

1 **Brain Transcriptional Profiles of Male Alternative Reproductive Tactics in**  
2 **Bluegill Sunfish**

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## Abstract

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Bluegill sunfish are one of the classic systems for studying male alternative reproductive tactics (ARTs) in teleost fishes. In this species, there are two distinct life histories: parental and cuckolder, encompassing three reproductive tactics, parental, satellite, and sneaker. The parental tactic is fixed, whereas individuals who enter the cuckolder life history transition from the sneaker to the satellite tactic as they grow. For this study, we used RNAseq to characterize the brain transcriptome of the three male tactics during spawning to identify gene categories associated with each tactic and identify potential candidate genes influencing their different spawning behaviors. We found that sneaker males had higher levels of gene differentiation compared to the other two tactics, suggesting that life history does not exclusively drive differential gene expression. Sneaker males had high expression in ionotropic glutamate receptor genes, specifically AMPA receptors, which may be important for increased working spatial memory while attempting to cuckold nests in bluegill colonies. We also found significant expression differences in several candidate genes involved in ARTs that were previously identified in other species and suggest a previously undescribed role for cytosolic 5'-nucleotidase II (*nt5c2*) in influencing parental male behavior during spawning.

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## Introduction

35           Understanding the genetic mechanisms influencing variation in behavior can  
36 provide insight into how different behavioral phenotypes within populations evolve  
37 and are maintained. One important area of research on behavioral phenotypes  
38 focuses on alternative reproductive tactics (ARTs), which are found in a wide array  
39 of taxa (Gross 1996; Mank & Avise 2006; Taborsky *et al.* 2008; Taborsky &  
40 Brockman 2010). ARTs typically consist of larger males practicing a “territorial”  
41 tactic that maintain and protect breeding territories and smaller “sneaking” males  
42 that sneak fertilizations rather than compete with territorial males (Taborsky  
43 1998). The mechanisms underlying the expression of ARTs can differ significantly  
44 across species. In some cases, tactics are fixed for life (fixed tactics) (Taborsky  
45 1998) and often represent distinct life histories. Fixed tactics can occur through  
46 either inherited genetic polymorphisms (Lank *et al.* 1995; Shuster & Sassaman  
47 1997), condition-dependent switches that are triggered prior to sexual maturation  
48 (Taborsky 1996; Gross 1996; Gross & Repka 1998), or a combination of genetic and  
49 environmental factors (Piché *et al.* 2008, Neff & Svensson 2013). In other cases,  
50 individuals can exhibit different tactics throughout their reproductive life, either as  
51 they grow or in response to changing social context (plastic tactics or status-  
52 dependent tactics) (Gross 1996; Taborsky 1996; Taborsky *et al.* 2008). Recent  
53 advances in genome sequencing, such as RNA sequencing (RNAseq), now allow  
54 behavioral ecologists to explore what genetic pathways contribute to behavioral  
55 variation among mating tactics and examine if the pathways differ among species or  
56 between individuals that exhibit fixed versus plastic tactics.

57           Over the past few years there have been numerous studies examining how  
58 differences in gene expression correlate with behaviors adopted by male ARTs  
59 (Aubin-Horth *et al.* 2005; Renn *et al.* 2008; Fraser *et al.* 2014; Schunter *et al.* 2014;  
60 Stiver *et al.* 2015). Most of these studies have found a large number of genes within  
61 the brain that vary in expression among tactics during mating. For example, a recent  
62 study examining gene expression differences in the ocellated wrasse (*Symphodus*  
63 *ocellatus*) found 1,048 differentially expressed genes when comparing sneakers to  
64 two other male tactics (nesting and satellite) and to females (Stiver *et al.* 2015). In  
65 the black-faced blenny (*Tripterygion delaisi*), RNAseq identified approximately 600  
66 transcripts differentially expressed within the brains of sneaker versus territorial  
67 males (Schunter *et al.* 2014). In a third study, approximately 2,000 transcripts were  
68 differentially expressed between intermediately-sized sailfin molly (*Poecilia*  
69 *latipinna*) males that primarily perform courtship behaviors compared to small  
70 males that only perform sneaking behaviors (Fraser *et al.* 2014). Changes in social  
71 context also led to a larger response (i.e. changes in gene expression) in  
72 intermediate-sized males that show higher levels of tactic plasticity when compared  
73 to small sneaker males (Fraser *et al.* 2014), suggesting that genes driving neural  
74 response during mating may differ between plastic and fixed tactics.

75           With the increase in genomic studies examining differential gene expression  
76 among male ARTs, there are a growing number of candidate genes suggested to  
77 drive the behavioral differences among tactics. Schunter *et al.* (2014) proposed a list  
78 of potential candidate genes based on a number of studies that included  
79 gonadotropin releasing hormone (*gnrh*), arginine vasotocin (*avt*), cytochrome P450

80 family 19 subfamily A polypeptide 1 (*cyp19a1*), ependymin (*epd*), galanin (*gal*),  
81 stomatostatin (*sstr1* and *sstr3*), and early growth response 1 (*egr1*). Many of these  
82 genes are involved in hormone regulation and mating behavior, and differences in  
83 expression levels have been observed among mating tactics in different fish species  
84 (Table 1). For example, the product of the *cyp19a1b* gene is aromatase B, a key  
85 enzyme responsible for the conversion of androgens to estrogens within radial glial  
86 cells of adult fish (Forlano and Bass 2005; Le Page *et al.* 2010). *Cyp19a1* plays an  
87 important role in sex determination and sex change in fish (Nakamura & Kobayashi  
88 2005; Black *et al.* 2005; Marsh *et al.* 2006) and higher levels of gene expression have  
89 been observed in territorial males compared to sneaker males in peacock blennies  
90 (*Salaria pavo*) (Gonçalves *et al.* 2008), black-faced blennies (*Tripterygion delaisi*)  
91 (Schunter *et al.* 2015), and an African cichlid (*Astatotilapia burtoni*) (Renn *et al.*  
92 2008). As more genomic data become available, the number of candidate genes in  
93 this list will likely increase and evaluating gene responses across taxa will aid in  
94 determining whether similar genetic pathways drive ART behaviors across different  
95 species.

