Comparing the prioritisation of items and feature dimensions in visual working memory

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11 Abstract

Selective attention can be directed not only to external sensory inputs, but also to 12 internal sensory representations held within visual working memory (VWM). To date, 13 14 this has been studied predominantly following retrospective cues directing attention to 15 particular items, or their locations in memory. In addition to item-level attentional 16 prioritisation, recent studies have shown that selectively attending to feature 17 dimensions in VWM can also improve memory recall performance. However, no study to date has directly compared item-based and feature-based attention in VWM, nor 18 19 their neural bases. Here, we compared the benefits of retrospective cues (retro-cues) 20 that were directed either at a multi-feature item or at a feature-dimension that was 21 shared between two spatially segregated items. Behavioural results revealed 22 qualitatively similar attentional benefits in both recall accuracy and response time, but 23 also showed that cueing benefits were larger following item cues. Concurrent EEG 24 measurements further revealed a similar attenuation of posterior alpha oscillations 25 following both item and feature retro-cues when compared to non-informative, neutral 26 retro-cues. We argue that attention can act flexibly to prioritise the most relevant 27 information - at either the item or the feature-level - to optimise ensuing memory-28 based task performance, and we discuss the implications of the observed commonalities 29 and differences between item-level and feature-level prioritisation in VWM. 30

32 Introduction

33 Visual working memory (VWM) provides a means to maintain relevant information independently of continued visual input, to guide adaptive behaviour (Baddeley, 1992, 34 2003). Because VWM has limited capacity and/or resources (Bays & Husain, 2008; Luck 35 36 & Vogel, 1997; Vogel, Woodman, & Luck, 2001; Zhang & Luck, 2008), it is essential to 37 distribute memory processes efficiently to complete tasks at hand effectively. Over the 38 past decade, it has become increasingly clear that VWM is more flexible than originally 39 thought. Focused attention continues to prioritise and select contents maintained in 40 VWM as goals and predictions about goals change (Griffin & Nobre, 2003; Kuo, Stokes, 41 & Nobre, 2011; Landman, Spekreijse, & Lamme, 2003; Souza & Oberauer, 2016). To bring 42 about behavioural benefits, attention-related modulatory signals must interact with 43 mnemonic information that is available within VWM. Thus, by studying what forms of 44 attention confer benefits to VWM also provides insight about the format of information 45 held in VWM.

46

47 In perceptual attention, research has revealed a multitude of representational formats 48 available for modulation. These include spatial locations, objects, features, semantic 49 associations, time intervals, and likely more (for an overview, see e.g., Nobre, 2018). 50 Whether the same diversity of representational formats is available in VWM is an 51 important and informative question. Information in VWM results from attentional filtering of incoming sensory processing (Vogel, McCollough, & Machizawa, 2005). 52 53 Thus, the representational information might be kept in an altered, more compact 54 format. For example, it has been suggested that the primary representational unit of 55 VWM involves integrated (feature-bound) items (Luck & Vogel, 1997; Vogel et al., 2001). 56 Under this framework, one might expect that the primary target for attentional 57 selection in visual working memory should be at the level of individual items. 58 Accordingly, most studies looking at the role of attention in VWM to date have used 59 spatial retro-cues, linked to item-based representations, and have shown clear benefits 60 (e.g. Griffin & Nobre, 2003; Landman et al., 2003; Souza & Oberauer, 2016).

61

At the same time, recent studies have demonstrated that attention can also facilitate behaviour when directed to *feature dimensions* that are shared among multiple items in VWM (Niklaus, Nobre, & Van Ede, 2017; Park, Sy, Hong, & Tong, 2017; Pilling & Barrett, 2016; Ye, Hu, Ristaniemi, Gendron, & Liu, 2016; Yu & Shim, 2017). It remains unclear, however, how such effects of feature-based attention compare to item-based attention in VWM, as no study has directly compared these two forms of attentional facilitation in VWM. Here we directly compare these two types of attentional facilitation.

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70 In addition to comparing item and feature-based attention at the level of behavioural 71 performance, we examined their effects on an electrophysiological marker linked to 72 attention in VWM: the attenuation of posterior alpha oscillations. Several studies have 73 revealed that item-based prioritisation in VWM is associated with the attenuation of 74 alpha oscillations in posterior brain areas, suggesting modulation of visual areas 75 involved in representing the mnemonic items (Myers, Walther, Wallis, Stokes, & Nobre, 76 2015; Poch, Capilla, Hinojosa, & Campo, 2017; van Ede, 2018; van Ede, Niklaus, & Nobre, 77 2017; Wallis, Stokes, Cousijn, Woolrich, & Nobre, 2015; Wolff, Jochim, Akyürek, & 78 Stokes, 2017). It remains unclear whether alpha attenuation also occurs during the

79 attentional prioritisation of feature dimensions that are shared across multiple items 80 held in VWM.

