Title: A novel mechanism of ceftolozane-tazobactam resistance in *Pseudomonas aeruginosa* mediated

by L2 β-lactamase[‡]

Authors: Preeti Garai, PhD [1], Sophia H. Nozick, BS[1], Caroline C. Jozefczyk, PharmD[2*], Hannah Nam, MD,

MSc[3,4], J. Nicholas O'Donnell, PharmD, MSc[5], Egon A. Ozer, MD, PhD[6], Alan R. Hauser, MD, PhD[1,6],

Nathaniel J. Rhodes, PharmD, MSc[7,8,9#]

Affiliations: [1]Department of Microbiology-Immunology, Northwestern University, Feinberg School of

Medicine, Chicago, IL, USA; [2] Midwestern University College of Pharmacy Downers Grove Campus,

Downers Grove, IL and Department of Pharmacy, Northwestern Medicine, Chicago, IL, USA; [3]Stanford

University School of Medicine, Stanford CA, USA; [4]School of Medicine, University of California Irvine,

Orange, CA, USA; [5]Department of Pharmacy Practice, Albany College of Pharmacy and Health Sciences,

Albany, NY, USA; [6]Division of Infectious Diseases, Department of Medicine, Northwestern University

Feinberg School of Medicine, Chicago, IL, USA; [7]Department of Pharmacy Practice, Midwestern

University College of Pharmacy Downers Grove Campus, Downers Grove, IL, USA; [8]Midwestern

University College of Pharmacy Downers Grove Campus, Pharmacometrics Center of Excellence,

Downers Grove, IL, USA; [9]Department of Pharmacy, Northwestern Medicine, Chicago, IL, USA.

#Corresponding author and reprints: Nathaniel J. Rhodes, PharmD, MSC, BCPS AQ-Infectious Diseases,

Associate Professor of Pharmacy Practice. Department of Pharmacy Practice, Midwestern University

College of Pharmacy Downers Grove Campus. 555 31st Street, Downers Grove, IL. 60515; Office: AH364,

630-515-7376; Fax: 630-515-6958; Email: nrhode@midwestern.edu

***Present address:** Department of Pharmacy, Prisma Health – Greenville Memorial Hospital, Greenville,

South Carolina, USA

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Abstract (unstructured):

The prevalance of non-susceptibility to ceftolozane-tazobactam (C/T) among *Pseudomonas aeruginosa*

remains low but novel mechanisms of C/T resistance are of concern. Herein, we describe a novel

Pseudomonas aeruginosa genotype associated with high-level C/T resistance (>256/4 µg/mL) in a single

patient. Whole genome sequencing of the isolate was compared to that of a susceptible isolate cultured

from the same patient two months earlier. Analysis of the sequences revealed two different *P.*

aeruginosa high-risk clones: ST111 followed by ST235. The C/T-resistant ST235 isolate contained five

copies of a genetic element comprised of an L2 β-lactamase gene (bla_{L2}) and a truncated $ampR_{L2}$

transcriptional regulator gene, which are commonly found together in *Stenotrophomonas maltophilia*

strains and have not been reported to mediate resistance to C/T. Comparative genomic analysis with

other *P. aeruginosa* isolates failed to identify alternative explanations for the observed C/T resistance.

We found that exogenous expression of bla_{L2} modestly increased C/T MICs in genetically distinct *P.*

aeruginosa strains. A screen of our archived isolates identified two *P. aeruginosa* clinical isolates,

PS2045 and PS2046, with one and two copies, respectively, of the genetic element containing bla_{L2} and

truncated $ampR_{L2}$. Interestingly, disruption of the gene bla_{L2} but not the truncated $ampR_{L2}$ in PS2045 led

to a significant decrease in C/T MIC. Thus, we report a novel mechanism of C/T resistance in *P.*

aeruginosa mediated by an L2 β-lactamase independently of its canonical regulator $AmpR_{L2}$.

Introduction:

Rising rates of antibiotic resistance in the bacterial pathogen *Pseudomonas aeruginosa* pose a serious threat to public health. Infections caused by antibiotic-resistant strains of *P. aeruginosa* are extremely difficult to treat and are associated with severe morbidity and high mortality rates. Ceftolozane-tazobactam (C/T), a combination of an antipseudomonal cephalosporin, ceftolozane, and a β-lactamase inhibitor, tazobactam (1, 2), has been efficacious in treating *P. aeruginosa* infections (2-5). The high activity of C/T against multi-drug resistant (MDR), extensive-drug resistant (XDR) and difficult to treat (DTR) strains of *P. aeruginosa* (2, 6-10) is attributable to the ability of C/T to evade several antibiotic resistance mechanisms employed by *P. aeruginosa* that inactivate first-line antipseudomonal antibiotics (11, 12).

The prevalence of *P. aeruginosa* non-susceptibility to C/T remains low among clinical isolates from critically ill patients, ranging from 3 to 18 percent (4, 13-15), but the evolution of C/T resistance during therapy is concerning. While the most common mechanism of C/T resistance in *P. aeruginosa* involves mutations leading to overexpression or increased hydrolytic activity of the class C β-lactamase AmpC (16-18), other mechanisms such as the acquisition of extended-spectrum β-lactamase (ESBL) genes and over-expression of efflux pumps have been occasionally reported (17, 19-24). Given the results of the recent multicenter CACTUS study of hospitalized patients in the USA, which found greater clinical efficacy (OR=2.07, 95% CI 1.16-3.70) with C/T vs. ceftazidime-avibactam (CZA) across a variety of *P. aeruginosa* infections (25), it is expected that C/T use and resistance will increase in the near term. Thus, it is crucial to understand emerging C/T resistance mechanisms in *P. aeruginosa*. Herein, we describe a novel mechanism of C/T resistance mediated by acquisition of the L2 β-lactamase gene (bla_{L2}) by a *P.*

aeruginosa strain cultured from a patient who had received multiple courses of C/T and other

antimicrobials.

Materials and Methods:

Patient case and clinical course

All clinical data were obtained from the Northwestern Memorial Hospital (NMH), Chicago electronic

medical record. A case report exemption was obtained from the Northwestern University and

Midwestern University Institutional Review Boards.

