1   Title: Chemically mediated neural and behavioral responses in early benthic juvenile Caribbean

2   spiny lobsters, *Panulirus argus*

4   Authors: Yuriy V. Bobkov [1], J. Rudi Strickler [2,3], Charles D. Derby [4] *

6   *Corresponding author: cderby@gsu.edu, https://orcid.org/0000-0002-5599-4976
7

Affiliations:

[1] Whitney Laboratory for Marine Bioscience, University of Florida, St Augustine, FL, USA

[2] University of Texas Marine Science Institute, Port Aransas, TX, USA

[3] Department of Biological Sciences, University of Wisconsin Milwaukee, Milwaukee, WI, USA

[4] Neuroscience Institute, Georgia State University, Atlanta, GA, USA

Short title: Chemically mediated responses in early benthic juvenile spiny lobsters

Running head: Chemoreception in spiny lobsters

Key words: behavior, calcium imaging, chemoreception, Crustacea, lobster, olfaction, olfactory

receptor neurons

Abstract

Spiny lobsters use their chemical senses to acquire resources such as shelter and food, avoid predators, and interact with conspecifics. However, little is known about if and how these responses change over developmental stages. Here, we used early benthic juvenile stage Caribbean spiny lobsters, *Panulirus argus*, in calcium imaging studies to investigate physiological properties of olfactory receptor neurons in the olfactory organ, *i.e.*, the antennules, and in behavioral studies to characterize chemically triggered responses. The basic structural organization of the antennules is similar in early benthic juvenile, older juvenile, and adult lobsters. Our calcium imaging studies show that the olfactory receptor neurons of both life stages have generally similar patterns of spontaneous activity, tuning characteristics, sensitivity, and kinetic parameters of responses to chemicals. Our behavioral studies show that early benthic juvenile spiny lobsters have similar behaviors to adults in that they produce currents following stimulation with food-related chemicals, navigate through the chemical plumes to locate the source of food-related chemicals, show alarm responses to conspecific hemolymph, and groom their antennules following stimulation with L-glutamate. Our findings suggest that features of the olfactory organ and its sensory neurons and the behavioral patterns are generally similar across developmental stages, making early benthic juvenile lobsters a favorable model for studying chemosensory transduction, coding mechanisms, and chemical-driven behaviors. The smaller scale of early benthic juvenile lobsters allows the use of compact, miniature benchtop laboratory setups, offering significant flexibility for medium-throughput basic and applied studies.

Introduction

Spiny lobsters and other decapod crustaceans have well developed chemical senses that they use to detect and identify various chemicals in diverse contexts. Plumes of food related chemicals cause spiny lobsters to alert, search, and find the source [1–3]. Crustaceans stimulated with food related chemicals can also produce currents of different types and functions [4–9]. Besides food related compounds, adult spiny lobsters can detect alarm cues in the hemolymph of conspecifics [10–14]. Certain chemicals can also evoke antennular grooming behavior in spiny lobsters and other decapod crustaceans [15–19].

The chemical senses underlying these behaviors have been well studied in adult spiny lobsters. Crustaceans have several specialized chemical senses that can be categorized as either olfaction or distributed chemoreception, which differ in the location of sensors on the body, organization of sensilla, central projection patterns of the axons of their chemoreceptor neurons, organization of the central neuropil, and function in chemically mediated behaviors [14,20,21]. The spiny lobster's sensory organs, including the antennules, antennae, mouthparts, and legs, are shown in Fig 1.

Fig 1. Early benthic juvenile spiny lobsters. Left panel: lf, lateral flagella of the antennules; mf, medial flagella of the antennules; l1-l5, left walking legs; r1-r5, right walking legs; ctx, cephalothorax; sa, second antenna; abdomen; las, last abdominal segment. Note: pleopods are not visible, as they are on the ventral side of the animal. Right panel: Sensilla on the antennular lateral flagellum. *Top*: Distal region of the lf; *Bottom*: Medial region of the lf. a, aesthetasc sensilla; as, asymmetric sensilla, gs, guard setae, lf, lateral flagellum; ORNs, olfactory receptor neurons; ods, outer dendritic segment of the ORNs.

The olfactory pathway consists of aesthetasc sensilla on the lateral flagella of the antennules, which are innervated by olfactory receptor neurons (ORNs) [20,22]. The olfactory pathway detects both food-related chemicals and pheromones, the latter including hemolymph-based alarm cues, aggregation cues, social cues, and sex pheromones. It mediates orientation toward the source of the cues [23,24]. The second major chemical sense of crustaceans, distributed chemoreception, has a diversity of chemical sensors with properties similar to each other but different from the olfactory pathway in that their sensilla contain both chemosensory neurons and mechanosensory neurons [20]. These bimodal sensilla are located across all body surfaces and appendages. Distributed chemoreception has several subtypes, differing in location of sensilla, central connections, and behaviors that they elicit. For example, distributed chemosensilla on the antennules are like aesthetascs in being sufficient to drive orientation in a chemical plume to find the source of food chemicals. Distributed chemosensory neurons in the asymmetric sensilla, located among other bimodal sensilla on the antennules, uniquely mediate L-glutamate activated antennular grooming behavior [18]. Distributed chemosensory neurons do not mediate responses to pheromones such as alarm cues, aggregation and other social cues, or sex pheromones. Response properties of ORNs and the chemosensory neurons of distributed chemoreception have been examined in adult spiny lobsters, though detailed mechanisms of sensory transduction have been characterized only in ORNs [25–31].

