

1 **Antibiotic degradation by commensal microbes shields pathogens**

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13

14 **Abstract**

15 The complex bacterial populations that constitute the gut microbiota can harbor antibiotic-
16 resistance genes (ARGs), including those encoding for β -lactamase enzymes (BLA), which
17 degrade commonly prescribed antibiotics such as ampicillin. While it is known that ARGs can be
18 transferred between bacterial species, with dramatic public health implications, whether
19 expression of such genes by harmless commensal bacterial species shields antibiotic-sensitive
20 pathogens *in trans* by destroying antibiotics in the intestinal lumen is unknown. To address this
21 question, we colonized GF mice with a model intestinal commensal strain of *E. coli* that
22 produces either functional or defective BLA. Mice were subsequently infected with *Listeria*

23 *monocytogenes* or *Clostridioides difficile* followed by treatment with oral ampicillin. Production
24 of functional BLA by commensal *E. coli* markedly reduced clearance of these pathogens and
25 enhanced systemic dissemination during ampicillin treatment. Pathogen resistance was
26 independent of ARG acquisition via horizontal gene transfer but instead relied on antibiotic
27 degradation in the intestinal lumen by BLA. We conclude that commensal bacteria that have
28 acquired ARGs can mediate shielding of pathogens from the bactericidal effects of antibiotics.

29

30 **Importance**

31 The wide use of antibiotics in human populations and in livestock has led to increasing
32 prevalence of pathogenic and commensal bacterial species that harbor antibiotic resistance
33 genes (ARGs), such as those encoding for ampicillin-degrading β -lactamases. We investigated
34 whether harmless autochthonous bacteria might degrade orally administered antibiotics,
35 thereby impairing their ability to combat intestinal pathogens. Here we report that antibiotic
36 degradation by a resident intestinal strain of *E. coli* reduces the effectiveness of oral ampicillin
37 against two intestinal pathogens, *L. monocytogenes* and *C. difficile*, resulting in increased
38 intestinal and systemic bacterial burden. We demonstrate that expression of ARGs by non-
39 pathogenic members of the gut microbiota shields antibiotic-sensitive pathogens and enhances
40 their expansion and dissemination.

41

42

43 **Observation**

44 Antibiotic administration has markedly reduced the morbidity and mortality associated with
45 bacterial infections in the pre-antibiotic era. Increasing antibiotic-resistance in pathogenic
46 microbes, mediated in part by acquired genes that encode antibiotic-degrading enzymes,
47 represents a major threat to human health (1).

48 The gut microbiota contains trillions of commensal bacteria that can also harbor
49 antibiotic resistance genes (ARGs) (2). Notably, antibiotic exposure can increase ARG gene
50 representation and expression by the gut microbiota (3). Horizontal ARG transfer represents a
51 mechanism by which drug-sensitive microbes can acquire resistance, e.g. by acquisition of
52 genes encoding antibiotic-degrading hydrolases (4, 5). Thus, it is possible that commensal
53 bacterial species transfer ARGs to intestinal pathogens upon antibiotic exposure in the gut
54 lumen. However, another possibility is that production of antibiotic-degrading enzymes by the
55 resident microbiota protects otherwise drug-sensitive pathogens *in trans*, thereby facilitating
56 their replication and spread in the host.

57 To test this hypothesis in a controlled system, we reconstituted germ-free (GF) mice
58 with an *E. coli* strain, utilized here as a model commensal, that expresses either a WT form of β -
59 lactamase (TEM-1) or an inactive point mutant (hereby referred to as *WT* BLA or *mut* BLA,
60 respectively) (Figure 1A) (6). This approach yielded cohorts of mice that, with the exception of
61 one codon, harbor identical genomes, thus excluding differences in microbiota functions (e.g.,
62 immune activation, colonization resistance, etc.) that are not related to the β -lactam
63 degradation.

64 Although *WT* BLA and *mut* BLA *E. coli* reached identical luminal bacterial densities in
65 reconstituted mice, a colorimetric assay confirmed that only the intestinal content of mice

