Topographic Signatures of Global Object Perception in Human Visual Cortex^{*}

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Abstract

Our visual system readily groups dynamic fragmented input into global objects. How the brain represents such perceptual grouping remains however unclear. To address this question, we recorded brain responses using functional magnetic resonance imaging whilst observers perceived a dynamic bistable stimulus that could either be perceived *globally* (i.e., as a grouped and coherently moving shape) or *locally* (i.e., as ungrouped and incoherently moving elements). We further estimated population receptive fields and used these to back-project the brain activity during stimulus perception into visual space via a searchlight procedure. Global perception resulted in non-topographic suppression of responses in lower visual cortex accompanied by wide-spread enhancement in higher object-sensitive cortex. However, follow-up experiments indicated that higher object-sensitive cortex is suppressed if global perception lacks shape grouping, and that grouping-related suppression can be diffusely confined to stimulated sites once stimulus size is reduced. These results speak against a rigid between-area response amplitude code acting as a generic grouping mechanism and point to a *within-area* response amplitude code mediating the perception of figure and ground.

Keywords: Visual perceptual grouping, global object perception, population receptive field, searchlight back-projection, response amplitude code, visual space

^{*}Abbreviations: D, Diamond; ND, No-diamond; H, Horizontal; V, Vertical

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1 1. Introduction

Perceptual grouping binds together local image elements into global and coherent objects and segregates them from other objects in our visual field including the
background (Houtkamp, 2011; Roelfsema, 2006). This enables object recognition and
tracking even if visual input is fragmented across space and time (Anderson & Sinha,
1997; Anstis & Kim, 2011; Lorenceau & Shiffrar, 1992), such as when we perceive a
vehicle passing behind a row of trees. However, despite its ubiquity in everyday life,
it remains unclear how perceptual grouping is represented in the visual brain.

A plethora of studies in monkeys suggests that information about figure-ground organization is represented in lower and mid-tier visual areas. In particular, neurons in V1 and V4 respond more strongly to tilted elements belonging to a global shape as opposed to the background (Lamme, 1995; Poort et al., 2016, 2012). Likewise, V1 and V4 responses to elements grouped into contours are enhanced, whereas those to ungrouped background elements are suppressed (Chen et al., 2014; Gilad et al., 2013). Taken together, these findings indicate that the monkey visual system draws upon a *within-area* response amplitude code to mediate figure-ground segregation.

Do similar mechanisms exist in humans? Although a series of (early) functional 17 magnetic resonance imaging (fMRI) studies addressed this question (e.g., Altmann 18 et al., 2003; Scholte et al., 2008; Seghier et al., 2000), their analyses techniques often 19 lacked the spatial sensitivity to quantify retinotopically-constrained response ampli-20 tude codes. More recently, however, Kok & de Lange (2014) combined standard 21 fMRI recordings and population receptive field (pRF) modeling (Dumoulin & Wan-22 dell, 2008) to investigate the topographic profile of V1 and V2 activity to illusory 23 Kanizsa shapes in much greater detail. When compared to non-illusory control stim-24 uli, activity to Kanizsa shapes increased, whereas activity to the illusion-inducing 25 elements decreased, while background activity remained unchanged. Another topographic fMRI study reported ground-suppression in V1 (and also V2) without figure-27 enhancement for structure-from-asynchrony textures vs unstructured control stimuli (Likova & Tyler, 2008). Thus, here too, a within-area response amplitude mechanism 29 emerges in lower visual areas, distinctively labelling multiple objects including the 30 background. 31

The interpretation of these and similar studies is, however, complicated by the fact that changes in perception always went hand in hand with changes in the physical properties of the stimulus. This makes it impossible to determine unequivocally the source of such activity modulations. Bistable stimuli, for which our perception alternates between two mutually exclusive states without changes in the physical properties of the stimulus, provide a way to circumvent this issue. A very elegant bistable stimulus that allows for the investigation of perceptual grouping mechanisms in dynamic occluded scenes – where object tracking is often required – has been

used by Fang et al. (2008) and Murray et al. (2002). In their studies, participants 40 underwent fMRI while viewing a translating diamond stimulus whose corners were 41 occluded by three bars of the same color as the background. This stimulus could ei-42 ther be perceived as four individual segments translating vertically out-of-phase and 43 thus incoherently (local, no-diamond percept, Figure 1, A.) or as a diamond shape 44 translating horizontally in-phase behind occluders and thus coherently (global, dia-45 mond percept; Figure 1, B., and Inline Supplementary Video 1). When participants 46 experienced the global compared to the local percept, a striking pattern of results 47 was observed: a reduction of activity in V1 (and also V2) accompanied by an increase 48 of activity in the lateral-occipital complex (LOC) – a brain region known to respond 49 more strongly to images of intact objects and shapes than a scrambled version thereof 50 (e.g., Grill-Spector et al., 1998; Malach et al., 1995). Notably, this response pattern 51 has recently been replicated (Grassi et al., 2018). 52

At first sight, such a *between-area* response amplitude mechanism reflects exactly 53 the type of relationship proposed by *hierarchical predictive coding* models (e.g., Clark, 54 2013; Mumford, 1992; Murray et al., 2004; Rao & Ballard, 1999). These models 55 assume that lower visual areas flag an error whenever the predictive feedback from higher visual areas conflicts with the bottom-up input they receive. The general idea 57 here is that when higher visual areas (e.g., the LOC) arrive at a global and coherent interpretation of a visual stimulus (e.g., the diamond shape behind occluders), the 59 predictability of the bottom-up input is increased and thus the error signal attenuated. 60 When the global diamond percept is then contrasted to the local no-diamond percept, 61 a differential reduction of activity emerges in lower visual areas (e.g., V1). 62

As such, these models predict that the reduction in V1 activity for the global 63 percept should be restricted to the retinotopic representation of the visible diamond 64 segments (Figure 1, E. and F.). This prediction, however, seems difficult to reconcile 65 with the finding that the suppressive effects in V1 for the diamond vs no-diamond per-66 cept extend well beyond stimulated sites (i.e., the visible diamond segments) into the 67 remaining background region (Figure 1, C.; De-Wit et al., 2012). It is also incompati-68 ble with evidence showing that variations of the diamond stimulus result in increased (instead of decreased) V1 activity for the diamond vs the no-diamond percept (Caclin 70 et al., 2012). 71

These discrepant results may be due to the coarse analyses techniques employed previously, precluding a more fine-grained inspection of topographic signatures underlying the perception of the diamond stimulus. The possibility remains, for instance, that V1 activity corresponding to the region within the diamond frame (i.e., the center) and/or the invisible parts (i.e., the occluded corners) increases, whereas activity corresponding to the more peripheral background is suppressed during the diamond state (Figure 1, D.). De-Wit et al. (2012) considered much of these sub-areas as back-

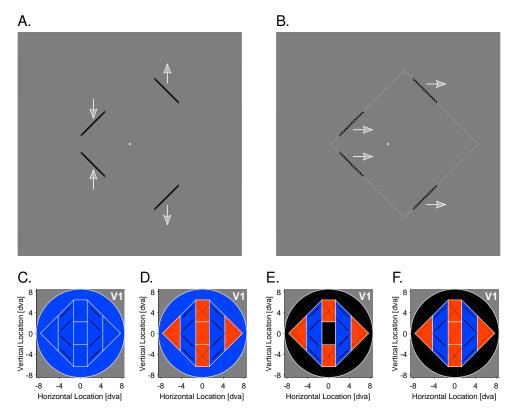


Figure 1. Diamond experiment | Example frames of the diamond stimulus and potential response amplitude profiles when the global percept is contrasted to the local one. A. Local, no-diamond percept. Here, the diamond stimulus was perceived as four individual segments oscillating vertically and incoherently with the segments on the left/right moving towards/away from one another, respectively, or vice versa (not shown). B. Global, diamond percept. Here, the four segments were grouped together and perceived as a diamond shape oscillating horizontally and coherently behind three occluders. The gray dashed frame denotes the inferred (but occluded) contours during the global state. The gray arrows indicate the perceived movement direction of the diamond stimulus. Only in the global state, the perceived and physical movement direction coincided. C. Previously suggested response amplitude profile. The whole visual field is suppressed. D. Hypothesized response amplitude profile. The segments and background region are suppressed whereas the corners and center regions are enhanced. E. Response amplitude profile when the segments, corners, and center region are predicted during the global state. The segments region is suppressed (due to a match between bottom-up input and higher-level feedback), the corners region enhanced (due to a mismatch between bottom-up input and higher-level feedback), and activity in the background and center region unchanged. F. The same as E., but if the whole diamond shape is predicted during the global state. The center region is now also enhanced. Black lines represent the extreme positions of the diamond stimulus. Black solid lines denote the visible ungrouped diamond segments (local, no-diamond percept). Black dashed lines additionally illustrate the inferred but invisible diamond shape when the segments were grouped together (global, diamond percept). White lines denote different visual field portions. Blue areas: Suppressive effects. Red areas: Enhancement effects. Black areas: No effect.

ground region, although the center and corners region could, arguably, be treated as 79 figure and/or contour regions too. Although this hypothesis argues against hierar-80 chical predictive coding models (e.g., Mumford, 1992; Murray et al., 2004; Rao & 81 Ballard, 1999) because there should be no activity modulations in the peripheral 82 background region (Figure 1, E. and F.), it is compatible with the more general idea 83 of a within-area response amplitude mechanism labeling different parts of a visual 84 scene distinctively (e.g., Gilad et al., 2013; Kok & de Lange, 2014; Lamme, 1995). In-85 terestingly, such a response pattern has recently been observed for another dynamic 86 bistable global-local stimulus (Grassi et al., 2017). 87

Here, we combined standard fMRI measurements and pRF modeling (similar to 88 Kok & de Lange, 2014) to test for more fine-grained within-area and also between-80 area response amplitude mechanisms mediating global object perception. In a first 90 experiment, we mapped the retinotopic organization of participants' cortices and es-91 timated the pRF of each voxel in visual cortex. In three further experiments, we 92 recorded brain activity whilst participants viewed the diamond stimulus or a set of 93 non-ambiguous stimuli with similar motion features but stable shape information to 94 test for the generalizability of our findings. We then used each voxel's pRF to back-95 project the voxel-wise brain activity measured during stimulus perception into visual 96 space via a searchlight procedure. This allowed us to directly read-out retinotopically-97 specific response amplitude codes along a large portion of the visual hierarchy. 98

⁹⁹ 2. Retinotopic mapping experiment

100 2.1. Methods

101 2.1.1. Participants

All participants $(N_{total} = 11)$ of the three global object perception experiments 102 took part in the retinotopic mapping experiment. We refer to these participants as 103 P1-P11. They all had normal or corrected-to-normal visual acuity and gave written 104 informed consent to partake in our experiments (see 3.1.1, 4.1.1, and 5.1.1 Partici-105 pants for more details). If participants took already part in the retinotopic mapping 106 experiment in the scope of another study in our laboratory, we reused these data. All 107 experimental procedures were approved by the University College London Research 108 Ethics Committee. 109

110 2.1.2. Apparatus

Functional and anatomical images were collected using a Siemens Avanto 1.5 Tesla magnetic resonance imaging (MRI) scanner. To prevent obstructed view, we used a customized version of the standard 32 channel coil, where the front visor was removed, reducing the number of channels to 30. For one participant (P2), however, the structural images were acquired with the standard 32 channel coil. Key presses

were recorded via an MRI-button box for right-handers. Stimuli were projected onto a screen (resolution: 1920×1080 pixels; refresh rate: 60 Hz; background color: gray) at the back of the MRI scanner bore and viewed via a head-mounted mirror (viewing distance: approximately 67-68 cm; stimulus dimensions are based on the latter value; note that the variance in exact head/eye position is typically greater than this range). A list of software and toolboxes used in all experiments can be found in Supplementary Table S1.

