**Diversity and evolution of a phase-variable multi-locus antigen in *Neisseria gonorrhoeae***

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Short title: Antigenic variation in *Neisseria gonorrhoeae*

**Abstract**

*Neisseria gonorrhoeae* is a sexually transmitted bacterial pathogen that deploys multiple

mechanisms to evade the immune system, including rapid variation in surface antigens. One of

the most abundant and diverse antigens is the Opacity (Opa) protein, a surface protein that

mediates gonococcal attachment to host receptors. Studies of Opa diversity and evolution have

been limited by the inability of short-read sequencing to resolve the multiple copies of *opa* in

each genome, preventing a comprehensive understanding of antigenic variation for vaccine

design and immunology studies. We assembled a dataset of 219 complete genomes from

diverse clinical isolates using long-read sequencing and developed bioinformatics and

phylogenetics tools to assess *opa* variation quantitatively. Each genome had on average 7

distinct *opa* alleles at 9 to 12 *opa* loci, and almost all isolates had at least one pair of identical or

near-identical *opa* genes. Fewer *opa* genes were in frame, and thus inferred to be expressed,

than expected due to chance. While genomic distance between isolates correlated with overall

*opa* allele sequence similarity, *opa* genes were on average 74 times more diverse than the rest

of the genome. One *opa* locus evolved more rapidly than the other loci. There was little

evidence that interspecies recombination contributed to *N. gonorrhoeae opa* diversity. Our

findings reveal a continuously evolving *opa* repertoire that leads to diverse *opa* alleles even in

closely related strains and indicate that there are likely unknown biological factors modulating

*opa* expression.

**Author Summary**

The rising levels of antibiotic resistance in *Neisseria gonorrhoeae* make controlling the spread of

this sexually transmitted pathogen a public health priority. *N. gonorrhoeae* rapidly varies surface

proteins to evade recognition by the human adaptive immune system. Understanding how these

proteins evolve may help us design better vaccines and control measures for curbing the spread

of gonorrhea. One of the most abundant surface proteins is the Opacity protein (Opa), which

helps *N. gonorrhoeae* bind to host cells upon colonization. Research efforts to understand the

evolution of Opa have been limited because it is encoded by multiple genes in the genome that

are not resolved by short-read sequencing technologies. Here, we resolved the genes that

encode Opa using a dataset of 132 publicly available complete genomes and 87 genomes that

we completed using long-read sequencing of diverse clinical isolates. We found that Opa

evolves rapidly to generate different versions of the protein in the same isolate, but very few of

these protein versions appear expressed. We also found evidence that there may be other,

uncharacterized mechanisms that control how these proteins evolve over longer timescales.

**Introduction**

In 2020, the World Health Organization (WHO) estimated there were more than 82 million cases

worldwide of gonorrhea, the sexually transmitted infection caused by the obligate human

pathogen *Neisseria gonorrhoeae*. Gonorrhea is a WHO priority pathogen due to increasing

levels of antibiotic resistance and no highly-effective vaccine [1]. Infection does not appear to

confer protection, such that individuals can be reinfected [2], even by the same strain [3],

reflecting *N. gonorrhoeae*'s escape from the host immune system [4,5].

One of the ways *N. gonorrhoeae* achieves this immune evasion is through rapidly varying its

antigens [6,7]. A major surface protein that undergoes this variation is the Opacity protein (Opa)

[8–11]. Opa proteins mediate gonococcal attachment to host receptors during colonization [12–

17] and may be involved in suppression of the host immune response, though the mechanisms

are as yet unclear [15,18]. These proteins are encoded by multiple highly diverse *opa* genes in

separate loci in each *N. gonorrhoeae* genome [10,19]. A series of pentanucleotide repeats in

the 5' end of the coding sequence determines whether each of these genes is in frame and fully

translated, and changes in the repeat copy number, likely from slip-strand repair, result in phase

variation [20].

Studies of *opa* variation and evolution have focused on small numbers of isolates or closely

related isolates [9,19,21]. These studies showed that *opa* genes have high sequence diversity

within and between isolates [9], recombination within isolates plays a key role in generating new

sequence diversity [11,21], and gene conversion events can lead to two identical or near-

identical *opa* alleles [20–22]. Bilek et al. [21] observed that one *opa* gene varied more in closely

related isolates than the other *opa* loci. There is also evidence that *opa* loss occasionally occurs

[21] and few *opa* are phase on in each isolate [20]. Phylogenetic analysis of *opa* from *N.*

*gonorrhoeae, N. meningitidis,* and the commensals *N. flava* and *N. sicca* showed that *opa*

clustered by species [9].

However, due to the small numbers of isolates in these studies, we lack generalizable

quantitative patterns and thus have a limited understanding of *N. gonorrhoeae opa* sequence

variation and evolution. Several obstacles have prevented studies of *opa* diversity in larger

numbers of isolates. Polymerase chain reaction can amplify the *opa* regions [19,21], but this

approach is challenging to scale up due to unknown primer coverage of the *opa* repertoire. *opa*

sequences cannot be resolved using short-read whole-genome sequencing platforms, such as

Illumina, as the multiple and highly similar *opa* loci are problematic for mapping and genome

assembly. Furthermore, the high diversity of *opa* has made it challenging to analyze sequences

using standard bioinformatics, phylogenetics, and phylodynamic approaches, in that methods

are designed primarily for single copy genes.

Long-read sequencing enables us to overcome these challenges. We combined publicly

available complete genomes with diverse genomes that we sequenced using the Oxford

Nanopore long-read platform. We developed bioinformatic and phylogenetic approaches for

defining the diversity and evolution of highly variable multilocus antigens and applied them to

the largest set of *opa* sequences to date to investigate *opa* variation. Finally, we developed a

sequence-based clustering approach for *opa* alleles in the effort towards establishing a

standardized nomenclature to facilitate comparison of *opa* alleles in future studies.

**Methods**

<u>Dataset of high-quality complete genomes</u>

*Publicly available complete genomes*

We searched for "*Neisseria gonorrhoeae*" in the National Center for Biotechnology Information

Genome Datasets (https://www.ncbi.nlm.nih.gov/datasets/genome/) and downloaded all

genomes with an assembly level of "chromosome" or "complete" on July 31, 2025. We

determined the sequencing technology and assembly method from the associated publications

(where available) and associated metadata available on NCBI. In the rare cases of

discrepancies between the publication and NCBI, we used the metadata reported in the

publication.

*Nanopore sequencing and genome assembly*

*N. gonorrhoeae* isolates were streaked from frozen stocks onto GCB agar (Difco) with Kellogg's

supplement [23] and grown at 37° C with 5% $CO_2$ overnight. Genomic DNA was extracted using

the Invitrogen PureLink Genomic DNA Mini Kit. We prepared the library using the Oxford

Nanopore Native Barcoding Kit 24 V14 (SQK-NBD114.24) and performed Nanopore

sequencing using a MinION Mk1B device. Basecalling was performed using Dorado v0.8.2 [24]

superaccuracy basecalling. Reads were demultiplexed using Dorado v0.8.2 demux and filtered

using Filtlong v0.2.1 (https://github.com/rrwick/Filtlong) to keep the 90% highest quality read

bases and only reads longer than 1kbp. Genomes were assembled using Autocycler v0.2.1 [25]

with 4 read subsets at 25x minimum depth and using the assemblers: Canu v2.2 [26], Flye

v2.9.5 [27], miniasm v0.3 [28], NECAT v0.0.1 [29], NextDenovo v2.5.2 [30], and Raven v1.8.3

[31]. Genomes that were fully resolved (one complete genome plus optional plasmids) and had

a length of at least 2 Mbp were kept, as we expect the length of the *N. gonorrhoeae* genome to

be 2.2 Mbp.