66 reconstituted with *WT* BLA *E. coli* retained the capacity to hydrolyze β -lactams (Figure 1 B, C).
67 One week after reconstitution, mice were orally infected with the foodborne pathogen *Listeria*
68 *monocytogenes* 10403s (*Lm*). *Lm* is highly sensitive to β -lactam antibiotics and can expand in
69 the gut lumen of mice that lack colonization resistance (7). Mice were then administered
70 ampicillin on day +1 and +2 post *Lm* infection and sacrificed on day +3. As expected, *Lm* reached
71 identical densities in the intestines of *WT* BLA or *mut* BLA *E. coli* reconstituted mice on day +1,
72 indicating that the 2 *E. coli* strains did not differ in their inability to provide colonization
73 resistance against *Lm*. However, we found significantly higher *Lm* burden in multiple organs in
74 mice harboring *WT* BLA *E. coli* on day +3, consistent with the notion that β -lactamase-
75 dependent ampicillin degradation shielded *Lm* from the therapeutic antibiotic's action.
76 To exclude the possibility that *Lm* might have acquired resistance to ampicillin via horizontal
77 gene transfer, we inoculated single *Lm* colonies recovered from the cecal content of *WT* BLA *E.*
78 *coli*-reconstituted, *Lm*-infected mice, into liquid medium either in the presence or absence of
79 ampicillin. Notably, none of the inoculated *Lm* colonies grew in the presence of ampicillin, in
80 contrast to *WT* BLA-expressing *E. coli* colonies recovered from the same mice (Figure 1F).
81 Furthermore, none of the *Lm* colonies tested positive for the presence of the β -lactamase gene,
82 which was uniformly detected in colonies of *WT* BLA *E. coli* by PCR (Figure 1G).

83 To confirm that the increased *Lm* burden observed above was due to antibiotic
84 degradation by resident *E. coli*, we collected the cecal contents of mice reconstituted with
85 either *WT* BLA or *mut* BLA *E. coli* and treated with ampicillin in the drinking water for two
86 consecutive days to allow for luminal accumulation of the antibiotic. Inoculation of *Lm* into
87 serial dilutions of the cecal content supernatants revealed that the cecal contents from mice

88 reconstituted with *mut* BLA *E. coli* had a higher inhibitory capacity compared to cecal contents
89 recovered from mice reconstituted with *WT* BLA *E. coli* (Figure 1G and Supplementary Figure 1).
90 Since the presence of active β -lactamase was the only *bona fide* difference between the cecal
91 contents of the two cohorts of mice, we conclude that the microbiota-encoded enzymatic
92 activity curtailed the efficacy of ampicillin treatment against *Lm*.

93
94 To expand our observations beyond the *Listeria* model, and to assess whether commensal-
95 mediated antibiotic degradation may represent a mechanism that is relevant to other infectious
96 agents, we adapted our experimental strategy to an established *C. difficile* infection model (8)
97 (Figure 2A), an important intestinal pathogen that is also sensitive to ampicillin (Supplementary
98 Figure 2). Of note, this model allowed us to investigate the relevance of our findings in a setting
99 where expansion of an antibiotic-resistant microbe takes place following antibiotic-mediated
100 depletion of the intestinal microbiota, a common occurrence in hospitalized patients (9).

101 Similar to the results obtained with *Lm*, we observed indistinguishable levels of expansion for
102 both *E. coli* and *C. difficile* on day +1 after reconstitution or infection, respectively, in all groups
103 of mice (Figure 2B, C). In agreement with our previous findings, the *C. difficile* burden was
104 significantly reduced by ampicillin treatment in mice reconstituted with *mut* BLA *E. coli*, but not
105 in mice reconstituted with *WT* BLA *E. coli* (Figure 2D). Direct comparison of the ampicillin-
106 treated mice confirmed a significantly higher burden in mice whose intestinal flora had the
107 capacity to hydrolyze β -lactams (Figure 2D).

108

109 These findings suggest that ARGs expressed by commensal bacteria can shape the chemical
110 niche of the intestine and confer an apparent antibiotic resistant phenotype to pathogens in
111 trans, without direct acquisition of ARGs by the pathogenic microbe. We refer to this activity as
112 *commensal-mediated pathogen shielding*. Using two different infection models, we show that
113 production of β -lactamases, a prototypical antibiotic resistance factor, by resident intestinal
114 microbes can significantly reduce the effectiveness of ampicillin treatment, thereby generating
115 a safe environment in which otherwise sensitive pathogens are shielded from this drug.
116 Importantly, previous studies in healthy volunteers demonstrated that upon treatment with
117 cephalosporins, subjects harboring BLA-producing commensal strains, unlike BLA-negative
118 subjects, had undetectable concentrations of the drug in the feces and maintained a rich
119 microbiota, providing evidence that BLA concentrations sufficient to inactivate antibiotics are
120 commonly achieved in humans (10, 11).

121

122 Whether or not ARGs enrichment within the gut microbiota is detrimental to host health is a
123 complex question, and the answer is likely to be context-dependent.