123 2.1.3. Stimuli

The retinotopic mapping stimulus consisted of a simultaneous wedge-and-ring 124 aperture (Figure S1 and Inline Supplementary Video 2) centered within a screen-125 bounded rectangle in back-ground gray. The wedge aperture was a sector (polar 126 angle: 12°) of a disk (diameter: 17.03 dva), moving clockwise or counterclockwise in 127 60 discrete steps during 1 cycle (1 step/s). Consecutive wedges overlapped by 50%. 128 The ring aperture consisted of an expanding or contracting annulus whose diameters 129 varied in 36 logarithmic steps during 1 cycle (1 step/s). The diameter of the inner 130 circle (minimum: 0.48 dva) was 56-58% of that of the outer circle (maximum: 40.38 131 dva, extending beyond the screen dimensions). The diameter of any current circle 132 (outer or inner) was 10-11% larger/smaller compared to the previous one. 133

The wedge-and-ring aperture was superimposed onto circular images (diameter: 134 17.03 dva) depicting intact natural and colorful scenes/objects or a phase-scrambled 135 version thereof ($N_{total} = 456$). The images and the wedge-and-ring aperture were cen-136 tered around a central black fixation dot (diameter: 0.13 dva) that was superimposed 137 onto a central disk (diameter: 0.38 dva). Within the resulting annulus surrounding 138 the fixation dot, the opacity level of the gray background increased radially inwards in 139 12 equal steps (step size: 0.02 dva) from fully transparent ($\alpha = 0$ %) to fully opaque 140 $(\alpha = 100 \%).$ 141

To support fixation compliance, a black polar grid (line width: 0.02 dva) at low opacity ($\alpha = 10.2$ %) centered around the fixation dot was superimposed onto the screen. The polar grid consisted of 10 circles whose diameters were evenly spaced between 0.38 and 27.35 dva, and 12 radial lines evenly spaced between polar angles of 0° and 330°. The radial lines extended from an eccentricity of 0.13 to 15.14 dva.

147 2.1.4. Procedure

The retinotopic mapping experiment consisted of 3 runs. Excluding the initial dummy interval (10 s; fixation dot and polar grid only), each run comprised 4 blocks. At the beginning of each block, the wedge-and-ring aperture was presented (90 s; 1.5 cycles of wedge rotation; 2.5 cycles of ring expansion/contraction), followed by a fixation interval (30 s; fixation dot and polar grid only).

The order of wedge and ring movement in each run was clockwise and expanding 153 (block 1), clockwise and contracting (block 2), counterclockwise and expanding (block 154 3), or counterclockwise and contracting (block 4). Within each block, the type of 155 carrier image (intact or phase-scrambled) alternated every 15 s with the first carrier 156 image always being phase-scrambled in odd-numbered blocks and intact in even-157 numbered blocks. The carrier images themselves were switched every 500 ms and 158 displayed 1-2 times in pseudorandomized order during each run. To avoid confounds 159 due to the spatial distribution of low-level features, the images were always rotated 160 with the orientation of the wedge aperture. 161

Participants had to fixate the fixation dot continuously and press a key whenever 162 the dot turned red. Every 200 ms, with a probability of 0.03, the fixation dot under-163 went a randomized change in color for 200 ms (from black to red, green, blue, cyan, 164 magenta, yellow, white, or remaining black). To also ensure attention on the wedge-165 and-ring aperture, participants were required to press a key whenever a Tartan image 166 appeared. Due to technical issues, for one participant (P3), the last 10 volumes (part 167 of the final 30 s fixation interval) were not acquired in one run. To account for this, 168 we also eliminated the last 10 volumes in the remaining two runs for this participant 169 before submitting the functional data to our preprocessing procedure. 170

171 2.1.5. MRI acquisition

Functional images were acquired with a T2*-weighted multiband 2D echo-planar 172 imaging sequence (Breuer et al., 2005) from 36 transverse slices centered on the oc-173 cipital cortex (repetition time, TR = 1 s, echo time, TE = 55 ms, voxel size = 2.3 174 mm isotropic, flip angle = 75° , field of view, FoV = 224 mm × 224 mm, no gap, 175 matrix size: 96×96 , acceleration = 4). Slices were oriented to be approximately par-176 allel to the calcarine sulcus while ensuring adequate coverage of the ventral occipital 177 and inferior parietal cortex. Anatomical images were acquired with a T1-weighted 178 magnetization-prepared rapid acquisition with gradient echo (MPRAGE) sequence 179 $(TR = 2.73 \text{ s}, TE = 3.57 \text{ ms}, \text{voxel size} = 1 \text{ mm isotropic, flip angle} = 7^{\circ}, FoV = 256$ 180 mm \times 224 mm, matrix size = 256 \times 224, 176 sagittal slices). 181

182 2.1.6. Preprocessing

After removing the first 10 volumes of each run to allow for T1-related signals to 183 reach equilibrium, functional images were bias-corrected for intensity inhomogeneity, 184 realigned, unwarped, and coregistered to the anatomical image. The anatomical image 185 was used to construct a surface model, onto which the preprocessed functional data 186 were projected. For each vertex in the surface mesh, we created an fMRI time series 187 in each run by identifying the voxel in the functional images that fell half-way between 188 the vertex coordinates in the gray-white matter and the pial surface. Finally, each 189 time series was linearly detrended and z-standardized. 190

191 2.1.7. Data analysis

PRF estimation. The preprocessed time series for each vertex were averaged across 192 runs. To estimate the pRF for each vertex, we then implemented a forward-modeling 193 approach restricted to the posterior third of the cortex. Each pRF was modeled as 194 a 2D isotropic Gaussian with four free parameters: x, y, σ , and β , where x and y 195 denote the pRF center position in Cartesian coordinates relative to fixation, σ the 196 size of the pRF, and β the amplitude of the signal. The pRF center position and 197 size were expressed in dva. The estimation procedure was identical to our previous 198 studies (Moutsiana et al., 2016; van Dijk et al., 2016). The resulting parameter maps 199 were modestly smoothed with a spherical Gaussian kernel (FWHM = 3 mm; for 200 experiment-specific smoothing procedures of pRF and response data, see 3.1.7 Data 201 analysis). Note that vertices with a very poor goodness-of-fit ($R^2 < .01$) were removed 202 prior to smoothing. 203

Delineation of visual areas. Using the smoothed color-coded maps for eccentricity 204 and polar angle projected onto the surface model of each hemisphere, we manually 205 delineated V1-V3, V3A, V3B, LO-1, LO-2 (see all Wandell et al., 2007), V4, VO-206 1, and VO-2 (see all Winawer & Witthoft, 2015). Polar angle reversals served as 207 primary indicator for identifying boundaries between visual areas (Engel et al., 1997; 208 Sereno et al., 1995). Example maps used for back-projection purposes (see 3.1.7 Data 209 analysis) including all delineations can be found in Supplementary Figure S1 (C. and 210 D.). 211

For all data analyses, the quarterfield delineations of each hemisphere were merged 212 and areas V3B, LO-1, LO-2, VO-1, and VO-2 combined into a larger complex we la-213 bel the ventral-lateral occipital complex (VLOC). These sub-areas tended to show 214 increased activation for intact vs phase-scrambled images (Supplementary Figure S1, 215 E.), ensuring the functional validity of the VLOC as an object-sensitive complex. To 216 this end, we performed a voxel-wise general linear model (GLM) for each partici-217 pant on the preprocessed fMRI data from the retinotopic mapping experiment. The 218 GLM comprised a constant boxcar regressor for each carrier type (intact vs phase-219 scrambled), convolved with a canonical hemodynamic response function. The fixation 220 intervals were modeled implicitly and the obtained realignment estimates used as nui-221 sance repressors. We applied Restricted Maximum Likelihood estimation with a first 222 order autoregressive model, a high-pass filter (HPF) of 155 s, and implicit masking 223 (threshold: 0.8). The voxel-wise differential beta values resulting from the GLM were 224 then projected onto the surface model and smoothed moderately with a spherical 225 Gaussian kernel (FWHM = 3 mm). Note that values flagged by implicit masking 226 were discarded from smoothing and any subsequent visualizations. Similar functional 227 localization procedures were applied previously to localize the LOC (e.g., De-Wit 228 et al., 2012; Fang et al., 2008; Grill-Spector et al., 1998), which does typically not 229

²³⁰ fully include the VO subareas and is not based on retinotopic principles. We thus
²³¹ refrained from labeling our complex 'LOC'.

Importantly, compared to V1-V3, the subareas of the VLOC are smaller with fewer vertices and a sparser distribution of pRFs around the vertical meridian and the peripheral visual field (Amano et al., 2009; Larsson & Heeger, 2006). Combining these areas into the VLOC thus ensured a more complete coverage of the visual field in each participant, which was the basis for subsequent data analyses.

237 3. Diamond experiment

238 3.1. Methods

239 3.1.1. Participants

Five healthy participants (P1-P5; 1 male; age range: 20-37 years; all right-handed), including the authors DSS and SS, took part in the diamond experiment.