Little is known about the chemical senses and chemosensory behaviors in early stages of the spiny lobster's life cycle, which is complex [32,33]. It begins with a long planktonic larval phase consisting of phyllosoma larvae with ten stages typically lasting up to 5 to 9 months [34]. The form of phyllosoma larvae is very different from that of early benthic juvenile and adult animals. The phyllosoma larval stages are followed by metamorphosis into the free-swimming, non-feeding

puerulus stage, whose body form is much like early benthic juvenile and adult stages though

without coloration. Pueruli move into nearshore settlement habitat that includes seagrass,

hardbottom, or mangrove habitats [35,36]. Once settled, these early benthic juvenile lobsters begin

to feed and are initially solitary. When they reach about 15 mm carapace length, they move from

macroalgae to crevice shelters and live there communally for the remainder of their lives. In the

Florida Keys, they become adults of legal size at > 76.2 mm carapace length (CL) at ca. 18 months

of age since settlement. They have diurnal activity patterns in which they leave shelters at dusk to

forage and return to shelters at dawn. Early benthic juvenile spiny lobsters have a body form and

olfactory organ that is generally similar form to adults [37,38], though nothing is known about the

physiology of their ORNs. Responses of *P. argus* pueruli to chemical cues from red algae

associated with settlement and cues from conspecifics have been described [32,39,40]. Responses

of early benthic juveniles of other species of spiny lobsters to chemical cues have been described

for conspecific cues by *Jasus edwardsii* [41] and habitat cues by *Panulirus cygnus* [42].

Our study examines chemoreception in early benthic juvenile animals. We examined appetitive

behaviors to food related cues, antennule grooming behavior to L-glutamate, and alarm responses

to body fluids of conspecifics. We also characterized basic properties of the physiology of ORNs.

Our results indicate that early benthic juvenile animals are very similar to adults in many of their

chemosensory properties.

Materials and Methods

Experimental animals

Post-larvae of Caribbean spiny lobsters *Panulirus argus* were collected from floating artificial

habitats in the Florida Keys by colleagues at the Florida Fish & Wildlife Conservation Commission

in Marathon, Florida Keys [33]. These animals were shipped to our laboratories in Florida and

Texas, where they were held in 10 L open glass aquaria (31.8 x 16.5 x 21 cm, TopFin®, Phoenix,

AZ, or similar) in groups of 7 to 10 animals. The animals were diet conditioned (food deprived for

at least 48 h) before experiments. Aquaria were supplied with flowing natural sea water under local

conditions (SW). The early benthic juvenile lobsters used in our study had carapace length (CL)

of 6.5 to 9.5 mm (7.8 ± 0.2, n = 20). Some animals were involved in multiple types of experiments.

This study was carried out in strict accordance with the recommendations in the Guide for the Care

and Use of Laboratory Animals of the National Institutes of Health and according to guidelines at

our universities. Animals were chilled on ice for anesthesia or euthanasia, and all efforts were

made to minimize suffering.

Calcium Imaging

<u>Preparation</u>. Olfactory receptor neurons (ORNs) were studied using an *in situ* preparation

developed earlier [27,29]. Briefly, a single annulus was excised from the lateral flagellum of the

antennule, and the cuticle on the side opposite the aesthetasc sensilla was removed to provide better

access to the somata of the approximately 200 ORNs associated with each of the approximately

10 aesthetasc sensilla per annulus. Following treatment with trypsin, papain, or collagenase (1

mg/ml, 20 min), the ensheathing tissue covering the clusters of ORNs was gently removed to allow

access to somata. All dissections were made in *Panulirus* saline (PS) containing (in mM): 486

NaCl, 5 KCl, 13.6 $CaCl_2$, 9.8 $MgCl_2$, and 10 HEPES, pH 7.9. The protease cocktail was prepared

using divalent cation free PS containing (in mM): 486 NaCl, 5 KCl, 0-10 Glucose, 0.1 EGTA, and

10 HEPES, pH 7.9.

<u>Calcium imaging and data analysis</u>. After enzymatic treatment and cleaning, annuli from the

antennular lateral flagellum were placed in an Eppendorf tube in PS containing the fluorescent

calcium indicator (Fluo-4AM, Invitrogen, Waltham, MA) at 5–10 μM prepared with 0.2–0.06%

Pluronic F-127 (Invitrogen, Waltham, MA). The tube was shaken for about 1 h on an orbital shaker

(70 rpm). The specimens were transferred into fresh PS, mounted on a glass-bottom 35 mm Petri

dishes, and placed on the stage of inverted microscope. Fluorescence imaging was performed on

an inverted microscope (Olympus IX-71) equipped with a cooled CCD camera (ORCA R2,

Hamamatsu Photonics, Hamamatsu, Shizuoka, Japan) under the control of Imaging Workbench 6

software (INDEC Systems, Santa Clara, CA). FITC filter set (excitation at 510 nm, emission at

530 nm) was used for single-wavelength measurements. Recorded data were stored as image

stacks and were analyzed off-line using Imaging Workbench 6 or ImageJ 1.42

(http://rsbweb.nih.gov/ij/index.html). See Fig 2 for an example of fluorescent ORNs and S1 Video

and S2 Video for example of spontaneous activity and chemical evoked activity of ORNs.

Numerical analyses including event analysis and kinetic parameter estimates were performed using

either ClampFit 10 (Molecular Devices, San Jose, CA) or SigmaPlot 10 (Grafiti LLC, Palo Alto,

CA). All recordings were performed at room temperature.

Fig 2. Activity of olfactory receptor neurons (ORNs) from early benthic juvenile spiny lobsters.

