Genome wide association analysis of resistance to scald in an adapted multiparent winter malting barley population

J.M. Kolkman[1,2,*], S.S. Sepp[1], K. Kunze[1], G.C. Bergstrom[2], and M.E. Sorrells[1]

Affiliations

[1] Plant Breeding and Genetics Section, School of Integrative Plant Science, Cornell University, Ithaca, NY, USA
[2] Plant Pathology and Plant-Microbe Biology Section, School of Integrative Plant Science, Cornell University, Ithaca, NY, USA
*Corresponding Author: Judith M. Kolkman (jmk87@cornell.edu)

Core Ideas

- Resistance to scald in a large multiparent population was derived from a major resistance gene (*Rrs1*) and several smaller effect QTLs
- *Rrs1* resistance was derived from 'Lightning' and is located within a large linkage block on Chromosome 3H
- Fewer days to heading and taller plants were correlated with less disease in a large multiparent winter malting barley population in NY state
- A QTL for resistance to scald co-localized on chromosome 2H with winter survival, heading date, and plant height
- FarmCPU was an optimal model for association analysis for resistance to scald in the large unbalanced diallel population.

ABSTRACT

Scald, caused by the fungus *Rhynchosporium graminicola* Heinsen 1897, is a major foliar disease in winter malting barley (*Hordeum vulgare* L). Resistance to scald in winter malting barley is controlled by major and minor resistance genes. We used a large population of lines derived from biparental crosses among five winter malting barley parents to analyze resistance to scald and associated agronomic traits. Increased winter survival and later heading dates were negatively correlated with increased resistance, whereas increased height was positively correlated with resistance. A genome-wide association study (GWAS) for resistance to scald was analyzed with multiple models, using 15,463 SNPs. The similarities and differences between the models were identified in SNP trait associations and phenotypic effect sizes. SNP associations identified a large region on chromosome 3H across models. FarmCPU identified additional associations on chromosomes 2H, 3H, and 4H. Linkage disequilibrium on chromosome 3H and GWAS for resistance to scald using the *Rrs1*-linked marker, HVS3, as a covariate confirmed *Rrs1* was segregating in this population. GWAS for winter survival, heading date and plant height identified associations across the genome, with chromosome 2H showing SNP-trait colocalizations between resistance to scald, winter survival, heading date and plant height. Breeding for durable resistance to scald in winter malting barley can include pyramiding major resistance loci, such as *Rrs1*, as well as QTL for disease resistance and agronomic traits.

PLAIN LANGUAGE SUMMARY

Genetic architecture of resistance to scald in winter malting barley

Scald is an important foliar pathogen in winter malting barley, affecting both grain yield and quality. While resistance to scald is controlled by major and minor resistance genes, agronomic traits are also known to limit the spread of scald in barley. We determined the genetic architecture using a large multiparent population of winter malting barley. The FarmCPU genome-wide association model proved optimal for defining the resistance genes, with the major resistance gene, *Rrs1*, conferring 27% of the variation in this population. Fewer days to heading and taller plants contributed to plant avoidance of scald. Reduced canopy coverage in plants with low winter survival led to less scald severity. A region of the genome contributing a minor resistance effect was co-localized with a region for plant height, heading date and winter survival.

Abbreviations

BLINK, Bayesian-information and linkage-disequilibrium iteratively nested keyway

DLA, diseased leaf area

FarmCPU, fixed and random model circulating probability unification

GAPIT, genome association and prediction integrated tool

GWAS, genome wide association study

HT, height

LD, linkage disequilibrium

LRR-RLK, leucine-rich repeat receptor-like proteins

MAF, minor allele frequency

MAGIC, multiple advanced generation inbred cross

MTA, marker trait association

MLM, mixed linear model

MLMM, multiple loci mixed linear model

PCA, principal components analysis

SNP, single nucleotide polymorphism

QTL, quantitative trait loci

PEI, pectin esterase inhibitor

QQ, quantile-quantile

Reml, restricted maximum likelihood model

SCAR, sequenced characterized amplified region

PVE, phenotypic variation explained

WS, winter survival

1 INTRODUCTION

Barley, *Hordeum vulgare* L., is a globally important crop used for livestock feed, human food, and for malt used in brewed and distilled beverages. The increased demand for malting barley by the craft brewing industry has led to increased malting barley production in non-traditional barley growing regions (Shrestha & Lindsey, 2019). The adoption of malting barley in the Northeast US has been

fostered by development of improved management recommendations for barley grown in higher rainfall
and more humid conditions than in traditional barley growing regions (Shrestha & Lindsey, 2019; Siller et
al., 2021). Winter barley offers consistently higher yield and often higher quality than spring barley and
often avoids unfavorable spring planting conditions and summer droughts. Along with agronomic
management differences, a shift from spring to winter malting barley alters the disease exposure profile,
resulting in an environment conducive to the proliferation of scald. Barley scald is a foliar fungal
pathogen, that flourishes in the cooler climates reaching up to 77% diseased leaf area of upper canopy
during early grain filling stages in susceptible cultivars grown in New York (Kolkman et al., 2025a). Scald
can cause both a reduction in quality (Avrova & Knogge, 2012), and yield losses ranging from 10 to 45%
(Shipton et al., 1974), and up to 65% in severe epidemics (Beigi et al., 2013).

Scald in barley is caused by *Rhynchosporium graminicola* Heinsen 1897 (Crous et al., 2021; formerly
known *as R. commune*; Zaffarano, et al., 2011) a hemibiotrophic foliar fungal pathogen that thrives in
cooler temperatures (Zaffarano et al., 2008). Originally identified in 1897 (Frank, 1987), *R. graminicola*
was renamed as *R. secalis* (Oudem.) J.J. Davis in 1919 (Davis, 1919), renamed again in 2008 as *R.*
commune indicate host speciation specific to *Hordeum* species (Zaffarano et al., 2008, 2011).
Rhynchosporium graminicola is proposed to have originated in the cool climates of Scandinavia
approximately 2500 years ago as a host jump from an unknown grass species (Brunner et al., 2007). *R.*
graminicola spreads through previously infected barley debris and/or infected seed (Ababa et al., 2023).
Conidia land on leaf surfaces and produce a germ tube along the intercellular grooves that produces an
appressorium that penetrates through the cuticle, all within the first 24 hours of exposure (Linsell et al.,
2011). Hyphae grow below the cuticle, above the anticlinal walls and between the epidermal cells,
between the pectin layer and outer cell wall, disrupting the pectic layer and cuticle for pectin
degradation (Ayesu-Offei & Clarke, 1970; Ryan & Grivell, 1974; Lehnackers & Knogge, 1990; Linsell et al.,
2011). The cuticle and epidermal layers separate with the production of a packed hyphal mat, forming a
subcuticular stroma, inducing a water-soaked lesion, all within approximately 4 to 8 days (Ayesu-Offei &
Clarke, 1970; Linsell et al., 2011). Mesophyll collapse follows approximately 7 to 14 days post
inoculation, creating a straw-colored necrotic lesion surrounded by dark brown borders at which point
hyphal growth increased, likely due to the release of nutrients from the collapsed mesophyll cells (Ayesu-
Offei & Clarke, 1970; Lehnackers & Knogge, 1990; Linsell et al., 2011). Conidia are produced from
subcuticular and/or substomatal stroma, which protrude through the cuticle along the leaf surface.