A 51-year-old male with a history of quadriplegia, autonomic hyperreflexia, neurogenic bladder and

chronic foley catheterization complicated by *Enterococcus faecalis* urinary tract infections (UTIs),

decubitus ulcers complicated by chronic osteomyelitis, chronic respiratory failure with tracheostomy

complicated by recurrent *P. aeruginosa* pneumonia*,* and *Clostridioides difficile* infection presented from

a skilled nursing facility with reports of low oxygen saturations, increased respiratory secretions, and

hyponatremia. Six months prior to the current admission, the patient was admitted with multidrug-

resistant *P. aeruginosa* pneumonia and was treated with a 7-day course of intravenous (IV) C/T (3 grams

every 8 hours as 1-hour infusion) and inhaled polymyxin B. Two months prior to the current admission,

the patient was admitted with recurrent pneumonia due to multidrug-resistant *P. aeruginosa* cultured

from an endotracheal tube (ET) aspirate (saved as PA-NM-055, **Table 1**) that was treated with C/T and IV

polymyxin B for 5 days. One month prior to the current admission, the patient was admitted with

suspected pneumonia and urosepsis treated empirically with linezolid and aztreonam, with the latter

agent changed to C/T plus inhaled polymyxin B for 5 days based on susceptibilities of the *P. aeruginosa*

isolate cultured from the ET aspirate (isolate not saved - **Table 1**).

During the index admission, the patient experienced new fevers to 101°F (38.3°C), prompting an

evaluation for infection, and was empirically treated with meropenem and linezolid. The patient was

found to have methicillin-resistant *Staphylococcus aureus* (MRSA) growing from two of two blood

cultures on hospital day 2 and sputum cultures grew *P. aeruginosa* (isolate not saved - **Table 1**). The

patient was then switched from meropenem to C/T for possible pneumonia vs. tracheitis due to *P.*

aeruginosa, and linezolid was changed to daptomycin for continuation of MRSA bacteremia treatment.

After 7 days of *P. aeruginosa*-targeted treatment, the patient's pulmonary symptoms improved, and C/T

was discontinued; however, the patient continued to be intermittently febrile during the hospitalization.

Diagnostic imaging studies suggested the cause of intermittent fevers was a new acute sacral

osteomyelitis. On hospital day 16, a bone biopsy was performed, which grew ESBL-positive *Klebsiella*

pneumoniae, *Morganella morganii*, MRSA, and *P. aeruginosa* (saved as PA-NM-086) demonstrating

elevated minimum inhibitory concentrations (MICs) for meropenem (**Table 1**). The patient was

continued on daptomycin, and meropenem (2 grams IV every 8 hours) was added as a continuous

infusion for treatment of acute osteomyelitis. Additional susceptibility testing for C/T, CZA, and

polymyxin B were requested. Four days after the biopsy, results of the MIC testing on PA-NM-086

revealed high-level C/T resistance but susceptibility to CZA (**Table 1**); therefore, IV meropenem was

changed to IV CZA, IV polymyxin B, and metronidazole, with a planned 6-week course of therapy. On

hospital day 85, the patient was discharged to an acute inpatient rehabilitation center after completing

6 weeks of IV antibiotics for osteomyelitis.

Microbiology and Susceptibility Testing

Antibiotic susceptibility was tested for *P. aeruginosa* isolates collected from the patient across multiple

admissions, comparator *P. aeruginosa* clinical isolates PS2045 and PS2046 collected previously from

blood of patients hospitalized at NMH, and other *P. aeruginosa* strains constructed during the study. As

part of routine patient care, antibiotic susceptibility testing for all clinical isolates from the patient was

performed by the clinical microbiology laboratory using Vitek II (bioMérieux, Marcy l'Étoile, France),

Kirby Bauer, or Etest (bioMérieux, Marcy l'Étoile, France or Liofilchem), depending on the drug. These

results were verified for PA-NM-055 and PA-NM-086 by repeat testing in the lab (**Table 1**). All strains

used in the study, including reference *P. aeruginosa* strains PA14 and PAO1, and *E. coli* strains used for

cloning, are described in **Table S1**.

Confirmatory susceptibilities were determined for archived *P. aeruginosa* strains by microbroth dilution

on Sensititre plates (Thermo Fisher Scientific). *P. aeruginosa* ATCC 27853 was used for quality control

purposes. Briefly, isolates were grown overnight on Luria-Bertani (LB) agar at 37°C. The following day, 3-

5 colonies were picked and re-suspended in water and diluted to an optical density at 600 nm (OD_{600}) of

0.8-1.0. A total of 30 µL of the bacterial suspension was inoculated into 11 mL of cation-adjusted

Mueller-Hinton Broth (CA-MHB). The positive control and wells containing antibiotic were inoculated

with 50 µL of the suspension, and the negative control was inoculated with 50 µL of CA-MHB only. The

plate was incubated at 37°C for 20 hours and read manually for growth. Antibiotic concentrations that

led to >95% inhibition of growth (i.e. no visible growth) were noted as MICs.

C/T MICs for the clinical isolates and constructed strains were determined using antibiotic strips

(bioMérieux, Marcy l'Étoile, France or Liofilchem). Bacterial strains were streaked onto LB agar plates

and grown overnight at 37°C. A few individual colonies were resuspended in CA-MHB to achieve an

OD_{600} of 0.2-0.3 that corresponded to 10^8 CFU/mL. The bacterial suspension was plated onto cation-

adjusted Mueller-Hinton agar (CA-MHA) using cotton swabs. A C/T antibiotic strip (Liofilchem) was

placed on the plates and incubated for 16-20 hours at 37°C. Assessment of MIC was done as per the

manufacturer's instructions (i.e., the intersection of the strip resulting in >95% growth inhibition i.e. no

visible growth was read as the C/T MIC).

Whole Genome Sequencing

Whole genome sequencing (WGS) of the isolates PA-NM-055 and PA-NM-086 was performed as follows.

Isolates from frozen stocks (-80°C) were streaked onto LB agar plates, and a single colony was cultured

in LB broth overnight at 37°C. Genomic DNA was extracted using the Promega Maxwell 16 Cell DNA

Purification Kit (Promega, Madison, WI, USA). Libraries were prepared using the Nextera XT DNA Library

Preparation Kit (Illumina, Inc., San Diego, CA, USA). The clinical isolates were sequenced on a NextSeq

500 instrument (150 base pair reads, paired-end, mid-output flow cell, 133 M read pairs). Sequences

were trimmed using Trimmomatic v0.32 (26) and then *de novo* assembly was performed with SPAdes

3.9.1 (27). Contigs shorter than 200 bp or with an average read coverage of less than 5x were excluded.