96         One of the best-studied fish species with male ARTs is the bluegill sunfish  
97 (*Lepomis macrochirus*). In this species, males have two distinct life histories:  
98 parental and cuckolder. In Lake Opinicon (Ontario, Canada), parental males mature  
99 at around seven years old and construct nests, court females, and provide care to  
100 young (Gross 1982). Cuckolder males mature at a significantly younger age, around  
101 two years old (Gross 1982). Rather than competing with parental males for access  
102 to females, cuckolders initially use a “sneaking” tactic to dart in and out of nests

103 while parental males and females are spawning. As they grow, typically around an  
104 age of 4 years, cuckolder males transition into “satellite” males by taking on female-  
105 like coloration and behaviors (Dominey 1980; Gross 1982). Satellite males use this  
106 female mimicry to deceive a parental male that he has two true females in his nest  
107 (Neff & Gross 2001). The parental and cuckolder life histories are fixed – once a  
108 male adopts the parental or cuckolder life history, he remains in that life history for  
109 life (Gross & Charnov 1980). However, within the cuckolder life history, mating  
110 tactics are ontologically plastic, with males apparently transitioning from the  
111 sneaker tactic to the satellite tactic as they age (Gross & Charnov 1980).

112 While the spawning behavior, reproductive success, and hormone profiles of  
113 bluegill have been studied extensively (Gross & Charnov 1980; Kindler *et al.* 1980;  
114 Kindler *et al.* 1991; Neff 2001; Neff 2004; Knapp & Neff 2007), the genetic factors  
115 influencing behavioral differences during spawning are less clear (Partridge *et al.*  
116 2015). Thus, for this study, we used RNAseq to characterize the brain transcriptome  
117 of the three spawning male tactics (parental, sneaker, and satellite), in addition to  
118 non-spawning parental males, to examine how differences in gene expression may  
119 relate to behavioral variation among the tactics. Specifically, we (1) assessed  
120 whether or not there is a greater difference in gene expression profiles between  
121 fixed tactics (parental versus the two cuckolder tactics) than between tactics within  
122 a plastic life history (sneaker versus satellite), (2) identified specific gene categories  
123 that are expressed for each tactic, and (3) examined the expression of potential  
124 candidate genes associated with ARTs from other fish species to determine if they  
125 also differentiate the ARTs in bluegill.

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## Methods and Materials

### 128 Bluegill Sampling

129           In June 2013, bluegill sunfish were collected from Lake Opinion near Queen's  
130 University Biological Station (QUBS), Elgin, Ontario, Canada. A total of 12 parental  
131 males, 12 sneaker males, 13 satellite males, and 12 females were collected in the act  
132 of spawning directly from the bluegill colony. An additional 12 non-nesting parental  
133 males were collected four days prior to spawning (as determined once spawning at  
134 these colonies began). Individuals were euthanized using clove oil, total body length  
135 was measured, and brains were immediately dissected out and stored in RNAlater  
136 (Life Technologies, Carlsbad, CA). Brains remained in RNAlater at 4°C for 24 hours  
137 and were then transferred to fresh cryovials, flash frozen, and kept in liquid  
138 nitrogen until they were transported on dry ice to the University of Western  
139 Ontario. Samples were then stored at -80°C until RNA extraction.

140

### 141 Total RNA Extraction

142           Total RNA was extracted using a standard Trizol (Life Technologies,  
143 Carlsbad, CA) extraction. RNA was submitted to the London Genomics Center at the  
144 University of Western Ontario and quality was assessed using a 2100 Bioanalyzer  
145 (Agilent Technologies, Palo Alto, CA). Four individuals from each group (spawning  
146 parental males, non-spawning parental males, sneaker males, satellite males, and  
147 females), for a total of 20 individuals, were submitted to the Michigan State  
148 University Research Technology Support Facility - Genomics Center for cDNA

149 Library Construction and Sequencing. Individuals used for this study had RIN (RNA  
150 Integrity Number) values ranging from 9.2-9.9.

151

### 152 cDNA Library Construction and Sequencing

153 The cDNA libraries were constructed for each individual using Illumina  
154 TrueSeq Stranded mRNA Library Preparation Kits LT (Illumina, San Diego, CA), with  
155 each individual receiving a uniquely identifiable index tag. The quality of each  
156 library was evaluated and the 20 individuals were multiplexed into a single sample  
157 that was subsequently run on two lanes of an Illumina HiSeq2500 Rapid Run flow  
158 cell (v1). Sequencing was performed on paired end 2 x 150 bp format reads and  
159 bases were called using Illumina Real Time Analysis software (v1.17.21.3). Reads  
160 from each individual were identified based on their unique index tag, separated, and  
161 converted to fastq files using Illumina Bcl2fastq v1.8.4. Sequencing produced an  
162 average of 14.5 million reads per individual, with over 90% of the reads having a Q-  
163 score >30.

164

### 165 De novo Transcriptome Assembly and Reference Transcriptome

166 Prior to assembly, read quality was assessed using FastQC  
167 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc>). Nucleotides whose  
168 quality score was below PHRED=2 were trimmed using Trimmomatic version 0.32  
169 (Bolger *et al.* 2014), following recommendations from MacManes (2014). The  
170 reference transcriptome was assembled *de novo* using Trinity version 2.04 (Haas *et*  
171 *al.* 2013, Grabherr *et al.* 2011). One representative of each of the five groups



172 (spawning parental male, non-spawning parental male, sneaker male, satellite male,  
173 and female) was used to construct a combined reference transcriptome. The five  
174 representatives selected for the reference were the individuals with the highest  
175 number of reads within their group and, a total of 85 million paired-end reads were  
176 assembled. The assembly was conducted with both normalized and non-normalized  
177 reads and normalization was performed using Trinity's *in silico* normalization  
178 program. To test the completeness of the transcriptome, reads from samples not  
179 used in the assembly were mapped back to the transcriptome using Burrows-  
180 Wheeler Aligner (bwa)-mem version 0.7.12 (Li 2013), and >90% of those reads  
181 aligned, which is comparable to the rate of mapping for the individuals used in the  
182 assembly (92%).