(A) Spontaneous activity from a cluster of ORNs associated with a single aesthetasc sensillum (*left*

panel). The raw calcium signal time series (*right panel*) were background compensated and

equally spaced for clarity. Two examples of ORNs characterized by oscillatory activity are

highlighted in red. Calcium oscillations are likely associated with bursting ORNs. (B) Chemical

evoked activity from a cluster of ORNs associated with a single aesthetasc sensillum different than

in A (*left panel*). The *right panel* shows the increase in calcium concentration in ORNs in response

to an 800-ms pulse of a chemical stimulus, which was a fish food extract (TetraMarine 0.5 mg/ml).

Timing of stimulus presentation is marked by the gray line. Both resting calcium signal level and

slow intensity of fluorescence changes caused by bleaching were manually subtracted from each

individual trace.

<u>Chemical stimuli and their delivery</u>. Unless otherwise noted, the chemical stimulus was an aqueous

extract of TetraMarine (TET, Tetra Werke, Melle, Germany), a commercially available marine

fish food. Flakes of TET were powdered, dissolved in water (0.1 g/ml), filtered through a 0.2 μm

syringe filter, and diluted 1:200 in PS for experiments. The final maximum concentration was 0.5

mg/ml. The stream containing the chemical stimulus was switched with the flow of PS that

otherwise continuously superfused the sensilla (both 250 μl/min) using a multi-channel rapid

solution changer (RSC-160, BioLogic, France) under software control (Clampex 10, Molecular

Devices, San Jose, CA).

Behavioral experiments

For observing chemically elicited behaviors, we used two different experimental arenas. Arena

type 1 was a relatively large arena that allowed an animal to move over distances and thus allowed

us to visualize its chemically activated searching behavior. This arena was either a rectangular

glass aquarium with base dimensions of 31.8 cm x 16.5 cm (area of 525 cm^2) or a Carolina™

culture dish with diameter of 20 cm (area of 314 cm^2). Both were filled with SW to a depth of 4-5

cm. Animals were introduced into the arena and allowed to adapt for 5-20 min before being

exposed to food-related stimuli. In this type of arena, we created a chemical landscape using

fragments of shrimp tissue that had been incubated in sea water containing either fluorescein or 6-

carboxyfluorescein, 6-CF, (both at 250 μM initial concentration) for at least 2 h. Fluorophores and

ambient blue led light (Blue LED Light Panel: 225 psc, $\lambda\_em{\sim}465$, dimension (cm): 30.5 x 30.5 x

3.2, 1080 Lux, 14W; HQRP, Harrison, NJ) were used to visualize the chemical plume. The

animal's navigation through the chemical plume was recorded on video and analyzed. This set-up

is shown in Fig 3.

Fig 3. Chemically mediated behaviors of early benthic juvenile spiny lobsters in large (type 1)

arena. This arena was a rectangular glass aquarium with base dimensions of 31.8 cm x 16.5 cm

(area of 525 cm^2). This example shows an animal exhibiting search behavior in a food-related

(shrimp extract) chemical plume. (A) Single frame of the search trajectory showing the chemical

source (*green circle*) and the lobster (marked with blue circle) crossing relatively low intensity

portion of the chemical plume. (B and C) The search trajectory is visualized using maximum

intensity projection (Fiji/Image J). (B) To better visualize the position of the lobster's body during

search, the number of frames was reduced by a factor of 10. (C) Result of maximum intensity

projection of all frames (n = 560). Arrows depict the direction of movement of the animal and

vectors of typical exploratory "scent" tracking pattern, cluster of brief error correction moves. See

S3 Video for details.

Arena type 2 was smaller and was used for observing and analyzing smaller-scale behaviors

triggered by chemical stimuli. This arena was a glass cubic cuvette with dimension (in mm) of

either 42.5 x 45 x 45, with optical path length 40; wavelength range: VIS (360-2500 nm), or

dimension (in mm) of 52.5 x 55 x 55, with optical path length 50; wavelength range: VIS (360-

2500 nm), Helma USA Inc., Plainview, NY. In combination with an aluminized hypotenuse prism,

we were able to record simultaneously the top and side views of animals. (The prism was a right-

angle prism, N-BK7, 50 mm, λ/8, aluminum hypotenuse, supporting a 425 to 675 nm wavelength

range (Newport Corporation, Irvine, CA). This set-up is shown in Fig 4. Animals were video

recorded for approximately 2 min in control conditions and then for at least 2 min before, during,

and after stimulus presentation. Stimuli were applied as a 5 µl volume delivered using a handheld

micropipette to specific body regions such as the antennules or legs. To visualize the plume of the

chemical stimulus, we used fluorescein and ambient blue LED light (Blue LED Light Panel: 225

psc, λ_em~465, Dimension (in mm): 30.5 x 30.5 x 3.2, 1080 Lux, 14W; HQRP, Harrison, NJ).

Fig 4. Chemically mediated behaviors of early benthic juvenile spiny lobsters in small (type 2)

arena. This arena is a cubic glass cuvette with dimensions (in mm) 42.5 x 45 x 45. A glass prism

with an aluminized hypotenuse enabled simultaneous two-side imaging, thus allowing quasi three-

dimensional visualization. The animal was exposed to a chemical stimulus (shrimp extract), which

was visualized by fluorescein and blue ambient light. This configuration facilitates observing the

animal's sensory appendages including the antennules, antennae, mouthparts, and legs, and how

each appendage responds when contacting the chemical plume. Left panel: top view of an animal

inside the cuvette. Right panel: side view, prism optical path.