For long read sequencing of PA-NM-086, a sequencing library was prepared from unsheared and non-

size-selected genomic DNA using the Oxford Nanopore ligation sequencing kit SQK-LSK110 and

sequenced on a FLO-MIN106 flow cell on a MinION sequencing device. Guppy v3.4.5 was used to base

call reads with the R9.4.1 high-accuracy model. Assembly of long reads was performed using the

Trycycler v0.5.0 pipeline (28). Reads were filtered using Filtlong v0.2.1 to remove reads shorter than

1000 bases and the bottom 5% of the reads based on quality. Reads were then subsampled into 24

subsets using the Trycycler "subsample" function. Eight read subsets were assembled using Flye v2.8.3

(29), eight read subsets were assembled using Raven v1.5.3, and eight read subsets were assembled

using minimap2 v2.21, miniasm v0.3, and minipolish v0.1.3 (30, 31). Clustering, reconciliation,

circularization, multiple sequence alignment, and consensus sequence generation from the resulting 24

assemblies were performed using Trycycler. The consensus assembly was polished with medaka v1.4.3

using the "r941_min_hac_g507" model. Illumina reads were aligned to the assembly using BWA v0.7.17

(32), and assembly errors were corrected using Pilon v1.23 (33) with a minimum depth setting of 0.1.

Read alignment and Pilon correction were performed sequentially until no further assembly corrections

were identified. Polypolish v0.4.3 (34) was used for final assembly correction using the Illumina short

reads. Sequencing reads and genome assemblies are deposited in NCBI under BioProject accession

PRJNA547625.

Phylogenetic Analysis

To characterize the prevalence of L2 β lactamases among publicly available *P. aeruginosa* genome

sequences, all *P. aeruginosa* genome assemblies (n=46,236, accessed Oct 14, 2025) in the NCBI assembly

database were searched for the bla_{L2} gene. All sequence type 235 genomes (n=2996, accessed Oct 14,

2025) were downloaded and whole genome phylogenetic relationships were estimated using Mashtree

v1.2.0 (35). The presence of the bla_{L2} gene sequence was identified in the genome sequences using

nucleotide BLAST. Ten ST235 genome assemblies without a full-length bla_{L2} gene were selected

randomly. Illumina read sequences were downloaded from NCBI for all L2-containing sequences

identified by nucleotide BLAST against the NCBI WGS database with results limited to *P. aeruginosa*

(taxid 287). For the four ST235 isolate sequences for which sequence reads were not available in the

Sequence Read Archive (SRA) database of NCBI, pseudoreads were generated from the genome

assemblies as previously described (36). Short read and pseudoread sequence alignment, phylogenetic

analysis, and tree visualization were performed as previously described (37). Sequence reads and

pseudoreads were aligned to the PA-NM-086 genome assembly.

Construction of strains expressing bla$_{L2}$

The *P. aeruginosa* reference strains PA14 and PAO1 were genetically modified to exogenously express

bla$_{L2}$ (**Table S1**). The gene *bla*$_{L2}$ was amplified from the genomic DNA of PA-NM-086 using Q5 high

fidelity polymerase (New England Biolabs) and primers 1 and 2 (**Table S2**). The amplicon was integrated

at the EcoRI site downstream to the isopropyl-β-D-thiogalactopyranoside (IPTG)-inducible *lac*UV5

promoter in the plasmid pPSV37-Gen^R (38) by Gibson assembly (Thermo Fisher Scientific). The resulting

recombinant plasmid (designated "p*bla*$_{L2}$") was introduced into the conjugative *Escherichia coli* strain

SM10 (39) by calcium chloride heat shock method and the transformants were selected on LB agar

containing gentamicin (15 μg/mL). Conjugation was performed to transfer the recombinant plasmid

from the transformant SM10 strain to the *P. aeruginosa* strains, and the exconjugants were selected on

LB agar containing gentamicin (30 μg/mL) and irgasan (triclosan, 5 μg/mL). The plasmid construct was

isolated (Qiagen) from the exconjugant *P. aeruginosa* strains and verified by Sanger sequencing using

internal primers recognizing the *bla*$_{L2}$ gene and an adjacent plasmid pPSV37 sequence (**Table S3**). The

vector control strains were constructed by introducing the empty vector pPSV37 into PA14 and PAO1

using the same method. These strains were grown on CA-MHA containing gentamicin (15 μg/mL) with or

without 1 mM IPTG for determining C/T MICs.

RNA extraction and reverse-transcriptase-quantitative-PCR (RTqPCR)

Overnight cultures of bacterial strains grown in LB medium were subcultured in LB medium and grown

to an OD_{600} of 0.4. IPTG (1 mM) and imipenem (1 µg/mL) were added to test for the induction of gene

expression in the indicated experiments. Negative control cultures were left untreated. All bacterial

cultures were then incubated for an additional 2 hours. A volume of bacterial culture corresponding to 1

x 10^8 CFU was centrifuged, and the pellet was resuspended in RNA protect reagent (Qiagen). After

incubation for 5 min at room temperature, samples were centrifuged again, and bacterial cells in the

pellet were treated with lysozyme (15 µg/mL in Tris-EDTA). RNA was extracted using an RNEasy kit

(Qiagen), and cDNA was synthesized with Superscript IV VILO reverse transcriptase kit (Invitrogen) using

master mix with and without reverse transcriptase, the latter used as a negative control to assess for

DNA contamination. RT-qPCR was performed by using qPCR probe assays (Integrated DNA Technologies)

on a CFX-96 real-time thermocycler (Biorad). Expression level was determined by normalizing the

threshold cycle (Ct or Cq) value of each target gene (Ct_{target}) for every sample to that of the respective

housekeeping gene *rpoD* ($Ct_{reference}$) generating ΔCt values and plotted as fold change in gene expression

with respect to the mean ΔCt of multiple biological replicates of the control sample. Each experiment

was carried out at least twice (i.e., at least 2 biological replicates). The primers and probes used for the

RT-qPCR are listed in **Table S2**.

Generation of deletion mutants

Gene deletion mutants in the comparator *P. aeruginosa* strain PS2045 background were generated using

a suicide-vector mediated allelic replacement method (40). Fwd-up and Rev-up primers were used to

generate a ~500 bp fragment homologous to 5' end ('up' fragment), whereas Fwd-down and Rev-down

primers were used to generate a ~500 bp fragment homologous to 3' end ('down' fragment) of the

target gene (**Table S3**). However, a 936 bp transposon gene immediately 3' to the *bla*$_{L2}$ gene in PS2045 is

duplicated elsewhere in the genome of PS2045, preventing amplification of an 'up' fragment. To avoid

the duplicated region, we targeted deletion of only a 477 bp internal segment of bla_{L2} instead of the

entire gene. This segment encodes the S-X-X-K motif and SDN loop, which are required for the activity of

the L2 β-lactamase (41). The 'up' and 'down' fragments were combined using the Fwd-up and Rev-down

primers to generate the full fragment, which was integrated at the HindIII site of the plasmid pex18-Hyg[R]

(40) by Gibson assembly (Thermo Fisher). The resulting plasmid was introduced into the conjugative *E.*

coli strain SM10 (39) by calcium chloride heat shock method. The transformants were selected on LB

agar containing hygromycin (100 μg/mL) and used for conjugation with the strain PS2045 at 37°C for 1

hour. The resulting PS2045 merodiploids with the entire plasmid integrated into the genome were

selected using LB agar supplemented with hygromycin (500 μg/mL) and irgasan (5 μg/mL). These

merodiploids were resolved by counter-selection on LB agar supplemented with sucrose (10 ug/mL) to

select for mutants that lost the *sacB*-containing vector backbone along with the target gene. The

deletion of the target gene in the selected colonies was verified by PCR amplification with confirmatory

primers (**Table S3**) followed by Sanger sequencing of the PCR product. Genomic DNA from mutant

isolates was sequenced using a commercial service (SEQCENTER) to produce paired-end 150 bp reads.