183 TransDecoder was used to identify protein-coding regions within the  
184 assembled transcriptome. Transcripts that contained protein-coding regions or  
185 transcripts that blasted to complete coding sequences (cds) and non-coding RNA  
186 (ncRNA) from *Tetraodon nigroviridis*, *Lepisosteus oculatus*, *Xiphophorus*  
187 *maculatus*, *Oryzias latipes*, *Takifugu rubripes*, *Latimeria chalumnae*, *Astyanax*  
188 *mexicanus*, *Danio rerio*, or *Poecilia formosa* (downloaded from Ensembl) comprised  
189 the reference transcriptome used for both read alignment and to estimate transcript  
190 counts.

191

## 192 Read Alignment and Transcript Counts

193 Reads from each individual were separately aligned to the reference  
194 transcriptome using bwa-mem 0.7.10 (Li 2013). At least 85% of all reads from each

195 individual mapped back to the reference, with the majority aligning 90% of reads or  
196 higher. The sequence alignment/map (sam) files were then converted to a binary  
197 format (bam) using Samtools 0.1.19 (Li *et al.* 2009). Transcript counts for each  
198 individual were obtained using the program eXpress 1.5.1 (Roberts & Pachter  
199 2013). Differential gene expression was determined using the R statistical package  
200 edgeR 3.6.8 (Robinson *et al.* 2010). Low abundance transcripts were filtered out,  
201 leaving 19,804 transcripts for differential analysis. Transcript counts were  
202 normalized to account for differences in cDNA library size among individuals and  
203 dispersion parameters were estimated using Tagwise dispersion estimates.  
204 Differences in gene expression comparing paired treatments were calculated using  
205 an Exact-test for binomial distribution. Genes with p-values lower than 0.05 after  
206 false discovery rate (FDR) correction were determined to be statistically significant.  
207 Multidimensional clustering analysis was used to cluster individuals together based  
208 on the biological coefficient of variation.

209

#### 210 Gene Annotation and Enrichment Analysis

211 Both the reference transcriptome and transcripts differentially expressed  
212 among groups were blasted using Blastx against a custom-assembled fish protein  
213 database. This database consisted of Ensembl protein databases of 13 different fish  
214 species: Amazon molly (*Poecilia formosa*), zebrafish (*Danio rerio*), blind cave tetra  
215 (*Astyanax mexicanus*), cod (*Gadus morhua*), coelacanth (*Latimeria chalumnae*),  
216 Japanese pufferfish (*Takifugu rubripes*), sea lamprey (*Petromyzon marinus*), medaka  
217 (*Oryzias latipes*), platyfish (*Xiphophorus maculatus*), spotted gar (*Lepisosteus*

218 *oculatus*), three-spined stickleback (*Gasterosteus aculeatus*), green-spotted  
219 pufferfish (*Tetradon nigroviridis*), and Nile tilapia (*Oreochromis niloticus*). Blast hits  
220 with e-values less than  $1 \times 10^{-10}$  were considered significant. Ensembl IDs from the  
221 blast hits were then converted into GO term identifiers using Biology Database  
222 Network (bioDBnet) (<http://biodbnet.abcc.ncifcrf.gov/db/dbFind.php>).

223 For purposes of gene annotation and enrichment analysis, we focused on  
224 transcripts within the reference transcriptome that were not filtered out of the data  
225 set due to low transcript expression (total of 19,804 transcripts). To examine which  
226 GO terms were significantly enriched within this set, unique Ensembl IDs from  
227 Blastx were converted to Ensembl IDs associated with stickleback homologs using  
228 the R package biomaRt 2.20.0. Enrichment analysis was then conducted on the  
229 homologs using the BioMart portal (<http://central.biomart.org/enrichment>).

230 For the transcripts that were differentially expressed among behavioral  
231 groups, enrichment analysis was conducted using a Fisher Exact test to examine  
232 whether the proportion of genes within each GO category was significantly higher  
233 than what would be expected based upon the proportion of genes assigned to that  
234 GO term within the reference transcriptome. To ensure adequate statistical power,  
235 only GO terms with at least 10 transcripts within each category were included in the  
236 statistical analysis. A FDR correction was applied to control for multiple testing and  
237 GO terms with p-values  $< 0.05$  were considered to be significant.

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## Results

### 242 Reference Transcriptome

243         This study presents the first reference transcriptome for the brain of bluegill  
244 sunfish. The fully assembled transcriptome consisted of 272,189 transcripts. Of  
245 these, 72,189 transcripts contained complete coding sequences or blasted to cds or  
246 ncRNA from the customized Ensembl fish database. These 72,189 transcripts were  
247 then used as the reference transcriptome for alignment and mapping. The mean  
248 transcript length within the reference transcriptome was 2,024 bp, with N50 =  
249 3,106 bp and N90 = 1,018 bp. The largest transcript consisted of 27,880 bp.  
250 Approximately 82% of the transcripts had only one isoform, while 18% (12,951  
251 transcripts) had two or more isoforms.

252         For GO enrichment analysis, we only examined the 19,804 transcripts within  
253 the reference transcriptome that passed the filtering process. Of these, 18,108 had  
254 significant Blastx hits with Ensembl gene IDs (Table S1, Supporting Information), of  
255 which 12,224 transcripts had stickleback homologs that could be used to examine  
256 GO term enrichment for bluegill sunfish compared to the stickleback genome. The  
257 GO terms with significant enrichment included translation, catabolism, vesicle-  
258 mediated transport, biosynthesis, small molecule metabolism, and generation of  
259 precursor metabolites and energy (Fig. 1 A & B).

260

### 261 Differential Gene Expression among Groups

262         Based on the biological coefficient of variation, sneaker males grouped separately  
263 from the other male tactics. However, non-spawning parental males, spawning

264 parental males, and satellite males showed a large amount of overlap in how  
265 transcripts varied in their expression levels (Fig. 2). The largest number of  
266 differentially expressed genes was observed when comparing spawning parental  
267 males to sneaker males, followed by satellite males compared to sneaker males,  
268 spawning parental males compared to satellite males, and then spawning parental  
269 males compared to non-spawning parental males (Table 2). Analysis of sex  
270 differences in differential expression will be presented in a companion paper  
271 (Partridge et al. *in preparation*).

