Thalamic Interictal Epileptic and Non-Epileptic Events during NREM Sleep in Patients with Focal Epilepsy: a Stereo-EEG Study

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Abstract

Background: Thalamic recordings are increasingly incorporated into stereo-electroencephalography (SEEG) evaluations of drug-resistant focal epilepsy to guide neuromodulation targeting. Human thalamic electrophysiology, however, is poorly defined, limiting the distinction between pathological and physiological activity. Here, we characterized interictal epileptic and non-epileptic events during non-rapid eye movement (NREM) sleep across multiple thalamic nuclei and examined their relationship to seizure outcomes.

Methods: We analyzed NREM sleep SEEG recordings from 64 patients with drug-resistant focal epilepsy. Electrodes sampled four thalamic nuclei: centromedian (CM), pulvinar (Pu), ventral lateral (VL), and ventral posterolateral (VPL). Patients were classified into three outcome groups: favorable, unfavorable, and surgically non-remediable. Rates of thalamic spikes, high-frequency oscillations (HFOs), spike-fast activity, and sleep spindles were analyzed and compared across nuclei and outcomes.

Findings: Recordings of the thalamus revealed both pathological and physiological interictal events. Interictal epileptic events were infrequent. Only ~0.2% of seizure-onset zone spikes propagated to the thalamus. Thalamic spike-fast activity was indicative of unfavorable outcomes (CM: $p = 0.047$, $d = 0.46$) or surgically non-remediable epilepsy (VL: $p = 0.002$, $d = 0.84$). In contrast, thalamic sleep spindles were ubiquitous but reduced in surgically non-remediable patients (CM: $p = 0.031$, $d = -0.58$; VL: $p = 0.005$, $d = -0.79$). Finally, unique thalamic SEEG patterns were identified, including spikes concomitant with spindles, isolated spikes, and physiological fast ripples.

Interpretation: This study provides a comprehensive characterization of thalamic interictal events during NREM sleep, enriching our understanding of thalamic pathophysiology and highlighting the value of thalamic recordings in pre-surgery evaluation.

Keywords: drug-resistant epilepsy, epileptic biomarker, thalamus, electroencephalogram, non-rapid eye movement sleep

1 Introduction

Epilepsy is a network disorder,[1,2] with focal epilepsy also increasingly understood as a cortico-subcortical network disease.[3] Both experimental animal and human studies have demonstrated active involvement of the thalamus in epileptic networks, suggesting its function as a key hub for the amplification and propagation of seizures.[4–8] Clinical trials have shown that thalamic neuromodulation offers a promising treatment for patients with drug-resistant epilepsy who are not candidates for surgically eliminating a defined seizure focus through resection or laser ablation.[9–11] These findings underscore the growing importance of characterizing electrophysiological properties of the human thalamus and understanding its interactions with cortical networks, as such insights may elucidate the role of specific thalamic nuclei in human epilepsy.

Recently, the use of stereo-electroencephalography (SEEG) to record thalamic activity in patients with epilepsy has become more common.[12,13] Studies investigating seizures have revealed that the pulvinar nuclei (Pu) may be recruited earlier than the anterior nucleus (AN) during seizures.[14,15] In a cohort of 74 patients, high thalamic epileptogenicity quantified during seizures was associated with poor surgical outcomes.[7] These findings suggest that multisite thalamic recordings are valuable not only for guiding neuromodulation targeting and programming but also for aiding in surgical planning.

Beyond seizures, interictal EEG events can provide important insights into both pathological and physiological brain activity and may serve as a surrogate marker of epileptogenicity without requiring the recording of seizures. Among them, epileptic spikes are classic interictal epileptiform discharges, while high-frequency oscillations (HFOs) and spike-gamma have emerged as potentially more specific biomarkers of the epileptogenic tissue.[16–19]

Despite recent progress, our understanding of thalamic interictal electrophysiology remains limited. While thalamic interictal spikes have been described[20,21] and their higher rates linked to poor surgical outcomes,[22] more specific biomarkers such as spike–HFOs and spike–gamma have never been systematically examined in the thalamus. The temporal relationship between thalamic spikes and seizure-onset zone (SOZ) discharges also remains

largely undefined. Importantly, most prior work has been restricted to single nuclei, such as the Pu[22] or the AN,[21,23] leaving us without a comparative framework across multiple thalamic regions. In addition, only recently has evidence emerged that the thalamus generates distinct physiological SEEG patterns during sleep that differ from cortical activity.[24–26] Without careful characterization, these physiological patterns risk being misclassified as pathological, underscoring how little is known about the true electrophysiological signatures of the human thalamus.