81

82 In the current study, we therefore compared and contrasted behavioural and neural 83 effects of internal shifts of attention to multi-feature items and to single feature 84 dimensions that were shared across multiple items. Through the behavioural data, the 85 aim was to test whether there is a clear primacy of the object-level information in VWM. If the representational format in VWM organises items as integrated objects, this should 86 87 also be the primary level at which attention can operate. Accordingly, benefits from 88 item-directing retro-cues should be substantially larger. If, however, attention has 89 similar access to multiple levels of information in VWM, then retro-cueing benefits for 90 feature dimensions and individual items may be similar. By recording EEG and 91 measuring alpha oscillations, we further tested whether a similar alpha modulation 92 occurs when attention is directed to a cued item or to a visual feature dimension that is 93 distributed across multiple items held in VWM.

94

95 To address these questions, we used a task in which participants were presented with

96 two Gabor gratings, each of which contained both colour and orientation information.

97 On half of the blocks, participants were presented with an item-directing retro-cue and

98 on the other half with a feature-dimension-directing retro-cue. Both blocks contained

99 neutral (uninformative) retro-cues, against which we compared the effects of both types 100 of informative retro-cues. We observed qualitatively comparable retro-cueing effects,

101 though benefits following item cues were larger. Both effects were accompanied by

102 similar alpha attenuation following the cues, and both item- and feature-level benefits

103

- on behaviour were highly correlated across participants.
- 104

105 **Methods**

106 **Participants**

107 The study was approved by the Central University Research Ethics Committee of the 108 University of Oxford. Thirty-two healthy volunteers (19 female; mean age 28.3; range 18-109 35) took part. Participants had normal or corrected-to-normal vision and were not colour blind. Participants provided written informed consent before participating in the 110 study and were paid £15 per hour. Data from two participants were excluded from 111

112 analysis, one for terminating the experiment early and the other due to hardware failure.

113

114 Experimental set-up & stimuli

Participants were seated in front of a 23-inch monitor (1920 × 1080, 100 Hz). Stimuli were 115 generated using Psychophysics Toolbox version 3.0.11 (Brainard, 1997) in MATLAB 2014b 116

117 (MathWorks, Natick, MA). Head position was set at 90 cm from the monitor, and

118 participants used a chinrest. The stimuli consisted of luminance-defined sinusoidal

119 Gabor gratings generated in MATLAB 2014b. Fourty-eight evenly spaced colours were

120 drawn from a circle in CIE L*a*b colour space (center at L = 54, a = 18, b = -8, radius

121 =59). Gratings were presented using one of 48 different orientations (3.75 to 180 degrees

- 122 in steps of 3.75) and 48 different colours.
- 123
- 124 Task & design

Participants performed a visual working memory task (Figure 1) in which they were 125 asked to reproduce the colour or orientation of one out of two memory items at the end 126 of a memory delay of 2.3 seconds. At the start of each trial, two Gabor stimuli with a 127 128 radius of 2.2 degrees positioned left and right from fixation (centred 3.1 degrees of visual 129 angle) were presented simultaneously for 300 ms. Participants were instructed to remember the colour and the orientation of both items. At the end of the trial, they 130 131 were probed to report the orientation or the colour of one of the items. The to-bereported feature was indicated with the probe circle that was either a colour wheel 132 133 (colour report) or a white wheel (orientation report), while the to-be-reported item was 134 indicated by the location of the probe circle (left/right, corresponding to the original 135 location of the probed memory item). Orientation and colour values varied 136 independently between the two items, with the constraint that no two equal 137 orientations or colours were presented on the same trial. Colours and orientations were 138 counterbalanced so that each was presented equally often across trials.

139

140 Two events occurred during the memory delay: first a retro-cue appeared, which could

141 provide information about the item or feature dimension that would be probed. Second,

142 700 ms after the retro-cue offset, an irrelevant 'distractor' stimulus was presented that

143 contained either colour or orientation information (**Figure 1** for examples).

144

145 On even runs, informative retro-cues indicated the location (left, 'L', or right, 'R') of the

146 item that would be probed at the end of the trial, without giving information about what

147 feature would be probed. On odd runs, informative retro-cues indicated the feature

148 dimension to be probed (colour, 'C', or orientation, 'O'), without giving information

about what item would be probed. We thus cued either a single item that contained two

150 relevant features, or a single feature-dimension that was shared between two relevant

151 items. When informative, the retro-cue was always 100% valid. Both runs also contained

152 50% non-informative neutral cues ('X') that provided no information about what item

153 or feature would be probed at the end of the trial. Participants were encouraged to use 154 the informative retro-cues to select the relevant item or feature dimension.

155

Following another fixation period of 700 ms (after the retro-cue), a bilateral distractor 156 157 was presented for 100 ms. The distractor consisted of either a single colour or a black-158 and-white oriented Gabor grating. Sixteen colours and 16 orientations were used (11.25 159 to 180 degrees in steps of 11.25 degrees). These varied randomly from the 160 orientation/colour of the items in the memory array. However, we ensured that the 161 three types of cues (C/O/X or L/R/X) all contained the same range of 16 distractor features. Out of these 16 distractor features, we randomly assigned 8 as colour features 162 163 and 8 as orientation features.