272

### 273 *Differential Expression between Life Histories*

274 Spawning Parental Males versus Sneaker Males. A total of 9,279 transcripts were  
275 differentially expressed between parental males and sneaker males. Of these, 4,537  
276 showed higher expression in parental males (Table S2, Supporting Information),  
277 and 4,742 transcripts showed higher expression in sneaker males (Table S3,  
278 Supporting Information). Enrichment analysis of GO terms associated with  
279 differentially expressed genes showed that the biological processes most enriched  
280 in parental males included translational initiation, translation elongation, and  
281 oxidation-reduction processes (Table S4, Supporting Information). The molecular  
282 function GO terms associated with translational processes included structural  
283 constituents of the ribosome and translation initiation factor activity (Table S4,  
284 Supporting Information). Biological processes enriched with genes displaying  
285 higher expression in sneaker males included ion transport, hemophilic cell adhesion  
286 (primarily due to protocadherin- and cadherin-related genes), the ionotropic

287 glutamate receptor signaling pathway, protein phosphorylation, and synaptic  
288 transmission (Fig. 3A). Similarly, significantly enriched molecular function terms  
289 included ionotropic glutamate receptor activity and protein binding (Table S4,  
290 Supporting Information).

291

292 Spawning Parental Males versus Satellite Males. A total of 1,141 transcripts were  
293 differentially expressed between parental males and satellite males. Of these, 676  
294 displayed higher expression in parental males (Table S5, Supporting Information)  
295 and 465 showed higher expression in satellite males (Table S6, Supporting  
296 Information). Only one GO term related to biological processes was enriched in  
297 parental males compared to satellite males and this was oxidation-reduction  
298 processes. Significantly enriched molecular functions included iron ion binding,  
299 oxidoreductase activity, and heme binding. Biological process terms enriched with  
300 genes showing higher expression in satellite males included ion transport, and  
301 enriched molecular function terms included nucleic acid binding, ion channel  
302 activity, and GTP binding (Table S4, Supporting Information).

303

304 *Differential Expression within Life Histories*

305 Satellite Males versus Sneaker Males. There were 2,590 transcripts differentially  
306 expressed between satellite males and sneaker males. Of these transcripts, 1,261  
307 showed higher expression in satellite males (Table S7, Supporting Information), and  
308 1,329 showed higher expression in sneaker males (Table S8, Supporting  
309 Information). Biological processes enriched with genes displaying higher expression

310 in satellite males included translation, embryo development, and cell cycle  
311 regulation. Molecular processes enriched in satellite males included structural  
312 constituents of the ribosome, heme binding, and oxidoreductase activity (Table S4,  
313 Supporting Information). Transcripts showing higher expression in sneaker males  
314 were involved in biological processes related to ionotropic glutamate receptor  
315 signaling pathways and mRNA processing (Fig. 3B). Molecular functions were  
316 primarily related to ionotropic glutamate receptor activity and protein binding  
317 (Table S4, Supporting Information).

318

319 Spawning Parental Males versus Non-Spawning Parental Males. A total of 137  
320 transcripts were differentially expressed between spawning and non-spawning  
321 parental males. The majority of these transcripts (132 transcripts) showed higher  
322 expression in spawning males (Table S9, Supporting Information). Genes with the  
323 highest expression in spawning males compared to non-spawning males were MHC  
324 II antigen beta chain, cytosolic 5'-nucleotidase II (*nt5c2*), cAMP responsive element  
325 modulator (*crem*), cysteine dioxygenase type 1 (*cdo1*), and an uncharacterized  
326 protein. Only eight transcripts showed higher expression in non-spawning parental  
327 males. These were nuclear receptor subfamily 1 group D member 4b (*nr1d4b*),  
328 neuronal tyrosine-phosphoinositide-3-kinase adaptor 2 (*nyap2*), sphingosine-1-  
329 phosphate receptor 4 (*s1pr4*), gamma-aminobutyric acid A receptor beta 3 (*gabrb3*),  
330 and four uncharacterized proteins. Due to the limited number of transcripts  
331 differentially expressed between these two groups, the number of transcripts

332 assigned to each GO term was too small to have adequate statistical power to  
333 perform an enrichment analysis for this comparison.

#### 334 *Potential Candidate Genes Associated with ART Spawning Behavior*

335 We observed differential expression in a number of transcripts previously  
336 identified as potential candidate genes (described in Table 1) associated with  
337 differences in ART spawning behaviors (Table 3). In our data set, the candidate  
338 genes cytochrome P450 family 19 subfamily A polypeptide 1b (*cyp19a1b*),  
339 ependymin (*epd*), and galanin (*gal*) showed higher expression in parental males  
340 compared to sneaker males. *Epd* also had higher expression in satellite males  
341 compared to sneakers. Early growth response 1 (*egr1*) showed higher expression in  
342 both satellite and sneaker males relative to spawning parental males. Somatostatin  
343 1 (*sstr1*) showed higher expression in sneaker males compared to satellite males,  
344 but no differences in other comparisons between tactics. No differences in  
345 expression related to gonadotropin releasing hormone (*gnrh*), arginine vasotocin  
346 (*avt*), or somatostatin 3 (*sstr3*) were observed between any of our groups.

347 In addition to these previously identified candidate genes, transcripts that  
348 displayed some of the highest differences in expression between parental males and  
349 all other male phenotypes (including non-spawning males) were related to cytosolic  
350 5'-nucleotidase II (*nt5c2*). Multiple isoforms were expressed, with log<sub>2</sub> fold changes  
351 ranging from 1.5 – 4.8 times higher in parental males compared to other male  
352 groups (Fig. 4). Consistent with the finding for GO term enrichment, transcripts that  
353 showed the highest levels of expression in sneaker males compared to other groups



354 were related to glutamate receptor genes, particularly AMPA ionotropic glutamate  
355 receptors (Table S3, Supporting Information).

