1 **Incidental identification of maternal malignancies in two Asian** 2 **women underwent noninvasive prenatal test.**

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46 **Abstract**

47 Noninvasive prenatal test (NIPT) has been widely used as a screening test for trisomy
 48 13, 18 and 21 worldwide. Recently, coexistence of maternal malignancy and
 49 pregnancy has drawn increasing attention in NIPT studies. Malignancy in pregnant
 50 women potentially affected NIPT results, which may cause false positive results or
 51 failed tests. However, no such case has ever been reported in Asian population. In this
 52 study, for the first time, we reported a stage III dysgerminoma and advanced gastric
 53 cancer during pregnancy accidentally identified during NIPT tests. These two women
 54 showed aberrant chromosome aneuploidies in NIPT results and concordant pattern of
 55 genome disruption found in tumor samples. The findings in this study further validate
 56 the effect of maternal malignancy on NIPT results and strengthen the possibility of
 57 detecting malignant tumors through NIPT in the future.

58

59 Keywords: Noninvasive prenatal test (NIPT), massively parallel sequencing, maternal
 60 malignancy, multiple aneuploidies

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62

63 **Introduction**

64 Over the past few years, noninvasive prenatal testing (NIPT) has become a common
 65 technology screening for the common fetal aneuploidies, including 13, 18 and 21
 66 –trisomy. The high sensitivity and specificity of NIPT has been demonstrated by
 67 large-scale studies conducted in different populations (Chiu et al., 2011; Zhang et al.,
 68 2015). Moreover, NIPT is recommended as a better substitute to traditional Down
 69 syndrome screening.

70 However, despite the high accuracy of NIPT, false or even failed test results still exist
 71 in a large amount due to the rapid increasing population who underwent NIPT. The
 72 discordance between cell-free DNA (cfDNA) and fetal karyotype could be a major
 73 concern of NIPT technic, which could be attributed to various factors including
 74 confined placental mosaics, co-twin demise, maternal chromosomal mosaics and
 75 maternal malignancy (Bianchi et al., 2015).

76 Of them, maternal malignancy has drawn more and more attention, due to its fatal
 77 consequences during pregnancy. Despite the relatively low incident rate of maternal
 78 malignancy (1:1000 to 1: 5000), incidental discovery of maternal cancer have been
 79 reported among pregnant women undergoing routine NIPT screening (Amant,
 80 Verheeecke, et al., 2015; Bianchi et al., 2015; Osborne et al., 2013). However, all of
 81 those studies were conducted in western population. Rare case had been reported in
 82 Asian population, which is inconsistent with the rapidly increasing number of
 83 pregnant women who took NIPT screening. In addition, the majority of maternal
 84 malignancies discovered by NIPT are hematological malignancies, few cases had

85 been reported on other cancer types.

86 In the current report, we presented two cases of aberrant chromosomal aneuploidies
87 unraveled by NIPT subsequently diagnosed with dysgerminoma and gastric cancer,
88 respectively. These case reports supported the potential of applying NIPT to
89 pre-symptomatic detection of malignant tumors in pregnant women in the future.

90

91 **Methods and materials**

92 **Sample collection, sequencing and bioinformatics analysis**

93 Ten millimeters of maternal peripheral blood were collected in Cell Free DNA BCT ®
94 blood collection tube and was processed within 4 days of collection. Details of the
95 NIPT method, also called Non-invasive fetal trisomy test (NIFTY), have been
96 published previously(Dan et al., 2012). In brief, plasma was separated by sequential
97 centrifugations of the blood sample at 1600g at 4°C for 10mins. Cell free DNA was
98 extracted from plasma and subjected to library construction. The quantity and quality
99 of the library were examined by real time PCR and size distribution. Qualified library
100 was sequenced and the data generated was analyzed using the bioinformatics
101 algorithm to detect fetal chromosomal aneuploidy and large deletion/duplication, as
102 previously described(Chen et al., 2013; Lau et al., 2012).

103

104 **Somatic copy-number alterations (Affymetrix OncoScan FFPE Express 2.0)**

105 Somatic Copy number analysis for this tumor was performed using MIP array
106 technology (Affymetrix OncoScan FFPE Express 2.0) with 334,183 sequence tag site

107 probes to measure DNA copy number variations(Wang et al., 2009). Copy number
108 data were processed and normalized by Affymetrix as previously described with
109 passed Affymetrix quality control metrics(Wang et al., 2009). Copy numbers were
110 estimated with the NEXUS software and only samples that passed Affymetrix quality
111 control metrics (median absolute pairwise difference [MAPD] value of ≤ 0.6) were
112 considered(Darvishi, 2001).

