

## 1 **Protection of the human gut microbiome from antibiotics**

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21

1 **ABSTRACT**

2 **Background.** Antibiotics are life-saving drugs but severely affect the gut microbiome with  
3 short term consequences including diarrhoea, *Clostridium difficile* infections and selection of  
4 antibiotic-resistant bacteria. Long-term links to allergy and obesity are also suggested. We  
5 devised a product, DAV132, and previously showed its ability to deliver a powerful adsorbent,  
6 activated charcoal, in the late ileum of human volunteers.

7 **Methods.** We performed a randomized controlled trial (ClinicalTrials.gov NCT02176005) in 28  
8 human volunteers treated with a 5-day clinical regimen of the fluoroquinolone antibiotic  
9 moxifloxacin in two parallel groups, with or without DAV132 co-administration. Two control  
10 groups of 8 volunteers each receiving DAV132 alone, or a non-active substitute, were added.

11 **Results.** The co-administration of DAV132 decreased free moxifloxacin fecal concentrations  
12 by 99%, while plasmatic levels were unaffected. Shotgun quantitative metagenomics showed  
13 that the richness and composition of the intestinal microbiota were largely preserved in subjects  
14 co-treated with DAV132 in addition to moxifloxacin. No adverse effect was observed. In  
15 addition, DAV132 efficiently adsorbed a wide range of clinically relevant antibiotics *ex-vivo*.

16 **Conclusions.** DAV132 was highly effective to protect the gut microbiome of moxifloxacin -  
17 treated healthy volunteers and may constitute a clinical breakthrough by preventing adverse  
18 health consequences of a wide range of antibiotic treatments.

19 **Key Words:** microbiome, antibiotics, fluoroquinolones, *Clostridium difficile*

## 1 INTRODUCTION

2 Antibiotics constitute one of the most medically important and effective class of drugs.  
3 However, during systemic antibiotic treatments, the non-absorbed part of orally administered  
4 drugs, as well as the possible fraction excreted into the upper intestine via bile for both oral and  
5 parenteral antibiotics, reaches the cecum and colon where it can exert devastating effects on the  
6 gut microbiome, with short and long term consequences [1–5]. Short-term effects include  
7 diarrhoea, *Clostridium difficile* infection (CDI) and selection of antibiotic-resistant micro-  
8 organisms [6–8]. CDI currently constitutes a major clinical challenge, and antibiotic treatments  
9 are the key factor for their occurrence in hospitalized and community patients [9–11]. Long-  
10 term links to allergy [4] and obesity [5] have also been suggested. Indeed, burgeoning  
11 research in recent years has shown that the intestinal microbiome plays an important role in  
12 many aspects of human physiology and health [12]. In particular it is involved in the production  
13 of metabolites that may affect insulin sensitivity [13] and diet-related obesity [14]; this state has  
14 been shown to correlate with a gut microbiome of lower bacterial richness than in healthy  
15 individuals [15].

16 Strategies that would preserve the intestinal microbiome from deleterious consequences of  
17 dysbiosis during antibiotic treatments, would be highly welcome for immediate protection of  
18 patients from CDI, and also for long term public health consequences such as dissemination of  
19 resistant bacteria and the occurrence of metabolic disorders. Oral administration of a  $\beta$ -  
20 lactamase [16–20] prevented the impact of parenteral  $\beta$ -lactams on the microbiome, which is  
21 promising but limited to this class of antibiotics. Delivering a non-specific adsorbent to the  
22 colon partially decreased fecal concentrations of orally-administered ciprofloxacin without  
23 significantly affecting its plasma pharmacokinetics in rats [21]. We devised a product,  
24 DAV132, which delivers a powerful non-specific adsorbent, a carefully chosen activated  
25 charcoal, to the late ileum in humans, and have shown in healthy volunteers that its

1 administration did not affect the plasma pharmako-kinetics of amoxicillin, given as a single  
2 dose [22]. Here, we performed a randomized clinical trial in volunteers receiving a full oral  
3 clinical course of the fluoroquinolone antibiotic moxifloxacin (MXF), and assessed DAV132  
4 safety, as well as its resulting effects on MXF plasma concentrations, MXF free fecal  
5 concentrations, and intestinal dysbiosis. We also evaluated *ex-vivo* the capacity of DAV132 to  
6 adsorb a wide range of clinically relevant antibiotics.

7

## 1 **METHODS**

### 2 **Investigational products**

3 DAV132 was manufactured according to Da Volterra's specifications [22] under Good  
4 Manufacturing Practice conditions at NextPharma (Bielefeld, Germany). The dosage form,  
5 consisting of 7.5 g DAV132, contained 5.11 g activated charcoal as active adsorbing  
6 ingredient. To facilitate oral intake, DAV132 pellets were suspended in an extemporaneously  
7 prepared gel suspension (Batch number: C1311007). A negative control (CTL) was made of a  
8 product similar to DAV132, in which the adsorbent was replaced by microcrystalline cellulose  
9 (Batch number: C1311006). MXF was from Bayer (Avalox 400 mg Filmtabletten, batch  
10 number: BXGFBN1).

### 11 **Subjects and clinical trial design**

12 Male and female healthy volunteers over 18 years old having given written informed consent  
13 were included (body mass index < 30 kg/m<sup>2</sup>, normal digestive transit and healthy (by medical  
14 history, physical examination, vital signs, electrocardiogram and blood laboratory results) at a  
15 screening visit 8-21 days prior to treatment beginning defined as Day 1 (D1)). Subjects  
16 carrying *C. difficile* at screening or with a history of hospitalization or antibiotic exposure (both  
17 past 3 months), or vaccination (past 28 days) were not included.

