A postmeiotic route to stepwise polyploidy

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Abstract

Polyploidy, the presence of multiple complete chromosome sets, is a ubiquitous biological phenomenon that has played a major role in genome evolution in plants, fungi and animals. Yet the mechanisms by which autopolyploidization arises in natural populations remain incompletely understood. We identify a novel route to autopolyploidization, termed Sporulate Endoreplicate Mate (*SEM*), in which a properly reduced gamete undergoes postmeiotic endoreplication before mating with a sibling intact gamete, yielding a one-chromosome-set ploidy increase per cycle. In *Saccharomyces cerevisiae*, we experimentally demonstrate the transition from diploidy to triploidy and from triploidy to tetraploidy, positioning triploids as central intermediates in ploidy evolution rather than evolutionary dead-ends. We show that spores from intact asci can spontaneously undergo one or two successive *SEM* cycles, generating novel triploid and tetraploid strains without genetic manipulation. Natural yeast polyploids exhibit genomic signatures consistent with *SEM*, including the prevalence of triploidy, extensive aneuploidy, pervasive heterozygosity, and a strong association with heterothallism. Together, our findings establish stepwise polyploidization through iterative *SEM* cycles as the predominant natural route to polyploidy in yeast, offering a new framework for polyploid genome formation across eukaryotes.

Introduction

Polyploidy, defined as the presence of more than two complete sets of chromosomes, is widespread across the tree of life, with both ancient and recent polyploidization events documented in all major lineages (1). In plants and fungi, polyploidy promotes genomic and phenotypic diversification, facilitates adaptation to stress, and contributes to ecological success and domestication (2–5). Although less common in animals, polyploidy has played an important evolutionary role, notably through two ancient rounds of whole genome duplication (WGD) at the base of vertebrate evolution (6), and remains prevalent in fish, amphibians, and some invertebrates (7–9). Polyploidy also arises at the tissue level in multicellular organisms (1) and is a hallmark of cancer, where it fuels genome instability, tumor evolution, and therapy resistance (10–12). Overall, polyploidy is a powerful driver of genetic innovation and is increasingly recognized as a multifaceted force shaping evolution, adaptation, and disease (1, 13).

Autopolyploidization, the increase in chromosome sets within a single species, can arise through diverse mitotic or meiotic mechanisms, including somatic cell fusion, WGD through endoreplication or cytokinesis failure, polyspermy and meiotic restitution leading to unreduced gametes (1, 13, 14). Somatic genome doubling produces exclusively even ploidy states, whereas the fusion of reduced and unreduced gametes can generate triploids and other odd ploidy levels. Although triploidy has often been viewed as an evolutionary dead end due to impaired fertility, triploids can potentially serve as a bridge in the transition from diploidy to tetraploidy (13, 14).

In the budding yeast *Saccharomyces cerevisiae*, between 8% and 20% of natural isolates are autopolyploid and triploids are at least as frequent as tetraploids in natural populations (15–18), a pattern that remains difficult to reconcile with the canonical yeast life-cycle (19). Despite early reports of triploid and tetraploid isolates more than seventy years ago (20) and laboratory demonstrations that triploids can be generated through mating-based routes (21), the natural mechanism underlying triploidization in yeast remains unknown. A prevailing model posits that *S. cerevisiae* undergoes saltational transitions from diploidy to tetraploidy through

WGD (Fig. 1A), a view supported by the ancestral WGD event approximately 100

million years ago (22, 23) and observations of spontaneous autotetraploidization

during vegetative growth (24–26). In this framework, triploids are viewed as

transient intermediates arising from chromosome loss during the reversion of

tetraploids to diploids[31–33]. However, this model does not account for the high

frequency and apparent stability of triploids in natural populations (30). An

alternative possibility would be stepwise polyploidization through successive gains

of chromosome sets, in which triploids arise directly from diploids through mating

with haploids, rather than as derivatives of tetraploids (Fig. 1A). Although at first

sight such a stepwise route appears unlikely because natural diploids do not mate

and haploids exist only transiently between spore germination and mating, we show

that this double challenge is circumvented by a sequence of events that we term

Sporulate–Endoreplicate–Mate (*SEM*) (Fig. 1B). We demonstrate that

polyploidization through iterative *SEM* sequences is the predominant natural route

to polyploidy in *S. cerevisiae*, enabling stepwise transitions from diploidy to triploidy

and from triploidy to tetraploidy.

A

B

Figure 1

Figure 1: Saltational and stepwise routes to polyploidy in *S. cerevisiae*.
A, Schematic comparison between saltational and stepwise polyploidization, where *x* denotes ploidy
level. In the saltational model, diploid cells (2*x*) undergo Whole Genome Duplication (WGD) to
directly generate tetraploids (4*x*), whereas triploids (3*x*) arise secondarily through chromosome loss
from tetraploids. In stepwise polyploidization, ploidy increases incrementally through successive
Sporulate–Endoreplicate–Mate (*SEM*) cycles. **B**, Schematic representation of the *SEM* sequence,
where 2*n* and *n* denote vegetative and gametic chromosome number, respectively. Sporulation of a
2*n* vegetative cell produces four 1*n* spores. Upon germination, one spore undergoes postmeiotic
endoreplication, doubling the genome while preserving mating competence. Mating between the
endoreplicated spore and a compatible spore from the same ascus generates a cell with increased
ploidy.

Results

The Sporulate-Endoreplicate-Mate sequence. In this study, we follow the terminology of Ramsey & Schemske (14), where $2n$ refers to the vegetative chromosome number and n to the gametic chromosome number, independently of ploidy. Ploidy levels are indicated by x, with diploids, triploids, and tetraploids corresponding to $2x$, $3x$, and $4x$, respectively.

The *SEM* sequence describes a succession of events that begins with *S*, the sporulation of a $2n$ vegetative cell which produces four $1n$ spores enclosed within an ascus (Fig 1B). This is followed by *E*, an endoreplication event that occurs postmeiotically upon spore germination. Here, endoreplication is used as a generic term encompassing both endocycling and endomitosis (31, 32), reflecting the fact that the exact molecular mechanism underlying genome doubling is currently unknown. Endoreplication of a germinating spore generates a cell with a duplicated genome and carrying a single mating type that is thus capable of mating, while the ascus environment concomitantly supplies a compatible non-duplicatd partner. The final event is *M*, the mating between the endoreplicated spore and a compatible spore from the same ascus, resulting in a cell carrying a $+1x$ ploidy increment (Fig. 1B). Each iteration of the *SEM* sequence increases ploidy by one chromosome set (Fig. 1A).