164

After another fixation period of 700 ms (after the distractor), the colour-wheel or a white-circle probe appeared. To keep the orientation and colour recall as similar as possible, we presented the colours at a fixed position on the colour wheel. Participants

168 were instructed to respond as accurately as possible by using the 'J' and 'F' key to rotate

169 the probe counter-clockwise and clockwise, respectively. Participants were instructed

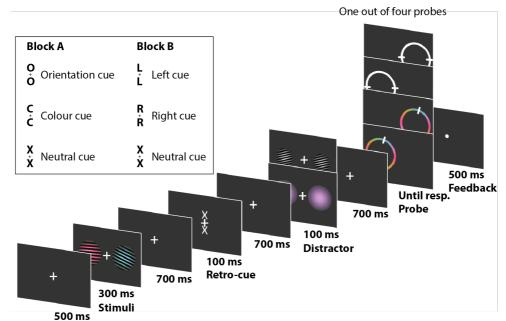
- 170 to use their left index finger to press the 'I' key and the right index finger to press the 'F'
- 171 key. Although there was no explicit time limit for the response time, we logged reaction

172 times as the time between the onset of the probe and the first button press that initiated

173 the 'dial-up' report. Reaction time therefore serves as a proxy for the time it took

174 participants to access the relevant memory information before commencing their 175 reproduction report.

176



 500 ms
 Figure 1. Experimental design with timings. Participants were presented with two coloured Gabor gratings to memorise. Subsequently, a retro-cue informed participant which item or feature dimension would be probed. After either a colour distractor or an orientation distractor with a semi-randomly drawn orientation or feature, the probe was presented. Participants adjusted the probe dial to match the feature in memory.

- 182
- 183 Behavioural analysis

184 We computed the error for each trial for each participant by subtracting the target 185 orientation or colour (in radians around the colour circle in CIE L*a*B space) from the probe response. All error scores were mapped onto a $-\frac{1}{2}\pi$ to $\frac{1}{2}\pi$ space. All trials for 186 which the reaction time was more than 4 standard deviations above a participant's mean 187 decision time were discarded $(0.9\% \pm 0.3\%)$. To calculate the retro-cue benefit, we 188 subtracted the absolute error on cued trials from the absolute error on neutral trials. In 189 190 all our analyses, we only compared trials of one retro-cueing condition with neutral 191 trials from the same blocks.

192

193 A mixture model was fitted separately for each retro-cueing condition and respective 194 neutral condition, modelling target response rate, guess rate, swap responses, and 195 precision to the error data of each subject (Bays, Catalao, & Husain, 2009; Zhang & Luck, 196 2008). We fitted the mixture model separately for every subject, colour or orientation 197 recall, spatial or feature retro-cues, and informative or neutral retro-cues. Estimating 198 the mixture-model parameters allowed for estimation of different components that 199 contribute the overall error; we estimated the fidelity of the representation 200 independently of the guess and swap rate. We used the mixture model made available 201 by Bays et al. (2009).

- 202
- When comparing more than two conditions, we applied a repeated-measures analysis of variance (rmANOVA) and report η^2 as a measure of effect size. When evaluating

retro-cueing benefits, we applied dependent samples t-test, comparing informative vs neutral cues, as well as the cueing effects between item and feature retro-cues. When the assumption of normality was violated we instead applied a Wilcoxon signed-rank test. We report Cohen's d as a measure of effect size for parametric tests and matched rank biserial correlation for non-parametric effect size. For evaluation we two-sided tests with a critical alpha value of 0.05.

- 211
- 212 *EEG acquisition*

213 EEG data were collected using Synamps amplifiers and Neuroscan software 214 (Compumedics). We used a 61 Ag/AgCl sintered electrodes (EasyCap, Herrsching, 215 Germany), laid out according to the international 10-10 system, with mastoids behind 216 the left and right ear. The left mastoid was used as an active reference during the 217 recordings. Offline, an average-mastoids reference was derived using the left and right mastoids. The ground electrode was placed on the left arm above the elbow. Horizontal 218 219 EOG was measured using lateral electrodes next to both eyes while vertical EOG was 220 measured above and below the left eye. Data were sampled at 1000 Hz, and stored for 221 subsequent analysis.

- 222
- 223 EEG preprocessing

Data were imported into MATLAB 2017a using *pop_loadcurry()* and further analysed using Fieldtrip (Oostenveld, Fries, Maris, & Schoffelen, 2011) and the OHBA Software Library (OSL; https://ohba-analysis.github.io/). Analysis started by cutting out the epochs between 100 ms before and 2200 ms after retro-cue onset (ft_redefinetrial) followed by re-referencing the data to the average of the mastoids (ft_preprocessing). EEG data were down sampled to 200 Hz to reduce computational demands and storage space (ft_resampledata).

231

232 Next, EEG data were further de-noised using Independent Component Analysis (ICA; 233 ft componentalanalysis) applying the FastICA algorithm (Hyvärinen, 1999) to all EEG 234 sensors. ICA separates the EEG signal into non-Gaussian subcomponents of the data 235 that are statistically independent from one another. Spatial components strongly 236 correlated (r > 0.4) with electrooculogram (EOG) channels were removed from the EEG 237 data. We set-out to remove trials on which participants blinked during the window of 238 100 ms prior up to 200 ms post retro-cue presentation. After baselining the horizontal 239 EOG signal at -300 to -100 ms trials on which horizontal EOG voltage surpassed 200 μ V (approximately ½ of the maximum voltage evoked by a typical blink) were flagged and 240 241 later removed from EEG and behavioural analyses ($0.446\% \pm 1.23\%$; mean \pm standard 242 deviation). Subsequently, we removed epochs based on within-trial variance of the 243 broadband signal at a 0.05 significance threshold using a generalised ESD test (Rosner, 244 1983; implemented in OSL) and discarded $2.48\% \pm 2.18\%$ (mean \pm standard deviation) 245 of the trials.

