR range = 2.0-4.4, *FDR* =  $8.0 \times 10^{-7}$ , and HR = 2.15, HR range = 1.4-
- 361 3.4, *FDR* = 0.002, respectively) (**Figure 5C-D**). In particular, the subset of ~10% LGG patients
- with no *IDH* mutations and high IncRNA abundance were stratified as the highest-risk group
- 363 compared to all other patients. Thus, the two IncRNAs may represent novel molecular bi-
- 364 omarkers of advanced LGGs whose discrete transcriptional activation patterns in combination
- 365 with *IDH* mutation status indicate dismal outcome.
- We quantified the transcriptional activation of *HOXA10-AS* and *HOXB-AS2* in lower-grade gliomas and glioblastomas. Hierarchical transcriptome clustering of *HOXA10-AS* and *HOXB-AS2*

368 together with the 118 developmental genes across the LGG and GBM cohorts revealed a malig-369 nancy gradient of gliomas (Figure 5E). The major low-risk cluster of tumor transcriptomes con-370 tained LGGs with little or no transcription of the two prognostic IncRNAs. In contrast, the cluster 371 of high-risk LGGs was clearly defined by an increased abundance of HOXB-AS2 and HOXA10-372 AS. This high-risk set of LGGs was clustered together with GBMs, while GBMs were defined by 373 even higher transcript abundance of the two prognostic IncRNAs as well as oncogenes such as 374 EGFR and GLI1. In LGG, HOXB-AS2 and HOXA10-AS were characterized by bimodal tran-375 script abundance: high transcript abundance was observed in few tumors (19% and 21% re-376 spectively), and silencing with zero transcript abundance of the two IncRNAs in the majority of 377 tumors. Further, the majority of GBM tumors showed high transcript abundance of HOXB-AS2 378 (68%) and HOXA10-AS (70%) and their overall transcript abundance was higher in GBMs than 379 in LGGs (Supplementary Figure 5), indicating that HOX-antisense IncRNA expression posi-380 tively correlated with tumor grade. HOXB-AS2 and HOXA10-AS were not significant prognostic 381 in the GBM cohort, perhaps owing to the overall poor prognosis of these advanced tumors 382 (Supplementary Figure 6). This neurodevelopmental gene signature may represent a tran-383 scriptomic subtype of LGG that is marked by discrete transcriptional activation of the two HOX-

384 antisense IncRNAs with prognostic relevance and functional roles.

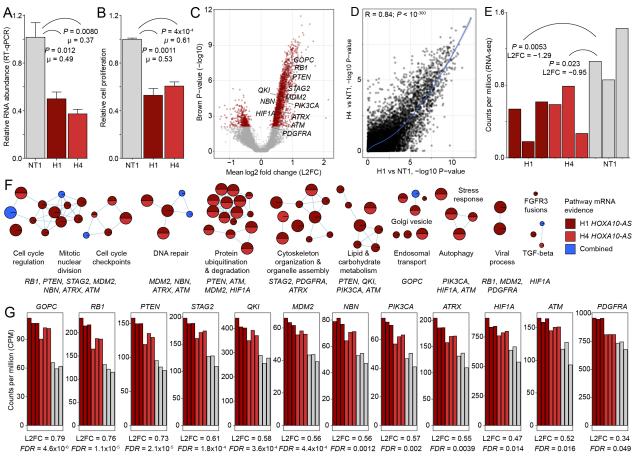


Figure 6. *HOXA10-AS* knockdown in patient-derived GBM caused reduced cell proliferation and deregulation of cell cycle genes and glioma drivers. A. siRNA knockdown of *HOXA10-AS* caused its reduced transcript abundance, as shown by RT-qPCR. Knockdown was performed in triplicates with two siRNAs (H1, H4) targeting the lncRNA and a non-targeting control siRNA (NT1). Significance (Welch T-test) and normalized mean values  $\mu$ are shown. **B.** *HOXA10-AS* knockdown caused reduced cell proliferation on day six post-transfection. **C.** Down-regulation of *HOX10A-AS* in siRNA experiments was confirmed using RNA-seq. **D.** Transcriptome-wide changes induced by the two siRNAs (H1, H4) were strongly correlated. Pearson correlation and loess trendline are shown. **E.** Volcano plot shows protein-coding genes with significant mRNA abundance changes in *HOX10A-AS*-inhibited cells. High-confidence glioma genes are highlighted. **F.** *HOX10A-AS* -inhibited cells showed deregulation of biological processes (ActivePathways *FWER* < 0.05). Enrichment map shows enriched pathways as a network with nodes as pathways and edges connecting pathways with many shared genes. Node color indicates the siRNA experiment that led to differential expression of the pathway. **G.** *HOX10A-AS* inhibition caused transcriptional activation of glioma driver genes. FDR-adjusted Brown P-values and mean log2 fold-change values (L2FC) are shown.