To address these gaps in knowledge, we investigated interictal SEEG activity in four thalamic nuclei during NREM sleep: the centromedian nucleus (CM), Pu, ventral lateral nucleus (VL), and ventral posterolateral nucleus (VPL). Except for the Pu, these nuclei have received limited attention in prior human intracranial EEG research. We focused on non-rapid eye movement (NREM) sleep because it provides the optimal state for such analysis: interictal discharges and HFOs are more frequent and propagate more reliably than in wakefulness or REM sleep, while artifacts are minimal.[27–29] Moreover, NREM sleep features such as spindles and physiological HFOs offer critical benchmarks for distinguishing normal activity from epileptiform patterns. The primary aims of this study were: (1) to quantify the occurrence rates of interictal epileptic and non-epileptic events during NREM sleep across multiple thalamic nuclei; (2) to assess the co-occurrence of spikes between the thalamus and SOZ; and (3) to explore whether thalamic interictal EEG features differ between patients with favorable and unfavorable outcomes. We hypothesized that interictal EEG features would vary across different thalamic nuclei, and that the extension of the interictal epileptic network to the thalamus is an important negative prognostic factor.

2 Methods

2.1 Patient Selection

This study included patients with drug-resistant focal epilepsy who underwent SEEG monitoring for epileptogenic zone localization with at least one electrode inserted in the thalamus at the Second Affiliated Hospital of Zhejiang University (SAHZU) between March 2022 and December 2023 and at the Duke University Medical Center (DUMC) between March

2023 and June 2024. All patients underwent comprehensive presurgical evaluations, including detailed clinical history, neuroimaging, neuropsychological assessments, and video-scalp EEG monitoring. Individualized electrode implantation plans were formulated during the multidisciplinary presurgical epilepsy conference, based on findings from these evaluations. Patients receiving subsequent resective or ablative surgery underwent regular follow-up with surgical outcomes classified using the Engel scale.[30] Exclusion criteria were: (1) all thalamic SEEG channels showing significant signal artifacts; (2) history of previous resective neurosurgery; (3) failure to obtain qualifying interictal NREM sleep recordings due to frequent seizures (see Section 2.3, **Fig. S1**).

The study protocol was approved by the institutional review boards of both epilepsy centers (SAHZU: 2024-0750; DUMC: Pro00115576). Informed consent was obtained from all patients or their legal guardians.

2.2 SEEG evaluation and contacts localization

Both epilepsy centers used SEEG electrodes with identical specifications (contact diameter: 0.8 mm; contact length: 2 mm; intercontact spacing: 1.5 mm). Sampling rates were 2000 Hz at SAHZU and 2048 Hz at DUMC, with DUMC data downsampled to 2000 Hz for consistency. The SOZs were annotated jointly by epileptologists and clinical neurophysiologists. An example of the electrode implantation scheme is shown in **Fig. 1a**. In our cohort, the CM and Pu were the two primary implanted nuclei during clinical SEEG exploration. Due to anatomical reasons, electrode trajectories often passed through lateral thalamic nuclei, including the VL and the VPL (**Fig. 1b**). Entry points for thalamic electrodes typically included the precentral gyrus, middle frontal gyrus, inferior frontal gyrus, or the superior temporal gyrus. High-resolution contrast-enhanced T1-weighted MRIs were used for preoperative planning, and electrode trajectories were adjusted by neurosurgeons to avoid intracranial vasculature.

To localize intracranial electrode contacts, presurgical MRIs and post-implantation CT scans were co-registered and fused. Neuroanatomical segmentations were performed using FreeSurfer.[31] Each thalamic contact was assigned to a specific anatomical nucleus based on a standardized atlas,[32] with localization visually confirmed by two reviewers (K.J. and L.Y.).

Table S1 summarizes the thalamic nuclei sampled across the study population. The CM, Pu, VL, and VPL were the most commonly sampled nuclei and were selected for further analysis (**Fig. 1b**). To emphasize local electrophysiological activity and reduce noise and volume effects, a bipolar montage was used for all SEEG analyses. The bipolar channel coordinate was defined as the midpoint between the two contacts. When multiple bipolar channels sampled the same thalamic nucleus within a patient, the one closest to the center of the nucleus was selected for analysis.

2.3 NREM sleep recordings

Previous studies have shown that NREM sleep during the first sleep cycle generally contains the highest density of interictal epileptic events and exhibits fewer artifacts than wakefulness.[33,34] Thus, NREM sleep SEEG recordings from the first sleep cycle were selected for analysis. In 54 patients, scalp and intracranial EEG were recorded simultaneously. Subdermal needle electrodes were placed at F3, F4, Fz, C3, C4, Cz, T3, T4, P3, and P4. Additional disc electrodes were placed on bilateral mastoids, and we also recorded electrooculogram (EOG) and electromyogram (EMG) signals. Scalp EEG, EOG, and EMG were referenced to the mastoids, and 30-second epochs were manually scored according to the American Academy of Sleep Medicine criteria.[35] In this study, NREM sleep refers to the combination of N2 and N3 stages.[36] For ten patients without simultaneous scalp EEG recordings, sleep stages were automatically classified using our openly available SleepSEEG toolbox,[29] which has demonstrated reliable performance in identifying NREM sleep based on intracranial EEG.