246

247 Time-frequency processing

Time-frequency decomposition of the EEG signal was done using ft_freqanalysis.

Spectral power between 2 and 50 Hz was computed on Hanning-tapered data using a short-time Fourier Transform, with a 300-ms sliding time window that was advanced in

steps of 15 ms. We zoom in on modulations in posterior alpha oscillations, by averaging

the time-frequency plots for the 17 most posterior electrodes and calculating the 252 253 normalised differences in power following between informative and neutral retro-cues 254 ([informative – neutral]/[informative + neutral] \times 100). We did this separately for left 255 and right item retro-cues, and for colour and orientation feature retro-cues. We also compared left vs. right, and colour vs. orientation, retro-cue conditions directly using 256 257 the same quantification. For statistical evaluation, we applied a two-sided cluster-based 258 permutation analysis (Maris & Oostenveld, 2007) with 5000 permutations at an 259 evaluation threshold of 0.05.

260

To characterise the onset of alpha attenuation after the retro-cue, we extracted the time course of 7-12 Hz power modulation (in the specified informative vs. neutral cue contrast) and focused on the o-1000 ms period post retro-cue onset. On these data, we then identified the earliest timepoint in which the power modulation reached half of its minimal value for each condition. This latency was used as a measure to compare neural modulation by feature retro-cues and item retro-cues.

267

268 To depict the topography of the power modulations analysed in the predefined set of

269 posterior electrodes (depicted in **Figure 4**), we calculated the relevant contrast for each

electrode and averaged over the time-frequency window of 400-800 ms and 7-12 Hz for

P5, P3, P1, Oz, POz, Pz). In addition, to focus on alpha lateralisation, we contrasted activity in electrodes left posterior electrodes (O1, PO7, PO3, P7, P5, P3, P1) and right

activity in electrodes left posterior electrodes (O1, PO7, PO3, P7, P5, P3, P1) and right posterior electrodes (O2, PO8, PO4, P8, P6, P4, P2), contralateral vs ipsilateral to the

275 cued item following informative item retro-cues.

Topographies were intended solely to portray the nature of the modulation and were not subjected to further statistical testing.

278

279 **Results**

Figure 2A shows behavioural performance as a function of experimental condition (collapsed over distractor type, as this did not yield consistent results as discussed below). To analyse the effects of item and feature-dimension retro-cues, we quantified retro-cueing benefits as the difference between the trials with informative and neutral retro-cues (**Figure 2B**).

285

286 To quantify formally the effects of retro-cue informativeness (valid or neutral) and 287 retro-cue block type (item retro-cue block or feature retro-cue block) we used a 2 x 2 288 rmANOVA. We ran this separately for RT and response error, and separately for both 289 colour and orientation recall reports. We observed a significant main effect of retro-cue 290 informativeness, with better performance following informative vs. neutral retro-cues 291 on all four dependent variables: orientation error ($F_{1,29} = 18.888$; p < .001; $\eta^2 = 0.394$), colour error ($F_{1,29} = 26.387$; p < .001; $\eta^2 = 0.476$), orientation RT ($F_{1,29} = 67.27$; p < .001; η^2 292 = 0.699), and colour RT ($F_{1,29}$ = 65.15; p < .001; η^2 = 0.692). At the same time, we found 293 that the behavioural benefits of retro-cue informativeness were larger in item retro-cue 294 295 blocks than in feature retro-cue blocks, yielding a significant interaction for colour error $(F_{1,29} = 9.065; p = .005; \eta^2 = 0.238)$, orientation RT $(F_{1,29} = 19.64; p < .001; \eta^2 = 0.404)$, and 296 colour RT ($F_{1,29} = 21.00$; p < .001; $\eta^2 = 0.420$). Though we found the same trend, this did 297

not reach significance for orientation error ($F_{1,29} = 3.750$; p = .063; $\eta^2 = 0.115$). Finally, in line with the larger benefit of item-retro-cues, we also found a significant main effect of block type, constituted by better performance in item retro-cue blocks for all four dependent variables: orientation error ($F_{1,29} = 39.634$; p < .001; $\eta^2 = 0.577$), colour error, $F_{1,29} = 8.343$; p = .007; $\eta^2 = 0.223$), orientation RT, $F_{1,29} = 50.51$; p < .001; $\eta^2 = 0.635$), and colour RT ($F_{1,29} = 32.08$; p < .001; $\eta^2 = 0.525$).

304

For completeness, we also considered the third factor 'distractor congruence' (i.e., when the distractor contained the same or the other feature dimension as the to-be-recalled memory feature), but found no systematic effects of distractor congruence across our four dependent variables, nor interactions with the factors of interest – see **supplementary table 1**.