18 Volunteers were included as outpatients (March-October 2014) in a prospective, randomized,  
19 controlled, repeated doses, open-label trial, blinded to analytical and microbiological  
20 evaluations, at the Clinical Investigation Centre of the Bichat Hospital, Paris (France) in respect  
21 with Good Clinical Practice and the Declaration of Helsinki as last amended. Volunteers were  
22 randomised (Supplementary Material) to receive either MXF alone (n=14), MXF+DAV132  
23 (n=14), DAV132 alone (n=8), or negative control (CTL) (n=8) (Figure 1). The study was  
24 carried out after authorisations from French Health Authorities and the Independent Ethics  
25 Committee ("Comité de Protection des Personnes Ile-de-France IV", Paris, France) had been

1 obtained (January and February 2014, respectively). It was declared in ClinicalTrials.gov  
2 (identifier NCT02176005) and the French (ID-RCB number 2013-A01504-41) registers of  
3 clinical trials. A study-specific Scientific Committee (A.A., V.A., A. Duc., A.Duf., X.D., C.F.,  
4 J.G., F.M., and M.V.) was set up to ensure scientific integrity.

## 5 **Treatments**

6 MXF 400 mg was administered orally, once a day (od, after breakfast) from D1 to D5 under  
7 direct observed therapy. DAV132 7.5 g (or CTL) was administered orally, thrice a day (tid,  
8 before meals) from D1 to D7; on D1, the first DAV132 dose was given two hours before MXF.  
9 Morning administrations of DAV132 and of CTL were performed under direct observed  
10 therapy, while noon and evening intake were reported by the subjects. Compliance was  
11 assessed by counting empty bottles each following day. Follow-up was until D37. See  
12 Supplementary Material for Collection and storage of fecal and plasma samples.

## 13 **MXF assay in plasma and fecal samples**

14 MXF assays were performed by Amatsi Group (Fontenilles, France) using specifically  
15 developed and validated bioanalytical methods. MXF concentrations were determined by  
16 reversed phase HPLC coupled with fluorescence detection for plasma levels, and tandem mass  
17 spectrometry detection for free fecal concentrations (Supplemental Material).

## 18 **Statistical methods**

19 The primary objective was to evaluate the influence of DAV132 on free fecal MXF  
20 concentrations between D1 and D16 by comparing individuals in the MXF and MXF+DAV132  
21 treated groups. The primary endpoint was the  $AUC_{D1-D16}$  of free fecal MXF concentrations.

22 The study sample size was calculated at the time of study design. Assuming an  $AUC_{D1-D16}$   
23 variability similar to that of the  $AUC_{D1-D14}$  previously measured from individual data [23], a  
24 sample size of 11 subjects in each MXF treated group (MXF and MXF+DAV132) would allow

1 to detect a 2-fold change between these groups (90% power, two-sided test, type I error 0.05).  
2 For security, we included 14 subjects in each of these groups. Additionally, we randomized two  
3 groups of 8 volunteers without MXF, but with DAV132 or CTL to study secondary objectives  
4 (DAV132 safety and intestinal microbiota composition).

5 As preplanned for the primary objective, comparison of  $\log(\text{AUC}_{\text{D1-D16}})$  of free MXF fecal  
6 concentrations, in groups treated with MXF+DAV132 and MXF alone, was performed using a  
7 general linear model.  $\text{AUC}_{\text{D1-D16}}$  were calculated by the trapezoidal method using the actual  
8 time of stool emission and the results were expressed as geometric means of  $\text{AUC}_{\text{D1-D16}}$  and  
9 coefficient of variation. For MXF plasma concentrations, comparisons of  $\log(\text{AUC}_{0-24\text{h}})$  and  
10  $\log(\text{C}_{\text{max}})$ , in groups treated with MXF+DAV132 and MXF alone, were performed using a  
11 general linear model.  $\text{AUC}_{0-24\text{h}}$  was calculated by the trapezoidal method. Statistical analysis of  
12 clinical and pharmacokinetic data were performed using the SAS 9.4 software (SAS Institute,  
13 Cary, NC, USA).

#### 14 **Metagenomic methods and analysis**

15 Analysis of metagenomic data was exploratory and not prespecified. Essentially, total fecal  
16 DNA was extracted as described [24,25] and sequenced using SOLiD 5500 Wildfire (Life  
17 Technologies) resulting in  $67.2 \pm 19.8\text{M}$  (mean  $\pm$ SD) sequences of 35-base-long single-end  
18 reads. High-quality reads were generated with quality score cut-off  $>20$ . Reads with a positive  
19 match with human, plant, cow or SOLiD adapter sequences were removed. Filtered high-  
20 quality reads were mapped to the MetaHIT 3.9M gene catalog [26] using METEOR software  
21 [27]. The read alignments were performed in colorspace with Bowtie software (version 1.1.0)  
22 [28] with options: -v 3 (maximum number of mismatch) and -k 10000 (maximum number of  
23 alignment per reads). The raw SOLiD read data were deposited in the EBI European  
24 Nucleotide Archive under accession number PRJEB12391. Details of read mapping, data  
25 treatment and statistical methods to analyse microbiome data are in Supplementary Material.