Below, we provide experimental evidence for each step of *SEM* sequence. Additionally, we were able to experimentally recapitulate the full process of stepwise ploidy increases $2x \rightarrow 3x \rightarrow 4x$ through two iterations of the *SEM* sequence. Importantly, the stepwise polyploidization model predicts the frequent emergence of both triploids and tetraploids and suggests that increasing ploidy above $4x$ is rare. Finally, we verified that the genomic characteristics of most natural polyploids are fully compatible with their stepwise origin and that the saltational route accounts for a minority of cases.

Stepwise diploid-to-triploid transition. Iteration one of the *SEM* sequence starts with *S1* the sporulation of a diploid parental cell and is directly followed by *E1* the endoreplication of one of the germinating spores (Fig. 2A). Previous studies showed

that endoreplication occurs frequently in vegetative cells, with approximately 17%
of haploids spontaneously diploidizing after 100 generations in the absence of
external stress, and can even reach 100% in the presence of EtOH or KCl (33).
Endoreplicated strains are fully homozygous across the entire genome, hence carry
a single mating type enabling them to mate.

To detect endoreplication in natural populations, we screened a collection of 742
natural diploids using a combination of mating assays (Extended Methods) to
identify endoreplicated strains capable of mating but persisted without finishing the
SEM sequence. As expected, most strains exhibited no or extremely low levels of
mating activity. Nevertheless, a subset of strains showed clear and reproducible
mating signals (Table S1, Fig. 2B). We further characterized these strains by PCR
genotyping the *MAT* locus. We identified 26 single mating type diploids capable of
mating. About half of these were heterozygous at the genome level, suggesting they
arose through *MAT* locus hemizygotization or homozygotization (Fig. 2B). These
strains could contribute to polyploidization upon outcrossing, independently from
the *SEM* sequence. The other eleven strains were fully homozygous, indicating that
they most likely resulted from endoreplication (Fig. 2B). They belong to eight
different clades suggesting that endoreplication is pervasive across *S. cerevisiae*
populations (Table S1).

This initial screen provides clear evidence that spontaneous endoreplication occurs
at measurable frequencies in natural yeast populations. To determine whether
postmeiotic endoreplication can occur concomitantly with spore germination, as
proposed for the *E1* step of the *SEM* sequence (Fig. 2A), we screened 23 homothallic
and 9 heterothallic diploid strains for their capacity to undergo spontaneous spore
endoreplication. We sporulated all 32 strains, measured the ploidy by flow
cytometry of 684 microdissected spores from their meiotic progeny, and determined
their mating genotype (Table S2, Table S3). Homothallic diploids produce haploid
spores that self-mate through mating-type switching, generating *MATa/MATα*
diploid monosporic isolates. As expected, all 23 homothallic diploids produced
exclusively *MATa/MATα* diploid monosporic isolates, and none showed evidence
of endoreplication, which would have resulted in tetraploid monosporic isolates

(Fig. 2C). In contrast, heterothallic diploids produce haploid spores that cannot
switch mating-type and therefore develop into single-mating-type haploid
monosporic isolates. Consistent with this expectation, all heterothallic strains
produced single–mating-type haploid monosporic isolates (Fig. 2C). Notably, five
of the nine heterothallic strains also produced ~$2x$ single-mating-type monosporic
isolates, suggesting that endoreplication occurred after spore germination.
We next tested whether these putatively endoreplicated isolates were able to
generate triploid strains by crossing them with compatible haploid testers
(corresponding to the *M1* step in Fig. 2A). The ~$2x$ monosporic isolates derived from
the two heterothallic strains AKR and ALH, both belonging to the Asian
fermentation clade, produced triploid progeny upon mating, confirming that these
isolates were endoreplicated (Supplementary Fig. 1).
Together, these results demonstrate that (i) a subset of natural diploid isolates likely
originates from haploid endoreplication, (ii) endoreplication can occur upon spore
germination, and (iii) endoreplicated isolates are capable of generating triploid
strains. These findings further reinforce the strong association between
heterothallism and natural polyploidy (34), as only heterothallic diploids generated
triploid isolates through the *SEM* pathway.

Figure 2

Figure 2: Endoreplication during spore germination enables diploid-to-triploid transition

A, Schematic of the first iteration of the *SEM* sequence, in which sporulation (*S1*), endoreplication (*E1*), and mating (*M1*) collectively enable the transition from diploid to triploid. **B**, Identification of natural diploid strains capable of mating. Mating scores include mass mating assays (medium shading), observation of zygote formation (dark shading) and creeping phenotypes (light shading), revealing a subset of diploid isolates exhibiting reproducible mating activity, as *a*-mater (blue) or *α*-mater (red). *MAT* locus hemizygotization corresponds to chromosome III monosomy. Genome-wide homo and heterozygosity are symbolized by open and stripped circles, respectively. Fully homozygous single-mating-type diploids are inferred to result from haploid endoreplication. **C**, Ploidy and mating-type composition of monosporic isolates derived from homothallic and heterothallic diploid strains. Homothallic strains produce exclusively *MATa/MATα* diploids (purple), whereas heterothallic strains generate haploid *MATa* (blue) or *MATα* (pink). Black crosses indicate parental strain ploidy. The shaded area indicates the ploidy range expected from endoreplication. Several heterothallic diploids produce monosporic isolates consistent with endoreplication. The homothallic CAS strain produces monosporic isolates showing increased ploidy compared to the parental, although lower than expected for endoreplication.

Stepwise triploid-to-tetraploid transition. The second iteration of the *SEM* sequence allows stepwise acquisition of tetraploidy and begins with *S2*, the sporulation of a triploid parental cell (Fig. 3A). Among 46 triploids from the 1,011 collection, 38 (87.5%) were able to sporulate, with efficiency ranging from 3% to 97% (median 44%) (35). We microdissected 180 spores from the meiotic progeny of each of three representative euploid triploid strains and confirmed that spore viability was extremely low (5.0–7.8%; Supplementary Fig. 2, Table S3). This could be due to chromosome missegregation or mutational load. To disentangle their respective contribution, we constructed a fully homozygous triploid strain (AKN-3*x*) and measured its gamete viability. This artificial triploid showed 56.7% gamete viability (Supplementary Fig. 2), versus 96% in the original AKN diploid strain (Table S3), indicating that segregation defects alone reduce fertility by approximately 2-fold in triploids, as previously reported (36). The even lower viability of natural triploids (5.0–7.8%) highlights the additional contribution of mutational load in their genomes, estimated to comprise several heterozygous high-impact variants in essential genes (37).