Sequencing reads were aligned to the complete genome assembly of isolate PS2045 and assessed for

mutations relative to the reference using Breseq v0.39.0 (42).

Statistical analysis

Each experiment was carried out at least twice and results were plotted using GraphPad prism version

10. Statistical significance of the difference observed between datasets, i.e. the median MICs or the

mean fold changes in gene expression, was determined by one-way ANOVA with Tukey's multiple

comparisons test for comparing multiple samples and Student's t test for comparing 2 samples,

generating p values, where $p < 0.05$ was considered statistically significant.

Results:

Antibiotic resistance phenotypes of P. aeruginosa isolates collected from the patient

The respiratory isolate collected 2 months prior to the index admission (PA-NM-055) and the respiratory

isolate collected on day 2 of the index admission were both susceptible to C/T and CZA (**Table 1**). In

contrast, the bone biopsy isolate collected on hospital day 16 (PA-NM-086) was found to be highly

resistant to C/T while remaining susceptible to CZA, giving the clinical impression that C/T resistance

emerged after treatment with repeated courses of C/T. However, genomic analysis revealed that PA-

NM-055 belonged to the sequence type (ST) 111, whereas PA-NM-086 belonged to ST235, indicating

that the patient had experienced infections by at least two distinct high-risk clones of *P. aeruginosa* over

time. Due to the limited number of isolates available for analysis, we were unable to determine whether

PA-NM-086 represented a new infection that contributed to acute osteomyelitis observed in the patient

or whether the PA-NM-086 lineage had been present in this patient for an extended period and at some

point replaced the more susceptible PA-NM-055 after multiple treatment courses.

Characterization of antimicrobial resistance (AMR) genes in the C/T resistant strain PA-NM-086

To investigate possible mechanisms of C/T resistance in PA-NM-086, we performed WGS. Long read *de*

novo assembly with short read correction yielded a single circular 6.92 Mb chromosome. Interestingly,

mutations known to be associated with C/T resistance in *P. aeruginosa* (15-24) were absent in PA-NM-

086. In particular, mutations in the class C β-lactamase gene bla_{AmpC}, which encodes the Pseudomonas-

derived cephalosporinase (PDC) member of the AmpC family, were not observed in PA-NM-086. The

AmpC family of beta-lactamases are involved in peptidoglycan recycling and are commonly associated

with C/T resistance (4, 16, 21-24, 43-53). However, an Ambler Class A β-lactamase gene bla_{L2} with 100%

identity with the L2 β-lactamase gene found in many *Stenotrophomonas maltophilia* strains was

identified (41). This gene was not present in the respiratory isolate PA-NM-055, which was C/T sensitive.

Frequency of bla_{L2} in P. aeruginosa

We next examined whether bla_{L2} was present in other *P. aeruginosa* strains. We first searched a publicly

available *P. aeruginosa* whole-genome sequence repository (~46,000 genomes) for other ST235 isolates

that contained the bla_{L2} gene. Only six of the 2,995 ST235 genomes examined contained this gene,

suggesting that the presence of the bla_{L2} gene in *P. aeruginosa* is quite rare even within this high-risk

clonal lineage (**Fig. 1A**). Across all the *P. aeruginosa* genomes (~46,000) available in NCBI, bla_{L2} was

identified in 12 isolates, 11 of which were collected in the USA (**Fig 1B**). Further, this gene was

predominantly confined to just 5 lineages (**Fig. 1B**), suggesting that the transfer of the bla_{L2} gene from *S.*

maltophilia to *P. aeruginosa* was a globally rare event.

Genetic context of bla_{L2}

We used the complete genome sequence of PA-NM-086 to determine the genomic context of bla_{L2}. In *S.*

maltophilia, the bla_{L2} gene is adjacent to the $ampR_{L2}$ gene, which encodes a transcriptional regulator of

bla_{L2} (54). In PA-NM-086, we found that the 912 bp bla_{L2} gene is adjacent to an identical $ampR_{L2}$ gene

except that the length of the $ampR_{L2}$ gene is reduced from 867 bp to 507 bp (**Fig. 2**). We designated this

truncated $ampR_{L2}$ allele as "$ampR_{L2}^{tr}$" that encodes an open reading frame of 168 amino acids of which

the first 129 amino acids are identical to that of AmpR $_{L2}$ of *S. maltophilia*. Of note, the genomes of

nearly all *P. aeruginosa* isolates contain an *ampR* gene (here designated as "$ampR_{AmpC}$") directly adjacent

to bla_{AmpC} (53), which is distinct from $ampR_{L2}$ in *S. maltophilia* (71.6% identity over 67% of the $ampR_{AmpC}$

gene). The truncated $ampR_{L2}^{tr}$ gene in PA-NM-086 has only 72% identity over 41% of $ampR_{AmpC}$. These

findings indicate that $ampR_{L2}^{tr}$ likely originated from *S. maltophilia* rather than from gene duplication of

$ampR_{AmpC}$. The genes bla_{L2} and $ampR_{L2}^{tr}$ are part of a larger 9,034 bp element that contains 7 other genes

and is repeated in tandem 5 times in the genome of PA-NM-086 (**Fig. 2**). Additional AMR genes

identified in this element include two tetracycline resistance genes [*tetR* and *tetA,* coding for the

tetracycline repressor protein class G and tetracycline efflux pump, respectively (55, 56)] and an

aminoglycoside resistance gene [*aph*, coding for an aminoglycoside 3' phosphotransferase (57)]. This

genomic region contains IS91 family transposases, suggesting that it is part of a composite transposon or

insertion sequence (IS)-associated resistance cassette.