386 HOXA10-AS knockdown in patient-derived glioblastoma cells reduces proliferation and

#### 387 deregulates cell cycle genes and glioma drivers

- 388 The prognostic and pathway associations of HOXA10-AS transcript abundance prompted us to
- 389 investigate this IncRNA functionally. We performed a siRNA-mediated knockdown experiment of
- 390 HOXA10-AS followed by a six-day cell proliferation experiment using the primary patient-derived
- 391 GBM cell line G797 [53, 54]. To minimize off-target effects on the protein-coding gene HOXA10
- 392 antisense to the IncRNA, we used two siRNAs against the unique exon three of the IncRNA.

siRNA-mediated inhibition of *HOXA10-AS* led to two-fold reduction in transcript abundance of the IncRNA relative to non-targeted controls (T-test,  $P \le 0.020$ ; **Figure 6A**). *HOXA10-AS* -inhibited cells showed ~40% lower cell proliferation at the 6-day timepoint ( $P \le 0.0011$ ; **Figure 6B**). Transcriptional inhibition of *HOXA10-AS* and the resulting reduction in cell proliferation was robustly observed in experiments conducted with either of the two targeting siRNAs. These findings indicate the function of *HOXA10-AS* in regulating cell proliferation in glioma and confirm a recent report on this IncRNA [55].

400 To further understand the role of HOXA10-AS in the hallmark pathways of glioma, we con-

401 ducted whole-transcriptome RNA sequencing (RNA-seq) of *HOXA10-AS* depleted cells three

402 days after siRNA transfection. We found a pronounced transcriptional response of 2,428 differ-

403 entially expressed genes in *HOXA10-AS*-inhibited cells relative to non-targeted controls (Brown

- 404 *FDR* < 0.05, log2 fold-change > 1.2 using TREAT [56]; **Figure 6C**). The two targeting siRNA in-
- 405 duced highly correlated transcriptome-wide changes (Pearson correlation test, R = 0.84,  $P < 10^{-10}$

406  $^{300}$ ) and confirmed reduced transcript abundance of *HOXA10-AS* in siRNA-treated cells (*P* <

407 0.023, L2FC < -0.95; **Figure 6D-E**). We interpreted the transcriptomic changes induced by

408 HOXA10-AS knockdown using pathway enrichment analysis and found 84 biological processes

and molecular pathways enriched in the differentially expressed genes (*FWER* < 0.05 from Ac-

410 tivePathways [52]; Figure 6F). The pathways and processes were associated with 2,108 differ-

411 entially expressed genes through the sensitive data fusion approach implemented in Active-

412 Pathways. Known cancer genes were significantly enriched (137 observed vs 91 expected,

Fisher's exact  $P = 2.4 \times 10^{-7}$  and included 12 up-regulated genes that are well recognized in the

biology and mutational driver landscape of glioma (*GOPC*, *RB1*, *PTEN*, *STAG2*, *QKI*, *MDM2*,

415 NBN, PIK3CA, ATRX, HIF1A, ATM, PDGFRA; Figure 6G) [35, 57, 58]. For example, the en-

416 riched GO process *regulation of mitotic cell cycle* (*FWER* = 0.03) provides an explanation to our

417 observed phenotype of reduced glioma cell proliferation and implicates HOXA10-AS in the tran-

418 scriptional rewiring of cell proliferation pathways. 128 genes of this pathway were deregulated in

419 HOXA10-AS inhibited cells, including two upregulated tumor suppressors RB1 and PTEN. Addi-

420 tional enriched pathway themes such as DNA repair (*MDM2*, *NBN*, *ATRX*, *ATM*), protein ubiqui-

421 tination (PTEN, ATM, MDM2, HIF1A), lipid metabolism (PTEN, QKI, PIK3CA, ATM) and TGF-

422 beta signaling (*HIF1A*) suggest further roles of *HOXA10-AS* in mediating cell proliferation in gli-

423 oma. Finally, we asked whether our observed transcriptional and proliferative differences of

424 HOXA10-AS depleted cells would be explained by the antisense homeobox gene HOXA10 that

425 modulates the tumorigenic potential of glioblastoma stem cells [59]. HOXA10 showed no signifi-

426 cant differences in transcript abundance in *HOXA10-AS* depleted cells compared to control-

427 transfected cells in RNA-seq data and RT-qPCR assays (Supplementary Figure 7), suggesting

428 that our functional and transcriptional evidence of altered cell proliferation is specific to the

429 IncRNA HOXA10-AS and is not significantly confounded by any off-target effects of our knock-

430 down experiment. In summary, these findings provide functional evidence to one of our pre-

431 dicted prognostic IncRNAs as a regulator of hallmark cancer processes in glioma.