Identifying *opa* genes in complete genomes

Genomes were rotated using the rotate program v1.0 [32] to match the first 90 bases of *dnaA*

from FA1090 (NC_002946.2), allowing for up to 5 mismatches. We wrote a custom script to

identify *opa* genes that searched for the tandem pentanucleotide repeats (CTCTT) and a unique

conserved sequence near the stop codon [19,21]. Details of the algorithm are presented in the

Supplementary Information. The N-terminus of the mature protein was found by searching for

the sequence GCAAGTGA [20], allowing at most 2 substitutions. Only sequences that have an

identified start codon, stop codon, and N-terminus are determined to be intact *opa* genes. Intact

*opa* genes were given a number starting from 1 in the order that they appeared in the rotated

genome (i.e., FA1090 *opa1* is the first *opa* in FA1090 after *dnaA*). The *opa* sequence was

determined to be in frame if the number of nucleotides between the start codon and the N-

terminus was a multiple of 3.

*Comparison to other sequence search methods*

To confirm that we had identified all the *opa* genes in the genomes, we compared our method to

three additional approaches:

1.  We performed a search of the conserved region of the FA1090 *opa1* sequence between

the semivariable and hypervariable 1 regions using BLAST v2.14.1. We set the

maximum number of high-scoring segment pairs (local alignments) to keep as 15 for a

single query-subject pair and kept all other parameters at the default values. We

matched the hits from the BLAST results that were also found by our search algorithm

by merging the nearest start positions of the hits from the two search algorithms if they

were within 1200 nucleotides. BLAST hits that were not merged were identified as

putative additional hits found by BLAST not found by our search algorithm and we

manually inspected these sequences.

2.  We annotated the genomes using Prokka v1.14.6 [33]. We set the genus to "Neisseria"

and species to "gonorrhoeae" and kept all other parameters at their default values. We

noticed that all the *opa* genes identified with our method had the gene name *piiC_\**,

where \* was a number. We then checked if there were any additional genes annotated

as *piiC_\** that were not identified by our method.

3.  We used Roary v3.13.0 [34] to define the pan-genome and cluster genes. We set the

minimum percentage identity for the BLASTp program within Roary to be 90% and kept

all other parameters at their default values. We looked at gene clusters that included the

*opa* genes identified by our method to assess for additional genes in those clusters not

identified by our method.

*Identification of truncated* opa

To identify truncated *opa* that were missing the start and/or stop codon, we extracted the

sequences, including upstream and downstream sequences, of the hits found by BLAST that

were not found by our search algorithm and aligned the sequences with the FA1090 *opa*

sequences using MAFFT v7.520 [35]. We visualized the alignments using JalView v2.11.5.1

[36] to identify if each hit was a truncated *opa* compared to the FA1090 *opa* sequences*.*

*Genomic rearrangements*

Genomic rearrangements were detected using progressiveMauve v2.4.0 [37] by comparing

each complete genome (query) with the complete FA1090 genome (reference). We rearranged

the *opa* position in the query genome relative to the reference genome by reordering and

flipping regions of the query genome that fell into locally collinear blocks compared to the

reference. Regions of the query genome that did not fall into any locally collinear block with the

reference genome were not altered.

Whole genome phylogenies

*Generating reference-mapped pseudogenomes*

To generate reference-mapped pseudogenomes, we used short reads generated by, or

simulated to be generated by, Illumina sequencing. Sequencing reads from all publicly available

*N. gonorrhoeae* datasets were downloaded from the European Nucleotide Archive (**Table S3**

and https://github.com/qinqin-

yu/gonococcus_opa_diversity/blob/main/opa_diversity_snakemake/input_data/whole_genome_

metadata/global_isolates_metadata_met_qc.csv). For the publicly available complete genomes,

we used ART v2016.06.05 [38] to simulate paired-end short reads from the MiSeq v3

sequencing system with a read length of 250 bp, 80x coverage, mean DNA fragment size of 600

and standard deviation of 100. Reads were mapped to the NCCP11945 (NC_011035.1)

reference genome using BWA-MEM v0.7.17 [39]. Duplicate reads were marked with Picard

v3.0.0 (https://broadinstitute.github.io/picard/), and reads were sorted with SAMtools v1.17 [40].

The quality of the mapped reads was assessed using Qualimap's bamqc v2.2.1 [41]. We used

Pilon v1.24 [42] to call variants (minimum mapping quality 20 and minimum coverage of 10). To

create pseudogenomes, we replaced the reference allele with high quality variant calls (at least

90% of reads supporting the allele). Positions called as deletions by Pilon were replaced with a

gap character, and positions with low coverage or an indeterminate allele were replaced with an

N.

*Selecting representative global genomes*

To select representative global genomes from the publicly available *N. gonorrhoeae* isolates,

we included isolates with genomes meeting the following quality control filters: at least 80% of

reads mapped to the *N. gonorrhoeae* reference genome, the coverage of reads mapped to the

*N. gonorrhoeae* reference genome was >40X, fewer than 12% of the sites in the *N.*

*gonorrhoeae* reference genome were unable to be confidently called using our assembly

pipeline, and the *de novo* assembly length was 1.75 Mbp-2.5 Mbp. This yielded 21,653

genomes which were then clustered using PopPUNK v2.6.0 [43]. The isolate in each PopPUNK

cluster with the fewest contigs in its *de novo* assembly was chosen as the representative isolate

from that cluster, resulting in 737 representative genomes (**Table S4**).

*Recombination-masked phylogenies*

Recombination-masked phylogenetic trees were created using the reference-mapped

pseudogenomes in Gubbins v3.3.4 [44] using the GTR substitution model, the RAxML Next

Generation tree builder [45], a maximum of 20 iterations for the phylogeny of complete

genomes, and a maximum of 5 iterations for the phylogeny of representative and complete

genomes.

Quantifying *opa* diversity

*Comparison of within- and between-isolate opa diversity*

To compare within- and between-isolate *opa* diversity, we first translated the *opa* sequences

downstream of the coding repeats. We excluded all sequences with premature stop codons due

to frameshift mutations downstream of the coding repeats or nonsense mutations. We then

aligned the amino acid sequences using MAFFT v7.520 [35] with the default parameters. We

then calculated pairwise distances in the alignment for all pairs of *opa* sequences from different

isolates (between-isolate comparison) and all pairs of *opa* sequences from the same isolate

(within-isolate comparison).

*Similar* opa *within the same isolate*

To identify clusters of similar *opa* sequences from the same strain, we used the NetworkX

Python package (https://github.com/networkx/networkx) to create graphs where the nodes are

the *opa* sequences and the edges connect *opa* sequences with less than 5% difference in

amino acid sequence alignment. The clusters of similar *opa* sequences were identified as the

connected components in the graph. The percentage of isolates with at least one pair of similar

*opa* was determined by finding the isolates that contained at least one *opa* sequence with less

than 5% difference in amino acid sequence alignment with another *opa* sequence in the same

strain.

*Comparison of* opa *sequence distance and genomic sequence distance*

We calculated the *opa* sequence distance and the genomic distance between pairs of genomes.