Chemical stimuli. Chemical stimuli were mixed in SW that contained fluorescein (250µM initial

concentration). The concentration of each stimulus as experienced by the animals was less than

that injected since there was some dilution. We estimated a 10-20 times dilution based on intensity

of fluorophore assuming linear dependence. We used three chemical stimuli, in addition to SW as

the control stimulus. 1) An aqueous extract of shrimp muscle was used as a food-related chemical

stimulus. This shrimp extract was prepared by crushing the abdomen of fresh, local penaeid shrimp

in SW, incubating it for ca. 2 h, then filtering it through a 0.2 µm filter and diluting it to a final

concentration of 5 µl/ml. 2) L-Glutamate at 5 mM was used to determine if animals show

chemically activated antennular grooming behavior. 3) A conspecific alarm cue was generated by

squeezing the hemolymph and other body fluids from cold anaesthetized live spiny lobsters into

SW, then filtering through a 0.2 µm filter for a final concentration of 5 µl/ml.

<u>Video recordings</u>. Two cameras were used in behavioral experiments. 1) Nikon Z7 camera, Nikon

FX-format, backside illumination CMOS sensor, full-frame 4K UHD/30p video footage, frame

size used: 1920 x 1080; at ~30Hz acquisition rate; lens: AF-S Micro NIKKOR 60mm f/2.8G ED

with autofocusing (Nikon Corporation, Tokyo, Japan). 2) Sony HDR-XR500, High Definition,

Handycam 'PAL' Camcorder, CMOS Sensor with Back-Illumination captures 1920 x 1080 HD

6MP video at 30 Hz frequency (Sony Corporation, Tokyo, Japan). Video recordings were saved

in MOV or MTS video formats, converted to AVI using a FFmpeg video converter (free and open-

source software, https://ffmpeg.org), and analyzed with ImageJ (free public domain software

supported by NIH, USA. http://imagej.org).

Results and Discussion

We characterized the activity of ORNs in early benthic juvenile spiny lobsters using a preparation

developed previously for adult animals in which ORNs were loaded with calcium sensitive

fluorescent probe, Fluo-4, and stimulated with odorants delivered to the aesthetasc sensilla

containing the outer dendrites of the ORNs. The time course of fluorescent intensity of ORNs of

early benthic juvenile spiny lobsters suggests that the spontaneous activity is generally

characterized by activity patterns similar to those of adult animals. Some ORNs are tonically

active, while other ORNs exhibit pronounced calcium oscillations suggesting bursting activity (Fig

2A and S2 Video) [27,29]. Similar to adult spiny lobsters, the majority of ORNs in early benthic

juvenile animals are sensitive to a complex food-related stimulus (Fig 2B and S1 Video, compare

with an adult ORN activity from Bobkov et al. [27,29]), while a smaller subpopulation of ORNs

was sensitive to monomolecular odorants (data not shown). While ORNs of both early benthic

juvenile and adult lobsters are characterized by similar patterns of spontaneous activity and kinetic

parameters of the responses, their tuning characteristics and sensitivity to either monomolecular

or complex chemical stimuli may differ, though a detailed characterization of these differences

and their sensitivities to alarm cues and other pheromones will require further additional future

studies.

In a first set of behavioral experiments, we evaluated whether early benthic juvenile lobsters

exhibit chemically driven search behavior to food related chemicals, a behavior that in adults is

mediated by either ORNs or chemosensory neurons on the antennules [23]. For this set of

experiments, we put individual diet conditioned early benthic juvenile spiny lobsters in a large

(type 1) arena filled with sea water. After a 10-20 min acclimation period, we introduced chemical

stimuli into the arena and manually created random artificial plumes visualized with fluorophore.

In control experiments, when sea water + fluorophore was used as the stimulus, animals showed

no or very little searching for the stimulus source (n = 16, Fig 3). This result is consistent with the

conclusion that fluorophore itself as well as both excitation (blue ambient light, $\lambda\_em \sim 465$) and

fluorophore emission light ($\lambda\_em \sim 518$) does not trigger searching behavior in early benthic

juvenile lobsters. When a food-related stimulus (shrimp extract) was added to the fluorophore, in

a majority cases (14 out of 16 experiments), the animals performed search behavior as soon as they

detected the stimulus (Fig 3 and S3 Video). This study sets the stage for future studies that combine

hydrodynamics, sensory biology, behavior, and locomotion to help understand mechanisms

underlying chemically evoking orientation to chemical cues.

In a second set of behavioral experiments, we tested whether early benthic juvenile lobsters

show a diversity of chemosensory behaviors beyond food-evoked searching as has been observed

in adult animals. For these experiments, we used the smaller (type 2) arena (Fig 4). We put

individual early benthic juvenile lobsters into glass cubic cuvettes with inside dimensions of 40 or

50 mm and imaged simultaneously from the top and lateral views providing possibilities for quasi-

3D reconstruction (Fig 4). After 3-5 min acclimation to the cuvette conditions and blue ambient

illumination/light provided by blue LED strip light, the animals were video recorded for 2 min in

control conditions and then for at least 2 min before, during and after stimulus application. We

focused on a limited number of relatively well-described and well-defined behavioral phenomena

to avoid ambiguous interpretation. These included a series of food related behavioral patterns,

reaction to alarm cues, chemically evoked production of currents, and antennular grooming

behavior.