113

114 **Cases summary**

115 **Case 1**

116 The first patient is a 30-year-old woman, who was diagnosed with bilateral ovarian
117 endometriosis and received laparoscopic cystectomy and 6-months
118 gonadotropin-releasing hormone agonist treatment from December 2013 to June 2014.
119 During her first obstetrics ultrasound, bilateral endometriosis, including left ovarian
120 cyst (2.8 cm and 2.0 cm) and one right ovarian cyst (7.6 x 4.4 cm) were diagnosed
121 according to her prior history. The patient received two NIPT tests at 13 and 32
122 gestational weeks respectively. After the failure of the first test, the second test after
123 resampling of peripheral blood failed again, which excluded the possibility of sample
124 degradation during transportation. To rule out fetal aneuploidies, amniocentesis and
125 array comparative genomic hybridization (array CGH) were conducted on the
126 amniotic fluid, showing a normal fetal karyotype (46, XX). A fetal anatomic
127 ultrasound survey also demonstrated normal fetal anatomy and growth with normal
128 placenta appearing at 19 gestational weeks.

129 However, the right ovarian cyst with internal flow enlarged gradually as gestational
130 week and fetal MRI revealed that an 8.7x7.9x6.0cm lobulated soft tissue mass at right
131 adnexa with engorged regional collateral vessels noted with diffusion restriction
132 (Figure 1 A and B). At 36 weeks gestational age, patients underwent a cesarean
133 section combined with a conservative debulking surgery, including right
134 salpingo-oophorectomy, right pelvic lymph node sampling, omentectomy and
135 appendectomy. A female infant with Apgar score 5/8 and weight of 2498 g appeared
136 normal without any obvious dysmorphic features. The final pathologic diagnosis was
137 a right ovarian dysgerminoma, pT3aN0M0, FIGO IIIa with tumor invasion to
138 appendix (Figure 1C and D). Thereafter, the patient received 6-course of
139 chemotherapy (bleomycin, etoposide and cisplatin).

140 After knowing concurrent pregnancy and dysgerminoma in this patient, we
141 re-examined the NIPT results and found multiple aneuploidies in the blood DNA,
142 which was the main reason of NIPT failure (Figure 2A).

143 To study the resource of genetic variations found in NIPT, MIP array technology
144 (Affymetrix OncoScan FFPE Express 2.0) with paraffin-embedded tumor tissues was
145 performed. We found the concordance of chromosome alterations between maternal
146 plasma DNA and tumor tissue (Figure 2A and B), such as gain of whole short arm of
147 chromosomal 12 (12p11.1-13.3) and gain of whole chromosomal 21 (p11.2-q22.3).

148 Those results suggested that tumor cfDNA contributed to the aberrant NIPT results.

149 To further validate this result, another cfDNA test was conducted after the surgery and
150 six courses of chemotherapy. As shown in figure 2C, aberrant copy number variations

151 disappeared after the complete treatment, further supporting the hypothesis that NIPT
152 could detect tumor cfDNA in maternal plasma. These results strengthened the
153 potential implement of non-invasive prenatal test in screening and monitoring
154 maternal cancer during pregnancy.

155 **Case 2**

156 The second patient is a 36-year-old woman, who received two NIPT tests at 16 and 20
157 gestational weeks, respectively. The patient had peptic ulcer history, she suffered from
158 growing nausea and vomiting during the pregnancy. The patient received a
159 gastroscopy and was diagnosed with an advanced gastric cancer (Figure 3). After one
160 week of diagnosis, she died of gastric cancer. Due to lack of cancer samples, no
161 experiment was performed to validate the copy number changes in gastric cancer
162 tissues. A NIPT test at 30-fold depth, which equals to 3X WGS, was performed using
163 the NIPT DNA library. Both original NIPT and the 30-fold NIPT results displayed a
164 severe disruption of genome stability, further supporting abnormal copy number
165 variations reported by NIPT test is a strong indicator of maternal malignancies (Figure
166 4). In line with previous reports (CALCAGNO et al., 2005; Network, 2014), genomic
167 changes commonly seen in gastric cancer, such as aneuploidy of chromosome 7 and 8,
168 were observed, providing further evidence that NIPT test is able to detect the tumor
169 ctDNA in maternal blood.