432

#### 433 **DISCUSSION**

434 The current knowledge of cancer driver genes and molecular classifiers is primarily derived from 435 the protein-coding genome while the vast non-coding genome remains understudied. Our find-436 ings of IncRNAs as prognostic factors in multiple cancer types are consistent with the increasing 437 appreciation of IncRNAs in diverse cellular processes and human diseases. Our study highlights 438 a facet of the non-coding genome that has great potential for basic and translational discover-439 ies. Our machine learning analysis identified a subset of IncRNAs as robust predictors of patient 440 survival in cross-validation experiments, suggesting that these transcripts should be further 441 evaluated as prognostic biomarkers in diverse molecular datasets. To establish one IncRNA as 442 a bona fide modulator of cancer hallmark processes, we functionally validated a prominent can-443 didate IncRNA HOXA10-AS in patient-derived glioblastoma cells and observed significantly re-444 duced cell viability upon IncRNA depletion, differential expression of glioma driver genes as well 445 as transcriptome-wide changes enriched in proliferative, DNA damage response and metabolic 446 pathways. These data suggest further functional and mechanistic experiments to validate 447 HOXA10-AS as a potential therapeutic target. The integrative analysis and experimental valida-448 tion data lend confidence to our overall catalogue of IncRNAs. However, our analysis remains 449 inconclusive to whether all or most candidate IncRNAs are functional in cancer cells or alterna-450 tively represent passive indicators of transcriptional activity. On the one hand, functionally inac-451 tive 'passenger' IncRNAs may be modulated transcriptionally or epigenetically as part of global 452 gene regulatory programs that control hallmark cancer pathways such as proliferation. These 453 markers of large regulatory programs would be expected to outperform any prognostic models 454 based on individual protein-coding genes. For example, we observed that a subset of IncRNAs 455 with hazardous risk profiles were sharply up-regulated in high-risk tumors and completely si-456 lenced in lower-risk tumors. These IncRNAs may be epigenetically repressed in the majority of

457 tumors and aberrantly activated in the high-risk minority group of tumors. Such a binary zero-458 dichotomization pattern is a promising property for biomarker development owing to a natural 459 threshold separating high-risk and low-risk patients, although further validation in independent 460 cohorts is required. On the other hand, a subset prognostic IncRNAs may be functional in cells 461 and act as functional 'drivers' that activate oncogenic processes or inhibit tumor suppressive 462 pathways through interactions with DNA, RNA and proteins. However, further experiments are 463 needed to validate the prognostic IncRNAs as drivers of cancer phenotypes, such as large-scale 464 genome editing screens that are increasingly targeting the non-coding genome encoding 465 IncRNAs [60]. Our findings of prognostic IncRNAs are ultimately limited by the transcriptional 466 and clinical information that was available for inference and validation. The TCGA tumor cohorts 467 that we studied are under-represented in rare and early-stage malignancies and the available 468 clinical variables and patient follow-up data are limited. It is plausible that IncRNA transcription 469 in cancers is associated with unrecorded environmental, genetic and phenotypic variables that 470 confounded our inference of prognostic markers. We used RNA-seg datasets that had been op-471 timized for mRNA quantification and thus additional IncRNAs likely remain uncharacterized or lie 472 below the detection limit of RNA-sequencing protocols. Future multi-omics datasets with deep 473 clinical profiles of patients will enable further discoveries and validation of non-coding RNAs. 474 Our study is a step towards systematic characterization of non-coding RNA genes as molecular 475 biomarkers and functional regulators of oncogenesis.

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#### 486 AUTHOR CONTRIBUTIONS

- 487 K.I. led computational analyses and developed the methodology. K.I. and C.L. analyzed the
- data. K.I., L.J., D.S., and J.R. interpreted the data. L.J. and R.T. conducted experiments. D.S.
- 489 supervised the experiments. K.I. and J.R. conceived and designed the study. F.C. and P.B.D.
- 490 contributed patient-derived cell lines and know-how. J.R. supervised the study. K.I. and J.R.
- 491 wrote the manuscript with input from all authors. All authors approved the final manuscript.

