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1	Genomics of Natural Populations: Evolutionary Forces that Establish and Maintain Gene
2	Arrangements in Drosophila pseudoobscura
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## 23 Abstract

24 The evolution of complex traits in heterogeneous environments may shape the order of genes 25 within chromosomes. Drosophila pseudoobscura has a rich gene arrangement polymorphism that allows one to test evolutionary genetic hypotheses about how chromosomal inversions are 26 established in populations. D. pseudoobscura has >30 gene arrangements on a single 27 28 chromosome that were generated through a series of overlapping inversion mutations with > 1029 inversions with appreciable frequencies and wide geographic distributions. This study analyzes the genomic sequences of 54 strains of Drosophila pseudoobscura that carry one of six different 30 31 chromosomal arrangements to test whether (1) genetic drift, (2) hitchhiking with an adaptive allele, (3) direct effects of inversions to create gene disruptions caused by breakpoints, or (4) 32 33 indirect effects of inversions in limiting the formation of recombinant gametes are responsible 34 for the establishment of new gene arrangements. We found that the inversion events do not 35 disrupt the structure of protein coding genes at the breakpoints. Population genetic analyses of 36 2,669 protein coding genes identified 277 outlier loci harboring elevated frequencies of arrangement-specific derived alleles. Significant linkage disequilibrium occurs among distant 37 loci interspersed between regions with low levels of association indicating that distant allelic 38 39 combinations are held together despite shared polymorphism among arrangements. Outlier genes 40 showing evidence of genetic differentiation between arrangements are enriched for sensory perception and detoxification genes. The data presented here support the indirect effect of 41 42 inversion hypothesis where chromosomal inversions are favored because they maintain linked associations among multi-locus allelic combinations among different arrangements. 43 44

### 45 Introduction

Chromosome structure and genome organization have been fluid over evolutionary 46 history. An important force that may shape how genes are distributed across the genome and 47 48 their order on chromosomes may be the evolution of complex traits. For single loci, natural selection is more efficient and effective in the presence of recombination because beneficial 49 50 mutations can be dissociated from linked deleterious alleles (Becks & Agrawal, 2010, 2012; 51 McDonald, Rice, & Desai, 2016; Otto & Lenormand, 2002) On the other hand, for traits 52 controlled by multiple loci, recombination can break apart favorable combinations of alleles just 53 as easily as it can bring them together. Therefore, the architecture of the genome may be shaped by mechanisms that modulate recombination rates such as fusing chromosomes together 54 (Dobigny, Britton-Davidian, & Robinson, 2015; McAllister, Sheeley, Mena, Evans, & 55 Schlotterer, 2008) or generating karyotypic variation among closely related species (Carbone et 56 al., 2014; Nachman, Boyer, Searle, & Aquadro, 1994; O'Neill, Eldridge, & Metcalfe, 2004; 57 58 O'Neill et al., 1999). 59 Genes within chromosome can be shuffled through meiotic recombination, which can be reduced by the presence of chromosomal inversions (Sturtevant, 1926). Inversions reduce 60 recombination in rearrangement heterozygotes because unbalanced gametes form as a result 61 62 crossing over within the inverted segments. In humans, inversions are discovered in patients with disease symptoms and are found to have chromosomal breaks in vital genes (Pettenati et al., 63 64 1995). With the advent of genomic sequencing, non-disease causing inversions have been 65 discovered to be segregating in human populations (Stefansson et al., 2005). Inversions are segregating in a wide variety of taxa (Coluzzi, Sabatini, Petrarca, & Di 66 67 Deco, 1979; Engelbrecht, Taylor, Daniels, & Rambau, 2011; Kupper et al., 2016; Lee, Fishman,

68	Kelly, & Willis, 2016; Pyhäjärvi, Hufford, Mezmouk, & Ross-Ibarra, 2013; Silva et al., 2014;
69	Diether Sperlich & Pfriem, 1986; Stefansson et al., 2005; Zinzow-Kramer et al., 2015) and are
70	assumed to lead to the wealth of fixed chromosomal rearrangements observed between species
71	(W. W. Anderson, Ayala, & Michod, 1977; Carson, 1992; Coluzzi, Sabatini, della Torre, Di
72	Deco, & Petrarca, 2002; Fontaine et al., 2015; Levitan, 1992; McGraw, Davis, Young, &
73	Thomas, 2011; Nishikawa et al., 2015; Wasserman, 1982). Indirect evidence has suggested that
74	natural selection modulates the frequencies of gene arrangements because many show clinal
75	variation across environmental gradients (Balanya et al., 2003; Cheng et al., 2012; Dobzhansky,
76	1944; Martin Kapun, Fabian, Goudet, & Flatt, 2016; M. Kapun, Schmidt, Durmaz, Schmidt, &
77	Flatt, 2016; M. Kapun, van Schalkwyk, McAllister, Flatt, & Schlotterer, 2014; Knibb, 1982;
78	Knibb, Oakeshott, & Gibson, 1981; Mettler, Voelker, & Mukai, 1977) including independent
79	parallel clines observed in northern and southern hemispheres, as well across different continents
80	(A. R. Anderson, Hoffmann, McKechnie, Umina, & Weeks, 2005; Calboli, Kennington, &
81	Partridge, 2003; Knibb, 1982; Kolaczkowski, Kern, Holloway, & Begun, 2011; M. Santos et al.,
82	2005). For example, natural selection acting on inversion polymorphism in D. buzzattii is
83	thought to maintain the genetic architecture underlying thermal adaptation across an
84	environmental gradient (Soto et al., 2010). Furthermore, environmental selection is hypothesized
85	to shape the distribution of chromosomal arrangements in the malaria vector Anopheles funestus
86	(D. Ayala et al., 2011) and inversions are implicated in clinal adaptation in other Anopheles
87	species (Diego Ayala et al., 2017). Despite their pervasiveness both as polymorphisms within
88	species and fixed differences between species, the mechanisms that establish and maintain
89	different gene arrangements in populations are unclear.

90 Four broad classes of hypotheses have been proposed to explain how inversions are 91 established in populations: (1) mutation and genetic drift, (2) hitchhiking with an adaptive 92 mutation, (3) direct effects of the inversion mutation, and (4) indirect effects of suppressed 93 recombination (Hoffmann & Rieseberg, 2008; Kirkpatrick & Barton, 2006). A new gene arrangement can be established by drift in small isolated populations subject to high rates of 94 95 extinction and recolonization (Lande, 1984). An inversion could also rise to high frequency if it captures an adaptive allele that is sweeping through the population (Maynard Smith & Haigh, 96 1974). Sperlich (1959) suggested that inversions could directly create selectable variation by 97 98 altering structure or expression of genes flanking breakpoints (Fuller, Haynes, Richards, & Schaeffer, 2016). Another direct effect of inversions might be in their potential to form 99 segregation distorters (Novitski, 1951). Inversions may also be established through the 100 101 maintenance of linked associations of alleles because of their indirect effect of reducing 102 recombinant gametes (Sturtevant & Beadle, 1936) either because the chromosome is free of 103 deleterious recessive alleles (Nei, Kojima, & Schaffer, 1967; Ohta, 1971), the chromosome has 104 epistatic combinations of alleles (Charlesworth & Charlesworth, 1973; Dobzhansky, 1950) or the 105 chromosome has locally adapted alleles (Kirkpatrick & Barton, 2006). Drosophila pseudoobscura has been used as a model system to investigate inversions in 106

natural populations with > 30 different gene arrangements generated through single inversion
events (Dobzhansky & Sturtevant, 1938; Powell, 1992). The polymorphism is estimated to be
1.4-1.7 million years old (Aquadro, Weaver, Schaeffer, & Anderson, 1991; A. G. Wallace,
Detweiler, & Schaeffer, 2011). Several lines of direct and indirect evidence suggest that selection
helps establish and maintain the different gene arrangements. Five gene arrangements are
frequent, widely distributed, and form clines across the southwestern United States where

frequency shifts coincide with changes in major physiographic provinces (Dobzhansky, 1944;

114 Lobeck, 1948; Schaeffer, 2008) (Figure 1).

115	The geographic clines have been stable since their initial description in the 1940s (Wyatt
116	W. Anderson et al., 1991; Dobzhansky, 1944) despite the homogenizing effect of extensive gene
117	flow among populations (Coyne, Bryant, & Turelli, 1987; Kovacevic & Schaeffer, 2000; Riley,
118	Hallas, & Lewontin, 1989; Schaeffer & Miller, 1992). Altitudinal clines have also been observed
119	(Dobzhansky, 1948a) and frequencies of the gene arrangements show seasonal cycling
120	(Dobzhansky, 1943). In some cases, inversion frequencies in population cage experiments
121	formed stable equilibria mimicking patterns in natural populations (Dobzhansky, 1948b, 1950;
122	Wright & Dobzhansky, 1946). Inversion polymorphism tended to be eliminated from population
123	cages initiated with D. pseudoobscura (W. W. Anderson, Dobzhansky, & Kastritsis, 1967). The
124	problem is that karyotypic fitness can vary among different climatic zones (Schaeffer, 2008) and
125	the Anderson et al. (1967) population cage studies do not replicate natural climates.
126	Allozyme and nucleotide sequence polymorphism data support the indirect effect
127	hypothesis because different arrangements are found to have unique allelic combinations
128	(Prakash & Lewontin, 1968, 1971; Schaeffer et al., 2003), however, these results should be
129	viewed with caution due to the small sample sizes and limited number of loci examined. Recent
130	transcriptomic analyses have shown that inversions capture multiple differentially expressed
131	genes among the arrangements further supporting the indirect effect of recombination
132	suppression hypothesis (Fuller et al., 2016).
133	Schaeffer (2008) used numerical analyses of a selection-migration balance model to infer
134	the fitnesses of gene arrangement karyotypes in six niches across the east-west inversion cline
135	(Figure 1). The fitness estimates revealed over- and under-dominance operating on the gene

136 arrangement karyotypes in the different niches. The selection in heterogeneous environments 137 model completely recapitulated the inversion cline from an ancestral arrangement where the frequencies of some arrangements both increased and decreased over time. The AR, PP, and CH 138 139 arrangements show a steady increase to intermediate frequency from their origins suggesting that nucleotide variation at selected loci may show signatures of hard sweeps. The model also 140 141 showed that ST had both increased and decreased depending on the frequency of other 142 arrangements in the niche suggesting that patterns of ST nucleotide diversity may show a soft sweep signature. The cline observed across the southwestern United States only represents a 143 144 portion of the ecological and karyotypic diversity seen in *D. pseudoobscura* with the species 145 range extending into Mexico where the TL arrangement is found at much higher frequencies. Inexpensive high-throughput sequencing methods now allow us to evaluate inversion 146 establishment and maintenance hypotheses in more detail. Here, we present an analysis of 54 147 148 third chromosomes (Muller C) from six D. pseudoobscura gene arrangements. We used large 149 insert mate-pair libraries to map the inversion breakpoints to test one aspect of the position effect 150 hypothesis, i.e., whether inversion breakpoints disrupt the coding regions of genes. To test a monophyletic origin of the arrangements, phylogenetic analyses across different syntenic regions 151 152 of the third chromosome were carried out. Molecular population genetic analyses tested 2,669 153 gene regions on the third chromosome for signatures of adaptive evolution and the structure of linkage disequilibrium was investigated across the chromosome to test for significant non-154 155 random associations as an indirect effect of suppressed recombination.