124 For instance, oral administration of recombinant beta lactamase or BLA-producing bacteria was
125 shown to preserve the integrity of the microbiota following parenteral administration of beta-
126 lactam antibiotics in animal models, without affecting drug concentration in the serum (12-17).

127 These approaches were shown to be advantageous in that they preserved colonization
128 resistance against pathogens (12-17).

129 On the other hand, early work (reviewed in (18)) revealed that beta-lactamase-producing, non-
130 pathogenic bacteria, can hinder the efficacy of penicillins *in vitro* and *in vivo*, using models of

131 subcutaneous and tonsil infection. Clinical data also suggested that the presence of one beta-
132 lactamase-producing bacterial strain at the site of infection could enhance persistence of a
133 pathogen upon antibiotic treatment (19). In these settings, members of the *Bacteroides* genus,
134 among the most highly represented genera in the human intestine(20), were also identified as
135 BLA-carriers.

136 Consistent with these observations, our laboratory recently showed that a few bacterial strains,
137 out of the dozens composing the microbiota of a mouse colony treated with ampicillin for over
138 8 years, had the capacity to hydrolyze ampicillin, while the other bacterial strains, in isolation,
139 remained sensitive to ampicillin and thus were protected *in trans* by a minor subset of the
140 microbiota (21)(see Figure 4A in (22)).

141

142 In conclusion, we propose that *commensal-mediated pathogen shielding* can impair the
143 effectiveness of some antibiotic treatments during infection. While pharmacokinetic studies
144 have generally focused on antibiotic absorption, distribution, enzymatic modification, protein
145 binding and biliary/renal clearance, the role of microbiota-mediated antibiotic degradation in
146 the gut lumen and its potential for dramatically impacting responses to antibiotic treatment has
147 received less attention. Our findings extend the recently uncovered broad capacity of the gut
148 microbiota to metabolize drugs, affecting their efficacy (23, 24). Within this model, antibiotics
149 represent an additional class of xenobiotics that commensals can metabolize.

150 Our study suggests that presence or absence of commensal bacterial strains that inactivate
151 beta-lactam antibiotics is likely to impact clinical responses to antibiotic treatment, possibly
152 contributing to inter-individual variability in therapy outcomes. Furthermore, the occurrence of

153 *pathogen shielding* might be a relevant element to consider in the engineering of probiotic

154 bacterial strains to be employed in clinical practice.

155

156

157

158 **Figure captions**

159 **Figure 1. β -lactamase production by a model commensal curtails the efficacy of ampicillin**

160 **against *Listeria monocytogenes*. A)** Schematic representation of the experimental design. **B)**

161 Nitrocefin assay performed on resuspended fecal pellets obtained from the depicted groups of
162 mice. Each well represents a different mouse, one representative experiment of three shown.

163 **C)** Reconstitution levels for the depicted *E. coli* strains as measured by plating fecal pellets on
164 day 7 post-reconstitution (day of infection) onto selective plates (n=8-10, data pooled from 2
165 independent experiments, shown are individual data points and geometric mean).

166 **D)** Luminal *Lm* burden in the depicted mice 1 day post infection, measured by plating fecal
167 pellets onto selective plates. **E)** *Lm* burden in the depicted compartments at day 3 post
168 infection (D,E: n=12, data pooled from 3 independent experiments, shown are individual data
169 points and geometric mean. Mann-Whitney test: *=p<0.05, **=p<0.01, ***=p<0.001). **F)**

170 Individual *Lm* colonies (28) or *WT* BLA *E. coli* colonies (4) from 4-5 different mice were
171 inoculated into BHI +/- ampicillin. OD was measured after o.n. culture. **G)** Colonies utilized for
172 the experiment depicted in F) were also subjected to PCR with primers specific for the TME-1 β -
173 lactamase gene or p60 (*Lm* positive control). Shown are results for 6 *Lm* colonies and 1 *E. coli*

174 colony; identical results were obtained for all tested colonies. **H)** The cecal content of *WT* mice
175 reconstituted with either *WT* or *mut* BLA *E. coli* and administered ampicillin in drinking water
176 for two days was serially diluted and inoculated with *Lm*. *Lm* growth was assessed after o.n.
177 culture by measurement of OD and direct plating (one representative plate shown on the left).

178 Plotted values correspond to the first dilution allowing for detectable *Lm* growth (with 1

179 indicating *Lm* growth at all dilutions) (n=3, shown is mean \pm SD). Similar results were obtained
180 utilizing antibiotic-treated, *E. coli*-reconstituted animals (see Supplementary Figure 1).