#### 492 CONFLICT OF INTEREST

493 The authors declare no conflict of interest.

#### 495 METHODS

#### 496 Data Collection

497 We downloaded RNA-seq data of the TCGA project for 32 tumor types from the Genome Data 498 Commons (https://portal.gdc.cancer.gov). Overall survival data was retrieved from the latest 499 publication of the TCGA PanCanAtlas project [22, 29]. We selected 29 cancer types where co-500 horts of at least 50 patients were available. We only analyzed one tumor specimen per patient 501 and maintained the tumor with a smaller TCGA serial number for patients with multiple speci-502 mens. Additional information on patient clinical variables such as alcohol consumption, smoking 503 status and molecular subtypes was downloaded using the R package TCGABiolinks [47]. We 504 intersected clinical information and transcript abundance data for each cancer type and retained 505 patient cohorts where matched datasets were available. For IncRNA annotations, we down-506 loaded the latest comprehensive annotation set of 5' IncRNA CAGE peaks from the FANTOM-507 CAT project [2]. We studied 5,785 IncRNAs that were annotated by FANTOM-CAT and the 508 ENSEMBL database and for which RNA abundance data were available in TCGA.

#### 509 Processing TCGA RNA-seq data

510 For all cancer types of the TCGA dataset, we retrieved processed RNA-seq files as FPKM-UQ 511 measurements and raw counts from the Genome Data Commons website. IncRNAs often have 512 low transcript abundance and we first removed the IncRNAs that were not detected in any pa-513 tient tumor sample across all cohorts in TCGA RNA-seg data (n=94). Further, we evaluated me-514 dian transcript abundance of each IncRNA in every cancer type and included two classes of 515 IncRNAs in further analyses. First, we included IncRNAs with a median FPKM-UQ above 0. 516 Second, we also included a set of IncRNAs with binary transcript abundance profiles. These 517 IncRNAs showed median transcript abundance of zero FPKM-UQ representing the majority of 518 tumor samples, while a minority of tumor samples (at least 15) showed transcript abundance of 519 at least 100 FPKM-UQ. To evaluate tissue specificity of IncRNA transcription profiles, we used 520 the UMAP (Uniform Manifold Approximation and Projection) dimension reduction method [31] 521 and the corresponding R package to perform clustering of log1p-transformed FPKM-UQ IncRNA 522 transcript abundance values across the entire TCGA cohort.

#### 523 Training survival models and evaluating generalizability

524 For each cancer type, we evaluated the association between all IncRNAs and overall patient 525 survival. We also evaluated the association between available clinical variables and overall sur-526 vival for comparison. For each cancer type, we split samples randomly into two groups, with 527 70% as the training set and 30% as the test set. Patients within each training cohort were me-528 dian-dichotomized by the transcript abundance of each IncRNA. In case of IncRNAs with me-529 dian transcript abundance of zero, patients with IncRNA transcript abundance above zero were 530 labeled as high-abundance and those with zero abundance were labeled as low-abundance. 531 We used the elastic net framework with a Cox proportional hazards link function to train patient 532 survival models and to perform feature selection. All univariate models were built using the R 533 package "survival". Elastic net modelling was performed using the R package "glmnet" where 534 the penalty hyperparameter  $\lambda$  was determined by fivefold cross-validation within each training 535 set. We used the fixed hyperparameter value  $\alpha$ =0.5 for the elastic net model. We employed 536 1000-fold cross-validation with 70/30% random split of training and testing data for each cancer 537 type. Within each fold, initial elastic-net multivariate models included as predictors all IncRNAs 538 that were univariately survival-associated in the training set (univariate Cox proportional-haz-539 ards (PH) P<0.05). Feature selection during model fitting and regularization determined a non-540 redundant subset of IncRNAs as predictors in the training data. Subsequent cross-validation 541 evaluated the models using concordance index (c-index), an accuracy measure extended to 542 survival analysis [33]. The multivariate Cox PH elastic net models were then applied to the re-543 maining 30% of the test set to obtain a concordance index (c-index) using the R package "sur-544 vcomp". Besides IncRNA-based predictors, clinical variables that were available for each cancer 545 types were also used to build a multivariate model using the training set and applied on test set 546 in a similar manner. Of clinical variables, patient age was always available for all tumor types in 547 TCGA, while other features such as tumor stage, grade and ethnicity were available for a subset 548 of cancer types. Lastly, the available clinical variables were integrated with the IncRNA tran-549 script abundance profiles selected by the elastic net into one multivariate model (the combined 550 model) that was also trained and tested separately. Thus, there were three distinct performance 551 metrics (c-indices) obtained overall for each round of training. The entire outlined process was 552 repeated 1000 times, randomly splitting the data at each iteration. For each cancer type, we 553 subsequently compared the three distributions of c-indices using the two-sided U test to a set of 554 reference models that only utilized clinical variables for survival predictions. Finally, to assess 555 the performance of our models on random data, we shuffled survival outcome across all TCGA 556 patients of a given cancer type while maintaining the order of all predictor variables (IncRNAs