Because triploid cells carry an odd number of homologs for each chromosome, segregation at meiosis I is necessarily unbalanced, and triploid meiosis is therefore expected to produce spores with variable chromosomal contents ranging from 1*x* to 3*x* (expected mean 1.5*x*, Fig. 3A). Genome sequencing of 32 monosporic isolates from

three natural euploid triploids showed that all spore genomes were aneuploid, *i.e.*
none were perfectly haploid, diploid or triploid (Fig. 3B; Table S2).
We identified genomic signatures of *E2*, the postmeiotic endoreplication step of the
second iteration of the *SEM* sequence (Fig. 3A), in six of the 32 sequenced
monosporic isolates (Supplementary Fig. 3). First, these isolates contain multiple
chromosomes present in four copies (Fig. 3B). Because regular meiotic segregation
of parental triploid genomes can generate at most three copies of a chromosome per
spore, tetrasomes are most parsimoniously explained by endoreplication of
originally disomic chromosomes. Second, these six isolates are completely devoid of
monosomes, in contrast to their widespread presence in non-endoreplicated spores,
suggesting that all originally monosomic chromosomes were converted into
disomes by endoreplication (Fig. 3B). Third, allele balance ratios (*ABRs*) at
heterozygous sites show ~50% heterozygosity for tetrasomes and complete
homozygosity for disomes in all six isolates, as expected under endoreplication,
although three isolates also exhibit additional *ABR* complexity affecting a small
number of chromosomes (Fig. 3C, Supplementary Fig. 3). Additionally, the six
isolates exhibited ploidy levels ranging from 2.2x to 3.0x (mean 2.6x), significantly
higher than the 1.1x to 2.4x range (mean 1.7x) observed in the other isolates (Fig. 3D).
Together, these findings indicate that postmeiotic endoreplication occurs at
appreciable frequency in triploid progeny (6 of 32, 19%), supporting the
endoreplicate step of the second iteration of the *SEM* sequence (Fig. 3A).
We assessed the mating ability of the six endoreplicated monosporic isolates, along
with 26 non-endoreplicated isolates, by genotyping their mating types and
evaluating mating proficiency using mass mating assays. Five of the six isolates
behaved as *MATα* strains, while the remaining one was a non-mater (Table S2). To
validate the *M2* step of the *SEM* sequence (Fig. 3A), we crossed the five mating-
competent endoreplicated isolates with a haploid tester strain and measured the
ploidy of the resulting progeny. All crosses resulted in increased ploidy, with
increments ranging from +0.7x to +2.1x, demonstrating the stepwise transition from
triploidy to tetraploidy (Supplementary Fig. 4).

Together, these results show that triploid sporulation is frequent but inefficient,
producing few viable spores. Nonetheless, these viable progenies are highly
aneuploid, often undergo postmeiotic endoreplication, and can act as mating-
competent partners, enabling stepwise increases in ploidy toward tetraploidy.

Figure 3

Figure 3: Stepwise triploid-to-tetraploid transition through the second iteration of the SEM sequence.
A, Schematic of the second *SEM* iteration (*S2–E2–M2*) initiated by sporulation of a triploid parental strain. Triploid meiosis produces highly aneuploid spores with variable chromosome copy numbers that average to 1.5*x*. The mating genotype of the parental triploid strain is *MATa/α/α*. **B**, Chromosome copy number profiles of 32 monosporic isolates derived from triploid meiosis, showing extensive aneuploidy. Six isolates (bolded names) display tetrasomic chromosomes as well as complete absence of monosomic chromosomes, indicative of postmeiotic endoreplication. **C**, Genome-wide (left) and chromosome-level (right) copy number (top) and allele balance ratio (*ABR*; bottom) profiles of the T317c monosporic isolate. Chromosome copy numbers correspond to the sequencing depth normalized by the genome ploidy. Centromeres are marked with black circles and the rounded chromosome copy number is indicated in colored circles. In *ABR* profiles, only alternative alleles are plotted. **D**, Inferred ploidy distributions of monosporic isolates, highlighting increased ploidy in endoreplicated progeny (the 6 isolates in bold in panel B are highlighted). The shaded area indicates the approximate ploidy range expected from endoreplication in monosporic isolates.

Spontaneous generation of novel polyploids through stepwise polyploidization.

A key assumption of the *SEM* model is that postmeiotic endoreplication and mating occur sequentially and rapidly after spore germination within the same ascus. To directly test this prediction, we designed an experimental system in which diploid strains were sporulated and intact asci, *i.e.* without spore dissection, were individually micromanipulated and plated onto rich medium. This setup allowed spore germination, potential endoreplication, and intra-ascus mating to occur spontaneously under laboratory conditions, after which ascus viability was assessed and the ploidy of the resulting colonies was determined (Fig. 4A, Table S4).

We micromanipulated asci from 12 diploid strains naturally defective for mating type switching due to loss of function mutations in the *HO* gene. We focused on heterothallic strains because most natural polyploids also lack a functional *HO* gene (34), and because our results showed that only heterothallic spores endoreplicated (Fig. 2C). These strains span a broad genetic diversity, having been isolated from both natural and anthropologic niches across multiple geographic regions (Table S2). In total, we micromanipulated 1,191 intact asci (50 - 150 asci per strain). Ascus viability varied widely among strains (Fig. 4B). We recovered both haploid and diploid monoascus isolates. Haploid monoascus isolates corresponded to unmated monosporic progeny, whereas diploid isolates most likely resulted from intra-ascus mating between compatible spores (Fig. 4B). Notably, five of the twelve tested diploid strains produced triploid monoascus isolates at frequencies ranging from

