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3 Prevalence of pulmonary tuberculosis and associated
4 factors among prisoners in Western Oromia, Ethiopia: A
5 cross-sectional study

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1 **Abstract**

2 **Background**

3 Prisoners are a disproportionately at high risk for tuberculosis. This is because; prisons represent dynamic
4 communities where at-risk groups congregate. It increases the transmission rate because of overcrowding and living
5 together with infected individuals. This study was done to determine the prevalence of pulmonary tuberculosis and
6 associated factors among prisoners of Western Oromia, Ethiopia in 2017.

7 **Methods**

8 A cross-sectional study was conducted among prisoners who have a history of cough for two weeks or more. Data
9 were collected from 270 participants and sputum sample was collected from 249 prisoners and analyzed in
10 GeneXpert for having pulmonary tuberculosis. Logistic regression analysis was used to identify factors associated
11 with the development of pulmonary tuberculosis among prisoners.

12 **Results**

13 The overall prevalence among suspected cases was (15.6%; 95% CI (11.5, 20)) which makes the point prevalence of
14 pulmonary tuberculosis were 744 per 100,000 of prisoners. Prisoners who had history of cigarette smoking before
15 imprisonment (AOR=3.55; 95% CI (1.29, 9.78)), contacted with known TB patient (AOR=5.63; 95% CI (2.19,
16 14.41)), share prison cell with TB patients (AOR=3.51; 95% CI (1.34, 9.19)) and Body Mass Index <18.5kg/m2
17 (AOR=8.87; 95% CI (3.23, 24.37)) were more likely to have pulmonary tuberculosis.

18 **Conclusion**

19 A higher prevalence of pulmonary tuberculosis was observed among prisoners in the three prisons of Wollega
20 Zones. To avert this problem, screening of prisoners should be done at the entry and separation of inmates with
21 symptoms of tuberculosis should be done.

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1 Introduction

2 Tuberculosis (TB) is an airborne infectious disease caused by bacillus mycobacterium tuberculosis. It
3 typically affects the lungs (pulmonary Tuberculosis), but it can also affect other sites (extra pulmonary
4 Tuberculosis). The disease spreads in the air when sick people expel the bacteria while talking, coughing, singing,
5 sneezing and spitting [1].

6 About 95% of all cases and 99 % of deaths occur in low and middle-income countries. Twenty-two
7 countries contribute to 99% of the world's TB burden. Besides this, household costs of TB are substantial estimates
8 suggest that tuberculosis costs the average patient three or three months of lost earnings, which can represent up to
9 30 percent of annual household income [2, 3].

10 TB mostly affects socially marginalized and other poor high-risk groups such as prisoners, intravenous
11 drug users, migrants, and poor socio-economic groups. Prison inmates constitute a high risk-group for tuberculosis
12 (TB) in both developing and industrialized countries [4]. In terms of cases, the best estimates for 2015 are that there
13 were 10.4 million new TB cases (including 1.2 million among HIV-positive people), of which 5.9 million were
14 among men, 3.5 million among women and 1.0 million among children. Overall, 90% of cases were adults and 10%
15 of children [5].

16 Tuberculosis (TB) has remained a major global health problem. In 2015, it was one of the top 10 causes of
17 death worldwide, ranking above HIV/AIDS an infectious disease. Without treatment, about 70% of people with
18 sputum smear-positive pulmonary TB will die within 10 years after infection. Ethiopia is one of the twenty-two
19 countries affected by a high burden of TB. According to the World Health Organization report (WHO), these
20 countries have about 200,000 new TB cases. From these, Ethiopia ranked tenth among the world's 22 high burden
21 countries [5, 6].

22 Poverty, malnutrition and over-crowded living conditions have been known for decades to increase the risk
23 of developing the disease. Prisons are often high-risk environments for TB transmission because of severe
24 overcrowding, poor nutrition, poor ventilation, and limited access to often health care. Moreover, prisoners are
25 overwhelmingly male, are typically aged 15-45 years which study indicated high risk for TB [6]. Furthermore, Most

1 of the prisoners are predominantly from poorly educated and socioeconomically deprived sectors of the population
2 where TB infection and transmission are higher [6].