310

In the following, we describe in more detail the item- and feature retro-cueing effectsof interest, in accordance with the data presented in Figure 2.

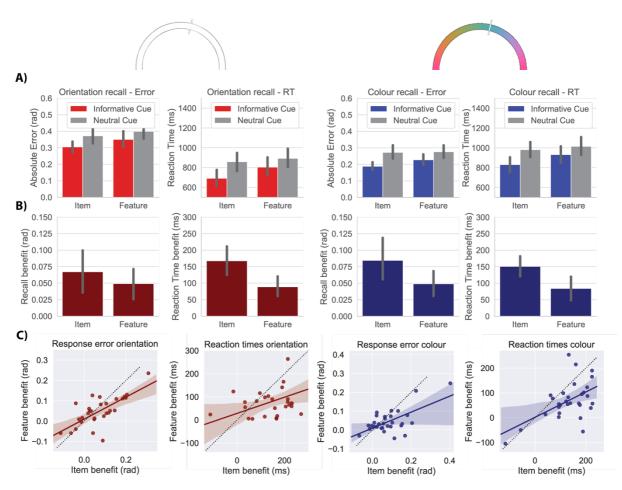
313

314 For orientation recall reports, participants significantly benefitted from item retro-cues. 315 They had smaller errors (t_{29} = 4.235; p < .001; d = 0.773) and responded faster (t_{29} = 7.854; 316 p < .001; d = 1.434), compared to trials with neutral retro-cues in the same blocks. Similarly, orientation reports benefitted significantly from feature cues in both 317 318 reproduction error (t_{29} = 3.748; p = .001; d = 0.684) and response onset time (t_{29} = 6.302; p < .001; d = 1.151) compared to neutral trials within the feature retro-cueing blocks. Item 319 cues conferred numerically larger benefits than feature cues. The difference was not 320 321 statistically significant for error (0.023 radian, 48%; t_{29} = 1.936; p = .063; d = .354), but 322 reached significance for reaction times (79 ms, 94%; t_{29} = 4.431; p < .001; d = 0.809). 323

324 The same pattern of results was found for the error and reaction times in the colour 325 recall trials: colour reports benefitted from both item cues (t_{20} = 5.060; p < .001; d = 326 0.924) and feature cues (t_{29} = 4.069; p < .001; d = 0.743) and responses were also faster 327 for item cues (t_{29} = 9.097; p < .001; d = 1.661) and feature cues (t_{29} = 4.951; p < .001; d = 0.904) compared to their respective neutral trials. For colour reports, we also found 328 greater benefits of item retro-cues compared to feature retro-cues for both error (0.039 329 330 rad, 86%; t_{29} = 3.011; p = .005; d = 0.550) and reaction time (63 ms, 91%; t_{29} = 4.583; p < 331 .001; d = 0.837).

332

333 Benefits of item-based and feature-based retro-cueing showed strong positive 334 correlations across individuals for both colour and orientation reports (Figure 2C). For 335 orientation reports, we found significant correlations between retro-cueing benefits 336 following item cues and feature cues for both error (r = .709; p < .001) and reaction time 337 (r = .539; p = .002). Likewise, for colour reports, we found significant correlations 338 between retro-cueing benefits following item cues and feature cues for both error (r =.697; p < .001) and reaction time (r = .596; p < .001). Thus, participants who benefitted 339 340 most from item retro-cues also benefitted most from feature retro-cues.



342 343

Figure 2. Performance benefits of item and feature-dimension retro cueing. A) The four panels show absolute error and reaction times for trials with an informative cue or a neutral cue. Trials in which orientation was probed are displayed in red while colour-probe trials are displayed in blue. Each panel shows the data separately for item retro-cue blocks and feature retro-cue blocks. B) Behavioural benefit of retro-cues. Subtracting the mean absolute error on trials with an informative cue from the neutral trials gives the performance benefit of the retro-cue – here expressed as positive values. Orientation benefit is depicted in dark red and colour benefit in dark blue. C) Correlations across participants between the item and feature retro-cue benefits in shown in B. Error bars show 95% confidence intervals.

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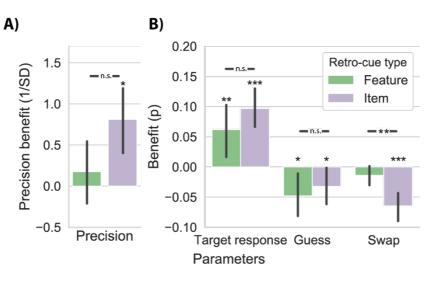
353 Mixture modelling

354 In addition to the raw behavioural scores, we also modelled sources of error using a mixture model (Figure 3AB; Bays et al., 2009). We modelled four components 1) 355 356 precision, characterised by width (1/STD) of the target centred response distribution, 2) proportion of target responses modelled by the gaussian centred around the target, 3) 357 358 proportion of random responses characterised by the height of the uniform response distribution, 4) proportion of responses to the non-cued feature of the same dimension 359 360 as the cued feature (non-target report or 'swap' errors). Figure 3A and B show the retro-361 cueing effects (informative vs. neutral) on each of these four parameters, separately for item and feature-cues (collapsed over colour and orientation reports, after fitting the 362 model for each condition separately; see **Supplementary figure 1** for mixture model 363 parameters separated for colour and orientation reports). As depicted in Figure 3A,B 364 informative (vs. neutral) retro-cues significantly increased precision for item retro-cues 365