242 3.1.2. Apparatus

Apart from the apparatus of the retinotopic mapping experiment, we used an EyeLink 1000 MRI compatible eye tracker system to record eye movement data of participants' left eye.

246 3.1.3. Stimuli

The bistable diamond stimulus (similar to De-Wit et al., 2012; Fang et al., 2008) 247 comprised a black rhombus-shaped frame (size: 7.92×7.92 dva; line width: 0.16) 248 located around a white central fixation dot (diameter: 0.16 dva). Three vertical rect-249 angles displayed in background color occluded the corners of the diamond stimulus. 250 The middle rectangle (size: 3.75×17.03 dva) was centered around the fixation dot. 251 The left and right rectangles (size: 22.84×17.03 dva, respectively) were centered 252 vertically with their vertical line of symmetry coinciding with the left and right edges 253 of the screen, so that the visible segments of the diamond had a length of 2.61 dva. 254 When the diamond stimulus was centered around fixation, its corners were located at 255 5.6 dva eccentricity. The movement of the diamond followed a horizontal sine wave 256 $(A = 1.29 \text{ dva}, f = 0.5 \text{ Hz}, \omega = 3.14, \phi = 0).$ 257

The diamond display evoked two alternating and mutually exclusive perceptual states: a *local* percept of four individual segments translating vertically out-of-phase and thus incoherently (*no-diamond*; Figure 1, A.) or a *global* percept of an inferred diamond shape translating horizontally in-phase behind three occluders and thus coherently (*diamond*; see all Figure 1, B., and Supplementary Video 1).

263 3.1.4. Procedure

The diamond experiment comprised 1 practice run (not analyzed) and 5 experimental runs. Experimental runs started with a background-only dummy interval (10 s). Next, an initial fixation interval (15 s) was presented, followed by the diamond display (400 s) and a final fixation interval (15 s). Except for the dummy interval, the fixation dot was continuously presented.

Participants were required to fixate the fixation dot continuously. During the 269 diamond interval, they indicated their current percept via pressing a key assigned to 270 their right index finger (diamond) or right middle finger (no-diamond). Except for 271 the first percept in any given run, participants had to indicate perceptual switches 272 only, but were allowed to press any key again if they lost track. During each run, 273 participants' eve position and pupil size were recorded at 60 Hz. Prior to scanning, 274 all participants were tested behaviorally in a separate session outside the scanner to 275 ensure they could clearly perceive both perceptual states and spent a roughly equal 276 amount of time in either. Three recruited participants were unable to do so and hence 277 replaced. 278

279 3.1.5. MRI acquisition

Functional images were acquired with the same sequence as in the retinotopic mapping experiment.

282 3.1.6. Preprocessing

The preprocessing was identical to the retinotopic mapping experiment using the same structural image.

285 3.1.7. Data analysis

Searchlight back-projection. To explore intra- and also between-area response ampli-286 tude mechanisms, we first performed a voxel-wise GLM on the preprocessed data 287 (HPF: 128 s). We used a variable epoch boxcar regressor (Grinband et al., 2008) for 288 each perceptual state (diamond or no-diamond) as well as the period from the onset 289 of the diamond display until participants' first key press. The variable epochs for 290 each perceptual state were the same as in the analysis of perceptual durations (see 291 Supplementary material, 1.1.1 Data analysis). In all other respects (e.g. estimation 292 procedure and nuisance regressors), the GLM was identical to the one specified for 293 the retinotopic mapping experiment. 294

We computed the following contrasts of interest: *diamond vs fixation*, *no-diamond vs fixation*, and *diamond vs no-diamond*. The first two contrasts allowed us to verify the validity of our searchlight back-projection approach. Based on previous research on the positive and negative BOLD signal (Fracasso et al., 2018; Goense et al., 2012; Shmuel et al., 2002, 2006), we expected an increase of activity in the area within

which the visible diamond segments moved and a decrease in non-stimulated sites, 300 especially in lower visual areas (V1/V2), where pRF size is small (e.g., Alvarez et al., 301 2015; Amano et al., 2009; Dumoulin & Wandell, 2008; van Dijk et al., 2016). The 302 contrast diamond vs no-diamond corresponded to analyses applied in prior studies 303 involving the diamond stimulus (e.g., De-Wit et al., 2012; Fang et al., 2008). Based 304 on the study by Fang et al. (2008) and De-Wit et al. (2012), we expected decreased 305 activity in the area within which the diamond segments moved. However, we had 306 no clear expectations as to how the remaining visual field would behave due to the 307 coarser analyses techniques applied previously (De-Wit et al., 2012), evidence from 308 figure-ground studies (Chen et al., 2014; Gilad et al., 2013; Gilad & Slovin, 2015; 309 Kok & de Lange, 2014; Lamme, 1995; Poort et al., 2012, 2016), and findings showing 310 increased activity for the diamond vs no-diamond percept (Caclin et al., 2012). 311

The voxel-wise differential beta values from the GLM were subsequently projected 312 onto the surface model. Both the raw pRF data and the differential beta estimates 313 were then modestly smoothed in an identical fashion using a spherical Gaussian kernel 314 (FWHM = 3 mm). Vertices whose pRF estimates showed a very poor goodness-of-fit 315 $(R^2 < .01)$ or artifacts (σ or $\beta < 0$) were removed prior to smoothing. Vertices flagged 316 by implicit masking were likewise discarded from smoothing as well as any subsequent 317 analyses. We then used the delineations for each visual area and hemisphere from 318 the retinotopic mapping experiment to extract pRF estimates and differential beta 319 estimates of vertices falling within their spatial extent and pooled them across hemi-320 spheres for each participant. Vertices whose pRF estimates showed poor goodness-of-321 fit $(R^2 \leq .05)$, and/or eccentricities outside the stimulated retinotopic mapping area 322 $(\geq 8.5 \text{ dva})$ were discarded. 323

Subsequently, we defined a mesh grid (size: 17×17 dva) covering the stimulated 324 retinotopic mapping area. The grid point coordinates were separated from one an-325 other by 0.1 dva in both the horizontal and vertical dimension (range: -8.5-8.5 dva, 326 respectively). Next, a circular searchlight (radius: 1 dva) was passed through visual 327 space by translating its center point from one grid point to the next. All vertices whose 328 pRF center position fell into a given searchlight at a particular location were then 329 identified. The differential beta estimates corresponding to the set of vertices within 330 a given searchlight were summarized as a t-statistic by performing a one-sample t-test 331 against 0. This way, we were able to account for the different numbers of vertices 332 in each searchlight. T-statistics based on a single vertex/no vertices were set to 0. 333 Importantly, t-statistics were only used as descriptive measure here. Of note, this 334 searchlight procedure automatically normalizes the input data into a standard space 335 as defined by the mesh grid. 336

For the vertices within a given searchlight, we derived the inverse Euclidean distance of their pRF center position from the respective searchlight center, normalized

by the searchlight radius. These normalized vertex-wise weights were summed up 339 searchlight-wise, resulting in summary weights where higher values reflect a higher 340 number of vertices within a given searchlight as well as vertices with a pRF center po-341 sition closer to the searchlight center. The summary weights were then normalized via 342 dividing them by the 25^{th} percentile of the resulting distribution of summary weights. 343 Normalized summary weights > 1 were set to 1. Summary weights based on a single 344 vertex were set to 0. Using the grid point coordinates, the resulting t-statistic maps 345 were visualized as a heatmap. The color saturation of the heatmap was calibrated 346 using the normalized summary weights, so that a higher saturation reflected a higher 347 normalized summary weight. 348

The searchlight back-projections were obtained for each visual area and contrast of interest by pooling the data from all participants (after participant-wise smoothing). The pooling of data across participants improved the precision of searchlight backprojections because vertices from different participants complemented one another and covered the visual field more completely. Due to insufficient visual field coverage in V3A and V4 in each participant, we excluded these areas from the searchlight and all subsequent analyses.

Representational similarity analysis of searchlight back-projections. To explore the 356 impact of each participant's data set on the pooled searchlight back-projections, we 357 performed a representational similarity analysis (Kriegeskorte, 2008). To this end, 358 we first conducted a leave-one-subject-out (LOSO) analysis by repeating the search-359 light back-projections analysis whilst iteratively leaving out one participant. We then 360 determined the dissimilarity (1-Spearman correlation) between the LOSO and the 361 pooled back-projection matrices. Moreover, to assess the similarity structure more 362 comprehensively, we also determined the dissimilarity between the individual (i.e., 363 participant-wise) and the LOSO or pooled back-projections matrices. Importantly, 364 for each back-projection pair, t-statistics based on a single vertex/no vertices were 365 removed from both matrices prior to calculating the dissimilarity measure. 366

To visually summarize the dissimilarity structure, the resulting square matrices 367 of dissimilarities (with zeros along the diagonal) were projected onto a 2D ordination 368 space via Kruskall's (1964a; 1964b) non-metric multidimensional scaling (NMDS) 369 using monotone regression (criterion: stress). The final solution (based on 100 random 370 starts) was centered, rotated via principal component analysis, and scaled to the range 371 of the input dissimilarity measures. The lower the dissimilarity between two back-372 projection matrices, the closer they should be located in the 2D ordination space. 373 Accordingly, if the pooled back-projections are representative of the whole study 374 sample, the LOSO and individual back-projections should tightly cluster around or 375 coincide with them. 376

377 3.2. Results

378 3.2.1. Searchlight back-projections

Figure 2 depicts the searchlight back-projections for the pooled data per visual area and contrast of interest. When comparing the diamond or no-diamond percept to fixation, activity increased in the area within which the visible diamond segments moved. This pattern was fairly focal in V1 with suppressed differential activity in non-stimulated sites, but became more diffuse in V2, V3, and the VLOC.

For the contrast diamond vs no-diamond, we observed a wide-spread suppression of activity in V1, particularly along the horizontal meridian. Although V2 and V3 showed similar suppressive effects, these were less extensive and intermixed with distinct opposite effects. There was also no clear indication of a suppression streak along the horizontal meridian. Finally, unlike V1-V3, the contrast diamond vs no-diamond showed a wide-spread increase of activity in the VLOC.

390 3.2.2. Representational similarity of searchlight back-projections

Figure 3 depicts the NMDS solution for dissimilarities calculated between the individual, pooled, and LOSO searchlight back-projections, separately for each contrast of interest and visual area. The corresponding representational dissimilarity matrices can be found in Supplementary Figure S3.