To facilitate quantitative comparisons between pairs of genomes, only pairs of genomes with the

same number of *opa* genes were kept. The *opa* sequence distance was calculated using the

alignment generated above and pairing the *opa* sequences between the two genomes that had

the fewest number of segregating sites using a greedy algorithm (i.e., find the two closest *opa*

sequences, then find two next closest *opa* sequences, etc. If there are identical matches, then

one is chosen at random to be matched.). The total *opa* sequence distance was calculated by

summing the distances between each pair of *opa* sequences and dividing by the number of *opa*

genes (where a distance of 0 indicates the *opa* repertoire in the two genomes are identical and

a distance of 1 indicates that all sites in all *opa* genes in the two genomes are different).

The pairwise genomic sequence distance was calculated as the number of SNPs between pairs

of pseudogenomes divided by the length of the pseudogenome using pairsnp v0.3.1

([https://github.com/gtonkinhill/pairsnp](https://github.com/gtonkinhill/pairsnp)). Missing sites (indicated by N) were excluded in the

calculation of the SNP distance. Sites that were missing in some isolates but present in the two

isolates being compared were included.

*Other* Neisseria *species* opa

*Identifying* opa *genes*

We downloaded complete genomes ("chromosome" or "complete" assembly levels) from NCBI

RefSeq on February 13, 2025 using the search term "Neisseria" and filtered out all *N.*

*gonorrhoeae* genomes (**Table S5**). Genomes were rotated using the rotate program v1.0 [32] to

match the first 90 bases of *dnaA* from FA1090 (NC_002946.2), allowing for up to 5 mismatches.

If this sequence was not found, then the unrotated genome was used for downstream analysis.

This occurred in 60/198 (30%) genomes. We identified *opa* genes using the custom script that

we used for *N. gonorrhoeae*, which looks for the coding repeats and the unique conserved

sequence near the stop codon. To look for additional *opa* that were missed by our script, we

BLASTed the conserved regions of *N. gonorrhoeae* FA1090 *opa1*.

*Assessing sequence relatedness*

We calculated the k-mer distances using MASH v2.3 [46] with a k-mer size of 9 and used the

distances as input to create a neighbor joining tree using rapidNJ v2.3.2 [47].

<u>Clustering of variable region sequences</u>

*Definition of semivariable, hypervariable 1, and hypervariable 2 region sequences*

We aligned the nucleotide sequences of all *opa* genes using MAFFT v7.520 [35] with a gap

opening penalty of 4 and a gap extension penalty of 1. The nucleotide sequence of FA1090

*opa1* was compared to the sequences in Bhat et al. [19] to identify the semivariable,

hypervariable 1, and hypervariable 2 region sequences. The variable regions in the other *opa*

sequences were determined based on where they aligned to FA1090 *opa1*.

*Calculation of k-mer distance*

The k-mer distances between sequences in each of the semivariable, hypervariable 1, and

hypervariable 2 regions were calculated using MASH v2.3 [46] with a k-mer size of 6 for the

semivariable region and 7 for the hypervariable 1 and hypervariable 2 regions. These k-mer

sizes were chosen so that the probability of observing a random sequence of length k in a

random sequence the length of each region was 0.01. The p-value is the probability of

observing a given k-mer distance (or less) under the null hypothesis that both sequences are

random collections of k-mers.

*Clustering*

The variable region sequences were clustered using the Markov Cluster Algorithm (MCL)

v14.137 [48]. We constructed a distance matrix using the -log10 transformed p-value from

MASH and values larger than 200 were set to 200. We made these transformations following

the approach in TRIBE-MCL, which clusters proteins using -log10 transformed e-values from

BLAST [49]. The distance matrix was input into MCL, which then performs multiple rounds of

expansion and contraction to amplify large values and reduce small values of the matrix. The

number of rounds of expansion and contraction is set by the inflation parameter. We ran the

clustering using a range of inflation parameters: 1.4, 2, 4, 6, 8, 10, 12, and 14. We chose the

inflation parameter that gave a stable clustering, inspired by TRIBE-MCL. A stable clustering

was defined as having a percentage difference in clustering with the next lowest inflation

parameter as less than 1% for the semivariable and hypervariable 2 regions. For the

hypervariable 1 region, we relaxed the definition for a stable clustering as having less than a 5%

difference in clustering with the next lowest inflation parameter due to the large number of

clusters. The percentage difference in clustering is calculated as the sum of the number of

nodes (sequences) that must be exchanged to transform the two clusterings into each other

(also called the split/join distance) divided by two times the number of sequences. The

motivation for this approach is that TRIBE-MCL uses convergence of outputs to determine the

inflation parameter.

The cluster sequence logos were visualized by aligning the nucleotide sequences in each

cluster using MAFFT v7.520 [35] with the default parameters and plotting the sequence logos

with Logomaker v0.8 [50].

Ancestral state reconstruction

*Dated phylogeny*

Pseudogenomes were generated as described above but with the reference genome being one

randomly selected genome from the subtree. Regions of the genome under recombination were

detected using Gubbins v3.3.4 [44] (GTR substitution model, RAxML Next Gen tree builder, 20

iterations) and masked. The recombination-masked alignment was used to build a dated

phylogeny in BEAST2 v2.7.5 [51], for all isolates that had date of collection information. When

the date was given as a year, we set the date to January 1 of that year. We used a GTR

substitution model with gamma rate heterogeneity (4 categories), a strict clock model, a

coalescent constant population, and 100,000,000 chain length. Chains were inspected to

confirm good mixing (effective sample size greater than 200).

*Ancestral state reconstruction*

We performed ancestral state reconstruction for each of the loci and variable region cluster

types separately on the dated phylogeny using PastML v1.9.49 [52] with the default parameters.

Isolates that did not have an intact *opa* at a locus were not included for the inference at that

locus. If there were no changes to the variable region cluster type at a locus then the average

rate of cluster type changes was set to 0.

Phylogenies

Mutation-scaled phylogenies were visualized in iTOL v6.9.1 [53] using midpoint rooting. The

time-scaled phylogeny was visualized in FigTree v1.4.4

(http://tree.bio.ed.ac.uk/software/figtree/).

Statistical analyses

Statistical analyses and plotting were done in Python v3.13.1.

Data availability

The Nanopore sequencing reads and assembled complete genomes generated in this study are

available at the European Nucleotide Archive under study accession PRJEB106623:

http://www.ebi.ac.uk/ena/browser/view/PRJEB106623.

Code availability

Our code for performing the analyses has been compiled into a Snakemake [54] pipeline for

reproducibility. The code is available in GitHub (https://github.com/qinqin-

yu/gonococcus_opa_diversity).

**Results**

<u>A diverse set of 219 complete genomes of *N. gonorrhoeae*</u>

Because long-read only assemblies using older sequencing technologies have a higher error

rate, we filtered the publicly available complete genome assemblies to include only those

assembled using long-read and short-read data, which yielded 132 complete genomes (**Table**

**S1**). To complement the publicly available complete genomes of *N. gonorrhoeae*, we

sequenced an additional 87 isolates from across the *N. gonorrhoeae* phylogeny using Oxford

Nanopore long-read sequencing (**Figure 1, Table S2**). In total, this gave 219 complete

genomes.

Comparison of three long-read only assembly methods (see Supplementary Methods) on two

isolates whose genomes differed by 6648 SNPs showed that Autocycler performed the best,

with no changes to the *opa* sequences for read depths of 21-140x (**Figure S *1*** and

Supplementary Information). Polishing the Autocycler assemblies with short-read data did not

change the *opa* sequences at any read depth, showing that the long-read data was sufficient to

reconstruct the *opa* sequences. Further testing on a larger set of 11 diverse isolates indicated

that varying read depths resulted in differences in *opa* sequence for only 4 out of 119 *opa*

sequences in long-read only assemblies with Autocycler (3 SNPs and one *opa* that was

undetected or had large numbers of sequence differences; see **Figure S *2*** and Supplementary

Information).