156

### 157 Materials and Methods

158	Drosophila pseudoobscura Strains. Genome sequences of 54 D. pseudoobscura strains
159	were analyzed in this study. The genomes of 47 strains were described in the previous study of
160	codon usage bias by Fuller et al. (2014). The strains were collected from the seven localities:
161	Mount Saint Helena, CA (MSH), Santa Cruz Island, CA (SCI) (collected by Luciano Matzkin,
162	University of Arizona), James Reserve, CA (JR) (collected by Wyatt W. Anderson, University of
163	Georgia), Kaibab National Forest, AZ (KB), Bosque del Apache Wild Life Refuge (BdA,
164	collected by Sara Sheeley, Upper Iowa University and provided by Bryant McAllister,
165	University of Iowa), Davis Mountains, TX (DM), and San Pablo Etla, Oaxaca, Mexico (SPE123)
166	(collected by Theresa A. Markow, UC San Diego). The genome sequences for seven additional
167	strains were generated for this study. The strains carried one of six different gene arrangements:
168	Standard (ST), Arrowhead (AR), Pikes Peak (PP), Chiricahua (CH), Cuernavaca (CU), or Tree
169	Line (TL) (Dobzhansky & Sturtevant, 1938) (Figure 2). The strains were made homozygous for
170	the third chromosome (Muller C, See Muller, 1940) by inbreeding, brother sister mating in a
171	heterozygous strain, or balancer crosses (see Supporting Information).
172	Illumina Library Construction and Sequencing. Genomic DNA samples from single
173	male flies of each strain were purified using Qiagen DNAeasy Blood and Tissue Kit following
174	manufacturer recommendations, including an RNAse digestion step. High molecular weight
175	double stranded genomic DNA samples were constructed into Illumina paired end libraries
176	according to the manufacturer's protocol (Illumina Inc.) with modifications as described in the
177	Supporting Information. Sequencing analysis was first done with Illumina analysis pipeline.
178	Sequencing image files were processed to generate base calls and phred-like base quality scores
179	and to remove low-quality reads.

180	Analysis of High Throughput Sequencing Reads and Final SNP Dataset. The paired
181	end sequence reads (101 bp) were aligned to the D. pseudoobscura reference strain FlyBase
182	version 3.02 (http://flybase.org) using bwa-mem (v. 0.7.8 Li & Durbin, 2009) with default
183	parameters. GATK (v. 3.1.1 McKenna et al., 2010) software was used to remove duplicate
184	sequence reads, recalibrate base quality scores, and locally realign regions around indels for
185	BWA alignments (DePristo et al., 2011). Pileup files generated with SAMtools (v. 0.1.19 Li et
186	al., 2009) were used to determine the coverage distributions for each strain. The reference
187	genome carries the AR arrangement (Richards et al., 2005). We called single nucleotide
188	polymorphisms (SNPs) using the population haplotype-based software FreeBayes (v. 0.9.21
189	Garrison & Marth, 2012). Sites with a phred-quality score less than 30 or coverage less than two
190	were filtered from the data. On average, 96.066 % of nucleotide sites on the third chromosome
191	were retained for each individual strain. Because our crossing scheme produced individuals
192	isogenic for the third chromosome, we filtered sites called as heterozygous as they are likely to
193	be the result of read misalignment, repetitive sequence regions, or poor read mapping. Of the
194	19.8 Mb on the third chromosome, 0.03-0.06 % of the nucleotide sites were called as
195	heterozygous with genotype Phred score >30. As described in Fuller et al.(2014), we found no
196	evidence for clusters of such sites that might suggest particular regions that resisted becoming
197	isogenic. A SNP table for the third chromosome is available through Scholarsphere
198	(https://scholarsphere.psu.edu).
199	Mapping Gene Arrangement Breakpoints. The breakpoints of the 5.9 Mb inversion
200	that converted the Standard arrangement into Arrowhead were previously mapped using PCR

201 (Richards et al., 2005). Large insert (3 kb) mate-pair libraries were constructed for the

202 PP\_DM1020\_B, CH\_JR4\_L, CH\_JR32\_B, TL\_MSH76\_B, and CU\_SPE123\_5-2\_B strains to

203	map the locations of derived breakpoints for the inversions that converted ST to PP, HY to ST,
204	HY to SC, SC to CH, SC to CU, and SC to TL (see Figure 2 for the schematic maps and Figure
205	S1 in the Supporting Information for the karyotypic maps). DNA from each strain was
206	fragmented to an average size of 3 kb using the Nextera protocol from Illumina. The 3 kb
207	fragments were circularized and sonicated to produce 400 bp fragments. The resulting DNAs
208	were sequenced from the 5' and 3' ends. The sequenced fragments included both mate pairs
209	where the ends are separated by 3 kb and paired ends separated by 400 bp. The reads from the
210	mate-pair library were mapped to the D. pseudoobscura AR reference genome (MV 2-25 version
211	3.02) using bwa-mem (v. 0.7.8 Li & Durbin, 2009) under default parameters. The resulting
212	SAM file was used to locate the breakpoints (Corbett-Detig, Cardeno, & Langley, 2012). For
213	more details about breakpoint mapping, see the Supporting Information.
214	Phylogenetic Analysis of Gene Arrangements. Previous molecular evolutionary
215	analysis of a limited number of genetic markers across the third chromosome supported a unique
216	origin of the different gene arrangements of D. pseudoobscura (Aquadro et al., 1991; A. G.
217	Wallace et al., 2011). We performed phylogenetic analysis on the more complete SNP data from
218	across the third chromosome to test whether inversions were of unique origin. In addition, we
219	used phylogenetic analysis of SNPs from 14 syntenic blocks across the chromosome to
220	determine if all regions have a similar history. We used neighbor-joining as implemented in
221	MEGA6 (Koichiro Tamura, Stecher, Peterson, Filipski, & Kumar, 2013) to infer the
222	relationships among the 54 D. pseudoobscura strains using the outgroup strain, D. miranda
223	(Saitou & Nei, 1987). The evolutionary distances were computed using the Maximum Composite
224	Likelihood method (K. Tamura, Nei, & Kumar, 2004) assuming either a uniform or
225	heterogeneous rate of evolution. All positions containing gaps and missing data were eliminated.

The percentage of replicate trees in which the associated taxa clustered together were determinedwith 500 bootstrap replicates (Felsenstein, 1985).

Classification of SNP sites. The inversions represent different subpopulations and we 228 229 can test for genes with significant elevated frequencies of unique derived mutations, which are candidate genes targeted by adaptive evolution. The segregating sites discovered in this sample 230 are a composite of unique and shared mutations. A unique derived mutation was one that 231 232 occurred on the branch immediately before or after the inversion mutation. Shared mutations either predate two or more inversion mutations or are alleles transferred among arrangements via 233 234 gene conversion or double cross overs (Arcadio Navarro, Betrán, Barbadilla, & Ruiz, 1997). Shared polymorphisms could also result from the balancer crosses that were used to generate the 235 isochromosomal strains (Miller et al., 2016). See the Supporting Information for more details on 236 237 how polymorphic sites were classified.

Estimates of Site Frequency Spectrum and Mean Derived Allele Frequency within
 Protein Coding Genes within Chromosomal Arrangements. A total of 2,669 protein coding
 gene models are annotated for the third chromosome in release Dpse 3.02 FB14\_04 in FlyBase

241 (http://flybase.org). We examined the site frequency spectrum and mean derived allele frequency

242 (DAF) for each gene including 1,000 bps upstream and downstream of the annotated

transcriptional start and end sites. We used the largest of multiple encoded transcripts for each

gene. The site frequency spectrum for each gene was summarized with the ratio of Tajima's D

245 (1989) to the absolute value of its theoretical minimum  $(D_{\min})$ , which occurs when all

segregating sites have a frequency of 1/n, where *n* is the sample size. Tajima's *D* is sensitive to

sample size and numbers of segregating sites, but  $D/|D_{\min}|$  controls for arrangements with

different sample sizes (Schaeffer, 2002). The  $D/|D_{min}|$  has a minimum value of -1 and its mean

249 value will reflect the demographic history of the population, which should be similar for all loci 250 in the genome (Hahn, Rausher, & Cunningham, 2002; Schaeffer, 2002). We used a random 251 permutation test to detect outlier loci that have clusters of low or high frequency variants. 252 Shuffling the position of sites will break up these clusters such that the observed values of  $D/D_{\rm min}$  will be more extreme than the values based on random permutations for a given number 253 of segregating sites and the mean local site frequency spectrum in proximal, inverted, or distal 254 255 regions. The segregating sites within each region were shuffled without replacement 100,000 256 times and we estimated how often the permuted Tajima's  $D/|D_{\min}|$  was more extreme than the 257 observed value in the gene region. This approach maintained the linkage relationships of variable nucleotides within the chromosome region. We used the *q*-value method with a false 258 discovery rate (FDR) of 0.01 to correct for multiple tests (Storey, 2002, 2003). Shared and 259 260 unique SNPs were analyzed separately for the five gene arrangements with sample sizes greater than eight, Arrowhead (15), Standard (8), Pikes Peak (10), Chiricahua (9), and Tree Line (9). A 261 262 similar approach was used to detect significant clusters of high frequency derived unique 263 mutations per segregating site (DAF) in the 2,669 genes, which includes polymorphic and fixed mutations. 264

Population Specific Branch Length Tests. A second method to detect outlier genes that
accumulated large numbers of arrangement specific mutations is similar to other statistics such
as the "Population Branch Statistic" (PBS; Yi et al., 2010) and "Locus Specific Branch Length"
(LSBL; Shriver et al., 2004). This test has been used to identify loci with evidence of adaptive
evolution (Huerta-Sanchez et al., 2013). Prior use of PBS and LSBL require exactly three
subpopulations. Here, we extend these methods to an unrooted phylogeny of known topology

containing any number of branches and nodes and details of the analysis can be found inSupporting Information.