For all visual areas and contrasts, the LOSO back-projections essentially coincided 305 with the pooled back-projections, highlighting a low degree of dissimilarity. Thus, the 396 pooled back-projections do not seem to be driven by a single participant. The individ-397 ual back-projections clustered around the pooled ones in a circular fashion, but less 398 tightly than the LOSO back-projections, suggesting a higher degree of dissimilarity. 399 Strikingly, for the contrast diamond vs no-diamond in V1 and V2, the back-projection 400 pattern for P5 was located far away from the remaining ones, indicating a high degree 401 of dissimilarity (see all Figure 3). Indeed, when examining the representational dis-402 similarity matrices directly (Figure S3), it becomes evident that the back-projections 403 for P5 in V1 and V2 show a pattern opposite to the other participants. 404

405 3.3. Discussion

Here, we explored within- and between-area response amplitude codes in human
visual cortex underlying global object perception. Participants viewed a bistable diamond stimulus that was either perceived as four individual segments moving vertically
and incoherently (local, no-diamond percept) or a diamond shape drifting horizontally
and coherently behind occluders (global, diamond percept).

When contrasting either the diamond or no-diamond percept to fixation, our searchlight back-projections revealed enhanced activity in cortical sites stimulated by the visible diamond segments. This differential increase was concise in V1 along with reduced activity in non-stimulated sites, but became more widespread in V2,

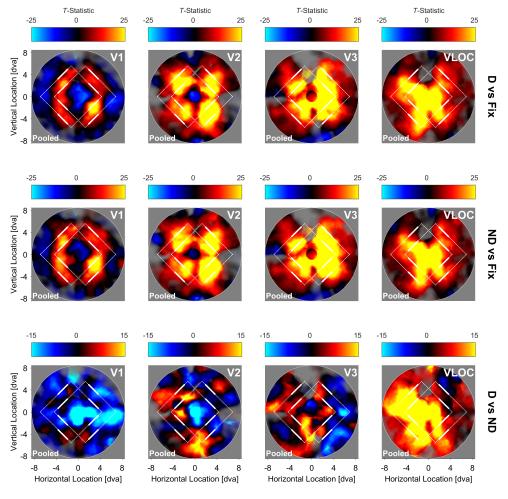


Figure 2. Diamond experiment | Searchlight back-projections of differential brain activity as a function of contrast of interest and visual area. T-statistics surpassing a value of \pm 25 (first and second row) or \pm 15 (third row) were set to that value. The saturation of colors reflects the number of vertices in a given searchlight plus their inverse distance from the searchlight center. White lines represent the extreme positions of the diamond stimulus. White solid lines denote the visible ungrouped diamond segments. White dashed lines additionally illustrate the inferred but invisible diamond shape when the segments were grouped together. D = Global, diamond percept. ND = Local, no-diamond percept. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. Pooled = Data pooled across all 5 participants.

- $_{415}$ V3, and the VLOC. We therefore replicate previous work on stimulus-evoked retino-
- topic activation and background suppression in visual cortex (Fracasso et al., 2018;
- 417 Goense et al., 2012; Shmuel et al., 2002, 2006). Our findings furthermore comply

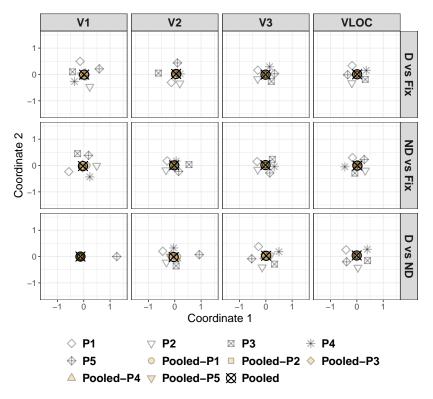


Figure 3. **Diamond experiment**| Non-metric multi-dimensional scaling of the dissimilarities from Figure S3 as a function of contrast of interest and visual area. D = Global, diamond percept. ND = Local, no-diamond percept. Fix = Fixation baseline. VLOC =Ventral-and-lateral occipital complex. P1-P5 = Participant 1-5. Pooled = Data pooled across all 5 participants. Pooled-P1-Pooled-P5 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

with predictions based on between-area differences in pRF size (Alvarez et al., 2015; Amano et al., 2009; Dumoulin & Wandell, 2008; van Dijk et al., 2016). Specifically, given that pRF size is larger in higher visual areas, there is a greater number of peripherally located pRFs encoding the visible diamond segments, resulting in a more diffuse topographic representation. In sum, these results confirm our expectations and validate our searchlight back-projection approach.

When we directly compared the diamond to the no-diamond percept, our searchlight analysis indicated a large-scale suppression of activity in V1 along with tendentially less extensive suppressive effects in V2 and V3. This global dampening effect speaks against the idea of a within-area response amplitude mechanism labelling different portions of the diamond display distinctively to mediate global object perception (Chen et al., 2014; Gilad et al., 2013; Gilad & Slovin, 2015; Grassi et al., 2017; Kok & de Lange, 2014; Lamme, 1995; Likova & Tyler, 2008; Poort et al., 2012, 2016). 431 Critically, however, it echoes prior reports of retinotopically-unspecific deactivation

 $_{432}$ during the diamond vs no-diamond percept and an attenuation of these effects in $_{433}$ V2/V3 (De-Wit et al., 2012).

In contrast, there was a wide-spread enhancement of activity in the VLOC for the 434 diamond compared to the no-diamond percept. This mirrors previous studies on the 435 diamond stimulus identifying the LOC as a source for modulatory feedback in lower 436 visual areas (Fang et al., 2008; Murray et al., 2002). This idea is corroborated by 437 a large body of work highlighting the sensitivity of LOC responses to global shape 438 and intact objects even under occlusion conditions (Grill-Spector et al., 1999; Hegdé 439 et al., 2008; Lerner et al., 2002, 2004; Malach et al., 1995; Vinberg & Grill-Spector, 440 2008). Moreover, given that visual stimulation was identical in the diamond and no-441 diamond percept, the universal deactivation we observed in lower visual cortex cannot 442 be attributed to physical stimulus differences (Dumoulin & Hess, 2006) and was thus 443 likely subject to top-down modulation. 444

However, it is unclear whether the inverse relationship between the VLOC/LOC 445 and lower visual cortex we and others quantified (Fang et al., 2008; Grassi et al., 2018; 446 Murray et al., 2002) can be regarded as a generic perceptual grouping mechanism op-447 erating irrespective of shape perception. Recent evidence suggests, for instance, that 448 activity in the LOC also decreases for intact vs scattered objects with abolished inter-449 part relations (Margalit et al., 2017) as it is the case during the no-diamond percept. 450 In order to address this question, our third experiment used a non-ambiguous stim-451 ulus consisting of four circular apertures, each carrying a random dot kinematogram 452 (RDK). In the local condition, the RDKs translated vertically and incoherently. In 453 the global condition, however, they moved horizontally and coherently and could thus 454 be grouped together without forming a hybrid shape. These conditions closely echoed 455 the motion features of the diamond stimulus whilst keeping shape information (i.e., 456 the four circular apertures) constant and allowing for perceptual grouping. If the 457 between-area response amplitude mechanism between the VLOC/LOC and lower vi-458 sual cortex indeed constitutes a generic grouping mechanism, we should be able to 459 conceptually replicate the findings from our diamond experiment. 460

461 4. Dots experiment

462 4.1. Methods

463 4.1.1. Participants

The authors DSS and SS as well as 3 other healthy participants (P1, P2 and P6-P8; 1 male; age range: 24-38 years; 1 left-handed) partook in this experiment.

466 4.1.2. Apparatus

All apparatus were identical to the diamond experiment although the viewing distance to the head-mounted mirror was approximately 67 cm here as this facilitated the use of the eye tracker.

470 4.1.3. Stimuli

The dots stimulus comprised four circular apertures through which a random dot 471 kinematogram (RDK), that is, a field (size: 2.85×2.85 dva) of moving black dots 472 (diameter: 0.11 dva) was presented. The apertures were generated by removing all 473 dots falling outside or on the edge of a circle (diameter: 2.85 dva) centered within the 474 dots field. The aperture centers were positioned at the corners of a square (size: 5.69 475 \times 5.69 dva) centered around a white central fixation dot (diameter: 0.16 dva). The 476 dots of each aperture had a density of 12.33 dots/dva². All dots had a lifetime of 9 477 frames and were repositioned randomly within their field once they died. If the dots 478 moved beyond the edge of their field, they were moved back by 1 field width. The 479 position of a given dot at the beginning of each block was determined randomly as 480 was the time a dot had already lived. 481

In the global horizontal condition, the dots in all apertures moved synchronously 482 according to a horizontal sine wave (A = 1.31 dva, f = 0.5 Hz, $\omega = 3.14$, $\phi = 0$; 483 Figure 4, B.). In the *local vertical* condition, they followed an identical but verti-484 cal sine wave with the dots in the bottom-right and top-left apertures moving anti-485 synchronously ($\phi_1 = 0$) relative to the dots in the top-right and bottom-left aper-486 tures ($\phi_2 = \pi$; Figure 4, A., and Inline Supplementary Video 3). The horizontal 487 condition mimicked the perceived movement during the global diamond percept and 488 enabled participants to group the 4 apertures together through the Gestalt principle 489 of common fate similar to the diamond stimulus. The vertical condition mirrored the 490 perceived movement during the local no-diamond percept. Notably, the number of 491 apertures and shape information remained the same in both conditions. 492

493 4.1.4. Procedure

The dots experiment comprised 8 experimental runs. Excluding the initial dummy interval (10 s without fixation dot), each run was split into 8 blocks. Within each block, a fixation interval (15 s) was presented followed by the dots stimulus (30 s) in either the vertical or horizontal condition. Within each run, the horizontal and vertical conditions were presented in an alternating fashion, starting with the vertical condition in uneven-numbered and the horizontal condition in even-numbered runs. At the end of each run, a final fixation interval (15 s) was displayed.