As a food related stimulus, we added 5 µl of shrimp extract in fluorescein into the cuvette using

a hand-held pipetter in close proximity to the animal's head. Fluorescein helped to visualize the

plume structure and detection and reaction timings. In all cases (n = 11), the early benthic juveniles

were relatively calm in control conditions, exhibited relatively low frequency of antennule flicking

and little movement such as walking (Fig 5 left panel, and S4 Video). When food stimulus was

presented to the antennules, animals typically increased waving and flicking of antennules and

probing with their legs (10 out of 11 trials, Fig 5 right panel and S5 Video). Presentation of the food

stimulus to their legs typically resulted in extensive probing with legs, digging, and grabbing at

the stimulus.

Fig 5. Early juvenile spiny lobster in type 2 arena (small cubic glass cuvette) showing localized

searching behavior to a food related chemical stimulus (shrimp extract). <u>Left panel:</u> control (sea

water). <u>Right panel</u>: after application of shrimp extract. Activity of juvenile lobster was visualized

using maximum intensity projection technique (Fiji/Image J). Note that more articulated body

counter suggests minimal movement, compare left and right panels (before and after stimulus

application, respectively). The same time interval was used in both cases.

Another behavioral pattern linked to stimulation of chemosensory receptors has been

demonstrated in adults: generation of directional water currents. These currents have been

previously described for many crustaceans [4–8], including adult spiny lobsters [9], but this has

not been described in early benthic juvenile spiny lobsters. These currents can be generated by

gills, fan organs (exopodites of mouthparts: crayfish [6,7,43], clawed lobsters [4], snapping shrimp

[44], and hermit crabs [45]), abdominal swimmerets (clawed lobsters [4,5]), and paddles on last

pair of legs (blue crabs [8]). In crayfish, gill currents are produced by drawing water into the gills

from around the animal and expelling it from the frontal opening of the gill chambers using the

gill bailers within the gills. It is always directed forward. Fan currents are generated by fan organs

associated with the mouthparts (maxillae and maxillipeds) at the anterior end of the animal. These

can be directed forward, laterally, upward, and backward [6,7]. In some cases, these currents are

described in the context of intraspecific sexual or social interactions that are not related to food.

These currents can direct urine released from the nephropores of one animal, the sender, towards

another animal, the receiver. They can be used in the context of intraspecific interactions, either

reproduction (*e.g.*, courtship, mating) or social interactions (*e.g.*, aggression) [4]. Information

currents are another current type, being used for both dispersal and reception of chemicals. These

currents have been previously described in adult crustaceans. The results of our study show that

early benthic stage juvenile spiny lobsters also produce chemically evoked water currents in

response to shrimp extract (Fig 6 and S6 Video). In some experiments, when shrimp extract applied

directly to the legs, the animals generated currents in a manner similar to the adult animals. While

detailed mechanisms triggering these behavioral patterns or the types of currents are not yet

described in these early benthic juvenile animals, it is clear that the production of these currents is

chemically evoked and conserved across different ontogenetic stages.

Fig 6. Early benthic juvenile spiny lobster in type 2 arena (small cubic glass cuvette) generating a

water current in response to a food-related stimulus. (A) A food related chemical stimulus (5 µl of

shrimp extract) was applied to the lobster's legs using a micropipette. (B) This triggered the animal

to generate a current. (B) The animal's reaction was to generate of current blowing the stimulus

away from the anterior end of the animals.

Antennular grooming behavior is one of the most extensively studied and well-understood

behavioral patterns, having been described in many decapod crustaceans. It can occur

spontaneously (*i.e.*, without any apparent sensory input), but it occurs much more often after an

animal has been feeding [15–18]. Antennular grooming behavior consists of two major

components [18]. In the first, called 'antennule wiping', both antennular flagella are repeatedly

brought down towards the last pair of mouthpart appendages (third maxillipeds) and are grabbed

by their endopodites equipped with pad-like structures consisting of densely packed specialized

setae and are repeatedly pulled through these pads. In the second component of antennular

grooming behavior, called auto grooming, the two third maxillipeds are rubbed against each other;

this usually occurs after a bout of wipes. Antennular grooming behavior can be evoked by

relatively high concentration of L-glutamate [17,19,46]. This chemically evoked antennular

grooming behavior has been shown only in adult spiny lobsters, and in them it is mediated

exclusively by chemosensory neurons in the asymmetric sensilla on the antennules [18]. We

therefore examined whether glutamate is capable of evoking grooming behavior in early benthic

juvenile lobsters. The response to a 5 µl solution of 5 mM glutamate and fluorescein was initially

somewhat similar to reaction to shrimp extract including increased frequency of antennule flicking,

antennule waving, and leg probing, but in 8 out of 8 cases, this initial arousal was followed by

and/or interrupted by pronounced antennular grooming (Fig 7 and S7 Video). The side view

demonstrates this behavioral pattern particularly well. Stimulation with L-glutamate also evoked

eye grooming in some cases (S8 Video).

Fig 7. Early benthic juvenile spiny lobster in type 2 arena (small cubic glass cuvette) producing

antennular grooming behavior in response to L-glutamate (5 mM, initial concentration). <u>Left</u>: control

before glutamate application. <u>Right</u>: after application of L-glutamate. <u>Top</u>: antennular grooming.

<u>Bottom</u>: eye grooming.