557 and clinical variables). This permutation strategy disrupted the association of survival infor-558 mation and molecular and clinical predictors. The analysis of this simulated data allowed us to 559 evaluate the statistical calibration of our method. We generated 100 random datasets and con-560 ducted 100 cross-validations on each of these datasets. We compared c-indices between mod-561 els fitted using shuffled outcome data and real outcome data using a two-sided U-test. As ex-562 pected, we found considerably lower performance of our models on random data that centered 563 on the expected performance values of random predictors ( $c\approx 0.5$ ), indicating that our models 564 were well calibrated and not prone to statistical inflation and overfitting.

#### 565 Selecting top prognostic IncRNAs

566 To prioritize IncRNAs, we summarized the number of times each IncRNA was maintained as a 567 prognostic feature in all the elastic-net survival models across cross-validations. To obtain the 568 most consistent candidates, we considered the IncRNAs in each cancer type that were included 569 in at least 50% (≥500/1000) of iterations. This list of IncRNAs was further evaluated individually. 570 For validation, we fitted multivariate Cox PH models using each IncRNA candidate together with 571 available clinical variables in respective cancer cohorts to confirm that the prognostic effect of 572 IncRNAs remained present even when accounting for common clinical variables. We also evalu-573 ated Schoenfeld residuals to confirm that the proportionality assumption of the Cox-PH model 574 was met (Supplementary Table 3). Finally, we removed a small subset of candidate IncRNAs 575 that showed opposing hazards in different cancer types. To evaluate the performance of individ-576 ual IncRNA candidates within the TCGA dataset, we conducted a second round of internal 577 cross-validation. Using one IncRNA candidate at a time, we split the respective cancer patient 578 cohort into training (70%) and testing samples (30%) as described above. Univariate Cox PH 579 models were fitted and evaluated on the test datasets to obtain a distribution of c-indices for 580 each IncRNA candidate. Similarly, we conducted internal cross-validation of clinical variables as 581 a baseline reference, by fitting multivariate Cox PH models and evaluating their performance on 582 test sets using the c-index. We also compared combined models where clinical variables were 583 used together with IncRNA transcript abundance profiles for patient survival prediction. These 584 distributions of c-indices were compared using the two-sided Wilcoxon rank-sum tests and re-585 sulting P-values were adjusted using the Benjamini-Hochberg false discovery rate (FDR) proce-586 dure [61].

587 Validating prognostic IncRNAs in additional cohort of hepatocellular carcinoma

588 We used an independent dataset of transcriptomics and patient clinical information available in 589 the ICGC/TCGA Pan-cancer Analysis of Whole Genomes (PCAWG) project [20]. We focused 590 on the liver cancer cohort and removed any patient samples profiled in the TCGA project to cre-591 ate an entirely independent validation cohort comprising primarily of liver cancers (hepatocellu-592 lar carcinomas, HCC) of Japanese individuals [62], resulting in a cohort of 42 tumors with uni-593 formly processed RNA-seq data [63]. Twelve IncRNAs identified in the TCGA LIHC cohort were 594 gueried for prognostic signals in the validation cohort. Within the validation cohort, we consid-595 ered IncRNAs with FPKM-UQ values greater than 0.05 measured in at least five patients. We 596 dichotomized patients by IncRNA transcript abundance as described above. To evaluate signifi-597 cance of patient survival associations, we fitted univariate Cox-PH models with binary predictors 598 reflecting IncRNA transcript abundance and plotted their Kaplan-Meier survival curves using the 599 'Survival' and 'survminer' packages in R. We considered those IncRNAs with nominal P-values 600 from Wald tests as significant (P < 0.05).

### 601 Comparing survival associations of IncRNAs and adjacent protein-coding genes

602 We identified protein-coding genes that were located within 10,000 bps of IncRNA genes using 603 the Genome Reference Consortium Human Build 38 (GRCh38) and the bedtools software [64]. 604 We identified pairs of 96 IncRNAs and 147 protein-coding genes that we evaluated further for 605 differences in patient survival associations. For each pair, we fitted univariate Cox-PH models 606 using median-dichotomized IncRNA transcript abundance labels as described above, and com-607 pared these to Cox-PH models fitted using median-dichotomized transcript abundance values of 608 corresponding protein-coding genes. We compared the sets of two models using cross-valida-609 tion performance (i.e., c-indices) and also model fits (i.e., FDR-adjusted P-values from the Wald 610 test). We also fitted multivariate models using transcript abundance values of both the protein-611 coding gene and the IncRNA gene, and compared those models to univariate models of protein-612 coding genes using ANOVA. Multiple testing correction was performed using the Benjamini-613 Hochberg FDR procedure.