We estimated the Population Specific Branch Length (PSBL) for each gene on the third 273 274 chromosome including 1 kb upstream and downstream. We used similar bootstrap approaches as our analyses of  $D/|D_{\min}|$  and DAF, to detect significantly large PSBL estimates with a false 275 276 discovery rate (FDR) of 0.01 (Storey, 2002, 2003). 277 The detection of statistical outliers could be performed with coalescent simulations which 278 specify the demographic history of each arrangement. We know the branching order of the 279 different gene arrangements, but we have insufficient information about the demography of each arrangement to carefully specify a precise model for the system of inversions. For this reason, 280 we used random permutation tests that shuffled SNP positions and looked for genes that were 281 282 statistical outliers rather than searching for parameter values that could support any particular model or hypothesis. 283

284 Detection of Putative Functional Variation in Protein Coding Genes Within Gene

Arrangements. We extracted and translated the 2,669 third chromosome coding sequences from the *D. pseudoobscura* reference annotation version 3.02 available from FlyBase, (dos Santos et al., 2015). We used the same scoring scheme that was used to classify nucleotide polymorphisms (Figure S2 in the Supporting Information). Variation in stop codons was counted in the data set. We inferred the amino acid transition matrix counting the number of events from the ancestral to derived amino acids. Additionally, we examined the frequency spectrum of the amino acid transitions for all sites.

292 Detection of Significant Linkage Disequilibrium. Linkage disequilibrium was
 293 characterized for all polymorphic sites across the third chromosome using the correlation based

- approach of Zaykin *et al.* (2008), similar to  $r^2$  (Hill & Robertson, 1968). A heat map image
- 295 matrix was generated by taking the average significance values at 100 adjacent sites. At each
- 296 polymorphic site, we also performed a Fisher's exact test to assess the significance of allele
- association within chromosomal arrangements. All significance values were corrected for
- 298 multiple testing by controlling the FDR (Storey, 2003).
- 299

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# **Results**

301	Map of Inversion Breakpoints. Thirty eight genetic and physical markers from Muller
302	C were used to bracket the locations of each breakpoint in the AR reference sequence (see
303	Supplemental Table 21 Muller C tab, Schaeffer et al., 2008). Seven pairs of inversion
304	breakpoints were mapped with the mate pair data similar to previous approaches (Corbett-Detig
305	et al., 2012; Cridland & Thornton, 2010)(See Supporting Information). Mate pair reads that
306	mapped at megabase distances apart are candidates for breakpoint positions if the two ends
307	coincide with the approximate locations of breakpoints on the cytological map (Dobzhansky,
308	1944; Dobzhansky & Sturtevant, 1938).
309	All breakpoints mapped within intergenic regions and not within the transcripts of the
310	boundary genes (Table 1). An average of ~4.5 breaks (95% CI: 1-8) are expected in intergenic
311	regions if 14 breakpoints are placed randomly, using a uniform distribution, on the third
312	chromosome map that includes 6.3 Mb of intergenic regions and 13.4 Mb of coding nucleotides.
313	Four of the thirteen breakpoint regions had nucleotide sites with elevated coverage (pHYSC,
314	pSTAR, dSTAR, and pSCCH). We failed to observe elevated read coverage in any boundary
315	gene indicating that genes adjacent to inversion breakpoints are not duplicated as has been
316	observed in other Drosophila breakpoints (Calvete, Gonzalez, Betran, & Ruiz, 2012; Guillen &
317	Ruiz, 2012; Papaceit, Segarra, & Aguadé, 2013; Puerma et al., 2014; Ranz et al., 2007).
318	Phylogenetic Relationships of Arrangements 14 Syntenic Regions Show Diverse
319	Histories across the Third Chromosome. We used paired-end reads generated from each strain
320	to identify single nucleotide polymorphisms (SNPs). The median coverage across all strains was
321	35 (Table S4 and Figure S24). From these aligned reads, we identified 1,028,037 SNPs on the

322	third chromosome and estimated error rates to vary from 9.7 x $10^{-4}$ in AR to 3.4 x $10^{-3}$ in PP, CH,
323	and TL using a set of previously sequenced markers (Tables S5 and S6).
324	The overall phylogenetic tree supports the unique origin hypothesis based on the
325	monophyletic relationship of each of the six arrangements (Figure 3) consistent with RFLP and
326	short nucleotide marker data (Aquadro et al., 1991; A. G. Wallace et al., 2011). The root of the
327	tree, HY, was also confirmed with gene adjacency information at the breakpoints (Bhutkar et al.,
328	2008)(See Identification of Inversion Breakpoints in Supporting Information). The branching
329	order is consistent with the cytogenetic phylogeny, except that PP is inferred to be the sister
330	clade to the AR, ST, CH, CU, and TL gene arrangements rather than forming a monophyletic
331	group with ST and AR (Dobzhansky & Sturtevant, 1938).
332	We tested whether PP showed this unexpected relationship in all regions of the third
333	chromosome (Figure 2). We used neighbor-joining to infer the relationships among the six
334	arrangements in the 14 syntenic regions (Figure 4, Figures S25-S38 in the Supporting
335	Information). The six gene arrangements form monophyletic clusters in the central syntenic
336	blocks where recombination is reduced. Not all syntenic blocks, however, are concordant with
337	the established cytogenetic phylogeny, e.g., see regions 68C-69C, 69D-70A, 76C-78A, and 78B-
338	79A in Figure 4 compared with the cytogenetic phylogeny in (Figure 2). PP consistently diverges
339	before the split of the AR and ST lineages within the ST phylad in these four regions. The
340	branching order of the CH, CU, and TL arrangements within the Santa Cruz phylad is also not
341	consistent among these four syntenic regions. While CU is always the most derived member of
342	the Santa Cruz phylad, it is not clear whether CH or TL is the more ancestral member.
343	In syntenic regions that are discordant with the cytogenetic phylogeny, the PP clade is the
344	most inconsistent group. PP clusters with the Santa Cruz phylad in four regions (76B, 76A-74C,

345 74B-70D, 70C-70B) and is basal to the phylad in two of the four regions (76B, 76A-74C). PP is 346 sister to TL in the other two blocks (74B-70D and 70C-70B). Block 74B-70D is also unusual 347 because the CH and CU clades cluster with the Standard phylad. In the three remaining syntenic 348 blocks (79B, 79C-79D, 80A-81D), PP strains fail to form monophyletic groups and clusters 349 among the AR and ST strains. The Site Frequency Spectrum of Inversion-Specific Mutations. We examined the site 350 frequency spectra of inversion-specific mutations with Tajima's  $D/|D_{min}|$  (Figure 5). The 351 frequency spectra shows more high frequency variants near and within inverted regions and an 352 353 excess of rare variants in the proximal and distal regions. Proximal and distal regions have more shared than unique polymorphisms and the frequency of derived mutations is higher in shared 354 355 versus unique polymorphisms with random permutation tests in five arrangements (All arrangements and regions  $P < 1.0 \times 10^{-3}$ )(Table 3) and Chi square tests of homogeneity (AR, 356 X<sup>2</sup>=12,688.9, df=2, *P*<1x10<sup>-6</sup>; PP, X<sup>2</sup>=14,562.0, df=2, *P*<1x10<sup>-6</sup>; CH, X<sup>2</sup>=8,923.5, df=2, *P*< 357  $1x10^{-6}$ ; TL, X<sup>2</sup>=12,495.1, df=2, P<1x10^{-6}; CU, X<sup>2</sup>=457.8, df=2, P<1x10^{-6}). ST has an excess of 358 unique SNPs in the proximal region compared to the inverted and distal regions (ST, 359  $X^2 = 1,345.1, df = 2, P < 1x10^{-6}$ ). 360

We tested for outlier genes that either have significant excesses of low  $(D/|D_{min}|<0)$  or intermediate  $(D/|D_{min}|>0)$  frequency SNPs. The majority of extremely low values of  $D/|D_{min}|$  are found in the proximal and distal regions where shared mutations with higher frequencies were removed leaving younger low frequency mutations. Significant elevations in  $D/|D_{min}|$  occurred for genes within the inversion where fewer shared polymorphisms were removed. For ST and CH, the elevation of Tajima's  $D/|D_{min}|$  extends approximately five and seven Mb upstream of the proximal breakpoint, respectively. 368 We estimated  $D/|D_{\min}|$  for the non-inverted second chromosome (McGaugh et al., 2012). 369 Tajima's  $D/|D_{\min}|$  varies uniformly across chromosome two with a mean  $D/|D_{\min}|$  of -0.55, which 370 is consistent with a population expansion parameter (Nr) of 30 based on coalescent simulations 371 (McGaugh et al., 2012; Schaeffer, 2002) (See Supporting Information and Figure S39). Fifteen regions show a significantly excess of intermediate frequency variants and thirty regions have a 372 significant excess of rare variants (Figure S39). This indicates the pattern of Tajima's  $D/|D_{min}|$ 373 374 across the inverted third chromosome is influenced by the presence of inversions. 375 The mean values of Tajima's  $D/|D_{min}|$  for the five arrangements are AR =-0.72, ST = -0.53, PP= -0.33, CH= -0.41, and TL = -0.49. The  $D/|D_{min}|$  values for ST, CH, and TL are similar 376 377 to that of the second chromosome consistent with a similar demographic history. The distribution of  $D/|D_{min}|$  for AR is more negative than the other arrangements suggesting a greater 378 379 rate of expansion for this chromosome. **Detection of Protein Coding Regions with Elevated Frequencies of Derived** 380 **Mutations.** The site frequency spectrum as summarized by Tajima's  $D|D_{\min}|$  does not include 381 382 nucleotide sites that are fixed within a gene arrangement background in our sample. We 383 estimated the mean derived allele frequencies (DAF) of unique polymorphisms in the 2,669

384 genes and tested loci for significant clusters of high frequency alleles using random permutation

tests (Figure 6). The AR, ST, PP, CH, and TL arrangements had 138, 229, 233, 161, and 173

386 genes, respectively, with a significant elevation of mean DAF per segregating site. The mean

387 DAF tends to be at its lowest near the centromere and telomere and increases near the inversion

breakpoints with the highest values observed within the inverted regions and up to 1 Mb

upstream or downstream. The exceptions are ST and CH where the mean DAF is elevated within

390 five to seven Mb of the proximal breakpoint, which overlaps with the inverted region of AR.