Another chemically evoked behavior is the response to chemicals released by injured

conspecifics, called alarm cues. This has been shown in older juvenile and adult spiny lobsters

[10–12]. These alarm cues are present in hemolymph and are detected by ORNs in the antennules

of conspecific animals [13]. The molecular nature of the alarm cues in hemolymph has been

identified for some adult crustacean species [14] and candidate molecules have been identified in

adult spiny lobsters [47]. Behavioral responses to hemolymph-based alarm cues in early benthic

stage juvenile spiny lobsters have not been reported. To test whether this stage spiny lobsters

exhibit aversive responses to blood-borne conspecific alarm cues, we used the following

experimental paradigm: The animals were first recorded in control conditions (Fig 8, S9 Video)

and then exposed to food related chemicals (Fig 8, S10 Video). After showing stimulus evoked

behavioral excitation, animals were exposed to 5 µl of hemolymph and other body fluids squeezed

from conspecifics diluted in SW (Fig 8, S11 Video). At least in 3 out of 4 cases, we observed

robust cessation of movement (Fig 8, S11 Video). During this freezing response, the animals

lowered their body, stopped walking, and stopped moving their appendages. Aversive responses

in general might be characterized by a variety of context dependent behavioral patterns including

escape, hiding, aggressive responses and overall hyperactivity. However, the freezing response is

one of the most conserved and universal behavioral patterns inherent to a variety of species across

different phyla [48,49]. Experimentally, the significant advantage of the freezing response is that

it is often a slow event that can be unambiguously detected, especially in space-limited

experimental cuvettes.

Fig 8. Early juvenile spiny lobster in type 2 arena (small cubic glass cuvette) responding to an

alarm cue. Left: negative control (sea water). Middle: food control (shrimp extract). Right: alarm

cue (body fluid from a crushed conspecific). Activity of juvenile lobster was visualized using

maximum intensity projection technique (Fiji/Image J). Note that more articulated body counter

suggests minimal movement. The same time interval was used in all cases.

Conclusions

Our findings show that the properties of the chemical senses and behavioral patterns of early

benthic juvenile spiny lobsters are generally similar to those of adult animals. This makes early

benthic juveniles a useful model for studying mechanisms underlying neural activation (*e.g.*,

chemosensory transduction and coding) and behavioral responses. The smaller size of early

benthic juvenile lobsters confers advantages including the ability to use of a compact, miniature

benchtop laboratory setup to rapidly screen candidate compounds from metabolomics and/or

bioassay-guided fractionation to identify ecologically relevant chemicals such as alarm cues, sex

pheromones, aggregation cues, and food-related cues. In addition, the ability to reliably control

conditions within miniature experimental cuvettes and arenas makes it practical to study various

environmental factors (including light, visual stimuli, temperature, pH, toxic plankton metabolites,

and other favorable or stress conditions) on lobster chemosensory abilities.

S1 Video. Supporting Fig 2: Calcium imaging of food stimulus evoked activity of ORNs

S2 Video. Supporting Fig 2: Calcium imaging of spontaneous activity of ORNs

S3 Video. Supporting Fig 3: Searching behavior to food stimulus in large arena

S4 Video. Supporting Fig 5: Behavior to control stimulus in small arena

S5 Video. Supporting Fig 5: Behavioral responses to food stimulus in small arena

S6 Video. Supporting Fig 6: Generation of water current to food stimulus in small arena

S7 Video. Supporting Fig 7: Antennular grooming in response to L-glutamate in small arena

S8 Video. Supporting Fig 7: Eye grooming in response to L-glutamate in small arena

S9 Video. Supporting Fig 8: Response to control stimulus in small arena

S10 Video. Supporting Fig 8: Response to shrimp extract presented after control stimulus in small

arena

S11 Video. Supporting Fig 8: Response to hemolymph presented after shrimp extract in small

arena

Acknowledgments. We thank Dr. Edward Buskey for providing laboratory space at the

University of Texas Marine Science Institute, Casey Butler and Thomas Matthews of the Florida

Fish & Wildlife Conservation Commission, Marathon, Florida Keys, for providing early benthic

juvenile spiny lobsters, and Dr. Joseph Ryan of the Whitney Laboratory for Marine Bioscience,

University of Florida, for his valuable discussions and general support.

References

1   Derby CD, Weissburg MJ. The chemical senses and chemosensory ecology of crustaceans. In:

Derby C, Thiel M, editors. The natural history of the Crustacea, Vol. 3, Nervous systems &

control of behavior. New York: Oxford University Press; 2014. pp. 263–92

2   Kamio M, Derby CD. Finding food: how marine invertebrates use chemical cues to track and

select food. Nat Prod Rep. 2017; 34:514–28. doi:10.1039/c6np00121a

3   Kamio M, Yambe H, Fusetani N. Chemical cues for intraspecific chemical communication and

interspecific interactions in aquatic environments: applications for fisheries and aquaculture.

Fish Sci. 2022; 88:203–39. doi.org/10.1007/s12562-021-01563-0

4   Atema J. Opening the chemosensory world of the lobster, *Homarus americanus*. Bull Mar Sci.

2018; 94:479–516. doi.org/10.5343/bms.2018.0030

5   Atema J, Steinbach MA. Chemical communication and social behavior of the lobster *Homarus*

americanus and other decapod Crustacea. In: Duffy JE, Thiel M, editors. Evolutionary ecology

of social and sexual systems: Crustaceans as model organisms. Oxford UK, Oxford University

Press; 2007. pp. 115–44. doi/10.1086/590592

6 Breithaupt T. Fan organs of crayfish enhance chemical information flow. Biol Bull. 2001;

200:150–4. doi:10.2307/1543308

7 Breithaupt, T. Chemical communication in crayfish. In: Breithaupt T, Thiel M, editors.

Chemical communication in crustaceans. New York: Springer; 2011. pp. 257–76.

doi.org/10.1007/978-0-387-77101-4

8 Kamio M, Reidenbach MA, Derby CD. To paddle or not: context dependent courtship display

by male blue crabs, *Callinectes sapidus*. J Exp Biol. 2008; 211:1243–8.

doi:10.1242/jeb.014977

9 Shabani S, Kamio M, Derby CD. Spiny lobsters use urine-borne olfactory signaling and

physical aggressive behaviors to influence social status of conspecifics. J Exp Biol. 2009;

212:2464–74. doi:10.1242/jeb.026492

10 Briones-Fourzán P, Pérez-Ortiz M, Lozano-Álvarez E. Defense mechanisms and antipredator

behavior in two sympatric species of spiny lobster, *Panulirus argus* and *P. guttatus*. Mar Biol.