1.2% to 9.1% (Fig. 4B). In total, nine triploid isolates were recovered, subcloned, and
confirmed as triploid by both flow cytometry and whole-genome sequencing (Table
S4 and S5). Chromosome copy number and *ABR* profiles displayed values
characteristic of triploidy (Supplementary Fig. 6). Together, these results
demonstrate that sporulation of a heterothallic diploid strain can spontaneously
generate novel triploid progeny through at least one complete iteration of the *SEM*
sequence (Fig. 2A).
We next tested whether these newly formed triploids could undergo a second
iteration of the *SEM* sequence and spontaneously generate tetraploid progeny. A
randomly selected novel triploid isolate from each of two genetic backgrounds
(ALH and CNT) was resporulated. In both cases, ascus viability was reduced
relative to the diploid parent, consistent with impaired triploid meiosis (Fig. 4C).
The resulting monoascus isolates displayed a range of ploidies, including haploid
and diploid isolates, indicating that ploidy often regressed through meiosis as
expected. However, other isolates were triploid, suggesting that ploidy was restored
through sporulation followed by mating within the same ascus (Fig. 4C).
Importantly, the triploid isolates generated in this process carry novel allelic
combinations relative to their parental genotypes and potentially altered aneuploidy
landscapes resulting from meiotic resegregation of trivalents. Thus, even without
further increase in ploidy, these isolates enable exploration of novel genotypic space.
Notably, among the ascus progeny of ALH-3x, we identified a tetraploid isolate
(ALH-4x; Fig. 4C). This isolate was subcloned, and its ploidy was validated by both
flow cytometry and whole-genome sequencing (Fig. 4D and E). Comparison of
chromosome *ABR* profiles between ALH-3x and ALH-4x revealed the expected +1x
increase in genome content, providing direct evidence that ploidy can further
increase through a second iteration of the SEM sequence (Fig. 3A).
Together, these results demonstrate that the entire ploidy transition, from diploid to
tetraploid through intermediate triploid states, can be fully recapitulated under
laboratory conditions and harnessed to generate polyploids with novel genetic
compositions.

Figure 4

Figure 4 | Spontaneous generation of novel polyploids through iterative *SEM* cycles.
A, Experimental design for intact ascus micromanipulation, allowing spore germination, endoreplication, and intra-ascus mating to occur without spore dissection. Viable asci form colonies whose ploidy is determined by flow cytometry. Histograms on the right correspond to DNA content measurements from representative colonies, and are not schematic. **B**, Ploidy outcomes of monoascus isolates derived from heterothallic diploid strains. Triploid isolates ($3x$) arise spontaneously in multiple genetic backgrounds, consistent with completion of the first *SEM* iteration. In some cases, monoascus isolates exhibited mixed ploidies within the colony, with haploid and diploid, or haploid and triploid cells coexisting within the same colony ($1x+2x$ or $1x+3x$). In other instances, ploidy could not be reliably determined (ND) due to slow growth or multicellular clumping, a phenotype frequently observed in wild strains (38). **C**, Resporulation of newly formed triploids generates a spectrum of ploidy outcomes (identical to B), including tetraploidy ($4x$), demonstrating completion of the second *SEM* iteration. **D**, DNA content of ALH, ALH-$2x$ and ALH-$3x$ measured by cytometry. The reference strains used to calibrate ploidy are the laboratory strain BY4743 ($2x$), the natural triploid CRE ($3x$) and the artificial tetraploid AKN-$4x$. **E**, Genome-wide (left) and chromosome-level (right) allele balance ratio (*ABR*) profiles for ALH-$3x$ (top) and ALH-$4x$ (bottom). Chromosome copy numbers corresponding to the sequencing depth normalized by the genome ploidy are indicated in colored circles.

A barrier to increase ploidy beyond tetraploidy. We explored whether further stepwise ploidy increase beyond tetraploidy was achievable through the *SEM* sequence. We found that 25 of 38 (65.8%) tetraploids efficiently sporulate as 25 of 38 (65.8%) were able to sporulate, with a median efficiency of 57% (35). Using two representative strains, we confirmed the low spore viability of tetraploids (6.7% to 12.2%, Supplementary Fig. 2). We constructed an artificial homozygous tetraploid (AKN-$4x$) to estimate gamete viability in the absence of deleterious recessive mutations. This strain showed 77.2% spore viability, significantly higher than the 56.7% in the homozygous triploid AKN-$3x$ (Supplementary Fig. 2), showing that chromosome segregation is less affected in tetraploid than in triploids, as previously reported (39). Consistently, genome sequencing of 28 monosporic isolates obtained in the meiotic progeny of two natural euploid tetraploids revealed very few monosmic chromosomes as well as a much lower global incidence of aneuploidies than in triploid progenies (Supplementary Fig. 5A). Eleven isolates were euploid diploids while the remaining showed either monosomies (in seven cases) and/or trisomies (in 14 cases). Thus, the low spore viability of tetraploids probably reflects the major contribution of recessive mutational load exposed at homo- or hemizygous state in the gametes. No sign of spore endoreplication was detected in the progeny of tetraploids, suggesting that further ploidy increase through the *SEM*

sequence is limited, or at least less frequent than in the progeny of triploids
(Supplementary Fig. 5B). Monosporic isolates displayed various mating-type
combinations associated with complex mating phenotypes (Supplementary Fig. 5B
and 5C, Table S3), including bisexual mating (40) and dioecy (41).

Finally, genome sequencing of 16 monosporic isolates obtained in the meiotic
progeny of one natural pentaploid revealed consistent segregation of disomes and
trisomes across all isolates (a single case of monosomic chromosome I was observed,
Supplementary Fig. 5A). No sign of spore endoreplication was detected in the
progeny of the pentaploid strain.

In conclusion, we found no evidence supporting stepwise ploidy increase beyond
tetraploidy, suggesting that $4x$ might represent an upper limit for this process. This
is consistent with the rarity of natural pentaploids, which encompass only about
0.2% of strains in the largest available collection (18), and with the absence of
hexaploid or higher-ploidy isolates.

Stepwise polyploidization as the main route to natural polyploidy. The stepwise
and saltational routes to polyploidization rely on distinct mechanisms, namely the
SEM sequence and the WGD, respectively (Fig. 1), and are therefore expected to
leave contrasting genomic signatures. To discriminate between these modes of
origin, we analyzed the genomic constitution of the 91 natural polyploids from the
1,011 collection (16) and assessed whether their features are more consistent with a
stepwise or a saltational process.