1 **Adsorption of antibiotics by activated charcoal *ex vivo*.**

2 To mimic at best the adsorption of antibiotics onto activated charcoal in the gut we used cecal  
3 medium that had been obtained from extemporaneously euthanised pigs, and stored at -80°C  
4 since. Antibiotics (400 µg/mL), and activated charcoal (4 mg/mL) obtained from DAV132  
5 de formulated by incubation for 30min at 37°C in 50 mM sodium phosphate buffer pH 7.5  
6 containing 80 nM NaCl, were independently pre-incubated with cecal medium (1:1 v:v) for 2h  
7 at 37°C. Then, the two pre-incubation reactions were mixed and further incubated for 3h at  
8 37°C with gentle agitation. When the tested antibiotic was sensitive to β-lactamases,  
9 endogenous enzymes were inactivated by heating at 70°C for 1h. Samples were centrifuged  
10 3minX19,890 g, and the concentrations of non-adsorbed antibiotics in the supernatant were  
11 quantified in triplicate using a microbiological assay [29].

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14

## 1 **RESULTS**

### 2 **Subjects**

3 Overall, 71 subjects were included in the DAV132-CL-1002 study between March 20, 2014  
4 and September 01, 2014. 21 subjects did not meet the inclusion/exclusion criteria and 5  
5 subjects withdrew consent before randomization. Of 45 subjects randomized, one refused to  
6 take treatment and withdrew from the study; 44 were treated and completed the study: n=14  
7 in groups MXF and MXF+DAV132, n=8 in groups DAV132 and CTL. All subjects meeting  
8 the inclusion/exclusion criteria, having taken at least 20 doses of DAV132 (95% of expected  
9 doses) and 5 doses of moxifloxacin (100% of expected doses) were evaluable and included in  
10 the per protocol population. There were no deviations from protocol during the treatment and  
11 follow-up periods. Therefore, the 44 subjects were included in both per protocol and safety  
12 analysis sets. The number of subjects analyzed in groups MFX and MFX+DAV132 ensured a  
13 study statistical power over 90%; the characteristics of volunteers were similar in both groups  
14 (Supplementary Table 2).

### 15 **MXF pharmacokinetics in feces and plasma**

16 In volunteers with MXF alone, average fecal concentrations of free MXF peaked at 136.2 µg/g  
17 (with 39% intersubject coefficient of variation, CV) at day 6 (D6) and returned to undetectable  
18 levels by D16 (Figure 2a); they were markedly reduced in volunteers that received MXF  
19 together with DAV132, with free fecal MXF concentrations ranging from 1 to 14 µg/g faeces  
20 between D1 and D6. Indeed, co-administration of DAV132 reduced the  $AUC_{D1-D16}$  of fecal free  
21 MXF by more than 99%, with geometric means of 699.2 µg/g.day (CV 41%) in the MXF group  
22 vs. 6.4 µg/g.day (CV 69%) in the MXF+DAV132 group ( $p=3.10^{-18}$ ). Despite the low  
23 concentrations of free fecal MXF in volunteers that were co-administered DAV132, no  
24 selection for resistance in coliforms was seen; some quinolone- and fluoroquinolone-resistant  
25 strains emerged, but no difference was observed between the treatment groups (Supplementary

1 Table 1). When adjusting for each main individual characteristic of the volunteers  
2 (Supplementary Table 2) in a multivariate analysis, the effect of DAV132 on reducing  
3  $\log AUC_{D1-D16}$  of free fecal MXF concentration remained significant (analysis not shown).

4 By contrast, plasma concentrations of MXF at D1 and D5 were not significantly different in  
5 volunteers that received DAV132 or not, in addition to MXF, as shown by analysis of the  
6 geometric means of the  $AUC_{0-24}$  and  $C_{max}$  (Figure 2b, c and Table 1).

7 The safety analysis showed that repeated oral administration of DAV132 during 7 days was  
8 safe and well tolerated. Only one adverse effect, a per-treatment vulvovaginal mycotic  
9 infection, possibly related to MXF, was considered as related to a study product by the  
10 investigator. No adverse effect considered as related to DAV132 was reported. No clinically  
11 relevant abnormality in vital signs, 12-lead ECG parameters and laboratory results occurred in  
12 any subject during the study.

### 13 **Prevention of intestinal dysbiosis by DAV132**

14 The global effect of DAV132 administration on the gut microbiome was explored in two ways,  
15 by assessing microbiome bacterial gene richness and overall composition. Richness was  
16 strongly decreased to 54.6% of baseline value at D6 in volunteers who received MXF alone,  
17 and failed to return to the initial value even at D37 (Figure 3a); this decrease was greatly  
18 attenuated by co-administration of DAV132 (97.8% of baseline value at D6, close to what was  
19 observed for the CTL group). We also assessed the impact of treatments on bacterial gene  
20 richness over the length of the trial by computing the AUC, between D0 and D16, of its relative  
21 change from D0 for each individual (Figure 4a). This interval was chosen because most of  
22 richness evolution took place within it, and no residual antibiotic was present at D16 (Figure  
23 2a). The  $AUC_{D0-D16}$  of gene richness change was significantly different among the four groups  
24 of volunteers ( $p=4.10^{-6}$ ) (Figure 4a). It was significantly lower in volunteers receiving MXF  
25 alone than in those in the CTL group ( $q=1.10^{-5}$ ); it was significantly higher in those treated with

1 MXF+DAV132 than in those receiving MXF alone ( $q=4.10^{-7}$ ), and not significantly different  
2 from those in the CTL group ( $q=0.8$ ), thereby showing the protective effect of DAV132 (Figure  
3 4a). Finally, the  $AUC_{D0-D16}$  of gene richness change was highly correlated with the  $AUC_{D1-D16}$   
4 of free MXF fecal concentrations (Figure 4b), further illustrating the impact of MXF on  
5 richness.