### Identification of *opa* genes

Across the 219 complete genomes, we identified 2,359 full length *opa* genes. To determine if

we missed any *opa* sequences, we compared our approach to three standard strategies to

identify homologous genes: BLAST, Prokka, and pangenome clustering of the Prokka

annotations. Using BLAST to search for the conserved region of the *opa* gene did not identify

any additional intact *opa* genes compared to our method, but it did identify 35 additional

truncated *opa* genes and 2 *opa* genes with a mutation in the start codon (ACG instead of ATG).

Our method identified 8 *opa* genes not found by BLAST that were divergent from other *opa*

sequences. Gene annotations using Prokka identified the same 37 truncated *opa* genes or *opa*

genes with a mutation in the start codon found by the BLAST search, but no additional genes.

Our method identified 2 additional *opa* genes that were not annotated by Prokka. Pangenome

clustering identified the 37 truncated *opa* genes or *opa* genes with a mutation in the start codon

found by the BLAST search and one additional truncated *opa*. Our method identified 7 *opa*

genes not found in the pangenome clusters, including the 2 *opa* genes that were not annotated

by Prokka. In summary, our method of searching for *opa* genes identified the most

comprehensive set of *opa* genes from the genomes and, to our knowledge, did not miss any

intact *opa* genes.

**Figure 1**: **A diverse set of *N. gonorrhoeae* complete genomes.** Recombination-masked

phylogeny of the publicly available complete genomes (generated with long- and short-read

sequencing data, green) and the new complete genomes generated in this study (generated

with Oxford Nanopore sequencing, orange), in the context of a representative global collection

of *N. gonorrhoeae* isolates (generated with short-read sequencing data). The tree scale

indicates the number of recombination-masked SNPs.

<u>Consistent local genomic positioning of *opa* reveals patterns of copy number variation</u>

We next determined the number and locations of *opa* genes in each genome.  Out of 219

genomes, 5 genomes (2.3%) had 9 *opa* genes, 41 genomes (18.7%) had 10 *opa* genes, 172

genomes (78.5%) had 11 *opa* genes, and 1 genome (0.5%) had 12 *opa* genes. The genome

with 12 *opa* genes (WHO_T_2024) contained a duplication of 47,339 nucleotides with 2 SNPs

that led to the exact duplication of one of the *opa* genes. Based on the genomes in this study,

we observed *opa* losses a handful of times across the phylogeny (**Figure 2a**). In addition, there

were 35 sequences that contained part of an *opa* gene. Of these, 28 occurred in a

phylogenetically related cluster of isolates and were missing the last 260 nucleotides of the

gene, including the hypervariable 2 region, in the context of an approximately 400 nucleotide

deletion that also included 140 nucleotides of sequence downstream of the *opa* gene. These 28

clustered, truncated *opa* sequences were similar but not identical and occurred in similar

locations in the genome. There were also 2 sequences with a mutation in the start codon (ACG

instead of ATG) that otherwise were full length *opa* genes. All but one of the truncated *opa*

sequences and both *opa* sequences with a mutation in the start codon occurred in genomes

that had fewer than 11 intact and full length *opa* genes. If all genomes were to have at least 11

*opa*, then there would be at least 51 missing full length *opa* across the dataset, 37 of which are

accounted for here.

The genomic locations of *opa* genes were variable across genomes (**Figure S *3***), with a mean

distance of 59,460 nucleotides between *opa* gene loci across strains. We observed that the

pattern of genomic organization of *opa* loci clustered phylogenetically (**Figure 2a)**. To test

whether differences in *opa* locations were due to large-scale genomic rearrangements, we used

alignments to the reference strain FA1090 to detect and then correct for such rearrangements

(**Figure S *4***). We found 2,331/2,359 (98.8%) *opa* genes within locally collinear alignment blocks

with the FA1090 genome. The mean distance between *opa* locations in the rearrangement-

corrected assemblies decreased to 2,886 nucleotides (**Figure 2a, Figure S *3***). The consistency

of *opa* locations in the rearrangement-corrected assemblies allowed us to define 11 loci, which

we named in the order that they appeared in FA1090 from *opaA* to *opaK* (**Figure 2b**). Loss of

full-length *opa* genes occurred most often in *opaB* (16% of all isolates), *opaK* (9% of all

isolates), and *opaI* (5% of all isolates) (**Figure 2c**). There were 15/2,359 (0.6%) *opa* that did not

fall within these 11 loci.

Of the 2,359 *opa* genes, 156 (6.6%) had a frameshift mutation after the end of the coding repeat

sequence, leading to a stable premature stop codon independent of phase variation. This

included at least one *opa* gene in 51/132 (39%) of publicly available genomes and 29/87 (33%)

genomes sequenced in this study. None of the superseded WHO reference strains [55] had

these frameshift mutations. For the genomes sequenced in this study, there was a negative

correlation between the sequencing coverage and the number of *opa* with these frameshift

mutations (**Figure S *5*a**, Spearman correlation coefficient -0.45, $p=10^{-5}$), suggesting that some

of these frameshift mutations may be due to sequencing error. The premature stop codons were

concentrated in three locations in the *opa* gene (**Figure S *5*b**) and closer inspection of the

nucleotide sequences revealed that variations in the semivariable region sequence upstream of

the premature stop codon led to the frameshift mutations.

**Figure 2: Consistent local genomic positioning of *opa* reveals patterns of copy number variation.** (a) Recombination-masked phylogeny of complete genomes annotated with the number of intact *opa* genes (contain start codon, stop codon, and N-terminus) in each genome. The genomic locations of the *opa* genes are shown in the middle panel and the rearranged genomic locations of the *opa* genes are shown in the right panel. The reference genome (FA1090) is shown with a blue dot on the phylogeny. The tree-scale indicates the number of recombination-corrected SNPs. (b) The distribution of number of *opa* genes by rearranged genomic position. The loci were named *opaA* to *opaK* based on the FA1090 genomic order. *opa* genes that fell within the light-gray shaded background regions were assigned the corresponding name(s). Where multiple *opa* genes occurred in proximity (for example, A and B; C, D, and E; or G, H, and I), the *opa* genes within each genome were assigned in the order that they appeared in the light-gray shaded region (i.e., the first *opa* gene is C, the second *opa* gene

is D, and the third *opa* gene is E). This was done to account for slight shifts in *opa* location

across genomes. (c) *opa* loci occupancy.

<u>Diversity of *opa* alleles within genomes, across genomes, and compared with genome diversity</u>

*opa* genes had almost as much within-isolate diversity as between-isolate diversity, as

measured by pairwise amino acid sequence identity (**Figure 3a**). The number of distinct *opa*

allele types per isolate, as defined by having less than 95% amino acid identity, ranged from 3

to 10, with a mean of 7 (**Figure 3c**). The Shannon diversity of *opa* types in each isolate ranged

from 1.03 to 2.27 with a mean of 1.84 (**Figure S *6***). There were more instances of similar *opa*

genes in the same isolate than in different isolates, and 96.8% (212/219) of isolates had at least

one pair of *opa* genes with more than 95% amino acid sequence identity (**Figure 3b**). One

isolate (NG250) had 7 *opa* genes with >95% amino acid sequence identity and three isolates

(CT213, TUM15748, and WHO_T_2024) each had 6 *opa* genes with >95% amino acid

sequence identity. Thirteen isolates had 4 pairs of *opa* genes with >95% amino acid sequence

identity (

485
**Figure S 7**). *opa* sequence similarity correlated with genome similarity (Spearman correlation

coefficient 0.24, p < 0.001) (**Figure 3c**). In pairs of non-identical isolates, the number of sites in

the *opa* genes that differed was 13-3,294x higher (mean: 74x higher) than the number of whole

genome sites that differed, showing that *opa* genes are substantially more diverse than the rest

of the genome (**Figure 3d**).