Resistance to scald in barley has been attributed to both major resistance genes and quantitative trait
loci (QTLs; as reviewed by Zhang et al., 2020). In total, 11 major resistance genes have been described
(as compiled by Noe et al., 2025) with major resistance genes located on all chromosomes except
chromosome 5H. Several of the *Rrs* genes are comprised of multiple alleles and/or loci, such as the *Rrs1*
gene complex located on chromosome 3H that includes the previously described alleles *Rh*, *Rh1*, *Rh3*
Rh4 and *Rh7* (Bjørnstad et al., 2002). While no causal gene underlying the *Rrs* genes has been
determined, several *Rrs* genes have been fine-mapped. The *Rrs1* gene region has been delimited to a
region of 0.8 Mb with 10 candidate genes using the Morex genome sequence. The *Rrs1* gene is not,
however, present in the Morex genome, and is hypothesized to be presence-absence and/or a gene
duplication variant. There are several candidates that are plausible in this genomic region that is reticent
to recombination, due to its centromeric location, including a protein kinase (Looseley et al., 2020). The
Rrs2 resistance gene is proposed to be in a cluster of pectin esterase inhibitor (PEI) genes, either as a
unique gene, a combination of PEI genes and/or other unidentified gene(s) (Marzin et al., 2016). The

Rrs13 resistance gene, fine-mapped within a 0.58 to 1.2 Mbp region, codes for two to seven tandemly
repeated leucine-rich repeat receptor-like proteins (LRR-RLP) and a lectin receptor-like kinase (Eckstein
et al., 2024). More recently, the *Rrs18* gene has been identified upstream of *Rrs13* (Coulter et al., 2019).
Fine-mapping and RNA expression analysis identified four candidate genes with the most likely candidate
gene a serine/threonine protein kinase. As well as these four genes, a stable QTL for adult plant
resistance to scald, QSc.VR4, was fine-mapped to a 0.38 Mbp region that included an LRR-RLK multi-gene
family, and a germin-like protein multigene family (Wang et al., 2020).

Numerous genome-wide association studies (GWAS) have been utilized to identify and characterize
resistance to scald in barley. Association studies have relied on a variety of populations, including
diversity panels (Looseley et al., 2018; Hiddar et al., 2023; Kunze et al., 2024; Noe et al., 2025), a multiple
advanced generation inbred cross (MAGIC) population (Hautsalo et al., 2021), the HEB25 nested
association mapping population (Büttner et al., 2020), and a variety of populations that included diverse
germplasm, including landraces, wild species and breeding lines (Looseley et al., 2018, 2020; Clare et al.,
2023; Ababa et al., 2024; Ijaz et al., 2024). Many trials utilize greenhouse trials to ascertain seedling
resistance (major gene resistance), using one or more isolates to characterize resistance to specific
isolates. Several studies relied on (natural) field infection to identify adult plant resistance (Daba et al.,
2019; Looseley et al., 2020; Ijaz et al., 2024). All GWAS studies used spring barley, except for two studies
that used spring, winter and/or facultative barley lines (Looseley et al., 2020; Kunze et al., 2024). Adult
plant resistance in the field encompasses natural infection, and resistance comprised of major resistance
(*Rrs*) genes and/or QTL for resistance to scald. Adult plant resistance has been associated with genes
coding for plant growth traits, such as *sdw1*, the gibberellin 2-oxidase gene, *HvGA20ox2* (Xu et al., 2017),
implicated with plant height and susceptibility to scald (Looseley et al., 2018). Improving barley for
resistance to scald relies on agronomic practices as well as breeding for resistance. Due to the potential
for *R. graminicola* populations to overcome resistance (Mcdonald, 2015; Ababa et al., 2024),
understanding the facets of resistance is imperative in breeding for sustainable resistance.

Genome wide association studies are useful for the identification of marker-trait associations and
characterization of trait architecture across the genome. The premise of GWAS relies on maximizing
meiotic recombination events by utilizing populations consisting of a large diverse number of genotypes
in conjunction with high SNP marker density, and in many cases, using population structure and kinship
to minimize false positive results (Flint-Garcia et al., 2005; Yu et al., 2006). Several models of GWAS have
been developed that use different approaches to identify marker trait associations (Tibbs Cortes et al.,
2021). In contrast, breeding programs are targeted to regional adaptation and are generally limited to
elite material based on founders and the introgression of adapted material that may have originated
from diverse or pre-breeding efforts (Kelly et al., 1998). Large populations are created with the goal of
finding the transgressive segregants that move crop development and the release of novel cultivars
forward.

In this study we characterized resistance to scald in a large unbalanced diallel breeding population of
winter malting barley recombinant inbred lines and doubled haploids derived from biparental crosses
between five founder lines that are adapted to New York environments. We examined the correlation of
agronomic traits, such as winter survival, days to heading and plant height with resistance to scald as a
means of escape and/or avoidance mechanisms. Using GWAS, we characterized the genetic
architecture of resistance to scald in the multiparent population, and the co-localization of SNP
associations between resistance to scald and winter survival, heading date and plant height. We explore

a suite of GWAS models available in GAPIT version 3 (Wang & Zhang, 2021) to determine the appropriate
GWAS model for the multiparent unbalanced diallel population, given the relatedness and limited
meiotic recombinant events between lines within the germplasm.
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2 MATERIALS AND METHODS

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2.1 Germplasm and trait phenotyping

2.1.1 Plant germplasm

A large population of winter malting barley breeding lines was derived from an unbalanced diallel mating
design using five malting barley cultivars, including 'Flavia', 'Lightning' (Hayes et al., 2021), 'KWS Scala',
'SY Tepee', and 'WintMalt' and the corresponding 10 cross combinations. F_1 plants were advanced to the
F_5 stage through single seed descent and/or through creating doubled haploids resulting in 377
recombinant inbred lines and doubled haploid lines (Figure 1).

2.1.2 Field trials

The diallel population was planted in four field sites (environments) at the Cornell University Campus
Area Farms in Tompkins County near Ithaca, New York and included the Snyder Farm and Helfer Farm
field sites in 2022, and the McGowan Farm and Ketola Farm field sites in 2023. Trials were grown in an
augmented design with a single replication in each environment and included the parental cultivars as
well as check cultivars ('KWS Scala', 'Lightning' and 'Endeavor') within four blocks in both 2022
environments (Snyder Farm and Helfer Farm), and within eight and ten blocks in Ketola Farm and
McGowan Farm field sites in 2023, respectively.   Seeds were planted in plots with 1.2 m width, 3.0 m
plot length, with a 17.8 cm space between rows within the plot and 25.4 cm between plots. No plant
growth hormone or foliar fungicides were applied. Preplant fertilizer of 10:10:10 was applied at 224
kg/ha (22.4 kg ha^{-1} N) and followed by a top dress in the spring of 67 kg ha^{-1} N via liquid UAN 30. The
spring applied herbicide regime in 2022 consisted of Harmony (35 g ha^{-1}), bromoxynil (BROX-2-EC at 1.5 L
ha^{-1}) and Induce surfactant (0.7 L ha^{-1}). Herbicide applications in the spring of 2023 consisted of Axial XL
(1.2 L ha^{-1}), Harmony Extra SG (35 g ha^{-1}) and Induce surfactant (0.7 L ha^{-1}). No foliar fungicide was
applied.

2.1.3 Trait phenotyping

Scald infections relied on the natural inoculum present at each field site. The scald susceptible cultivar
'KWS Scala' was planted in each block of each experiment and acted as a susceptible check and spreader
ensuring adequate conidial inoculum across the trial. Plots were scored for scald symptoms at
approximately Feekes stage 11.1 to 11.3 on June 13th and 9th in 2022 at the Helfer Farm and Snyder
Farm field sites, respectively, and at Feekes stage 11.1-11.3 from June 26th to the 30th, and June 15th to
19th in 2023 at the McGowan Farm and Ketola Farm field sites respectively. Plots were scored on a 0-9
scale in all four environments. Severity was also scored as a percentage diseased leaf area (DLA) for the
upper canopy in 2023. 2022 trial scores were converted to a % DLA. Additional agronomic traits were
scored in the trials including winter survival (WS), measured as percentage of plants surviving taken in
the early spring; heading date (HD), when 50% of the heads had completely emerged from the sheath
(converted to Julian heading date); and plant height, measured as the height (HT) from the ground to the
top of the spike excluding awns.

2.1.4 Data analysis

The scald DLA, WS, HD and HT were analyzed separately in 2024 JMP® 17.1 (JMP Statistical Discovery LLC, Cary NC) using a mixed model (restricted maximum likelihood; Reml) with genotype as fixed effects, and environment, row within environment, and column within environment as random model effects. Least squared means were used to determine frequency distributions and parental phenotypic value in comparison to the population. Spearman's correlations between DLA, WS, HD, and HT were determined using JMP. Heritability was determined on an entry-means basis where $h^2 = \sigma^2_g/(\sigma^2_e/t + \sigma^2_g)$, with σ^2_g, and σ^2_e representing genetic variance, and experimental error, respectively, and t representing number of test environments (Fehr, 1987).