#### 614 IncRNA associations with clinical and molecular tumor subtypes

We conducted a systematic analysis of clinical and molecular subtypes of TCGA tumors using data curated in the R package TCGABiolinks [47]. These clinical and molecular features included basic clinical variables included in our elastic net framework described above (patient age, sex and tumor stage and/or grade, *etc.* as available in TCGA), and additional variables 619 such as molecular subtypes, specific prognostic mutations and tumor histology annotations. 620 These comprehensive sample-specific annotations were only available for 12/21 cancer types 621 for which high-confidence prognostic IncRNAs were predicted, and we further analyzed only the 622 113/179 IncRNAs predicted in these cancer types. For each IncRNA, we evaluated whether the 623 transcript abundance was significantly associated with clinical and molecular features. Dichoto-624 mized IncRNA transcript abundance profiler (high vs. low) were compared to clinical and molec-625 ular features using chi-squared tests as most clinical and molecular variables per patient were 626 recorded as binary categories. For numerical clinical and molecular variables (such as age), we 627 analyzed the spearman correlation between the variables and IncRNA transcript abundance. 628 We adjusted P-values for multiple testing using the Benjamini–Hochberg FDR procedure and 629 selected significant associations (FDR < 0.05). All clinical features from the analysis that were 630 significantly associated with our IncRNA candidates were also evaluated for associations with 631 overall patient survival. For the IncRNAs associated with at least one clinical or molecular feature, we extracted the corresponding (c-index) from a Cox-PH model (model 1). Next. we fitted 632 633 univariate Cox-PH models with the clinical or molecular feature as a predictor of overall patient 634 survival within the respective cancer cohort. For each model we extracted its c-index, HR and 635 Wald test P-value (model 2). Finally, we fitted a multivariate model with both the clinical or mo-636 lecular feature with the IncRNA transcript abundance profile that it was associated with (model 637 3). This allowed us quantify the combination of IncRNA transcript abundance and previously an-638 notated clinical and molecular features. Tests with Cox PH models were defined as:

- <u>Test #1</u>: Anova (model 1, model 3), to assess the improvement of the survival associa tion when using both IncRNA transcript abundance and clinical/molecular features as
   predictors, compared to IncRNA-based predictors alone.
- 642 <u>Test #2</u>: Anova (model 2, model 3), to assess the improvement of the survival associa643 tion when using both IncRNA transcript abundance and clinical/molecular features as
  644 predictors, compared to clinical and molecular features as predictors alone.
- To obtain the final list of lncRNA-associated clinical and molecular features that showed significant improvement in survival association in combination with lncRNA transcript abundance, we considered two criteria: a significant likelihood ratio test (FDR < 0.05) from the Test #2 above, and an absolute increase in c-index in cross-validation experiments.
- 649 <u>Pathway enrichment analysis of IncRNA-associated protein-coding genes</u>
  - 27

650 For each prognostic lncRNA, tumors of a given type were first classified as high-risk or low-risk, 651 based on median dichotomization of the IncRNA as described above. We conducted differential 652 transcript abundance analysis to identify protein-coding genes that were differentially expressed 653 in high-risk tumors. We used raw sequencing read counts from the TCGA RNA-seg datasets 654 and applied the Limma method for differential transcript abundance analysis [65]. We consid-655 ered all protein-coding genes with a filter on effect size (absolute fold change (FC) > 2, FDR < 656 0.05). We highlighted known cancer genes curated in the COSMIC Cancer Gene Census da-657 taset [35]. We then used g:Profiler web server [66] to identify significantly enriched Reactome 658 pathways and GO biological processes in the differentially expressed protein-coding genes as-659 sociated with each IncRNA. We filtered gene sets (pathways and processes) to only include at 660 least 10 and less than 250 annotated genes and a minimum of five pathway-annotated genes 661 differentially expressed in the IncRNA-stratified set of high-risk tumors. Pathway enrichments 662 were filtered by statistical significance (FDR < 0.05 in g:Profiler). An additional stringent version 663 of this analysis was conducted for the 12 prognostic IncRNAs in LGG. First, protein-coding 664 genes with differential mRNA abundance were detected in the LGG cohort by specifically ac-665 counting for IDH mutation status as covariate in the Limma framework. Second, pathway enrich-666 ment analysis was conducted using the data fusion approach implemented in the ActivePath-667 ways package [52]. ActivePathways prioritized protein-coding genes that showed differential 668 transcript abundance signals for multiple prognostic IncRNAs in the LGG cohort. All nominally 669 significant genes were considered for input pathway enrichment analysis according to default 670 parameter settings of ActivePathways (gene-based Brown P<0.1). Resulting enriched pathways 671 were adjusted for multiple-testing correction and filtered according to default settings (Active-672 Pathways, Holm family-wise error rate (FWER)<0.05). Pathway enrichment maps were built in 673 Cytoscape using standard procedures and manually curated for groups of related pathways as 674 functional themes [50]. For LGG, we focused on a subset of neurodevelopmental pathways and 675 associated protein-coding genes for further enquiry into the top prognostic IncRNAs in LGG, 676 HOXA10-AS and HOXB-AS2. We generated heatmaps to summarize the expression of these 677 genes in LGG and GBM using the "ComplexHeatmap" package [67]. The heatmap was gener-678 ated using log1p transformed FPKM-UQ values and a hierarchical clustering with Pearson cor-679 relation distance was applied. Relative risk was calculated for LGG patients using a multivariate 680 Cox-PH model accounting for dichotomized transcript abundances of both HOXB-AS2 and 681 HOXA10-AS.