**Figure 3**: **Diversity of *opa* alleles within genomes, across genomes, and compared with**

**genome diversity.** (a) The distribution of the pairwise amino acid distance between *opa* genes

in the same isolate (red) and in different isolates (blue). The distribution of within-isolate *opa*

diversity was similar to the distribution of between-isolate *opa* diversity, except for the presence

of more near-identical *opa* genes in the same isolate. (b) The percentage of isolates with at

least 2 *opa* sequences above a given amino acid sequence identity. Nearly all (97.2%) isolates

have at least 2 *opa* sequences with >95% amino acid sequence identity. (c) The distribution of

the number of distinct *opa* allele types per isolate, defined as less than 95% amino acid

sequence identity. (d) Comparison of *opa* sequence distance and genomic sequence distance

in pairs of complete genomes with the same number of *opa* genes. Each point represents one

pair of complete genomes with the same number of *opa* genes. A distance of 0 indicates

identical, and a distance of 1 indicates every site is different. The Spearman correlation

coefficient and p-value are shown in the upper left. (e) The same data as in (d) but with the *opa*

sequence distance divided by the genomic sequence distance on the y axis.

<u>Fewer *opa* genes are in frame than expected by chance</u>

193/219 (88%) isolates had at least 1 *opa* gene in frame (**Figure 4**). The mean number of *opa*

genes in frame across all isolates was 1.7 and the maximum of *opa* genes in frame in any

isolate was 5. The mean number of in-frame *opa* genes per isolate was significantly lower

(p<0.001, Wilcoxon signed-rank test) than expected from a simple null model where each *opa*

has a 1/3 chance of being in frame (mean of 3.4 in-frame *opa* genes per isolate in null

distribution). *opa* genes that were more than 95% identical in amino acid sequence to at least

one other *opa* in the same isolate were 1.54 times less likely to be in frame than other *opa*

genes in the isolate (p<$10^{-4}$) (**Figure S 8**).

**Figure 4**: **Fewer *opa* genes are in frame than expected by chance.** The distribution of in-

frame *opa* genes per isolate.

*N. gonorrhoeae opa* are phylogenetically distinct from *Neisseria* species *opa*

As *N. gonorrhoeae* can gain new genetic diversity through interspecies mosaicism [56,57], we

evaluated the possible contribution of other *Neisseria* to *N. gonorrhoeae opa* diversity (**Figure S**

**9**). Our approach to searching for the *opa* genes in *Neisseria* species using conserved

sequences identified the same number of intact *opa* genes as BLAST, and BLAST identified

one additional truncated *opa* gene. Most *N. meningitidis* isolates had 4 full length *opa* (115/136

isolates), and more rarely 3 full length *opa* (19/136 isolates) or 5 full length *opa* (2/136 isolates).

*N. flavescens* and *N. lactamica* isolates had 2 full length *opa* (1 isolate of *N. flavescens* and 4

isolates of *N. lactamica*), and *N. polysaccharea* had 1 full length *opa* (1 isolate) (**Figure 5a**).

Multiple *Neisseria* species had partial *opa* genes that contained the C-terminal region of the *opa*

gene only: *N. animalis* (2 isolates with 2 partial *opa* each), *N. arctica* (1 isolate with 2 partial

*opa*)*, N. brasiliensis* (1 isolate with 1 partial *opa*)*, N. sicca* (1 isolate with 1 partial *opa*, 2 isolates

with no *opa*)*, and N. zalophi* (1 isolate with 1 partial *opa*). Additionally, 11/136 *N. meningitidis*

isolates had a partial *opa* which all have the same sequence (including the upstream region). *N.*

*gonorrhoeae opa* were phylogenetically distinct from *opa* from other *Neisseria* species, except

for one allele, which was found in 8 *N. gonorrhoeae* isolates. This allele clustered with, and its

sequence was similar to, commensal *Neisseria opa* (**Figure 5b**).

**Figure 5**: ***N. gonorrhoeae opa* are phylogenetically distinct from other *Neisseria* species**

***opa.*** (a) The distribution of the total (partial and intact) and intact number of *opa* loci by species.

(b) k-mer distance-based neighbor-joining phylogeny of the *opa* sequences in all *Neisseria*

species. *N. gonorrhoeae opa* are phylogenetically distinct from *opa* sequences from other

*Neisseria* species, with the exception of one allele that clustered with other *Neisseria* species

*opa* (inset, which shows zoom of the boxed section of the phylogeny). The tree scales indicate

the k-mer distance.

The rate of *opa* evolution is dependent on the genetic locus

To estimate the rate of evolution, we first clustered *opa* by sequence similarity (**Figure S *10***).

Because of known recombination-mediated shuffling [10,11] of the semivariable, hypervariable

1, and hypervariable 2 sequences (**Figure S *10*a**), we clustered each of these regions

separately using k-mer distances and a network clustering approach (**Figure S *10*b**). The

clustering resulted in 6 semivariable region clusters, 21 hypervariable 1 region clusters, and 9

hypervariable 2 region clusters (**Figure S *10*c**). The nucleotide k-mer distances for sequences

in the same cluster are lower than the distances for sequences in different clusters (**Figure S

*11***), and examination of the sequences shows that each cluster has a distinct motif (**Figure S

*12*-14**).

We reconstructed the ancestral states of the *opa* cluster types on a dated phylogeny to estimate

the rate of *opa* evolution. We focused on the only clade in the phylogeny of complete genomes

that had densely sampled isolates (branch length less than 200 recombination-corrected

mutations and more than 20 samples) (**Figure 6a**). The inferred substitution rate, $3.1 \times 10^{-6}$

$[1.7 \times 10^{-6}, 4.4 \times 10^{-6}]$ substitutions per chromosome per year, fell within the rate expected for *N.*

*gonorrhoeae* [58]. The rate of cluster type changes was substantially higher for *opaK* compared

to the other *opa* loci (**Figure 6b**). The cluster types that appeared in *opaA* and *opaK* were

similar, showing that the difference in the rates of cluster type changes between the two loci

was due to different rates of shuffling between the same cluster types (**Figure S *15***). *opaK* was

not significantly more in frame than the other *opa* loci (**Figure S *16***).

**Figure 6**: **The rate of *opa* evolution is dependent on the genetic locus**. (a) Dated phylogeny

of the clade in **Figure 2a** with densely sampled isolates (branch length less than 200

recombination-corrected mutations and more than 20 samples). Error bars represent the 95%

highest posterior density of the node heights. (b) Rate of semivariable, hypervariable 1, and

hypervariable 2 cluster type changes by *opa* locus for subtree depicted in (a). The gray shaded

region denotes that *opaB* was missing from all isolates in this subtree. In other loci, there were

no more than 2 isolates missing each *opa*.

<u>Correlation of hypervariable 1 and hypervariable 2 region alleles</u>

Using the cluster typing, we were also able to assess whether certain hypervariable 1 and

hypervariable 2 alleles appeared together more often than expected by chance (**Figure S *17***).