For each case, a 20–60-minute segment of continuous NREM sleep was selected from the first sleep cycle, based on the following criteria: (1) at least 48 hours post-implantation; (2) at least 4 hours before and after the most recent clinical seizure; (3) at least 15 minutes before and after the most recent subclinical pure EEG seizure. If no qualifying segment was available, the patient was excluded from the present study. If multiple qualifying segments existed in a patient, the longest one was selected.

2.4 Interictal event detection

During NREM sleep, typical interictal transient events recorded via SEEG include spikes, HFOs, and sleep spindles. HFOs are categorized as ripples (80–250 Hz) and fast ripples (250–500 Hz), and may represent either physiological or pathological activity when recorded in the thalamus.[24,37] Although spikes are usually considered interictal epileptiform discharges in patients with epilepsy, their identification is subjective and exhibits low inter-rater reliability.[38] To improve specificity for epileptic events, we incorporated two additional biomarkers: spike-HFO and spike-gamma. Spike-HFO refers to the co-occurrence of a spike and an HFO, either in the form of a spike-ripple (**Fig. 1c**) or a spike–fast ripple (**Fig. 1d**), which have been validated as reliable epileptiform markers.[18,39,40] Spike-gamma is defined as a spike preceded by at least three cycles of gamma-band oscillations (30–100 Hz) within 200 ms before spike onset (**Fig. 1e**).[41] Recent studies have shown that spike-gamma events outperform isolated spikes in localizing the epileptogenic zone.[16,19] In the present study, spike-HFO and spike-gamma events were grouped into a single category, termed spike–fast activity. Sleep spindles (10–16 Hz) are characteristic physiological features of NREM sleep (**Fig. 1f, Fig. S5a**).

Spikes, HFOs, sleep spindles, and spike-gamma events were detected using in-house or collaboratively developed MATLAB-based automated detectors. The corresponding open-source code is listed in **Table S2**. Spike-HFO events were labeled by identifying overlaps between detected spikes and HFOs.

Since detection parameters for all interictal event detectors were originally set for cortical recordings, we first evaluated their performance in thalamic data. Five patients with temporal, frontal, posterior cortex, insular, or multilobar epilepsy were randomly selected. For each, five minutes of thalamic SEEG data during NREM sleep were manually annotated for spikes, HFOs, and spindles. Detector outputs were then compared against manual labels. While spindle and HFO detectors showed comparable sensitivity and precision to their cortical performance, spike detectors exhibited reduced precision, often misclassifying spindles as spikes (**Fig. S2**). Therefore, all automatically detected thalamic spikes were manually reviewed to exclude mislabeled spindles and those that did not stand out from the background. Spindle

markers overlapping with spikes were then visually verified, and among these markers only those in which spindles and spikes did co-occur (**Fig. 1f**) were retained.

2.5 Spike co-occurrence between the SOZ and thalamus

We observed that spikes in the thalamus sometimes, but not always, co-occurred with spikes in the SOZ (**Figs. 1f, 2a-c**). A 150-ms temporal window was used to define spike co-occurrence.[42,43] However, in patients with frequent interictal spikes, co-occurrence may arise by chance rather than reflecting true spike propagation or co-activation. To reduce the impact of spurious co-occurrence, we employed three strategies:

(1) For spikes detected across multiple SOZ channels, only the earliest spike marker within a 150-ms co-occurrence window was retained, which reduced the density of spike markers. The same procedure was applied to thalamic spikes across different nuclei. (2) Only patients with a focal SOZ - defined as in our previous work - were included in the co-spike analysis,[44] as they typically had well-defined SOZ and exhibited fewer SOZ channels as well as spike markers. (3) Surrogate data analysis was used to estimate and correct for chance co-occurrences. Specifically, let n_{soz} and n_{th} represent the number of spikes in the SOZ and thalamus, and let T denote the duration of NREM recordings. Random spike timestamps were generated under a continuous uniform distribution assumption, with n_{soz} and n_{th} events randomly distributed within T to form one surrogate dataset (**Fig. 2d**). The number of co-spikes was computed for each set. This procedure was repeated 5000 times, yielding a distribution of random co-occurrence counts (**Fig. 2d**).

We then defined the α-value as the proportion of surrogate datasets in which the number of co-occurrences exceeded or equaled the observed value. A lower α-value indicates that the actual co-spike count is unlikely to result from chance. In addition, the average number of random co-spikes from the surrogate distributions was also calculated. Then, two adjusted percentages of co-spikes were derived using the spike numbers of the SOZ and thalamus as denominators (**Fig. 2d**).

To evaluate directionality of spike propagation between the SOZ and thalamus, a sign test was performed based on the interval of spike co-occurrences.[45] A p-value < 0.05 was

considered indicative of significant unidirectional propagation.