#### 682 <u>Cell Culture of patient-derived GBM cell lines</u>

The human glioma G797 cells were prepared as described previously as a bulk patient-derived cell cultures [53, 54]. We selected the G797 patient-derived cell line as a suitable candidate for our experiments based on previously generated RNA-seq data [53] that indicated a relatively high native transcript abundance of IncRNA *HOXA10-AS* in these cells. G797 cells were maintained in serum-free NeuroCult<sup>™</sup> NS-A Basal Medium (STEMCELL Technologies Canada Inc) supplemented with N2, B27, EGF (10 ng/ml), and FGF-2 (10 ng/ml), as described previously [68].

# 690 siRNA mediated knockdown of HOXA10-AS

691 A TriFECTa DsiRNA kit (hs.Ri.HOXA10-AS.13) containing one non-targeting control DsiRNA

692 (NT1) and DsiRNAs targeting *HOXA10-AS*, and an additional DsiRNA targeting *HOXA10-AS* 

693 (CD.Ri.209973.13.8) were purchased from Integrated DNA Technologies. The targeting se-

694 quences were: H1, AGACGATTTCAACTGAAGTAATGAA; and H4,

GGTACCTGGAGACGATTTCAACTGA. Transfection of DsiRNAs was performed using Lipofectamine RNAiMAX Reagent (Thermo Fisher Scientific) as per manufacturer's protocol. Exon3 of *HOXA10-AS* is directly antisense to protein-coding exons of *HOXA10*. To avoid off-target effects
of knocking down *HOXA10-AS*, we purposefully avoided this region in siRNA design and instead selected siRNAs targeting exon2 of *HOXA10-AS*, a region unique to *HOXA10-AS* and not
overlapping with *HOXA10*. We confirmed successful knock-down of *HOXA10-AS* by RT-PCR
using primers flanking exon2 of *HOXA10-AS*. With depletion of *HOXA10-AS* we did not observe

a significant change in *HOXA10* transcript abundance in either our RT-qPCR or RNA-seq exper-iments.

# 704 PrestoBlue Cell Viability assay

PrestoBlue Cell Viability assays (A13262, Thermo Fisher Scientific) were performed as per manufacturer's protocol. Briefly, 5,000 cells were seeded into each well of 96-well plates on day 0 of DsiRNA transfection. On each day of viability assay, cells were incubated with 100ul fresh complete medium with the PrestoBlue reagent for 40 min. Then the fluorescence readout was obtained using a SpectraMax Gemini EM Microplate Reader (Molecular Devices) with the excitation/emission wavelengths set at 544/590 nm. Cell viability is reported at the 6-day timepoint of the experiment.