3 In addition to overcrowding, long prison stays, low Body Mass Index (BMI), previous TB treatment, loss
4 of appetite, poor nutrition, and HIV infection have been documented as risk factors for TB [7, 8]. Because of these,
5 prison is also specifically taken as a risky place for the transmission of TB in low and middle-income countries. Its
6 prevalence is estimated to be about ten to a hundredfold in the prison than the general population [9, 10].

7 Studies that indicated the prevalence of TB in the prison have reported higher prevalence in Ethiopia. The
8 study was done in Southern Ethiopia, Gamo Gofa prison, which indicated about 3.2% prevalence of TB among
9 inmates. Another study was done in the Hadiya Zone; south Ethiopia also showed a three times higher prevalence of
10 TB than in the general population [11, 12]. But there is limited evidence regarding the TB prevalence in Western
11 Ethiopia.

12 This study generated information on the current prevalence of TB among prisoners in Wollega Zones,
13 western Ethiopia. The study was also aimed to identify factors associated with TB infection in prisons. This will
14 help TB programmers and authorities in re-designing the existing programs for TB prevention in prisons. Thus,
15 there are needs to accurately define specific factors driving TB and the prevalence of the problem among prisoners
16 of Wollega Zones.

17 **Methods and materials**

18 A cross sectional study design was conducted in three prisons found in Western Oromia Region, Ethiopia.
19 These prisons are located in Gimbi, West Wollega; Nekemte, East Wollega; and Dambi Dollo, Kelem Wollega. The
20 prisons have a total population of 2, 228, 1969 and 1, 447 respectively during study period. 270 prisoners were
21 presented with cough and other suggestive symptoms during screening and all of them were included in the study.
22 Based on this, 108 prisoners from Gimbi, 97 prisoners from Nekemte and 71 prisoners from Dambi Dollo were
23 included.

24 Participants of the study were selected based on their history of cough of two weeks and above before data
25 collection date. Then, all prisoners with history of cough were screened for the presence of TB using GeneXpert.

1 Beside this, PTB patients who were taking anti-TB treatment were included in the study. HIV positive inmates with
2 cough of any duration were also included in this study. However, prisoners who were unable to produce sputum and
3 unable to communicate during data collection were excluded. Then, all prisoners with cough of two weeks or more
4 were screened for having pulmonary TB through mass screening strategy.

5 Data were collected by using interviewer administered questionnaire that was initially adapted from
6 previous studies [14]. The questionnaire was prepared in English and translated into ‘Afaan Oromo’ (regional
7 working language) for data collection. It has sections on socio-demographics, imprisonment history and morbidity
8 history of prisoners. Data was collected by six nurses and three laboratory technologists. One nurse and one
9 laboratory technologist supervised the overall data collection process.

10 Before data collection, prisons’ health committees and health professionals working in prisons were
11 oriented on the purpose of the research. Then, all inmates were registered with support of the health committee
12 members in each prison.

13 **Sputum Collection and transportation**

14 A single sputum specimen is recommended for GeneXpert. The sputum was collected outside the
15 laboratory or clinics in a well-ventilated space. The prisoners were instructed to produce sputum through coughing
16 to get sputum from lower respiratory organs. Respondents were also asked to wash their mouth using clean water
17 before the sputum was taken.

18 Then, they were asked to inhale deeply 2–3 times and breathe out strongly each time cough deeply from
19 chest to produce sputum. Sputum specimens were collected in “Falcon tube” with 30-50 ml capacity. This was
20 because it was translucent and has walls that allow easy labeling. Then, the sputum was transported using triple
21 package to hospital for laboratory to be evaluated. Appropriate procedure of using the GeneXpert test was followed
22 during laboratory test [15].