We accounted for isolate sampling and population structure by randomly sampling 1 isolate per

whole genome cluster 100 times. For each subset of representative isolates, out of 100

randomizations of the data, the actual data always showed higher levels of association between

hypervariable types than the randomized data.

**Discussion**

<u>Quantitative assessment of *opa* diversity, expression, and evolution</u>

Prior to this study, there were several open questions about *opa* genes in *N. gonorrhoeae*: how

are *opa* genes organized in the genome? Are there patterns in their diversity and expression?

How much *opa* diversity is there in the population? How do *opa* evolve? Here, we have

advanced our understanding for each of these questions using a new diverse dataset of

complete *N. gonorrhoeae* genomes.

*Genomic rearrangements shuffle* opa *position*

Genomic rearrangements shuffle *opa* position in the genome, but by accounting for them, we

were able to assign consistent *opa* loci. Genomic rearrangements in closely related isolates

happened very rarely, but there is space for the locus assignment approach to be updated if we

see more examples of genomic rearrangements in closely related isolates.

*Gain and loss of* opa *occurred repeatedly*

While most isolates had 11 *opa* genes, we found a handful of instances of *opa* loss and gain.

The loss events occurred across the phylogeny. In some instances, we saw examples of the

gene loss events passed on to progeny. More than 50% of these loss events were explained by

truncated *opa* genes. We were unable to identify the mechanism for the other loss events.

Furthermore, in intact *opa* sequences, we observed frameshift mutations occurring downstream

of the coding repeat sequence leading to a premature stop codon, but we were unable to

determine how many of these frameshift mutations were due to sequencing error. In one isolate,

we identified 12 *opa* genes, which seemed to be due to a recent genomic duplication event that

exactly duplicated another *opa* gene in the genome. The fact that we only observed one isolate

with 12 *opa* suggests that the expansion of *opa* number is rare.

*Within-isolate* opa *diversity is generally high, with some exceptions*

The diversity of *opa* alleles within isolates was generally high, with the average isolate having 7

distinct *opa* alleles, but there were some isolates with as few as 3 distinct *opa* alleles. This

suggests that there is some pressure to have diverse *opa* alleles within an isolate. At the same

time, almost all isolates had at least two near-identical *opa* alleles, possibly as a result of gene

conversion, suggesting there may be a balance between maintaining diversity and maintaining

function while diversifying.

*Fewer* opa *expressed than expected by chance*

Across the population, fewer *opa* appeared to be expressed based on the sequence than

expected by chance. Furthermore, near-identical *opa* genes in the same isolate tended to be

out of frame more often than unique *opa* genes. Our results suggest mechanisms other than

chance alone in guiding the patterns of phase variation, for example, regulation of changes in

repeat number or selection against isolates with many *opa* alleles being expressed. While these

mechanisms are yet unknown, previous work suggested that promoter strength may play a role

in regulating *opa* phase [59] and there may be selection against *opa* expression in certain

environments, for example the presence of progesterone [60–62].

opa *diversity is correlated with and higher than genome diversity*

*opa* genes are on average 74 times more diverse than the rest of the genome. More closely-

related isolates have more similar *opa* sequence repertoires. In the context of reinfections, our

results suggest that when reinfections occur with the same or a closely related isolate, there will

be a similar *opa* repertoire. We speculate that if there is immunological memory to the *opa* that

are expressed, then different *opa* are turned on in subsequent reinfections, an idea that is also

supported by evidence of variation in *opa* expression over the course of single infections in

human challenge studies [63,64]. When reinfections occur with different isolates, there will likely

be different *opa* alleles expressed.

*Interspecies recombination does not appear to play a large role in generation of* opa *diversity*

*N. gonorrhoeae opa* allelic diversity did not overlap with *opa* from other *Neisseria* species. While

sequencing more complete genomes may lead to more observations of interspecies mosaicism,

the separate clustering of *N. gonorrhoeae* and *N. meningitidis opa* in our dataset and in Malorny

et al. [9] suggests phylogenetic separation of *opa* sequences between species. The separate

clustering of *Neisseria* species *opa* may be due to the different functional constraints of host

receptor binding or differences in flanking regions of *opa* genes from different species that

prevent homologous recombination from occurring between species.

*Variation in rate of* opa *variation across loci*

*opaK* varies more rapidly than other loci, but it is not significantly more in frame in our dataset.

The differences in rates of evolution by *opa* locus may be due to variability in the accessibility of

each locus for recombination or other diversity generating processes (i.e., structural

accessibility). The changes in *opaK* tend to reflect recombination among existing *opa* types,

suggesting that diversity is mostly generated through shuffling of existing sequences. Bilek et al.

[21] suggested a possible connection between *opa11* (*opaK* in this study) variation and the

neighboring *pilE* Pilin expression gene variation, but noted this may not be causal.

*Hypervariable region 1 and 2 alleles are more correlated than expected by chance*

Hypervariable region 1 and 2 alleles in *N. meningitidis* are more correlated than expected due to

chance [65]. We showed that this phenomenon also occurs in *N. gonorrhoeae*. The non-random

association of hypervariable alleles is consistent with predictions of a mathematical model of

strong immune selection [66], physical linkage on the chromosome, and selection for particular

combinations of hypervariable alleles for functional reasons (i.e., to bind to specific host

receptors)[67].

<u>Limitations of our study</u>

There are several limitations to our study. First, the number of complete genomes sequenced

was limited, possibly missing some *opa* diversity. Second, gonococcal passaging during

culturing may have changed the phase of *opa* genes. Culture-independent sequencing that

resolves *opa* phase *in vivo* will add to our understanding of patterns of *opa* expression. Third,

there is limited data on *opa* function, with only a few *opa* sequences characterized for their

binding to host receptors and antibody recognition [15]. While the clustering nomenclature for

*opa* that we developed is entirely sequence based, we expect closely related sequences to

have more closely related functions on average. In the future, functional data can be

incorporated to refine the *opa* clustering definitions. Fourth, we were limited in the estimation of

the rate of evolution to only a single densely sampled subtree. There may be differences in the

rate of *opa* evolution across genomic backgrounds.

<u>Model</u>

Taken together, our results support a model of *opa* variation where on short timescales phase

variation modulates the translation of highly diverse *opa* alleles, typically with very few *opa*

being expressed at one time. On long timescales, recombination, gene conversion, mutation,

and gene gain and loss lead to changes in the *opa* repertoire, with the rate of these processes

dependent on the genetic locus (**Figure 7**). The rapid rate of phase variation and diversification

will likely lead hosts to be exposed to different Opa types upon reinfection and may allow

antigenic escape in vivo in chronic or undiagnosed infections.

**Figure 7**: **Model of *opa* variation and evolution supported by our results.** (a) On short

timescales, phase variation modulates the expression of highly diverse *opa* alleles within

isolates, typically with very few *opa* being expressed at one time. (b) On long timescales,

recombination, gene conversion, mutation, and gene gain and loss within species in a locus-

dependent manner lead to changes in the *opa* repertoire.

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**Supplementary figures**

**Figure S 1: Comparison of *opa* sequences across long-read assembly and polishing**

**methods**. The number of changes in *opa* genes at 4 read coverage levels using 7 different

assembly and polishing procedures. There are two points of the same color in each coverage

level, indicating two different isolates. The changes at 21x for methods 1 and 2 include one SNP

in one isolate's genome and one single base insertion in the other isolate's genome.