To normalize the residuals and homoscedasticity for association analysis, the DLA, WS, HD, HT measurements were analyzed in a simple linear model in R to estimate the residual parameters for the population of 377 without controls, with model effects of genotype, environment, row within environment and column within environment as fixed effects. A constant of 1 was added to each DLA measurement to avoid nulls for transformation. The best lambda for transformation of the data was determined using the Box-Cox function (Box & Cox, 1964) in the MASS package in R v3.2.3 (R Core Team, 2015), that was used to transform for normal residuals and homoscedasticity. The DLA (with added constant of 1), WS, HD and HT were transformed using respective lambda values of -0.1818, 2.9494, -7.0303 and 0.0606 for DLA, WS, HD and HT, respectively. The HD transformed data was multiplied by 1 x 10^{16} for further analysis.

The best linear unbiased predictors (BLUPs) transformed DLA scores were estimated using Reml in JMP with WS, HD and HT as fixed covariate effects and genotype, environment, row within environment, and column within environment as random effects. The BLUPs for the transformed values for DLA, WS, HD, and HT were also calculated using Reml in JMP with genotype, environment, row within environment and column within environment as random effects.

2.2 Genotypic Analysis

2.2.1 SNP Genotypic Data

Plant tissue was harvested from the multiparent population at the two-leaf stage. Tissue was lyophilized and a modified Cetyltrimethylammonium bromide (CTAB) extraction was used for DNA extraction (Doyle & Doyle,1987). DNA was genotyped with the 50K Illumina iSelect SNP array (Bayer et al., 2017; Mascher et al., 2017, 2021) at the USDA-ARS North Central Small Grains Genotyping Lab in Fargo, ND and resulted in high quality SNP data for 374 lines. The SNP data was trimmed from the original 43078 SNPs to exclude homozygous SNPs across the population using Tassel5.0 (Bradbury et al., 2007). The 15,463 SNPs were used to estimate population structure.

2.2.2 Marker Genotypic Data

Leaf tissue was collected for specific marker traits associations (MTA) within known resistance and/or height genes. For the MTA analysis, three kernels per line included in the multiparent population were planted in a 96 cell tray and grown under a light bench. Tissue was harvested at the seedling stage and lyophilized. The modified CTAB extraction was used to extract DNA (Doyle & Doyle, 1987).

The multi-parent population was genotyped for the plant height gene, *HvGA20ox2*, also known as *semi-*
dwarf1 (*sdw1*), to test for the presence of the *sdw1.d (Diamant)* or *sdw1.c* (denso) alleles (Xu et al.,
2017). The *sdw1.d* and *sdw1.c* alleles were amplified via polymerase chain reaction using mutant
specific primers based on Xu et al. (2017), with the adaptation of a fluorescent M13-tail in the forward
primers for both the sdw1.d allele (5401F_M13F; 5'- TGTAAAACGACGGCCAGTGGTGCTCCAGACCGCTCAG-
3') and sdw1.c (MC40861P3F_M13F; 5'- TGTAAAACGACGGCCAGTTATGGCGTGACCAAAGGTTC-3') that
correspond to the reverse primers for *sdw1.d* (5549R; 5'-CGGCGGAGGGGTCAATG-3'), and *sdw1.c*
(MC40861P4R; 5'- CACCAATCCACCACGAAGA-3'). The PCR reaction consisted of ~30 ng genomic DNA,
12.5 μl of GoTaq polymerase, 0.8 μM M13F primer, 6 μM R primer, 6 μM M13-FAM primer (*sdw1.d*) or
M13-VIC primer (*sdw1.c*) and 8.22 μl of H$_2$0 in a 25 μl reaction. The PCR amplification protocol for the
sdw1.d primer pair included an initial denaturation step of 94°C for 3 m, 30 cycles (94°C for 1 m, 55°C for
30 s, 72°C for 30 s), 10 cycles (94°C for 1 m, 50°C for 30 s, and 72°C for 30 s), and a final extension cycle
of 72°C for 20 m. The PCR amplification cycle for the sdw1.c was similar to above, however the
annealing temperatures in the two cycles were 54°C and 53°C.   Fragment analysis was performed on
pooled samples by the Biotechnology Resource Center (BRC) Genomics Facility (RRID:SCR_021727) at
the Cornell Institute of Biotechnolog*y (http://www.biotech.cornell.edu/brc/genomics-facility*) on an
Applied BioSystems 3730xl (Thermo Fisher Scientific, Waltham, MA), with the ABI 500LIZ size
standard. Results were analyzed in Genemarker (SoftGenetics, LLC, State College, Pennsylvania).

In addition, the HVS3 SCAR marker (Genger et al., 2003) was screened in the parental lines and
multiparent population using ~20ng genomic DNA, 1X GoTaq ® Green Master Mix (Promega Cooperation,
Madison, WI), 5μM Forward primer (5'-AAT CCT ACC TAT CCC ACC TT-3'*)*, 5 μM Reverse primer (5'-TAT
TTT CAG CCT TGT TCG GC-3') in a final reaction volume of 25 μl. The DNA was amplified via PCR using an
initial step of 94°C for 3 m, 35 amplification and extension cycles (94°C for 30 s, 50°C for 30 s, 72°C for 1
m), followed by a final extension cycle of 72°C for 20 m. The PCR products were amplified on a 2%
agarose gel using GelRed ® Nucleic Acid Stain (Biotium, Inc, Freemont, CA) for verification of PCR on a UV
lightbox.

2.3 Genome-wide association analysis

2.3.1 Genome-wide association mapping for resistance to scald with multiple models

Association analysis was used to identify regions of the genome that conferred resistance to the scald
pathogen in our adapted germplasm. Phenotypic data included the transformed DLA, WS, HD and HT
BLUPs. Of the diallel population, 374 lines were used that included both genotypic and phenotypic data.
The 43,078 SNPs (Morex version 3) derived from the 50K Illumina iSelect SNP array (Bayer et al., 2017;
Mascher et al., 2017, 2021) were filtered to exclude homozygous SNPs across the population in TASSEL
5.0 (Bradbury et al., 2007).

Genome-wide association for Box-Cox transformed DLA BLUPs for scald that included WS, HD and HT as
covariates as previously described was ascertained using GAPIT version 3 (Wang & Zhang, 2021) in R
Studio (RStudio 2025.09.1+401, Posit Software, PBC). The Mixed Linear Model (MLM), Multiple Locus
Mixed Linear Model (MLMM), Fixed and random model Circulating Probability Unification (Farm CPU)
and (Bayesian-information and Linkage-disequilibrium Iteratively Nested Keyway (BLINK) models (Yu et
al., 2006; Zhang et al., 2010; Segura et al., 2012; Liu et al., 2016; Huang et al., 2019), using the 15,463
SNPs, were used to estimate marker trait association parameters for resistance to scald. Kinship and

principal component analysis (PCA) were determined within GAPIT version 3 to be used in the

appropriate models. The Bonferroni threshold (Bonferroni, 1936) was used as an initial default

significance threshold for R^2 (MLM) and Percent Variation Explained (PVE; MLM, MLMM, FarmCPU, and

BLINK). The resulting Quantile-Quantile (QQ) plots and contributing PVE were examined to determine

the appropriate GWAS model for this structured population. To reduce type 2 false negative errors due

to the conservative nature of the Bonferroni threshold, the QQ plots were examined to determine the

best GWAS fit that combined the reduction of spurious associations and putative significant or strong

associations. The MLMM, FarmCPU and BLINK GWAS model QQ plots showed a *p*-value differentiation

at the -$\log_{10}(p)$ = 4.2. To determine the appropriate R^2 (MLM only) and PVE (MLM, MLMM, FarmCPU and

BLINK models) the 'N.sig = n' prompt was used in GAPIT version 3 for the significance threshold where 'n'

equals the number of markers for each model equal or above the -$\log_{10}(p)$ = 4.2 threshold. An additional

association analysis using FarmCPU with PCA = 2 as a covariate was used to validate model selection with

or without PCA.