The mean DAF frequency is uniformly low across chromosome two (~0.2) not reaching the high
values seen for any arrangement on the third chromosome (See Figure S39 in Supporting
Information).

394 **Detection of Arrangement Specific Allele Frequency Changes with Population** Specific Branch Length Analysis. Population specific branch length (PSBL) analysis allowed 395 396 us to determine which gene regions have a significantly high proportion of arrangement specific allele frequency changes (see Figure 7 and Supplemental Spreadsheet 1). A significantly long 397 398 branch will occur if a gene has an excess of arrangement specific mutations relative to the other 399 four arrangements. For each lineage on the phylogeny, intervals with the longest branch lengths are located within or near inversion breakpoints. The mean branch length for genes in inverted 400 401 regions is significantly greater than for genes located outside of the breakpoints in every case (Wilcoxon rank-sum test, P < 0.05). After correcting for multiple testing with a FDR of 0.01, we 402 403 determined that 317, 380, 396, 350, and 340 genes had a significantly long branch length in the 404 AR, ST, PP, and CH arrangements, respectively. Of these, 71, 130, 192, 74, and 75 genes had long branches only within AR, ST, PP, CH, and TL, respectively. Some genes had longer 405 branches in multiple arrangements with 249, 149, 54, and 16 genes in two, three, four, or five 406 407 arrangements, respectively. A total of 1,659 genes did not have a significantly long branch length in any of the five arrangements, while 1,010 genes had a significantly long branch length in at 408 409 least one arrangement.

#### 410

## Elevated Derived Allele Frequencies and Fixed Amino Acid Changes within

Inverted Gene Regions. Here, we ask how many of the DAF and PSBL outlier gene regions
also harbor at least one fixed amino acid change within a particular arrangement in our sample.
A total of 277 gene regions DAF and PSBL outlier genes contained at least one fixed amino acid

difference in at least one gene arrangement. There are 28, 74, 144, 31, and 47 outlier genes with at least one fixed amino acid in AR, ST, PP, CH, or TL, respectively. Chi-square tests of homogeneity support a heterogeneous distribution of candidate selected genes with more outliers in inverted regions for all arrangements except ST (AR,  $X^2=24.1$ , df=2, *P*=5.9x10<sup>-6</sup>; ST,  $X^2=9.2$ , df=2, *P*=0.010; PP,  $X^2=36.1$ , df=2, *P*=1.4x10<sup>-8</sup>; CH,  $X^2=28.7$ , df=2, *P*=5.7x10<sup>-7</sup>; TL,  $X^2=42.2$ , df=2, *P*=6.9x10<sup>-10</sup>).

420 The outlier genes are found across the length of the inverted regions, but are not

421 uniformly distributed based on Kolmogorov-Smirnov tests (AR  $D_{max}$ =-0.258 P=0.005; ST,

422  $D_{\text{max}}=0.387 \text{ P}=0.001; \text{ PP } D_{\text{max}}=0.387 \text{ P}<1 \text{ x } 10^{-4}; \text{ CH } D_{\text{max}}=-0.285 \text{ P}=0.001; \text{ TL } D_{\text{max}}=-0.555 \text{ P}<1$ 

423 x  $10^{-4}$ ). The distance of the closest outlier gene to the center of the inverted region in AR, ST, PP,

424 CH, and TL is 0.92, 0.62, 0.04, 0.08, and 0.11 Mb, or 15.5, 20.0, 0.3, 1.7, and 1.7 % of the total

inversion size, respectively. Genes with the maximum DAF frequency or PSBL are more

426 centrally located within the inverted region and are not adjacent to either the proximal or distal

427 breakpoints in each case.

We tested for a linear association between the size of each chromosomal region (proximal, inverted, and distal) and the proportion of genes showing evidence of adaptive evolution (Table 4), observing a significant positive relationship (P=0.003,  $R^2=0.96$ ). While there is a positive correlation in proximal and distal regions, the relationship is not significant (proximal: P=0.14,  $R^2=0.57$ ; distal: P=0.37,  $R^2=0.27$ ). In four gene arrangements (AR, PP, TL,

433 CH), there is an excess of candidate adaptive genes within inverted regions.

We asked if recombination rates varies within and outside of outlier gene regions using
the fine-scale maps of the population scaled recombination rate ρ across all arrangements
estimated by Fuller et al. (2014). Outlier genes within inverted regions have significantly lower

437	mean estimates of $\rho$ than non-outlier genes (Table 5 and Figure 8). These data show strongly
438	differentiated genes interspersed among regions that experience higher levels of recombination
439	within the inversion especially in the middle of the inversion which should be sufficient to
440	decrease LD (Schaeffer & Anderson, 2005).
441	Each arrangement has at least one gene with multiple fixed amino acid changes in our
442	sample. Of these, the GA16823 gene in CH has the smallest number of fixed amino acid
443	differences (9) while GA24454 in PP is the most extreme case with 47 fixed changes. In D.
444	melanogaster, the ortholog of GA24454 is CG33017 and polymorphisms in the gene are
445	significantly associated with olfactory responses to 2-phenyl ethyl alcohol (Arya et al., 2015).
446	GA24454 is within inverted regions when PP and CU are paired with AR, ST, CH, or TL,
447	however, the gene is outside inverted regions in heterozygotes in all other heterokaryotypes.
448	Even though 207 amino acid polymorphisms are segregating in GA24454 across all
449	arrangements, none of the other arrangements has a fixed amino acid difference. Coalescent
450	simulations show that 47 fixed amino acid changes is greater than expected with a model of
451	nested subsamples given 207 segregating amino acids (P=0.028; Hudson & Kaplan, 1986;
452	Schaeffer et al., 2003). Furthermore, PP has only three shared amino acid polymorphisms while
453	non-PP arrangements have 12 to 26 shared amino acid polymorphisms. The significantly large
454	number of fixed amino acid differences in PP is evidence for adaptive evolution in GA24454.
455	Lack of Extended Haplotype Homozygosity Surrounding Fixed Unique Nucleotide
456	Alleles. At each fixed, derived site within an arrangement, we estimated the integrated
457	haplotype score ( <i>iHS</i> ) to test for extended haplotype structure (See Figure S41 in the Supporting
458	Information). Long stretches of extended homozygosity within an arrangement are likely to be
459	associated with a strong or recent selection event. In each arrangement, we detected at least one

460 site with an extreme positive or extreme negative  $(\pm 3)$  *iHS* value. Non-neutral forces are 461 expected to generate clusters of consecutive sites with extreme *iHS* values. We find evidence for only one such interval in any arrangement, an ~18-kb stretch from 9,271,032 to 9,289,710 in AR. 462 463 The interval intersects the coding regions of two overlapping genes (GA12653 and GA24326) neither of which showed a significantly elevated mean DAF or PSBL. For all other 464 465 arrangements, no significant intervals of consecutive extreme *iHS* scores were observed. Third Chromosome Linkage Disequilibrium Patterns. Now we ask whether 466 significant associations between sites decay with distance across the third chromosome (Figure 9). 467 In D. melanogaster, LD tends to decay rapidly within the genome through recombination 468 (Langley et al., 2012; Mackay et al., 2012), although recombination appears to be suppressed 469 470 across the length of the inverted regions here (Fuller et al., 2016). In proximal and distal regions 471 of the chromosome, we observe few pairwise comparisons that are in significant LD (3.97% of all comparisons). However, we find extensive LD generated within inverted regions (20.29% of 472 473 all comparisons) and observe a significantly elevated proportion of significant pairwise 474 comparisons present relative to non-inverted segments (Wilcoxon rank-sum test, P < 0.05). Furthermore, multiple arrangements show significant associations between SNPs and 475 476 arrangements with Fisher's Exact Test across the majority of the chromosome (Figure 9) indicating that the pattern of LD is not being driven by a single arrangement. The exception is in 477 478 the region where only PP differs from the other arrangements. Nucleotide variation around the 479 proximal and distal breakpoints did not always show significant LD (Figure S42 in Supporting 480 Information).

481 Evidence for Differentiation among Inversions, but not Populations. The six
 482 arrangements sampled were collected from five different geographic locations. We found

minimal evidence for geographic differentiation (Table 2). Population-specific singletons account
for 9.8 to 19.8% of the total unique polymorphism and the majority of derived polymorphisms
(70.9 to 89.3%) are shared among populations. The Mexican (SPE) population did have 56
(0.01%) unique fixed differences, but the other four populations did not have fixed unique
derived polymorphisms. The extensive shared polymorphisms among geographic locations and
lack of fixed differences are consistent with extensive gene flow among populations.

Gene arrangements, on the other hand, are differentiated from each other. Inversion specific polymorphism with a frequency of 1 in our sample account for 13.5 to 23.6 % of the observed unique polymorphism. Despite suppressed recombination in inverted regions, the majority of derived polymorphisms (56.3 to 78.7 %) are shared among arrangements. All arrangements have a greater proportion of fixed unique polymorphisms (0.8 to 7.4%) than the geographic populations. Gene arrangements harbor a substantial proportion of fixed unique sites, even in the presence of extensive shared polymorphism.

Gene Ontology. To test for enrichment of common biological functions in the genes 496 displaying evidence of adaptive evolution, we performed a Gene Ontology (GO) analysis using 497 DAVID (v6.8) software (Huang, Sherman, & Lempicki, 2009a, 2009b). We note that direct 498 499 experimental evidence is needed to confirm any of our results, however our analyses provide 500 insight into genes with signals of adaptive evolution involved in similar biological functions that 501 may underlie the targets of selection acting on the inversion polymorphism. For genes across all 502 arrangements with at least one fixed amino acid change and significant mean DAF and PSBL, 503 after correcting for multiple testing there is a significant enrichment of categories including odorant binding ( $q < 8.75 \ge 10^{-4}$ ; see Table 5) and neuroactive ligand-receptor interaction ( $q < 10^{-4}$ ) 504  $1.34 \times 10^{-3}$ ). Several other categories of potential biological interest, including starch and 505

sucrose metabolism and limonene and pinene degradation, contain genes which show evidenceof adaptive evolution, although they are not significantly enriched.