We first measured the DNA content of the 91 natural polyploids by flow cytometry
and inferred ploidy without rounding to integer values. Rather than forming
discrete clusters corresponding to strict $3x$, $4x$, or $5x$ ploidy levels, the data revealed
an almost continuous ploidy range from $2.5x$ to $5.5x$ (Fig. 5A, Table S6), with clear
discontinuities near $3.5x$ and $4.5x$ delimiting triploid, tetraploid, and pentaploid
classes. Independent analysis of genome-wide *ABRs* at heterozygous sites yielded
ploidy estimates largely concordant with DNA content, with only three exceptions
(ASA, BEM, and CBR; Fig. 5A). This integrated reassessment led us to reclassify two
previously reported tetraploids (AFT and CFS) as triploids and to resolve one

previously ambiguous strain (AEC) as a tetraploid, resulting in a final dataset
comprising 48 triploids, 38 tetraploids, and 5 pentaploids (Table S6).
Under a saltational polyploidization model, the prevalence of triploids would
require chromosome loss from tetraploids to occur more frequently than WGD from
diploids. Although rapid chromosome loss has been documented in laboratory
engineered homozygous tetraploids (27–29), it typically results in diploid rather
than triploid genomes (2). Moreover, long term vegetative propagation of natural
tetraploids revealed no reduction in ploidy, indicating that polyploid levels are
stable in natural isolates (30). Together, these observations argue against a dominant
saltational origin and instead support a stepwise route to polyploidization. This
conclusion is further reinforced by the strong enrichment of heterothallic strains
among natural polyploids (34), which is expected under the *SEM* model given that
endoreplication is restricted to heterothallic spores (Fig. 2C).
Additional genomic features are likewise inconsistent with a WGD-based origin. If
WGD is independent from zyogosity, a saltational model predicts that the fraction
of homozygous polyploids should be comparable to that observed among diploids
(47% in the 1,011 collection (16)). In contrast, we detected only two homozygous
triploids and no homozygous tetraploids among the 91 natural polyploids.
Furthermore, WGD of a heterozygous diploid genome would produce tetraploids
characterized by a dominant *ABR* peak near 0.5 (Supplementary Fig. 7), a signature
that is never observed in our data (Fig. 5B), providing strong evidence against WGD
as the primary mechanism of tetraploidization.
Finally, combining chromosome-specific sequencing coverage with *ABRs* revealed
extensive aneuploidy among natural polyploids, including 122 whole-chromosome
and 63 segmental aneuploidies (Table S6, Fig. 5C). Under the *SEM* model, such
aneuploidy is expected to accumulate with increasing ploidy, as tetraploids and
pentaploids originate from highly aneuploid spores produced by triploid meiosis.
In contrast, a saltational route predicts higher aneuploidy levels in triploids arising
from chromosome loss in tetraploids. Consistent with the stepwise model, we
observed a monotonic increase in the proportion of aneuploid strains with ploidy,
from 52% in triploids to 78% in tetraploids and 100% in pentaploids.

Together, these complementary genomic signatures indicate that higher ploidy levels in *S. cerevisiae* arise predominantly through iterative cycles of the *SEM* sequence rather than through saltational whole-genome duplication, establishing stepwise polyploidization as the main evolutionary route to natural polyploidy.

Figure 5

Figure 5 | Genomic signatures of natural polyploids support a stepwise origin.
A, Ploidy estimates of 91 natural polyploid isolates inferred from flow cytometry, showing a near-continuous distribution from ~2.5x to ~5.5x with discontinuities delineating triploid, tetraploid, and pentaploid classes. **B**, Genome-wide allele balance ratio (*ABR*) distributions for the 91 natural polyploids. Observed *ABR* patterns are inconsistent with whole-genome duplication of heterozygous diploids and instead support stepwise ploidy increase. **C**, Chromosome copy number and *ABR* profiles of a representative natural polyploid strain (AQT) presenting several whole-chromosome and segmental aneuploidies.

Discussion

Here, we identify a natural route to polyploidization in yeast that we term stepwise polyploidization, mediated by iterative cycles of the *SEM* sequence. This route begins with sporulation, followed by postmeiotic endoreplication during spore germination. The sequence ends with mating between the endoreplicated spore and a compatible meiotic product within the ascus. This process contrasts sharply with the somatic WGD in diploids, which is often invoked to explain polyploid formation in yeast, as well as with the dominant mechanism described in plants, which relies on the fusion of unreduced gametes produced by meiotic restitution (14). Notably, a conceptually related process has been proposed in plants, where a subset of $2x$ nuclei in mature pollen may arise from mitotic endoreplication after completion of meiosis rather than from meiotic restitution (42). This conceptual parallel suggests that postmeiotic endoreplication may contribute to polyploid formation beyond fungi.

The *SEM* model provides a unified explanation for several genomic characteristics of natural yeast polyploids, including strong association with heterothallism, pervasive heterozygosity, frequent triploid state and extensive aneuploidy. In a recent work, we showed that natural polyploidy in yeast was strongly associated with repeated losses of self-fertility (34), yet the causal basis of this association remained unclear. Our results provide a mechanistic explanation: only heterothallic spores experience a prolonged single–mating-type state upon germination that permits endoreplication, whereas homothallic spores rapidly self-mate and therefore do not enter the *SEM* pathway. At the same time, ploidy increases through mating between genetically distinct meiotic products preserve heterozygosity and account for the observed allele-balance distributions, in contrast to duplication of a single diploid genome. Stepwise polyploidization further implies that triploids are not rare anomalies but expected and stable intermediates produced by a single iteration of the *SEM* sequence. Because meiosis in triploids is intrinsically unbalanced, viable progeny are highly aneuploid, providing a direct explanation for the extensive aneuploidy observed in tetraploids. Given the broad ploidy range of

spores produced by triploid meiosis, pentaploids could theoretically be generated
by mating between an endoreplicated ~$3x$ spore and a near-diploid partner.