6 Overall changes of microbiome composition with time were assessed by computing, for each  
7 individual, the Spearman's rank correlation coefficient of the relative abundance of bacterial  
8 genes between each time point and the screening pre-treatment day (Figure 3b). Microbiome  
9 composition changed little over time in the CTL and DAV132 groups of volunteers that did not  
10 receive MXF (Figure 3b). By contrast, exposure to MXF resulted in marked microbiome  
11 changes, detected from D3, maximal at D6, and still partially present 30 days after the  
12 treatment ended; these changes were greatly attenuated by DAV132 (Figure 3b). The  
13 comparison of Spearman's rank correlation coefficient values at D6 across the four groups was  
14 significant ( $p=2.10^{-6}$ ). Those values were significantly lower in the MXF-treated group (median  
15 [min ; max] 0.44 [0.34 ; 0.59]) than in the CTL group (0.65 [0.60 ; 0.70],  $q=2.10^{-4}$ ) and in the  
16 MXF+DAV132 group (0.62 [0.53 ; 0.66],  $q=1.10^{-5}$ ). DAV132 exerted an important, but not  
17 total protection of the microbiome from the effect of MXF, as the median in the  
18 MXF+DAV132 group was slightly lower than in the CTL group ( $q=0.03$ ).

### 19 **Intestinal microbiota analysis at the species level**

20 629/741 (85%) metagenomic species (MGS, see Supplementary Materials) found in the  
21 MetaHit gut microbial catalogue of 3.9 M genes were present in at least one sample. The mode  
22 of their  $AUC_{D0-D16}$  distribution was 0 in the CTL group as well as in volunteers treated with  
23 DAV132 alone, indicating that the abundance of most MGS did not change (Figure 3c). The  
24 distribution of AUC values for the MXF group was strikingly different, with a broad shoulder  
25 toward negative values, indicating a decrease in the abundance of numerous MGS. This

1 shoulder was largely absent in the MXF+DAV132 group, suggesting that many MGS were  
2 protected by DAV132.

3 A detailed analysis of the MGS that differed significantly between treatment groups (  
4 Supplementary Material) showed that of the 252 MGS present at baseline in at least 4  
5 volunteers per group, 99 were differentially abundant. Only 3 were affected by DAV132 given  
6 alone, whereas 86 were affected by the MXF treatment; among them, 81% were fully  
7 protected, and a further 12% partially protected from the effect of MXF by co-administration of  
8 DAV132.

### 9 **Taxonomical analysis**

10 Taxonomical characterisation at the genus level (Figure 5) showed that *Alistipes*, *Bilophila*,  
11 *Butyriromonas*, *Coprobacillus*, *Fecalibacterium*, *Odoribacter*, *Oscillibacter*, *Parasutterella*,  
12 *Roseburia*, and *Sutterella* genera were decreased in MXF-treated volunteers, and partially  
13 (*Bilophila*) or fully (all others) protected by DAV132. In contrast, *Bacteroides*, *Paraprevotella*  
14 and *Lachnoclostridium* were unaffected by MXF as well as MXF+DAV132 treatments.

15 We used a set of 34 MGS characteristic of the high richness microbiome of healthy individuals  
16 present among the 252 examined above (Supplementary Table 4) to assess whether MXF may  
17 induce not only an overall loss of gut microbiome richness but also a shift to a composition  
18 expected in low richness microbiomes. The  $AUC_{D0-D16}$  of  $\log_{10}$  of relative abundance change  
19 from D0 of these 34 MGS was significantly different among the 4 groups of volunteers ( $p < 10^{-4}$ ;  
20 Supplementary Figure 2), being significantly lower under MXF treatment alone than under  
21 CTL ( $q = 1.10^{-4}$ ) or MXF+DAV132 ( $q = 1.10^{-4}$ ); just as for overall gene richness, this measure  
22 also showed no significant difference between MXF+DAV132 and CTL groups ( $q = 0.6$ ),  
23 further attesting of the protective effect of DAV132.

1 ***Ex-vivo* adsoption of other antibiotics**

2 To assess whether DAV132 could also protect against antibiotics other than MXF routinely  
3 used in the clinic, we examined the capacity of the activated charcoal released from DAV132 to  
4 adsorb them under *ex vivo* conditions, i.e. in pig cecal medium (Table 2). Among the 14  
5 antibiotics routinely used in clinic tested, 13 were adsorbed to an extent of at least 95% by the  
6 charcoal after 3-5h of contact with deformedulated DAV132. Only amoxillin was a little less  
7 adsorbed, to the extent of 92%.