Participants were required to fixate the fixation dot continuously. In the dots interval, they indicated whenever the dots in one of the circular apertures flickered shortly (by changing their color to background gray for 200 ms) via pressing a key

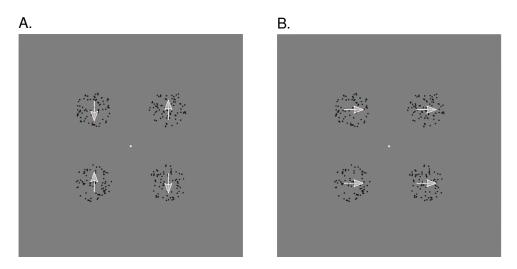


Figure 4. **Dots experiment**| Example frames of the dots stimulus. **A.** Local, vertical condition. Here, the dots oscillated vertically and incoherently with the dots in the left/right apertures moving towards/away from one another, respectively, or vice versa (not shown), so that the apertures were perceived as 4 individual elements. **B.** Global, horizontal condition. Here, the dots in all apertures oscillated horizontally and coherently, so that the apertures could be grouped together into a global Gestalt without forming a hybrid shape. Since this stimulus was non-ambiguous, the gray arrows naturally indicate the perceived and physical movement direction of the dots within the aperture.

- with their right index finger (left apertures) or right middle finger (right apertures). The number of flicker events per block was determined randomly but was always 3, 6, or 9 with a gap of at least 200 ms between consecutive flicker events. The aperture within which the flicker events occurred was determined randomly. Participants' eye position and pupil size were recorded at 60 Hz.
- 509 4.1.5. MRI acquisition
- ⁵¹⁰ The MRI acquisition was as in the retinotopic mapping and diamond experiment.
- 511 4.1.6. Preprocessing

The preprocessing was identical to the retinotopic mapping and diamond experiment. It is of note, however, that P7 moved more than other participants during the dots experiment. Moreover, for this participant, coregistration in the retinotopic experiment was also less ideal than for others. It is thus important to perform any analyses with and without this participant.

517 4.1.7. Data analysis

Searchlight back-projection. The searchlight back-projection analysis was conducted
 in the same manner as in the diamond experiment with exceptions as follows. The

voxel-wise GLM on the preprocessed data (HPF: 185 s) involved a constant epoch boxcar regressor for each condition (horizontal or vertical) and an event-related regressor for the onset of the flicker events. We calculated the following contrasts of interest: *horizontal vs fixation, vertical vs fixation*, and *horizontal vs vertical*. The contrasts horizontal or vertical vs fixation were equivalent to the contrasts diamond or no-diamond vs fixation, respectively. The contrast horizontal vs vertical mirrored the contrast diamond vs no-diamond.

Representational similarity of searchlight back-projections. The representational sim ilarity analysis was conducted as in the diamond experiment.

529 4.2. Results

530 4.2.1. Searchlight back-projections

Figure 5 shows the back-projected searchlight-based profiles pooled across participants for each visual area and contrast of interest. When comparing the horizontal or vertical condition to fixation, there was enhanced activity in areas carrying the RDKs. This pattern was spatially relatively precise in V1 with suppressive effects in the central and peripheral visual field, and became more wide-spread in V2, V3, and the VLOC.

For the direct comparison between the horizontal and vertical condition, we observed a fairly wide-spread deactivation across the whole visual field in all visual areas, occasionally intermixed with fairly focal opposite effects. These diffuse suppressive effects were particularly eminent around the central visual field and stimulated areas but not in the background area.

542 4.2.2. Representational similarity of searchlight back-projections

Figure 6 illustrates the NMDS solution for the dissimilarities between the individ ual, pooled, and LOSO searchlight back-projections per contrast of interest and visual
 area. Supplementary Figure S4 shows the corresponding representational dissimilarity
 matrices.

The LOSO back-projections generally accorded well with the pooled ones, high-547 lighting a low degree of dissimilarity. As such, the pooled back-projections do not seem 548 to be driven by single participants including P7 who moved more than other partic-549 ipants and for whom coregistration was difficult. The individual back-projections 550 clustered circularly around the pooled ones, albeit less closely than the LOSO back-551 projections, indicating a higher degree of dissimilarity. This was particularly eminent 552 for the contrast horizontal vs vertical in V1 and the VLOC (see all Figure 6). As 553 the representational dissimilarity matrices indicate (Supplementary Figure S4), this 554 pattern highlights the highly idiosyncratic nature of the individual back-projections. 555

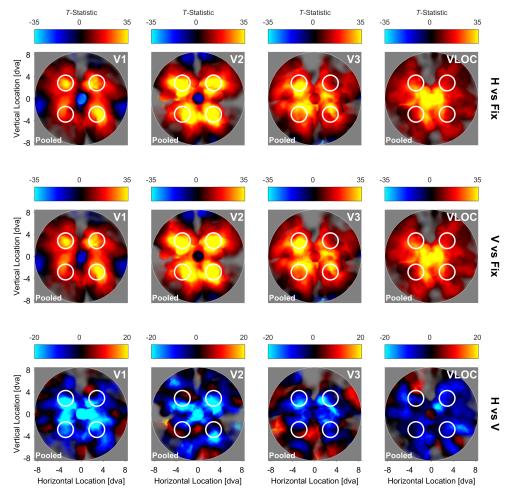


Figure 5. Dots experiment | Searchlight back-projections of differential brain activity as a function of contrast of interest and visual area. *T*-statistics surpassing a value of \pm 35 (first and second row) or \pm 20 (third row) were set to that value. The saturation of colors reflects the number of vertices in a given searchlight plus their inverse distance from the searchlight center. White lines represent the spatial extent of the circular apertures carrying the RDK. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. Pooled = Data pooled across all 5 participants. RDK = Random dot kinematogram.

556 4.3. Discussion

Here, we investigated between- and within-area response amplitude mechanisms
 related to the perception of a global Gestalt in an attempt to generalize the findings of
 our diamond experiment beyond shape perception. Participants viewed four apertures
 carrying random dots that moved either vertically and incoherently (local, vertical

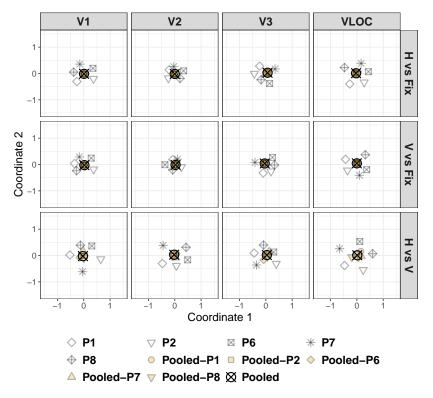


Figure 6. Dots experiment Non-metric multi-dimensional scaling of the dissimilarities from Figure S4 as a function of contrast of interest and visual area. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. P1-P2 and P6-P8 = Participant 1-2 and 6-8. Pooled = Data pooled across all 5 participants. Pooled-P1-Pooled-P2 and Pooled-P6-Pooled-P8 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

condition) or horizontally and coherently, allowing perceptual grouping into a global
configuration (global, horizontal condition). These conditions echoed the global-local
aspects of the diamond stimulus without varying in shape information. We hypothesized that if the between-area response amplitude mechanism between lower visual
cortex and VLOC/LOC we and others observed (Fang et al., 2008; Grassi et al., 2018;
Murray et al., 2002) indeed mediates global object perception per se, we should be
able to conceptually replicate this relationship.

To validate our analysis procedures, we compared the horizontal or vertical condition to fixation. Our searchlight back-projections highlighted increased differential activity in physically stimulated sites and suppressive effects in non-stimulated sites. The spatial precision of this pattern was relatively high in V1 and decreased from V2 over V3 to the VLOC. Collectively, these results are in line with our diamond experiment and confirm the spatial sensitivity of our back-projection approach.

To generalize the findings of our diamond experiment, we compared the horizontal 574 and vertical condition directly, revealing a diffuse pattern of suppressed differential 575 activity across large portions of the visual field in all visual areas. The wide-spread 576 deactivation in lower visual cortex is consistent with our previous diamond results. 577 The diffuse deactivation in the VLOC, however, contradicts the idea that its previ-578 ously established inverse relationship to lower visual cortex represents a between-area 579 response amplitude mechanism mediating global object perception beyond shape per-580 ception. 581

An interesting additional finding is that V1 and V2 activity in the more peripheral 582 back-ground area did not seem to be strongly suppressed for the horizontal relative 583 to the vertical condition, but showed a tendency to remain unchanged or slightly 584 enhanced. This could suggest that the dampening effects we observed are diffusely 585 related to the stimulus and level out further in the periphery. Alternatively, this 586 may be related to a comparably sparser distribution of pRFs in the background area 587 along with a fairly large size and central presentation of the dots stimulus and thus 588 relative undersampling of the background area. Consequently, the question arises 589 as to whether the large-scale deactivation in lower visual cortex also occurs if the 590 dots stimulus is smaller, e.g., confined to one visual field quadrant only. Critically, if 591 this were not the case and the deactivation quadrant-specific and not present in the 592 remaining visual field, this could be regarded as a diffuse instantiation of a within-area 593 response amplitude mechanism. In our fourth experiment, we therefore essentially 594 repeated the dots experiment, but moved the dots stimulus to the top-right visual 595 field quadrant. 596

597 5. Dots quadrant experiment

598 5.1. Methods

599 5.1.1. Participants

The author SS and 4 other healthy participants (P1, P6, and P9-P11; 1 male; age range: 20-36 years; all right-handed) participated in this experiment.

- 602 5.1.2. Apparatus
- ⁶⁰³ All apparatus were identical to the dots experiment.

604 5.1.3. Stimuli

The dots quadrant stimulus was identical to the dots stimulus except that the stimulus configuration was smaller and repositioned. Specifically, the dots field subtended 0.58×0.58 dva and the diameter of the circular apertures was thus 0.58 dva. The aperture midpoints were centered around the corners of a square with a size of 2.27×2.27 dva. The dots configuration was always presented in the top-right visual

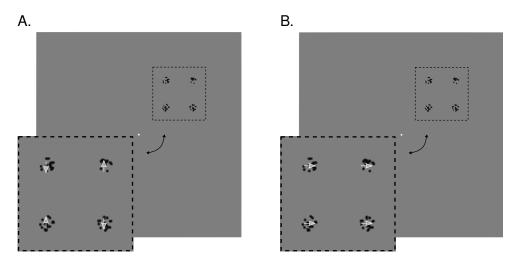


Figure 7. **Dots quadrant experiment** | Example frames of the dots quadrant stimulus. **A.** Local, vertical condition. Here, the dots oscillated vertically and incoherently with the dots in the leftmost/rightmost apertures moving towards/away from one another, respectively, or vice versa (not shown), so that the apertures were perceived as 4 individual elements. **B.** Global, horizontal condition. Here, the dots in all apertures oscillated horizontally and coherently, so that the apertures could be grouped together into a global Gestalt without forming a hybrid shape. Since this stimulus was non-ambiguous, the gray arrows naturally indicate the perceived and physical movement direction of the dots within the aperture. The dots quadrant stimulus was only presented in the top-right visual field quadrant. For reasons of visibility, we cut out the stimulus region to provide a zoomed-in view, as indicated by the black dashed lines and the black double-headed arrows.