2.3.2 The *Rrs1* gene complex

Linkage disequilibrium (LD) surrounding the two strongest SNP associations identified on chromosome

3H at 172 Mbp and 442 Mbp were analyzed to determine if they were the same peak or independent

associations. The LD was first assessed in TASSEL 5.0 (Bradbury et al., 2007) between the SNP at 172

Mbp and all the other SNPs on chromosome 3H (Figure 3A), and between the SNP at 442 Mbp and all

the other SNPs on chromosome 3H. The Manhattan plots for the GWAS MLM model (Figure 2) were

overlaid with LD estimates and used to confirm the presence of a large linkage block across the

centromeric region of chromosome 3H, as visualized in the 'ggplot2' package of R v3.2.3 (R Core Team,

2015). The GWAS MLM model was used to assess associations using GAPIT version 3, using the same

methodology as above, except for only using the 354 lines in the GWAS model and using the HVS3

marker data as a covariate (Figure 3C).

2.3.3 GWAS for resistance to scald, winter survival, heading date and plant height

The Box-Cox transformed DLA BLUPS (without WS, HD, and HT as covariates), as well as Box-Cox

transformed WS, HD, and HT BLUPs were used as independent trait variables in both MLM and FarmCPU

analysis using GAPIT version 3 in RStudio, with the 15,463 SNPs. The significant thresholds were based

on visual inspection of the QQ plots of FarmCPU and set to -$\log_{10}(p)$ = 4.0. As in the first GWAS analysis

listed above, the models were run again using the 'N.sig = n' prompt to determine the correct PVE in

GAPIT version 3. The resulting Manhattan plots were created in ggplot2 in RStudio.

The LD along chromosome 2H was compared to the significant SNP in the marker-trait association for

resistance to scald, to determine if there was co-localization and/or LD between WS, HD, and HT to

resistance to scald. The Manhattan plot was created in ggplot2 to map the LD and SNP marker trait

associations from the MLM GWAS model, and included the clustered SNP regions of the WS, HD and HT

marker trait associations on the short end of chromosome 2H. Regions around the DLA, WS, HD and HT

SNP associations were based on the SNP associations in the MLM model that were above -$\log_{10}(p)$ = 4.0,

as an indicator of the confidence interval for the trait.

3 RESULTS

3.1. The multi-parent population pedigree

The genetic population used in this dataset is structured as an unbalanced diallel design derived from
377 biparental crosses between four winter malting barley cultivars ('Flavia', 'KWS Scala', 'SY Tepee', and
'WintMalt') and one facultative malting barley cultivar ('Lightning'; Figure 1). The largest number of
lines was derived from 'Lightning' x 'SY Tepee' crosses, with 93 lines. 'Lightning' was the parent with the
most lines represented, with 272 (72%) of the 277 lines. 'WintMalt' was the parent with fewest lines
represented with only 95 of the 377 lines (25%). The fewest number of lines were from the cross
between 'WintMalt' x 'SY Tepee', with four lines. Additional parents were present in the population with
43.8% (165 lines), 35% (132 lines), 26% (100 lines) for 'SY Tepee', 'Flavia', and 'KWS Scala' respectively.

Figure 1. Structure of the unbalanced diallel multiparent population derived from five adapted cultivars.
The 377 lines consisted of recombinant inbred lines and doubled haploids. The number of lines between
each biparental cross is indicated along the line between the two parents, which were used for
statistical analysis (377 lines) and for GWAS analysis (374 lines) with three lines less as indicated by the
numbers in parenthesis.

3.2 Segregation for disease and agronomic traits in the multiparent population

The multi-parent population along with the corresponding parental cultivars, additional breeding lines
and several check cultivars were grown in four environments at the Cornell University Campus Area
Farms near Ithaca, NY, including two field sites (Helfer Farm and Snyder Farm) in 2022, and two field sites
(McGowan Farm and Ketola Farm) in 2023 (Supplemental Table S1). *A priori* knowledge of these field
sites indicated a historical presence of the scald pathogen in each environment. To ensure adequate
disease development in the field as well as monitor disease, the scald susceptible variety 'KWS Scala'

(Blachez et al., 2018) was grown in each experimental block, ranging from 13 entries in the Helfer Farm,
2022 field site to 17 entries in the McGowan Farm 2023 field site.

Distributions of disease and agronomic traits showed transgressive segregation for most traits from the
parental lines. The distribution of scald DLA was skewed towards the y-axis, with Lighting as resistant,
'SY Tepee' as moderately resistant, and 'WintMalt', 'KWS Scala' and 'Flavia' as susceptible to scald
(Supplemental Fig1). An analysis of variance indicated significant variation for DLA for genotypes,
environment and rows within environment.   The means and ranges of disease severity within the 377
lines grown across the locations varied with 50.8% (0-99%) in Helfer Farm, 2022, 19.9% (0-99%) in Snyder
Farm, 2022, 6.0% (0-90%) in Ketola Farm, 2023, and 19.7% (0-95%) in McGowan Farm, 2023.

Across all three agronomic traits, significant variation was identified for genotype, rows within
environment, and columns within environment, but not between environments. Across the four
environments, WS was skewed towards 100% survival, with 'SY Tepee', 'WintMalt' and 'KWS Scala'
showing good winter survival (>85%). 'Lightning' and 'Flavia' showed moderate winter survival (~75-
80%), while a check cultivar, 'Endeavor', showed poor winter survival. The average winter survival within
the population ranged from 75% (Helfer Farm, 2022) to 87% (Ketola Farm, 2023) with an average across
the four environments of 79.9%. Differences in heading date between the parents ranged from ~143
days (Flavia) to ~151 days ('WintMalt'), with 'Lightning', 'SY Tepee' and 'KWS Scala' showing mid-range
heading dates between 145 days to 147 days. The heading date (Julian) ranged from an average of 142
days in McGowan Farm, 2023 to 150 days to heading in Helfer Farm, 2022. Plant height ranged from an
average of 52 cm to 67 cm in Ketola Farm, 2023 (Supplemental Table S1 & S2). Heritability based on an
entry-means basis for DLA, WS, HD and HT were 0.76, 0.69, 0.83 and 0.57 respectively.

3.3 Scald is correlated with agronomic traits

Correlations between scald DLA and agronomic traits were ascertained to determine the effect of winter
survival, heading date and plant height on scald using the 377 lines within the multiparent population
(Supplemental Table S2). A highly significant negative correlation was identified between DLA and plant
height ($P < 0.0001$; $R^2 = -0.29$), indicating that taller plants had less disease in the upper canopy. A
significant correlation was also identified between heading date and scald DLA ($P < 0.0107$; $R^2 = 0.13$),
indicating that a later heading date had more DLA. The correlation between winter survival and DLA was
not significant across environments ($P = 0.1065$). Notably, there was a significant interaction between

Figure 2. Spearman's correlation between resistance to scald (diseased leaf area), and a) winter survival,
b) heading date and c) plant height, in the 377 line multiparent population across four environments in
2022 and 2023.

winter survival and heading date (P=0.0229; R^2 = -0.1171), and a highly significant interaction between
WS and plant height (P<0.0001; R^2 = 0.38), and no significant correlation between heading date and
plant height.

3.4 Genome wide association mapping for resistance to scald

389 3.4.1 GWAS for resistance to scald using multiple GWAS models

Population structure determined via principal component analysis in GAPIT version 3 (Wang & Zhang,
2021; Supplemental Figure S2) indicated that the multiparent population consisted of four groupings
with the use of only the first and second PCAs, indicative of the relatedness between RILs and DHs. The
QQ plot of the MLM GWAS model showed marked deviation of the observed from expected p-values for
the MLM model that accounts for both population structure and kinship (Figure 3). The MLMM,
FarmCPU and Blink models had QQ plots that were largely in line with the observed and expected p-
values. The FarmCPU model with PCA = 2 as a covariate resulted in a QQ plot with the observed -$\log_{10}(p)$
values skewing towards the x-axis in comparison to the FarmCPU model with no PCA covariate and was
not further pursued (Supplemental Figure S3). The Bonferroni threshold of -$\log_{10}(p)$ = 5.5 was initially
used in GAPIT version 3 as the default to determine significance. Analysis of the QQ plots, and
particularly the QQ plots from the FarmCPU analysis, showed that a threshold of -$\log_{10}(p)$ = 4.2 was more
appropriate and reduced type II errors, where the observed veered from the expected regression line.
Re-analysis of the GWAS models for MLM, MLMM, FarmCPU and Blink threshold set to -$\log_{10}(p)$ of -4.2
was used to estimate the appropriate phenotypic variation explained (Table 1) and showed distinct
differences between models (Supplemental Table S3 & S4).