23 **Weight and height measurements**

24 Body weight was determined to the nearest 0.1kg on weight scale and height was measured to the nearest

1 0.1cm. Then body mass index (BMI) was calculated as weight in kilogram of the individual divided by the square of
2 the height in meter. Then nutritional status of determined as severe malnutrition (BMI <15.9 kg/m²), moderate
3 malnutrition (BMI= 16–16.9 kg/m²), mild malnutrition (BMI =17–18.4 kg/m²) and well-nourished for BMI >18.4
4 kg/m² [16].

5 **Data quality control**

6 Data collectors and supervisors were trained for one day. Then, data collection tools were pre-tested on 5%
7 of the sample on Shambu town prison. The GeneXpert Diagnostic System automatically performs internal quality
8 control for each sample. GeneXpert has an in built internal quality control system within the test cartridge. In
9 addition, laboratory workers had checked new batch of cartridges with known positive and negative specimens
10 before using them for patient sample testing.

11 **Data analysis**

12 The data were entered and analyzed using SPSS version 20. Statistical summary measures like frequency,
13 percentage and mean with standard deviation were calculated to describe the data. Then, bivariate logistic regression
14 analysis was employed to check crude association between pulmonary tuberculosis status and explanatory variables.
15 Based on this, variables with p-value <0.25 were entered to multivariable logistic regression to identify the factors
16 that affect pulmonary tuberculosis. Odds ratio and corresponding 95% confidence intervals were used to quantify
17 the degrees of association between independent variables and PTB status. All associations with p-value ≤0.05 were
18 considered as being statistically significant.

19 **Operational definitions**

20 **TB Positive screen:** identifying a person with symptoms and findings consistent with tuberculosis involves
21 screening of patients with particular attention to cough of two weeks or more duration.

22 **Suggestive symptoms:** that help to identify presumptive TB include fever, night sweating, and weight loss.

1 **New cases of TB:** those patients who have never been diagnosed for TB before but diagnosed by GeneXpert during
2 data collection.

3 **Existing TB case:** refers to a patient who had been diagnosed for TB and receiving anti-TB drugs during data
4 collection.

5 **Malnutrition:** a person with Body Mass Index (BMI) less than 18.5kg/m².

6 **Good housing ventilation condition:** if the house has adequate windows and doors to ventilate the rooms with
7 fresh air observed by data collector during data collection period

8 **Good housing ventilation condition:** if the house has no adequate windows and doors to ventilate the rooms with
9 fresh air observed by data collector during data collection period

10 **Lower respiratory organs:** respiratory organs including trachea, bronchi, bronchial, alveoli and the whole lung

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12 **Ethical statement**

13 Ethical clearance letter was obtained from ethical review committee of Wollega University and was brought to the
14 administrative bodies of prisons, Zonal health department, Gimbi General Hospital, Nekemte Referral Hospital and
15 Dambi Dollo Hospital to get permission for the study and material support. Before data collection written consent
16 was taken from study participants. Consent was obtained from a parent or guardian on behalf of any participants
17 under the age of 18. Confidentiality was insured and maintained by the investigators and data collectors.

18 **Results**

19 **Characteristics of study participants**

20 A total of 5,644 prisoners 5441 (96.4%) males and 203 (3.6%) females were screened and 644 had history
21 of cough. Among those who were coughing 249 were with cough greater than or equal to 2 weeks and 395 were
22 with cough less than 2 weeks. In this study 249 with cough greater than or equal to 2 weeks and the existing 31 PTB
23 patients on treatment were participated. From these, 270 prisoners majority of them were male (97.4%), married

1 (57.4%) and attended primary school (53.7%). Nearly half (42.6%) of the suspected PTB case-patients had history
2 of smoking with a median duration of smoking of 7 years before imprisonment and 111(41.1%) have history of chat
3 chewing (Table1).