Limonene and pinene are monoterpenes that are produced by a variety of plant species, 508 509 including several coniferous pines, which have fungicidal properties and act as repellants to insects such as the mountain pine beetle (Dendroctonus ponderosae) (Wang et al., 2014). The 510 composition of limonene and pinene in the resin of the Ponderosa pine (*Pinus ponderosa*) varies 511 512 clinally across the Southwestern United States and in the overlapping species range of D. 513 pseudoobscura (Smith, 1977). Furthermore, D. ponderosae has been shown to differentially 514 colonize pines depending on the composition of monoterpenes, indicating that the species has 515 evolved the ability to preferentially recognize the relative abundance of limonene and pinene (Thoss & Byers, 2006). A number of significant differentially expressed genes between D. 516 pseudoobscura arrangements are also involved in limonene and pinene degradation (Fuller et al. 517 518 2016). Despite the wealth of genetic information supported by nearly a century of research in D. 519 *pseudoobscura*, little is known regarding the species' ecology and life history in nature. Our 520 results here may suggest a link between the environment of *D. pseudoobscura* and genes 521 showing evidence of adaptive evolution involved in sensory perception, metabolism and 522 limonene and pinene degradation.

523

### 524 Discussion

Test of the Position Effect Hypothesis. The breakpoints examined here reject the position effect hypothesis in a narrow sense because none of the breaks disrupted the coding sequences of genes. Breakpoints may also generate position effects by altering the expression of boundary genes. Fuller et al. (2016) found only one case where a gene immediately adjacent to a 529 breakpoint was differentially expressed. The gene GA22082, which is adjacent to the nearly 530 coincident pHYST and dSTPP breakpoints, is expressed higher in ST than in PP chromosomes in 531 larvae. Inversion events could also disrupt gene expression beyond breakpoints by altering 532 topologically associated domains (TADs) (Hou, Li, Qin, & Corces, 2012). TADs partition the genome into structural domains within the nucleus that may be important for the coordination of 533 534 gene expression. We inferred TADs in *D. pseudoobscura* based on synteny with TADs in *D.* 535 melanogaster (Hou et al., 2012) (unpublished data). The 14 inversion breakpoints involve 20 D. 536 melanogaster TADs. Five breakpoints (pHYSC, dSCCU, pSTAR, pSCTL, and dSTAR) disrupt 537 a TAD and contain one or two differentially expressed genes (max: 2). The ST to AR event is 538 particularly interesting because it splits a 79 kb TAD in half and leads to differential expression of two genes within the TAD on either side of the break. These results are intriguing, but should 539 540 be viewed with caution because we do not have experimental evidence to suggest that TAD structure has been conserved between *D. melanogaster* and *D. pseudoobscura*. 541 542 There are other possible mechanisms for inversions to directly generate variation in the 543 population. Novitski (1967) suggested that crossing over in inversion heterozygotes could set up 544 conditions for meiotic drive to operate. Certain cross over events could lead to chromatids that differ in length such that shorter chromatids are transmitted at higher frequencies. Finally, 545 546 Corbett-Detig (2016) showed that large inversions are often in close proximity to "sensitive sites" in the *D. melanogaster* genome. Sensitive sites normally promote crossing over, however, 547 an inversion can lead reduce levels of genetic exchange when near the sensitive sites. Corbett-548 549 Detig (2016) concluded this can lead to a selective advantage for the inversion. Here, we 550 conclude that breakpoint lesions have had minimal effects in generating phenotypic variation 551 through the structural or expression alterations of boundary genes for selection to act on,

however, further studies that map *D. pseudoobscura* TADs in different arrangements, test for
meiotic drive in heterozygotes, and map sensitive sites in *D. pseudoobscura* are needed to fully
reject the position effect hypothesis.

Gene Phylogenies Support the Unique Origin of the Gene Arrangements. The phylogeny based on SNP variation across the third chromosome mirrors the history of the inversion mutations. Phylogenetic analysis supports the unique origin of the different arrangements except for regions near the centromere and telomere where recombination is prevalent. The expected phylogeny of the different arrangements is largely consistent with the cytogenetic phylogeny (Dobzhansky & Sturtevant, 1938), although some syntenic regions show an aberrant clustering of PP with TL.

One possible explanation for aberrant cluster of PP and TL is that there was an ancestral 562 563 genetic exchange event. PP and TL have 739 SNPs that are shared between the arrangements in our samples. Although these regions are located within inverted regions of PP/TL heterozygotes, 564 565 there is a 6.3 Mb region that can pair in the heterokaryotypes including regions (68C-69D, 69D-566 70A, 70B-70C, and 70D-74B). PP and TL do occur in the same populations and heterokaryotypes can form at appreciable frequencies allowing for recombinants. Indeed, PP/TL 567 568 heterokaryotypes frequencies have been recorded as high as 32% in Mexico (Salceda, Guzman-569 Rincon, Rosa, & Olvera, 2015). The size of the region affected suggests that perhaps a double 570 cross over event generated shared variation between the two arrangements (Arcadio Navarro et 571 al., 1997) and the exchanged segment may have transferred adaptive genes based on a number of 572 outlier genes that map to this segment.

Evolutionary Forces for the Establishment and Maintenance of New Inversions.
Here, we provide novel insights into the evolutionary forces that establish new inversion

575 mutations in populations. If a new inversion increases by strictly neutral forces, as the 576 arrangement increases in frequency, it will carry the allelic variants initially captured from the 577 ancestral arrangement along with it and lead to extensive LD across the inverted region. Over 578 time, LD of SNPs associated with the gene arrangement will decrease through genetic exchange except nearest to the breakpoints (Arcadio Navarro, Barbadilla, & Ruiz, 2000; Arcadio Navarro 579 580 et al., 1997; Peischl, Koch, Guerrero, & Kirkpatrick, 2013). How much decay depends on the size of the inversion with larger inversions showing a greater reduction in LD in central regions 581 582 than smaller inversions (Arcadio Navarro et al., 1997). The ages of the D. pseudoobscura 583 arrangements are 0.5 to 1.4 million years old, which should be sufficient time for LD of variation 584 to decay except near the breakpoints.

We can rule out genetic drift as an explanation for the inversion polymorphism in D. 585 586 *pseudoobscura*. If genetic drift is responsible for the establishment and maintenance of the arrangements, their frequency is expected to be related to their relative ages (Kimura & Ohta, 587 588 1973), leading to higher levels of variation (Arcadio Navarro et al., 2000) and lower levels of LD 589 (Hartl & Clark, 1997; Toomajian, Ajioka, Jorde, Kushner, & Kreitman, 2003). The strongest 590 evidence against genetic drift is the pattern of variation in the AR chromosome. AR is the most 591 frequent arrangement in the Southwestern United States suggesting that it is one of the oldest 592 chromosomes, yet AR is relatively young because it was derived from the ST arrangement. This implies that AR emerged from the ST chromosome and has rapidly spread from California to 593 Texas. The average value of Tajima's  $D|D_{min}|$  across AR is more negative than any other 594 595 arrangement, which is consistent with a recent expansion. A similar observation is found in the closely related species *D. subobscura*, where allele frequencies of microsatellite markers have 596 597 been shown to increase more than expected under genetic drift alone likely due to a recent

expansion (J. Santos et al., 2016). The lack of significant windows of extended homozygosity in
AR suggests that even though this arrangement is relatively young, there has been sufficient time
for gene conversion break up LD. Additionally, genetic drift can be ruled out because each
arrangement has multiple outlier genes which are spread across the inverted region. One would
not expect outlier genes in the central region of the inversion because there has been sufficient
time for gene conversion and crossovers to degrade LD.

Next, we can rule out a single beneficial sweeping allele because we find evidence for not 604 one, but multiple outlier genes within the gene arrangements showing evidence of adaptive 605 606 evolution. We find evidence of multiple genes with long branch lengths or elevated frequencies of derived mutations broken up by regions with high frequencies of shared polymorphisms. This 607 is consistent with previous results showing multiple significantly differentially expressed genes 608 609 between third chromosome arrangements maintained by the inversions (Fuller et al., 2016). 610 Multiple loci are also thought to be functionally important for adaptive inversion clines in D. 611 melanogaster (Kennington, Partridge, & Hoffmann, 2006).

612 The most likely explanation for the establishment and maintenance of chromosomal 613 inversions in *D. pseudoobscura* is that inversions act as negative modifiers of recombination holding adaptive haplotypes together. By limiting genetic exchange, the original inversion 614 615 mutation captures sets of linked alleles and maintains their associations. This is supported by the 616 numbers of outlier genes that show evidence of adaptive evolution and the pattern of LD across 617 the chromosome. Our surprising finding was that regions of the chromosome with high levels of 618 LD are interspersed with regions of low LD or high values of  $\rho$ . In addition, we observed few 619 regions of unusual haplotype structure or extended homozygosity within arrangements. We hypothesize that gene conversion and double crossovers can break up associations across an 620

arrangement, but purifying selection removes any maladaptive combinations, consistent with
inversion establishment models (Charlesworth & Charlesworth, 1973). These results should be
interpreted with caution because some of the shared polymorphism we observe may be due to
gene conversion events from balancer chromosomes used to create the isogenic strains (Miller et
al., 2016).