MLM: The mixed linear model, with population structure and kinship included, resulted in 77 SNPs
associated with resistance to scald (Figure 3). Seventy-seven SNPs were localized in two SNP clusters on
chromosome 3H and spanned a region from 88 Mb to 448 Mb. Two peaks across this region were
identified as the significant associations, with the most significant SNP association located at
174,868,558 bp, with a -$\log_{10}(p)$ = 7.3 (MAF = 0.46; PVE = 0.6%. The second SNP peak was located at
442,185,927 bp, with a -$\log_{10}(p)$ = 7.0 (MAF = 0.47; PVE = 3.8%). An additional SNP association was
identified on chromosome 6H (MAF = 0.004; PVE = 50.9%). Despite the large phenotypic variation
attributed to the SNP association on chromosome 6H, it appears to be an anomaly (false positive) and
will be treated as such in the further interpretation of results.

MLMM: Using MLMM, two SNPs were found to be associated with resistance to scald (Figure 3). The
single peak identified was located on chromosome 3H, at 174,868,558 bp, with a-$\log_{10}(p)$ = 11.1 (MAF =
0.46; PVE = 19.4%). The second association was identified as a false positive at the previously described
SNP on chromosome 6H: 65,563,245 bp (MAF = 0.004; PVE = 51.6%).

Figure 3. Manhattan plots of the GWAS models including a) MLM, b) MLMM, c) FarmCPU, and d) BLINK, with corresponding QQ plots (right) in GAPIT version 3 for resistance to scald in the multiparent population with using DLA BLUPs for resistance to scald. DLA BLUPs for resistance to scald were calculated using winter survival, heading date and plant height as covariates in the BLUP model in four environments across 2022 and 2023. The red horizontal line in the Manhattan plots indicate the QQ plot - determined threshold of -$\log_{10}(p)$ of 4.2.

FarmCPU: The FarmCPU model identified four SNPs associated with resistance to scald (Table 1). The most significant SNP was located on chromosome 3H at 442,185,927 bp with a $-\log_{10}(p)$ = 14.8 (MAF = 0.47; PVE = 27.1%). The SNP association on chromosome 2H was at 18,144,981 bp (MAF = 0.426; PVE = 8.1%) Additional SNP associations were identified on chromosomes 3H (553,288,836 Mbp; MAF = 0.303; PVE = 5.2%), 4H (MAF = 0.240; PVE = 0.8%). Two additional SNP marker trait associations were identified however both had low MAF and are considered aberrations, including the association on chromosome 6H at 65,635,245 bp (MAF = 0.004; PVE = 6.3%) and on chromosome 5H at 329,813,939 bp (MAF = 0.021; PVE = 2.8%). FarmCPU with PCA=2 resulted in a QQ plot with the observed $-\log_{10}(p)$ values below the expected $-\log_{10}(p)$ values and skewed towards the x-axis and was not considered further (Supplemental Figure S3).

BLINK: BLINK identified three SNPs associated with resistance to scald. The most significant SNP was located on chromosome 3H: 442,185,927 bp, with a $-\log_{10}(p)$ = 17.7 (MAF = 0.47; PVE = 7.6%). The next most significant SNP was located on chromosome 7H: 443,811,200 bp with a $-\log_{10}(p)$ = 11.6 (MAF = 0.327; PVE = 9.0%). The third SNP marker trait association was found on chromosome 4H at 22,416,684 bp with a $-\log_{10}(p)$ = 5.7 (MAF = 0.24; PVE = 4.3%). As with the other models, a SNP association was identified on chromosome 6H: 65,635,245 bp with a $-\log_{10}(p)$ of 7.2 (MAF = 0.004; PAV = 62.6%) and is considered an aberration.

A discrepancy between the GWAS models was identified, that was important in interpreting how to proceed with the analysis. The difference in SNP marker trait associations between the MLM, MLMM, FarmCPU and BLINK spans both SNP location and effect size. Notably, there appeared to be two large peaks on chromosome 3H (MLM) that were either an association on the first peak (MLMM) or on the second peak (FarmCPU; BLINK). In addition, PVE for the large peaks on chromosome 3H (442 Mbp) varied , with FarmCPU providing a PVE = 27%, indicative of a major gene such as the *Rrs1*, which co-localizes to that region.

Using Tassel 5.0 (Bradbury et al., 2007), the LD along chromosome 3H was compared to both peaks separately (Figure 4). Using the SNP at 174,868,558 bp, the LD analysis showed that SNPs across both peaks were in LD with the peak at 174 Mb, indicating a large linkage block that spanned the centromere. The LD analysis comparing the SNPs at the second peak at 442,185,927 bp also indicated that SNPs across both peaks were in LD with the second peak. In both scenarios of GWAS using MLM, where both peaks presented as being associated with resistance, the LD patterns were tightly linked and in coordination with the *p*-values of the surrounding SNP association landscape. As this population is derived from recombinant inbred lines and/or doubled haploids, it appears that the two large peaks on chromosome 3H comprise one large linkage block that spans the centromere. The SNP at 442 Mb is also the most significant SNP identified via GWAS MLM, and in FarmCPU and BLINK, with FarmCPU indicating the most variation explained by this SNP.

3.4.2 *Rrs1* is segregating in a large linkage block on chromosome 3H

The cluster of SNPs with high marker-trait associations on chromosome 3H co-localized near the *Rrs1* locus. To determine if the resistance in this region is due to the major resistance gene complex, *Rrs1*, the population was scored for the HVS3 SCAR marker, located downstream of the *Rrs1* gene (Genger et al., 2003). 'Lightning' harbored the resistance allele at 250 bp, while 'Flavia', 'KWS Scala', 'SY Tepee' and 'WintMalt' carried the susceptible allele at ~500 bp. (Supplemental Table S5). The HVS3 marker was

used as a covariate in both the MLM and FarmCPU GWAS analysis in GAPIT version 3. The resulting

Manhattan plot along chromosome 3H (Figure 4c) indicates the collapse of the entire region of SNP

associations to well below the threshold level, indicating the resistance identified via GWAS model is

conferred via *Rrs1*. Using the HVS3 marker as a covariate in the GWAS model implies *Rrs1* as the

resistance mechanism across this large region.

Based on the Morex version 3 genome, the SNP at 442,185,927 bp was located within a receptor-like

kinase (HORVU.MOREX.r3.HG0281210.1), that is one of several kinase and receptor-like kinase genes

clustered in the region. The SNP located on chromosome 3H at 553,288,836 bp co-localized with the

Rrs4 gene (Patil et al., 2003), located at 576.6 Mbp. The SNP resides in a Protein 1Q-Domain 1 gene

(HORVU.MOREX.r3.3HG0303590.1). The *Rrs17* resistance gene is located at 10.4 Mbp (Wagner et al.,

2008), upstream of the SNP identified on chromosome 2H located at 18.1 Mbp, that is located within a

leucine-rich repeat receptor-like protein kinase (HORVU.MOREX.r3.2HG0104570). The identified SNP on

chromosome 4H at 22,416,684 bp is located within HORVU.MOREX.r3.4HG0338290, a glucan endo-1 3-

beta glucosidase gene.

Table 1. SNP-trait associations for resistance to scald using DLA BLUPs (using winter survival, heading date and plant height as covariates in the
BLUPs), 374 lines and 15,463 SNPs in the MLM, MLMM, FarmCPU and BLINK GWAS models using a threshold of $-\log_{10}(p) > 4.2$.