Impact of exogenous expression of the L2 β-lactamase on C/T resistance in P. aeruginosa

Since *S. maltophilia* strains are relatively resistant to C/T (58-60) and bla_{L2} was originally found in *S.*

maltophilia (61, 62), we hypothesized that the gain of bla_{L2} contributed to C/T resistance in the strain

PA-NM-086. To test this hypothesis, we constructed a plasmid pbla_{L2} to exogenously express bla_{L2} gene

in the presence of IPTG and introduced it in the *P. aeruginosa* reference strains PA14 and PAO1. We

compared the C/T MICs in the presence and absence of IPTG and found that the addition of IPTG

increased the C/T MIC in PA14-pbla_{L2} (**Fig. 3**) and PAO1-pbla_{L2} (**Fig. S1**) by approximately four-fold (i.e.,

two doubling dilutions), which was statistically significant. In contrast, the addition of IPTG had no effect

on C/T resistance in strains containing the empty vector (PA14-EV and PAO1-EV).

Associations between the bla_{L2} expression and C/T resistance in P. aeruginosa

We next examined whether differences in bla_{L2} gene copy number were associated with different

degrees of C/T resistance. As mentioned, PA-NM-086 contains five copies of the bla_{L2} gene. A search of

P. aeruginosa WGSs in our collections identified 2 clinical isolates, PS2045 and PS2046 (**Table S1**),

containing 1 and 2 copies, respectively, of the 9,034 bp bla_{L2}-containing genetic element (**Fig. 1B**). We

determined the C/T MICs of these two isolates. Whereas PS2045 had a low C/T MIC of ~4 µg/mL, PS2046

was highly resistant to C/T, with an MIC of >256 µg/mL, consistent with a relationship between bla_{L2}

gene copy number and MIC.

We reasoned that strains with higher bla_{L2} copy numbers may express more L2 β-lactamase, which

would in turn lead to higher C/T MICs. To examine this, we performed RT-qPCR on PA-NM-086, PS2045,

and PS2046. The expression levels of the bla_{L2} genes in these strains correlated with bla_{L2} copy number

(**Fig. S2A**). Notably, expression of bla_{L2} in PA14-pbla_{L2} under uninduced (C/T MIC ~0.19 µg/mL) and

induced (C/T MIC ~0.75 µg/mL) conditions correlated with C/T resistance of PA14-pbla_{L2} in these

conditions (**Fig. 3** and **Fig. S2A**). However, expression of bla_{L2} in the clinical isolates PS2045 (C/T MIC ~4

µg/mL) and PS2046 (C/T MIC >256 µg/mL) was lower than that in strain PA14-pbla_{L2} in the presence of

IPTG induction (C/T MIC ~0.75 µg/mL). This suggested that the expression level of bla_{L2} was not solely

responsible for C/T MICs in these strains. Because over-expression of bla_{AmpC} has been linked to C/T

resistance (16), we measured bla_{AmpC} expression but found similar bla_{AmpC} transcript levels in all strains

(**Fig. S2B**). We also found that the sequences of bla_{AmpC} gene in PS2045, PS2046 and PA-NM-086 are

identical. Further, known mutations associated with increased C/T resistance in *P. aeruginosa* were

absent in PS2045 and PS2046 like PA-NM-086. Therefore, difference in C/T resistance levels among

these strains do not appear to be related to the inherent levels of bla_{AmpC} expression in these strains.

Overall, these findings indicate that exogenous expression of a bla_{L2} gene leads to a modest but

statistically significant increase in resistance to C/T in *P. aeruginosa* and that other mechanisms may

augment this resistance.

Loss of L2 β-lactamase decreases C/T resistance in P. aeruginosa

To confirm the role of L2 β-lactamase in C/T resistance in PA-NM-086, we sought to examine the effect

of deletion of the bla_{L2} gene on C/T MICs. This was difficult in PA-NM-086 because it contains five copies

of the bla_{L2} gene. Since PS2045 contains only one copy of bla_{L2} (**Fig. 2**), it allowed the targeted deletion

of the bla_{L2} gene. We deleted approximately 50% of the $bla_{L2,}$ open reading frame, including the region

coding for the active site of L2 β-lactamase, to construct the mutant strain PS2045Δ$bla_{L2}{}^{act}$ (see

Methods). The C/T MIC value of PS2045Δ$bla_{L2}{}^{act}$ (~0.75 µg/mL) was significantly lower than that of the

parental strain (~4 µg/mL) (**Fig. 4**). This indicated that a functional L2 β-lactamase contributes to a

modest level of C/T resistance in *P. aeruginosa*.

L2 β-lactamase in P. aeruginosa has activity against other β-lactam antibiotics

The L2 β-lactamase of *S. maltophilia* has broad activity against β-lactam antibiotics (41, 61, 63, 64). We

assessed the effect of disruption of bla_{L2} on the resistance of the strain PS2045 to other β-lactams. The

mutant strain PS2045Δ$bla_{L2}{}^{act}$ displayed reduced levels of resistance to aztreonam, cefepime,

ceftazidime and piperacillin/tazobactam in comparison to the parental strain (**Table 2**). However, this

was not observed for the carbapenems imipenem, meropenem, and doripenem, as the parental PS2045

strain was susceptible to all three carbapenems despite the presence of an intact bla_{L2} gene. The

antibiotic resistance profiles of the clinical isolates examined in this study with one, two, and five copies

of the bla_{L2} gene (PS2045, PS2046, PA-NM-086, respectively) were also consistent with a role for the L2

β-lactamase in resistance to multiple β-lactams (**Table 2**). These findings demonstrated that the L2 β-

lactamase increases detectable resistance to multiple β-lactams but probably not to carbapenems.

AmpR$_{L2}$^{tr} does not regulate expression of the L2 β-lactamase in PA-NM-086

In *S. maltophilia,* AmpR$_{L2}$ regulates expression of *bla*$_{L2}$, and induces *bla*$_{L2}$ expression in the presence of

the β-lactam antibiotic imipenem (54). As *ampR*$_{L2}$^{tr} is substantially truncated (867 bp reduced to 507 bp)

in PA-NM-086, we hypothesized that *bla*$_{L2}$ expression in this strain may not be regulated by AmpR$_{L2}$^{tr}. To

test this, we determined the expression levels of *bla*$_{L2}$ in PA-NM-086 in the presence and absence of a

low concentration of imipenem (1 μg/mL). We used PA14-p*bla*$_{L2}$ strain as a negative control because

bla$_{L2}$ expression in this strain is controlled by IPTG induction of the *lac*UV5 promoter (**Fig. S2A**). As a

positive control, we examined the expression of *bla*$_{AmpC}$, which has been reported to be induced by

imipenem (65). As expected, *bla*$_{L2}$ expression in PA14-p*bla*$_{L2}$ was induced by IPTG and not imipenem

(**Figs. S2A and 5A**), and *bla*$_{AmpC}$ was induced by imipenem in PA-NM-086 as well as PA14-p*bla*$_{L2}$ (**Fig. 5B**).