170

171 **Discussion**

172 Incidental discovery of maternal cancer has been repeatedly reported among pregnant

173 women undergoing routine NIPT screening in Europe and US (Amant,
174 Vandenbroucke, et al., 2015; Bianchi et al., 2015; Osborne et al., 2013). Although
175 different groups have reported their findings of maternal cancer in pregnant women
176 undergoing NIPT screening, our report is the first to reveal the association between
177 maternal cancer and aberrant NIPT results in Asian population. In this study, two
178 Asian pregnant women showed aberrant NIPT results and subsequently diagnosed
179 with maternal malignancies, both harboring extensive copy-number changes across
180 the whole genome. According to previous study(Bianchi et al., 2015), patients with
181 multiple aneuploidies are strongly associated to maternal cancer, which is also
182 confirmed by our results.

183 Moreover, further investigation of NIPT result, in combination of SNP array outcome
184 demonstrated similar copy-number variation patterns in samples from peripheral
185 blood and tumor tissue, which provides strong evidence for the detection of
186 circulating cancer DNA from blood using extreme-low depth whole genome
187 sequencing.

188 The most frequently diagnosed malignancies during gestation are breast cancer,
189 cervical cancer, Hodgkin's disease, malignant melanoma, and leukemia (Pavlidis,
190 2002). Previous reported maternal malignancies contain a large proportion of blood
191 cancer(Amant, Verheেকে, et al., 2015; Bianchi et al., 2015). For the first time, we
192 showed a stage III dysgerminoma and advanced gastric cancer during pregnancy,
193 further expanding the range of cancer types detected by NIPT screening.

194 According to previous reports, the incidence rate of cancer

195 complicating pregnancy ranges from 1:1000 to 1: 5000 among pregnancies (Pavlidis
196 NA,2002) whereas the reported incidence of maternal cancer associated with
197 abnormal NIPT results is much lower, which is the case in our study. So far, only two
198 cases of abnormal NIPT results were confirmed with concurrent maternal
199 malignancies, despite the large number of NIPT tests conducted in Asia. One possible
200 explanation is that present NIPT products focus mainly on the abnormal trisomy-13,
201 18, and 21, multiple aneuploidies were shown to be frequently correlated with
202 maternal cancer whereas in NIPT screening, it often causes screening failure thus may
203 be overlooked. Another possibility is that maternal malignancy is a relatively rare
204 disease often misdiagnosed by other pregnant symptoms and results in a low
205 diagnostic rate. The complicated conditions during pregnancy makes it even more
206 difficult to perform medical follow-up for patients. Many patients may have
207 concurrent cancer during pregnancy after their NIPT tests and do not report to their
208 NIPT providers. Therefore, more attention and medical follow-up are greatly needed
209 for those patients who have multiple aneuploidies in NIPT test. However, how to
210 conduct reasonable clinical follow-up and provide proper medical interventions
211 becomes an important question that should be answered by NIPT provider and
212 medical staff in the future.

213 Of these two patients described herein, one patient gave birth to a healthy girl and
214 subsequently underwent successful resection of tumor tissue, followed by
215 chemotherapy. The other patient died one week after diagnosis with gastric cancer.
216 The limitation of this study is that we failed to obtain enough tissue samples for the

217 second patient to discover tumor DNA information.

218 Taken together, our findings underlined potential value of NIPT for pre-symptomatic

219 cancer screening in pregnant women, indicating a possible direction of early cancer

220 screening strategy.

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278

279 **Figures and legends**

280 Figure1. Dysgerminoma in a 31-year-old pregnant female patient. A 112x109x75 mm
 281 mass on T2-weighted MRI images at 35 gestational weeks (A: axial plane, B: coronal
 282 plane). The red arrow heads indicate the malignant tumors in all panels. (C) solid
 283 sheets of large tumor cells with prominent nucleoli and clear cytoplasm (H&E
 284 staining, x 200). (D) the tumor cells were immuno-reactive for OCT4 (anti-OCT4,
 285 x200).

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290 Figure2. Whole-genome view of copy-number gains and losses in plasma (A),

291 malignant tumors (B) and plasma samples after treatment (C).

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294 Figure3. A gastric cancer in patient 2. The red arrowhead marks signet ring cells in

295 gastric cancer biopsy samples (A: H&E staining, x 20, B: H&E staining, x 40).

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299 Figure4. Whole-genome view of copy-number alterations in plasma revealed by NIPT

300 (A) and 30-fold NIPT (B).

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