⁶¹⁰ field quadrant. Its midpoint was located at a distance of 3.41 dva in the x- and y-⁶¹¹ direction from the center of the screen. The density of the dots in each aperture was ⁶¹² 60.31/dva² and thus higher than in the dots experiment. This way, we ensured that ⁶¹³ the movement of the dots was still clearly perceivable. As in the dots experiment, ⁶¹⁴ there was a local *vertical* (Figure 7, A.) and global *horizontal* condition (Figure 7, B., ⁶¹⁵ and Inline Supplementary Video 4).

616 5.1.4. Procedure

The procedure of the dots quadrant experiment was the same as for the dots experiment, although here, participants were required to press their right index/middle finger when the dots of any of the leftmost/rightmost apertures flickered.

620 5.1.5. MRI acquisition

The MRI acquisition was identical to the other experiments except that we additionally collected a rapid MPRAGE (TR = 1.150 s, TE = 3.6 ms, voxel size = 2 mm isotropic, flip angle = 7°, FoV = 256 mm × 208 mm, matrix size = 128×104 , 80 sagittal slices) to aid coregistration of the functional to the structural images if the ⁶²⁵ structural image was acquired in a separate session.

626 5.1.6. Preprocessing

The preprocessing was identical to all other experiments. However, if rerunning automated coregistration after manual registration failed, we performed a 2-passprocedure where the functional images were first coregistered to the short MPRAGE and then to the long MPRAGE. Where necessary, this 2-pass-procedure was also applied to the retinotopic mapping data of a given participant.

632 5.1.7. Data analysis

633 Searchlight back-projections and representational similarity of searchlight back-projections.

⁶³⁴ The searchlight back-projection and representational similarity analysis were con-

ducted in the same manner as in the dots experiment.

636 5.2. Results

637 5.2.1. Searchlight back-projections

Figure 8 depicts the searchlight back-projection profiles for the pooled data as a function of visual area and contrast of interest. When contrasting the horizontal or vertical condition to fixation, our back-projection profiles highlighted enhanced activity in stimulated visual field portions. This differential enhancement was confined to the top-right visual field quadrant in V1 and V2 with suppressive effects in the remaining quadrants, but increasingly extended into the top-left and bottom-right quadrants from V3 to the VLOC.

For the contrast horizontal vs vertical, we observed a tendency for suppressive effects in stimulated areas of V1 and V2 and enhanced effects in the remaining visual field. In V3 and the VLOC, this pattern was much more pronounced and wide-spread.

5.2.2. Representational similarity of searchlight back-projections

Figure 9 shows the NMDS solution for the dissimilarities calculated between the individual, pooled, and LOSO searchlight back-projections by contrast of interest and visual area. The corresponding representational dissimilarity matrices can be found in Supplementary Figure S5.

In virtually all cases, the LOSO back-projections coincided well with the pooled 653 ones, suggesting a low degree of dissimilarity and thus speaking against an overly 654 strong influence of single participants. The individual back-projections tended to 655 cluster circularly around the pooled ones, albeit less tightly than the LOSO back-656 projections, highlighting a higher degree of dissimilarity. However, some individ-657 ual back-projections were located far apart from one another or the pooled back-658 projections. This was particularly true for the contrast horizontal vs vertical in V1 659 and the VLOC (see all Figure 9). As confirmed by the representational dissimilarity 660

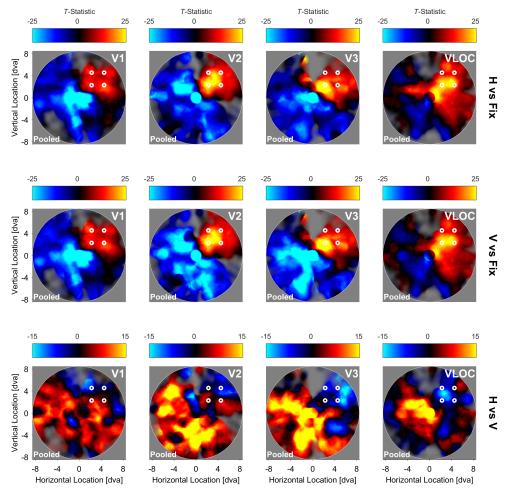


Figure 8. Dots quadrant experiment | Searchlight back-projections of differential brain activity as a function of contrast of interest and visual area. *T*-statistics surpassing a value of \pm 25 (first and second row) or \pm 15 (third row) were set to that value. The saturation of colors reflects the number of vertices in a given searchlight plus their inverse distance from the searchlight center. White lines represent the spatial extent of the circular apertures carrying the RDK. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. Pooled = Data pooled across all 5 participants. RDK = Random dot kinematogram.

- $_{661}$ matrices (Supplementary Figure S5), this structure is indicative of a fairly high degree
- ⁶⁶² of dissimilarity and with that inter-individual variability.

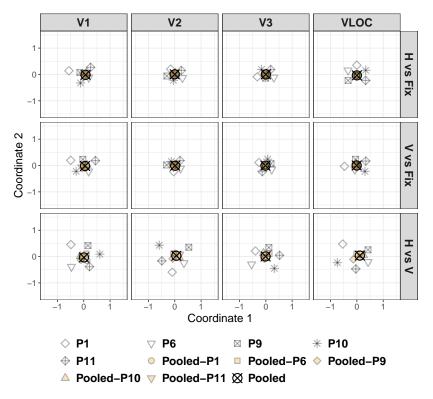


Figure 9. Dots quadrant experiment | Non-metric multi-dimensional scaling of the dissimilarities from Figure S5 as a function of contrast of interest and visual area. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. P1, P6, P9-P11 = Participant 1, 6, and 9-11. Pooled = Data pooled across all 5 participants. Pooled-P1, Pooled-P6, Pooled-P9-Pooled-P11 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

663 5.3. Discussion

Here, we tested for a diffuse instantiation of a within-area response amplitude mechanism related to parafoveal Gestalt perception. Participants viewed apertures filled with random dots in the top-right visual field quadrant. The dots moved either vertically and incoherently (local, vertical condition) or horizontally and coherently (global, horizontal condition). Based on the results of our dots experiment, we hypothesized that any suppression of activity might be diffusely related to the physical stimulus and thus the top-right visual field quadrant or bordering areas.

In line with our hypothesis, when contrasting the horizontal to the vertical condition, our searchlight back-projections revealed a trend for a reduction of activity near the stimulus location in V1 and V2 – a pattern that became more pronounced and wide-spread in V3 and the VLOC. Moreover, we observed an increase of activity in the remaining visual field in all visual areas. We therefore found evidence for a ⁶⁷⁶ within-area enhancement-suppression mechanism mediating the perception of figure

and ground, as previously established in macaques (Chen et al., 2014; Gilad et al.,
2013; Gilad & Slovin, 2015; Lamme, 1995; Poort et al., 2012, 2016) and humans
(Grassi et al., 2017; Kok & de Lange, 2014; Likova & Tyler, 2008).

The absence of clear suppressive effects in V1 and V2 (as compared to V3 and 680 the VLOC) might be related to the functional architecture of the visual cortex, noisy 681 voxels, and the size of the dots quadrant stimulus. Specifically, in lower visual areas, 682 pRFs are smaller and with that the number of pRFs encoding the physical stimu-683 lus tendentially reduced (although not necessarily), resulting in diminished response 684 gain. Consequently, noisy voxels are likely to have a more pronounced impact on 685 searchlight-wise response amplitude quantifications. Moreover, stimulus-driven ac-686 tivity modulations tend to be weaker for smaller and more eccentric stimuli (Nasr 687 et al., 2015) and the distribution of pRFs sparser in the peripheral visual field, as 688 qualified by the saturation weighting in our searchlight back-projections. This might 689 have additionally contributed to the unclear patterns in V1 and V2. Nevertheless, 690 our validation analyses showed that when contrasting the vertical or horizontal condi-691 tion to fixation, we were able to effectively stimulate the cortical area corresponding 692 to the top-right visual field quadrant. This confirms the general feasibility of our 693 back-projection approach. 694

695 6. General discussion

In three experiments, we used dynamic bistable (diamond experiment) and nonambiguous stimuli (dots and dots quadrant experiment) to explore within- and betweenarea response amplitude mechanisms underlying global object perception in human visual cortex. All these stimuli could either be perceived globally (i.e., as a grouped and coherently moving Gestalt) or locally (i.e., as ungrouped and incoherently moving elements).

702 6.1. Signatures in lower visual cortex

When contrasting global to local perception, our diamond and dots experiment 703 revealed a fairly wide-spread suppression of activity across the whole visual field in 704 lower visual cortex. However, unlike our diamond experiment, our dots experiment 705 provided little evidence for pronounced activity modulations in the background region, 706 suggesting that these suppressive effects might be diffusely related to the physical 707 stimulus. Our dots quadrant experiment largely confirmed this notion, but revealed 708 additionally a wide-spread increase of activity in the background area. Whereas the 709 wide-spread suppressive effects from the diamond experiment speak against a within-710 area response amplitude mechanism mediating global object perception, the results 711 from the dots and dots quadrant experiment are largely compatible with this idea. 712

⁷¹³ In any case, the outcomes of our experiments seem to converge in that they suggest

that perceptual grouping results in a reduction of activity in lower visual cortex.