2.6 Statistical analysis

Normality of all datasets was tested using the Shapiro–Wilk test. As most data were not normally distributed, non-parametric statistical tests were used throughout. Effect sizes for non-normally distributed variables were computed using *Cliff's delta*. For each patient, the median event rate across all sampled thalamic nuclei was calculated to represent the event rate for the whole thalamus. Group comparisons based on surgical outcome were conducted using the Kruskal–Wallis test, followed by post-hoc pairwise comparisons. Bonferroni correction was applied for multiple comparisons. Comparisons across thalamic nuclei were performed using paired Wilcoxon signed-rank tests. To compare the adjusted percentage of co-spikes between patients with favorable (Engel I) vs. unfavorable surgical outcomes (Engel II-IV), the Mann–Whitney U test was employed. All statistical analyses were performed using R (version 4.3.3).

3 Results

3.1 Patient characteristics

The final study cohort consisted of 64 patients (32 females) with drug-resistant focal epilepsy (**Fig. S1**). Demographic and clinical data are summarized in **Table S1**. Among the thalamic nuclei sampled, the CM was recorded in 50 (78.1%) patients, the VL in 36 (56.3%), the VPL in 31 (48.4%), and the Pu in 11 (17.2%). Of the 45 patients (70.3%) who underwent epilepsy surgery, 22 achieved favorable surgical outcomes (Engel class I, free of disabling seizures). Sixteen patients (25.0%) were deemed not surgically remediable following SEEG evaluation. Neither resection nor laser ablation was recommended for these patients due to a widely distributed SOZ ($n = 15$) or localization of the SOZ within eloquent cortex ($n = 1$). The latter case was excluded from comparisons between outcome groups. An additional three patients (4.7%) were awaiting epilepsy surgery and were not assigned to any outcome group.

3.2 Rates of interictal events vary among outcome groups in the thalamus as a whole and

across investigated subnuclei

Across all patients, median rates of thalamic interictal events during NREM sleep were 0.58 events per minute for spikes, 0.28 for ripples, 0.41 for fast ripples, and 3.67 for spindles. These rates did not differ significantly among the favorable, unfavorable, and non-remediable groups except for spikes (surgically non-remediable vs. Engel I, $p = 0.049$, $d = 0.47$; **Fig. 3a**). However, spike–fast activity (median rate: 0.07 events/min), considered a more specific marker of epileptogenicity, exhibited more significant differences across groups. Patients with unfavorable surgical outcomes and those who were surgically non-remediable showed significantly higher spike–fast activity rates in the thalamus compared to those with favorable outcomes ($p = 0.034$, $d = 0.44$; $p = 0.004$, $d = 0.62$, respectively; **Fig. 3a**).

Nucleus-specific analyses revealed further differences. In the CM, the rate of spike–fast activity was significantly elevated in patients with unfavorable surgical outcomes compared to those with favorable outcomes ($p = 0.047$, $d = 0.46$; **Fig. 3b**). The surgically non-remediable group also showed reduced spindle density in CM compared to the favorable outcome group ($p = 0.031$, $d = -0.58$).

In the VL, patients classified as surgically non-remediable had both significantly higher rates of spike–fast activity ($p = 0.002$, $d = 0.84$) and significantly lower spindle rates ($p = 0.005$, $d = -0.79$) compared to the favorable outcome group (**Fig. 3c**). Although the spike–fast activity rate in the unfavorable surgical outcome group did not reach statistical significance versus the favorable surgical outcome group ($p = 0.091$, $d = 0.47$), the spike–fast ripple rate was significantly elevated ($p = 0.040$, $d = 0.38$; **Fig. S3**).

For other interictal event types, including spikes, ripples, and fast ripples, no significant group differences were observed in either CM or VL. Moreover, in the Pu and VPL, none of the interictal transient events showed significant differences across outcome groups (**Figs. 3d-e**).

3.3 The density of interictal events varies across thalamic nuclei

To investigate regional differences in thalamic SEEG activity, interictal event rates during NREM sleep were compared across nuclei within individual patients. We found that the VL exhibited significantly higher rates of spindles ($p = 0.018$, $d = 0.25$), ripples ($p < 0.001$, $d = $

0.46), and fast ripples ($p = 0.007$, $d = 0.37$) than the CM (**Fig. 4a**). The CM, in turn, had significantly more fast ripples ($p = 0.012$, $d = 0.24$) and spike–fast ripples ($p = 0.037$, $d = 0.36$) compared to the VPL (**Fig. 4b**, **Fig. S4**). Due to small sample sizes, statistical comparisons for other nucleus pairs were not performed (**Table S1**, **Fig. S4**).

3.4 The frequency of thalamic spindles and HFOs

The median frequency of thalamic spindles was 11.91 Hz (range: 10.94–14.45 Hz) for the thalamus as a whole. Median spindle frequencies by nucleus were as follows: 11.72 Hz in the CM, 11.72 Hz in the VL, 12.50 Hz in the VPL, and 12.89 Hz in the Pu.