**Figure S 2: More extensive comparison of *opa* sequences in Autocycler assemblies across 11 diverse isolates at 4 read coverage levels**. The number of changes in *opa* genes at 4 read coverage levels using Autocycler. For each isolate, the reads were randomly subsampled 10 times at each read coverage (replicates) and an assembly was created with the subsampled reads using Autocycler. The size of the point indicates the number of replicates. The changes at 50x coverage include one SNP in two separate genomes and one undetected *opa*, the change at 75x was multiple sequence differences in one genome, and the change at 125x coverage was one SNP in one genome.

**Figure S 3**: **The distance between *opa* genes is higher in the original positions than after**

**accounting for genomic rearrangements**. The distances are calculated as the distance

between each *opa* in the reference genome FA1090 to the *opa* that is closest in genomic

position in all other isolates that had 11 *opa*.

**Figure S 4:** Schematic of genomic rearrangement procedure (see Methods). The shaded

colored regions are the locally collinear blocks (LCBs). The LCBs that appear above the gray

line are on the forward strand and those that appear below the gray line are on the reverse

strand. A triangle pointing to the right indicates an *opa* gene on the forward strand and a triangle

pointing to the left indicates an *opa* gene on the reverse strand.

**Figure S 5: A subset of *opa* genes exhibit frameshift mutations after coding repeats**

**leading to a premature stop codon**. (a) The approximate genome sequencing coverage and

number of *opa* in the genome with frameshift mutations downstream of the coding repeats. (b)

The locations of the stop codons in the *opa* genes with frameshift mutations downstream of the

coding repeats. The maximum value of the x-axis is set at the average length of *opa* amino acid

sequences.

**Figure S 6: Shannon diversity of *opa* types within isolates**.

**Figure S 7**: **Genomes exhibit distinct patterns of similar *opa*s.** All isolates with complete

genomes are shown on the x-axis. The points indicate groups of *opa* genes in the same isolate

with >95% amino acid sequence identity. The y-axis shows the number of similar *opa* genes in

each group. The size and color of the point indicate the number of distinct groups of each size in

the genome. The x-axis is sorted first by the maximum number of similar *opa* genes in any

group and then by the maximum number of groups. The plot is split into three rows for

readability.

**Figure S 8: The *opa* that are unique in an isolate are more likely to be in frame than the**

***opa* that are redundant.** (a) The percentage of *opa* that are in frame for *opa* that are unique

within an isolate (<95% amino acid similarity) or redundant within an isolate (≥95% amino acid

similarity). (b) The ratio of the percentage of unique *opa* in frame to the percentage of redundant

*opa* in frame for $10^4$ randomizations of the data. The randomization procedure permuted which

*opa* are labeled redundant, keeping the same total number of redundant *opa* across all isolates.

Zero randomizations gave ratios as high as in the actual data (ratio of 1.54, indicated by the

vertical dashed black line) yielding a p-value of less than $10^{-4}$.

**Figure S 9. The number of publicly available complete genomes by species from the**

*Neisseria* **genus.**

**Figure S 10: Network-based clustering approach for semivariable and hypervariable *opa***

**sequences.** (a) Schematic of the *opa* gene. The exact length and locations of the gene features

varies across *opa* genes; depicted here is FA1090 *opa1*. CR: coding repeat, SV: semivariable

region, HV1: hypervariable 1 region, HV2: hypervariable 2 region. (b) Summary of the approach

to clustering variable region sequences. For each variable region (semivariable, hypervariable

1, and hypervariable 2), we calculated the k-mer distances between all sequences using MASH,

setting k such that the probability of finding a random k-mer in each sequence is 0.01. We

performed successive rounds of inflation (expansion and contraction) on the distance matrix,

which amplifies high values of the matrix and suppresses low values of the matrix. We chose

the lowest inflation parameter that gave a stable clustering. (c) The distribution of the cluster

types for the sequences in each variable region.

**Figure S 11: Sequences generally are more similar within clusters than between clusters and clusters have distinct sequence motifs.** The mean nucleotide distance between pairs of sequences in the same and different clusters in the semivariable (a), hypervariable 1 (b), and hypervariable 2 (c) regions.

990 **Figure S 12**: The sequence logos for each cluster of the semivariable sequences. The

991 nucleotide sequences were aligned using MAFFT in each cluster. The height of the nucleotides

992 represents the number of sequences with each nucleotide.

**Figure S 13**: The sequence logos for each cluster of the hypervariable 1 sequences. The

nucleotide sequences were aligned using MAFFT in each cluster. The height of the nucleotides

represents the number of sequences with each nucleotide.

**Figure S 14**: The sequence logos for each cluster of the hypervariable 2 sequences. The nucleotide sequences were aligned using MAFFT in each cluster. The height of the nucleotides represents the number of sequences with each nucleotide.

**Figure S 15: *opaA* and *opaK* have similar cluster types that change at different rates.** The dated phylogeny of the subtree shown in **Figure 6b** annotated with the cluster types for the

1009 semivariable (a-b), hypervariable 1 (c-d), and hypervariable 2 (e-f) regions shown as colored

1010 circles for *opaA* (a, c, e) and *opaK* (b, d, f). The colors are comparable within each sequence

1011 region across loci (e.g., the same color scheme is used for the semivariable region of both *opaA*

1012 and *opaK*) but not between sequence regions. The colored circle annotations at the tips

1013 represent the cluster types of the isolates, and the colored circle annotations in the internal

1014 nodes represent the inferred ancestral state by PastML. The cluster types that are present in

1015 *opaA* and *opaK* are similar, but more closely related isolates have more similar cluster types in

1016 *opaA* compared to *opaK*.

1017

1018 **Figure S 16: No significant differences in fraction in of *opa* in frame by locus.** One-sided

1019 proportions *Z*-tests with a Bonferroni multiple hypothesis correction comparing the fraction of

1020 *opa* in frame at each locus (1) to the total fraction of *opa* in frame across all loci and (2) to the

1021 fraction of *opaK* alleles that are in frame are not significant.

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1023

**Figure S 17: Non-random association of hypervariable 1 and hypervariable 2 allele types**

**in *opa* genes, as shown for one representative random subset of 1 isolate per BAPS**

**cluster.** (a) The number of each combination of hypervariable 1 and hypervariable 2 types in

*opa* alleles after accounting for isolate sampling and population structure. The columns of the

matrix were rearranged to give the largest matrix trace (padding with columns of all zeros to

make a square matrix). (b) The same data representation in (a) but after randomizing the

assignment of hypervariable 2 types across the *opa* alleles. (c) The distribution of the maximum

sum of the diagonal matrix elements (allowing for column rearrangements) in 100

randomizations of the hypervariable 2 type (blue histogram) compared to the actual data (black

dashed line).

1034

1035 **Supplementary Information**

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1037 <u>Supplementary Methods</u>

1038

*Short-read sequencing*

Short-read sequencing for EEE029 was performed in Bristow, Mortimer, et al. [68] and short-

read sequencing of UMASS-DGI_65 was performed using the same method. Briefly, DNA was

extracted from bacterial cells grown overnight on GCB-K plates at 37°C with 5% carbon dioxide

using the Invitrogen PureLink Genomic DNA Mini Kit. Libraries were prepped and sequenced on

the Illumina NextSeq 2000 sequencer at the Microbial Whole Genome Sequencing Center or

the Bauer Core Facility at Harvard University.