Surprisingly, however, all these findings are at odds with recent evidence showing 715 a decrease of brain activity in the background and stimulus region of another bistable 716 global-local stimulus along with an increase in the center and inferred contour region 717 for global vs local perception (Grassi et al., 2017). Unlike our diamond stimulus, 718 this bistable stimulus triggers a local percept of four individually rotating disk pairs 719 or a global percept of two floating squares circling around the stimulus center. The 720 mismatch in findings might therefore be related to differences in physical stimulus 721 properties, such as the type and/or direction of motion (i.e., rotary vs oscillatory and 722 rotational vs horizontal/vertical, respectively). 723

The emergence of suppressive effects in the dots and dots quadrant experiment, 724 where shape information was kept constant during global and local perception, further 725 highlights the importance of motion properties. This idea is in line with findings of 726 reduced activity in lower visual cortex for coherent vs incoherent motion (Braddick 727 et al., 2001; Costagli et al., 2014; Harrison et al., 2007; McKeefry et al., 1997; Schindler 728 & Bartels, 2017), although no or opposite effects have also occasionally been observed 729 (Braddick et al., 2001; Rees et al., 2000). However, unlike these studies on motion 730 coherence, we did not compare coherent to random motion nor did Grassi et al. (2017). 731 Rather, all our stimuli always comprised coherent motion, but were either perceived as 732 ungrouped and moving out-of-phase (local) or grouped and moving in-phase (global). 733 Accordingly, although speculative, the perceived axis of motion (horizontal vs vertical) 734 might constitute an important factor driving our results. 735

A potential reason for a horizontal-vertical imbalance might be that there is a bias for vertical motion in lower visual cortex resulting in generally higher response amplitudes. In the case of the diamond experiment (in particular), this directional anisotropy might additionally interact with feature-based attention. Specifically, given that information about motion direction is inherently ambiguous for the diamond stimulus, during the local diamond state, observers may direct their attention to vertical motion and during the global diamond state to horizontal motion.

Interestingly, there is evidence for increased responses to horizontal/vertical mo-743 tion around the horizontal/vertical meridian in lower visual cortex (Clifford et al., 744 2009). Along with a plethora of similar studies (Maloney et al., 2014; Raemaekers 745 et al., 2009; Schellekens et al., 2013), this finding points to a radial response bias. 746 Importantly, such a radial anisotropy is incompatible with our results, as it would 747 produce meridian-related antagonistic effects for global as compared to local per-748 ception (i.e., an increase in differential activity around the horizontal meridian and 749 decrease around the vertical meridian), which we did not observe. Critically, how-750 ever, it is hitherto not clear in how far these radial anisotropies are due to vignetting 751

(Roth et al., 2018) and/or aperture-inward biases (Wang et al., 2014), leaving open
the possibility for a vertical-horizontal anisotropy.

The role of feature-based attention as a perceptual modulator fits in with evidence 754 that the attended direction of motion can be decoded from activity in lower visual 755 cortex (Kamitani & Tong, 2006) even in the absence of direct physical stimulation 756 (Serences & Boynton, 2007) and the idea that feature-based attention acts fairly 757 globally across the visual field (Jehee et al., 2011; Maunsell & Treue, 2006; Saenz 758 et al., 2002; Serences & Boynton, 2007; Treue & Martinez Trujillo, 1999). Strikingly, 759 the combinatory effect of anisotropies and feature-based attention might also help 760 explain why variations of the diamond stimulus triggering a local percept of vertical 761 motion and a global percept of rotational motion (Caclin et al., 2012) or other bistable 762 global-local stimuli (Grassi et al., 2017) produce distinct differential response profiles. 763 Most importantly, as for our findings, this combinatory effect leads to the prediction 764 that rotating the diamond display by 90 degree should produce the opposite pattern 765 of results for global vs local perception. 766

Leaving all inconsistencies aside, our study overlaps with studies on motion coher-767 ence (Braddick et al., 2001; Costagli et al., 2014; Harrison et al., 2007; McKeefry et al., 768 1997; Schindler & Bartels, 2017) and Grassi et al.'s (2017) work in that it points to 769 stimulus-referred suppressive effects for global vs local perception. This suppression 770 might be related to a recently reported phenomenon known as the global slow-down 771 effect (Kohler et al., 2009, 2014). This effect comprises a slow-down in the perceived 772 speed of a stimulus configuration as a result of perceptual grouping and has hitherto 773 only been demonstrated behaviourally (Kohler et al., 2009, 2014) for variations of the 774 stimulus used by Grassi et al. (2017). As such, it would be worthwhile to examine 775 whether the effect holds true for the diamond stimulus and ultimately also our dots 776 and dots quadrant stimuli along with more conventional motion displays because these 777 stimulus classes abstract from shape perception (for a similar point and a discussion 778 on potential underlying mechanisms see Kohler et al., 2014). 779

The broad background enhancement we observed in the dots quadrant experiment. 780 which was absent in the diamond and dots experiment, might be due to spatial atten-781 tion. In particular, perceiving a grouped and coherently moving object parafoveally 782 might require fewer attentional resources than perceiving an ungrouped and incoher-783 ently moving object. Accordingly, in the vertical condition, fewer attentional resources 784 might have been available for processing the background area. This interpretation fits 785 in with reports that spatial attention results in increased brain responses even in the 786 absence of physical stimulation (Kastner et al., 1999; Silver et al., 2009). Due to 787 the size and central presentation of the diamond and dots stimulus, we might have 788 been unable to observe similar effects in the diamond and dots experiment. It is 789 furthermore possible that the background enhancement is related to *perceived back*-790

ground luminance, which has recently been found to be increased for global vs local
 perception (Han & VanRullen, 2016, 2017).

Building upon previous research involving the diamond stimulus (De-Wit et al., 793 2012), it is important to highlight that our results in lower visual cortex across all ex-794 periments contradict suggestions of predictive coding theories that suppressive effects 795 should be confined to cortical sites encoding the physical stimulus and accompanied 796 by unchanged activity in the background region (e.g., Mumford, 1992; Murray et al., 797 2004; Rao & Ballard, 1999). They furthermore conflict with alternative accounts, 798 such as response sharpening (e.g., Kersten et al., 2004; Kersten & Yuille, 2003; Mur-799 ray et al., 2004). Response sharpening accounts assume that predictive feedback from 800 higher-tier areas sharpens diffuse responses in lower-tier areas (due to noise or am-801 biguity) by increasing activity matching the global interpretation of the bottom-up 802 input and decreasing non-matching activity. Accordingly, when contrasting global to 803 local object perception, activity should increase in stimulated and decrease in non-804 stimulated sites – a pattern we did not observe. 805

806 6.2. Relationship between higher and lower visual cortex

Whereas our findings for the VLOC in the dots and dots quadrant experiment largely paralleled those in lower visual cortex for global vs local perception, we observed a large-scale response enhancement in the diamond experiment that was antagonistic to responses in lower visual cortex. The absence of an inverse relationship between lower visual cortex and the VLOC when shape information did not change suggests that this between-area response amplitude code does not represent a generic grouping mechanism acting beyond shape perception.

It could be argued that our failure to find evidence for such an opposite pattern is 814 due to the fact that non-ambiguous stimuli strongly favor a single perceptual interpre-815 tation and thus involve less predictive feedback (Wang et al., 2013). This explanation 816 seems unlikely because an inverse V1-LOC relationship has also been established 817 for non-ambiguous shape-like stimuli vs unstructured displays (Murray et al., 2002). 818 Moreover, at least broadly in line with our results, recent studies (Grassi et al., 2016, 819 2018) found no evidence for the involvement of the LOC when a dynamic, bistable 820 global-local stimulus constantly triggered shape-based interpretations (i.e., moving 821 disks forming large squares or small circles). 822

The absence of a (stimulus-related) increase in VLOC activity in the dots and dots quadrant experiment seems incompatible with a study reporting enhanced LOC activity for intact compared to scattered objects with disturbed inter-part relations (Margalit et al., 2017). Yet, in this study, inter-part relations were abolished by disturbing the contiguity of different shape parts. In our experiments, however, the position of the apertures did not change during the local state nor did shape information, which might explain the discrepant results.

830 6.3. Inter-individual variability

The wealth of evidence presented here is based on data pooled across a small 831 number of participants. As such, it is important to flag an overly large influence of 832 a single participant. Although the results of our representational similarity analyses 833 did not indicate such a bias, they collectively highlighted the idiosyncratic nature of 834 the individual back-projection profiles. Some of these idiosyncrasies are likely due 835 to a lower signal-to-noise ratio at the individual level triggered by a generally lower 836 number of available data points. They might also be related to inter-individual vari-837 ability in pRF estimates and processing of the global-local stimuli, such as differences 838 in switch rates, perceptual durations (Supplementary material, 1.1.2 Results, and 839 Supplementary Figure S2), perceptual vividness, and attention allocation. 840

841 7. Conclusion

We found evidence for a suppression of activity in lower visual cortex accompanied 842 by an increase of activity in the VLOC for global relative to local object perception. 843 While the suppressive effects in lower visual cortex manifested themselves irrespective 844 of shape grouping, this was not the case for the enhanced responses in the VLOC. 845 Instead, once shape perception was held constant during both global and local object 846 perception, the VLOC also showed a decrease of activity. As such, the inverse rela-847 tionship between lower visual cortex and the VLOC we initially quantified cannot be 848 regarded as a generic grouping mechanism. We furthermore observed that grouping-849 related suppressive effects can be diffusely confined to stimulated visual field portions 850 (once stimulus size is reduced) and surrounded by enhancement effects, potentially 851 pointing to a within-area response amplitude mechanism mediating the perception of 852 figure and ground. 853

854 8. Data and code availability

Preprocessed data, analysis code, and stimulus videos are available from https: //doi.org/10.17605/0SF.IO/E6C8S.

9. Conflict of interest

The authors declare no conflict of interest. The research sponsor had no role in the study design, the collection, analysis and interpretation of the data or the write-up and decision to submit this article for peer review.

⁸⁶¹ 10. Acknowledgements

This research was supported by a European Research Council Starting Grant to DSS (WMOSPOTWU, 310829). We thank Elisa Infanti and Man-Ling Ho for their help with data collection, Samuel G. Solomon and Matteo Lisi for useful discussions, and all participants for taking part in our study. Some of the reports here were part of an unpublished MSc thesis.