SNP Marker Name	Chromosome	Position (bp)[a]	p-value	MAF[b]	Allele	Effect[c]	Phenotype Variance Explained (%)
MLM							
JHI-Hv50k-2016-168548	3	174,868,558	5.00E-08	0.462	c/t	-0.0398	0.6
JHI-Hv50k-2016-183351	3	442,550,473	2.35E-07	0.473	c/t	-0.0380	3.8
JHI-Hv50k-2016-183207	3	442,203,921	1.05E-07	0.471	t/a	-0.0380	0.7
SCRI_RS_221644	3	442,185,927	1.05E-07	0.471	a/g	-0.0380	0.1
JHI-Hv50k-2016-166941	3	127,232,535	3.47E-07	0.460	t/c	0.0005	0.0
MLMM							
JHI-Hv50k-2016-168548	3	174,868,558	8.22E-12	0.462	**c**/t	-0.0412	19.4
FarmCPU							
SCRI_RS_221644	3	442,185,927	1.58E-15	0.471	a/g	-0.0319	27.1
JHI-Hv50k-2016-70026	2	18,144,891	5.03E-06	0.426	g/a	-0.0121	8.1
JHI-Hv50k-2016-232214	4	22,416,684	1.04E-05	0.240	a/t	0.0130	0.8
JHI-Hv50k-2016-202358	3	553,288,836	1.76E-05	0.303	t/c	0.0130	5.2
BLINK							
SCRI_RS_221644	3	442,185,927	1.81E-18	0.471	a/g	-0.0335	7.6
JHI-Hv50k-2016-514374	7	443,811,200	2.39E-12	0.327	g/n	-0.0551	9.0
JHI-Hv50k-2016-232214	4	22,416,684	2.05E-06	0.240	a/t	0.0113	4.3

[a] SNP position based on Morex version 3 (Mascher et al., 2021)
[b] MAF, minor allele frequency
[c] Effect is based on Box Cox transformed data and as a result is a) not directly transferrable to unit measurements of DLA and b) the negative Box
Cox transformation of DLA results in the reverse effect direction of the DLA effect.

Figure 4. Dissection of the SNP-trait association (MLM) cluster on chromosome 3H for resistance to scald

DLA, where a) characterizes linkage disequilibrium (LD) in relation to the first SNP trait association at

174 Mbp, b) characterizes LD in relation to the second SNP-trait association at 442 Mbp, and c) the

Manhattan plot shows SNP-trait associations across chromosome 3H using the MLM GWAS model in

GAPIT version 3 using the HVS3 (*Rrs1*) scar marker as a covariate). SNP associations are labeled as black

dots. Linkage disequilibrium indicated as R^2 is shown in grey dots in a) and b). The most significant SNP-

trait association at 174 Mbp and 442 Mbp chromosome 3H are indicated as red dots in all three panels.

Four GWAS models were used to validate SNP associations across the genome for resistance to scald. All

four models have different approaches for estimating associations and are available to avoid overfitting

analysis. The most significant SNP association(s) in this study resided on chromosome 3H at either 174.8

Mbp or 422.1 Mbp. FarmCPU and Blink associations at these peaks had the lowest *p*-values (i.e., the

most significant) across the models. Importantly, the PVE greatly differed between the models for these
two SNPs. The PVE at 174.8 Mbp ranged from 0.6% to 19.4% for MLM and MLMM, respectively. The
PVE at 442.1 Mbp was 0.1%, 27.1% and 7.6% for the MLM, FarmCPU and Blink models, respectively. The
FarmCPU model PVE of 27.1% is indicative of major gene resistance such as *Rrs1*. The SNP association
on chromosome 6H, explained ~50% of the phenotypic variation in MLM, MLMM, and BLINK despite the
very low MAF (0.004) and given the variation in the few SNPs at this locus, appears to be a type I error.
The FarmCPU model constrains the PVE of this SNP at 6%. Due to the PVE allocated for the *Rrs1* region,
as well as for the aberrant SNP on chromosome 6H, and for simplicity, the FarmCPU was the model that
was used in the further analysis, being considered the best fit for this population. The MLM model was
also used for reference.

3.4.3 GWAS for resistance to scald compared to agronomic traits via FarmCPU

Association analysis across disease and agronomic traits for the 374 lines was used to identify SNP
associations for resistance to scald, winter survival, heading date and plant height to determine if there
was any colocalization of marker associations that explained the phenotypic traits (Table 2). The BLUPs
were estimated as mentioned above and included the scald DLA BLUP estimate (without using the WS,
HD, or HT as covariates; Supplemental Table S3). The QQ plots for the FarmCPU analysis (Figure 5)
indicated an adherence of the observed to expected -$\log_{10}(p)$ values except for several SNPs at the tail of
the slope, as expected. The separation of the observed to expected levels at the thresholds for each of
the traits was analyzed separately, and based on the QQ plots, a -$\log_{10}(p)$ = 4.0 was used as the threshold
for each trait (Figure 5).

DLA: In general, the SNP associations identified using the scald DLA BLUPs (without the agronomic traits
as covariates) using FarmCPU (Figure 5; Table 2) were similar to the previous identified SNPs (using the
agronomic traits as covariates; Table 1). The SNP associations using the correlated traits had slightly
lower *p*-values (i.e., more significant), except for the *Rrs1* region on chromosome 3H. The SNP
associations on chromosome 3H included the main association at 442,550, 473 bp (PAV=20.7%) and
553,388, 8365 (PAV = 6.2%).   The same SNP associations were identified on Chromosome 2H and 4H,
with slightly adjusted PVE of 6.7% and 1.2%, respectively. Additionally, a SNP association was identified
on chromosome 7H (MAF = 0.326; PVE = 0.2%; g/n) and may be a presence/absence variation. This SNP
association was present in the previous BLINK analysis but was below the -$\log_{10}(p)$ = 4.0 significance
threshold for FarmCPU. The SNP association aberrations identified in the previous analysis were also
identified in this analysis and not considered for further discussion based on low MAF and exaggerated
PVE for the SNP on Chromosome 6H.

Winter Survival: A SNP association was identified on Chromosome 2H, at 4,217,244 bp (MAF = 0.432;
PVE = 0.25%). Two additional SNPs were identified in FarmCPU that did not contribute to PVE (0%)
including SNPs on chromosome 1H at 9,315,052 bp (MAF = 0.161) and chromosome 5H at 84,325,845 bp
(MAF = 0.318), which are not considered further.

Heading date: The most significant SNP association with heading date co-localized at *Ppd-H1* (Turner et
al., 2005), on chromosome 2H at 26, 107,957 (MAF = 0.389; PVE = 18.4%). Two SNP associations were
identified on chromosome 1H at 9,315052 (MAF = 0.161; PVE = 13.1%) and 408,764,804 bp (MAF =
0.311; PVE = 9.8%). Two SNP associations were identified on chromosome 4H (558,482,572 bp) and
chromosome 5H (468,299,945 bp) however both contributed 0% to phenotypic variation.

Plant Height: Eight SNP associations were identified for HT (Table 1). The most significant SNP,
chromosome 2H: 10,011,392 contributes approximately 8.7% to the phenotypic variation and is
localized just upstream of *Ppd-H1* and the SNP association peak identified for heading date. The SNP
variant is g/r suggesting possible gene duplication in the region. The MLM GWAS model showed a
defined peak with multiple significant SNPs that are not segregating for heterozygousity (Supplemental
Table S6). Two SNP locations are located on Chromosome 7H at 510,346,749 (MAF = 0.083; PVE =
18.1%) and 568,195,700 (MAF = 0.2645; PVE = 7.5%), with the latter segregating for heterozygousity
indicating putative gene duplication. Four additional SNP associations were identified on chromosome
1H, 3H, 4H and 5H that accounted for PVE from 0.7 to 2.5%, with the chromosome 4H SNP association
identified as a heterozygote. The SNP association located on chromosome 3H with a PVE = 0.0% was
also driven by a heterozygous SNP variation and may be considered a false positive.

The *HvGA20ox2* gene, also known as *sdw1*, is located on chromosome 3H at 563.9 Mb and, in previous
GWAS studies, was suggested to contribute towards reaction of barley to scald (Looseley et al., 2018). In
our study, there were no SNP associations for plant height or resistance to scald located within this
region. The multiparent population and parental lines were genotyped using the *sdw1.d* and *sdw1.c*
markers (Xu et al., 2017), all of which carried the wild type allele at sdw1.