2006; 149:227–39. doi:10.1007/S00227-005-0191-2

11 Briones-Fourzán P, Ramírez-Zaldívar E, Lozano-Álvarez E. Influence of conspecific and

heterospecific aggregation cues and alarm odors on shelter choice by syntopic spiny lobsters.

Biol Bull. 2008; 215:182–90. doi:10.2307/25470699

12 Parsons DM, Eggleston DB. Human and natural predators combine to alter behavior and

reduce survival of Caribbean spiny lobster. J Exp Mar Biol Ecol. 2006; 334:196–205.

doi:10.1016/j.jembe.2006.01.020

13 Shabani S, Kamio M, Derby CD. Spiny lobsters detect conspecific blood-borne alarm

pheromones exclusively through olfactory sensilla. J Exp Biol. 2008; 211:2600–8.

doi.org/10.1242/jeb.016667

14 Poulin RX, Lavoie S, Siegel K, Gaul DA, Weissburg MJ, Kubanek J. Chemical encoding of

risk perception and predator detection among estuarine invertebrates. Proc Natl Acad Sci USA.

2018; 115:662–7. doi.org/10.1073/pnas.1713901115

15 Bauer RT. Grooming behavior and morphology in the decapod Crustacea. J. Crust. Biol. 1981;

1:153–73. doi.org/10.2307/1548154

16 Bauer RT. Adaptive modification of appendages for grooming (cleaning; antifouling) and

reproduction in the Crustacea. In: Thiel M, Watling L, editors. The natural history of Crustacea.

Vol. 1: Functional morphology and diversity. New York: Oxford University Press; 2013. pp.

337–75. doi:10.1093/acprof:osobl/9780195398038.003.0013

17 Daniel PC, Shineman M, Fischetti M. Comparison of chemosensory activation of antennular

grooming behaviour in five species of decapods. Mar Freshwater Res. 2001; 52:1333–7.

doi:10.1071/MF01013

18 Schmidt M, Derby CD. Non-olfactory chemoreceptors in asymmetric setae activate antennular

grooming behavior in the Caribbean spiny lobster, *Panulirus argus*. J Exp Biol. 2005;

208:233–48. doi:10.1242/jeb.01357

19 Cericola VJ, Daniel PC. Chemically-mediated antennular grooming behavior and associated

asymmetric setae: toward a hypothesis on their evolution in reptantian decapods. J Crust Biol.

2010; 30:557–70. doi.org/10.1651/09-3204.1

20 Schmidt M, Mellon D. Neuronal processing of chemical information in crustaceans. In:

Breithaupt T, Thiel M, editors. Chemical communication in crustaceans. New York: Springer;

2011. pp. 123–47. doi.org/10.1007/978-0-387-77101-4

21 Derby CD, Caprio J. What are olfaction and gustation, and do all animals have them? Chem

Senses. 2024; 49:bjae009. doi.org/10.1093/chemse/bjae009

22 Derby CD. The crustacean antennule: a complex organ adapted for lifelong function in diverse

environments and lifestyles. Biol Bull. 2021; 240:67–81. doi: 10.1086/713537.

23 Horner AJ, Weissburg MJ, Derby CD. Dual antennular chemosensory pathways can mediate

orientation by Caribbean spiny lobsters in naturalistic flow conditions. J Exp Biol. 2004;

207:3785–96. doi.org/10.1242/jeb.01200

24 Horner AJ, Weissburg MJ, Derby CD. The olfactory pathway mediates sheltering behavior of

Caribbean spiny lobsters, *Panulirus argus*, to conspecific urine signals. J Comp Physiol A.

2008; 194:243–53. doi: 10.1007/s00359-007-0302-2

25 Bobkov YV, Ache BW. Calcium sensitivity of a sodium-activated nonselective cation channel

in lobster olfactory receptor neurons. J Neurophysiol. 2003; 90:2928–40.

doi:10.1152/jn.00181.2003

26 Bobkov YV, Ache BW. Pharmacological properties and functional role of a TRP-related ion

channel in lobster olfactory receptor neurons. J Neurophysiol. 2005; 93:1372–80.

doi:10.1152/jn.00990.2004

27 Bobkov YV, Ache BW. Intrinsically bursting olfactory receptor neurons. J Neurophysiol.

2007; 97:1052–7. doi: 10.1152/jn.01111.2006

28 Bobkov YV, Pezier A, Corey EA, Ache BW. Phosphatidylinositol 4,5-bisphosphate-dependent

regulation of the output in lobster olfactory receptor neurons. J Exp Biol. 2010; 213:1417–24.

doi: 10.1242/jeb.037234

29 Bobkov Y, Park I, Ukhanov K, Principe J, Ache B. Cellular basis for response diversity in the

olfactory periphery. PLoS One. 2012; 7:e34843. doi: 10.1371/journal.pone.0034843

30 Kozma MT, Ngo-Vu H, Rump MT, Bobkov YV, Ache BW, Derby CD. Single cell

transcriptomes reveal expression patterns of chemoreceptor genes in olfactory sensory neurons

of the Caribbean spiny lobster, *Panulirus argus*. BMC Genomics. 2020; 21:649.