# 712 RNA isolation, cDNA synthesis, and real-time QPCR analysis

- 713 RNA samples were extracted from cells three days post DsiRNA transfection using Quick-RNA
- 714 Microprep Kit (Zymo Research), treated with DNase I (Zymo Research), quantified using the
- 715 Qubit, and reverse transcribed into cDNA using SuperScript IV VILO (Invitrogen). Primers were
- 716 designed to span and/or overlap exon junctions using Primer3Plus. Primers were validated
- against a standard curve and relative mRNA expression levels were calculated using the com-
- 718 parative Ct method normalized to PPIB mRNA [69]. Real-time quantitative PCR (qRT-PCR) re-
- actions were performed on an CFX384 (Biorad) in 384-well plates containing 12.5 ng cDNA,
- 150 nM of each primer, and 5 µl of 2X SensiFAST SYBR No-ROX kit (Bioline) in a 10 µl total
- volume. The following RT-qPCR primers were used: *HOXA10-AS* (NR\_046609.1; forward:
- 722 CAGAGAGAGGGTGGAGGTG; reverse: CTCAGGAGCCTCGTGTCTTT), HOXA10
- 723 (NM\_018951.3; forward: CCTTCCGAGAGCAGCAAAG; reverse:
- 724 TGCGTTTTCACCTTTGGAAT), control gene *PPIB* (NM\_000942.4; forward:
- 725 GGAGATGGCACAGGAGGAA; reverse: GCCCGTAGTGCTTCAGTTT).
- RNA-seq libraries were prepared using Illumina TruSeq Stranded mRNA Sample Prep Kit
- 727 (20020594) as per manufacturer's protocol. The barcoded cDNA libraries were then checked
- 728 with Agilent Fragment Analyzer for fragment size and quantified with ddPCR (BioRad) using
- ddPCR<sup>™</sup> Supermix for Probes (No dUTP) (BioRad cat#1863023) running in BioRad CFX96
- Touch Real-Time PCR Detection System. The quality checked libraries were then loaded on a
- 731 NextSeq 500 running with Nextseq 500/550 high output v2.5 75 cycle kit (Single Read 75 cy-
- cles, Cat#: 20024906). The real-time base call (BCL) files were converted to FASTQ files using
- 733 Illumina bcl2fastq2 (v2) conversion software.
- 734 Analysis of transcriptomics (RNA-seq) data

735 RNA-seq data processing analysis was carried out using standard procedures and custom R 736 scripts. First, sequenced reads were aligned to the human reference genome GRCh38 and 737 passed through a quality assessment pipeline using the package Rsubread [70]. High read 738 mappability was observed in the dataset and all replicates were included. Next, the mapped 739 reads were counted across all genes using the edgeR R package [71]. Counts-per-million 740 (CPM) values were calculated for all genes to normalize read counts resulting from per-replicate 741 differences of sequencing depths. We focused on transcript abundance values of consensus 742 coding sequence genes (CCDS) database V22 [72] and filtered other classes of genes from our 743 dataset. We also filtered lowly expressed genes and only included genes with above-baseline 744 transcript abundance (CPM > 0.5) in at least two replicates. Next, trimmed mean of M values

745 normalization was performed to remove composition bias between libraries [73]. Two design 746 matrices for comparing the three technical replicates corresponding to distinct siRNAs (H1 and 747 H4, respectively) against the three replicates of the control siRNA (NT1) were generated. Tran-748 script abundance values were subsequently transformed with the voom procedure of the limma 749 package [65]. Differential transcript abundance analysis was conducted by first fitting a linear 750 model to the voom-transformed CPM values. Next, an empirical Bayes shrinkage method was 751 performed on the variances and a statistical test using a pre-defined a fold-change (FC) thresh-752 old  $(abs(log_2(FC)) > 1.2)$  was conducted to estimate statistical significance of differential tran-753 script abundance, using the TREAT method [56]. The resulting P-values from the two siRNA ex-754 periments (H1 vs NT1; H4 vs NT1) were merged using the Brown method [74] to prioritize 755 genes differentially regulated in both HOXA10-AS depletion experiments and to deprioritize spe-756 cific off-targets of each of the siRNAs. The merged p-values were corrected for multiple testing 757 using the Benjamini-Hochberg procedure and significant genes were selected (FDR < 0.05). To 758 evaluate the agreement of the two siRNAs, we conducted a Pearson correlation test of log10-759 transformed p-values from the two siRNA experiments. We confirmed that a very small number 760 of significant genes showed opposite fold-changes in the two experiments (3 genes or 0.12%), 761 indicating a strong agreement of the two siRNAs (H1, H4) in depleting HOXA10-AS and an 762 overall lack of major off-target effects. Pathway enrichment analysis of differentially expressed 763 genes was conducted using ActivePathways [48] with all genes and corresponding P-values 764 from the two siRNA experiments (H1 vs NT1; H4 vs NT1) as input and default parameter set-765 tings (FWER < 0.05). Enrichment maps were generated in Cytoscape using the EnrichmentMap 766 app and standard protocols [47]. Pathway-annotated genes from the ActivePathways analysis 767 were curated for known glioma genes using the COSMIC Cancer Census database [35] and 768 previous GBM sequencing studies [57, 58].

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