4 **Table 1: Characteristics of the study population among prisoners in Wollega zones, Western Oromia,**
5 **Ethiopia, 2017 (n=270)**

Variables	Frequency	Percent
Sex		
Male	263	97.4
Female	7	2.6
Age		
15-24	102	37.8
25-34	84	31.1
35-44	39	14.4
>45	45	16.7
Religion		
Muslim	49	18.1
Protestant	134	49.6
Orthodox	72	26.7
Adventist	14	5.2
Other	1	0.4
Ethnicity		
Oromo	246	91.1
Amhara	18	6.7
Others	6	2.3
Marital status		
Single	100	37
Married	155	57.4

Others	15	5.5
Educational status		
No formal education	81	30
Primary school (1-8)	145	53.7
Secondary school (9-12)	35	13
College and /or university (12+)	9	3.3
Occupation before imprisonment		
Government employee	9	3.3
Farmer	152	56.3
Merchant	25	9.3
Daily labor	29	10.7
Student	43	15.9
Other	13	2.4
Residence		
Rural	203	75.2
Urban	67	24.8
Cigarette smoking		
Yes	115	42.6
No	155	57.4
'Khat''chewing		
Yes	111	41.1
No	159	58.9

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Regarding prison related history of participants, 179 (66.3%) had stayed for less than two years in current prison, 93 (34.4%) were sharing cell with TB patients. About 160 (59.3%) of the study participants had stayed for greater or equal to three weeks with person who have persistent cough. Furthermore, about 154(57%) of the study participants share drinking and eating materials with other prisoners. There were 153(56.7%) prisoners who had no

1 support from family in terms of visit and bringing food and 218(80.7%) lives in a prison which has greater than 100
2 prisoners per cell (Table 2).

3 **Table 2: Prison related characteristics of the study population in prisons of Wollega zones, Western Oromia,**
4 **Ethiopia, 2017 (n=270)**

Character/variables	Labels	Frequency	Percent
Duration of stay in current prison	≤24 months	179	66.3
	>24 months	91	33.7
Sharing cell with TB Patient	Yes	93	34.4
	No	177	65.6
Housing ventilation condition	Good	89	33
	Bad	181	67
Duration of stay with Person who have persistent cough	<3 weeks	107	39.6
	≥3 weeks	160	59.3
	I don't know	3	1.1
Sharing drinking and eating materials with other persons	Yes	154	57
	No	116	43
Support from family in terms of visit and bringing food	I don't have	153	56.7
	visit only	18	6.7
	Food only	3	1.1
	visit and food	96	35.6
Prisoners per cell	≤100	52	19.3
	>100	218	80.7

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1 From total study participants 133(49.3%) had received treatment for their current complaint and 32 (11.9%) from
 2 prison’s clinic, 16(5.9%) other health institutions and 85(31.5%) from both. About 70(25.9%) study participants had
 3 contact with known TB patient at home and 56(20.7%) had history of hospitalization. 27(10%) of them were
 4 diagnosed for Diabetic mellitus/Hypertension and 19(7%) received treatment for different chronic diseases.
 5 60(22.2%) of those study participants had Body Mass Index (BMI) < 18.5 kg/m2 and 3(1.1%) were HIV positive
 6 (table 3).

7 **Table 3- Morbidity related characteristics of study participants in Wollega zones prison western Oromia,**
 8 **Ethiopia, 2017.**

Character/variables	Labels	Frequency	Percent
Treatment for your current complaint	Yes	133	49.3
	No	137	50.7
Place of treatment for current symptom	Health institution	16	5.9
	Prison’s clinic	32	11.9
	Both	85	31.5
History of contact with known TB patient at home	Yes	70	25.9
	No	200	74.1
History of hospitalized	Yes	56	20.7
	No	214	79.3
Diagnosed for Diabetic mellitus/Hypertension	yes	27	10
	No	225	83.3
	I don’t know	15	5.6
Treatment for chronic diseases	Yes	19	7
	No	67	24.8
Body mass index	< 18.5 kg/m2	60	22.2
	≥ 18.5kg/m2	210	77.8
HIV test result	Positive	3	1.1
	Negative	267	98.9