In PA-NM-086, no significant difference was observed in *bla*$_{L2}$ expression levels in the presence or

absence of imipenem (**Fig. 5A**). This indicated that *bla*$_{L2}$ expression in PA-NM-086 occurs constitutively,

consistent with the interpretation that *ampR*$_{L2}$^{tr} does not modulate *bla*$_{L2}$ expression in the absence of

imipenem. We also generated a deletion mutant of *ampR*$_{L2}$^{tr} in PS2045 and found that deletion of

ampR$_{L2}$^{tr} did not affect the C/T MIC of PS2045 (**Fig. 4**). This agreed with the lack of AmpR$_{L2}$^{tr}-dependent

regulation of *bla*$_{L2}$ expression in PA-NM-086 (**Fig. 5A**). Taken together, these results suggest that L2 β-

lactamase contributes to C/T resistance in *P. aeruginosa* independently of the product of the *ampR*$_{L2}$^{tr}

gene.

Discussion:

Our investigation suggests that the L2 β-lactamase gene, usually associated with *S. maltophilia*, has been

transferred to *P. aeruginosa* on more than one occasion, though this remains a globally rare event. The

L2 β-lactamase, along with L1 β-lactamase, is produced by many *S. maltophilia* strains and is largely

responsible for the widespread resistance of this species to most β-lactams, including third-generation

cephalosporins (61, 66, 67). *S. maltophilia* demonstrates C/T MIC range of 16/4 to >128/4 µg/mL (58-

60). The L2 β-lactamase may contribute to this relatively high level of C/T resistance. In our study, we

found that introduction of bla_{L2} into the *P. aeruginosa* strains PA14 and PAO1 caused a modest

approximately four-fold increase in C/T MICs. Likewise, disruption of the L2 β-lactamase encoding gene

in PS2045 resulted in an approximate four-fold reduction in the C/T MIC. The L2 β-lactamase is therefore

yet another example of cross-species transfer of an antibiotic resistance mechanism. Although PS2045,

PS2046, and PA-NM-86 are very closely related, they have 1, 2, and 5 copies of the ~9 kb element,

respectively, suggesting that selective pressures exist that cause repeated duplication of this element.

The bone-biopsy isolate (PA-NM-086) had 5 copies of the bla_{L2} gene and a C/T MIC >256 µg/mL, which

matched with C/T MIC of a blood isolate, PS2046, that contained only 2 copies of bla_{L2}. In contrast, the

blood isolate, PS2045, containing only one copy of bla_{L2}, displayed a low MIC of ~4 µg/mL, which

suggests non-linear scaling of resistance (as measured by MIC) as copy number of bla_{L2} gene increases or

that the C/T MICs of these strains are influenced by additional resistance determinants. We hypothesize

that exposure to C/T concentrations in excess of 4X MIC may result in eradication of strains with 1 copy

of bla_{L2}. Such PK/PD exposures are achievable for strains with C/T MICs of 1 µg/mL (68), but would be

much more difficult to achieve for strains with an MIC of 4 µg/mL or greater and even more so when

site-of-infection exposures are lower than those attained in plasma with maximal labeled dosing (69).

The 100 percent identity of the bla_{L2} gene sequences in *P. aeruginosa* and *S. maltophilia* suggests that

the former acquired the gene from the latter. The gene appears to have been obtained from *S.*

maltophilia along with a truncated *ampR*$_{L2}$ gene as part of a 9,034 bp genetic element. L2, a class A

serine β-lactamase, is encoded by a chromosomal gene in *S. maltophilia* (41). Chromosomally encoded

genes are less readily transferred across species than those encoded on plasmids, which may explain

why *bla*$_{L2}$ was identified in only a handful of *P. aeruginosa* genomes. Both *P. aeruginosa* and *S.*

maltophilia are ubiquitous environmental organisms, found in soil, plant material, rivers, lakes, and

streams (70, 71) , which would provide ample opportunities for genetic exchange. Interestingly, both

bacteria are also commonly cultured from the lungs of individuals with cystic fibrosis (72), which may be

another site at which genetic exchange between these bacteria occurred.

This is the second report of the presence of an L2 β-lactamase gene in *P. aeruginosa* but appears to

represent a more recent transfer of the gene. A previous report by Tian and colleagues described in *P.*

aeruginosa the acquisition of the *bla*$_{PME-1}$ gene, which is similar to *bla*$_{L2}$ (73)*.* However, several

observations suggest that the *bla*$_{PME-1}$ gene is distinct from *bla*$_{L2}$. While the L2 β-lactamase of PA-NM-086

has 100% amino acid identity to the corresponding protein of *S. maltophilia,* PME-1 has only 50% amino

acid identity (73). (This is substantially less than the 68% amino acid identity observed between SHV-1

and TEM-1, which are 2 distinct β-lactamases.). The *bla*$_{PME-1}$ gene is carried on a 9-kb plasmid and was

not adjacent to an *ampR*$_{L2}$ gene. Although PME-1 conferred resistance to third-generation

cephalosporins including ceftazidime, it was inhibited by tazobactam and would therefore be predicted

to be inactive against C/T. We therefore suggest that PME-1 and L2 β-lactamase be considered distinct

β-lactamases.

Several lines of evidence indicate that the L2 β-lactamase contributes to C/T resistance. First, multiple

bla$_{L2}$-containing isolates of *P. aeruginosa* had elevated MICs to C/T. Second, upon exogenous expression

of bla_{L2} in two distinct *P. aeruginosa* strains (PA14 and PAO1) that did not naturally contain it, we

observed a modest but significant increase in the C/T MIC levels. Third, the disruption of bla_{L2} in PS2045

caused a modest but significant reduction in C/T MICs. Together, these findings support the contribution

of bla_{L2} to C/T resistance in *P. aeruginosa*. A number of exogenously acquired β-lactamases can enhance

the resistance of *P. aeruginosa* to C/T, including GES-6, SHV-2a, VIM-2, and IMP-28 (49, 51, 74, 75). Our

findings indicate that the L2 β-lactamase should be added to this list.