8

## 1 **DISCUSSION**

2 Our most important result was that in human volunteers treated with a clinical five-day course  
3 of oral MXF, DAV132 spared the intestinal microbiome from exposure to free MXF by over  
4 99%, without affecting the plasma pharmacokinetics of the antibiotic or causing any serious  
5 adverse effects. This is a major advance over what we showed in the first DAV132 phase 1 trial  
6 that was limited to a small number of volunteers treated with DAV132 for 24h and receiving  
7 only a single dose of amoxicillin [22]. Here DAV132 was associated with a full 5 day clinical  
8 course of a widely used fluoroquinolone antibiotic. The facts that all randomized volunteers  
9 completed the study, and only small amount of data were missing ensure the validity of the  
10 results. We conclude that the co-administration of DAV132 with MXF is safe, and should not  
11 affect the systemic therapeutic effects of oral, as well as parenteral antibiotic treatments. The  
12 fact that DAV132 is able to markedly reduce fecal MXF concentrations without significantly  
13 affecting systemic exposure to the antibiotic, contrarily to the use of non-formulated activated  
14 charcoal [30], is due to the targeted delivery of the adsorbent component to the ileo-cecal  
15 region [22].

16 Our second most important result was that co-administration of DAV132 largely protected  
17 richness and composition of the intestinal microbiota of MXF-treated volunteers. The changes  
18 observed with MXF alone that were maximal after 6 days of antibiotic, and persisted a month  
19 after the treatment ended were reminiscent of those previously observed with ciprofloxacin [2].  
20 Under co-administration of DAV132 with MXF, they were largely reduced and return to  
21 baseline was observed 11 days after treatment ended suggesting that long term consequences of  
22 antibiotics might be spared. Indeed, a third of the 252 MGS identified in the gut microbiota of  
23 the volunteers were affected by MXF, but the co-administration of DAV132 fully protected  
24 81% of the affected MGS, and a further 12% partially. Of particular interest in that respect was

1 that the 34 MGS that had previously been shown to be associated with the high richness  
2 microbiome in healthy individuals [15] were well protected.

3 A third important result from the study was that the adsorbent released from DAV132 could  
4 efficiently adsorb under *ex vivo* conditions mimicking the cecum, antibiotics from several  
5 distinct and therapeutically important classes such as  $\beta$ -lactams of all categories (penicillins,  
6 cephalosporins and carbapenems), fluoroquinolones and lincosamides. This indeed suggests  
7 that the co-administration of DAV132 could protect the human gut microbiome against the  
8 deleterious effects of many antibiotics, including those administered orally, without affecting  
9 their plasma pharmacokinetics, as we previously showed with the  $\beta$ -lactam amoxicillin [22],  
10 and here with the fluoroquinolone MXF. The non-specific nature of the adsorbent used in  
11 DAV132 might indeed be advantageous over the use of the recently proposed  $\beta$ -lactamase for  
12 prevention of intestinal dysbiosis and *Clostridium difficile* infections which is limited to  
13 association with parenteral treatments by penicillins and cephalosporins [20].

14 Another set of results in this trial was strongly favorable for the possibility to further use  
15 DAV132 in the clinic. First concerning safety, in spite of the relatively important dose of  
16 charcoal that was given (7.5g tid), the treatment was associated with no significant side effects,  
17 in particular intestinal ones but for the black darkening of feces. DAV132 had no impact on  
18 blood electrolytes or coagulation parameters, suggesting that it did not interfere with  
19 electrolytes exchanges or vitamin K production, which take place in the colon. Compliance to  
20 treatment was not an issue for the volunteers. Second, we did not observe any remarkable  
21 differential modification in the emergence of quinolone/fluoroquinolone resistant coliforms  
22 between the groups of volunteers, even when the free fecal antibiotic concentrations were low  
23 as in those that received MXF+DAV132. Notwithstanding the small number of subjects  
24 involved in this study, this is reassuring because some have suggested that low concentrations  
25 of fluoroquinolones might be prone to increase the selection of resistant bacteria [31].



1 In spite of these positive results, our work has limitations. First, in this phase 1 trial we did not  
2 address directly the efficacy of DAV132 to protect patients against immediate consequences of  
3 antibiotic treatments such as *C. difficile* colitis. However, we recently published pre-clinical  
4 results in hamsters that suggest that such might well be the case [31]. Second we did not  
5 address the possibility that DAV132 might interfere with other drugs that could be taken  
6 concomitantly for therapeutic purposes by patients treated with antibiotics. This was far beyond  
7 the purpose of the current study but will have to be determined before testing the product in  
8 actual patients.

9 Whatever these limitations, the results of this phase 1 trial appear promising: DAV132 may  
10 constitute a breakthrough product to prevent short- and long-term detrimental effects of  
11 antibiotic treatments. Further studies are under way to validate the potential of DAV132 in a  
12 clinical set-up.

13

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14

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1 **FIGURE LEGENDS**

2 **Figure 1: Study design.** The various periods of the study (screening, treatment, follow-up) are  
3 shown in boxes at the top. The times of blood and feces sampling for MXF pharmacokinetics  
4 and metagenomics analysis are shown by horizontal bars in the bottom section of the graph.

5  
6 **Figure 2. Effect of DAV132 on MXF concentrations in feces and plasma of human**  
7 **volunteers. (a)** Free fecal MXF concentrations between D1 and D16 ( $p=10^{-17}$  for the  
8 comparison of  $\log\text{AUC}_{\text{D1-D16}}$ ). Inset: magnified scale for HVs treated with MXF+DAV132. **(b)**  
9 Plasma MXF concentrations on D1 ( $p=0.8$  for the comparison of  $\log\text{AUC}_{0-24\text{h}}$ ) and **(c)** D5  
10 ( $p=0.1$  for the comparison of  $\log\text{AUC}_{0-24\text{h}}$ ). HVs, 14 in each of these groups, were administered  
11 orally MXF 400 mg od from D1 to D5 (MXF), or MXF 400 mg od plus DAV132 7.5 g tid from  
12 D1 to D5 and then DAV132 alone on D6-D7 (MXF+DAV132). Mean values  $\pm$  standard  
13 deviation (SD) are shown.