Supplementary material

⁸⁶⁸ 1. Supplementary methods and results

- 869 1.1. Diamond experiment
- 870 1.1.1. Data analysis

867

Perceptual durations. Participants' key presses were used to calculate the durations of 871 the diamond and no-diamond percept. If the same key was pressed multiple times in 872 succession, the resulting sub-durations were summed up. The period from the onset 873 of the diamond display until participants' first key press was discarded. For each 874 participant and the data pooled across participants, we then fit the durations for the 875 diamond and no-diamond percept with a two-parameter (α : shape, β : rate) gamma 876 probability density function using the maximum likelihood method. The resulting fits 877 were superimposed onto a density histogram of the perceptual durations (bin width: 878 2 s). 879

880 1.1.2. Results

Perceptual durations. The probability density histograms of the durations per per-881 ceptual state for each participant and the pooled data with superimposed gamma fit 882 can be found in Supplementary Figure S2. Despite inter-individual variability in the 883 shape and rate parameters, both the pooled and individual diamond and no-diamond 884 durations seem to be well fit with a gamma distribution, suggesting they follow sim-885 ilar temporal dynamics. However, all participants except P2 showed a tendentially 886 higher probability density of longer durations for the no-diamond relative to the dia-887 mond percept. Likewise, these participants showed a higher median duration for the 888 no-diamond percept and spent a higher proportion of time in this perceptual state, 889 which was also reflected in the pooled results. Consequently, the perception of most 890 participants was slightly biased towards the no-diamond state. 891

⁸⁹² 2. Supplementary figures and tables

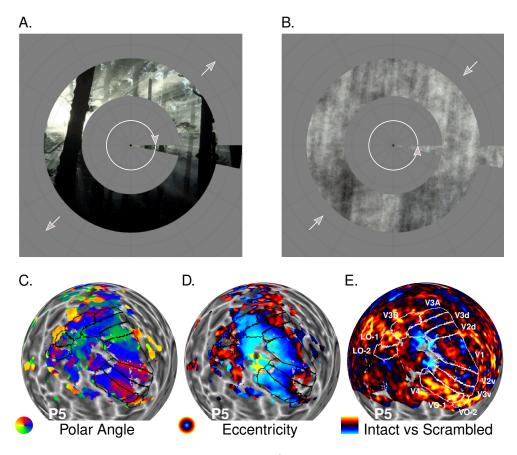


Figure S1. Retinotopic mapping experiment | Example frames of the wedge-and-ring stimulus and smooth cortical maps of P5's left hemisphere projected onto a spherical surface model. A. Intact, colorful carrier pattern. B. Phase-scrambled version of the carrier pattern in A. The gray arrows indicate the movement direction of the wedgeand-ring aperture, which was either clockwise and expanding (A.), counterclockwise and contracting (B.), clockwise and contracting, or counterclockwise and expanding (not shown, respectively). C. Polar angle map. D. Eccentricity map. Vertices surpassing an eccentricity of 15 dva were discarded (no other post-smoothing thresholding was applied). Note that these pRF maps were subjected to the experiment-specific smoothing procedure (see 3.1.7 Data analysis). The color disks represent the color schemes used to label different visual field portions. E. Differential brain activity resulting from contrasting periods of intact vs phase-scrambled images. Differential betas surpassing a value of \pm 2 were set to that value. Cold colors reflect negative and warm colors positive differential beta values as indicated by the color bar. White or black lines denote the boundaries between visual areas. The gray scale pattern of the surface model reflects the cortical curvature. Darker regions depict sulci and lighter regions gyri. P5 = Participant 5. VO =Ventral-occipital area. LO = Lateral-occipital area. PRF = Population receptive field.

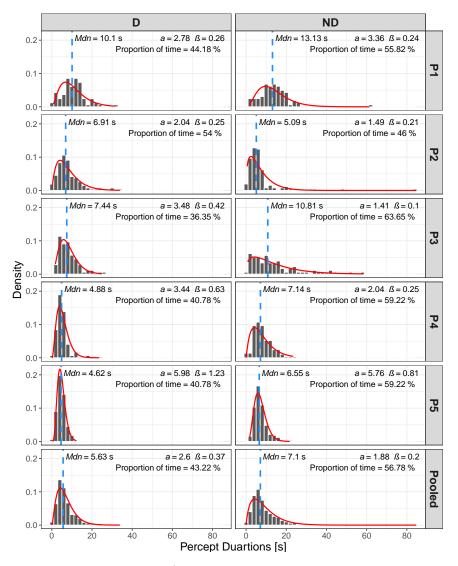


Figure S2. **Diamond experiment**| Probability density histograms of the durations corresponding to the diamond and no-diamond percept with superimposed gamma functions. The red line depicts the fitted gamma curve and the blue line the median duration. α , β = Shape and rate parameter of the gamma distribution, respectively. Total time = Proportion of time spent in the respective perceptual state. D = Global, diamond percept. ND = Local, no-diamond percept. P1-P5 = Participant 1-5. Pooled = Data pooled across all 5 participants.

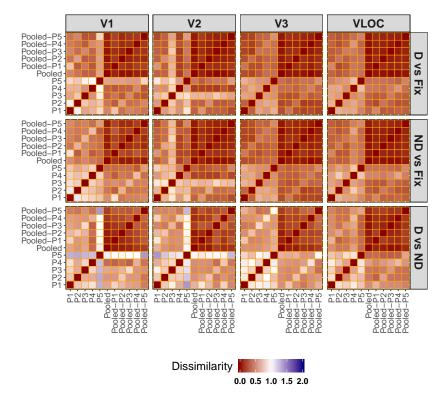


Figure S3. **Diamond experiment** | Representational dissimilarity matrices for the individual, pooled, and LOSO searchlight back-projections as a function of contrast of interest and visual area. Dissimilarities were defined as 1-Spearman correlation. D = Global, diamond percept. ND = Local, no-diamond percept. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. P1-P5 = Participant 1-5. Pooled = Data pooled across all 5 participants. Pooled-P1-Pooled-P5 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

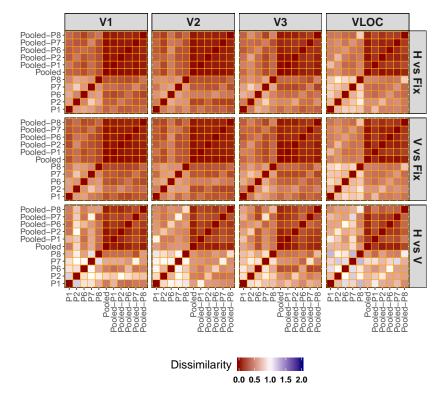


Figure S4. Dots experiment | Representational dissimilarity matrices for the individual, pooled, and LOSO searchlight back-projections as a function of contrast of interest and visual area. Dissimilarities were defined as 1-Spearman correlation. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. P1-P2 and P6-P8 = Participant 1-2 and 6-8. Pooled = Data pooled across all 5 participants. Pooled-P1-Pooled-P2 and Pooled-P6-Pooled-P8 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

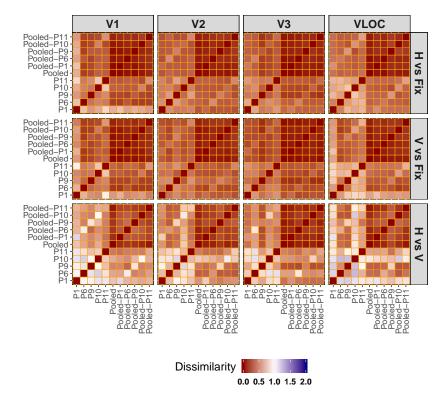


Figure S5. **Dots quadrant experiment**| Representational dissimilarity matrices for the individual, pooled, and LOSO searchlight back-projections as a function of contrast of interest and visual area. Dissimilarities were defined as 1-Spearman correlation. H = Global, horizontal condition. V = Local, vertical condition. Fix = Fixation baseline. VLOC = Ventral-and-lateral occipital complex. P1, P6, P9-P11 = Participant 1, 6, and 9-11. Pooled = Data pooled across all 5 participants. Pooled-P1, Pooled-P6, Pooled-P9-Pooled-P11 = Data pooled across 4 participants with 1 participant left out (as indicated by the suffix). LOSO = Leave-one-subject-out.

Table S1 Software and Toolboxes

| Implemented procedures | Softaware (version) | Toolbox (version) |
|--|----------------------------------|--|
| Experiments | Matlab R2014a $(8.3)^1$ | PTB (3.0.11) ⁴ |
| Preprocessing | | |
| Realignment/unwarping/coregistration | Matlab R2016b $(9.1)^1$ | SPM8 (6313; default parameters) ⁵ |
| Surface reconstruction | FreeSurfer (5.3.0) ² | |
| Surface projection/detrending/standardization | Matlab R2016b $(9.1)^1$ | SamSrf (5.84) ⁶ |
| pRF estimation | Matlab R2016b $(9.1)^1$ | SamSrf (5.84) ⁶ |
| Delineations | Matlab R2016b $(9.1)^1$ | |
| GLM | | SPM8 (6313) ⁵ |
| Surface projection/manual demarcation | | SamSrf (5.84) ⁶ |
| Smoothing/visualizations | | SamSrf (6.20) ⁷ |
| Searchlight back-projections | Matlab R2016b $(9.1)^1$ | |
| GLM | | SPM8 (6313) ⁵ |
| Surface projection | | SamSrf (5.84) ⁶ |
| Smoothing/searchlight algorithm/visualizations | | SamSrf (6.20) ⁷ |
| Representational similarity | | |
| Dissimilarity calculation | Matlab R2016b (9.1) ¹ | |
| NMDS/visualizations | R (3.5.3) ³ | vegan (2.5-6) ⁸ |
| | | $ggplot2(3.2.1)^9$ |
| | | $reshape2(1.4.3)^{10}$ |
| | | plyr (1.8.4) ¹¹ |
| | | rmatio $(0.14.0)^{12}$ |
| Perceptual durations | | |
| Duration calculation | Matlab R2016b $(9.1)^1$ | |
| Gamma fitting/visualizations | R (3.5.3) ³ | MASS (7.3-51.4) ¹³ |
| | | ggplot2 (3.2.1) ⁹ |
| | | rmatio (0.14.0) ¹² |
| | | · · · |

Note.¹https://uk.mathworks.com/. ²Dale et al. (1999) and Mendola et al. (1999). ³R Core Team (2018).
 ⁴Brainard (1997), Kleiner et al. (2007), and Pelli (1997). ⁵https://www.fil.ion.ucl.ac.uk/spm/software/spm8/.
 ⁶https://doi.org/10.6084/m9.figshare.1344765.v24. ⁷https://osf.io/s3h7w/. ⁸Oksanen et al. (2019).
 ⁹Wickham (2016). ¹⁰Wickham (2007). ¹¹Wickham (2011). ¹²Widgren & Hulbert (2019). ¹³Kafadar et al. (1999).
 pRF = population receptive field. GLM = General linear model. NMDS = Non-metric multidimensional scaling. PTB = Psychoolbox.

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