The postmeiotic endoreplication step itself emerges as the pivotal mechanism of
stepwise polyploidization. Although the precise molecular mechanisms underlying
spontaneous spore endoreplication remain to be elucidated, an early study
identified a mutation in *CDC31*, a gene required for spindle pole body duplication,
as a driver of endoreduplication (43). To date, at least 16 genes have been implicated
in ploidy increase in *S. cerevisiae* (44). These genes encode proteins that primarily
regulate spindle pole body duplication, chromosome segregation, cytokinesis and
cell-cycle control, thereby highlighting the central role of cell-division and genome-
partitioning pathways in the control of ploidy. Moreover, high rate of
endoreplication depends on the presence of a functional copy of *SSD1*, a gene that
also regulates aneuploidy tolerance in wild yeast (45, 46). Future work will be
required to assess the extent of natural variation in these genes across populations
and to dissect the molecular events governing genome doubling associated with
spore germination.

In conclusion, our findings demonstrate that stepwise polyploidization through
iterative cycles of the *SEM* sequence constitutes the predominant route to natural
polyploidy in *S. cerevisiae*, although alternative routes, such as those involving
mating-competent diploids arising from *MAT* hemi- or homozygotization, may also
contribute. Beyond its evolutionary significance, the *SEM* mechanism has practical
implications. Polyploid yeast strains are widely used in fermentation industries,
including brewing and baking, and are also frequently isolated from clinical settings
(3, 16–18, 47, 48). The identification of the natural mechanism underlying their
formation, together with our ability to experimentally recapitulate *SEM*-mediated
polyploidization under laboratory conditions without genetic modification, opens
new avenues for the rational generation of novel polyploid strains. We envision that
controlled and potentially automated implementation of the *SEM* sequence could
enable the production of large numbers of polyploids with unprecedented genetic
compositions, offering a powerful platform for strain improvement for diverse
applications in the food production and fermentation industries.

Materials and Methods

Strains. Natural parental diploid (Table S1) and polyploid (Table S5) strains analyzed in this study were obtained from the 1,011 *S. cerevisiae* collection (16) and are referred to using the standardized three-letter strain code. Artificial homozygous polyploids derived from the AKN (YPS128) background, as well as heterozygous polyploids derived from AKN and SACE_YBP (YJM1248) backgrounds (YPB012, YPB022, YPB021), were constructed as described previously (49). Standard reference strains used for ploidy calibration were as previously described (50). Tester strains used for mass mating assays were OS104a and OS104α (YPS128 background) (51).

Media composition and growth conditions. Standard growth medium was YPD, consisting of yeast extract (10 g L^{-1}), peptone (20 g L^{-1}), and dextrose (20 g L^{-1}), prepared with or without agar (20 g L^{-1}). Sporulation medium was potassium acetate (KAc) agar, containing potassium acetate (20 g L^{-1}) and agar (25 g L^{-1}). Selective synthetic complete media were prepared using yeast nitrogen base without ammonium sulfate (YNB; 1.7 g L^{-1}), arginine (2 g L^{-1}), glucose (20 g L^{-1}), and agar (20 g L^{-1}), supplemented with appropriate complete supplement mixtures (CSM). CSM + G418 medium contained standard CSM and was supplemented with Geneticin (G418; 200 μg mL^{-1}). CSM - Ura medium contained CSM lacking uracil (CSM - Ura). CSM - Ura + G418 medium was identical to CSM - Ura and additionally supplemented with G418 (200 μg mL^{-1}).

Unless otherwise stated, yeast cells were revived from glycerol stocks on YPD agar and grown at 30 °C until the formation of a visible patch prior to experimental assays. Qualitative sporulation and resporulation capacity were assessed by transferring cells to KAc agar and incubating them at 30 °C. After 7 days, cultures were examined by light microscopy for the presence of asci.

Flow cytometry. Cellular ploidy was estimated by propidium iodide staining followed by flow cytometry. Ploidy validations were performed as previously described (50), using cultures grown in YPD. Rapid ploidy assessments were conducted either from overnight 1 mL YPD microcultures or by direct fixation of

colonies in 70% ethanol. Flow cytometry data were gated using FSC-A versus SSC-
A and FSC-A versus FSC-H to exclude debris and doublets, followed by FSC-A
versus PI fluorescence intensity. When required, cell aggregation was reduced by
proteinase K treatment. Ploidy values were inferred using MuPETFlow (50) (see
Extended Methods).

Genotyping mating type loci. Mating genotype was determined by colony PCR as
previously described (34). In a limited number of cases, PCR reactions were
performed or repeated using *MAT* locus primers described in (52) to confirm mating
genotype assignments.

Mass mating assay. Query and tester cells were mixed on YPD agar and incubated
at 30 °C for 24 h to allow mating. Parental query cells were then streaked onto single-
selection medium to confirm marker compatibility, while cells from the mating
patch were plated onto double-selection medium to assess mating ability (see Media
composition and growth conditions).

Multi-test screening to detect diploid maters. All 742 query strains were initially
tested using the mass mating assay with minor modifications, and mating outcomes
were scored semi-quantitatively, considering as positive only the crosses that
formed a confluent cellular layer (see Extended Methods). A subset of 102 strains
was further characterized by microscopic examination of zygote formation after 4 h
of co-incubation with tester strains, and by assessment of creeping behavior as
previously described (53) (see Extended Methods). Results from the three assays
were integrated into a single composite mating score, and strains with a score
greater than 0 were classified as potential maters. Mating efficiency of candidate
strains was then evaluated using a quantitative mating assay adapted from (54).
Briefly, equal numbers of query and tester cells (2.5×10^8 cells of each) were mixed
and spotted onto a nitrocellulose filter placed on YPD agar. After 4 h of co-
incubation at 30 °C, cells were recovered from the filter, serially diluted, and plated
onto selective media to quantify colony-forming units (CFUs).

Candidate diploid mater strains were subsequently subjected to supplementary
analyses to further characterize their mating behavior and genetic features. Mating
genotype was determined as described in the section Genotyping mating type loci,
and chromosome III aneuploidies and zygosity were retrieved from the original
sequencing data (16).

Asci dissection and spore isolation. Sporulated asci were treated with lyticase and
dissected using a micromanipulator (Singer Instruments MSM 400) as previously
described (34). Spores were plated on YPD agar and cultured at 30 °C, and
germination was monitored for up to 7 days. Resulting colonies were picked and
stored in glycerol stocks until further analyses.

Ascus micromanipulation and polyploid detection. Complete asci, irrespective of
spore number, were randomly isolated and plated onto YPD agar using a
micromanipulator (Singer Instruments MSM 400) and incubated at 30 °C. After
colony formation (approximately 2 days), a rapid preliminary ploidy assessment
was performed as described in the section Flow cytometry. Colonies suspected to be
polyploid were subsequently single-colony streaked, and ploidy was validated by
flow cytometry.