356

## 357 **Discussion**

358 Bluegill sunfish are a classic system for examining behavioral differences in  
359 ARTs. In this study, we generated and assembled the first bluegill brain  
360 transcriptome, and we identified candidate genes that contribute to differences in  
361 male spawning tactics. The main differences in gene expression were found between  
362 sneaker males when compared to the two other male tactics. Generally, sneaker  
363 males showed higher expression in transcripts influencing neural activity, whereas  
364 parental and satellite males exhibited higher expression in genes related to  
365 translation and oxidoreductase activity.

366 One of our key findings is that a shared life history does not appear to be a  
367 driving factor influencing similarity in gene expression in the brain of male tactics.  
368 In bluegill, parental and cuckolder life histories are fixed, but within the cuckolder  
369 life history, males transition from the sneaking to the satellite tactic as they age  
370 (Gross 1982; Gross & Charnov 1991). Our data showed that, regardless of whether  
371 comparisons were made across fixed (parental versus sneaker or parental versus  
372 satellite) or plastic (sneaker versus satellite) tactics, sneaker males showed the  
373 highest level of differentiation in gene transcription. Similar results have been  
374 observed in the ocellated wrasse, *Symphodus ocellatus*, where sneaker males also  
375 showed the greatest number of differentially expressed genes compared to nesting  
376 and satellite males (Stiver *et al.* 2015). The expression differences in sneakers

377 compared to the other tactics in bluegill and the ocellated wrasse are likely partially  
378 due to age because sneaker males are typically younger than satellite and parental  
379 or territorial males. Indeed, a recent study in the short-lived fish *Nothobranchius*  
380 *furzeri* found that genes related to translation elongation and ribosomal proteins are  
381 up-regulated with age (Baumgart *et al.* 2014). Both translation elongation and  
382 ribosomal proteins showed higher expression in parental and satellite males  
383 compared to sneaker males in our data set. Additionally, the behaviors exhibited by  
384 sneaker males during spawning differ in fundamental aspects from those of the  
385 other male tactics. In the ocellated wrasse, for example, satellite and nesting males  
386 cooperatively protect the nest from sneakers and other egg predators (Taborsky *et*  
387 *al.* 1987); in bluegill, satellite and parental males associate closely with the female  
388 throughout spawning, whereas sneakers dart in and out of the nest. These  
389 differences in spawning tactics likely also contribute to the differences in gene  
390 expression observed in the two studies. Thus, age and spawning tactic are  
391 important contributors to gene expression patterns across ARTS, and life history is  
392 not exclusively responsible for these differences.

393         Identifying distinct gene categories expressed by one ART type compared to  
394 another provides information regarding the genes influencing behavioral  
395 differences during spawning. Previous studies in sailfin mollies, *Poecilia latipinna*,  
396 and Atlantic salmon, *Salmo salar*, indicate that sneaker males have increased  
397 expression in genes related to neurotransmission and learning (Aubin-Horth *et al.*  
398 2005; Fraser *et al.* 2014). We found that the GO terms consistently enriched in  
399 bluegill sneaker males compared to both parental and satellite males were the

400 ionotropic glutamate signaling pathway and ionotropic glutamate receptor activity.  
401 Ionotropic glutamate receptors are primarily excitatory neurotransmitter  
402 receptors and play an important role in fast synaptic transmission (reviewed in  
403 Lamprecht & LeDoux 2004). Two of these receptors, NMDA and AMPA, play  
404 important roles in memory function and spatial learning (reviewed in Riedel *et al.*  
405 2003). Blocking NMDA receptors impairs learning new spatial locations in goldfish  
406 (Gómez *et al.* 2006). Furthermore, mice with impaired AMPA receptors, while  
407 showing normal spatial learning, have impaired working spatial memory (i.e. their  
408 ability to alter their spatial choice in response to changing environments is  
409 impaired) (Reisel *et al.* 2002). We propose that increased expression of genes  
410 related to spatial memory, particularly related to working spatial memory, could be  
411 important for bluegill sneakers during spawning as they attempt to gain access to  
412 nests while avoiding detection not only by the parental males, but also predators  
413 that are common around the colony (Gross & MacMillan 1981). Bluegill sneakers  
414 must also position themselves in close proximity to females so they can time sperm  
415 release to coincide with female egg release (Stoltz & Neff 2006). Similarly, sailfin  
416 molly sneakers, who also show enrichment in ionotropic glutamate related genes  
417 (Fraser *et al.* 2014), probably benefit from increased working spatial memory  
418 because they must successfully position themselves by the female for quick and  
419 successful copulations. In this context, increased expression in gene pathways that  
420 improve neural function related to working spatial memory are probably especially  
421 beneficial to the sneaker tactic to increase their reproductive success.

422           There are a number of candidate genes that have been proposed to drive the  
423 expression of alternative mating tactics (Schunter *et al.* 2015). In our study of  
424 bluegill, we corroborate some of these candidates. For example, *cyp19a1b*, *epd*, and  
425 *gal* had higher expression levels in parental males compared to sneaker males. The  
426 expression patterns for all three genes are similar to what has been observed in  
427 cichlids (Renn *et al.* 2008). In addition, expression of *epd* is lower in rainbow trout,  
428 *Oncorhynchus mykiss*, males that use a sneaking tactic versus males that are  
429 dominant and territorial (Sneddon *et al.* 2011), which is also consistent with our  
430 findings. In contrast, the one candidate gene that responded opposite to  
431 expectations was *egr1*. *Egr1* expression was lower in bluegill parental males  
432 compared to sneaker or satellite males although previous work on cichlids found  
433 that expression of this gene increases when subdominant males transition into  
434 dominant males (Burmeister *et al.* 2005). However, *egr1* is an important  
435 transcription factor involved in neural plasticity (Jones *et al.* 2001), so it may be  
436 involved in regulating the switch from one tactic to another. Consequently, in  
437 bluegill, this gene would be more important for individuals that alter their tactic  
438 (sneaker to satellite) than for the fixed parental tactic. Taken together, our results  
439 corroborate a role for *cyp19a1b*, *epd*, *gal*, and *egr1* as candidate genes contributing  
440 to behavioral differences in ARTs across species.