Two models are consistent with the establishment of inversions to reduce recombination, 626 627 the local adaptation and epistasis models, which may not be mutually exclusive. The distinction between these two hypotheses is whether the gene products interact at the molecular level or 628 629 have a synergistic effect on fitness. Under the local adaptation model, there is no need for the gene products to interact or act synergistically either in a genetic pathway or as transcription 630 factors regulating a common gene (Kirkpatrick & Barton, 2006). The geographic range of D. 631 *pseudoobscura* straddles several physiographic provinces (Lobeck, 1948) and adult flies are 632 capable of dispersing among these ecological niches. Numerical analysis has shown that 633 geographic inversion frequencies of *D. pseudoobscura* are consistent with a model of selection in 634 635 heterogeneous environments (Schaeffer, 2008). However, it remains unclear why multiple arrangements exist in single populations under the local adaptation model and further work is 636 needed to characterize the local fitness environments in ecological niches 637

The data presented here supports the hypothesis that the size of the inversion may be an indirectly selected character (Caceres, Barbadilla, & Ruiz, 1997, 1999). The ST arrangement is an exceptional case because the majority of the candidate selected genes are outside of the original derived inversion (HY to ST) and instead found in the proximal region, which overlaps with the AR inversion. Because ST gave rise to AR and AR remained in populations with ST, it appears that new adaptive genes in ST have become fixed from standing variation after the HY

to ST inversion event. We hypothesize this resulted from selection on standing variation within
ST (Wyatt W. Anderson et al., 1991; Dobzhansky, 1944) and suppression of recombination due
to the presence of AR and CH in populations with ST. Thus, our data suggest that an initial
inversion event can lock up adaptive combinations of genes within the inverted segment, but
additional multi-locus combinations can be established outside of the initial inversion
breakpoints based on what other arrangements are present in the population.

650 If double cross overs are responsible for breaking up LD, one would expect larger regions 651 of sharing between arrangements (Arcadio Navarro et al., 1997), especially between ST and PP. 652 The ST to PP inversion corresponds to 52% of the length of the third chromosome and one 653 would expect seven percent of gametes from a ST/PP heterozygote to produce double cross overs leading to more similarity within their inverted regions. Homogenization, however, is unlikely 654 655 because ST and PP do not co-occur at appreciable frequencies in nature. Wallace (1953) proposed the triad model where coadapted gene complexes could be eroded when sets of 656 657 arrangements separated by single inversion steps such as AR-ST-PP are present in the same 658 population. Therefore, it is reasonable to speculate that all members of a triad do not co-occur 659 for this reason. ST and PP support the triad model because of the non-trivial potential to 660 generate maladaptive recombinant haplotypes.

There is a significant enrichment of genes involved in sensory perception and odorant binding showing evidence of adaptive evolution. Analyses of insect genomes have found that odorant perception and detoxification proteins are typically found to be amplified in copy numbers and show evidence of recent positive selection (Chen et al., 2015; Nene et al., 2007; Scott et al., 2014; Smadja, Shi, Butlin, & Robertson, 2009; The Honeybee Genome Sequencing Consortium, 2006; The International Aphid Genomics Consortium, 2010; Tribolium Genome 667 Sequencing Consortium, 2008; You et al., 2013). Our study further suggests these genes may be 668 targets of selection in insect species because they can play a role in adapting to complex 669 environments. Furthermore, several of the genes showing evidence of adaptive evolution are 670 members of the limonene and pinene degradation pathway. It is intriguing to speculate that ponderosa pine is part of the ecology of D. pseudoobscura either because the adults are 671 672 associated with bark of the tree or larvae feed on the pine nuts. The establishment of inversion clines may play a role in the speciation process. In such a 673 674 system, the emergence of Dobzhansky-Muller incompatibility genes within one arrangement 675 background can easily spread within the inversion type, but not to other chromosomal 676 backgrounds (A. Navarro & Barton, 2003). This may have been how D. persimilis was formed (Noor, Grams, Bertucci, & Reiland, 2001). The distribution of D. persimilis is sympatric with D. 677 678 *pseudoobscura* and the two species differ by several fixed inversion differences that include 679 reproductive isolation genes. Thus, D. pseudoobscura may be ripe for additional speciation 680 events. 681 The evolution of different gene arrangements in *D. pseudoobscura* may be in response to life history and environmental challenges that require many genes of small effect to adapt to a 682 683 heterogeneous environment. Independent assortment and recombination play a vital role in helping an organism to generate multiple combinations for selection to sift through. While this is 684 an advantage, it is also a curse because adaptive combinations of genes can be split apart as 685

quickly as they are made. In this system, it seems that chromosomal inversions help to holdadaptive combinations together.

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## 1091 Data Accessibility

- 1092 DNA Sequences: NCBI SRA: SRX204748-SRX204792, SRX091323, SRX091311,
- 1093 SRX815755, SRX2484948, SRX2484950, SRX2484953, SRX2484955, SRX2484969.
- 1094 SNP table for the third chromosome containing the sites used for all subsequent analyses is
- 1095 available through Scholarsphere (https://scholarsphere.psu.edu).
- 1096 Computer Code is available: (https://scholarsphere.psu.edu)

## 1097 Author Contributions

- 1098 S.W.S conceived the project. S.R., generated the sequence data. Z.L.F., G.D.H., S.R.and S.W.S,
- analyzed the data. Z.L.F., G.D.H., S.R., and S.W.S. wrote the manuscript.

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Table 1. Break	Table 1. Breakpoint map locations for seven inversion events in the MV 2-25 reference genome of <i>D. pseudoobscura</i> .							
Breakpoint	Cyto_Ref	5'_Gene	5'_Coor	3'_Gene	3'_Coor	BP_Interval		
pSCCU	64B 64C	GA17643	1,766,685	GA24634	1,769,869	1,769,5031769,545		
pSTPP	65B 65C	GA13487	2,500,7218	GA13475	2,505,628	2,504,7172,505,525		
pHYSC	68B 68C	GA21475	6,814,856	GA19795	6,830,821	6,817,3056,819,934		
dSCCU	69C 69D	GA18750	8,021,189	GA24813	8,027,622	8,026,9678,027,480		
pSTAR	70A 76B	GA24334	8,902,967	GA19155	8,905,717	8,902,9678,905,362		
dSTPP	76B 76A	GA22082	9,162,365	GA20777	9,164,720	9,163,0729,164,670		
pHYST	76B 76A	GA22082	9,162,375	GA20777	9,164,720	9,163,0729,164,057		
pSCTL	74C 74B	GA19582	10,623,204	GA14679	10,628,103	10,626,93110,627,448		
pSCCH	70D 70C	GA20939	13,940,052	GA12507	13,941,493	13,940,12513,941,042		
dSTAR	70B 76C	GA17716	14,813,423	GA17559	14,833,672	14,831,32214,833,071		
dSCCH	78A 78B	GA10355	15,653,239	GA13882	15,658,703	15,657,09815,657,203		
dSCTL	79B	GA13539	17,149,432	GA24132	17,153,461	17,151,55417152,967		
dHYSC	79B 79C	GA21720	17,545,780	GA24111	17,567,890	17,545,43817,545,671		
dHYST	79D 80A	GA30270	17,725,786	GA10842	17,729,964	17,727,10017,727,669		

Table 1. Breakpoint map locations for seven inversion events in the MV 2-25 reference genome of *D. pseudoobscura*.

Breakpoint, is the first letter indicates if the breakpoint is proximal (p) or distal (d), the second two letters indicates the ancestral gene arrangement and the last two letters indicates the derived gene arrangement. Cyto, is the location of the breakpoint in the AR reference genome. The location of the dSTPP breakpoints differ from the original location mapped in Dobzhansky and Sturtevant (1938). The original map location of dSTPP was 75C|76A. 5'\_Gene, the FlyBase annotation symbol of the gene 5' to the breakpoint. 5'\_Coor, the nucleotide coordinate of the last base of the 5'\_Gene. 3'\_Gene, the FlyBase annotation symbol of the gene 3' to the breakpoint. 3'\_Coor, the nucleotide coordinate of the first base of the 3'\_Gene. BP\_Interval, the coordinates of the breakpoint region in the AR reference genome (MV 2-25).

Arr	Freq	Number (%)	Рор	Freq	Number(%)
AR	1	90,653 (23.56)	MSH	1	44,949 ( 9.80)
	2-14	43,707 (11.36)		2-6	4,221 ( 0.92)
	15 (Fixed)	3,104 ( 0.81)		7 (Fixed)	0 ( 0.00)
	Shared	247,283 (64.27)		Shared	409,607 (89.28)
	Total	384,747		Total	458,777
ST	1	36,586 (13.47)	JR	1	72,751 (13.61)
	2-7	24,037 ( 8.85)		2-13	26,021 (4.87)
	8 (Fixed)	5,078 (1.87)		14 (Fixed)	0 ( 0.00)
	Shared	205,925 (75.81)		Shared	435,818 (81.52)
	Total	271,626		Total	534,590
PP	1	55,027 (16.87)	KB	1	48,658 (12.87)
	2-9	63,241 (19.39)		2-7	5,572 (1.47)
	10 (Fixed)	24,248 (7.43)		8 (Fixed)	0 ( 0.00)
	Shared	183,618 (56.30)		Shared	323,797 (85.65)
	Total	326,134		Total	378,027
CH	1	48,840 (14.63)	DM	1	73,274 (16.04)
	2-8	42,354 (12.43)		2-11	36,166 (7.91)
	9 (Fixed)	4,613 (1.35)		12 (Fixed)	0 ( 0.00)
	Shared	243,856 (71.58)		Shared	347,499 (76.05)
	Total	340,663		Total	456,939
TL	1	64,346 (17.94)	SPE	1	81,973 (19.77)
	2-8	47,670 (13.29)		2-7	38,535 ( 9.29)
	9 (Fixed)	8,856 (2.47)		8 (Fixed)	56 ( 0.01)
	Shared	237,703 (66.29)		Shared	294,144 (70.93)
	Total	358,575		Total	414,708
CU	1	31,504 (13.67)			
	2	9,554 ( 4.15)			
	3 (Fixed)	7,995 ( 3.47)			
	Shared	181,351 (78.71)			
	Total	230,404			

Table 2. Site frequency spectrum analysis based on gene arrangement and population categorical variables.

Arr, gene arrangement, AR, Arrowhead, ST, Standard, PP, Pikes Peak, CH, Chiricahua, TL, Tree Line, and CU, Cuernavaca; Freq, Frequency of the derived mutation within the arrangement or population; Pop, population; Number, number of SNP sites in the different frequency classes.