181

182 **Figure 2. Endogenous antibiotic degradation impacts treatment of *C. difficile* infection. A)**

183 Schematic representation of the experimental design. **B)** Reconstitution levels for mice

184 reconstituted with either *WT* or *mut* BLA *E. coli* (as depicted in A), one day post oral gavage as

185 assessed by selective plating of fecal pellets (n=14, data pooled from 2 independent

186 experiments, shown are individual data points and geometric mean). **C)** *C. difficile* burden in

187 mice treated as depicted in A), one day post oral gavage, as assessed by selective plating of

188 fecal pellets (n=14, data pooled from 2 independent experiments, shown are individual data

189 points and geometric mean). **D)** *C. difficile* burden in the cecal content of mice treated as

190 depicted in A) at day 3 post infection (n=7 for controls, n=12 for amp-treated, data pooled from

191 3 independent experiments, shown are individual data points and geometric mean; Kruskal-

192 Wallis test with multiple comparisons: *=p<0.05, **=p<0.01).

193

194 **Supplementary Figure 1. Confirmation of differential anti-listerial activity of cecal contents in**

195 ***WT* BLA vs *mut* BLA *E. coli*-reconstituted *WT* mice.** C57Bl/6 mice were treated with a

196 combination of metronidazole, neomycin and vancomycin for 3 days and reconstituted with

197 either *WT* or *mut* BLA *E. coli*. 7 days following reconstitution mice were administered ampicillin

198 in drinking water for 2 days, prior to sacrifice. *Lm* was cultured in serial dilutions of the cecal

199 contents, and growth was assessed after o.n. incubation. To account for variability across

200 experiments, *Lm* inhibition calculated as 1/first cecal dilution allowing *Lm* growth was

201 normalized so that the average value for *WT* BLA ceca would be equal to 1 in each experiment
202 (n=9-10 mice from 3 different experiments, shown is mean \pm SD, Mann-Whitney test:
203 *=p<0.05).

204

205 **Supplementary Figure 2. Ampicillin sensitivity of *C. difficile*.** *C. difficile* was grown to stationary
206 phase and inoculated in medium with different concentrations of ampicillin. Shown is OD600
207 measured after o.n. incubation at 37°C in anaerobic conditions (n=3 technical replicates).

208

209 **Methods**

210 **Mouse Husbandry**

211 All experiments using wild-type mice were performed with C57BL/6J female mice that were 6–8
212 weeks old; mice were purchased from Jackson Laboratories. Germ-free (GF) mice were bred in-
213 house in germ-free isolators. Following reconstitution mice were housed in sterile, autoclaved
214 cages with irradiated food and acidified, autoclaved water. All animals were maintained in a
215 specific-pathogen-free facility at Memorial Sloan Kettering Cancer Center Animal Resource
216 Center. Experiments were performed in compliance with Memorial Sloan-Kettering Cancer
217 Center institutional guidelines and approved by the institution’s Institutional Animal Care and
218 Use Committee.

219

220 **Generation of *E. coli* strains**

221 Plasmids encoding for WT TEM-1 β lactamase (pDIMC8-TEM1) or mutated TEM-1 β lactamase
222 (pDIMC8-TEM1 W208G) were extracted from the RH06 and RH09 *E. coli* strains, published

223 elsewhere (6), gel-purified and utilized for transformation of Stellar competent cells (Takara
224 Bio) according to manufacturer's instructions. The resulting strains were utilized for
225 experiments throughout this study. Of note, the plasmids conferred resistance to
226 chloramphenicol, and while expression of the TEM-1 gene was placed under the regulation of a
227 tac promoter, we did not induced it by IPTG treatment, but rather exclusively relied on leaky
228 transcription of the gene, to produce more physiologically-relevant conditions.

229

230 **Antibiotic treatment, reconstitution and infections**

231 GF mice were gavaged with either of two strains of *E. coli*, encoding for a functional or a point-
232 mutated version of TEM-1 β -lactamase, respectively. 1 week post reconstitution mice were
233 gavaged with 10^9 CFUs of *L. monocytogenes* (*Lm*) strain 10403s and administered 1 mg of
234 ampicillin (Fisher) by oral gavage daily for 2 consecutive days. Animals were euthanized at day 3
235 post infection. Reconstitution of WT mice with *E. coli* strains for in vitro experiments involving
236 dilution of cecal content, mice were treated for 3 days with metronidazole and vancomycin in
237 drinking water (0.5 g/l), left on regular water for 1 day, and then gavaged with the appropriate
238 *E. coli* strain. 1 week post reconstitution mice were treated with ampicillin in drinking water
239 (0.5 g/l) for two days prior to being euthanized.