441           We also found a transcript that may have a previously unrecognized function  
442 in influencing male spawning behavior. Transcripts corresponding to splice variants  
443 of cytosolic 5'-nucleotidase II (*nt5c2*) were significantly higher in parental males  
444 when compared to all other male groups, including non-spawning males. The

445 protein product of *nt5c2* regulates purine metabolism (Bretonnet *et al.* 2005;  
446 Walldén *et al.* 2007). Moreover, in the African cichlid, *A. burtoni*, uridine kinase  
447 (*udk*) expression, a gene with similar function to *nt5c2*, is significantly higher in  
448 dominant relative to subordinate males (Renn *et al.* 2008). While neither *nt5c2* nor  
449 *udk* have been directly associated with spawning behavior in fishes, there is  
450 evidence suggesting that altered expression levels of *nt5c2* in the brain can  
451 significantly influence anxiety, mania, schizophrenia, and aggressive behaviors in  
452 humans (Page *et al.* 2007), and altering levels of uridine in mice affects their level of  
453 aggression toward an intruder (Kawasaki *et al.* 2013). Furthermore, in bluegill,  
454 parental males display high levels of aggression to obtain nesting sites, circumvent  
455 cuckoldry, and prevent egg predation by brood predators (Avila 1976; Colgan *et al.*  
456 1979; Gross 1979; Gross & Macmillian 1981). The high levels of *nt5c2* expression in  
457 spawning parental males suggests that this gene may have a role in influencing  
458 parental male spawning behaviors in bluegill. Future work should examine how  
459 *nt5c2* influences mating behaviors in the ARTs of this and other species.

460 In summary, our work describes differences in gene expression profiles in  
461 the brains of bluegill male ARTs during spawning. The largest differences in  
462 expression levels were observed when comparing sneakers to parental and satellite  
463 males, suggesting that, in bluegill, tactic is more related to differences in gene  
464 expression than is life history. Consistent with other studies, our work demonstrates  
465 that sneaker males have greater expression of genes involved in neural function  
466 relative to more territorial-type males, particularly in relation to working spatial  
467 memory, as mediated by ionotropic glutamate receptors. We found support for the

468 previously identified candidate genes *cyp19a1b*, *epd*, *gal*, and *egr1* contributing to  
469 behavioral differences in ARTs, but we also show evidence for a novel candidate  
470 gene, *nt5c2*, implicated in these differences. We suggest that *nt5c2* may have a role  
471 in mediating courtship or territorial behaviors within this species, and we  
472 recommend that future work should characterize this gene further in other species.

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474

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### Author Contribution

730 C.G.P, R.K., and B.D.N. designed the experiment and collected samples. M.D.M.

731 assembled the transcriptome. C.G.P. performed bioinformatic and statistical

732 analyses and wrote the manuscript. All authors provided comments, contributed to

733 the manuscript, and approved the final manuscript.

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### Data Accessibility

736 All raw sequence files are available on the Sequence Read Archive (SRA)

737 through BioProject ID: PRJNA287763. Environmental data, RNA quality information,

738 assembled transcriptome, the transcript count matrix, and R code for differential

739 gene analysis are available on Dryad (<http://dx.doi.org/10.5061/dryad.82fd8> and

740 <http://dx.doi.org/10.5061/dryad.10hh7>).

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### Supporting Information

743 Table S1: Annotated Reference Transcriptome

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745 Table S2: Transcripts with significantly higher expression in bluegill parental males  
746 compared to sneaker males.

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748 Table S3: Transcripts with significantly higher expression in bluegill sneaker males  
749 compared to parental males.

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751 Table S4: Biological Process and Molecular Function GO terms that are significantly  
752 enriched with genes differentially expressed between tactics

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754 Table S5: Transcripts with significantly higher expression in bluegill parental males  
755 compared to satellite males.

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757 Table S6: Transcripts with significantly higher expression in bluegill satellite males  
758 compared to parental males.

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760 Table S7: Transcripts with significantly higher expression in bluegill satellite males  
761 compared to sneaker males.

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763 Table S8: Transcripts with significantly higher expression in bluegill sneaker males  
764 compared to satellite males.

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766 Table S9: Transcripts with significantly higher expression in spawning parental  
767 males compared to non-spawning parental males.

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770 Table 1: Proposed candidate genes (Schunter *et al.* 2014) influencing teleost alternative reproductive  
771 tactics (ARTs). POA = Pre-optic area

Proposed Candidate Genes	Function	Relationship to ARTs
Arginine Vasotocin ( <i>avt</i> )	Non-mammalian homolog of vasopressin. Activates some aspects of sexual behavior	↑ in posterior POA of territorial cichlid males, but ↑ anterior POA of non-territorial (Greenwood <i>et al.</i> 2008); ↓ density of <i>avt</i> mRNA in POA in parental blenny males (Grober <i>et al.</i> 2002)
Gonadotrophin Releasing Hormone ( <i>gnrh</i> )	Regulates release of lutenizing hormone and follicle-stimulating hormone from the pituitary gland	↑ in territorial cichlid males (Renn <i>et al.</i> 2008)
Cytochrome P450 family 19, subfamily A, polypeptide 1 ( <i>cyp19a1</i> )	Brain aromatase. Key enzyme in estrogen biosynthesis	↑ in territorial cichlid males (Renn <i>et al.</i> 2008); ↑ territorial blenny males (Gonçalves <i>et al.</i> 2008); ↑ territorial black-faced blenny males (Schunter <i>et al.</i> 2014); ↓ in the sonic motor nucleus of nesting type 1 (territorial) male plainfin midshipmen compared to type II (female mimic) males (Forlano <i>et al.</i> 2005)
Ependymin ( <i>epd</i> )	Glycoprotein associated with neuroplasticity and neuronal regeneration. Also affects aggression levels in zebrafish (Sneddon <i>et al.</i> 2011); associated with stress in trout (Thomson <i>et al.</i> 2011)	↑ in territorial cichlid males (Renn <i>et al.</i> 2008); ↓ in subordinate trout males (Sneddon <i>et al.</i> 2011)
Galanin/GMAP prepropeptide ( <i>gal</i> )	Neuropeptide that influences neurotransmitters. Associated with sexual behaviors (Bloch <i>et al.</i> 1993), and parental care (Wu <i>et al.</i> 2014)	↑ in territorial cichlid males (Renn <i>et al.</i> 2008)
Somatostatin ( <i>sst</i> )	Neuropeptide that regulates endocrine pathways. Also affects neurotransmitters	↑ in territorial blenny males (Schunter <i>et al.</i> 2014); ↑ in territorial cichlid males (Renn <i>et al.</i> 2008)
Early growth response 1 ( <i>egr1</i> )	Transcription factor that influences neural plasticity	↑ when subdominant cichlid males switch to dominant (Burmeister <i>et al.</i> 2005)