The correlation between resistance to scald and agronomic traits resulted in the co-localization of GWAS
SNP associations. The inclusion of agronomic traits in the DLA BLUPs as covariates in the initial analysis
(Table 1; Figure 3) appeared to lower the *p*-values for identified SNP associations and resulted in a higher
significance threshold in comparison to DLA BLUPs without covariates. While there was no overlap
between the identified SNP associations for resistance to scald (without using the agronomic traits in the
calculation of DLA). There were, however, distinct SNP associations on the short arm of chromosome 2H
for resistance to scald (chromosome 2H: 18.1 Mbp; Figure 6), winter survival (chromosome 2H: 4,2
Mbp), heading date (chromosome 2H; 26.1 Mbp) plant height (chromosome 2H: 10.1 Mbp), however
there appeared to be little genomic overlap between these peaks, as seen in the Manhattan plots for the
GWAS MLM model (Supplemental Fig S4). The linkage disequilibrium between the significant peak for
resistance to scald (DLA) and the remaining SNPs along chromosome 2H indicated that this region may
harbor LD, and that the scald DLA association should likely be considered a QTL related to architectural
avoidance (Figure 6). Alternatively, the SNP association is located within a gene encoding agmatine
coumaroyltransferase-2 (ACT-2), known to produce antifungal compounds (Burhenne et al., 2003). The
resistance gene, *Rrs17*, is also located at 10.4 Mbp (Wagner et al., 2008)

Figure 5: Manhattan plots for a) resistance to scald (DLA), b) winter survival (WS), c) heading date (HD), and d) plant height (HT) using the 374 lines and 15,463 SNPs in the FarmCPU GWAS model, and corresponding QQ plots (right). DLA BLUPs for resistance to scald were calculated without using winter survival, heading date and plant height as covariates in the BLUP model. All traits BLUPs were calculated in four environments across 2022 and 2023. The red horizontal line indicates the QQ plot – determined threshold of $-\log_{10}(p)$ of 4.0.

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Figure 6. Association and linkage disequilibrium (LD) analysis across chromosome 2H for resistance to scald (DLA; black dots). The Manhattan plot (left y axis) with black dots depicts the SNP associations for resistance to diseased leaf area (DLA) using FarmCPU in GAPIT version 3 with the most significant SNP association identified as a red dot across the first 1 Mb of chromosome 2H. Grey vertical shaded regions in the main graph represent confidence intervals based on significant MLM associations (Supplemental Figure S) of co-localized SNP-trait association clusters for winter survival (left, grey bar), heading date (right, grey bar) and plant height (left, dark grey bar). The LD estimate scatter plot (right y-axis) with grey dots indicates the LD of neighboring SNPs in relation to the SNP associated with resistance to scald (in red). The top right graph insert indicates the LD across chromosome 2H with respect to the most significant associated SNP for DLA. The vertical grey shaded box indicates the 1 Mb region shown in the main graph.

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591   Table 2. SNP-trait associations for diseased leaf area for scald, winter survival, heading date and plant height BLUPs, for the 374 lines and 15,463

592   SNPs in the FarmCPU GWAS model using a threshold of $-\log_{10}(p) > 4.0$. using the FarmCPU model

SNP	Chromosome	Position (bp) [a]	p-value	MAF[b]	Allele	Effect[c]	Phenotype Variance Explained(%)
Scald DLA							
JHI-Hv50k-2016-183351	3	442,550,473	1.28E-15	0.473	c/t	-0.0335	20.7
JHI-Hv50k-2016-202358	3	553,288,836	8.77E-06	0.300	t/c	0.0162	6.2
JHI-Hv50k-2016-232214	4	22,416,684	1.55E-05	0.240	a/t	0.0127	1.2
JHI-Hv50k-2016-70026	2	18,144,891	2.45E-05	0.425	g/a	-0.0119	6.7
JHI-Hv50k-2016-514674	7	443,811,200	3.76E-05	0.326	g/n	0.1888	0.2
Winter survival							
JHI-Hv50k-2016-61826	2	4,217,244	8.22E-29	0.432	a/g	-36892.4	0.25
Heading date							
JHI-Hv50k-2016-73663	2	26,107,957	3.59E-31	0.389	g/t	0.5854	18.4
BOPA1_4178-1592	1	408,764,804	4.96E-13	0.311	a/g	0.2405	9.8
JHI-Hv50k-2016-9518	1	931,5052	2.00E-07	0.161	a/g	-0.1999	13.1
Plant height							
JHI-Hv50k-2016-64130	2	10,119,392	3.40E-15	0.288	g/r	-0.0676	8.7
JHI-Hv50k-2016-175127	3	322,820,460	1.71E-07	0.499	c/n/y	-0.3922	2.2
JHI-Hv50k-2016-335573	5	527,330,768	2.68E-06	0.201	a/g	0.0264	2.5
JHI-Hv50k-2016-7860	1	7,633,880	5.75E-06	0.499	a/g	-0.0211	1.5
JHI-Hv50k-2016-250342	4	494,857,832	6.47E-06	0.198	g/r	0.0379	0.7
JHI-Hv50k-2016-493281	7	568,195,700	7.29E-06	0.264	a/g	-0.0261	7.5
JHI-Hv50k-2016-490693	7	510,346,749	7.53E-06	0.083	t/w	0.0507	18.1

593   [a] SNP position based on Morex version 3 (Mascher et al., 2021)

594   [b] MAF, minor allele frequency

595   [c] Effect is based on Box Cox transformed data and as a result is a) not directly transferrable to unit measurements of traits and b) the negative Box

596   Cox transformation of DLA and HD results in the reverse effect direction of effects.

4 DISCUSSION

4.1. Breeding for resistance to scald in winter malting barley is important.

Malting barley is a commercially important crop due to its value in the brewing and distilling industries. The malting process demands uniformly high quality grain. Winter malting barley, grown in New York for the craft brewing industry, faces unique challenges due to the wet humid weather in this region. Foliar fungal diseases affect both spring and winter malting barley, resulting in yield loss and kernel quality issues. The environmental conditions encountered by winter malting barley grown in New York are more conducive to scald infections than spring barley, even when grown in similar regions (Kolkman et al., 2025a, b).

In this study, ambient inoculum of *R. graminicola* was relied upon for field infections. Winter malting barley is planted in the fall and develops in the early spring season in New York when weather is favorable for development, reaching levels of up to 77% severity in susceptible germplasm (Kolkman et al., 2025a). The distribution of the susceptible parent check, 'KWS Scala', across each of the environments in this study validated the consistent natural infection across the experiment, while early season infections in 'KWS Scala' provided spore production across the experiment that resulted in consistent disease severity in highly susceptible genotypes.

4.2 Resistance in the multiparent population is comprised of both major resistance genes and quantitative disease resistance.

The *Rrs1* gene complex is an important source of resistance to scald. We identified a large linkage block on chromosome 3H that spanned the centromere and initially appeared as two separate peaks in the MLM GWAS model. Linkage disequilibrium analysis validated that the large region was in LD with the most significant peak that co-localized near the *Rrs1* gene complex. Additional evidence of the large linkage blocks was seen in the deviation of the observed: expected *p*-values as seen by the heavily skewed slope in the QQ plots for the GLM and MLM models. The evidence of a large linkage block at *Rrs1* has been seen in previous GWAS studies, where a large linkage block was identified on chromosome 3H for seedling resistance in a diversity panel of spring barley (Hiddar et al., 2023) which was accompanied by QQ plots that showed a large deviation from the expected, and where there were two peaks in LD that spanned the centromeric region (Kunze et al., 2024).