To further characterize spindle dynamics, we subdivided spindles into slow (10–12 Hz) and fast (12–16 Hz) spindles.[46,47] Median rates of slow spindles (events per minute) were: 1.53 in the whole thalamus, 1.69 in the CM, 2.02 in the VL, 1.27 in the VPL, and 0.97 in the Pu. Median rates of fast spindles were: 1.67 in the whole thalamus, 1.33 in the CM, 1.76 in the VL, 1.96 in the VPL, and 4.66 in the Pu. Paired comparisons revealed that the Pu exhibited a significantly higher rate of fast spindles than slow spindles within individuals ($p = 0.019$, $d = 0.73$, $n = 10$, **Fig. S5a**). No significant differences between slow and fast spindle rates were observed in the other thalamic nuclei.

Frequency and duration of HFOs were also analyzed and summarized in **Table S3**. The median frequency of thalamic ripples was 124.37 Hz, and the median frequency of fast ripples was 355.00 Hz. Pairwise comparisons revealed that ripple frequencies were significantly higher in the CM than in both the VL ($p = 0.012$, $d = 0.34$, $n = 33$) and the VPL ($p = 0.005$, $d = 0.45$, $n = 30$). In contrast, fast ripples in the VL had significantly higher frequencies than those in the CM ($p < 0.001$, $d = 0.57$, $n = 23$) (**Fig. S5b**).

3.5 Spike co-occurrences between the SOZ and thalamus

To quantify the co-occurrence of spikes between the SOZ and thalamus, we calculated the proportion of co-spikes using the number of co-occurring events as the numerator. Two adjusted percentages were derived by using either the total number of thalamic spikes or the total number of SOZ spikes as the denominator (**Fig. 2d**). The former reflects how thalamic

spikes correlate with spikes in the SOZ, while the latter reflects the proportion of SOZ spikes that co-occur with thalamic spikes.

3.5.1 Thalamic spikes do not always co-occur with SOZ spikes

When the thalamic spike count was used as the denominator, subjects with no thalamic spikes were excluded from the analysis. The median value of the adjusted percentage of co-spikes were as follows: 61.81% (Pu), 24.12% (VPL), 20.40% (whole thalamus), 18.07% (CM), and 13.14% (VL). These findings suggest that, with the exception of the Pu, a considerable portion of thalamic spikes may occur independently of SOZ epileptiform discharges. This interpretation was further supported by the α-value obtained from surrogate analysis, which indicated that, in some patients, the observed co-spike counts did not significantly exceed chance levels (**Fig. 5a**). Furthermore, no significant difference in co-occurrence proportions was found between patients with favorable and unfavorable surgical outcomes. Similarly, no differences were observed between patients with SOZs located in different anatomical regions (**Fig. S6**).

3.5.2 Proportion of co-spikes in the SOZ correlates with surgical outcomes

When analyzing the spikes in the SOZ which co-occurred with thalamic spikes, median adjusted percentages of co-spikes were very low: 0.19% for the thalamus as a whole, 0.08% for CM, 0.03% for VL, 0.07% for VPL, and 0.04% for Pu. These results suggest that only approximately 1 in every 500 SOZ spikes co-occurred with a thalamic spike.

Notably, the proportion of co-spikes between the SOZ and VL was significantly higher in patients with unfavorable surgical outcomes than in those with favorable outcomes ($p = 0.013$, $d = 0.69$; **Fig. 5h**). No significant differences were observed across SOZ anatomical locations (**Fig. S6**).

3.5.3 Pairwise comparisons between thalamic nuclei

No significant difference in the adjusted percentage of co-spikes was found between the CM and the VL, regardless of whether the spike count in the SOZ or the thalamus was used as the

denominator (**Fig. 6a**). However, the CM exhibited a significantly higher co-occurrence percentage with SOZ spikes than the VPL when using the SOZ spike count as the denominator ($p = 0.039$, $d = 0.47$; **Fig. 6b**), indicating that SOZ spikes propagate more to the CM.

3.5.4 Directionality of co-spikes between the SOZ and thalamus

In 74% of patients who exhibited co-occurring spikes between the SOZ and thalamus, the spike in the SOZ more likely preceded the spike in the thalamus (**Fig. S7**). In five patients, this directional pattern reached statistical significance, with all showing unidirectional propagation from the SOZ to thalamus. Analyses conducted separately for individual thalamic nuclei yielded similar results. These findings suggest that thalamic spikes typically follow those originating in the SOZ.