Genomic DNA isolation. Genomic DNA was extracted either using the E-Z 96
Tissue DNA Kit (Omega Bio-Tek), following the manufacturer's instructions, or
using the SDS-Kac protocol as previously described (55).

Genome sequencing and bioinformatic analysis. Genomic DNA was sequenced
using Illumina paired-end sequencing (2 × 150 bp) with an average insert size of
approximately 300 bp. Read quality was assessed using FastQC (v0.12.1) (56) and
summarized with MultiQC (v1.13) (57). Read trimming and filtering were
performed using Trimmomatic (v0.39) (58), with parallel execution managed using
GNU Parallel (v20190322) (59).

Read alignment and variant calling were performed using a custom Snakemake-
based pipeline (https://github.com/CintiaG/poly_CCN_and_VC.git). Sequencing
reads were aligned to the reference genome using BWA (v0.7.18) (60). SAM files
were converted to BAM format and sorted using SAMtools (v1.21) (61). Duplicate
reads were identified and marked using GATK MarkDuplicatesSpark (v4.5.0.0) (62).
Variants were called per strain using GATK HaplotypeCaller in gVCF mode.
Individual gVCF files were merged using GATK CombineGVCFs, and joint
genotyping was performed with GATK GenotypeGVCFs to generate final VCF files.
Chromosome copy number (CCN) was estimated from sequencing depth using
BEDTools (v2.29.2) (63). CCN and segmental aneuploidies were inferred using in-
house scripts (plot_depth.R and plot_copies.R; https://github.com/CintiaG/wgs-
aneuploidy-abr.git).

Allele balance ratio (*ABR*) was calculated by extracting read depth (DP) and allele
depth (AD) information from VCF files using BCFtools (v1.13) (64). Text outputs
were   converted   to   fst   format   using   the   fst   package   (v0.9.8,
http://www.fstpackage.org).   *ABR*   values   were   computed   using   the
allele_balance_ratio.R   script   (https://github.com/CintiaG/wgs-aneuploidy-
abr.git), retaining variants with *ABR* between 0.125 and 0.875. The number of
heterozygous sites was estimated considering only variants with up to three alleles.
In selected cases, CCN estimates were manually refined using *ABR* information, and
genome-wide visualizations were generated using the plot_allele_balance_ratio.R
script (https://github.com/CintiaG/wgs-aneuploidy-abr.git).

Data, Materials, and Software Availability. The data for this study have been
deposited in the European Nucleotide Archive (ENA) at EMBL-EBI under accession
number PRJEB108394. The genomic data analysis pipeline is deposited at the
following repository: https://github.com/CintiaG/wgs-aneuploidy-abr.git

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Supplementary figure 1

Supplementary figure 2

Supplementary figure 3

Supplementary figure 4

Supplementary figure 5

Supplementary figure 6

Supplementary figure 7

Legends to Supplementary Figures:

Supplementary Figure 1. Ploidy of monosporic isolates derived from diploid strains (triangles) and their progeny following crosses with compatible haploid testers (circles). Measurements are colored according to the rounded ploidy value: yellow, haploid; green, diploid; orange, triploid; and red, tetraploid. The shaded gray area indicates the expected ploidy range of triploids originating from endoreplicated spores. Monosporic isolates from strains AKR and ALH produced triploid progeny.

Supplementary Figure 2. Spore viability of artificial homozygous (light gray) and natural heterozygous polyploid strains (dark gray) of varying ploidy. Viability values were inferred from 180 dissected spores per strain. The reduced viability observed in natural strains likely reflects the combined effects of chromosome missegregation and mutational load.

Supplementary Figure 3. Whole-genome profiles of monosporic isolates derived from endoreplicated triploids. Sequencing depth histograms (top left panel) were used to estimate chromosome copy number (top right panel; values indicated above each chromosome). Centromeres are marked with black circles. Allele balance ratio (ABR) profiles are shown at the genome-wide level (bottom left panel) and per chromosome (bottom right panel), with only alternative alleles plotted. Endoreplicated monosporic isolates exhibited substantial levels of homozygous disomes and heterozygous tetrasomes, the latter characterized by an ABR of 0.5.

Supplementary Figure 4. Ploidy of monosporic isolates derived from triploid strains (crosses), bioinformatically estimated ploidy (diamonds), and their progeny following crosses with compatible haploid testers (circles). Bioinformatic ploidy was calculated by estimating chromosome copy number from sequencing depth and summing the product of copy number and chromosome length across all chromosomes. Measurements are colored according to the rounded ploidy value: green, diploid; orange, triploid; red, tetraploid; and dark red, pentaploid. The shaded gray area highlights the approximate ploidy threshold observed for the crosses.

Supplementary Figure 5. A, Chromosome copy number profiles of monosporic isolates derived from tetraploid (AVN and CFC) and pentaploid (AVQ) strains. No evidence of post-meiotic endoreplication was detected. B, Inferred ploidy distributions of monosporic isolates; shaded areas indicate the expected ploidy range of endoreplicated isolates, which was not observed. C, Mating genotypes and mating phenotypes of monosporic isolates derived from tetraploid and pentaploid strains. These isolates exhibited mating activity and, given their initial ploidy, could reconstitute the parental ploidy.

Supplementary Figure 6. Whole-genome profiles of spontaneous triploids recovered from intra-ascus mating of spores derived from diploid strains. Sequencing depth histograms (top left panel) were used to estimate chromosome copy number (top right panel; values indicated above each chromosome). Centromeres are marked with black circles. Allele balance ratio (ABR) profiles are shown at the genome-wide level (bottom left panel) and per chromosome (bottom right panel), with only alternative alleles plotted. The recovered isolates exhibited major genome-wide ABR values of 0.33 and 0.66, consistent with their triploid nature.

Supplementary Figure 7. Allele balance ratio (ABR) profiles of *Saccharomyces cerevisiae* strains with varying ploidy. Only alternative alleles are plotted. Diploid heterozygous strains exhibit a single ABR value of 0.5. Polyploids display characteristic discrete ABR values: multiples of 0.33 for triploids, 0.25 for tetraploids, and 0.2 for pentaploids. Notably, the ABR profiles of natural tetraploids differed from those of artificial tetraploids, presenting all combinations of 0.25 multiples.