14  
15 **Figure 3: Effect of DAV132 on MXF-induced alterations of the human gut microbiome of**  
16 **human volunteers. (a)** Gene richness: bacterial gene counts for each study group are  
17 displayed. **(b)** Microbiome composition. Spearman's rank correlation coefficients ( $\rho$ ) computed  
18 from the abundance of all genes of the 3.9 M gene catalog carried by each individual between  
19  $D_{\text{screening}}$  and the indicated days are shown for each study group. **(c)** Metagenomic species.  
20 Distribution of AUC values computed between D0 and D16 using  $\log_{10}$  of abundance change  
21 from D0 of each MGS present in each individual is shown. The group sizes were: MXF  $n=14$ ;  
22 MXF+DAV132  $n=13$ ; DAV132  $n=8$ ; CTL  $n=8$ . The number of available individual measures  
23 over all MGS for each group is indicated in the inset. Red, blue, green and black correspond to  
24 MXF, MXF+DAV132 (MDV), DAV132 (DAV) and CTL groups, respectively.

1 **Figure 4: Impact of MXF and DAV132 on gene richness.**

2 (a)  $AUC_{D0-D16}$  of gene richness change from D0; see methods for details. Medians [min ;  
3 max] were 11.63 [9.50 ; 13.24] for MFX, 15.34 [14.10 ; 16.62] for MXF+DAV132, 15.66  
4 [14.91 ; 17.28] for DAV132 and 15.59 [14.88 ; 18.25] for CTL. Of note, in absence of any  
5 change from D0 the value of  $AUC_{D0-D16}$  would be 16. Median values, quartiles, and 1.5  
6 interquartile range are shown. The distribution of the  $AUC_{D0-D16}$  of gene richness was  
7 significantly different between the 4 groups (Kruskal-Wallis test  $p=4.10^{-6}$ ). In the pairwise  
8 comparisons, it was significantly lower in HVs receiving MXF alone than in those receiving  
9 MXF+DAV132 ( $q=4.10^{-7}$ ) or negative control ( $q=1.10^{-5}$ ), whereas the difference between  
10 HVs receiving MXF+DAV132 and negative control was not significant ( $q=0.8$ ) as assessed  
11 by the Wilcoxon rank sum test with Benjamini-Hochberg correction for the four pairwise  
12 comparisons. No difference was observed between the group receiving DAV132 alone and  
13 CTL ( $q=0.8$ ). The number of individuals in different groups was MXF  $n=14$ ; MXF+DAV132  
14  $n=13$ ; DAV  $n=8$ ; CTL  $n=8$ .

15 (b) Relationship between  $AUC_{D0-D16}$  of gene richness change from D0 and  $AUC_{D1-D16}$  MXF  
16 fecal concentration ( $R^2=0.71$ ,  $p=4.10^{-8}$ ). Red and blue dots correspond to groups exposed to  
17 MXF or MXF+DAV132, respectively.

18

19 **Figure 5: Heatmap depicting the changes induced by the various treatments at the genus**

20 **level.** The heatmap represents, for each treatment group and for each bacterial genus, the  
21 median AUC of log10 change from D0 of the MGS that constitute this genus. Green and red  
22 colours respectively indicate genus that are decreased or increased (the intensity of the  
23 colour represents the extent of the change), while white indicates very limited changes.

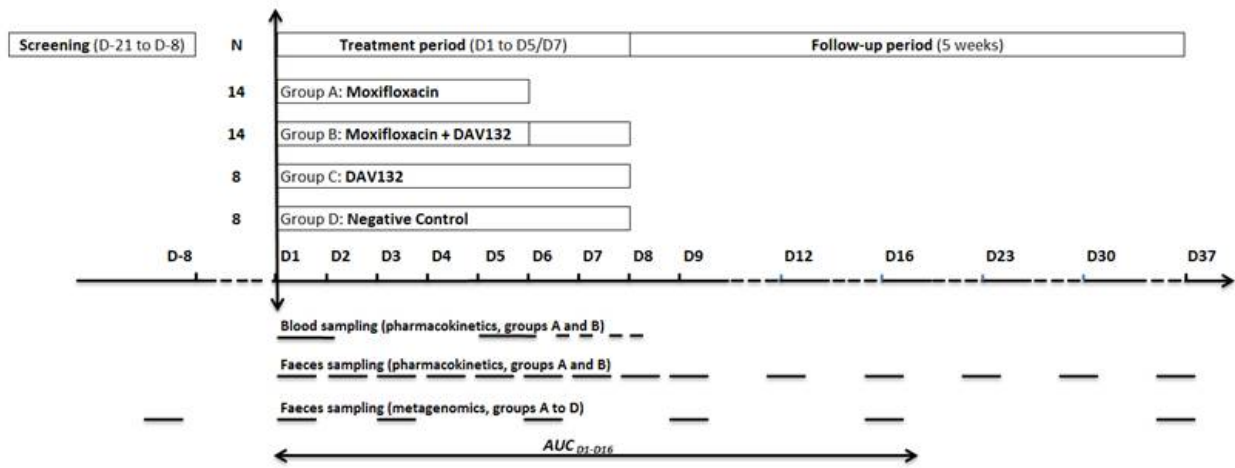
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1 **FIGURES**