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		Proximal	Inverted	Distal		
Arrangement	Туре	Obs (Exp)	Obs (Exp)	Obs (Exp)		
Arrowhead*	Shared	114,700 (104,926.2)	52,305 ( 67,343.1)	58,155 (52,890.6)		
	Unique	53,908 ( 63,681.8)	55,910 ( 40,871.9)	26,836 (32,100.4)		
Standard*	Shared	141,750 (143,519.3)	28,261 (28,761.2)	18,563 (16,293.5)		
	Unique	51,594 (49,824.7)	10,485 ( 9,984.8)	3,387 ( 5,656.5)		
Pikes Peak*	Shared	14,064 (11,154.7)	96,036 (111,515.7)	53,828 (41,257.5)		
	Unique	6,696 ( 9,605.3)	111,505 (96,025.3)	22,956 (35,526.5)		
Chiricahua*	Shared	141,777 (131,368.2)	49,533 ( 60,142.2)	31,078 (30,877.6)		
	Unique	46,509 ( 56,917.8)	36,667 (26,057.8)	13,178 (13,378.4)		
Tree Line*	Shared	104,174 ( 92,840.4)	63,446 ( 78,297.3)	46,432 (42,914.3)		
	Unique	40,719 ( 52,052.6)	58,750 (43,898.7)	20,543 (24,060.7)		
Cuernavaca*	Shared	6,360 ( 5,626.2)	105,495 (106,453.1)	51,849 (51,624.6)		
	Unique	947 (1,680.8)	32,760 ( 31,801.9)	15,198 (15,422.4)		

Table 3. Unique and Shared polymorphisms in proximal, inverted, and distal regions in six gene arrangements.

\*, P<0.0001 in a Chi Square test of homogeneity.

Region	Ν	Intercept (SE)	Slope (SE)	F	Р	$R^2$
Proximal	5	-1.08 (1.11)	0.22 (0.11)	4.00	0.14	0.57
Inverted	5	0.77 (0.79)	1.55 (0.19)	66.56	0.003	0.96
Distal	5	3.48 (0.77)	0.53 (0.50)	1.11	0.36	0.27

Table 4. Relationship between length of three chromosomal regions and the percent of selected protein coding genes within the regions.

Arr	n Outlier	Rho Outlier	n Non-Outlier	Rho Non-Outlier	Р
AR	20	0.037	765	0.047	$2.5 \times 10^{-2}$
ST	8	0.028	393	0.045	3.9 x 10 <sup>-2</sup>
PP	123	0.041	1547	0.053	$1.0 \ge 10^{-4}$
CH	21	0.025	673	0.044	2.0 x 10 <sup>-5</sup>
TL	38	0.028	915	0.047	<1.0 x 10 <sup>-5</sup>

Table 5. Mean estimates of the recombination parameter *Rho* in outlier and non-outlier genes within inverted regions.

Arr, arrangement; P, probability of observing a greater difference between outlier and non-outlier genes.

Term	Function	Count	P Value	Fold Enrichment	Benjamini (q)
GO:0016021	Integral component of membrane	69	6.51E-06	1.47	9.77E-05
GO:0050909	Odorant binding	11	1.09E-05	6.04	8.75E-04
dpo04080	Neuroactive ligand- receptor interaction	6	3.15E-04	9.33	1.34E-03

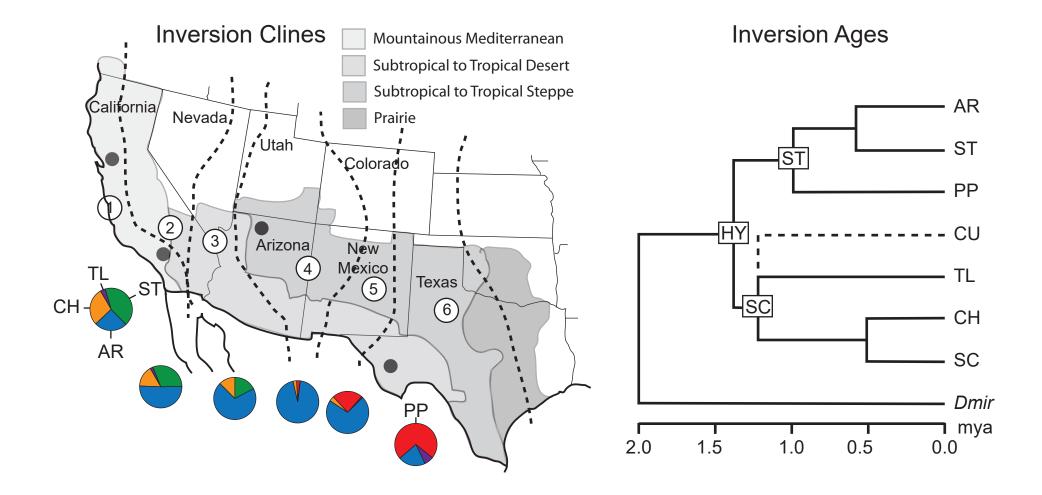
Table 6. GO analysis for genes in arrangements with significantly high mean DAF and PSBL and at least one fixed amino acid difference.

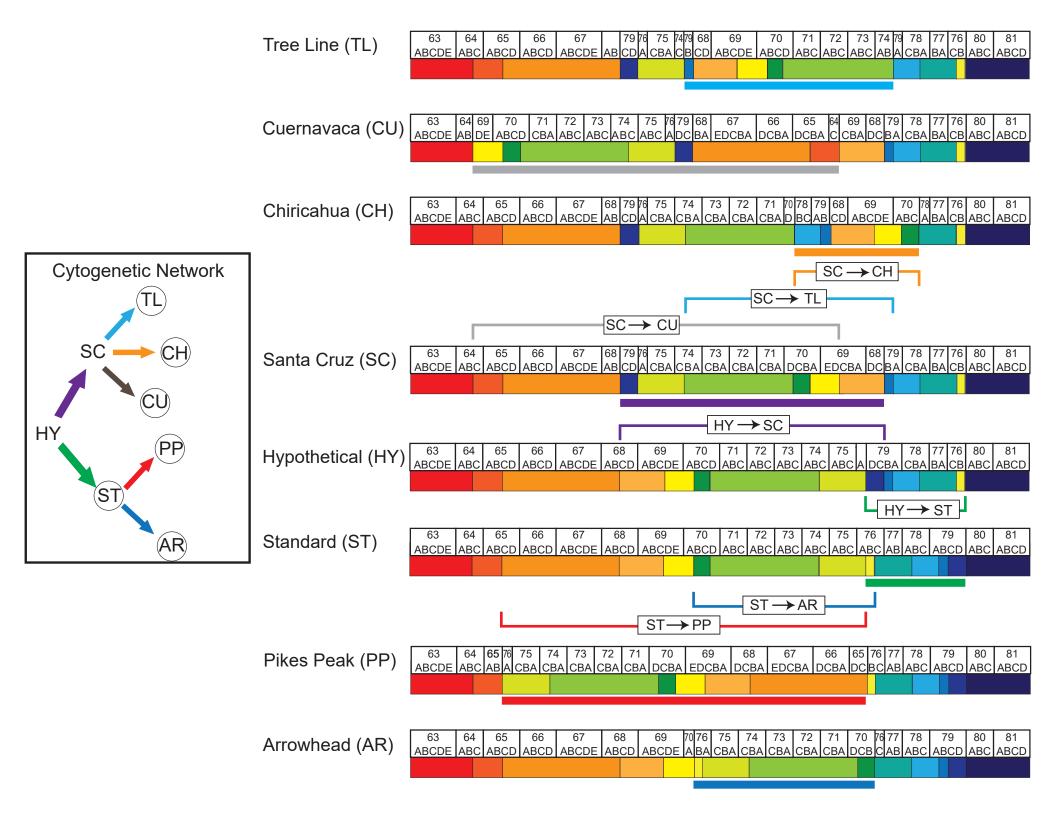
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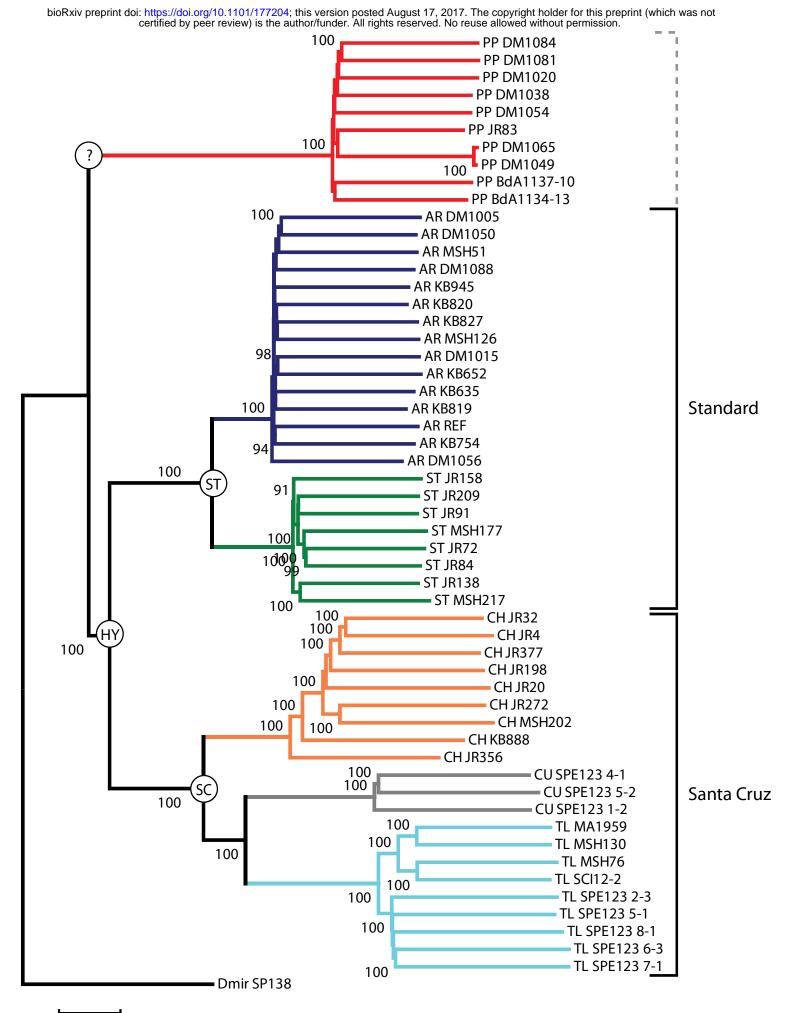
- Figure 1. *Drosophila pseudoobscura* inversion cline and ages. The inversion abbreviations are AR, Arrowhead; CH, Chiricahua; CU, Cuernavaca; HY, Hypothetical; PP, Pikes Peak; SC, Santa Cruz; ST, Standard; and TL, Tree Line. (Left) Gene arrangement cline in *D. pseudoobscura* across the southwestern United States. The six regions delineated on the map are different climatic zones or niches inferred in Schaeffer (2008) with pie charts indicating the frequencies of the major arrangements found in each zone from 1940 inversion samples(Dobzhansky, 1944). (Right) Ages of the inversions estimated from 18 nucleotide markers sampled from the third chromosome (A. G. Wallace et al., 2011). Dmir is the outgroup *D. miranda*. The Hypothetical arrangement is the common ancestor of all arrangements within *D. pseudoobscura*. The CU arrangement is connected to the SC arrangement via a dotted line because CU is derived from SC, but was not sampled in the Wallace et al. (2011) study
- Figure 2. Phylogenetic network of the *Drosophila pseudoobscura* third chromosome gene arrangements inferred from polytene chromosomes isolated from larval salivary glands (Dobzhansky, 1944; Dobzhansky & Sturtevant, 1938). The box on the left shows the phylogenetic network of the different gene arrangements. The hypothetical arrangement is the ancestral arrangement (A. G. Wallace et al., 2011) and the arrows indicate the derivation of each arrangement from its ancestor. The strains with the circled arrangements were sequenced in this study. The chromosomes depicted to the right represent the numbered sections on the cytological map of *D. pseudoobscura* (Schaeffer et al., 2008). Beginning with the Hypothetical arrangement, the colored brackets indicate the segment of the ancestral arrangement that inverted and the corresponding segment is indicated with the same colored bar in the derived arrangement. These colors also match the arrows in the network in the box on the right. The colored sections under the maps indicate syntenic regions that are conserved among all gene arrangements.
- Figure 3. Phylogeny inferred with the neighbor-joining method (Saitou & Nei, 1987). A total of 1,028,037 SNPs were used in each of the regions to construct the gene arrangement phylogeny. A total of 500 bootstrap replicated were used to determine the confidence in the nodes of the trees where only nodes with > 90% support shown on the tree.
- Figure 4. Top Row: Phylogenies inferred with the neighbor-joining method (Saitou & Nei, 1987). Phylogenies were inferred in each of 14 syntenic blocks defined by seven pairs of inversion breakpoints. Below each phylogeny is a colored block with a label that corresponds to the 14 syntenic blocks on the cytogenetic map shown at the bottom. Indels were removed from the analysis. The following numbers of SNPs were used in each of the regions to construct the phylogenies: 63A-64B, 36,372 SNPs; 64C-65B, 24,361 SNPs; 65C-68B, 239,432 SNPs; 68C-69C, 77,386 SNPs; 69D-70A, 57,145 SNPs; 76B, 16,556 SNPs; 76A-74C, 91893 SNPs; 74B-70D, 203,463 SNPs; 70C-70B, 59,084 SNPs; 76C-78A, 51,476 SNPs; 78B-79A, 75,395 SNPs; 79B, 18,542 SNPs; 79C-79D, 9,324 SNPs; and 80A-81D, 67,608 SNPs. A total of 500 bootstrap replicated were used to determine the confidence in the nodes of the trees where only nodes with > 90% support shown on the tree. Middle Row: The 14 matrices indicate whether a particular region is outside (open box) or within (filled box) the inverted region in a heterozygote for a particular pair of arrangements (shown on the horizontal and vertical axis). The fill colors match the colors for the syntenic blocks of the reference sequence. Bottom Row: A