1046

*Comparison of long-read assembly and polishing methods*

To develop a pipeline for assembling complete genomes to minimize errors in the *opa* genes,

we used the following assembly and polishing approaches using the filtered long-reads from

Oxford Nanopore (see Methods) and short reads generated by Illumina NextSeq: Flye v2.9.5

[27], Medaka v2.0.1 (https://github.com/nanoporetech/medaka), Polypolish v0.6.0 [69], Pypolca

v0.3.1 [69], and Autocycler v0.2.1 [25]. We tested the following workflows:

1. Long-read only assembly with Flye.

2. Long-read only assembly with Flye → long-read polishing with Medaka

3. Long-read only assembly with Flye → long-read polishing with Medaka → short-read

polishing with Polypolish default

4. Long-read only assembly with Flye → long-read polishing with Medaka → short-read

polishing with Polypolish default followed by short-read polishing with Pypolca careful

5. Long-read only assembly with Autocycler

6. Long-read only assembly with Autocycler → short-read polishing with Polypolish default

7. Long-read only assembly with Autocycler → short-read polishing with Polypolish default

→ short-read polishing with Pypolca careful

We used the genomes UMASS-DGI_65 and EEE029 which had sequencing depth of around

140x. To test how sequencing depth would affect the *opa* sequence accuracy, we randomly

subsampled the reads using the seqtk package v1.4 (https://github.com/lh3/seqtk) to 15% (21x),

30% (42x), and 60% (84x), and compared to the results including 100% of the reads (140x). For

each read subset, we assembled the genome using the approaches above and identified the

*opa* sequences as described in the Methods. We aligned the resulting *opa* sequences using

MAFFT v7.520 [35] with the default parameters.

1070

*More extensive testing of Autocycler with different read depth across diverse isolates*

We selected 11 phylogenetically diverse isolates with at least 125x coverage using Treemmer

v0.3 [70]. We created 10 random read subsets using the seqtk package at 50x, 75x, 100x, and

125x coverage. We assembled the genomes using the following approaches. We assembled

the genomes (using long reads only) using Autocycler and followed the approach above to

compare *opa* sequences.

1077

*Algorithm to search for* opa *genes in the complete genomes*

We wrote a custom python script to identify *opa* genes. First, we searched for 3 to 100 tandem

repeats of the CTCTT pentanucleotide with at most 2 substitutions across the entire sequence,

which we will refer to as the coding repeats (CR), similar to the approach used in Bilek et al.

[21]. We then searched for the unique conserved sequence near the stop codon

(TGCGCTACCGCTTCTGAT) with at most 2 substitutions, which we will refer to as the term

sequence. Pairs of CR and term sequences were matched if they were separated by less than

1200 bp in the genome. For term sequences that were unpaired, a more lenient search was

performed for the upstream CR where we allowed up to 1 error for each CR unit (substitution,

insertion, or deletion) to catch CR units that contained mutations. If there was still not a

matching CR found, it could have been that the *opa* did not have a CR; in this case, we looked

for the sequence found directly upstream of the CR consisting of a poly-A sequence of length 5-

7 followed by CCTT and allowed one error (substitution, insertion, or deletion).

1091

The start codon (ATG) was identified in the 50 bp upstream of the start of the CR sequence and

the stop codon (TGA) was contained in the term sequence. Occasionally, the beginning and end

of the CR region was not defined precisely with the above procedure due to errors in the CR

(substitution, insertion, or deletion). Thus, the start of the CR was made more precise by finding

the upstream sequence (see end of previous paragraph). If this sequence was not found, then

the start of CR from earlier was used. Similarly, the end of the CR was made more precise by

searching for the downstream sequence, which is CCG, allowing for the first C to be optional

and 1 additional error.

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1101

1102 *Calculation of the randomness of hypervariable 1 and hypervariable 2 allele types*

1103

1104 We dropped duplicate *opa* genes in the same genome as determined by having the same

1105 semivariable, hypervariable 1, and hypervariable 2 cluster type combinations to remove the

1106 effect of recent gene conversion events that have not yet had the time to be subject to selection.

1107

1108 We accounted for isolate sampling and *N. gonorrhoeae* population structure by partitioning the

1109 recombination-corrected phylogeny of complete genomes using fastbaps v1.0.8 [71] with the

1110 BAPS prior. We randomly selected 1 isolate from each BAPS cluster.

1111

We determined the number of times each hypervariable 1 and hypervariable 2 cluster types

appeared together in all *opa* genes in the subsampled isolates and created an association

matrix where the rows were hypervariable 1 types, the columns were hypervariable 2 types, and

the element values were the number of times each combination appeared. Because the number

of hypervariable 1 and hypervariable 2 types were unequal, we padded columns with zeros to

give a square matrix.  We determined the degree of association by rearranging the columns of

the association matrix to maximize the sum of diagonal entries using the Munkres algorithm

(https://github.com/bmc/munkres?tab=readme-ov-file, v1.1.4). To determine whether the

observed data could be random, we randomized the hypervariable 2 cluster types across the

*opa* genes 100 times. We compared the maximum sum of diagonal elements from the observed

data and the randomized data. We then repeated this process by performing 99 random

subsamples of the isolates in each BAPS cluster.

1124

*Quantification of frameshift mutations downstream of the coding repeats leading to a premature*

*stop codon*

We identified all *opa* amino acid sequences that had a premature stop codon after the end of

the coding repeat sequence. The approximate genome coverage was calculated as the number

of input read bases divided by the number of consensus assembly bases. To identify the

location of the frameshift mutations, the nucleotide sequences were aligned with MAFFT v7.520

[35] with the default parameters and visualized in Jalview v2.11.4.1 [36].

1132

1133 Comparison of long-read assembly and polishing methods

1134 We first compared multiple assembly and polishing approaches, including Flye, Medaka,

1135 Polypolish, Pypolca, and Autocycler at 4 read depths (21x, 42x, 84x, and 140x) for two

1136 genomically diverse isolates. The *opa* sequences were identical across assemblies except for 1

1137 SNP in UMASS-DGI_65 *opa7* and a 1 base insertion in EEE029 *opa11*, both of which occurred

in methods 1 (long-read assembly with Flye) and 2 (long-read assembly with Flye followed by long-read polishing with Medaka) for a read depth of 21x (**Figure S *1***). This analysis suggested Autocycler was the best performing assembly method and that polishing the Autocycler assemblies with short reads did not affect the *opa* sequences. However, because we only tested 2 genomes, we wanted to expand the analysis to include more isolates that are representative of *N. gonorrhoeae* diversity and to subset the reads to test lower read depths more systemically.

In our more extensive testing of Autocycler using 11 diverse isolates and 4 read depths (50x, 75x, 100x, and 125x) the *opa* sequences were identical across assemblies except for the following differences (always compared to 125x coverage assembly) (**Figure S *2***):

- EEE023 *opa4* had 1 SNP in 10/10 assemblies with 50x coverage.
- FFF007 *opa11* was not detected in 10/10 assemblies with 50x coverage due to a missing stop codon and had multiple sequence differences in 10/10 assemblies with 75x coverage.
- GCGS0313 *opa1* had a 1 base deletion in 9/10 assemblies with 125x coverage
- GCGS0313 *opa5* had 1 SNP in 10/10 assemblies with 50x coverage

Despite these changes in a small number of *opa* sequences, we concluded that most *opa* sequences were identical across read depths and that Autocycler assemblies were good enough for our purposes of looking at diversity and evolution across a large set of *opa* genes.

**Supplementary Tables**

**Table S1. Publicly available complete genomes.**

**Table S2. Complete genomes sequenced in this study.**

1163     **Table S3. References for publicly available *N. gonorrhoeae* short-read sequencing data**

1164     **meeting quality control thresholds for selection of representative draft genomes.**

1165     **Table S4. Representative *N. gonorrhoeae* draft genomes.**

1166     **Table S5. Publicly available *Neisseria* species complete genomes.**

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