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778 Table 2: Number of transcripts differentially expressed (DE) between each male bluegill tactic,  
779 including the number of transcripts without Blastx hits, the number with unique Ensembl IDs, and  
780 the number of transcripts assigned to specific GO terms

<b>Comparisons</b>	<b>Num of DE Transcripts</b>	<b>Num of DE Transcripts without Blastx Hits</b>	<b>Num with Unique Ensembl Gene IDs</b>	<b>Num DE Gene IDs with GO Annotation</b>
Parental vs Sneaker	9,279	516	5,396	2,430
Parental vs Satellite	1,141	82	879	317
Satellite vs Sneaker	2,590	184	1,852	351
Parental vs Non-Spawner	140	6	102	70

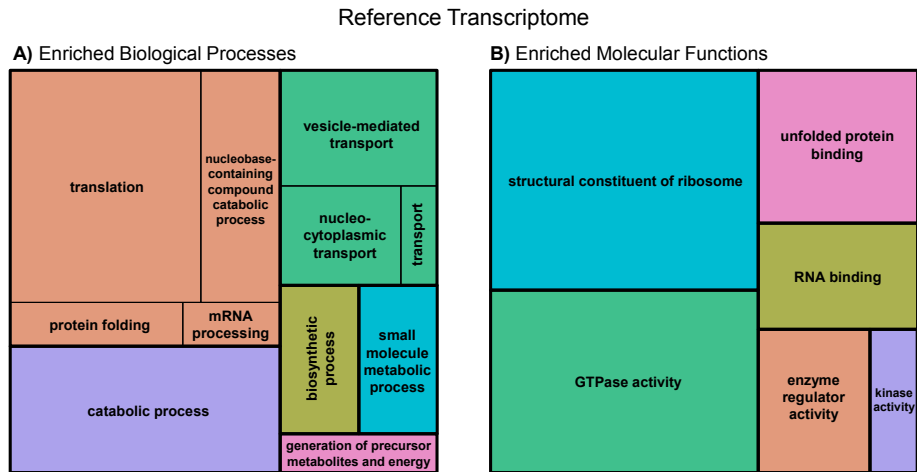
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782 Table 3: Gene expression differences (Log2 fold change) among male tactics for proposed candidate  
 783 genes (see Table 1).

Proposed Candidate Genes	Isoform ID	Comparison between Male Tactics (Log2 Fold Change)			Non-Spawn vs Spawn Parent
		Parent vs Sneak	Parent vs Sat	Sat vs Sneak	
Arginine Vasotocin ( <i>avt</i> )	c34708_g2_i1	0.45 [0.32]	-0.98 [0.09]	0.54 [0.33]	-0.74 [0.5]
Gonadotrophin Releasing Hormone ( <i>gnrh</i> )	63124_g1_i1	0.76 [0.5]	0.32 [0.87]	0.44 [0.77]	-0.77 [0.88]
Cytochrome P450 19a 1b ( <i>cyp19a1b</i> )	c48084_g2_i1	<b>0.93 [0.0002]</b>	0.64 [0.06]	0.28 [0.4]	-0.39 [0.58]
Ependymin ( <i>epd</i> )	c44195_g1_i5	<b>1.54 [1.4 x 10<sup>-8</sup>]</b>	0.66 [0.07]	<b>0.89 [0.007]</b>	0.51 [0.45]
Galanin/GMAP prepropeptide ( <i>gal</i> )	c41071_g5_i2	<b>1.12 [0.0001]</b>	0.53 [0.91]	-0.59 [0.1]	-0.09 [0.97]
Somatostatin 1 ( <i>sstr1</i> )	c3001_g1_i1	0.53 [0.15]	-0.39 [0.49]	<b>0.93 [0.03]</b>	-0.27 [0.88]
Somatostatin 3 ( <i>sstr3</i> )	c46547_g6_i1	0.001 [1]	-0.25 [0.54]	0.25 [0.48]	-0.15 [0.9]
Early growth response 1 ( <i>egr1</i> )	c37907_g1_i1	<b>-0.74 [0.02]</b>	<b>-0.91 [0.03]</b>	0.16 [0.72]	0.63 [0.42]

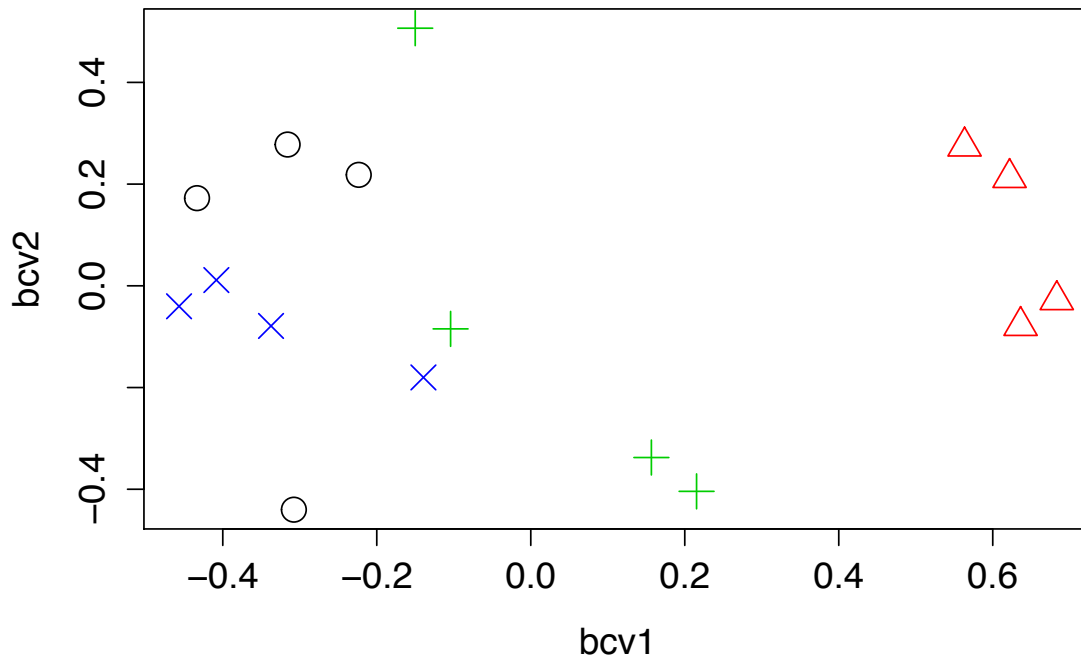
784 Values in brackets represent p-values after false discovery rate correction. Values in bold are  
 785 significant at  $p < 0.05$ . Parent = parental male, Sneak = sneaker male, Sat = satellite male.  
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790 Fig. 1: GO terms related (A) biological processes and (B) molecular function that  
791 were significantly enriched in the bluegill reference transcriptome relative to the  
792 stickleback genome. Boxes of similar color can be grouped into the same GO term  
793 hierarchy. The size of each box reflects the  $-\log_{10}$  p-value of the GO term.