2

3 **Figure 1**



4

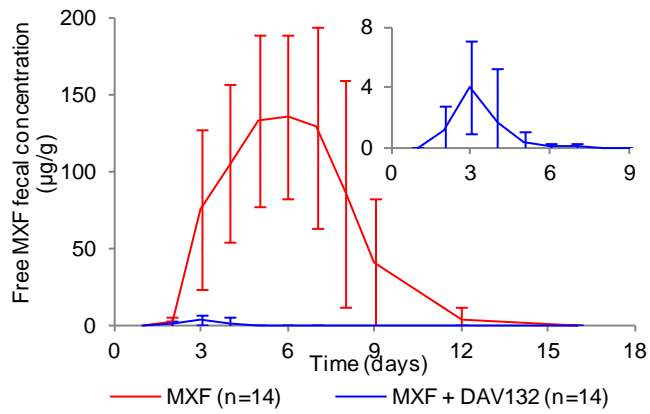
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1 **Figure 2**

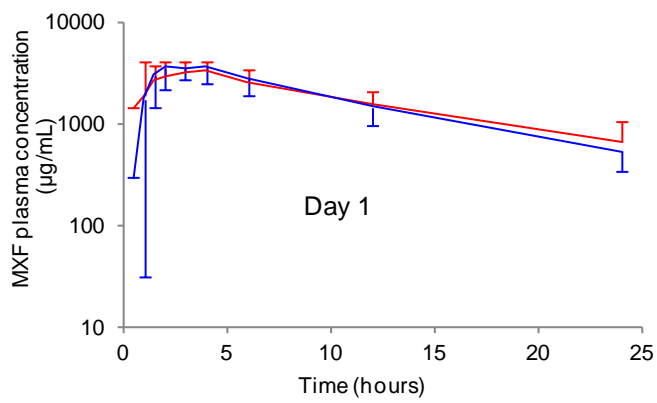
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3 **a**



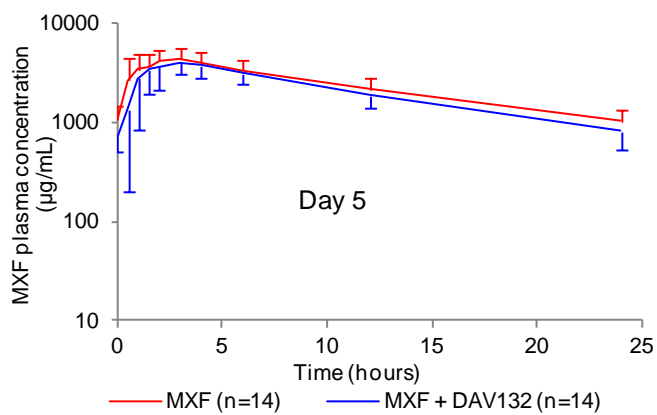
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5 **b**



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7 **c**



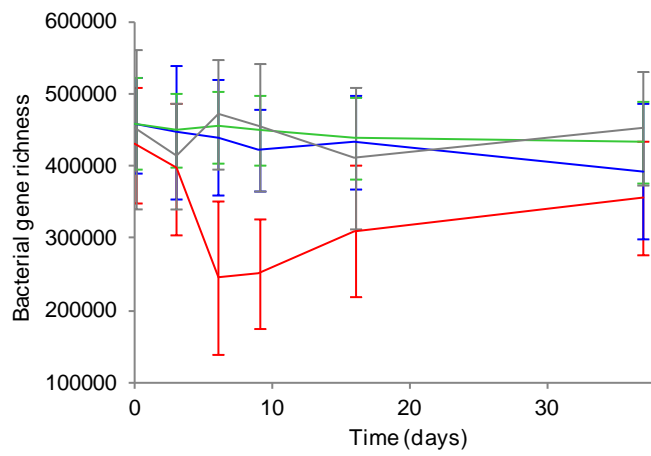
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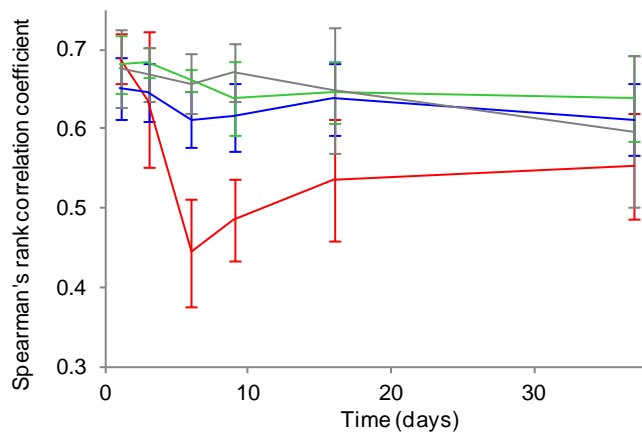
1 **Figure 3**