4 Discussion

Although thalamic implantation during SEEG is becoming increasingly common in the presurgical evaluation of drug-resistant epilepsy, the interictal electrophysiological signatures of the human thalamus remain poorly defined. This knowledge gap limits our ability to distinguish pathological from physiological activity and to harness thalamic recordings for surgical prognostication or neuromodulation programming. In this bicentric cohort of 64 patients, we provide the most comprehensive characterization of thalamic interictal events during NREM sleep to date. Our main findings were: (1) better surgical outcomes and a focal SOZ were associated with fewer thalamic interictal events, particularly spike–fast activity and with higher spindle rates, suggesting potential prognostic markers; (2) event characteristics differed across nuclei, with the CM receiving more SOZ spike propagation and spike–fast ripples, whereas the VL exhibited higher spindle and HFO activity, underscoring the value of multisite thalamic recordings; and (3) the thalamus displayed unique physiological patterns including spindle-coupled spikes, spike-like oscillations independent of cortical spikes, and physiological fast ripples, that could easily be misclassified as pathology. Together, these results establish thalamic biomarkers of outcome while delineating physiological signatures essential for accurate SEEG interpretation and for

refining thalamic neuromodulation strategies.

The overall rate of interictal epileptic events in the thalamus was low as demonstrated by this study, consistent with the traditional view that the thalamus is not highly epileptogenic. However, interictal epileptic events in the thalamus are still clinically important, reflecting thalamic involvement in the interictal epileptic network. Our results suggested that an extended interictal epileptic network, characterized by frequent thalamic spike-fast activity as well as a higher proportion of spikes in the SOZ propagating to the thalamus, could be a potential predictor of unfavorable seizure outcomes. In addition, thalamic spindle rates were reduced in patients deemed surgically non-remediable due to a more widespread epileptogenicity, possibly due to increased interictal spike–fast activity, as epileptiform discharges can disrupt spindle generation.[20] These findings highlight the potential utility of thalamic interictal activity for guiding surgical decision-making.

Complementary findings in the Pu have been reported by Biagioni et al., who showed that patients with poor surgical outcomes (Engel III–IV) exhibited more thalamic spikes and fast ripples during NREM sleep.[22] We only observed more spikes in surgically non-remediable patients than in those with favorable surgical outcomes (Engel I), while no significant group differences were found for fast ripples. The discrepancy may reflect differences in sampling of thalamic nuclei across studies or the limited specificity of spikes and fast ripples in characterizing thalamic epileptic activity.[24,38] Spike-fast activity seems to be a better epileptic biomarker than pure spikes and HFOs within the thalamus according to our findings. Whether thalamic biomarkers can add new and effective information for predicting surgical outcomes remains to be determined through further analysis in combination with cortical interictal markers.

Whether the thalamus can produce spikes independently of the cortex remains a topic of debate.[21,48] Addressing this question is challenging due to the inevitable chance co-occurrence and the inherent spatial limitations of intracranial EEG sampling. In this study, we calibrated the random co-occurrence through a surrogate analysis and found that more than half of spikes in the CM, VL, and VPL did not co-occur with SOZ spikes in most patients. This aligns with prior research indicating that the thalamus can exhibit spikes independent of

cortical sources.[21] However, this does not imply intrinsic thalamic generation of interictal epileptiform discharges. There are three possible explanations for isolated spikes in the thalamus during NREM sleep: (1) they are epileptiform discharges that propagated from EEG unsampled cortical areas; (2) they are actually sleep-related physiological events with spike-like morphology; (3) they are epileptiform discharges caused by the insult of the electrode implantation (less likely explanation).

Definitively identifying an isolated thalamic spike is technically difficult due to incomplete brain coverage in intracranial EEG. However, we still postulate that some thalamic spikes could be non-epileptic events based on the following reasons: (1) isolated spikes were also observed in seizure-free patients (**Fig. S8**), whose epileptogenic zones were likely well sampled; (2) in some patients, cortical spikes did not propagate to the thalamus, yet their thalamic spikes were still identified (**Fig. S8a, d-f**); (3) the recordings we selected were not from the acute post-implantation phase, and no evidence suggests that the thalamus is easily irritated by SEEG electrode implantation.[12]

This study found that SOZ spikes rarely propagated to the thalamus. It should be noted, however, that this co-occurrence ratio may be underestimated. The thalamic spikes propagating from the cortex sometimes have a relatively longer duration and lower amplitude (**Figs 1f, 2a**). Thus, they can deviate from the conventional definition of epileptic spikes in the cortex, which last 20–70 milliseconds and stand out significantly from background EEG activity.

In addition to possible non-epileptic spikes in the thalamus, several unique thalamic interictal events were found. While interictal spikes are generally thought to disrupt spindle generation,[20] we identified instances where spikes and spindles co-occurred within the same thalamic channel (**Fig. 1f**), potentially due to convergence of propagated spikes and spindles.

Furthermore, the thalamus is different from the normal cortex in the occurrence rate of HFOs. Thalamic ripples are less frequent compared to most cortical regions.[49] Interestingly, rates of thalamic fast ripples are higher than in normal cortical regions.[49] Cortical fast ripples are almost always pathological, and therefore hardly ever occur in normal tissues.[37,39] However, this may not be the case in the thalamus, where Daida et al. reported that

physiological fast ripples could be present in the thalamus during NREM sleep, possibly in phase-amplitude coupling with sleep spindles.[24] We also identified this phenomenon in this study (**Fig. S9**). These physiological fast ripples likely account for the relatively high thalamic fast ripple rates observed in this study. Findings from Kokkinos et al. have also revealed characteristic sawtooth delta events in the thalamus during REM sleep.[25] Taken together, these results underscore the presence of thalamus-specific interictal EEG patterns that merit further exploration, particularly through visual observation of SEEG recordings.