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815 Fig. 2: Multi-dimensional space (MDS) plot based on the biological coefficient of  
816 variation (bcv) among bluegill male ARTs. Red triangles: sneaker males, green  
817 pluses: satellite males, black circles: spawning parental males, blue x: non-spawning  
818 parental males.

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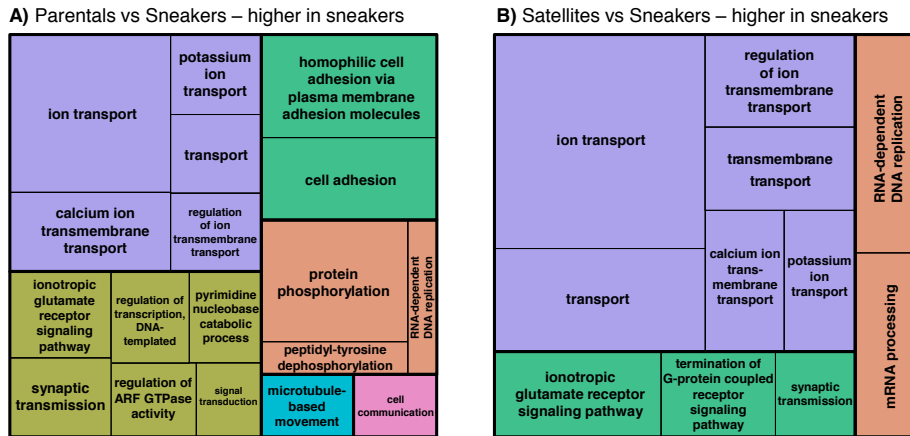
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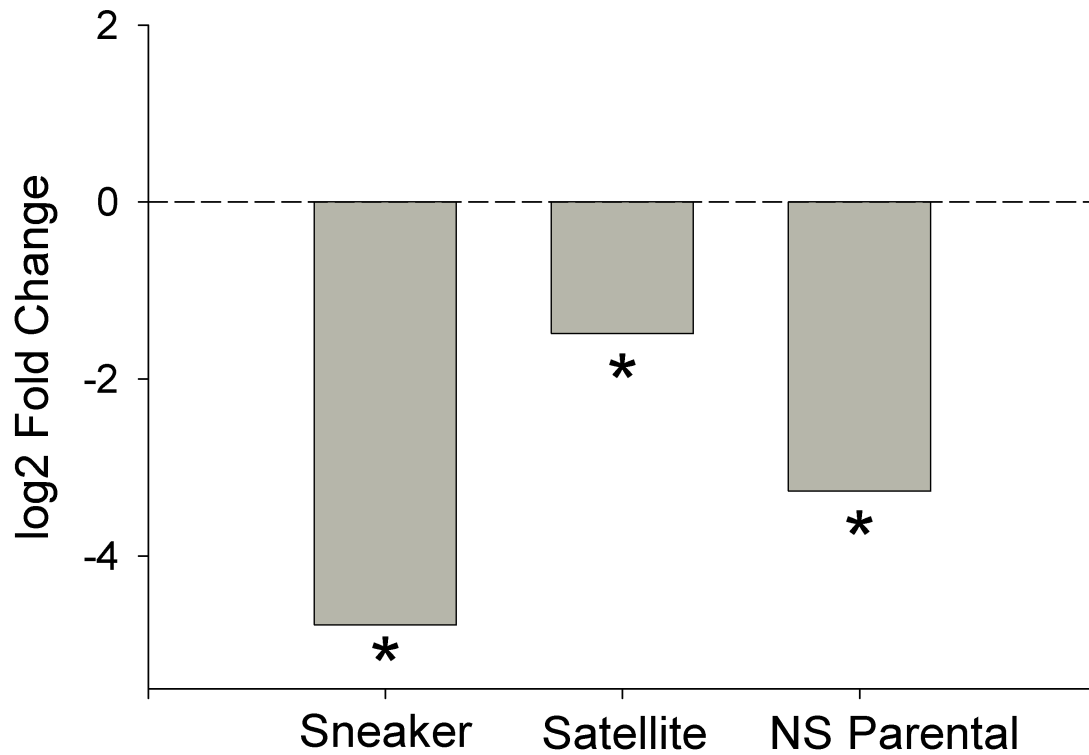


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827 Figure 3: GO terms significantly enriched by genes with higher expression in  
828 sneaker males compared to (A) parental males and (B) satellite males. Boxes of  
829 similar color are grouped into the same GO term hierarchy. Box size reflects the –  
830 log<sub>10</sub> p-value of the GO term.

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## cytosolic 5'-nucleotidase II



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850 Figure 4: Log2 fold changes of sneaker, satellite, and non-spawning (NS) parental  
851 males relative to spawning parental males for cytosolic 5'-nucleotidase II (*nt5c2*). \*  
852 indicates fold changes that are significantly different with p-values < 0.05 after FDR  
853 correction.

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