2 **a**



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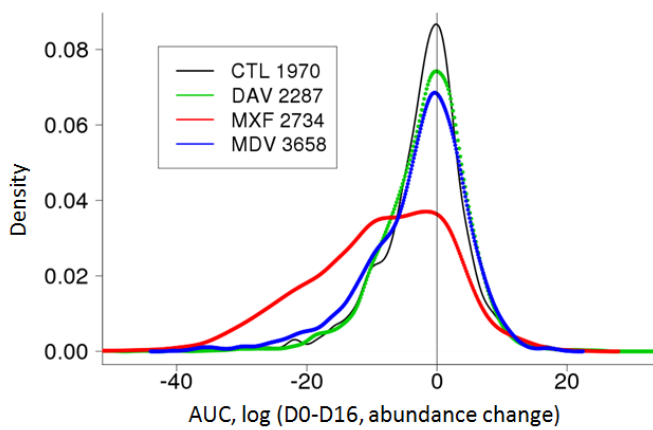
4 **b**



— MXF — MXF + DAV132 — DAV132 — CTL

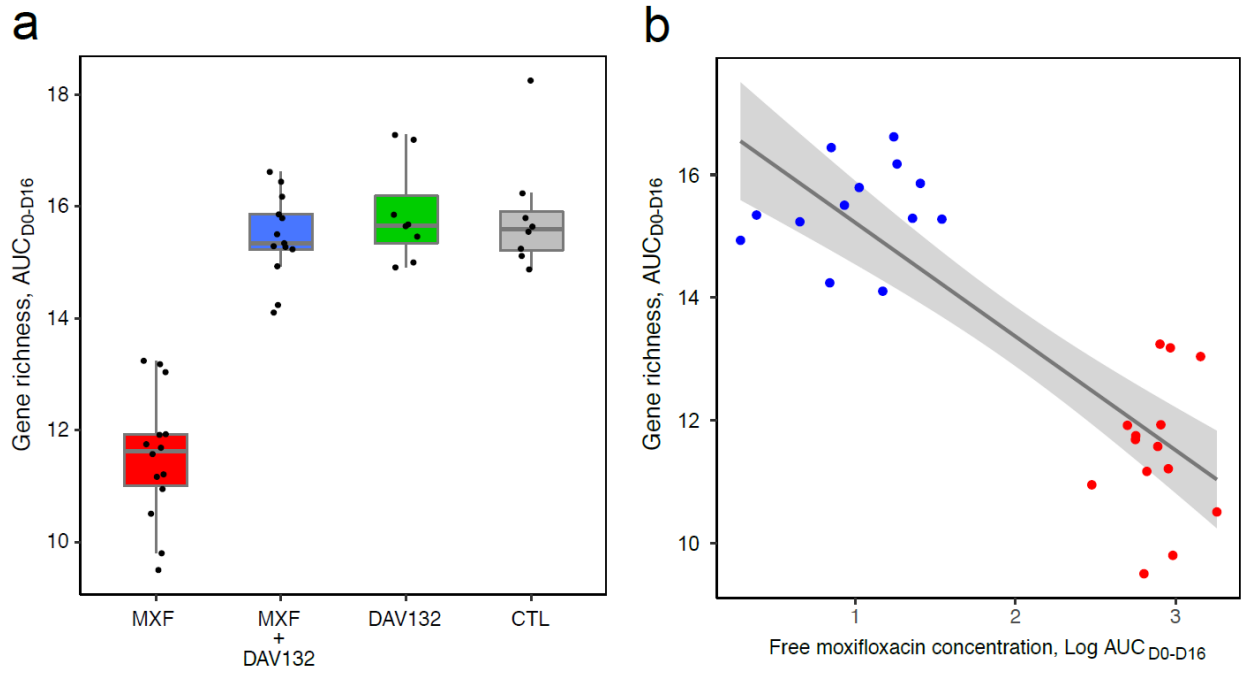
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6 **c**



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1 **Figure 4**



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1 **Figure 5**

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1 **Table 1. Plasma pharmacokinetic parameters of MXF in HVs receiving or not DAV132**

2

	MXF	MXF + DAV132	p
<b>D1</b>			
AUC <sub>0-24h</sub> (µg/mL.h)	42.1 (17%)	41.9 (23%)	0.81
C <sub>max</sub> (µg/mL)	4.02 (33%)	4.63 (21%)	0.10
<b>D5</b>			
AUC <sub>0-24h</sub> (µg/mL.h)	57.6 (24%)	50.5 (22%)	0.14
C <sub>max</sub> (µg/mL)	4.89 (25%)	4.60 (27%)	0.52

Geometric means (CV%) are shown. Statistical comparison of groups was performed on log values.

3

4

1 **Table 2. Adsorption of antibiotics by deformed DAV132 *ex vivo***

2  
3

Antibiotic class	Antibiotic	Adsorption by deformed DAV132 at 3 hours and 100:1 DAV132:antibiotic ratio (%)
Penicillins	Amoxicillin	92.4*
	Piperacillin	95.4*
Cephalosporins, 1 <sup>st</sup> generation	Cefalexine	97.6
Cephalosporins, 3 <sup>rd</sup> generation	Cefotaxime	96.2*
	Ceftriaxone	99.4
Carbapenems	Ertapenem	98.0
	Imipenem	99.7
	Meropenem	98.1**
Fluoroquinolones	Ciprofloxacin	>99.9
	Levofloxacin	>99.9
	Lomefloxacin	>99.4
	Marbofloxacin	>99.9
	Moxifloxacin	>99.7
Lincosamides	Clindamycin	>99.4

4 \* Adsorption at 5 hours

5 \*\*Adsorption at 2 hours

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