- (item: $t_{29} = 2.736$; p = .011; d = 0.500) though this did not reach significance for feature retro-cues: $t_{29} = 0.578$; p = .568; d = 0.105). At the same time, both item and feature retrocues increased target response rates (item: $t_{29} = 5.595$; p < .001; d = 1.022; feature: $Z_{29} =$ 382; p = .001; $r_{rb} = 0.643$), and decreased guess rates (item: $t_{29} = -2.131$; p = .042; d = -0.389; feature: $Z_{29} = 78$; p < .001; $r_{rb} = -0.665$), and item retro-cues further decreased swap rate (item: $Z_{29} = 21$; p < .001; $r_{rb} = -0.910$; feature: $Z_{29} = 137$; p = .080; $r_{rb} = -0.368$).
- 372

373 Direct comparisons between item and feature retro-cue benefits showed a significantly 374 greater reduction in the rate of swap errors by item retro-cues relative to feature retro-375 cues (Wilcoxon signed-rank test; $Z_{29} = 95$; p = 0.002; $r_{rb} = 0.591$; see **Figure 3AB**). Effects 376 for the other three parameters were not statistically different between item and feature

- 377 retro-cues (all p > .10).
- 378



379

Figure 3. Cueing effects on mixture modelling parameters. A) Mixture-model estimates for the cueing effects
 on A) precision, B) target response, guess rate, and swap rate, for trials where item retro-cues or feature retro-cues
 were presented compared to neutral trials. Hence, valid retro-cues positively influenced target response proportions
 and negatively influenced guess rate and swap rate. The green and purple asterisks indicate significant differences
 of, respectively, item- or feature benefits from zero (i.e., benefits following informative vs. neutral cues). Black
 asterisks indicate significant differences between item and feature retro-cueing benefits. Error bars indicate 95%
 confidence intervals. * p < .05, ** p < .01, *** p < .001.

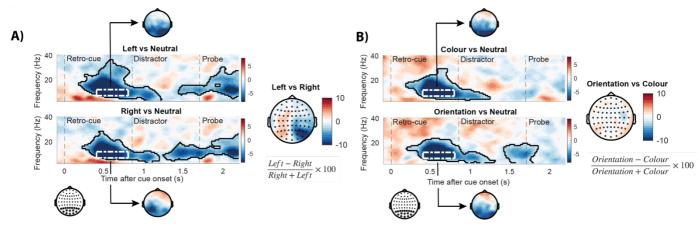
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388 Alpha attenuation following feature and item retro-cues

389 Figure 4 shows the time- and frequency-resolved modulations in spectral EEG power 390 in posterior electrodes following item and feature retro-cues, expressed as a difference from the neutral retro-cueing condition (neutral retro-cue minus informative retro-391 392 cue). After both item retro-cues and feature retro-cues, we observe an attenuation of 393 alpha power starting at around 400 ms after presentation of the retro-cue (clusters all 394 conditions p < .001). The alpha attenuation in trials with informative retro-cues re-395 emerges after the distractor onset, in the window just prior to the probe. To reveal the 396 spatial layout of the significant clusters, we visualised the EEG topographies of the 397 alpha-band power at 400 to 800 ms after the retro-cue. Similar topographies were 398 associated with the later alpha modulation after the distractor and with the early 399 modulation in the higher 13-30 Hz band (topographies not depicted).

401 In addition to this 'global effect' when comparing informative to neutral retro-cues, we 402 also evaluated the difference between left and right item cues, and between colour and 403 orientation feature cues (Figure 4AB right topographies, see also Supplementary 404 Figure 2 for corresponding time-frequency maps). In line with several prior studies 405 (Myers et al., 2015; Poch et al., 2017; van Ede et al., 2017; Wallis et al., 2015; Wolff et al., 2017), following item cues, alpha attenuation was most pronounced contralateral to the 406 memorised location of the cued item. In contrast, following feature retro-cues no clear 407 differences were observed between colour and orientation cues, which directed 408 409 attention to a single feature-dimension that was shared between the left and right items. Finally, we found that the alpha attenuation had very similar latencies following item-410 411 directing and feature-directing retro-cues ($t_{20} = 1.273$; p = .213; Supplementary Figure 412 3).

413



414 Figure 4. Induced neural EEG responses to the left and right item retro-cues and to colour and orientation 415 feature retro-cues. A) Time-frequency representation of the difference between left/right cued trials vs. neutral

feature retro-cues. A) Time-frequency representation of the difference between left/right cued trials vs. neutral 416 trials in item-cue blocks in the predefined posterior electrode cluster indicated with asterisks below the plots. The 417 topography to the right shows the difference between left and right retro-cues (Supplementary Fig. 2 for the 418 corresponding time-frequency map of the difference between contralateral and ipsilateral responses). B) Same 419 representations as outlined above but here we compare colour and orientation with their respective neutral trials or 420 with one another. Highlighted areas with the black solid outline indicate significant clusters (permutation test, n = 421 422 30, cluster-forming threshold p < .05, corrected significance threshold p < .05). The topographies display the alpha power (7-12 Hz) in the 400-800 ms window that is also demarcated in the time-frequency plots with the white dashed 423 boxes.