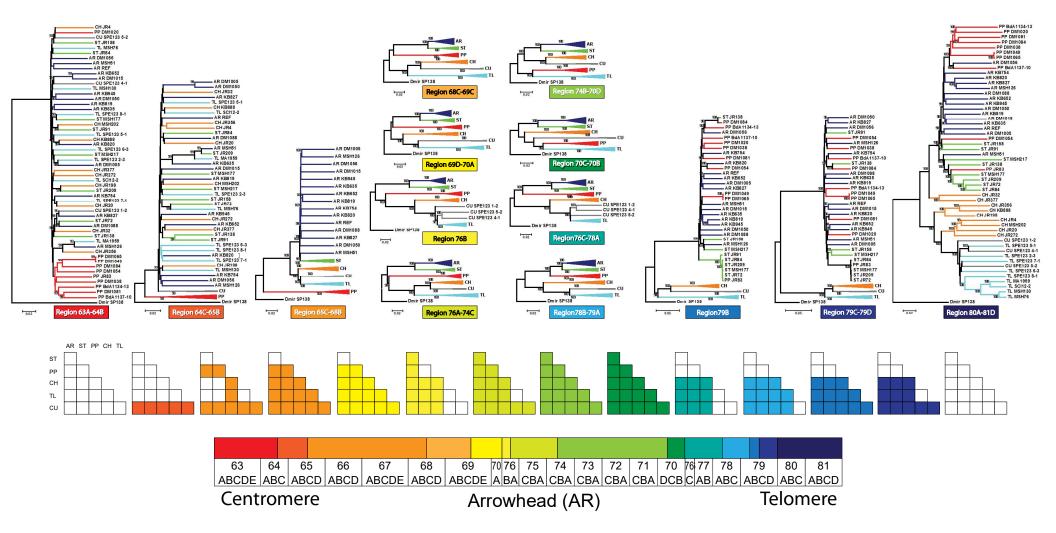
diagram of the cytogenetic map of the Arrowhead reference genome with colored segments representing the 14 syntenic blocks.

- Figure 5. Estimates of Tajima's  $D/|D_{min}|$  estimated from unique mutations in 2,669 gene regions across the third chromosome (Muller C) of *D. pseudoobscura* in five gene arrangements, Arrowhead, Standard, Pikes Peak, Chiricahua, and Tree Line. Outlier gene regions that showed a significant negative or positive value of Tajima's  $D/|D_{min}|$  are indicated with a red point. Gene regions that did not have an extreme value of Tajima's  $D/|D_{min}|$  are shown with a gray dot. The order of genes is specific to the particular gene arrangement background. The locations of inversion breakpoints are shown with a dotted line. Box plots for all  $D/|D_{min}|$  values across the third chromosome are shown in the lower right hand corner for each of the arrangements (Hintze & Nelson, 1998).
- Figure 6. Estimates of the mean derived allele frequency (DAF) per segregating site estimated from unique mutations in the five gene arrangements, Arrowhead, Standard, Pikes Peak, Chiricahua, and Tree Line. Regions that showed a significantly large mean given the number of SNPs are indicated with a red point. Gene regions that did not have an extreme mean DAF value are shown with a gray dot. The locations of inversion breakpoints are shown with a solid or dotted line. The order of genes is specific to the particular gene arrangement background. Box plots for all mean DAF values across the third chromosome are shown in the lower right hand corner for each of the arrangements (Hintze & Nelson, 1998).
- Figure 7. Population specific branch lengths estimated for five of the six arrangements. The plots to the left show the estimates of PSBL for the 2,669 genes across the third chromosome. Each gene is represented by a point, with those colored in red classified as statistical outliers after correcting for multiple testing. The histograms to the right show the distribution of PSBL for each arrangement with the branch highlighted in red.
- Figure 8. Estimates of the recombination parameter  $\rho$  within 2,669 gene regions across the third chromosome. Regions that showed a significantly large mean DAF or PSBL given the number of SNPs are indicated with a red circle. Regions that did not have an extreme mean DAF value are shown with a gray dot. The locations of inversion breakpoints are shown with a solid or dotted line. The order of genes is specific to the particular gene arrangement background.
- Figure 9. Triangular heat map showing the significance of linkage disequilibrium (LD) for all polymorphic sites on the third chromosome using the correlation-based procedure of Zaykin et al. (2008). Each point is calculated as the average of 100 adjacent sites. Red indicates greater LD and blue represent associations that are not significant. The top bars depict the results of a Fisher's Exact Test for significant associations of alleles with a particular arrangement. The colors represent the proportions of significant tests ( $p < 1 \times 10^{-6}$ ) in blocks of 100 sites.

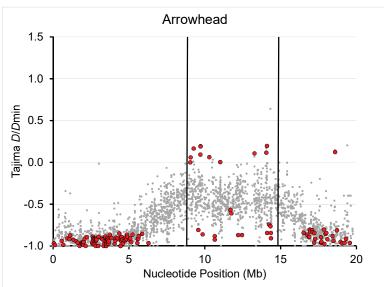


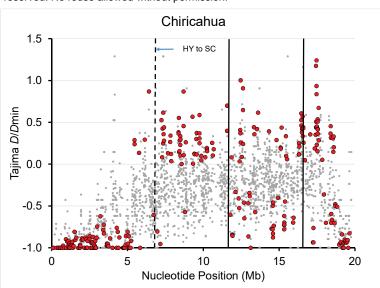


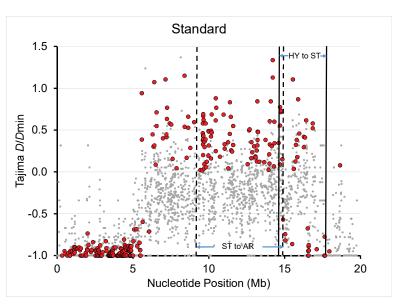


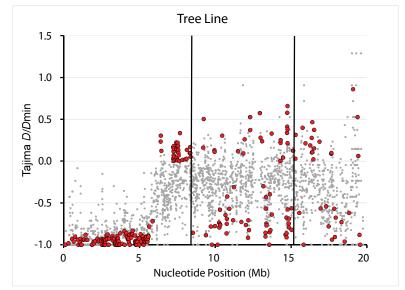


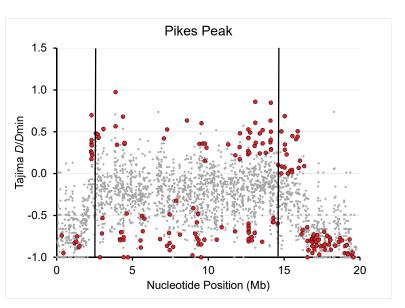
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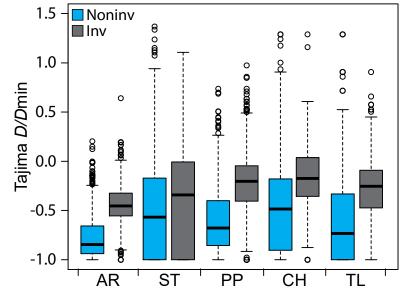












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