Fine-mapping *Rrs1* to a 0.8 cM has identified ten candidate genes, however the *Rrs1* allele is in a centromeric region with high linkage disequilibrium and is not present in the reference Morex genome (Looseley et al., 2020). Further association analysis derived three markers near a protein kinase gene, indicating the *Rrs1* may be an allelic a member of the protein kinase gene family (Looseley et al., 2020). Examination of the mode of action of the *Rrs1* gene upon infection reveals that the resistance is incomplete, but that hyphal development is restricted, random in the direction of growth, and exhibits a delay in the collapse of the epidermal layer (Thirugnanasambandam et al., 2011). Additionally, marker trait associations on chromosome 3H have been found to contribute ~27 to 30% of the phenotypic variation for scald (Kunze et al., 2024; Noe et al., 2025), similar to the 27% phenotypic variation explained at *Rrs1* in this study. Importantly, additional SNP marker trait associations were found across the genome, including one that co-localized with *Rrs4* on chromosome 3H, and regions on chromosomes 2H, 4H, and 7H that can add to durable long term resistance.

4.3 Agronomic traits can reduce disease infection

Rhynchosporium graminicola is a slow growing pathogen, requiring a three-week incubation period
between infection and lesion development. It capitalizes on the long early cold days of spring to
increase in spore load and infection. Agronomic variables can influence the rate of upward dispersal of
spores in the plant canopy. Winter survival is an important trait in crop improvement in northern
environments. The positive correlation between reduced plant density from poor winter survival (and
resulting reduced plot canopy) and reduced disease is an indicator of a microclimate and putative
reduced *R. graminicola* growth and/or spore load that leads to less disease. While not useful for
breeding purposes regarding management of scald through reduced canopy density, it is important to
include in GWAS models as a covariate for the phenotypic trait to improve SNP association identification.

Additional agronomic traits can help reduce infection. The negative correlation between heading date
and scald is indicative of an escape or avoidance mechanism, limiting the ability of *R. graminicola* conidia
to reach the upper leaves and spikes. The negative correlation between plant height and diseased leaf
area for scald also suggests an avoidance mechanism in plant defense, where elongative growth of plants
may outpace pathogen reproductive cycles thus delaying the rain splash dispersal of conidia to upper
leaves and glumes. The *sdw1* gene has previously been identified in GWAS for resistance to scald
(Loosely et al., 2018). In this study we identified a region on the short arm of chromosome 2H that
contributed to plant height and heading date (via *Ppd-H1*). The SNP association in this region may
contribute to either plant architectural traits, and/or active resistance, however it provides a target for
selection. With a rapidly mutating pathogen, breeding for earlier heading date and taller plants would
help provide additional mechanisms for plant defense. The implications for disease management as
shorter plants are often selected or desired for reduced lodging should include selection for resistance to
scald.

4.4 When your population is not that diverse: Selection of GWAS model for adapted
multiparent populations

GWAS is a powerful tool for discovery of trait genetic architecture within a population.   The
identification of SNP marker trait associations can also aid in confirmation of previously identified
genetic elements, if the allele is segregating in the population at the appropriate frequency and
amplitude to be detected. Understanding the allele effect, or percent variation explained by the
SNP/marker trait association can have implications that are important to move forward with more basic
genetic studies, or in selection for breeding programs. Most GWAS studies utilize diverse genetic
populations for the pursuit of trait association analysis, relying on the thousands of meiotic
recombination events between the diverse lines to determine the precise genetic location of marker trait
associations. The availability of high-density genotyping platforms for breeding programs offers the
ability to use GWAS for crop improvement (Spindel et al., 2013). Breeding programs often cycle many
semi-related genetic materials through preliminary breeding trials to select a set number of lines to
move forward for selection. While early generation selection of specifically targeted and agronomically
important high heritability traits may limit the germplasm pool, the preliminary breeding trials are often
replicated across locations. Harnessing the power of GWAS in large breeding populations can be very
useful for understanding the genetic architecture of segregating traits in breeding programs

The multiparent population was derived from a series of biparental crosses and presented a challenge in how to separate population structure from effect. In this study, the SNP associations near *Rrs1* on chromosome 3H varied from 174 Mb accounted for 19.4% in MLMMPVE), whereas the SNP association at 442Mb accounted for 0.1% (MLM), 27.1% (FarmCPU) and 7.6% (BLINK). The FarmCPU model appeared to be the least likely to overfit or overamplify type 1 associations in the structured population. The MLM and MLMM GWAS models include both kinship and population structure whereas FarmCPU includes kinship of associated markers and BLINK includes neither in their respective models. Population structure and kinship are useful tools to reduce the confounding effects and to target unbiased marker trait associations in large diverse populations. FarmCPU detected the largest amount of variation for resistance to scald at the *Rrs1* locus on chromosome 3H, using more limited kinship but not population structure.

Breeding for durable resistance to *R. graminicola* should encompass pyramiding a variety of mechanisms to reduce the loss of yield and quality to scald. Major genes, such as *Rrs1*, provide a high level of resistance and can be combined with QTLs and additional major resistance genes, especially those with differing modes of action, to increase the resistance profile and decrease scald. In addition, selection for agronomic traits, such as early flowering time and increased plant height can aid in limiting the vertical spread of disease in the canopy and spike, in order to limit loss of quality and yield to scald.

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CONFLICT OF INTEREST

The authors declare no conflict of interest

AUTHOR CONTRIBUTIONS

Judith M. Kolkman: Conceptualization; Data curation; Formal analysis; Investigation; Methodology; Visualization; Writing – original draft; Writing – review & editing.
Siim. S. Sepp: Investigation; Writing – review & editing.
Karl Kunze: Conceptualization; Investigation; Writing – review & editing.
Gary C. Bergstrom: Conceptualization; Funding acquisition; Project administration; Supervision; Writing – review & editing.
Mark E. Sorrells: Conceptualization; Funding acquisition; Project administration; Supervision; Writing – review & editing.

ORCID

Judith M. Kolkman: https://orcid.org/0000-0001-7388-7245

Siim S. Sepp: https://orcid.org/0000-0003-1181-7924

Karl H. Kunze: https://orcid.org/0000-0003-4548-1808

Gary Bergstrom: https://orcid.org/0000-0001-9613-270X

Mark E. Sorrells: https://orcid.org/0000-0002-7367-2663

SUPPLEMENTAL MATERIAL

DATA AVAILABILITY

Phenotypic data and GWAS results are available in supplemental tables; genotypic SNP dataset is
available at (https://ics.hutton.ac.uk/50k)

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Supplemental figures

Figure S1. Frequency distribution for a) scald diseased leaf area, b) winter survival, c) heading date (Julian), and d) plant height shows transgressive segregation in the population of 377 lines derived from the parental lines. Values for each parental line are indicated by the blue arrows for each of the disease and agronomic traits. 'Endeavor', a check cultivar grown across blocks and experiments is also shown for comparison.

Figure S2. Principal component analysis for the 374 lines of the multiparent population displaying a) PCA
eigenvalues and b) genotype clustering for PCA = 2, indicating clustering into 4 subgroups.

972   Figure S3. Quantile-quantile plot for FarmCPU GWAS model for resistance to scald in winter
973   malting barley using PCA=2 as a covariate.

Figure S4: Manhattan plots for a) resistance to scald (DLA), b) winter survival (WS), c) heading date (HD), and d) plant height (HT) using the 374 lines and 15,463 SNPs in the MLM GWAS mode, with corresponding QQ plots (right). DLA BLUPs for resistance to scald were calculated without using WS, HD date and HT as covariates in the BLUP model. All traits BLUPs were calculated in four environments across 2022 and 2023. The red horizontal line indicates the QQ plot – determined threshold of -$\log_{10}(p)$ of 4.0.

Supplemental Tables

Table S1. Diseased leaf area for scald, winter survival, heading date and plant height in the multiparent
population across four environments.

Table S2. Least square means of diseased leaf area for scald, winter survival, heading date and plant
height for the multiparent population across four environments.

Table S3. Transformed diseased leaf area BLUPs with and without agronomic covariates, as well as the
transformed winter survival, heading date and plant height across four environments.

Table S4. SNP association statistics for diseased leaf area for scald (with agronomic trait covariates) in the
multiparent population for the MLM, MLMM, FarmCPU and BLINK GWAS models.

Table S5. HVS3 SCAR marker allele sizes linked to *Rrs1* in the multiparent population.

Table S6. SNP association statistics for diseased leaf area for scald (without agronomic trait covariates),
winter survival, heading date and plant height in the multiparent population for MLM and FarmCPU
GWAS models.