Extended Methods

Ploidy analysis by flow cytometry details

Cellular ploidy was estimated by propidium iodide (PI) staining coupled with flow cytometry. For ploidy validation experiments, strains were grown in 5 mL YPD at 30 °C and processed as previously described[1]. For rapid ploidy assessment, either overnight 1 mL YPD microcultures were prepared prior to fixation, or individual colonies were directly fixed in 70% ethanol.

Fixed cells were stained with PI (50 μg mL^{-1}) and analyzed using a MACSQuant VYB flow cytometer. Data were processed using CytoExploreR (https://github.com/DillonHammill/CytoExploreR). Initial gating was performed using forward scatter area (FSC-A) versus side scatter area (SSC-A) to exclude debris, followed by FSC-A versus FSC-H to eliminate doublets. To mitigate cell aggregation, two complementary strategies were employed. First, selected samples were subjected to a proteinase K treatment (5 mg mL^{-1}) for 2 h at 50 °C prior to staining. Second, an additional gating step using FSC-A versus PI fluorescence intensity (FL4-A; 605-625 nm) was applied. Samples in which more than 50% of events fell within this third gate were considered to yield reliable ploidy estimates. Information regarding proteinase K treatment and gating quality metrics is reported in Table S2 (columns "Proteinase" and "Cells_gate").

G0/G1 and G2 PI fluorescence intensity peaks were quantified and summarized using MuPETFlow (v0.1.1)[1]. Both decimal and rounded ploidy values were extracted and used for downstream analyses.

Mass mating assays details

Query and tester strains were grown on YPD agar prior to mating. Using a sterile 1-μL inoculation loop, a small amount of cells from each query and tester strain was streaked separately onto defined 1 cm^2 areas of YPD agar plates. Approximately half of the cells from each strain were then mixed together in the 1 cm^2 area between the two streaks, while portions of each culture were left unmixed and served as internal controls.

Plates were incubated for 24h at 30 °C to allow mating. A panel of selective media was used as described in the Media composition and growth conditions section. After incubation, cells from the mixed mating zone were collected using a sterile toothpick and streaked onto double-selection medium (CSM - Ura + G418) using the three-streak dilution method to obtain isolated colonies. In parallel, tester strains were streaked

onto single-selection media (CSM - Ura and CSM + G418) to verify marker compatibility (uracil prototrophy and G418 sensitivity) and growth.

Plates were incubated at 30 °C and examined after 3 days. Crosses were scored as positive when multiple colonies were observed on the double-selection medium beyond the initial inoculation streak.

To enable higher-throughput detection of diploid maters, the standard mass mating protocol was modified. Tester and query strains were first resuspended in liquid YPD, mixed, spotted onto YPD agar, and incubated at 30 °C for the same duration as in the standard assay.

After mating incubation, cells were not streaked. Instead, a small amount of cells was collected using a sterile 1-μL inoculation loop, resuspended in 1 mL sterile H_2O, and diluted 1:10. Diluted suspensions were then spotted onto double-selection medium.

Zygote formation and creeping assays

For the zygote formation assay, a small amount of cells from the query strain was mixed with tester strains and incubated for 4 h. Samples were then examined by light microscopy for the presence of zygotes, identified as buds emerging from two fused cells.

Creeping assays were performed as described previously[2]. Briefly, 100 μL of query and tester cell suspensions adjusted to an optical density of 0.2 were mixed in flat-bottom 96-well plates and incubated for 16 h. Creeping behavior was scored based on the formation of a growth ring along the wall of the well.

Mating score calculation

Mating efficiency was first assessed semi-quantitatively using the following scoring scheme: no growth (score 0), poor growth (1–10 colonies; score 1), moderate growth (11–50 colonies; score 2), sparse cell layer (score 3), and confluent cell layer (score 4). For each strain, results were recorded as a pair of scores corresponding to crosses with the a and α tester strains, respectively. Accordingly, a result of (0/4) indicates no mating with the $MATa$ tester but robust mating with the $MAT\alpha$ tester, consistent with an a mater phenotype. Semi-quantitative mass mating scores were then converted into partial scores of -1, 0, or 1. Scores below 3 were assigned -1, scores equal to 3 were assigned 0, and only a score of 4 was assigned 1, to restrict the analysis to strains displaying clear mating signals. Zygote formation assays were scored as 1 for positive and -1 for negative outcomes. For creeping assays, positive results were assigned a score of 1, whereas negative results were assigned 0, as weak positive signals may not be reliably detected by this assay. When assays were performed in replicate, partial

scores from each replicate were summed and averaged. Discrepant replicates therefore yielded intermediate decimal values. Final mating scores were obtained by summing all partial scores as follows:

Mass mating		Zygote formation		Creeping	
Result	Partial score	Result	Partial score	Result	Partial score
4	1	Positive	1	Positive	1
3	0	Negative	-1	Negative	0
2	-1				
1	-1				
0	-1				

Quantitative mating assay

Mating efficiency was quantified using an assay adapted from[3]. Query and tester strains were grown on YPD agar, resuspended in liquid medium, and optical density at 600 nm was measured. For each cross, a volume corresponding to 2.5×10^8 cells of each strain was collected by centrifugation and resuspended in 125 μL of sterile 1× PBS.

Equal volumes of query and tester cell suspensions were mixed to obtain a final volume of 250 μL per mating mix. Each mating mix was briefly sonicated (20% amplitude, 15 s) to disrupt cell aggregates. Nitrocellulose filters (7 mm diameter) were placed onto YPD agar, and 5 μL of each mating mix was spotted onto the corresponding filter. Filters were incubated for 4 h at 30 °C to allow mating.

After incubation, each filter was transferred into 1 mL of sterile 1× PBS and vortexed to resuspend cells. Serial dilutions were prepared and plated onto double-selection medium (CSM - Ura + G418) to quantify mating products. Plates were incubated at 30 °C for 3-4 days, after which colonies were counted to calculate colony-forming units (CFUs).

References

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3. de Barros Lopes, M., Bellon, J. R., Shirley, N. J. & Ganter, P. F. Evidence for multiple interspecific hybridization in *Saccharomyces* sensu stricto species. *FEMS Yeast Res.* **1**, 323–331 (2002).