240 For *C. difficile* infection experiments, WT C57Bl/6 mice were administered a combination of
241 metronidazole, neomycin and vancomycin (0.25 g/l each) in drinking water for 3 days, and 24h
242 post antibiotic regimen cessation were injected i.p. with clindamycin (200 μ g). On the following
243 day mice were reconstituted with either *WT* or *mut* BLA *E. coli* (5×10^4 CFUs) and 200-500 spores
244 of *C. difficile* strain VPI10463 (ATCC #43255).

245

246 **CFUs enumeration and Selective plating**

247 *L. monocitogenes* was identified through plating of serial dilutions of homogenized organs
248 (prepared as described elsewhere (7)) or fecal material (resuspended 100 mg/ml in PBS) onto
249 BHI plates supplemented with streptomycin (100 µg/ml) and nalidixic acid (50 µg/ml).

250 *E. coli* CFUs were enumerated following plating of serial dilution of fecal material onto LB plates
251 supplemented with chloramphenicol (50 µg/ml). *E. coli* CFU numbers obtained from plating of
252 ex-GF mice at day of infection onto LB plates (not supplemented with antibiotics) yielded
253 identical numbers, indicating that plasmids carrying CM resistance cassette as well as the
254 *WT/mut* BLA gene were maintained even in the absence of any selective pressure.

255 For detection of *C. difficile*, fecal pellets or cecal content were resuspended in deoxygenated
256 phosphate-buffed saline (PBS), and ten-fold dilutions were plated on BHI agar supplemented
257 with yeast extract, taurocholate, L-cysteine, cycloserine and ceftiofur at 37°C in an anaerobic
258 chamber (Coylabs) overnight.

259

260 ***Lm* culture in cecal content**

261 Cecal contents were recovered from *E. coli* reconstituted WT or GF animals, resuspended in PBS
262 at 300 mg/ml (WT) and spun down at 3000 rpm for 10'. Serial 1:2 dilutions of the resulting
263 supernatant were generated using PBS and 100 µl of each dilution were plated in replicate in
264 flat bottom 96 well plates. An equal volume of BHI medium supplemented with streptomycin
265 (200 µg/ml) and nalidixic acid (100 µg/ml) acid (to prevent growth of residual *E. coli*) containing
266 100-1000 CFUs *Lm* 10403s, was added on top. *Lm* for this assay was prepared by re-inoculating

267 an overnight culture in liquid BHI at 37°C on shaker, until logarithmic phase of growth was
268 reached (OD=0.1-0.4). After an overnight incubation at 37°C, the plate was assayed by OD 600
269 reading and individual dilutions plated onto BHI-Strep-NA plates for assessment of *Lm* growth.
270 Normalized inhibition index was calculated as 1/first dilution allowing for *Lm* growth, with the
271 initial dilution being 1:2 to take into account the addition of a volume of BHI equivalent to that
272 of the medium. For example, if the first dilution where *Lm* was detected was 1:16, the resulting
273 inhibition index would be 16. Within each experiment samples were then normalized to the
274 baseline, obtained by averaging the values obtained in the control group, represented by mice
275 reconstituted with *mut* BLA *E. coli*.

276

277 **PCR**

278 PCR for was carried out using the following primers: β -lactamase (fw: 5'-
279 GCTATGTGGCGCGGTATTAT-3'; rev: 5'-AAGTAAGTTGGCCGAGTGT-3', product: 191 bp); p60
280 (fw: 5'-GCGCAACAACTGAAGCAAAGGATGC-3'; rev: 5'-CTCGCGTTACCAGGCAAATAGATGGACG-
281 3', product: 1300 BP), using the SapphireAmp Fast PCR master mix (Takara Bio) and the
282 following conditions: 94°C x 1', 30 x (98°C x 5'', 58°C x 5'', 72°C x 15'').

283

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293

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295 Squibb, Celgene, Seres Therapeutics, MedImmune, Novartis and Ferring Pharmaceuticals and is
296 an inventor on patent application # WPO2015179437A1, entitled “Methods and compositions
297 for reducing *Clostridium difficile* infection” and #WO2017091753A1, entitled “Methods and
298 compositions for reducing vancomycin-resistant enterococci infection or colonization” and
299 holds patents that receive royalties from Seres Therapeutics, Inc.