1 **Prevalence of pulmonary TB among prisoners**

2 Out of those 249 prisoners who provided sputum, 11(4.1%) of them were found with new cases of TB. In
3 addition to the previous cases being treated, the overall prevalence of pulmonary tuberculosis (TB) among suspected
4 cases was 15.6 % with 95% CI (11.5-20) in prisons of Wollega zones. This will result in 744 cases of pulmonary TB
5 per 100,000 prisoners. Majority of cases were found in Gimbi prison (14 existing and 6 new cases). Among the
6 study participants Mycobacterium tuberculosis (MTB) were detected in (8.9%) with two weeks of cough, (19.7%)
7 with cough of four weeks and (21.4%) with cough of six weeks (Table 4).

8 **Table 4: Prevalence of TB among the study population in prisons of Wollega zones, Western Oromia,**
9 **Ethiopia, 2017 (n=270)**

Name of prisons	Total evaluated	Newly diagnosed TB cases	Existing TB cases on treatment	Prevalence among presumptive cases
Gimbi prison	106	6	14	18.8%
Nekemte prison	108	5	12	15.7%
Dambi Dollo prison	56	0	5	8.9%
Total	270	11	31	15.6% (overall prevalence)

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11 **Factors associated with pulmonary TB**

12 The study found that, prisoners who smoke cigarette before imprisonment (AOR=3.56; 95% CI (1.29,
13 9.78)), history of contact with known TB patient at home (AOR=5.63; 95% CI (2.19, 14.41)), longer duration of
14 stay in current prison (AOR=3.21; 95% CI (1.12, 9.17)) and low Body Mass Index <18.5kg/m² (AOR= 8.87; 95%
15 CI (3.23, 24.37)) were associated with prevalence of TB (Table 5).

1 **Table 5: Multivariate analyses of factors associated with PTB among prisoners of Wollega Zones, Western**
 2 **Oromia, Ethiopia, 2017**

Variables	Pulmonary TB detected		COR(95% CI)	AOR(95% CI)
	Yes	NO		
Smoking cigarette				
Yes	34(29.6)	81(70.4%)	7.7(3.4-17.5)	3.6(1.3-9.8)
No	8(5.2)	147(94.8%)	1	1
History of contact with TB patient at home				
Yes	29(41.4)	23(67.6%)	10.2(4.9-21.2)	5.6(2.2-14.41)
No	13(6.5)	205(86.9%)	1	1
Sharing cell with TB Patient				
Yes	29(31.2)	64(68.8%)	5.7(2.8-11.7)	3.51(1.3-9.2)
No	13(7.3)	164(92.7%)	1	1
Body mass index				
<18.5kg/m ²	26(43.3)	34(56.7%)	9.3(4.5-19.1)	8.9(3.2-24.4)
	16(7.6%)	194(92.4%)	1	1

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4 **Discussion**

5 This study showed that the prevalence of Pulmonary TB in prison is about 744 cases per 100,000 prisoners.
 6 Furthermore, the magnitude of TB among suspects was 15.6 % (95% CI (11.5, 20)). This finding indicated higher
 7 prevalence when compared to the southern Ethiopia, Gamo Gofa which has prevalence 623 per 100,000 prisoners. It
 8 is also higher than the study done in Eastern Ethiopia (9%) and North Gondar prison (10.4%).

1 The observed prevalence is 7 times higher than in general population. New cases of TB were also observed
2 during data collection which indicated undiagnosed TB in the prisons which are source of infection for other
3 prisoners. Because of this, there is a need for regular screening of TB during intake on routine basis. The use of
4 GeneXpert may also contribute for better case detection due to that GeneXpert is more accurate and reliable than
5 sputum smear microscopy in predicting pulmonary TB [17].