This study is the first to systematically illustrate and compare the rates of classic interictal events across the CM, VL, and VPL. The CM showed higher rates of fast ripples and spike-fast ripples than the VPL, suggesting greater epileptic activity in this region. In contrast, the VL exhibited higher rates of sleep spindles and HFOs than the CM. Given that thalamic HFOs can be either physiological or pathological, this finding implies that the VL may engage in more physiological activity than the CM during NREM sleep. Furthermore, higher frequency ripples are generally considered more likely to be pathologic,[37] and the CM exactly had a significantly higher frequency of ripples than the VL and VPL. Therefore, our findings indicate that the CM is possibly more epileptic in the interictal state.

The CM is a regulator of the cortico-thalamo-cortical network with widespread connections to the cortex, and is increasingly recognized as a key hub involved in both sleep regulation and epilepsy.[50] Animal studies using passive recordings as well as optogenetic stimulation have demonstrated that the CM contributes to sleep–wake modulation.[51–53] Neuromodulation targeting the CM has shown therapeutic benefits in patients with generalized and some types of focal epilepsy,[54–58] which might be related to the role of the CM in sleep. A recent study reported that high-frequency CM stimulation during sleep disrupted sleep structure and exacerbated seizures, while low-frequency stimulation improved both sleep quality and seizure control.[59] Our results verified that the CM participated in the interictal epileptic network during NREM sleep, especially in patients with unfavorable outcomes, providing insights into CM neuromodulation.

Moreover, our data suggest that VL SEEG recordings also yield valuable information for pre-surgical evaluation. Thus, the present study highlights the importance of multi-nucleus

thalamic recordings in understanding thalamic involvement in epilepsy.

Our study has some limitations. Recordings from the AN, a common neuromodulation target, were not included. The sample size for the Pu was also limited. The VL and VPL specifically connect with motor and sensory cortices, however, the number of cases with SOZs located in these regions is limited, making it hard to conduct region-specific analysis. In addition, most patients received only one thalamic trajectory to reduce surgical risk, making it difficult to compare thalamic activity between various nuclei within the same patient. Since the thalamus does not exhibit many interictal epileptic events, our results could be more robust with longer SEEG recordings. Finally, the existence of sleep-related, nonepileptic thalamic spikes will need to be corroborated in future studies.

In conclusion, this study investigated interictal epileptic and non-epileptic transient events in the thalamus using multisite SEEG recordings, with comparisons made across different outcome groups. To our knowledge, this is the first study to quantitatively assess electrophysiological events in the human CM, VL, and VPL. This study enhances our understanding of the distinct electrophysiological profiles of thalamic nuclei and supports the use of thalamic recordings in pre-surgical evaluation. Further research is required to thoroughly elucidate the unique non-epileptic electrophysiological activity of the thalamus during sleep and wakefulness, avoiding the misinterpretation of normal thalamic events as epileptiform.

Contributors

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Disclosure of Conflicts of Interest

The authors declared no conflict of interest.

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Data Sharing Statement

The MATLAB scripts for signal analysis are available online (see supplementary materials).

Clinical data are available upon reasonable request and if obtaining ethical approval.

Figure legends

Figure 1. Thalamic electrode implantation and interictal transient events. (a) SEEG electrode reconstruction in a patient, which demonstrates the SEEG implantation scheme. Dots indicate electrode contacts. (b) Relative positions of the four thalamic nuclei of interest in this study in an MR image of an example patient. (c)-(e) Examples of thalamic spike-ripple, spike-fast ripple, and spike-gamma events, as well as their respective time-frequency spectra. (f) An example of interictal SEEG recordings during NREM sleep. We observed that spikes and spindles can co-occur in the thalamus. SOZ, seizure onset zone; CM, centromedian nucleus; Pu, pulvinar nuclei; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus; SEEG, stereo-electroencephalography; NREM, non-rapid eye movement.

Figure 2. Analysis of spike co-occurrence between the SOZ and the thalamus. (a) Co-spikes between the SOZ and the thalamus. These thalamic spikes are considered as interictal epileptiform discharges. (b) There are spikes within the thalamus, but they do not co-occur with the SOZ ones. (c) No spikes occur in the thalamus while the SOZ is spiking. (d) Spike co-occurrence percentages between the SOZ and the thalamus were corrected by surrogate data analysis to reduce the effect of random co-occurrence. Two co-occurrence percentages can be obtained by using the respective numbers of spikes in the thalamus and SOZ as the denominators. SOZ, seizure onset zone; CM, centromedian nucleus; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus; MTL, mesial temporal lobe; ACC, anterior cingulate cortex.