300

301

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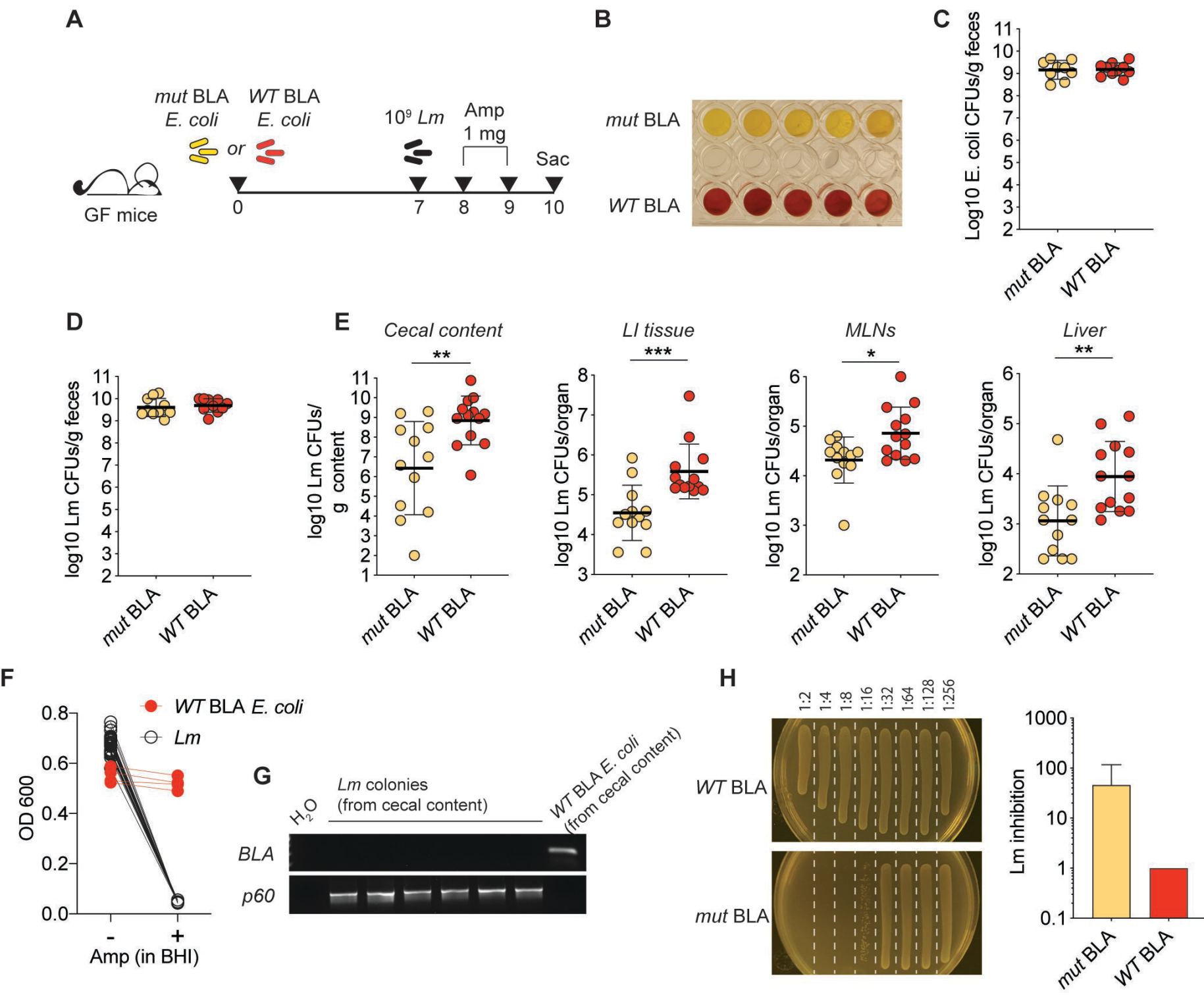
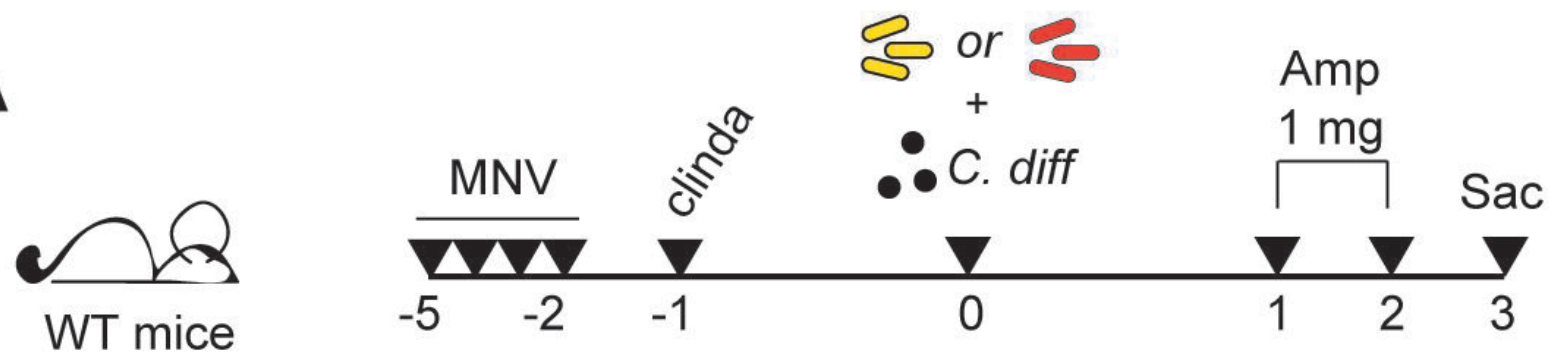
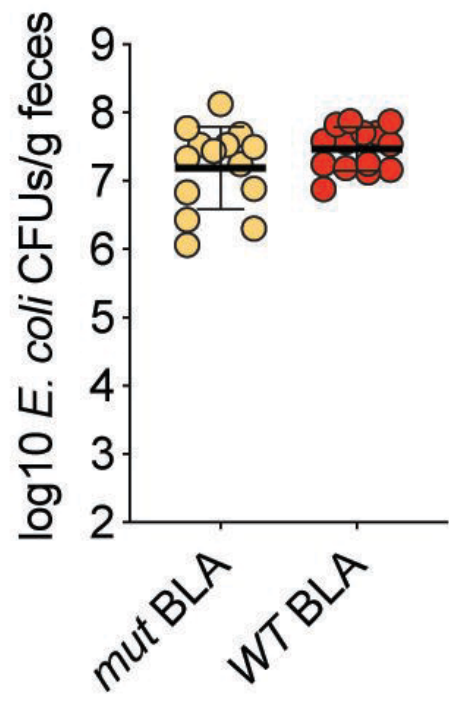
Figure 1