6 Smoking was significant risk factor of PTB in the current study. This finding is similar with the study
7 conducted in Tanzania [18], and southern Ethiopia [14]. On bivariate analysis participants who had history of
8 chewed ‘chat’ before imprisonment had association and the risk of developing PTB positive among ‘chat’ chewers
9 were 5 times than those who were not chewed ‘chat’ which is not reported in any other previous study done in
10 Ethiopia like, Hadiya, Wolaita and Bedelle [12, 13, 14], which could be due to high numbers of chewers in current
11 study areas but on multivariate analysis it had no association with PTB with (AOR=1.33, 95% CI (0.43, 4.15)).

12 Sharing prison cell also significantly associated with TB infection which is in line with the study conducted
13 in Eastern Ethiopia [11]. This is because when the non-infected individuals share room there is easy inhalation of
14 droplets from infected individuals. Having history of contact with known tuberculosis patient before imprisonment
15 had significantly associated with acquiring pulmonary tuberculosis in the current study (AOR=5.63, 95% CI (2.19,
16 14.41)); this significance was seen also in study conducted in Wolaita [14]. This association could be due to
17 reactivation of latent tuberculosis infection because of immunity degradation and person to person transmission is
18 also more likely with proximity with the infected person.

19 In the current study, nutritional status (BMI) was significantly associated with pulmonary tuberculosis
20 positivity (AOR=8.87, 95% CI (3.23, 24.37)). BMI <18.5kg/m² had causative effect on PTB as compared to those
21 with BMI ≥ 18.5kg/m² which is in agreement with study conducted in Bedelle [13], mentioned low BMI (i.e. ≤
22 18.5kg/m²) as the risk factor for TB. The possible reason for this could be malnutrition is equally recognized as a
23 risk factor for the reactivation of latent TB and its progression to disease. This assumption is supported by previous
24 studies in Hadiya [12], this link is besides bi-directional as TB can cause or predispose to malnutrition. Hence, when
25 comparing individuals with and without active PTB in this cross-sectional study with respect to their nutritional

1 status, a causal effect cannot be assigned to malnutrition, even though it came out as a significant predictor in the
2 multivariate analysis.

3 **Conclusions**

4 We can conclude from this study that the prevalence of PTB in prisons of Wollega Zones' is high
5 and there were high burden of undetected and infectious PTB cases in the prisons. History of cigarette smoking
6 before imprisonment, history of contact with known TB patients at home and body mass index were identified
7 predictors of PTB among prisoners in Wollega Zones. In brief, the high prevalence and associated risk factors
8 for PTB may favor an active transmission of PTB and put the prison population at increased risk of
9 developing PTB. This could be also a great health threat to the surrounding community.

10 Based on the above results, we would like to recommend the following to all concerned bodies. Conducting
11 an entry and exit screening of prisoners to identify early infectious cases, prevent further delay in diagnosis
12 and reduce prolonged transmission of TB in the prisons. Provide adequate and diversified food for prisoners and
13 adequate ventilation. Segregation of smear positive patients for the full initial phase of directly observed treatment
14 (DOTS) treatment should be given a priority in prisons' TB control strategies and continuous health information on
15 mode of PTB and its prevention mechanisms. Strengthen health committee to identify and screen PTB positive
16 among suspected cases. Periodic mass screening and follow up of those PTB patients by knowing their addresses
17 when they released from prison in order to know their treatment outcome, undergo supportive supervision of prisons'
18 health workers and monitoring of TB prevention and care services in prisons.

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1 **Availability of data and materials**

2 All the data and materials are available with the authors.

3 **Competing interests**

4 The authors declare that they have no competing interest.

5 **Authors' contributions**

6 KE has been involved in conception, writing the study protocol, formulating the study design, and training of data
7 collectors, data entry, analysis and interpretation of data. ZD participated in design, interpretation of data, reviewing
8 intellectual content; supervise overall process of the process and manuscript preparation. BE was part of data quality
9 check, providing important comments, supervise overall process and review manuscript.

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