424

425 **Discussion**

426 We demonstrate that both item-based and feature-based attentional prioritisation 427 during VWM maintenance decreases recall error and speeds response initiation times 428 following the probe. Hence, we replicate the finding that selective attention can retrospectively prioritise not only items (Griffin & Nobre, 2003; Kuo et al., 2011; Landman 429 et al., 2003; Souza & Oberauer, 2016), but also feature dimensions maintained in VWM 430 (Niklaus et al., 2017; Park et al., 2017; Ye et al., 2016; Yu & Shim, 2017). Building on this 431 432 work, our experimental design uniquely allowed us to compare the magnitudes of both 433 types of behavioural retro-cue benefits within a single experiment, and to correlate their strengths across participants. While the item benefit was larger than feature benefit, 434 435 both were both highly robust. They were each evident across both colour and 436 orientation reports and in both recall accuracy and response initiation times. Moreover, we found strong correlations between the benefits that followed item and feature cues, 437

and qualitatively similar neural modulations, which suggest that the two types of retro-cueing benefits may share similar cognitive operations and resources.

440

441 The notion that both retro-cueing types yield behavioural benefits that are qualitatively 442 similar was further supported by the similar retro-cueing effects on guess-rate, and 443 target-response rate parameters estimated by the mixture model. At the same time, we 444 observed that only item cues significantly enhanced precision and reduced the 445 probability of swaps (non-target responses) – the latter being the only parameter that also differed significantly between item and feature retro-cue benefits. This difference 446 447 is likely explained by the fact that swaps are calculated between items (not between 448 features). Provided that feature-cues always concerned one feature, shared across both 449 items, they may have helped up-regulate the relevant feature-dimension, but not to 450 separate the two spatially-segregated items and thereby to reduce swap rates (in 451 contrast to item cues that directly targeted the relevant item from the two memorised 452 items).

453

In a strict account in which the primary unit of VWM is integrated items (Luck & Vogel, 1997; Vogel et al., 2001), one may predict that attention in VWM will primarily operate at the level of items, leaving little room for attentional facilitation of specific features that are shared among items. Alternatively, if VWM consists of a hierarchy of

representations, with both item-level and feature-level representations (Bays, Wu, & 458 459 Husain, 2011; Fougnie & Alvarez, 2011; Töllner, Conci, Müller, & Mazza, 2016; Töllner, 460 Mink, & Müller, 2015); then one may expect that attention can operate similarly at 461 distinct levels, depending on the nature of the task at hand. Our data are in line with a 462 mixture of both scenarios - showing that attention can operate qualitatively similarly at 463 both item and feature levels, while also revealing an additional benefit when attention 464 is directed at two features of a single item (following item cues), compared to a single 465 feature across two items (following feature cues).

466

467 At the same time, we note that attentional benefits in behavioural performance in VWM 468 tasks need not only reflect changes in the quality of representational information. Factors related to prospective task preparation may also contribute (Myers, Stokes, & 469 470 Nobre, 2017). Therefore, while our data provide clear evidence for the benefit of feature 471 retro-cues - which is qualitatively similar to, and correlated with, the benefit following 472 item cues - it remains possible that at least part of these benefits are due to factors other 473 than a change in the underlying mnemonic representation (and this holds for both item 474 and feature retro-cueing benefits).

475

476 In addition to the behavioural performance data, we also observed commonalities in the 477 neural modulation following item and feature cues; both cases showing robust alpha 478 attenuation over posterior electrodes, arising around the same time, with a similar 479 magnitude. The neural responses therefore provide important relevant complementary 480 data to our behavioural performance data. They provide more direct evidence for an 481 early modulation in posterior (putatively visual) brain areas following both types of 482 retro-cues; compatible with a modulation at the level of the memorised visual 483 representations. However, because we used visual retro-cues, we cannot fully rule out 484 the possibility that at least part of this modulation may be driven by differential visual

485 processing of informative vs. a neutral retro-cues per se – though we note how our 486 neutral retro-cues were designed to be similar to our informative retro-cues, ruling out 487 more obvious differences due to bottom up visual features such as retro-cue size and 488 saliency.

489

490 In conclusion, retro-cueing studies have typically shown that internally directed 491 attention can prioritise a subset of mnemonic representations (Griffin & Nobre, 2003; 492 Rerko, Souza, & Oberauer, 2014; Van Moorselaar, Olivers, Theeuwes, Lamme, Victor, & 493 Sligte, 2015). These representations are typically thought of as integrated item of 494 features bound together into a discrete mnemonic item (Luck & Vogel, 1997; Vogel et 495 al., 2001). Our results show that attention can also effectively be directed to specific 496 visual features that are shared across multiple items in memory - and for the first time 497 reveal that such feature cues yield qualitatively similar (albeit weaker) behavioural 498 benefits and neural modulations or latency, as do item cues, and that item and feature 499 cueing benefits are correlated across individuals. We argue that retro-cues help place 500 memorised visual stimuli into a goal-oriented format, such that relevant information at