Figure 2

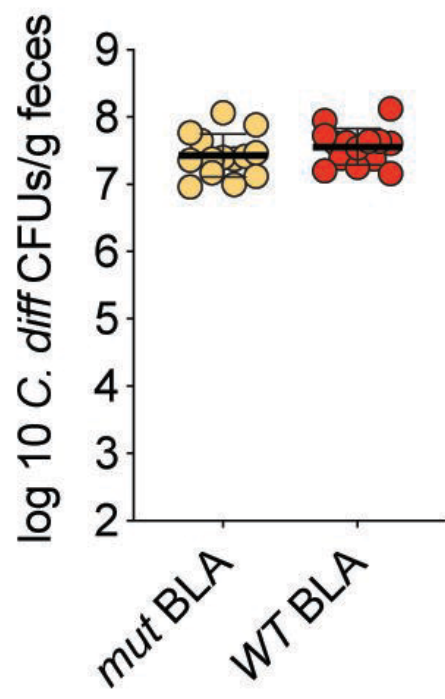
A



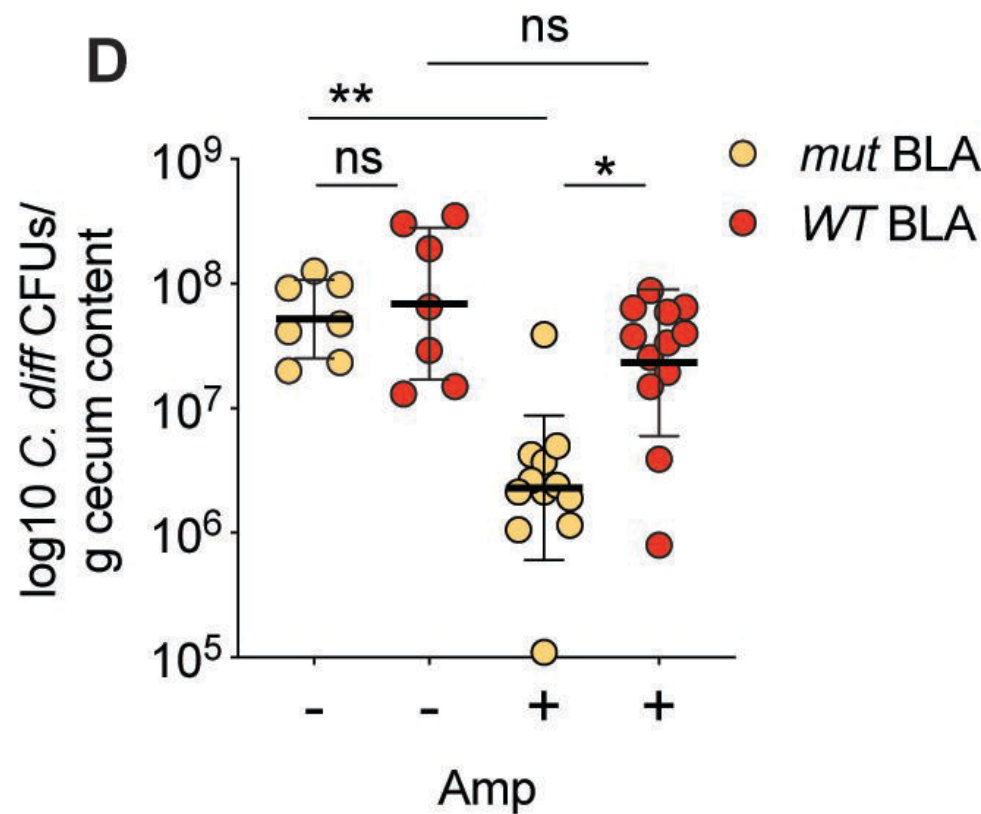
B