Our findings suggest that the L2 β-lactamase contributes to C/T resistance independently of the $ampR_{L2}^{tr}$

gene in *P. aeruginosa*. In *S. maltophilia,* $AmpR_{L2}$-dependent induction of bla_{L2} expression occurs in the

presence of β-lactam antibiotics such as imipenem (54). However, in the absence of β-lactam antibiotics,

some expression of bla_{L2} can occur independently of $AmpR_{L2}$ in *S. maltophilia* (76). Therefore, it is

possible that the *P. aeruginosa* strains used in this study, which naturally contain bla_{L2} gene, express

bla_{L2} constitutively irrespective of the presence of an inducer antibiotic and independently of regulation

by $AmpR_{L2}^{tr}$. In *S. maltophilia,* induction of bla_{L1} and bla_{L2} is dependent on $AmpR_{L2}$; disruption of the

regulator results in reduced activity of the L2 β-lactamase and corresponding reductions in the MICs of

several β-lactams (54). We observed that in the presence of $AmpR_{L2}^{tr}$, L2 expression was not affected by

the inducer imipenem, suggesting a loss of the context-dependent repressor and activator effects

typically associated with AmpR (77). Although our study shows that L2 β-lactamase contributes to C/T

resistance independently of a functional $AmpR_{L2}$ in the strain PS2045, it remains to be tested whether

the presence of a functional $AmpR_{L2}$ would further influence L2 β-lactamase-dependent C/T resistance

in *P. aeruginosa*. $AmpR_{L2}$ has been suggested as a potential drug target due to its role in resistance to

multiple β-lactams (78); however, since L2 β-lactamase contributes to C/T resistance even in the

absence of regulation by $AmpR_{L2}$, such an approach would be ineffective against L2-expressing strains of

P. aeruginosa.

To date, much work on C/T resistance in *P. aeruginosa* has been focused on the core genome

chromosomal bla_{AmpC} gene, a class C β-lactamase (16, 17, 21, 24, 44-47, 50). Mutations leading to bla_{AmpC}

de-repression can increase the MIC of C/T to 16 ug/mL for *P. aeruginosa* (75). The range of mutations

that predispose clinical strains to overproduce bla_{AmpC} remains incompletely understood (79). We found

that bla_{AmpC} in PA-NM-086 was induced in the presence of the β-lactam imipenem, suggesting that it

could also be contributing to C/T resistance. A second mechanism of bla_{AmpC} mediated resistance to C/T

is the structural alteration of AmpC itself. Paired isolate studies (comparing isolates collected pre- and

post-C/T treatment) have found that alterations within the bla_{AmpC} open reading frame are major drivers

of increased C/T MICs and phenotypic resistance (17, 80). *P. aeruginosa* isolates with a deletion of a 21

bp region of the bla_{AmpC} have increased C/T MIC values of >256 µg/mL (4). Additionally, alterations

within the omega-loop of AmpC appear to widen the enzyme's binding pocket, leading to increased

ceftolozane and ceftazidime hydrolysis and reduced affinity for avibactam (50). However, the bla_{AmpC}

gene present in PA-NM-086 did not exhibit any of the mutations associated with C/T resistance, making

it unlikely that structural changes in AmpC were directly responsible for the elevated C/T MIC in this

strain.

Our study has several limitations. First, we were not able to ascertain what portion of PA-NM-086 C/T

resistance was due to the L2 β-lactamase. Our MIC analysis indicated that the five copies of the bla_{L2}

gene in PA-NM-086 may cause higher C/T MICs, as strains with more copies of the bla_{L2} gene had higher

C/T MICs. Because we were unable to delete all five copies of this gene in PA-NM-086, we could not

verify this. However, the non-linear impact of bla_{L2} copy number on C/T resistance level observed in the

P. aeruginosa strains used in this study (zero to one: 2 doubling dilutions and one to two copies: > 2

doubling dilutions) suggests that there is an association between bla_{L2} gene copy numbers and C/T MICs

in our isolates. Confirmatory studies are needed. Second, we lacked an antecedent C/T-susceptible

strain of the same lineage as PA-NM-086 from our patient to strengthen a comparative genomics

analysis. Third, our copy number experiments and deletion-expression analyses used different strains of

P. aeruginosa, so we were unable to take into account the effects of other strain background differences

on C/T resistance. Fourth, the induction of expression of bla_{L2} in PA14 and PAO1 did not result in the

same C/T MIC as observed in PS2046, which expressed bla_{L2} lower than PA14-pbla_{L2} with IPTG induction.

However, the log-scale changes in C/T MIC were similar upon exogenous expression and deletion of

bla_{L2}, suggesting that the L2 β-lactamase can have a measurable impact on C/T resistance even at low

copy numbers.

Conclusion

In conclusion, mutational and expression analyses suggest that acquisition of the class A β-lactamase

gene bla_{L2} contributed to C/T resistance in *P. aeruginosa*. Additional studies are needed to better

understand the processes that select for the transfer of resistance genes between *P. aeruginosa* and *S.*

maltophilia. This study reports a rare but important case of antibiotic resistance that extends our

understanding of C/T resistance in *P. aeruginosa*.

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Author Contributions:

Concept and Design: Garai, Hauser, Rhodes

Acquisition, analysis, or interpretation of data: Garai, Ozer, Nozick, Jozefczyk, Hauser, Nam, O'Donnell,
Rhodes

Drafting of the initial manuscript: Garai, Jozefczyk, Rhodes

Critical revision of the manuscript for important intellectual content: Garai, Ozer, Nozick, Jozefczyk,
Hauser, Nam, O'Donnell, Rhodes

Data Analysis: Rhodes, Ozer, Garai

Obtained Funding: Rhodes, Hauser

Administrative, technical, or material support: Nozick, O'Donnell

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Table 1. Susceptibility profiles of *P. aeruginosa* isolates collected longitudinally from a single patient

Time of sample collection	*Two months prior to admission*		*One month prior to admission*	*Hospital day 2*	*Hospital day 16*	
Sample	*ET[b] aspirate*	*ET[b] aspirate (repeat testing[c])*	*ET[b] aspirate*	*Sputum*	*Bone biopsy[d]*	*Bone biopsy[d] (repeat testing[c])*
Isolate ID:	PA-NM-055		Not available		PA-NM-086	
Antibiotic[a] / MIC (µg/mL)						
Amikacin	≥64	32	≥64	≥64	16	8
Aztreonam	Resistant (KB)	8	Susceptible (KB)	Intermediate (KB)	Resistant (KB)	≥32
Cefepime	≥64	≥32	≥64	≥64	≥64	≥32
Ceftazidime	Resistant (KB)	≥32	Susceptible (KB)	Resistant (KB)	Resistant (KB)	≥32
Ciprofloxacin	≥4	≥4	≥4	≥4	≥4	≥4
Gentamicin	≥16	≥16	≥16	≥16	≥16	≥16
Meropenem	≥16	8	≥16	≥16	8	4
Piperacillin-tazobactam	Resistant (KB)	≥128/4	--[e]	Resistant (KB)	Resistant (KB)	≥128/4
Polymyxin B	1	1[f]	--[e]	0.75	1.5	1[f]
Tobramycin	≥16	≥16	≥16	≥16	≥16	≥16
Ceftolozane-tazobactam[g]	0.75/4	0.75/4	0.75/4	0.5/4	192/4	≥256
Ceftazidime-avibactam[g]	--[e]	2/4[c]	2/4	1.5/4	1.5/4	1/4[c]