Figure 3. Rates of epileptic and non-epileptic interictal events during NREM sleep in different thalamic nuclei and in three outcome groups. (a) Event rates in the thalamus as a whole. Surgically non-remediable patients have more spike-fast activity and spikes than patients with favorable surgical outcomes. Patients with unfavorable surgical outcomes have more spike-fast activity than those with favorable surgical outcomes. (b) Event rates in the CM. Patients with unfavorable surgical outcomes have more spike-fast activity than patients with favorable surgical outcomes. Surgically non-remediable patients have fewer spindles than those with favorable surgical outcomes. (c) Event rates in the VL. Surgically non-remediable patients have more spike-fast activity but fewer spindles than patients with favorable surgical outcomes. (d)-(e) There was no difference among the three groups in the VPL and the Pu. Median values and interquartile ranges are shown in the plots. Each dot represents one subject. For better visualization, the plots a_2, a_5, b_2, d_3, and d_5 each exclude one subject with very high event rates. *, $p < 0.05$; **, $p < 0.01$; Th, thalamus; CM, centromedian nucleus; Pu, pulvinar nuclei; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus.

Figure 4. Pairwise comparisons of events' rates between different nuclei. (a) The VL has more spindles, ripples, and fast ripples than the CM. (b) The CM has more fast ripples than the VPL. Black lines indicate median values. Each dot represents one subject. *, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$; CM, centromedian nucleus; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus.

Figure 5. The proportions of co-spikes between the SOZ and thalamus in patients with focal SOZs and their relationships with surgical outcomes. (a)-(e) The percentage of co-spikes obtained when the number of thalamic spikes was used as the denominator. There was no difference between favorable and unfavorable surgical outcome group. (f)-(j) The percentage of co-spikes obtained when the number of SOZ spikes was used as the denominator. This proportion of co-spikes between the SOZ and VL was significantly higher in patients with unfavorable surgical outcomes. Each dot represents one subject. The transparency of the color indicates the alpha value in the surrogate data analysis. Lower transparency means that the number of co-spikes is higher than the chance level. SOZ, seizure onset zone; Th, thalamus; CM, centromedian nucleus; Pu, pulvinar nuclei; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus.

Figure 6. Pairwise comparisons of proportions of co-spikes with the SOZ between different nuclei. Percentages of co-spikes were calculated using the number of spikes in the thalamic nuclei and the SOZ as the denominator, respectively. (a)-(b) There was no significant difference between the CM and the VL. (c)-(d) Comparisons between the CM and the VPL demonstrated that spikes in the SOZ are more likely to propagate to the CM. Black lines indicate median values. Each dot represents one subject. *, $p < 0.05$; CM, centromedian nucleus; VL, ventral lateral nucleus; VPL, ventral posterolateral nucleus.

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a

SOZ ● Thalamus ●

b

CM
Pu
VL
VLP

R

c Thalamic spike-ripple

SEEG (μV)

80-250 filtered (μV)

Frequency (Hz)

Time (s)

d Thalamic spike-fast ripple

SEEG (μV)

250-500 Hz filtered (μV)

Frequency (Hz)

Time (s)

e Thalamic spike-γ

SEEG (μV)

30-100 Hz filtered (μV)

Frequency (Hz)

Time (s)

High
Power
Low

f

SOZ   200μV

Thalamus   100μV

Non-SOZ cortex   200μV

1s

a

80μV 30μV 1s

SOZ MTL

CM

VL

b

800μV 130μV 1s

SOZ MTL

CM

VPL

c

60μV 60μV 1s

SOZ ACC

CM

VL

d

SOZ spike markers

Th spike markers

Randomly shuffle the time of markers

5000 times

Distribution of the chance level

α = 0.01

Spike co-occurrence number

Relative frequency (%)

Adjusted percentage of cospikes$_{Th}$

$$= \frac{Number\ of\ cospikes - Mean\ of\ chance\ level}{Number\ of\ spikes_{Th} - Mean\ of\ chance\ level} \times 100\%$$

Adjusted percentage of cospikes$_{SOZ}$

$$= \frac{Number\ of\ cospikes - Mean\ of\ chance\ level}{Number\ of\ spikes_{SOZ} - Mean\ of\ chance\ level} \times 100\%$$

CM-VL

n = 33

- CM (orange)
- VL (teal)
- VPL (purple)

CM-VPL

n = 24

Proportion of co-spikes

CM-VL

a

Adjusted percentage of co-spikes in thalamus (%)

n = 12

b

Adjusted percentage of co-spikes in the SOZ (%)

n = 16

CM

VL

VPL

CM-VPL

c

Adjusted percentage of co-spikes in thalamus (%)

n = 5

d

Adjusted percentage of co-spikes in the SOZ (%)

d = 0.47

*

n = 8