- 501 both the item and the feature-level can be optimised for upcoming task performance.
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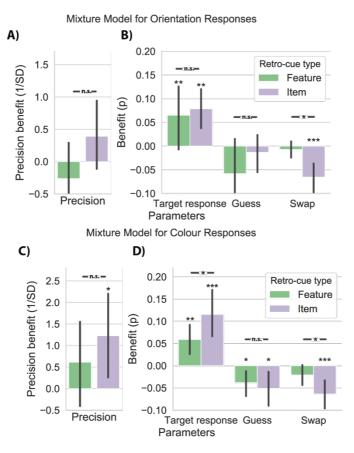
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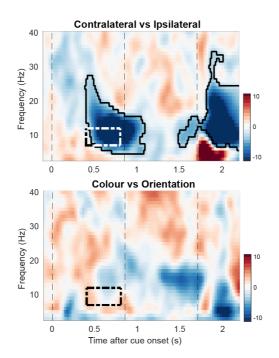
622 Supplementary materials

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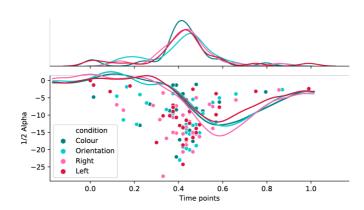


626 Supplementary Figure 1. Mixture modelling parameters for colour and orientation. Mixture-model estimates 627 for the benefit of orientation recall in A-B) and colour recall in C-D). Mixture model parameters include precision 628 (A, C), target response, guess rate, and swap rate (B, D) for trials where item retro-cues or feature retro-cues were 629 presented compared to neutral trials. Black asterisks indicate significant differences between item – and feature retro-630 cueing benefits. Asterisks above bars represent significance of a two-sided t-test of the model parameter benefit 631 against zero. Error bars indicate 95% confidence intervals. * p < .05, ** p < .01, *** p < .001.</p>

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648 Supplementary Figure 3. Onset times of the alpha attenuation after the retro-cue. The first time point where 649 the alpha power (neutral – informative cue) reached half of its minimum value in the interval from o to 1000 ms after 650 the retro-cue onset was taken as the alpha attenuation latency. The dots represent alpha attenuation latency times 651 for individual subjects and different cueing conditions with a density plot on the top showing the density of the dots 652 along the x-axis for each condition. The line plot illustrates the average alpha power for each condition after

Supplementary table 1. Main effects and interactions for the congruence or incongruence of the distractor with the probed memory feature, tested with a 2 x 2 x 2 rmANOVA with the factors distractor congruence, cue informativeness, and block type, separately for each of our four dependent variables.

662

663

B) Within Subjects Effects for Orientation recall: Error

	Sum of Squares df	' Mean Square	F	р	η²
Distractor	0.022 1	0.022	5.515	0.026	0.160
Residual	0.116 29	0.004			
Retro-cue type * Distractor	7.879e - 4 1	7.879e -4	0.256	0.617	0.009
Residual	0.089 29	0.003			
Informativeness * Distractor	4.938e - 4 1	4.938e -4	0.142	0.709	0.005
Residual	0.101 29	0.003			
Retro-cue type * Informativeness * Distractor	5.667e-5 1	5.667e -5	0.026	0.872	0.001
Residual	0.063 29	0.002			

664

B) Within Subjects Effects for Colour recall: Error

	Sum of Squares	df I	Mean Square	F	р	η²
Distractor	7.333e -4	1	7.333e -4	0.286	0.597	0.010
Residual	0.074	29	0.003			
Retro-cue type * Distractor	0.001	1	0.001	1.290	0.265	0.043
Residual	0.023	29	7.788e -4			
Informativeness * Distractor	0.001	1	0.001	0.643	0.429	0.022
Residual	0.050	29	0.002			
Retro-cue type * Informativeness * Distractor	2.928e -4	1	2.928e -4	0.295	0.591	0.010
Residual	0.029	29	9.912e -4			

C) Within Subjects Effects for Orientation recall: RT

	Sum of Squares	s df Mean	Square	F	р	η²
Distractor	8427	1	8427	1.939	0.174	0.063
Residual	126029	29	4346			
Retro-cue type * Distractor	6784	1	6784	2.556	0.121	0.081
Residual	76971	29	2654			
Informativeness * Distractor	1807	1	1807	0.549	0.465	0.019
Residual	95526	29	3294			
Retro-cue type * Informativeness * Distractor	2004	1	2004	0.652	0.426	0.022
Residual	89135	29	3074			

665

D) Within Subjects Effects for Colour recall: RT

	Sum of Squares	s df	Mean Square	F	р	η²
Distractor	6517.18	1	6517.18	0.629	0.434	0.021
Residual	300276.91	29	10354.38			
Retro-cue type * Distractor	339.93	1	339.93	0.119	0.733	0.004
Residual	83020.69	29	2862.78			
Informativeness * Distractor	1762.09	1	1762.09	0.698	0.410	0.024
Residual	73178.64	29	2523.40			
Retro-cue type * Informativeness * Distractor	54.09	1	54.09	0.023	0.879	0.001
Residual	66963.22	29	2309.08			