Footnotes: a) MICs from Vitek II unless otherwise stated; b) respiratory sample collected from
endotracheal tube; c) Sensititre MICs unless otherwise stated;d) bone biopsy sample collected from
sacral bone e) indicates result not available; f) colistin MIC; g) MICs from antibiotic strip test unless
otherwise stated. ET, endotracheal aspirate; KB, Kirby-Bauer. The 'repeat testing' column represents
MIC values from multiple experiments. If MICs values varied between 2 experiments by one dilution, the
higher value was chosen and if they varied by more than one dilution, the value in the middle was
chosen. If the experiment was done more than twice, the median or average of 2 medians was chosen.

Table 2. Susceptibility profiles of *P. aeruginosa* isolates containing different copy numbers of bla_{L2} and
the mutant containing a disrupted bla_{L2} gene (PS2045Δ$bla_{L2}{}^{act}$).
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Antibiotic	PA-NM-086 (5 bla_{L2})	PS2046 (2 bla_{L2})	PS2045 (1 bla_{L2})	PS2045 Δ$bla_{L2}{}^{act}$ (No bla_{L2})
Aztreonam[a]	>=32	≥32	≥32	**4**
Imipenem[a]	≥16	<=1	<=1	<=1
Cefepime[a]	≥32	≥32	16	**4**
Meropenem[a]	4	<=1	<=1	<=1
Ceftazidime[a]	≥32	≥32	16	**<=1**
Ampicillin/Sulbactam[a]	≥128/64	≥128/64	≥128/64	≥128/64
Doripenem[a]	4	<=0.5	<=0.5	<=0.5
Piperacillin/Tazobactam[a]	≥128/4	≥128/4	16/4	**<=8/4**
Ticarcillin/Clavulanic acid[a]	≥256/2	≥256/2	32/2	32/2
Ceftolozane-tazobactam[b]	≥256/4	≥256/4	4/4	**1/4**
Ceftazidime-avibactam[b]	Not measured[c]	Not measured[c]	Not measured[c]	Not measured[c]

Footnotes: a) MICs from microbroth dilutions (Sensititre); b) MICs from antibiotic strip test (Liofilchem)
unless otherwise stated; c) indicates result not available. MICs were determined for each isolate in 2
different experiments. If the values differed between 2 experiments by one dilution, the higher value
was chosen and if they varied by more than one dilution, the value in the middle was chosen. **I**f the
experiment was done more than twice, the median or average of 2 medians was chosen. Values
highlighted in bold indicate reduction in MIC by more than one dilution factor in the mutant strain
PS2045 Δ$bla_{L2}{}^{act}$ in comparison to the parental strain PS2045.

831   **Fig. 1. The presence of the *bla*$_{L2}$ gene among publicly available *P. aeruginosa* genomes.**

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Figure legend: A) Whole- genome phylogenetic analysis of ST235 genome assemblies in NCBI (n=2995,
one outlier removed) using Mashtree. Isolates with blastn hits for the *bla*$_{L2}$ gene are highlighted. Taxa
are colored by the alignment length and percent identity of the BLAST results. Six genomes had identical
genes (orange), and two genomes contained portions of the *bla*$_{L2}$ gene (blue and green).
B) Phylogenetic relationships of all *bla*$_{L2}$-positive genome sequences in NCBI by Mashtree analysis.
Isolates carrying *bla*$_{L2}$ gene (orange) with > 88% blastn sequence identity across > 95% length of the *bla*$_{L2}$
gene and relative to the 10 randomly selected non-*bla*$_{L2}$ ST235 isolates from NCBI (gray) are shown. Bars
show the multilocus sequence type (MLST), geographic location and decade of collection of each
isolate.

Fig. 2. Genomic element containing *bla*_{L2}.

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Figure legend: Five tandem copies of the genomic element (9,034 bp) shown in the figure were found in
PA-NM-086. The length and annotation of each open reading frame is indicated.

Fig. 3. Effect of exogenous expression of *bla*$_{L2}$ in *P. aeruginosa* strain PA14 on C/T MIC.

Figure legend: C/T MICs are shown for the strains PA14-EV and PA14-*pbla*$_{L2}$ with (+) or without (-) IPTG
as determined by antibiotic strip tests. The bars represent median MIC values of 3 biological replicates
with confidence intervals shown as error bars. One-way ANOVA was used to determine statistical
significance, indicated as *p* values, where ns = *p* >0.05 and *** = *p* <0.001. Abbreviations- EV, empty
vector; MIC, minimal inhibitory concentration; IPTG, isopropyl-β-D-thiogalactopyranoside.

Fig. 4. C/T MICs for a parental PS2045 strain compared to PS2045 strains with disruptions of the bla_{L2}
or $ampR_{L2}^{tr}$ genes.

Figure legend: C/T MICs in the parental (WT) and mutant strains of the clinical isolate PS2045 were
determined by antibiotic strip tests. Disruption of the bla_{L2} gene (Δbla_{L2}^{act}) significantly reduced C/T
MICs, whereas deletion of the $ampR_{L2}^{tr}$ gene ($\Delta ampR_{L2}^{tr}$) had no effect. The bars represent median MIC
values from 2 biological replicates, and error bars represent confidence intervals. One-way ANOVA was
used to determine statistical significance, indicated as p values, where ns = p >0.05 and * = p <0.05.

Fig. 5. Expression of *bla*_{L2} and *bla*_{AmpC} in the presence and absence of imipenem.

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Figure legend: Expression of the genes **A)** *bla*_{L2} and **B)** *bla*_{AmpC} in the strains PA-NM-086 and PA14-*pbla*_{L2}
in the presence (+) or absence (-) of imipenem was determined by RT-qPCR. Gene expression is shown
as relative abundance in RNA levels in comparison to the respective untreated control (-) after
normalizing to the house keeping gene *rpoD*. The bars represent means of 2 biological replicates. Error
bars represent standard deviations. Student's t test was used to determine statistical significance,
indicated as p values, where ns = p >0.05, * = p <0.05, and ** = p <0.01.