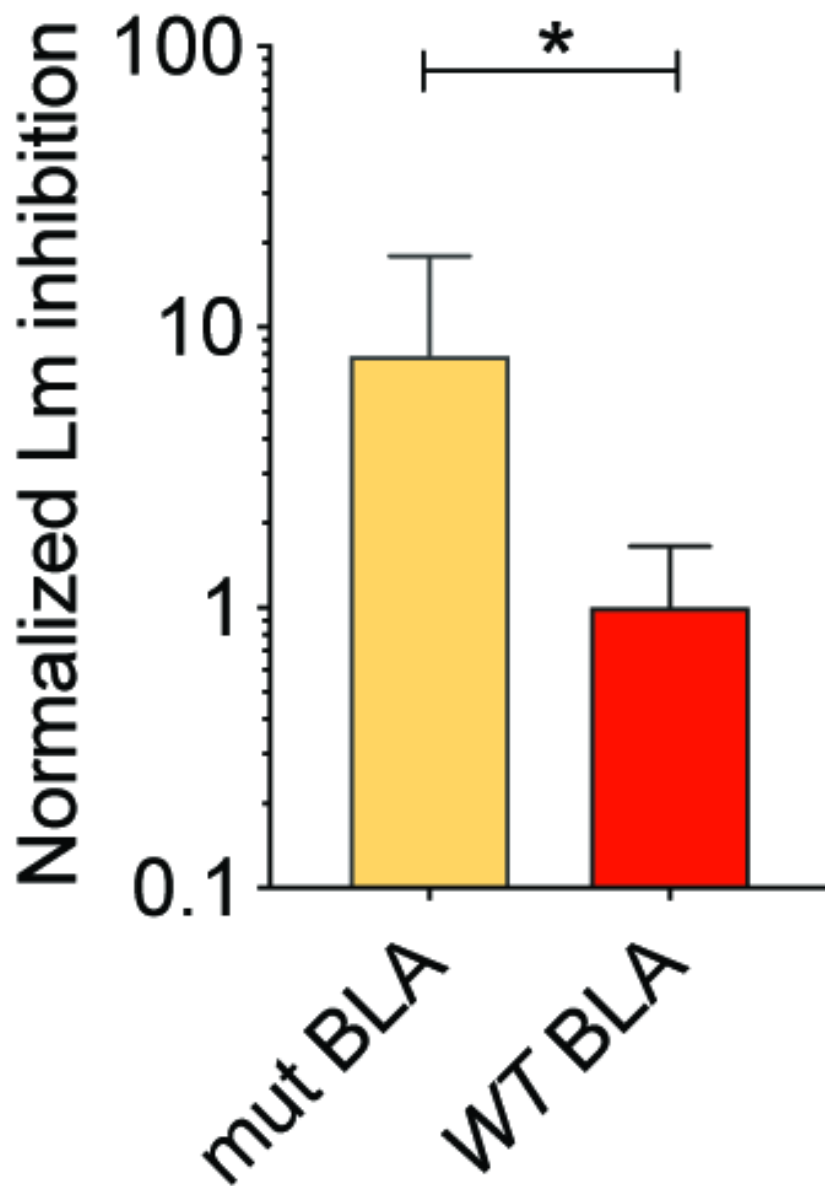
C



D



Supplementary Figure 1



Supplementary Figure 2