doi.org/10.1186/s12864-020-07034-7

31 Ukhanov K, Bobkov Y, Ache BW. Imaging ensemble activity in arthropod olfactory receptor

neurons in situ. Cell Calcium. 2011; 49:100–7. doi: 10.1016/j.ceca.2010.10.009

32 Ambrosio LJ, Baeza JA. 2023. Chemical sensing and avoidance of PaV1-infected conspecifics

by pueruli post-larvae of the reef-dwelling Caribbean spiny lobster *Panulirus argus* Latreille,

1804 (Decapoda: Achelata: Palinuridae). J Crust Biol. 2023; 43:1–9.

doi.org/10.1093/jcbiol/ruad065

33 Hutchinson E, Matthews TR, Renchen GF. Relationships between postlarval settlement and

commercial landings of Caribbean spiny lobster (*Panulirus argus*) in Florida (USA). Fish Res.

2024; 279:107137. doi.org/10.1016/j.fishres.2024.107137

34 Goldstein JS, Matsuda H, Takenouchi T, Butler MJ IV. The complete development of larval

Caribbean spiny lobster *Panulirus Argus* (Latreille, 1804) in culture. J Crust Biol. 2008;

28:306–27. doi.org/10.1163/20021975-99990376

35 Childress MJ, Herrnkind WF. The ontogeny of social behaviour among juvenile Caribbean

spiny lobsters. Anim Behav. 1996; 51:675–87. doi:10.1006/anbe.1996.0071

36 Childress MJ, Heldt KA, Miller SD. Are juvenile Caribbean spiny lobsters (*Panulirus argus*)

becoming less social? ICES J Mar Sci. 2015;72 (Suppl. 1): i170–6. doi:10.1093/icesjms/fsv045

37 Derby CD, Cate HS, Steullet P, Harrison PJH. Comparison of turnover in the olfactory organ

of early juvenile stage and adult Caribbean spiny lobsters. Arthrop Struct Develop. 2003;

31:297–311. doi.org/10.1016/S1467-8039(02)00050-6

38 Steullet P, Cate HS, Derby CD. A spatiotemporal wave of turnover and functional maturation

of olfactory receptor neurons in the spiny lobster *Panulirus argus*. J Neurosci. 2000; 20:3282–

94. doi:10.1523/JNEUROSCI.20-09-03282.2000

39 Goldstein JS, Butler, MJ. Behavioral enhancement of onshore transport by postlarval

Caribbean spiny lobster (*Panulirus argus*). Limol Oceanogr 2009; 54:1669–78. doi

10.4319/lo.2009.54.5.1669

40 Baeza JA, Childress MJ, Ambrosio LJ. Chemical sensing of microhabitat by pueruli of the

reef-dwelling Caribbean spiny lobster *Panulirus argus*: testing the importance of red algae,

juveniles, and their interactive effect. Bull Mar Sci. 2018; 94:603–18.

doi.org/10.5343/bms.2017.1132

41 Butler MJ, MacDiarmid AB, Booth JD. The cause and consequence of ontogenetic changes in

social aggregation in New Zealand spiny lobsters. Mar Ecol Prog Ser. 1999; 188:179–91. doi

10.3354/meps188179

42 Brooker MA, de Lestang SN, How JR, Langlois TJ. Chemotaxis is important for fine scale

habitat selection of early juvenile *Panulirus cygnus*. J Exp Mar Biol Ecol. 2022; 553:151753.

doi 10.1016/j.jembe.2022.151753

43 Denissenko P, Lukaschuk S, Breithaupt T. Flow generated by an active olfactory system of the

red swamp crayfish. J Exp Biol. 2007; 210:4083–91. doi.org/10.1242/jeb.008664

44 Herberholz J, Schmitz B. Signaling via water currents in behavioral interactions of snapping

shrimp (*Alpheus heterochaelis*). Biol Bull. 2001; 201:6–16. doi:10.2307/1543520

45 Barron LC, Hazlett BA. Directed currents: a hydrodynamic display in hermit crabs. Mar Behav

Physiol. 1989; 15:83–7. doi.org/10.1080/10236248909378720

46 Schmidt M, Haulk J, Derby CD. A chemosensory pathway detecting amino acid efflux from

olfactory sensilla mediates grooming of the olfactory organ in the spiny lobster, *Panulirus*

argus. Soc Neurosci Meeting 2012; New Orleans. Abstract 174.21

47 Qin B, Behringer D, Scro AK, Ross E, Uchida H, Yoshimura S, Tebben J, Harder T, Derby C,

Kamio M. The smell of Panulirus argus Virus 1 (PaV1) infection: disease-induced changes in

metabolites in urine and hemolymph of Caribbean spiny lobsters *Panulirus argus*. J Invert

Pathol. 2026; 214:108446. doi 10.1016/j.jip.2025.108446

48 Verheggen FJ, Haubruge E, Mescher MC. Alarm pheromones-chemical signaling in response

to danger. Vitam Horm. 2010; 83:215-39. doi: 10.1016/S0083-6729(10)83009-2

49 Wyatt TD. Pheromones and animal behavior: chemical signals and signature*s*. 2nd edition.

Cambridge: Cambridge University Press; 2014. doi 10.1017/CBO9781139030748

Fig2

Fig3

Fig4

Fig5

Fig6

Fig7

Fig8